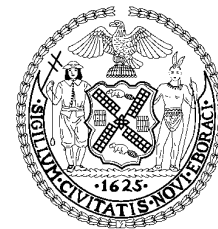
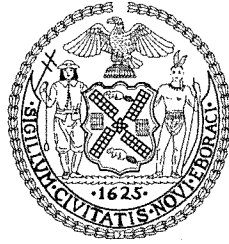


Financial Plan Statements
for
New York City
May 2013



The City of New York



This report contains the Financial Plan Statements for May 2013 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on June 27, 2013.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

THE CITY OF NEW YORK

BY

A handwritten signature in black ink, appearing to read 'John Grathwol', written over a horizontal line.

John Grathwol

Deputy Director for Budget Resources,
Accounting and Workforce
Office of Management and Budget

A handwritten signature in black ink, appearing to read 'Ari Hoffnung', written over a horizontal line.

Ari Hoffnung

Deputy Comptroller for Budget and Public Affairs
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2013 for OTPS purchase orders and contracts expected to be received by June 30, 2013 are treated as expenditures.

(d) Risk Management

The City generally assumes the risk of its own losses with respect to most types of risks, including, but not limited to, property damage (both claims against the City and damage to the City's own property), personal injury, and workers' compensation; any losses incurred are paid out of the City's budget. The City's budgets and financial plans include estimates of judgments and claims to be settled annually, but there are no cash reserves for estimated losses incurred. Settlements reached or judgments entered during FY 2013 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2013.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The reporting of actual capital commitments, as well as sources and uses of capital expenditures, are based upon the accounting period of the transaction.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1 & 1A

Revenue and Obligation Forecast

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: MAY
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
REVENUES:							
TAXES							
GENERAL PROPERTY TAX	\$ 84	\$ (10)	\$ 94	\$ 18,785	\$ 18,691	\$ 94	\$ 18,711
OTHER TAXES	1,431	1,198	233	23,024	22,791	233	26,982
SUBTOTAL: TAXES	\$ 1,515	\$ 1,188	\$ 327	\$ 41,809	\$ 41,482	\$ 327	\$ 45,693
MISCELLANEOUS REVENUES	564	560	4	5,302	5,298	4	6,372
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(192)	(164)	(28)	(1,032)	(1,004)	(28)	(1,745)
	-	-	-	-	-	-	(15)
SUBTOTAL: CITY FUNDS	\$ 1,887	\$ 1,584	\$ 303	\$ 46,079	\$ 45,776	\$ 303	\$ 50,305
OTHER CATEGORICAL GRANTS	19	37	(18)	474	492	(18)	956
INTER-FUND REVENUES	88	41	47	396	349	47	551
FEDERAL CATEGORICAL GRANTS	1,083	1,027	56	4,878	4,822	56	9,366
STATE CATEGORICAL GRANTS	1,206	1,022	184	8,462	8,278	184	11,346
TOTAL REVENUES	\$ 4,283	\$ 3,711	\$ 572	\$ 60,289	\$ 59,717	\$ 572	\$ 72,524
EXPENDITURES:							
PERSONAL SERVICE	\$ 2,818	\$ 2,801	\$ (17)	\$ 30,637	\$ 30,730	\$ 93	\$ 37,780
OTHER THAN PERSONAL SERVICE	1,471	1,531	60	25,554	25,722	168	30,106
DEBT SERVICE	122	157	35	2,345	2,353	8	6,343
GENERAL RESERVE	-	-	-	-	-	-	40
SUBTOTAL	\$ 4,411	\$ 4,489	\$ 78	\$ 58,536	\$ 58,805	\$ 269	\$ 74,269
LESS: INTRA-CITY EXPENSES	(192)	(164)	28	(1,032)	(1,004)	28	(1,745)
TOTAL EXPENDITURES	\$ 4,219	\$ 4,325	\$ 106	\$ 57,504	\$ 57,801	\$ 297	\$ 72,524
NET TOTAL	\$ 64	\$ (614)	\$ 678	\$ 2,785	\$ 1,916	\$ 869	\$ -

Note: For additional details on revenues, see Report No. 3. For additional details on expenditures, see Report No. 4 and the corresponding notes.

NOTES TO REPORT #1

The current month and year-to-date plan data in Report No. 1 reflect the Financial Plan submitted to the Financial Control Board on May 2, 2013. The fiscal year plan data in Report No. 1 reflect the Financial Plan submitted to the Financial Control Board on June 27, 2013.

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: MAY
FISCAL YEAR 2013

	ACTUAL											FORECAST		
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAX	\$ 8,582	\$ 198	\$ 790	\$ 684	\$ 78	\$ 4,124	\$ 2,747	\$ 86	\$ 972	\$ 440	\$ 84	\$ 28	\$ (102)	\$ 18,711
OTHER TAXES	1,057	1,110	3,164	1,487	1,292	2,889	3,100	1,308	2,972	3,214	1,431	3,562	396	26,982
SUBTOTAL: TAXES	\$ 9,639	\$ 1,308	\$ 3,954	\$ 2,171	\$ 1,370	\$ 7,013	\$ 5,847	\$ 1,394	\$ 3,944	\$ 3,654	\$ 1,515	\$ 3,590	\$ 294	\$ 45,693
MISCELLANEOUS REVENUES	624	398	327	398	573	320	689	497	609	303	564	596	474	6,372
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(68)	(130)	(88)	(192)	(245)	(468)	(1,745)
SUBTOTAL: CITY FUNDS	\$ 10,262	\$ 1,690	\$ 4,244	\$ 2,501	\$ 1,758	\$ 7,311	\$ 6,311	\$ 1,823	\$ 4,423	\$ 3,869	\$ 1,887	\$ 3,941	\$ 285	\$ 50,305
OTHER CATEGORICAL GRANTS	17	26	137	75	24	20	48	37	41	30	19	60	422	956
INTER-FUND REVENUES	-	-	52	32	28	26	20	43	30	77	88	48	107	551
FEDERAL CATEGORICAL GRANTS	30	33	94	638	268	319	444	626	629	714	1,083	761	3,727	9,366
STATE CATEGORICAL GRANTS	5	9	1,475	444	697	913	822	705	1,097	1,089	1,206	913	1,971	11,346
TOTAL REVENUES	\$ 10,314	\$ 1,758	\$ 6,002	\$ 3,690	\$ 2,775	\$ 8,589	\$ 7,645	\$ 3,234	\$ 6,220	\$ 5,779	\$ 4,283	\$ 5,723	\$ 6,512	\$ 72,524
EXPENDITURES:														
PERSONAL SERVICE	\$ 1,996	\$ 2,107	\$ 2,827	\$ 2,852	\$ 2,884	\$ 3,446	\$ 2,980	\$ 2,883	\$ 2,900	\$ 2,944	\$ 2,818	\$ 5,350	\$ 1,793	\$ 37,780
OTHER THAN PERSONAL SERVICE	8,241	3,262	1,643	1,621	1,092	1,435	2,102	1,301	1,572	1,814	1,471	1,364	3,188	30,106
DEBT SERVICE	120	356	161	326	125	249	115	385	161	225	122	3,998	-	6,343
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	40	40
SUBTOTAL	\$ 10,357	\$ 5,725	\$ 4,631	\$ 4,799	\$ 4,101	\$ 5,130	\$ 5,197	\$ 4,569	\$ 4,633	\$ 4,983	\$ 4,411	\$ 10,712	\$ 5,021	\$ 74,269
LESS: INTRA-CITY EXPENSES	(1)	(16)	(37)	(68)	(185)	(22)	(225)	(68)	(130)	(88)	(192)	(245)	(468)	(1,745)
TOTAL EXPENDITURES	\$ 10,356	\$ 5,709	\$ 4,594	\$ 4,731	\$ 3,916	\$ 5,108	\$ 4,972	\$ 4,501	\$ 4,503	\$ 4,895	\$ 4,219	\$ 10,467	\$ 4,553	\$ 72,524
NET TOTAL	\$ (42)	\$ (3,951)	\$ 1,408	\$ (1,041)	\$ (1,141)	\$ 3,481	\$ 2,673	\$ (1,267)	\$ 1,717	\$ 884	\$ 64	\$ (4,744)	\$ 1,959	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: MAY
FISCAL YEAR 2013**

DESCRIPTION	INITIAL PLAN 6/28/2012	1st QUARTER MOD CHANGES	PRELIMINARY BUDGET CHANGES	EXECUTIVE BUDGET CHANGES	ADOPTED BUDGET CHANGES	CURRENT PLAN 6/27/2013
REVENUES:						
TAXES						
GENERAL PROPERTY TAX	\$ 18,417	\$ 13	\$ 10	\$ 121	\$ 150	\$ 18,711
OTHER TAXES	25,227	182	222	1,136	215	26,982
SUBTOTAL: TAXES	<u>\$ 43,644</u>	<u>\$ 195</u>	<u>\$ 232</u>	<u>\$ 1,257</u>	<u>\$ 365</u>	<u>\$ 45,693</u>
MISCELLANEOUS REVENUES	6,949	(443)	80	(227)	13	6,372
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-
LESS: INTRA-CITY REVENUE DISALLOWANCES	(1,631) (15)	(73) -	(73) -	45 -	(13) -	(1,745) (15)
SUBTOTAL: CITY FUNDS	<u>\$ 48,947</u>	<u>\$ (321)</u>	<u>\$ 239</u>	<u>\$ 1,075</u>	<u>\$ 365</u>	<u>\$ 50,305</u>
OTHER CATEGORICAL GRANTS	924	54	3	15	(40)	956
INTER-FUND REVENUES	539	(1)	33	(11)	(9)	551
FEDERAL CATEGORICAL GRANTS	6,661	601	1,393	350	361	9,366
STATE CATEGORICAL GRANTS	11,430	136	(265)	43	2	11,346
TOTAL REVENUES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ 1,472</u></u>	<u><u>\$ 679</u></u>	<u><u>\$ 72,524</u></u>
EXPENDITURES:						
PERSONAL SERVICE	\$ 37,292	\$ 64	\$ 237	\$ 228	\$ (41)	\$ 37,780
OTHER THAN PERSONAL SERVICE	28,642	511	575	264	114	30,106
DEBT SERVICE	3,898	(33)	864	995	619	6,343
GENERAL RESERVE	300	-	(200)	(60)	-	40
SUBTOTAL	<u>\$ 70,132</u>	<u>\$ 542</u>	<u>\$ 1,476</u>	<u>\$ 1,427</u>	<u>\$ 692</u>	<u>\$ 74,269</u>
LESS: INTRA-CITY EXPENSES	(1,631)	(73)	(73)	45	(13)	(1,745)
TOTAL EXPENDITURES	<u><u>\$ 68,501</u></u>	<u><u>\$ 469</u></u>	<u><u>\$ 1,403</u></u>	<u><u>\$ 1,472</u></u>	<u><u>\$ 679</u></u>	<u><u>\$ 72,524</u></u>

Report No. 3

Revenue Activity by Major Area

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)

MONTH: MAY
FISCAL YEAR 2013

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
TAXES:							
GENERAL PROPERTY TAX	\$ 84	\$ (10)	\$ 94	\$ 18,785	\$ 18,691	\$ 94	\$ 18,711
PERSONAL INCOME TAX	496	388	108	8,422	8,314	108	9,140
GENERAL CORPORATION TAX	48	49	(1)	2,070	2,071	(1)	2,609
BANKING CORPORATION TAX	37	(2)	39	1,076	1,037	39	1,495
UNINCORPORATED BUSINESS TAX	37	11	26	1,468	1,442	26	1,782
GENERAL SALES TAX	474	441	33	5,470	5,437	33	6,115
REAL PROPERTY TRANSFER TAX	76	87	(11)	980	991	(11)	1,082
MORTGAGE RECORDING TAX	75	47	28	690	662	28	749
COMMERCIAL RENT TAX	3	5	(2)	495	497	(2)	660
UTILITY TAX	32	29	3	324	321	3	385
OTHER TAXES	71	42	29	752	723	29	1,076
TAX AUDIT REVENUES	82	101	(19)	870	889	(19)	1,060
STAR PROGRAM	-	-	-	407	407	-	829
SUBTOTAL TAXES	\$ 1,515	\$ 1,188	\$ 327	\$ 41,809	\$ 41,482	\$ 327	\$ 45,693
MISCELLANEOUS REVENUES:							
LICENSES/FRANCHISES/ETC.	\$ 63	\$ 56	\$ 7	\$ 549	\$ 542	\$ 7	\$ 577
INTEREST INCOME	3	2	1	14	13	1	16
CHARGES FOR SERVICES	53	49	4	719	715	4	857
WATER AND SEWER CHARGES	-	-	-	1,514	1,514	-	1,435
RENTAL INCOME	27	62	(35)	242	277	(35)	293
FINES AND FORFEITURES	72	66	6	749	743	6	804
MISCELLANEOUS	154	161	(7)	483	490	(7)	645
INTRA-CITY REVENUE	192	164	28	1,032	1,004	28	1,745
SUBTOTAL MISCELLANEOUS REVENUES	\$ 564	\$ 560	\$ 4	\$ 5,302	\$ 5,298	\$ 4	\$ 6,372
UNRESTRICTED INTGVT. AID							
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-
OTHER INTGVT. AID	-	-	-	-	-	-	-
SUBTOTAL UNRESTRICTED INTGVT. AID	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
LESS: INTRA-CITY REVENUES	(192)	(164)	(28)	(1,032)	(1,004)	(28)	(1,745)
DISALLOWANCES	-	-	-	-	-	-	(15)
SUBTOTAL CITY FUNDS	\$ 1,887	\$ 1,584	\$ 303	\$ 46,079	\$ 45,776	\$ 303	\$ 50,305

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)

MONTH: MAY
FISCAL YEAR 2013

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
OTHER CATEGORICAL GRANTS	\$ 19	\$ 37	\$ (18)	\$ 474	\$ 492	\$ (18)	\$ 956
INTER-FUND REVENUES	88	41	47	396	349	47	551
FEDERAL CATEGORICAL GRANTS							
COMMUNITY DEVELOPMENT	\$ 25	\$ 19	\$ 6	\$ 167	\$ 161	\$ 6	\$ 527
WELFARE	249	446	(197)	2,119	2,316	(197)	3,400
EDUCATION	669	260	409	1,088	679	409	1,922
OTHER	140	302	(162)	1,504	1,666	(162)	3,517
SUBTOTAL FEDERAL CATEGORICAL GRANTS	\$ 1,083	\$ 1,027	\$ 56	\$ 4,878	\$ 4,822	\$ 56	\$ 9,366
STATE CATEGORICAL GRANTS							
WELFARE	\$ 116	\$ 186	\$ (70)	\$ 918	\$ 988	\$ (70)	\$ 1,545
EDUCATION	875	672	203	6,729	6,526	203	8,042
HIGHER EDUCATION	-	-	-	154	154	-	235
HEALTH AND MENTAL HYGIENE	181	112	69	268	199	69	585
OTHER	34	52	(18)	393	411	(18)	939
SUBTOTAL STATE CATEGORICAL GRANTS	\$ 1,206	\$ 1,022	\$ 184	\$ 8,462	\$ 8,278	\$ 184	\$ 11,346
TOTAL REVENUES	\$ 4,283	\$ 3,711	\$ 572	\$ 60,289	\$ 59,717	\$ 572	\$ 72,524

NOTES TO REPORT #3

The current month and year-to-date plan data in Report No. 3 reflect the Financial Plan submitted to the Financial Control Board on May 2, 2013. The fiscal year plan data in Report No. 3 reflect the Financial Plan submitted to the Financial Control Board on June 27, 2013.

Report No. 4 & 4A

Obligation Analysis and Personnel Control Report

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: MAY
FISCAL YEAR 2013**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
UNIFORM FORCES							
POLICE DEPT.	\$ 341	\$ 362	\$ 21	\$ 4,310	\$ 4,320	\$ 10	\$ 4,931
FIRE DEPT.	132	126	(6)	1,626	1,688	62	1,924
DEPT. OF CORRECTION	78	76	(2)	955	962	7	1,092
SANITATION DEPT.	74	67	(7)	1,270	1,302	32	1,404
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	116	95	(21)	2,590	2,654	64	2,860
DEPT. OF SOCIAL SERVICES	614	713	99	8,608	8,402	(206)	9,525
DEPT. OF HOMELESS SERVICES	49	49	-	893	945	52	1,003
HEALTH & MENTAL HYGIENE	102	75	(27)	1,391	1,433	42	1,694
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	56	101	45	670	680	10	758
ENVIRONMENTAL PROTECTION	150	125	(25)	1,451	1,526	75	1,785
TRANSPORTATION DEPT.	56	59	3	806	837	31	918
PARKS & RECREATION DEPT.	34	37	3	369	397	28	451
DEPT. OF CITYWIDE ADMIN. SERVICES	2	14	12	1,121	990	(131)	1,184
ALL OTHER	183	208	25	2,939	3,184	245	3,837
MAJOR ORGANIZATIONS							
DEPT. OF EDUCATION	1,187	1,209	22	14,767	14,720	(47)	19,223
HIGHER EDUCATION	76	65	(11)	697	684	(13)	871
HEALTH & HOSPITALS CORP.	8	33	25	215	233	18	331
OTHER							
MISCELLANEOUS BUDGET:							
FRINGE BENEFITS	233	201	(32)	2,798	2,772	(26)	3,863
TRANSIT SUBSIDIES	74	3	(71)	626	628	2	804
JUDGMENTS & CLAIMS	35	27	(8)	369	369	-	610
OTHER	29	27	(2)	425	427	2	1,133
PENSION CONTRIBUTIONS	660	660	-	7,295	7,299	4	8,185
DEBT SERVICE	122	157	35	2,345	2,353	8	6,343
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)
SUBTOTAL	\$ 4,411	\$ 4,489	\$ 78	\$ 58,536	\$ 58,805	\$ 269	\$ 74,229
PLUS GENERAL RESERVE	-	-	-	-	-	-	40
LESS: INTRA-CITY EXPENSES	(192)	(164)	28	(1,032)	(1,004)	28	(1,745)
TOTAL EXPENDITURES	\$ 4,219	\$ 4,325	\$ 106	\$ 57,504	\$ 57,801	\$ 297	\$ 72,524

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: MAY
FISCAL YEAR 2013**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	PLAN ¹	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	PLAN
UNIFORM FORCES									
POLICE DEPT.	50,570	51,384	\$ 319	\$ 321	\$ 2	\$ 3,918	\$ 3,911	\$ (7)	\$ 4,478
FIRE DEPT.	15,524	15,365	124	121	(3)	1,428	1,439	11	1,671
DEPT. OF CORRECTION	10,104	10,656	70	71	1	846	847	1	971
SANITATION DEPT.	9,116	9,335	57	59	2	771	790	19	873
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	6,101	6,598	30	31	1	343	346	3	394
DEPT. OF SOCIAL SERVICES	13,874	14,476	56	57	1	646	666	20	741
DEPT. OF HOMELESS SERVICES	1,820	1,935	9	9	-	103	106	3	120
HEALTH & MENTAL HYGIENE	5,647	6,165	29	29	-	320	332	12	386
OTHER AGENCIES									
ENVIRONMENTAL PROTECTION	5,650	6,085	34	36	2	395	411	16	460
TRANSPORTATION DEPT.	4,705	5,065	30	31	1	337	339	2	387
PARKS & RECREATION DEPT.	6,657	7,087	23	26	3	263	269	6	313
CITYWIDE ADMIN. SERVICES	2,019	2,302	11	11	-	124	128	4	144
ALL OTHER	31,064	29,980	164	152	(12)	1,822	1,844	22	2,137
MAJOR ORGANIZATIONS									
DEPT. OF EDUCATION	132,774	131,238	969	986	17	9,228	9,231	3	12,551
OTHER									
MISCELLANEOUS BUDGET	-	-	233	201	(32)	2,798	2,772	(26)	3,969
PENSION CONTRIBUTIONS	-	-	660	660	-	7,295	7,299	4	8,185
TOTAL	295,625	297,671	\$ 2,818	\$ 2,801	\$ (17)	\$ 30,637	\$ 30,730	\$ 93	\$ 37,780

¹ Includes planned full-time headcount and estimates of planned FTEs.

NOTES TO REPORTS NO. 4 AND 4A

The current month and year-to-date plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on May 2, 2013. The fiscal year plan data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on June 27, 2013.

In some instances prior year charges are reflected in FY 2013 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$10 million year-to-date variance is primarily due to:

- \$(5) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$22 million in delayed encumbrances, including \$20 million for other services and charges and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$(7) million in personal services, including \$(35) million for overtime and \$(31) million for differentials, offset by \$27 million for holiday pay, \$19 million for full-time normal gross, \$8 million for fringe benefits and \$7 million for other salaried positions.

Fire Department: The \$62 million year-to-date variance is primarily due to:

- \$51 million in delayed encumbrances, including \$34 million for other services and charges, \$10 million for contractual services and \$5 million for property and equipment, that will be obligated later in the fiscal year.
- \$11 million in personal services, including \$25 million for overtime and \$3 million for fringe benefits, offset by \$(8) million for full-time normal gross, \$(4) million for differentials, \$(2) million for other salaried positions and \$(2) million for prior year charges.

Sanitation Department: The \$32 million year-to-date variance is primarily due to:

- \$13 million in delayed encumbrances, including \$10 million for other services and charges and \$3 million for supplies and materials, that will be obligated later in the fiscal year.
- \$19 million in personal services, including \$15 million for full-time normal gross and \$6 million for overtime, offset by \$(4) million for differentials.

Administration for Children's Services: The \$64 million year-to-date variance is primarily due to:

- \$61 million in delayed encumbrances, including \$26 million for contractual services, \$16 million for other services and charges, \$11 million for social services and \$5 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Department of Social Services: The \$(206) million year-to-date variance is primarily due to:

- \$(269) million in accelerated encumbrances, including \$(261) million for medical assistance and \$(7) million for public assistance, that was planned to be obligated later in the fiscal year.
- \$43 million in delayed encumbrances, including \$25 million for contractual services, \$8 million for social services and \$7 million for supplies and materials, that will be obligated later in the fiscal year.
- \$20 million in personal services, including \$37 million for full-time normal gross, offset by \$(17) million for differentials and \$(2) million for other salaried positions.

Department of Homeless Services: The \$52 million year-to-date variance is primarily due to:

- \$49 million in delayed encumbrances, including \$43 million for contractual services and \$4 million for other services and charges, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Department of Health and Mental Hygiene: The \$42 million year-to-date variance is primarily due to:

- \$30 million in delayed encumbrances, including \$17 million for other services and charges, \$5 million for supplies and materials, \$5 million for property and equipment and \$3 million for social services, that will be obligated later in the fiscal year.
- \$12 million in personal services, including \$16 million for full-time normal gross and \$8 million for other salaried positions, offset by \$(8) million for differentials, \$(3) million for holiday pay and \$(3) million for overtime.

Housing Preservation and Development: The \$10 million year-to-date variance is primarily due to:

- \$(53) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$55 million in delayed encumbrances, including \$27 million for contractual services, \$25 million for other services and charges and \$3 million for supplies and materials, that will be obligated later in the fiscal year.

- \$8 million in personal services, including \$12 million for full-time normal gross, offset by \$(3) million for differentials.

Environmental Protection: The \$75 million year-to-date variance is primarily due to:

- \$59 million in delayed encumbrances, including \$21 million for other services and charges, \$16 million for supplies and materials, \$14 million for contractual services, \$4 million for property and equipment and \$3 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$16 million in personal services, including \$28 million for full-time normal gross and \$3 million for fringe benefits, offset by \$(7) million for overtime and \$(5) million for differentials.

Transportation Department: The \$31 million year-to-date variance is primarily due to:

- \$(4) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$33 million in delayed encumbrances, including \$21 million for supplies and materials, \$6 million for property and equipment and \$6 million for other services and charges, that will be obligated later in the fiscal year.
- \$2 million in personal services.

Department of Parks and Recreation: The \$28 million year-to-date variance is primarily due to:

- \$22 million in delayed encumbrances, including \$11 million for contractual services, \$7 million for supplies and materials and \$4 million for other services and charges, that will be obligated later in the fiscal year.
- \$6 million in personal services, including \$9 million for full-time normal gross and \$4 million for fringe benefits, offset by \$(4) million for overtime and \$(2) million for other salaried positions.

Department of Citywide Administrative Services: The \$(131) million year-to-date variance is primarily due to:

- \$(138) million in accelerated encumbrances, primarily for other services and charges, that was planned to be obligated later in the fiscal year.
- \$3 million in delayed encumbrances, primarily for supplies and materials, that will be obligated later in the fiscal year.
- \$4 million in personal services.

Department of Education: The \$(47) million year-to-date variance is primarily due to:

- \$(176) million in accelerated encumbrances, including \$(101) million for contractual services, \$(55) million for supplies and materials and \$(20) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$126 million in delayed encumbrances, including \$110 million for other services and charges and \$15 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$3 million in personal services.

Higher Education: The \$(13) million year-to-date variance is primarily due to:

- \$23 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(36) million in personal services, including \$(16) million for full-time normal gross, \$(13) million for fringe benefits, \$(3) million for other salaried positions, \$(1) million for all other, \$(1) million for differentials and \$(1) million for overtime.

Health and Hospitals Corporation: The \$18 million year-to-date variance is primarily due to:

- \$18 million in delayed encumbrances, primarily for fixed and miscellaneous charges, that will be obligated later in the fiscal year.

Miscellaneous Budget: The \$(22) million year-to-date variance is primarily due to:

- \$(26) million in fringe benefits reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$2 million in transit subsidies reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$2 million in other reflecting delayed encumbrances, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MAY		FISCAL YEAR: 2013		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$118.4 (C) 2.0 (N)	\$1.0 (C) 0.0 (N)	\$278.4 (C) 2.0 (N)
HIGHWAY AND STREETS	(2.1) (C) 19.3 (N)	7.4 (C) 6.7 (N)	238.1 (C) 86.1 (N)	89.0 (C) 57.4 (N)	608.3 (C) 301.8 (N)
HIGHWAY BRIDGES	9.6 (C) 1.4 (N)	0.0 (C) 0.0 (N)	68.8 (C) 99.7 (N)	(1.7) (C) 0.0 (N)	114.4 (C) 242.1 (N)
WATERWAY BRIDGES	2.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	15.9 (C) (0.0) (N)	3.5 (C) (2.2) (N)	159.3 (C) 22.4 (N)
WATER SUPPLY	(0.6) (C) 0.0 (N)	0.0 (C) 0.0 (N)	249.0 (C) 0.0 (N)	27.7 (C) 0.0 (N)	332.4 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	37.2 (C) 0.0 (N)	17.9 (C) 1.9 (N)	218.0 (C) 0.5 (N)	109.3 (C) 2.1 (N)	523.1 (C) 33.1 (N)
SEWERS	5.7 (C) 0.0 (N)	4.6 (C) 0.0 (N)	263.3 (C) 4.1 (N)	168.4 (C) 10.8 (N)	374.3 (C) 11.8 (N)
WATER POLLUTION CONTROL	29.2 (C) (0.0) (N)	0.2 (C) 0.0 (N)	253.1 (C) (0.5) (N)	30.6 (C) 0.0 (N)	670.8 (C) 11.6 (N)
ECONOMIC DEVELOPMENT	9.2 (C) 3.5 (N)	0.0 (C) 0.0 (N)	256.2 (C) 9.1 (N)	99.9 (C) 5.5 (N)	1,040.2 (C) 195.9 (N)
EDUCATION	220.1 (C) 31.8 (N)	222.0 (C) 34.9 (N)	1,074.9 (C) 713.2 (N)	1,080.8 (C) 723.8 (N)	1,506.8 (C) 1,182.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: MAY		FISCAL YEAR: 2013		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	2.6 (C) 0.0 (N)	20.3 (C) 0.0 (N)	96.3 (C) 0.0 (N)	36.8 (C) 0.0 (N)	343.0 (C) 9.4 (N)
SANITATION	20.5 (C) (0.0) (N)	4.2 (C) 4.4 (N)	382.3 (C) 5.7 (N)	181.6 (C) 10.1 (N)	584.8 (C) 10.1 (N)
POLICE	10.4 (C) 0.0 (N)	3.0 (C) 2.0 (N)	72.1 (C) 0.0 (N)	68.4 (C) 3.5 (N)	205.8 (C) 9.5 (N)
FIRE	11.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	141.3 (C) 2.8 (N)	54.4 (C) 2.1 (N)	227.3 (C) 39.0 (N)
HOUSING	14.1 (C) 4.3 (N)	0.0 (C) 0.0 (N)	185.4 (C) 24.4 (N)	115.6 (C) 2.1 (N)	817.3 (C) 127.0 (N)
HOSPITALS	19.7 (C) 0.0 (N)	102.9 (C) 0.0 (N)	300.6 (C) 0.0 (N)	279.4 (C) 3.3 (N)	628.5 (C) 200.9 (N)
PUBLIC BUILDINGS	14.8 (C) 0.1 (N)	2.0 (C) 0.0 (N)	143.0 (C) 0.1 (N)	101.1 (C) 0.1 (N)	386.2 (C) 0.1 (N)
PARKS	11.7 (C) 0.7 (N)	1.7 (C) 0.0 (N)	493.6 (C) 23.1 (N)	117.4 (C) 6.1 (N)	1,102.2 (C) 437.7 (N)
ALL OTHER DEPARTMENTS	61.4 (C) (0.7) (N)	39.5 (C) 0.4 (N)	576.3 (C) 64.1 (N)	402.6 (C) 42.9 (N)	3,389.2 (C) 454.2 (N)
TOTAL	\$477.0 (C) \$60.3 (N)	\$425.7 (C) \$50.3 (N)	\$5,146.7 (C) \$1,034.3 (N)	\$2,965.8 (C) \$867.8 (N)	\$13,292.3 (C) \$3,290.7 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: May

Fiscal Year: 2013

City Funds:

Total Authorized Commitment Plan	\$13,292
Less: Reserve for Unattained Commitments	<u>(3,957)</u>
Commitment Plan	<u>\$9,335</u>

Non-City Funds:

Total Authorized Commitment Plan	\$3,291
Less: Reserve for Unattained Commitments	<u>0</u>
Commitment Plan	<u>\$3,291</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2014 Executive Capital Commitment Plan of \$13,292 million rather than the Financial Plan level of \$9,335 million. The additional \$3,957 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through May are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Waterway Bridges | - | Reconstruction of the Willis Avenue Bridge, totaling \$4.6 million, advanced from June 2013 to November and December 2012 thru March and May 2013. Reconstruction of the Williamsburg Bridge, totaling \$4.5 million, advanced from June 2013 to January and March 2013. Various slippages and advances account for the remaining variance. |
| Correction | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$5.3 million, advanced from June 2013 to October 2012 thru May 2013. Acquisition and construction of the supplementary housing program and support facilities, totaling \$33.6 million, advanced from June 2013 to December 2012, January 2013 and May 2013. Purchase of computer equipment, for the Department of Correction, totaling \$2.6 million, advanced from June 2013 to July 2012 thru May 2013. Riker's Island infrastructure, totaling \$17.9 million, advanced from June 2013 to October 2012 thru February 2013, April and May 2013. Various slippages and advances account for the remaining variance. |
| Education | - | School construction projects, totaling, \$6.0 million, slipped from March and May 2013 to June 2013. |
| Economic
Development | - | Brooklyn Navy Yard, totaling \$18.5 million, advanced from June 2013 to October 2012 thru April 2013. Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$135.2 million, advanced from June 2013 to July 2012 thru May 2013. Modernization and reconstruction |

of piers, City-wide, totaling \$3.3 million, advanced from June 2013 to March thru May 2013. Various slippages and advances account for the remaining variance.

- Fire
 - Vehicle acquisition, City-wide, totaling \$61.7 million, advanced from June 2013 to September 2012 thru May 2013. Facility improvements, City-wide, totaling \$18.6 million, advanced from June 2013 to July 2012 thru May 2013. New training center for the New York City Fire Department, \$3.3 million, advanced from June 2013 to April and May 2013. Various slippages and advances account for the remaining variance.

- Housing
 - Housing Authority projects, totaling \$24.9 million, advanced from June 2013 to July 2012 thru April 2013. Affordable neighborhood cooperative program, totaling \$4.0 million, slipped from March 2013 to May 2013. Four Twenty One Trust Fund, totaling \$33.7 million, advanced from June 2013 to November 2012 thru January 2013 and May 2013. Associated Costs, totaling \$2.8 million, slipped from January 2013 to June 2013. Spring Creek, totaling \$4.9 million, advanced from June 2013 to November 2012. Deregistration of contracts for the Third Party Transfer Program, totaling \$4.0 million, occurred in March 2013. HUD multi-family program, City-wide, totaling \$6.7 million, advanced from June 2013 to December 2012. Low income rental, totaling \$8.8 million, advanced from June 2013 to November and December 2012 and January 2013. Mixed income rental, totaling \$6.2 million, slipped from February 2013 to June 2013. Various slippages and advances account for the remaining variance.

- Highway Bridges
 - Improvements to Highway Bridges, totaling \$8.9 million, advanced from June 2013 to January thru May 2013. Reconstruction of Gerritsen Inlet Bridge, totaling \$6.5 million, advanced from June 2013 to February and March and May 2013. Design costs for bridge facilities, City-wide, totaling \$3.0 million, slipped from August and November 2012 to May 2013, while other projects, totaling \$6.8 million, advanced from June 2013 to August thru December 2012. Bridge Painting, City-wide, totaling \$5.9 million, advanced from June 2013 to July 2012 and January 2013. Reconstruction of Belt Shore Parkway, totaling \$15.7 million, advanced from June 2013 to July 2012 thru April 2013. Union Port Road Bridge, over Westchester Creek, Bronx, totaling \$6.9 million, advanced from June 2013 to July 2012. Reconstruction of ramps at St. George Ferry Terminal, totaling \$11.2 million, advanced from June 2013 to November 2012 thru December 2012, April and May 2013. Various slippages and advances account for the remaining variance.

- Highways - Resurfacing of streets, City-wide, totaling \$4.7 million, advanced from June 2013 to October 2012 thru April 2013. Reconstruction of highways, City-wide, totaling \$9.9 million, advanced from June 2013 to August 2012 thru April 2013. Land Acquisition for streets and sewers, totaling \$4.6 million, slipped from August and December 2012 thru April 2013 to June 2013. In house repaving and resurfacing of streets, totaling \$132.0 million, advanced from June 2013 to April 2013. Reconstruction of Woodrow Road, totaling \$2.4 million, slipped from May 2013 to June 2013. Reconstruction of Duffy Square Area, totaling \$16.3 million, advanced from June 2013 to February and March 2013. Various slippages and advances account for the remaining variance.
- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$59.2 million, advanced from June 2013 to July thru December 2012 and January thru May 2013. Ocean Breeze reconstruction, Staten Island, totaling \$32.7 million, advanced from June 2013 to October 2012. Ferry Point Park, totaling \$6.8 million, advanced from June 2013 to January thru April 2013. Street and park tree planting, City-wide, totaling \$35.7 million, advanced from June 2013 to July 2012 thru May 2013. Soundview park development, totaling \$3.0 million, advanced from June 2013 to March 2013. Purchase of equipment for the Parks Department, totaling \$3.6 million, advanced from June 2013 to July and November 2012 and February, April and May 2013. Park improvements, City-wide, totaling \$214.8 million, advanced from June 2013 to July 2012 thru May 2013. Improvements to Central Park, totaling \$7.9 million, advanced from June 2013 to August 2012 and February and May 2013. Various slippages and advances account for the remaining variance.
- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$4.6 million, advanced from June 2013 to May 2013. Improvements to Long Term Leased Facilities, City-wide, totaling \$35.8 million, advanced from June 2013 to April and May 2013. Various slippages and advances account for the remaining variance.
- Sanitation - Collection trucks and other equipment, totaling \$3.0 million, slipped from April and May 2013 to June 2013. Purchase of electronic data for the Department of Sanitation, totaling \$2.7 million, slipped from May 2013 to June 2013. Construction of Marine Transfer Stations, totaling \$203.0 million, advanced from June 2013 thru January 2013 and March thru May 2013. Construction of Sanitation Garage, District 1/2/5,

Manhattan, totaling \$3.7 million, advanced from June 2013 to November 2012 thru May 2013. Various slippages and advances account for the remaining variance.

- Sewers
 - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$54.6 million, advanced from June 2013 to July 2012 thru May 2013. Construction and reconstruction of storm sewers, City-wide, totaling \$21.0 million, advanced from June 2013 to July 2012 thru May 2013. Acquisition of land, pursuant to storm water management program, totaling \$15.7 million, advanced from June 2013 to July thru September and December 2012 thru April 2013. Guniting of Sewers, Citywide, totaling \$6.3 million, advanced from June 2013 to February 2013. Various slippages and advances account for the remaining variance.

- Water Supply
 - Additional Water Supply Emergency, totaling \$213.7 million, advanced from June 2013 to July thru December 2012 and February thru April 2013. City Tunnel Number Three, Stage One, totaling \$3.2 million, advanced from June 2013 to September, November and December 2012 thru April 2013. City Tunnel Number Three, Stage Two, totaling \$4.4 million, advanced from June 2013 to November and December 2012 thru April 2013. Various slippages and advances account for the remaining variance.

- Water Mains
 - Water main extensions, City-wide, totaling \$11.0 million, advanced from June 2013 to October and November 2012 and March thru May 2013. Trunk main extensions and improvements, totaling \$10.6 million, advanced from June 2013 to May 2013. Croton Filtration Plant, totaling \$24.8 million, advanced from June 2013 to December 2012 thru April 2013. Improvements to structures on watersheds outside the City, totaling \$60.7 million, advanced from June 2013 to December 2012 thru March and May 2013. Various slippages and advances account for the remaining variance.

- Water Pollution Control
 - Hunts Point Water Pollution Control Plant registrations, totaling \$3.5 million, advanced from June 2013 to July 2012 thru October 2012 and January 2013. Reconstruction of Water Pollution Control Projects, totaling \$54.5 million, advanced from June 2013 to July thru December 2012 thru May 2013. Combined Sewer overflow abatement, totaling \$42.6 million, advanced from June 2013 to December 2012 thru May 2013. Twenty Sixth Ward Water Pollution Control Plant, totaling \$17.6 million, advanced from June 2013 to August thru October 2012 and April 2013. Upgrade of Bowery Bay Water Pollution Control Plant, totaling \$10.3 million, advanced from June 2013 to July 2012 thru March and

May 2013. Reconstruction of Jamaica Water Pollution Control Plant, totaling \$16.5 million, advanced from June 2013 to July 2012 thru January and March thru May 2013. Reconstruction of Tallmans Island Water Pollution Control Plant, totaling \$8.4 million, advanced from June 2013 to July 2012 thru May 2013. Reconstruction of Pumping Station City-wide, totaling \$29.9 million, advanced from June 2013 to March thru May 2013. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$17.4 million, advanced from June 2013 to November and December 2012 thru February and May 2013. Upgrade of Newtown Creek Water Pollution Control Plant, totaling \$10.7 million, advanced from June 2013 to February thru May 2013. Bionutrient removal facilities, City-wide, totaling \$12.8 million, advanced from June 2013 to August 2012 thru May 2013. Various slippages and advances account for the remaining variance.

Others

- Equipment for Administration for Children’s Services, totaling \$20.0 million, slipped from May 2013 to June 2013.
- Purchase of EDP equipment for DoITT, totaling \$11.1 million, advanced from June 2013 to April and May 2013. Emergency Communication system, totaling \$24.4 million, advanced from June 2013 to April and May 2013.
- Purchase of DEP equipment for the Department of Environmental Protection, totaling \$1.2 million, advanced from June 2013 to April and May 2013 and planned deregistration of contracts, totaling \$2.1 million, slipped from October 2012 and March 2013 to June 2013. Purchase of electronic data processing equipment, totaling \$5.4 million, advanced from June 2013 to October 2012 thru January 2013. Deregistration of contracts, of leased space, totaling 2.3 million, occurred from October 2012 thru May 2013. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$16.5 million, advanced from June 2013 to January thru May 2013. Deregistration of contracts for remedial action at closed landfill, totaling \$7.3 million, occurred in April 2013. Installation of water measuring devices, totaling \$17.9 million, advanced from June 2013 to December 2012 thru April 2013.

- Purchase of equipment for the use by the Department of Homeless Services, totaling \$5.4 million, advanced from June 2013 to July, August, December 2012 and February thru May 2013. Congregate facilities for homeless, totaling \$4.3 million, advanced from June 2013 to July 2012 thru May 2013.
- City University improvements, City-wide, totaling \$17.7 million, advanced from June 2013 to July 2012 thru May 2013. Construction and purchase of computers, totaling \$2.7 million, advanced from June 2013 to August 2012 thru May 2013. Reconstruction of Senior Colleges, Queens, totaling \$3.2 million, advanced from June 2013 to March and April 2013. Construction of City University Building, City-wide, totaling \$8.0 million, advanced from June 2013 to July 2012 thru March and May 2013.
- Computer equipment for the Department of Human Resources, totaling \$4.7 million, advanced from June 2013 to August thru December 2012 and May 2013.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens Branch libraries, totaling \$18.4 million, slipped from July 2012 thru May 2013 to June 2013.
- Purchase of electronic data processing equipment, totaling \$15.2 million, advanced from June 2013 to March thru May 2013.
- Improvements to Museum of the City of New York, totaling \$9.2 million, advanced from June 2013 to May 2013. Reconstruction and improvements to cultural institutions, City-wide, totaling \$7.9 million, advanced from June 2013 to March and May 2013. Cooper-Hewitt National Design Museum, totaling \$ 3.2 million, advanced from June 2013 to May 2013.
- Various improvements for Surface Transit, totaling \$6.2 million, advanced from June 2013 to September 2012.
- Reconstruction to line under operation, City-wide, totaling \$35.0 million, advanced from June 2013 to April 2013. Planned deregistration of contracts for Rapid and Surface Transit improvements, totaling \$24.4 million, slipped from December 2012 to May 2013. Various transit capital projects, totaling \$59.1 million, advanced from June 2013 to August 2012.

- Installation of traffic signals, City-wide, totaling \$9.6 million, advanced from June 2013 to February thru May 2013. Parking Meters, totaling \$5.3 million, advanced from June 2013 to October 2012, March and May 2013. Off street parking facilities, totaling \$5.0 million, advanced from June 2013 to April 2013.

3. Variances in year-to-date commitments of non-City funds through May occurred in the Department of Education, the Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- | | | |
|-----------------|---|--|
| Education | - | Deregistration of funds for the Five Year educational facilities Capital Plan, totaling \$7.6 million, occurred in March 2013. School facilities related to Hurricane Sandy, totaling \$3.1 million, slipped from May 2013 to June 2013. |
| Highway Bridges | - | Reconstruction of Gerritsen Inlet Bridge, totaling \$98.4 million, advanced from June 2013 to February 2013. |
| Housing | - | Assisted living and senior housing, totaling \$6.9 million, advanced from June 2013 to December 2012. Low income rental, totaling \$4.5 million, advanced from June 2013 to December 2012 and January 2013. Supportive housing, totaling \$11.2 million, advanced from June 2013 to October 2012 and May 2013. Various slippages and advances account for the remaining variance. |
| Highways | - | Repaving and resurfacing of streets in-house, totaling \$18.7 million, advanced from June 2013 to May 2013. Reconstruction of Wyckoff Avenue, totaling \$2.3 million, slipped from May 2013 to June 2013. Hazard elimination program, City-wide, totaling \$7.2 million, slipped from September 2012 thru May 2013 to May 2013. Highway repaving, Bronx, totaling \$7.0 million, advanced from June 2013 to October and November 2012. Reconstruction of Duffy Times Square Area, Manhattan, totaling \$14.2 million, advanced from June 2013 to April 2013. |
| Parks | - | Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$7.4 million, advanced from June 2013 to July 2012 thru May 2013. Park improvements, totaling \$8.2 million, advanced from June 2013 to |

August 2012 thru February 2013 and May 2013. Various slippages and advances account for the remaining variance.

Others

- Deregistration of contract for remedial action at closed landfill, totaling \$5.1 million, occurred in April 2013.
- Computer equipment for the Department of Human Resources, totaling \$6.2 million, advanced from June 2013 to August thru October and December 2012, March and May 2013.
- Reconstruction of Ferry Vessels, totaling \$5.3 million, advanced from June 2013 to August 2012 thru March 2013.
- Sewer work done on private portion for Highway projects, totaling \$6.7 million, slipped from April and May 2013 to June 2013.
- Improvements for surface transit service, totaling \$6.2 million, advanced from June 2013 to September 2012.
- Deregistration of contracts for, installation of traffic signals, totaling \$3.3 million, occurred in May 2013. Street lighting, City-wide, totaling \$3.2 million, slipped from April 2013 to June 2013. Bus rapid transit, totaling \$13.4 million, advanced from June 2013 to November and December 2012 and April and May 2013.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MAY		FISCAL YEAR: 2013	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$104.9 (C) 2.0 (N)	\$101.3 (C) 5.9 (N)
HIGHWAY AND STREETS	40.8 (C) 23.0 (N)		189.8 (C) 56.8 (N)	305.0 (C) 148.2 (N)
HIGHWAY BRIDGES	10.6 (C) 12.2 (N)		125.2 (C) 120.3 (N)	174.5 (C) 197.8 (N)
WATERWAY BRIDGES	4.1 (C) 5.8 (N)		84.3 (C) 143.0 (N)	146.2 (C) 160.2 (N)
WATER SUPPLY	8.1 (C) 0.0 (N)		85.7 (C) 0.0 (N)	207.1 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	42.0 (C) 0.3 (N)		680.2 (C) 1.5 (N)	681.4 (C) 13.1 (N)
SEWERS	27.3 (C) 0.4 (N)		226.4 (C) 5.0 (N)	233.4 (C) 4.5 (N)
WATER POLLUTION CONTROL	41.3 (C) 1.1 (N)		658.6 (C) 42.0 (N)	659.9 (C) 76.3 (N)
ECONOMIC DEVELOPMENT	14.5 (C) 2.3 (N)		200.0 (C) 22.4 (N)	220.7 (C) 75.8 (N)
EDUCATION	294.4 (C) 0.0 (N)		1,228.5 (C) 851.5 (N)	1,073.1 (C) 1,079.8 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: MAY	FISCAL YEAR: 2013	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
CORRECTION	6.2 (C)	92.2 (C)	124.0 (C)
	0.0 (N)	0.0 (N)	3.2 (N)
SANITATION	28.9 (C)	303.3 (C)	355.2 (C)
	0.0 (N)	1.4 (N)	3.8 (N)
POLICE	23.3 (C)	303.1 (C)	343.7 (C)
	0.0 (N)	0.0 (N)	4.3 (N)
FIRE	15.5 (C)	100.6 (C)	96.2 (C)
	0.5 (N)	1.2 (N)	12.7 (N)
HOUSING	19.7 (C)	307.9 (C)	327.7 (C)
	6.1 (N)	54.9 (N)	84.4 (N)
HOSPITALS	40.4 (C)	244.5 (C)	187.9 (C)
	0.0 (N)	1.6 (N)	64.0 (N)
PUBLIC BUILDINGS	16.4 (C)	137.4 (C)	161.6 (C)
	0.0 (N)	0.0 (N)	0.5 (N)
PARKS	114.4 (C)	441.0 (C)	334.6 (C)
	2.7 (N)	18.9 (N)	158.3 (N)
ALL OTHER DEPARTMENTS	94.9 (C)	968.6 (C)	1,194.8 (C)
	5.8 (N)	117.1 (N)	271.7 (N)
TOTAL	\$842.8 (C)	\$6,481.9 (C)	\$6,928.3 (C)
	\$60.2 (N)	\$1,439.6 (N)	\$2,364.5 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

Report No. 6

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: MAY
FISCAL YEAR 2013

	JUL	AUG	SEP	OCT	NOV	ACTUAL DEC	JAN	FEB	MAR	APR	MAY	FORECAST JUN	12 Months	ADJUST- MENTS	TOTAL
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$ 3,091	\$ 198	\$ 790	\$ 784	\$ 78	\$ 4,124	\$ 2,647	\$ 86	\$ 972	\$ 440	\$ 84	\$ 5,433	\$ 18,727	\$ (16)	\$ 18,711
OTHER TAXES	495	1,120	3,013	1,622	1,239	2,854	2,986	1,513	2,773	3,377	1,406	3,585	25,983	999	26,982
FEDERAL CATEGORICAL GRANTS	112	161	30	151	239	290	652	407	693	417	779	1,091	5,022	4,344	9,366
STATE CATEGORICAL GRANTS	289	663	1,149	120	586	619	63	184	3,425	(112)	1,889	355	9,230	2,116	11,346
OTHER CATEGORICAL GRANTS	61	163	213	(113)	(12)	53	38	20	45	38	47	47	600	356	956
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
MISCELLANEOUS REVENUES	623	382	290	330	388	298	464	429	479	215	372	351	4,621	6	4,627
INTER-FUND REVENUES	-	-	52	32	28	26	20	43	30	77	88	48	444	107	551
SUBTOTAL	\$ 4,671	\$ 2,687	\$ 5,537	\$ 2,926	\$ 2,546	\$ 8,264	\$ 6,870	\$ 2,682	\$ 8,417	\$ 4,452	\$ 4,665	\$ 10,910	\$ 64,627	\$ 7,897	\$ 72,524
PRIOR															
OTHER TAXES	781	217	-	-	-	-	-	-	-	-	-	-	998	-	998
FEDERAL CATEGORICAL GRANTS	39	460	465	160	363	362	181	107	62	69	68	21	2,357	799	3,156
STATE CATEGORICAL GRANTS	142	357	96	487	270	145	30	83	88	46	3	200	1,947	1,484	3,431
OTHER CATEGORICAL GRANTS	4	32	21	177	14	2	15	1	2	-	1	-	269	53	322
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	5	5
MISC. REVENUE/IFA	2	-	128	-	-	-	-	-	-	-	-	-	130	(130)	-
SUBTOTAL	\$ 968	\$ 1,066	\$ 710	\$ 824	\$ 647	\$ 509	\$ 226	\$ 191	\$ 152	\$ 115	\$ 72	\$ 221	\$ 5,701	\$ 2,211	\$ 7,912
CAPITAL															
CAPITAL TRANSFERS	766	174	1,085	469	559	337	815	455	586	445	580	468	6,739	189	6,928
FEDERAL AND STATE	243	37	401	6	143	31	185	75	109	49	69	82	1,430	935	2,365
OTHER															
SENIOR COLLEGES	313	-	-	-	416	-	-	263	681	-	-	679	2,352	(457)	1,895
HOLDING ACCT. & OTHER ADJ.	3	-	15	(4)	3	(3)	(5)	5	74	(35)	39	(89)	3	(3)	-
OTHER SOURCES	-	-	16	315	34	10	-	118	481	-	-	-	974	584	1,558
TOTAL INFLOWS	\$ 6,964	\$ 3,964	\$ 7,764	\$ 4,536	\$ 4,348	\$ 9,148	\$ 8,091	\$ 3,789	\$ 10,500	\$ 5,026	\$ 5,425	\$ 12,271	\$ 81,826	\$ 11,356	\$ 93,182
CASH OUTFLOWS															
CURRENT															
PERSONAL SERVICE	\$ 1,395	\$ 2,685	\$ 2,754	\$ 2,875	\$ 2,657	\$ 3,128	\$ 2,935	\$ 2,873	\$ 3,532	\$ 2,922	\$ 2,846	\$ 4,107	\$ 34,709	\$ 3,071	\$ 37,780
OTHER THAN PERSONAL SERVICE	1,624	1,659	2,131	2,048	1,375	2,258	1,942	1,916	1,727	2,278	2,348	1,976	23,282	5,119	28,401
DEBT SERVICE	522	240	195	375	254	116	651	267	396	358	147	2,822	6,343	-	6,343
SUBTOTAL	\$ 3,541	\$ 4,584	\$ 5,080	\$ 5,298	\$ 4,286	\$ 5,502	\$ 5,528	\$ 5,056	\$ 5,655	\$ 5,558	\$ 5,341	\$ 8,905	\$ 64,334	\$ 8,190	\$ 72,524
PRIOR															
PERSONAL SERVICE	1,570	786	17	11	32	30	5	3	8	31	5	15	2,513	1,292	3,805
OTHER THAN PERSONAL SERVICE	595	490	-	2	398	93	54	275	158	101	161	52	2,379	2,898	5,277
OTHER TAXES	59	135	-	-	-	-	-	-	-	-	-	-	194	-	194
DISALLOWANCE RESERVE	10	-	-	-	-	-	10	-	-	-	-	-	20	977	997
SUBTOTAL	\$ 2,234	\$ 1,411	\$ 17	\$ 13	\$ 430	\$ 123	\$ 69	\$ 278	\$ 166	\$ 132	\$ 166	\$ 67	\$ 5,106	\$ 5,167	\$ 10,273
CAPITAL															
CITY DISBURSEMENTS	582	435	664	511	523	500	729	553	601	540	843	308	6,789	139	6,928
FEDERAL AND STATE	231	51	235	72	206	57	216	41	213	55	60	256	1,693	672	2,365
OTHER															
SENIOR COLLEGES	119	116	166	165	165	220	110	165	110	176	273	110	1,895	-	1,895
OTHER USES	439	45	-	-	-	-	332	-	-	202	245	295	1,558	-	1,558
TOTAL OUTFLOWS	\$ 7,146	\$ 6,642	\$ 6,162	\$ 6,059	\$ 5,610	\$ 6,402	\$ 6,984	\$ 6,093	\$ 6,745	\$ 6,663	\$ 6,928	\$ 9,941	\$ 81,375	\$ 14,168	\$ 95,543
NET CASH FLOW	\$ (182)	\$ (2,678)	\$ 1,602	\$ (1,523)	\$ (1,262)	\$ 2,746	\$ 1,107	\$ (2,304)	\$ 3,755	\$ (1,637)	\$ (1,503)	\$ 2,330	\$ 451	\$ (2,812)	\$ (2,361)
BEGINNING BALANCE	\$ 7,493	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,999	\$ 8,754	\$ 7,117	\$ 5,614	\$ 7,493		
ENDING BALANCE	\$ 7,311	\$ 4,633	\$ 6,235	\$ 4,712	\$ 3,450	\$ 6,196	\$ 7,303	\$ 4,999	\$ 8,754	\$ 7,117	\$ 5,614	\$ 7,944	\$ 7,944		

NOTES TO REPORT #6

1. **Beginning Balance**

The July 2012 beginning balance is consistent with the FY 2012 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2013 ending balance includes deferred revenue from FY 2014 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. **Cash Flow Realignment**

Taxes reflect revenue net of refunds which may result in a negative cash flow in certain months. For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System.