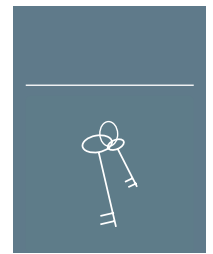


DEPARTMENT OF CORRECTION

Cynthia Brann, Commissioner



WHAT WE DO

The Department of Correction (DOC) provides for the care, custody and control of inmates, persons 16 years of age and older, accused of crimes or convicted and sentenced to one year or less of incarceration and persons held on state parole warrants. Pursuant to New York's Raise the Age law, 16- and 17-year olds were removed from Rikers Island prior to October 1st, 2018. The Department operates 11 correctional facilities, court holding facilities in each of the five boroughs, and two hospital prison wards. The Department processes over 49,000 admissions annually and manages a combined average daily inmate population of approximately 8,200 individuals.

Guided by its 14-Point Anti-Violence Reform Agenda, as well as ongoing work with the Nunez Federal Monitor, the Department has implemented substantive reforms informed by, and contributing to, correctional best practice. These reforms include significant reduction in the use of punitive segregation and development of holistic approaches to behavior management; improved staff to inmate ratios; enhanced staff training in Mental Health First Aid, Safe Crisis Management, and de-escalation; and expansion of programming for those in custody that is designed to reduce idleness and promote skills development towards post-release success.

FOCUS ON EQUITY

The Department is committed to operating a system that is safe, humane and produces positive outcomes for those in DOC's custody. Utilizing a multi-pronged approach to improve safety for staff, inmates and the public, the Department is working to reduce violence, create targeted management approaches for the diverse populations in its care and offer opportunities that promote positive reentry outcomes. The Department will work to refine, expand and sustain those policies and programs that have demonstrated a positive impact for staff and inmates. As the Department looks forward to a community-based jail system, it is already implementing meaningful new programs to better connect those in custody to their friends, families and support networks. In Fiscal 2018 the Department started a free visitor shuttle service from Harlem and Brooklyn to Rikers Island, expanded programs to connect children to incarcerated parents and help foster healthy relationships and launched a new online bail payment system to make it easier for people to have their bail paid. The Department has also focused significantly on expanding reentry services for those in custody, to help prepare them to successfully return to the community.

OUR SERVICES AND GOALS

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

- Goal 1a Ensure the security and safety of inmates in DOC custody.
- Goal 1b Ensure that use of force is authorized and appropriate.
- Goal 1c Provide inmates with timely access to health services.
- Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.
- Goal 1e Ensure timely transport of inmates to courts throughout the City.

SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

- Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.
- Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

SERVICE 3 Provide correction-related services and information to the public.

- Goal 3a Provide timely notifications to crime victims.

HOW WE PERFORMED

- DOC is committed to ensuring the safety and security of its facilities. Inmate-on-inmate violence has presented a challenge in recent years. During the first four months of Fiscal 2019, the rate of violent inmate on inmate incidents per 1,000 average daily population (ADP) increased by 28 percent compared to the same period last year. However, the rate of serious injury to inmates as a result of these incidents declined by 13 percent. Staff training in de-escalation and conflict resolution and efforts to mediate conflicts likely contributed to the decline in injury rate. At the same time, the number of stabbings and slashings declined by 21.6 percent while inmate fight/assault infractions increased 11.4 percent, from 3,997 to 4,454. Inmate programs were added to address problematic behavior include counseling, anger management, and community meetings to foster healthier relationships.
- The Department increased searches by 13.8 percent, deterring possession and use of weapons. DOC continues to prioritize the elimination of contraband from its facilities, enhancing search tactics through the addition of new surveillance cameras, improved technology and heightened front gate procedures. A 20.3 percent decrease in weapons recovered suggests a reduction in the smuggling of contraband into facilities. However, the detection of ceramic and other nonmetallic blades and weapons has remained a challenge. Legislation allowing correctional facilities to use body scanners was signed into law in October 2018 and went into effect in January 2019. Over the next year, the Department will be phasing scanners into DOC facilities and anticipates having the first machine in place in March 2019.
- There was a 40.4 percent increase in the rate of incidents of assault on staff, although the rate of serious injury to staff as a result of these incidents remained stable. The total number of assaults on staff increased by 24.8 percent, from 326 to 407, during the reporting period, comprised of a 22.8 percent rise in inmate assaults on uniform staff and a 46.4 percent rise on inmate assaults on civilian staff both contributed to the overall rise in rate of incidents. The Department continues to offer staff training in topics such as Mental Health First Aid, de-escalation, and crisis management, which have equipped staff with tools to better respond to disruptive and/or violent behavior, improving staff-inmate interaction.
- Incidents of use of force increased by 31 percent overall, driven in part by a 54.5 percent increase in uses of force incidents involving adolescent inmates. The Department has made it a priority to reduce the number of unnecessary and excessive uses of force through increased training, accountability and other initiatives including the Use of Force Improvement Action Plan. This approach includes a review of all use of force incidents by facilities to promote awareness and accountability and builds upon coordinated communication between individual facilities and the Investigations Division. Further, the Department has implemented a communications strategy to educate staff on the use of force policy and engaged mentoring captains to provide assistance and training to line staff.
- On-time court delivery declined slightly from 98.2 percent to 96.8 percent, still exceeding the Department's target of 95 percent. DOC continued practices such as ongoing communication between the Transportation Division and facility managers, and increased frequencies of bus departures to maintain this level of timely court transportation.
- The Individualized Corrections Achievement Network (I-CAN) program continues to provide comprehensive reentry services including job readiness, hard-skills training, and post-release employment assistance. During July to October 2018, the number of I-CAN enrollments increased 25.1 percent from 2,335 to 2,922 while the number of I-CAN workshops offered increased 68.8 percent from 4,902 to 8,273. The Department continues to strengthen programming to improve reentry outcomes. The average daily number of participants in vocational skills training declined by 27.2 percent.
- The Victim Identification Notification Everyday (VINE) system provides victims of crime with access to timely and reliable offender custody information. VINE registrations increased by 110.2 percent, from 5,837 to 12,269 registrations during the reporting period. At the same time, VINE confirmed notifications increased by 51.4 percent, from 8,736 to 13,224 notifications. These increases were driven in part by improvements made to the VINE system in Fiscal 2018 that enabled more thorough inmate tracking through the criminal justice system. These improvements also enabled users to receive notifications through multiple channels including telephone, text, email and app-based notifications.

SERVICE 1 Provide a safe and secure environment for inmates, staff and host communities.

Goal 1a Ensure the security and safety of inmates in DOC custody.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Admissions	63,758	58,226	49,455	*	*	18,459	14,154
Average daily population	9,790	9,500	8,896	*	*	9,180	8,175
Average daily population - adolescent inmates	187	167	121	*	*	137	86
Inmates in Security Risk Group (% ADP)	13.3%	14.7%	15.4%	*	*	14.9%	16.4%
Fight/assault infractions	11,240	12,650	12,047	*	*	3,997	4,454
Jail-based arrests of inmates	1,538	1,126	742	*	*	345	189
Searches	237,757	246,822	308,063	*	*	93,979	106,938
Weapons recovered	3,396	3,976	3,676	*	*	1,407	1,121
★ Violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	47.8	55.2	55.8	↓	↓	54.7	70.0
★ Serious injury to inmate(s) as a result of violent inmate-on-inmate incidents (monthly rate per 1,000 ADP)	2.5	2.7	2.0	↓	↓	2.3	2.0
★ Inmate assault on staff (monthly rate per 1,000 ADP)	7.9	8.4	9.2	↓	↓	8.9	12.5
★ Serious injury to staff as a result of inmate assault on staff (monthly rate per 1,000 ADP)	0.20	0.24	0.42	↓	↓	0.46	0.46
★ Escapes	0	0	1	↓	↓	1	1
★ Non-natural deaths of inmates in custody	2	1	1	↓	↓	1	1
Stabbings and Slashings	131	165	96	*	*	37	29
★ Critical Indicator	"NA" Not Available		↑↓ Directional Target	* None			

Goal 1b Ensure that use of force is authorized and appropriate.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Incidents of use of force - total	4,756	4,673	5,175	*	*	1,631	2,137
Incidents of use of force - adolescent inmates	594	531	478	*	*	143	221
★ Department use of force incidents with serious injury (rate per 1,000 ADP)	0.68	0.75	1.52	↓	↓	1.66	1.53
Department use of force incidents with minor injury (rate per 1,000 ADP)	15.39	14.70	17.31	*	*	16.94	19.69
Department use of force incidents with no injury (rate per 1,000 ADP)	24.41	25.52	29.65	*	*	25.82	44.13
Incidents and allegations of use of force	5,269	5,070	5,589	*	*	1,786	2,288
★ Critical Indicator	"NA" Not Available		↑↓ Directional Target	* None			

Goal 1c Provide inmates with timely access to health services.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Inmates with a mental health diagnosis (% ADP)	42%	42%	43%	*	*	NA	NA
Inmates with a serious mental health diagnosis (% ADP)	11.0%	10.3%	14.3%	*	*	11.8%	17.0%
Inmate health clinic visits	78,499	79,844	76,856	*	*	25,782	27,536
★ - Average clinic waiting time (minutes)	28	22	21	↓	↓	21	20
★ Critical Indicator	"NA" Not Available		↑↓ Directional Target	* None			

Goal 1d Maximize bed capacity and address cell maintenance and repairs in a timely manner.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Jail-cells unavailable (short-term repair) (%)	2.3%	2.6%	3.7%	1.0%	1.0%	3.8%	3.6%
★ Population as percent of capacity (%)	80%	81%	77%	96%	96%	79%	74%
★ Critical Indicator	"NA" Not Available		↕ Directional Target	* None			

Goal 1e Ensure timely transport of inmates to courts throughout the City.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
★ On-trial inmates delivered to court on-time (%)	84.0%	98.4%	97.7%	95.0%	95.0%	98.2%	96.8%
★ Critical Indicator	"NA" Not Available		↕ Directional Target	* None			

SERVICE 2 Prepare inmates for return to their neighborhoods as civil and contributing members.

Goal 2a Prepare as many inmates as possible for successful release through participation in skills-building programs including educational opportunities, jobs training, behavioral interventions and mental health services.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
★ I-CAN Enrollments	4,278	7,569	7,685	*	*	2,335	2,922
I-CAN Workshops	6,505	12,002	12,799	*	*	4,902	8,273
★ Critical Indicator	"NA" Not Available		↕ Directional Target	* None			

Goal 2b Reduce idleness by increasing inmate participation in mandated and other programs, services and activities.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Average daily number of inmates in vocational skills training programs	226	419	482	*	*	416	303
Average daily attendance in school programs	256	203	169	*	*	207	91
★ Inmates participating in skills-building activities/discharge planning (%)	8.7%	14.0%	23.8%	10.0%	10.0%	NA	NA
★ Critical Indicator	"NA" Not Available		↕ Directional Target	* None			

SERVICE 3 Provide correction-related services and information to the public.

Goal 3a Provide timely notifications to crime victims.

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Victim Identification Notification Everyday (VINE) system registrations	15,440	17,288	29,690	*	*	5,837	12,269
VINE confirmed notifications	21,993	25,250	32,856	*	*	8,736	13,224
★ Critical Indicator	"NA" Not Available		↕ Directional Target	* None			

AGENCY-WIDE MANAGEMENT

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Collisions involving City vehicles	107	110	114	*	*	45	34
Workplace injuries reported	2,222	3,435	3,491	*	*	1,194	1,413
Accidents involving inmates	43	35	36	*	*	11	6
★ Critical Indicator "NA" Not Available ⇅ Directional Target * None							

AGENCY CUSTOMER SERVICE

Performance Indicators	Actual			Target		4-Month Actual	
	FY16	FY17	FY18	FY19	FY20	FY18	FY19
Customer Experience							
Letters responded to in 14 days (%)	99.3%	100.0%	100.0%	*	*	100.0%	100.0%
E-mails responded to in 14 days (%)	100.0%	100.0%	100.0%	*	*	100.0%	100.0%
★ Critical Indicator "NA" Not Available ⇅ Directional Target * None							

AGENCY RESOURCES

Resource Indicators	Actual			Sept. 2018 MMR Plan	Updated Plan	Plan	4-Month Actual	
	FY16	FY17	FY18	FY19	FY19 ¹	FY20 ¹	FY18	FY19
Expenditures (\$000,000) ²	\$1,307.6	\$1,368.6	\$1,400.2	\$1,403.7	\$1,380.3	\$1,407.5	\$479.7	\$463.0
Revenues (\$000,000)	\$22.9	\$22.7	\$21.3	\$20.5	\$21.0	\$15.5	\$7.1	\$6.2
Personnel (uniformed)	9,832	10,862	10,653	10,226	10,226	10,063	10,495	10,624
Personnel (civilian)	1,676	1,830	1,886	2,357	2,362	2,126	1,849	1,844
Overtime paid (\$000,000)	\$275.2	\$266.7	\$221.7	\$157.4	\$157.4	\$157.7	\$74.7	\$52.7
Capital commitments (\$000,000)	\$81.5	\$60.6	\$34.4	\$543.7	\$597.5	\$1,212.5	\$4.2	\$12.4
¹ February 2019 Financial Plan ² Expenditures include all funds "NA" - Not Available								

SPENDING AND BUDGET INFORMATION

Where possible, the relationship between an agency's goals and its expenditures and planned resources, by budgetary unit of appropriation (UA), is shown in the 'Applicable MMR Goals' column. Each relationship is not necessarily exhaustive or exclusive. Any one goal may be connected to multiple UAs, and any UA may be connected to multiple goals.

Unit of Appropriation	Expenditures FY18 ¹ (\$000,000)	February 2019 Financial Plan FY19 ² (\$000,000)	Applicable MMR Goals ³
Personal Services - Total	\$1,204.3	\$1,185.5	
001 - Administration	\$104.7	\$114.6	All
002 - Operations	\$1,099.6	\$1,070.9	All
Other Than Personal Services - Total	\$195.9	\$194.7	
003 - Operations	\$178.0	\$178.4	All
004 - Administration	\$17.9	\$16.3	All
Agency Total	\$1,400.2	\$1,380.3	

¹Comprehensive Annual Financial Report (CAFR) for the Fiscal Year ended June 30, 2018. ²Includes all funds.
³Refer to agency goals listed at front of chapter. "NA" Not Available * None

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

- The four-month Fiscal 2018 figure for ‘Assaults on Staff’ was revised from 325 to 326 to reflect updated figures.
- Figures for the indicators ‘Victim Identification Notification Everyday (VINE) system registrations’ and ‘VINE confirmed notifications’ were revised. During Fiscal 2018, a new data system to track VINE activity was implemented. After a subsequent review, data reporting issues were identified for Fiscal 2018 data. Full-year Fiscal 2018 figure for registrations were revised from 7,803 to 29,690 and notifications were revised from 11,866 to 32,856. Four-month Fiscal 2018 figures for VINE registrations and notifications were revised from 5,772 to 5,837 and from 8,661 to 8,736, respectively.

ADDITIONAL RESOURCES

- Select annual indicators:
<http://www1.nyc.gov/site/doc/about/doc-statistics.page>

For more information on the agency, please visit: www.nyc.gov/doc.