

Financial Plan Statements  
for  
New York City  
March 2012



The City of New York



**This report contains Financial Plan Statements for March 2012 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.**

**The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on February 2, 2012.**

**The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.**

**The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.**

**THE CITY OF NEW YORK**

**BY**

Handwritten signature of John Grathwol in black ink, positioned above a horizontal line.

**John Grathwol**

**Deputy Director for Budget Resources,  
Accounting and Workforce  
Office of Management and Budget**

Handwritten signature of Simcha Felder in black ink, positioned above a horizontal line.

**Simcha Felder**

**Deputy Comptroller of Accountancy and Budget  
Office of the Comptroller**

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## NOTES TO FINANCIAL PLAN STATEMENTS

### I. Summary of Significant Financial Policies, Procedures and Development

#### A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2011 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

#### B. Basis of Accounting

##### 1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

## 2. Expenditures

### (a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

### (b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

### (c) Encumbrances

Encumbrances entered during FY 2012 for OTPS purchase orders and contracts expected to be received by June 30, 2012 are treated as expenditures.

### (d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2012 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2012.

### (e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

### (f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

### (g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

### 3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

#### C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: [www.nyc.gov/omb](http://www.nyc.gov/omb)

# **Report No. 1**

## Financial Plan Summary

**NEW YORK CITY  
FINANCIAL PLAN SUMMARY  
REPORT NO. 1  
(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>REVENUES:</b>									
TAXES									
GENERAL PROPERTY TAXES	\$ 980	\$ 942	\$ 38	\$ 17,383	\$ 17,316	\$ 67	\$ 17,812	\$ 17,812	\$ -
OTHER TAXES	2,609	2,678	(69)	17,017	17,024	(7)	24,350	24,350	-
MISCELLANEOUS REVENUES	549	500	49	4,077	3,977	100	6,289	6,289	-
UNRESTRICTED INTGOVT. AID	-	-	-	25	25	-	25	25	-
LESS: INTRA-CITY REVENUES	(98)	(89)	(9)	(726)	(702)	(24)	(1,791)	(1,791)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
<b>SUBTOTAL</b>	<b>4,040</b>	<b>4,031</b>	<b>9</b>	<b>37,776</b>	<b>37,640</b>	<b>136</b>	<b>46,670</b>	<b>46,670</b>	<b>-</b>
OTHER CATEGORICAL GRANTS	28	76	(48)	449	591	(142)	1,046	1,046	-
CAPITAL INTER-FUND TRANSFERS	35	45	(10)	319	323	(4)	551	551	-
FEDERAL GRANTS	695	754	(59)	3,434	3,385	49	7,734	7,734	-
STATE GRANTS	1,131	1,353	(222)	6,256	6,655	(399)	11,368	11,368	-
<b>TOTAL REVENUES</b>	<b>\$ 5,929</b>	<b>\$ 6,259</b>	<b>\$ (330)</b>	<b>\$ 48,234</b>	<b>\$ 48,594</b>	<b>\$ (360)</b>	<b>\$ 67,369</b>	<b>\$ 67,369</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>									
PS	\$ 2,795	\$ 2,848	\$ 53	\$ 24,092	\$ 24,286	\$ 194	\$ 37,455	\$ 37,455	\$ -
OTPS	1,579	1,608	29	21,712	21,931	219	28,275	28,275	-
DEBT SERVICE	(3)	12	15	464	393	(71)	3,330	3,330	-
GENERAL RESERVE	-	-	-	-	-	-	100	100	-
<b>SUBTOTAL</b>	<b>4,371</b>	<b>4,468</b>	<b>97</b>	<b>46,268</b>	<b>46,610</b>	<b>342</b>	<b>69,160</b>	<b>69,160</b>	<b>-</b>
LESS: INTRA-CITY EXPENSES	(98)	(89)	9	(726)	(702)	24	(1,791)	(1,791)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,273</b>	<b>\$ 4,379</b>	<b>\$ 106</b>	<b>\$ 45,542</b>	<b>\$ 45,908</b>	<b>\$ 366</b>	<b>\$ 67,369</b>	<b>\$ 67,369</b>	<b>\$ -</b>
<b>NET TOTAL</b>	<b>\$ 1,656</b>	<b>\$ 1,880</b>	<b>\$ (224)</b>	<b>\$ 2,692</b>	<b>\$ 2,686</b>	<b>\$ 6</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

Note: For further details on revenue, see Report No. 3 on page 7. For further details on expenditures, see Report No. 4 on page 9 and the corresponding notes on page 11.



## **Report No. 1A**

Month-by-Month Revenue and Obligation Forecast

**NEW YORK CITY**  
**MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST**  
**REPORT NO. 1A**  
**(MILLIONS OF DOLLARS)**

**MONTH: MARCH**  
**FISCAL YEAR 2012**

	ACTUAL									FORECAST				
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
<b>REVENUES:</b>														
TAXES														
GENERAL PROPERTY TAXES	\$ 8,040	\$ 127	\$ 1,024	\$ 532	\$ 162	\$ 4,391	\$ 2,021	\$ 106	\$ 980	\$ 408	\$ 23	\$ 45	\$ (47)	\$ 17,812
OTHER TAXES	1,000	1,095	3,002	1,438	1,145	2,701	2,684	1,343	2,609	2,242	1,109	3,606	376	24,350
MISCELLANEOUS REVENUES	545	439	349	322	502	375	539	457	549	365	551	794	502	6,289
UNRESTRICTED INTGOVT. AID	-	-	6	7	-	-	12	-	-	-	-	-	-	25
LESS: INTRA-CITY REVENUES DISALLOWANCES	(3)	(19)	(16)	(17)	(167)	(76)	(176)	(154)	(98)	(74)	(126)	(363)	(502)	(1,791)
	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
<b>SUBTOTAL</b>	<b>9,582</b>	<b>1,642</b>	<b>4,365</b>	<b>2,282</b>	<b>1,642</b>	<b>7,391</b>	<b>5,080</b>	<b>1,752</b>	<b>4,040</b>	<b>2,941</b>	<b>1,557</b>	<b>4,082</b>	<b>314</b>	<b>46,670</b>
OTHER CATEGORICAL GRANTS	6	27	177	61	42	71	47	(10)	28	60	50	487	-	1,046
CAPITAL INTER-FUND TRANSFERS	-	-	48	38	45	71	33	49	35	77	46	65	44	551
FEDERAL GRANTS	57	22	296	348	447	222	416	931	695	715	795	899	1,891	7,734
STATE GRANTS	12	1	1,479	155	982	747	854	895	1,131	1,200	1,050	1,091	1,771	11,368
<b>TOTAL REVENUES:</b>	<b>\$ 9,657</b>	<b>\$ 1,692</b>	<b>\$ 6,365</b>	<b>\$ 2,884</b>	<b>\$ 3,158</b>	<b>\$ 8,502</b>	<b>\$ 6,430</b>	<b>\$ 3,617</b>	<b>\$ 5,929</b>	<b>\$ 4,993</b>	<b>\$ 3,498</b>	<b>\$ 6,624</b>	<b>\$ 4,020</b>	<b>\$ 67,369</b>
<b>EXPENDITURES:</b>														
PS	\$ 2,023	\$ 1,986	\$ 2,826	\$ 2,726	\$ 2,747	\$ 3,361	\$ 2,892	\$ 2,736	\$ 2,795	\$ 2,815	\$ 2,822	\$ 5,825	\$ 1,901	\$ 37,455
OTPS	8,310	2,644	2,267	1,474	845	1,695	1,607	1,291	1,579	1,720	1,417	1,989	1,437	28,275
DEBT SERVICE	95	71	(4)	5	7	(26)	2	317	(3)	108	51	2,707	-	3,330
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	100	100
<b>SUBTOTAL</b>	<b>10,428</b>	<b>4,701</b>	<b>5,089</b>	<b>4,205</b>	<b>3,599</b>	<b>5,030</b>	<b>4,501</b>	<b>4,344</b>	<b>4,371</b>	<b>4,643</b>	<b>4,290</b>	<b>10,521</b>	<b>3,438</b>	<b>69,160</b>
LESS: INTRA-CITY EXPENSES	(3)	(19)	(16)	(17)	(167)	(76)	(176)	(154)	(98)	(74)	(126)	(363)	(502)	(1,791)
<b>TOTAL EXPENDITURES</b>	<b>\$ 10,425</b>	<b>\$ 4,682</b>	<b>\$ 5,073</b>	<b>\$ 4,188</b>	<b>\$ 3,432</b>	<b>\$ 4,954</b>	<b>\$ 4,325</b>	<b>\$ 4,190</b>	<b>\$ 4,273</b>	<b>\$ 4,569</b>	<b>\$ 4,164</b>	<b>\$ 10,158</b>	<b>\$ 2,936</b>	<b>\$ 67,369</b>
<b>NET TOTAL</b>	<b>\$ (768)</b>	<b>\$ (2,990)</b>	<b>\$ 1,292</b>	<b>\$ (1,304)</b>	<b>\$ (274)</b>	<b>\$ 3,548</b>	<b>\$ 2,105</b>	<b>\$ (573)</b>	<b>\$ 1,656</b>	<b>\$ 424</b>	<b>\$ (666)</b>	<b>\$ (3,534)</b>	<b>\$ 1,084</b>	<b>\$ -</b>

## **Report No. 2**

Analysis of Change in Fiscal Year Plan

**NEW YORK CITY  
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST  
REPORT NO. 2  
(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2012**

<b>DESCRIPTION</b>	<b>INITIAL PLAN 6/29/2011</b>	<b>CHANGES FROM INITIAL PLAN</b>	<b>CHANGES FROM PREVIOUS FORECAST</b>
<b>REVENUES:</b>			
TAXES			
GENERAL PROPERTY TAXES	\$ 17,625	\$ 187	\$ -
OTHER TAXES	24,412	(62)	-
MISCELLANEOUS REVENUES	5,955	334	-
UNRESTRICTED INTERGOVERNMENTAL AID	37	(12)	-
LESS:INTRA-CITY REVENUES	(1,549)	(242)	-
DISALLOWANCES	(15)	-	-
<b>SUBTOTAL</b>	<b>46,465</b>	<b>205</b>	<b>-</b>
OTHER CATEGORICAL GRANTS	1,193	(147)	-
CAPITAL INTERFUND TRANSFERS	549	2	-
FEDERAL GRANTS	6,674	1,060	-
STATE GRANTS	11,030	338	-
<b>TOTAL REVENUES</b>	<b>\$ 65,911</b>	<b>\$ 1,458</b>	<b>\$ -</b>
<b>EXPENDITURES:</b>			
PERSONAL SERVICE	\$ 37,239	\$ 216	\$ -
OTHER THAN PERSONAL SERVICE	27,682	593	-
DEBT SERVICE	2,239	1,091	-
GENERAL RESERVE	300	(200)	-
<b>SUBTOTAL</b>	<b>67,460</b>	<b>1,700</b>	<b>-</b>
LESS:INTRA-CITY EXPENDITURES	(1,549)	(242)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 65,911</b>	<b>\$ 1,458</b>	<b>\$ -</b>

## **Report No. 3**

Revenue Activity by Major Area

**NEW YORK CITY  
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)  
REPORT NO. 3  
(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>TAXES:</b>									
GENERAL PROPERTY TAXES	\$ 980	\$ 942	\$ 38	\$ 17,383	\$ 17,316	\$ 67	\$ 17,812	\$ 17,812	\$ -
PERSONAL INCOME TAX	461	508	(47)	5,750	5,806	(56)	7,979	7,979	-
GENERAL CORPORATION TAX	706	689	17	1,899	1,845	54	2,502	2,502	-
BANKING CORPORATION TAX	340	394	(54)	904	914	(10)	1,336	1,336	-
UNINCORPORATED BUSINESS TAX	47	41	6	910	903	7	1,722	1,722	-
GENERAL SALES TAX	545	577	(32)	4,292	4,334	(42)	5,867	5,867	-
REAL PROPERTY TRANSFER TAX	75	65	10	665	652	13	862	862	-
MORTGAGE RECORDING TAX	51	42	9	385	385	-	513	513	-
COMMERCIAL RENT TAX	144	147	(3)	461	465	(4)	622	622	-
UTILITY TAX	35	39	(4)	255	260	(5)	401	401	-
OTHER TAXES	121	121	-	634	642	(8)	1,056	1,056	-
TAX AUDIT REVENUES *	84	55	29	472	428	44	700	700	-
STAR PROGRAM	-	-	-	390	390	-	790	790	-
<b>TOTAL TAXES</b>	<b>\$ 3,589</b>	<b>\$ 3,620</b>	<b>\$ (31)</b>	<b>\$ 34,400</b>	<b>\$ 34,340</b>	<b>\$ 60</b>	<b>\$ 42,162</b>	<b>\$ 42,162</b>	<b>\$ -</b>
<b>MISCELLANEOUS REVENUES:</b>									
LICENSES/FRANCHISES/ETC.	40	25	15	434	414	20	547	547	-
INTEREST INCOME	1	2	(1)	11	12	(1)	17	17	-
CHARGES FOR SERVICES	127	112	15	599	573	26	829	829	-
WATER AND SEWER CHARGES	160	67	93	1,187	1,087	100	1,435	1,435	-
RENTAL INCOME	41	41	-	200	196	4	280	280	-
FINES AND FORFEITURES	86	70	16	639	616	23	790	790	-
MISCELLANEOUS	(4)	94	(98)	281	377	(96)	600	600	-
INTRA-CITY REVENUE	98	89	9	726	702	24	1,791	1,791	-
<b>TOTAL MISCELLANEOUS</b>	<b>\$ 549</b>	<b>\$ 500</b>	<b>\$ 49</b>	<b>\$ 4,077</b>	<b>\$ 3,977</b>	<b>\$ 100</b>	<b>\$ 6,289</b>	<b>\$ 6,289</b>	<b>\$ -</b>

\* The financial plan as submitted on February 2, 2012 reflects \$700 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2012 PLAN
GENERAL SALES TAX	\$ 1	\$ 7	\$ 23
PERSONAL INCOME TAX	1	21	40
GENERAL CORPORATION TAX	38	244	378
COMMERCIAL RENT TAX	5	29	32
BANKING CORPORATION TAX	4	90	135
UTILITY TAX	25	36	13
UNINCORPORATED BUSINESS TAX	4	34	68
REAL PROPERTY TRANSFER TAX	4	4	4
OTHER TAXES	2	7	7
<b>TOTAL</b>	<b>\$ 84</b>	<b>\$ 472</b>	<b>\$ 700</b>

**NEW YORK CITY**  
**REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)**  
**REPORT NO. 3 (CONT.)**  
**(MILLIONS OF DOLLARS)**

**MONTH: MARCH**  
**FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNRESTRICTED INTGOVT. AID</b>									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	-	-	-	25	25	-	25	25	-
<b>TOTAL UNRESTRICTED INTG.</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 25</b>	<b>\$ 25</b>	<b>\$ -</b>	<b>\$ 25</b>	<b>\$ 25</b>	<b>\$ -</b>
OTHER CATEGORICAL GRANTS	28	76	(48)	449	591	(142)	1,046	1,046	-
CAPITAL INTER-FUND TRANSFERS	35	45	(10)	319	323	(4)	551	551	-
LESS: INTRA-CITY REVENUES	(98)	(89)	(9)	(726)	(702)	(24)	(1,791)	(1,791)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
<b>FEDERAL GRANTS</b>									
COMMUNITY DEVELOPMENT	20	20	-	148	153	(5)	251	251	-
WELFARE	342	333	9	1,707	1,581	126	3,322	3,322	-
EDUCATION	138	284	(146)	826	817	9	2,034	2,034	-
OTHER	195	117	78	753	834	(81)	2,127	2,127	-
<b>TOTAL FEDERAL GRANTS</b>	<b>\$ 695</b>	<b>\$ 754</b>	<b>\$ (59)</b>	<b>\$ 3,434</b>	<b>\$ 3,385</b>	<b>\$ 49</b>	<b>\$ 7,734</b>	<b>\$ 7,734</b>	<b>\$ -</b>
<b>STATE GRANTS</b>									
WELFARE	200	168	32	756	747	9	1,599	1,599	-
EDUCATION	800	1,093	(293)	5,063	5,435	(372)	8,116	8,116	-
HIGHER EDUCATION	41	39	2	136	130	6	213	213	-
HEALTH AND MENTAL HYGIENE	40	34	6	136	213	(77)	571	571	-
OTHER	50	19	31	165	130	35	869	869	-
<b>TOTAL STATE GRANTS</b>	<b>\$ 1,131</b>	<b>\$ 1,353</b>	<b>\$ (222)</b>	<b>\$ 6,256</b>	<b>\$ 6,655</b>	<b>\$ (399)</b>	<b>\$ 11,368</b>	<b>\$ 11,368</b>	<b>\$ -</b>
<b>TOTAL REVENUES</b>	<b>\$ 5,929</b>	<b>\$ 6,259</b>	<b>\$ (330)</b>	<b>\$ 48,234</b>	<b>\$ 48,594</b>	<b>\$ (360)</b>	<b>\$ 67,369</b>	<b>\$ 67,369</b>	<b>\$ -</b>

## **Report No. 4**

Obligation Analysis



**NEW YORK CITY  
OBLIGATION ANALYSIS  
REPORT NO. 4  
(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2012**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2012		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
<b>UNIFORM FORCES</b>									
POLICE DEPT.	\$ 352	\$ 364	\$ 12	\$ 3,598	\$ 3,609	\$ 11	\$ 4,940	\$ 4,940	\$ -
FIRE DEPT.	125	124	(1)	1,283	1,317	34	1,808	1,808	-
DEPT. OF CORRECTION	74	78	4	787	805	18	1,086	1,086	-
SANITATION DEPT.	68	83	15	1,043	1,083	40	1,334	1,334	-
<b>HEALTH &amp; WELFARE</b>									
ADMIN. FOR CHILD SERVICES	129	181	52	2,445	2,455	10	2,915	2,915	-
DEPT. OF SOCIAL SERVICES	818	842	24	6,996	6,782	(214)	9,426	9,426	-
DEPT. OF HOMELESS SERVICES	41	19	(22)	778	839	61	877	877	-
HEALTH & MENTAL HYGIENE	62	80	18	1,331	1,252	(79)	1,650	1,650	-
<b>OTHER AGENCIES</b>									
HOUSING PRESERVATION & DEV.	67	18	(49)	606	626	20	783	783	-
ENVIRONMENTAL PROTECTION	63	77	14	793	836	43	1,065	1,065	-
TRANSPORTATION DEPT.	41	49	8	660	651	(9)	817	817	-
PARKS & RECREATION DEPT.	22	22	-	262	271	9	363	363	-
DEPT. OF CITYWIDE ADMIN. SERVICES	15	13	(2)	1,018	1,124	106	1,179	1,179	-
ALL OTHER	168	180	12	2,381	2,612	231	3,290	3,290	-
<b>COVERED ORGANIZATIONS</b>									
DEPT. OF EDUCATION	1,267	1,256	(11)	12,309	12,315	6	19,451	19,451	-
HIGHER EDUCATION	132	54	(78)	537	498	(39)	811	811	-
HEALTH & HOSPITALS CORP.	34	22	(12)	138	118	(20)	201	201	-
<b>OTHER</b>									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	241	257	16	2,161	2,265	104	3,923	3,923	-
TRANSIT SUBSIDIES	-	30	30	510	531	21	745	745	-
JUDGMENTS & CLAIMS	36	62	26	239	250	11	655	655	-
OTHER	6	32	26	373	421	48	912	912	-
PENSION CONTRIBUTIONS	613	613	-	5,556	5,557	1	7,999	7,999	-
DEBT SERVICE	(3)	12	15	464	393	(71)	3,330	3,330	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(500)	(500)	-
<b>SUB-TOTAL</b>	<b>\$ 4,371</b>	<b>\$ 4,468</b>	<b>\$ 97</b>	<b>\$ 46,268</b>	<b>\$ 46,610</b>	<b>\$ 342</b>	<b>\$ 69,060</b>	<b>\$ 69,060</b>	<b>\$ -</b>
PLUS GENERAL RESERVE	-	-	-	-	-	-	100	100	-
LESS INTRA-CITY EXPENSES	(98)	(89)	9	(726)	(702)	24	(1,791)	(1,791)	-
<b>TOTAL EXPENDITURES</b>	<b>\$ 4,273</b>	<b>\$ 4,379</b>	<b>\$ 106</b>	<b>\$ 45,542</b>	<b>\$ 45,908</b>	<b>\$ 366</b>	<b>\$ 67,369</b>	<b>\$ 67,369</b>	<b>\$ -</b>

## **Report No. 4A**

Personnel Control Report

**NEW YORK CITY  
PERSONNEL CONTROL REPORT  
REPORT NO. 4A  
(MILLIONS OF DOLLARS)**

**MONTH: MARCH  
FISCAL YEAR 2012**

	<b>FT &amp; FTE POSITIONS</b>		<b>PERSONAL SERVICE COSTS</b>						<b>FT &amp; FTE POSITIONS</b>		<b>PERSONAL SERVICE COSTS</b>					
	<b>CURRENT MONTH</b>		<b>CURRENT MONTH</b>			<b>YEAR-TO-DATE</b>			<b>FISCAL YEAR 2012 PROJECTIONS</b>		<b>FISCAL YEAR 2012 PROJECTIONS</b>			<b>FISCAL YEAR 2012 PROJECTIONS</b>		
	<b>ACTUAL</b>	<b>PLAN *</b>	<b>ACTUAL</b>	<b>PLAN</b>	<b>BETTER/ (WORSE)</b>	<b>ACTUAL</b>	<b>PLAN</b>	<b>BETTER/ (WORSE)</b>	<b>FORECAST</b>	<b>PLAN</b>	<b>BETTER/ (WORSE)</b>	<b>FORECAST</b>	<b>PLAN</b>	<b>BETTER/ (WORSE)</b>		
<b>UNIFORM FORCES</b>																
POLICE DEPT.	50,769	51,312	\$ 330	\$ 325	\$ (5)	\$ 3,248	\$ 3,221	\$ (27)	50,274	50,274	-	\$ 4,425	\$ 4,425	\$ -		
FIRE DEPT.	15,507	15,855	118	115	(3)	1,139	1,139	-	15,635	15,635	-	1,589	1,589	-		
DEPT. OF CORRECTION	9,829	10,603	71	69	(2)	687	698	11	10,498	10,498	-	955	955	-		
SANITATION DEPT.	9,024	9,369	67	75	8	589	608	19	9,381	9,381	-	814	814	-		
<b>HEALTH &amp; WELFARE</b>																
ADMIN. FOR CHILD SERVICES	6,205	6,790	29	32	3	284	301	17	6,695	6,695	-	412	412	-		
DEPT. OF SOCIAL SERVICES	13,850	14,685	56	57	1	530	553	23	14,685	14,685	-	754	754	-		
DEPT. OF HOMELESS SERVICES	1,821	2,017	9	9	-	83	88	5	2,017	2,017	-	120	120	-		
HEALTH & MENTAL HYGIENE	5,785	6,509	29	31	2	274	293	19	6,545	6,545	-	401	401	-		
<b>OTHER AGENCIES</b>																
ENVIRONMENTAL PROTECTION	5,715	6,079	36	37	1	332	340	8	6,091	6,091	-	462	462	-		
TRANSPORTATION DEPT.	4,484	4,669	27	27	-	276	262	(14)	4,932	4,932	-	365	365	-		
PARKS & RECREATION DEPT.	4,390	4,347	17	16	(1)	200	185	(15)	5,475	5,475	-	259	259	-		
CITYWIDE ADMIN. SERVICES	2,075	2,455	11	11	-	102	105	3	2,314	2,314	-	144	144	-		
ALL OTHER	30,372	30,303	169	158	(11)	1,453	1,464	11	30,890	30,890	-	2,073	2,073	-		
<b>COVERED ORGANIZATIONS</b>																
DEPT. OF EDUCATION	131,750	131,282	972	1,012	40	7,178	7,195	17	131,282	131,282	-	12,678	12,678	-		
<b>OTHER</b>																
MISCELLANEOUS BUDGET	-	-	241	261	20	2,161	2,277	116	-	-	-	4,005	4,005	-		
PENSION CONTRIBUTIONS	-	-	613	613	-	5,556	5,557	1	-	-	-	7,999	7,999	-		
<b>TOTAL</b>	<b>291,576</b>	<b>296,275</b>	<b>\$ 2,795</b>	<b>\$ 2,848</b>	<b>\$ 53</b>	<b>\$ 24,092</b>	<b>\$ 24,286</b>	<b>\$ 194</b>	<b>296,714</b>	<b>296,714</b>	<b>-</b>	<b>\$ 37,455</b>	<b>\$ 37,455</b>	<b>\$ -</b>		

\* Includes planned full-time headcount and estimates of planned FTEs.

## **NOTES TO REPORTS NO. 4 AND 4A**

The current month, year-to-date and fiscal year data in Reports No. 4 and 4A reflect the Financial Plan submitted to the Financial Control Board on February 2, 2012.

There are 291,576 filled positions as of March of which 267,366 are full-time positions and 24,210 are full-time equivalent positions. For the fiscal year (June 30, 2012) 270,690 of the 296,714 positions are full-time and 26,024 are full-time equivalent positions.

In some instances prior year charges are reflected in FY 2012 year-to-date expenses. These will be journaled back to prior years at a later date.

**Police Department:** The \$11 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$39 million in delayed encumbrances, including \$29 million for other services and charges and \$9 million for property and equipment, that will be obligated later in the fiscal year.
- \$(27) million in personal services, including \$(34) million for overtime, \$(23) million for differentials and \$(6) million for prior year charges, offset by \$27 million for full-time normal gross, \$3 million for fringe benefits and \$3 million for other salaried positions.

**Fire Department:** The \$34 million year-to-date variance is primarily due to:

- \$(5) million in accelerated encumbrances, including \$(3) million for supplies and materials and \$(2) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$39 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.

**Department of Correction:** The \$18 million year-to-date variance is primarily due to:

- \$(3) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$10 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.

- \$11 million in personal services, including \$31 million for full-time normal gross and \$6 million for differentials, offset by \$(29) million in overtime.

**Sanitation Department:** The \$40 million year-to-date variance is primarily due to:

- \$21 million in delayed encumbrances, including \$12 million for other services and charges and \$7 million for supplies and materials, that will be obligated later in the fiscal year.
- \$19 million in personal services, including \$14 million for overtime and \$6 million for full-time normal gross.

**Administration for Children's Services:** The \$10 million year-to-date variance is primarily due to:

- \$(48) million in accelerated encumbrances, including \$(26) million for contractual services and \$(22) million for other services and charges, that was planned to be obligated later in the fiscal year.
- \$41 million in delayed encumbrances, including \$25 million for fixed and miscellaneous charges, \$13 million for social services and \$3 million for supplies and materials, that will be obligated later in the fiscal year.
- \$17 million in personal services, including \$24 million for full-time normal gross, offset by \$(3) million for overtime and \$(3) million for differentials.

**Department of Social Services:** The \$(214) million year-to-date variance is primarily due to:

- \$(297) million in accelerated encumbrances, including \$(249) million for medical assistance, \$(24) million for other services and charges, \$(19) million for contractual services and \$(5) million for social services, that was planned to be obligated later in the fiscal year.
- \$60 million in delayed encumbrances, primarily for public assistance, that will be obligated later in the fiscal year.
- \$23 million in personal services, including \$35 million for full-time normal gross and \$3 million for overtime, offset by \$(13) million for differentials and \$(2) million for other salaried positions.

**Department of Homeless Services:** The \$61 million year-to-date variance is primarily due to:

- \$56 million in delayed encumbrances, including \$52 million for contractual services and \$3 million for other services and charges, that will be obligated later in the fiscal year.
- \$5 million in personal services, primarily for full-time normal gross.

**Department of Health and Mental Hygiene:** The \$(79) million year-to-date variance is primarily due to:

- \$(116) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$18 million in delayed encumbrances, including \$11 million for social services and \$5 million for supplies and materials, that will be obligated later in the fiscal year.
- \$19 million in personal services, including \$17 million for full-time normal gross and \$14 million for other salaried positions, offset by \$(6) million for differentials, \$(3) million for holiday pay and \$(2) million for prior year charges.

**Housing Preservation and Development:** The \$20 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$21 million in delayed encumbrances, including \$15 million for contractual services, \$3 million for fixed and miscellaneous charges and \$3 million for other services and charges, that will be obligated later in the fiscal year.

**Department of Environmental Protection:** The \$43 million year-to-date variance is primarily due to:

- \$(6) million in accelerated encumbrances, including \$(4) million for supplies and materials and \$(2) million for contractual services, that was planned to be obligated later in the fiscal year.
- \$41 million in delayed encumbrances, including \$37 million for other services and charges and \$2 million for property and equipment, that will be obligated later in the fiscal year.
- \$8 million in personal services, including \$20 million for full-time normal gross, offset by \$(5) million for overtime, \$(4) million for differentials and \$(2) million for holiday pay.

**Department of Citywide Administrative Services:** The \$106 million year-to-date variance is primarily due to:

- \$103 million in delayed encumbrances, including \$84 million for other services and charges, \$17 million for contractual services and \$2 million for supplies and materials, that will be obligated later in the fiscal year.
- \$3 million in personal services.

**Higher Education:** The \$(39) million year-to-date variance is primarily due to:

- \$(19) million in accelerated encumbrances, including \$(13) million for fixed and miscellaneous charges, \$(3) million for property and equipment and \$(3) million for contractual services, that was planned to be obligated later in the fiscal year.

- \$1 million in delayed encumbrances, primarily for other services and charges, that will be obligated later in the fiscal year.
- \$(21) million in personal services, including \$(11) million for fringe benefits, \$(6) million for full-time normal gross and \$(6) million for other salaried positions, offset by \$4 million for all other adjustments.

**Health and Hospitals Corporation:** The \$(20) million year-to-date variance is primarily due to:

- \$(20) million in accelerated encumbrances, primarily for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.

**Miscellaneous Budget:** The \$184 million year-to-date variance is primarily due to:

- \$104 million in fringe benefits reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$21 million in transit subsidies reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$11 million in judgments and claims reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$48 million in other, that will be obligated later in the fiscal year.

**Debt Service:** The \$(71) million year-to-date variance is primarily due to:

- \$(74) million in accelerated encumbrances, including \$(52) million for redemption of general obligation bonds, \$(14) million for blended component units and \$(8) million for general interest on bonds, that was planned to be obligated later in the fiscal year.
- \$3 million in delayed encumbrances, primarily for costs associated with financing, that will be obligated later in the fiscal year.

## **Report No. 5**

### Capital Commitments



**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2012		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
<b>TRANSIT</b>	\$0.0 (C) 0.0 (N)	\$0.0 (C) 0.0 (N)	\$4.8 (C) 30.2 (N)	\$0.0 (C) 0.0 (N)	\$171.0 (C) 32.2 (N)
<b>HIGHWAY AND STREETS</b>	15.0 (C) 1.0 (N)	6.3 (C) 2.0 (N)	213.2 (C) 12.2 (N)	207.5 (C) 20.0 (N)	473.2 (C) 192.7 (N)
<b>HIGHWAY BRIDGES</b>	4.7 (C) 0.9 (N)	2.2 (C) 0.0 (N)	34.1 (C) 47.3 (N)	32.4 (C) 1.1 (N)	292.2 (C) 278.7 (N)
<b>WATERWAY BRIDGES</b>	0.0 (C) 0.0 (N)	0.0 (C) 0.0 (N)	(48.1) (C) (1.5) (N)	19.1 (C) 0.0 (N)	(37.7) (C) 94.4 (N)
<b>WATER SUPPLY</b>	0.2 (C) 0.0 (N)	0.0 (C) 0.0 (N)	55.8 (C) 0.0 (N)	0.8 (C) 0.0 (N)	141.1 (C) 0.0 (N)
<b>WATER MAINS, SOURCES &amp; TREATMENT</b>	198.6 (C) 11.1 (N)	179.0 (C) 14.3 (N)	484.0 (C) 11.1 (N)	277.9 (C) 14.3 (N)	929.7 (C) 151.7 (N)
<b>SEWERS</b>	67.7 (C) 0.0 (N)	44.7 (C) 0.0 (N)	235.3 (C) 2.5 (N)	309.6 (C) 2.7 (N)	543.8 (C) 2.7 (N)
<b>WATER POLLUTION CONTROL</b>	46.4 (C) 0.0 (N)	0.2 (C) 0.0 (N)	480.1 (C) (0.1) (N)	107.1 (C) 0.0 (N)	891.0 (C) 10.7 (N)
<b>ECONOMIC DEVELOPMENT</b>	18.2 (C) (0.1) (N)	0.0 (C) 0.0 (N)	160.6 (C) 5.4 (N)	100.6 (C) 3.1 (N)	846.9 (C) 148.8 (N)
<b>EDUCATION</b>	22.0 (C) 10.7 (N)	131.0 (C) 70.0 (N)	643.1 (C) 665.7 (N)	863.1 (C) 845.0 (N)	1,407.9 (C) 1,223.2 (N)

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2012		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
<b>CORRECTION</b>	4.9 (C) 0.0 (N)	9.2 (C) 0.0 (N)	59.6 (C) 0.0 (N)	145.3 (C) 0.0 (N)	290.6 (C) 0.0 (N)
<b>SANITATION</b>	(35.9) (C) 0.0 (N)	11.9 (C) 0.0 (N)	198.1 (C) (0.1) (N)	379.6 (C) 2.2 (N)	652.7 (C) 6.8 (N)
<b>POLICE</b>	0.6 (C) 0.0 (N)	12.6 (C) 0.0 (N)	34.0 (C) 0.0 (N)	65.9 (C) 0.0 (N)	197.3 (C) 0.0 (N)
<b>FIRE</b>	1.2 (C) 0.2 (N)	0.0 (C) 0.0 (N)	64.0 (C) 0.2 (N)	15.0 (C) 0.0 (N)	213.6 (C) 8.7 (N)
<b>HOUSING</b>	9.3 (C) 6.0 (N)	0.0 (C) 0.0 (N)	84.9 (C) 38.4 (N)	37.8 (C) 10.1 (N)	595.5 (C) 140.0 (N)
<b>HOSPITALS</b>	71.8 (C) 0.0 (N)	16.2 (C) 0.0 (N)	173.6 (C) 2.5 (N)	130.3 (C) 0.5 (N)	553.6 (C) 0.5 (N)
<b>PUBLIC BUILDINGS</b>	(2.2) (C) 0.0 (N)	4.9 (C) 0.0 (N)	108.7 (C) 0.0 (N)	113.2 (C) 0.0 (N)	479.1 (C) 0.4 (N)
<b>PARKS</b>	11.2 (C) (0.5) (N)	5.4 (C) 1.7 (N)	129.3 (C) 8.4 (N)	62.5 (C) 24.6 (N)	858.0 (C) 196.1 (N)
<b>ALL OTHER DEPARTMENTS</b>	67.4 (C) (2.2) (N)	11.7 (C) 0.3 (N)	411.3 (C) 38.3 (N)	283.1 (C) 18.7 (N)	2,934.5 (C) 328.8 (N)
<b>TOTAL</b>	<b>\$501.1 (C) \$27.1 (N)</b>	<b>\$435.3 (C) \$88.3 (N)</b>	<b>\$3,526.3 (C) \$860.6 (N)</b>	<b>\$3,150.9 (C) \$942.5 (N)</b>	<b>\$12,434.0 (C) \$2,816.6 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY  
CAPITAL COMMITMENTS  
REPORT NO. 5  
(MILLIONS IN DOLLARS)**

**Month: March**

**Fiscal Year: 2012**

**City Funds:**

Total Authorized Commitment Plan	\$12,434
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,441)</u> <u>\$8,993</u>

**Non-City Funds:**

Total Authorized Commitment Plan	\$2,817
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u> <u>\$2,817</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2012 February Capital Commitment Plan of \$12,434 million rather than the Financial Plan level of \$8,993 million. The additional \$3,441 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

## NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through March are primarily due to timing differences.

- |                  |   |   |
|------------------|---|---|
| Waterway Bridges | - | Reconstruction of the Willis Avenue Bridge over the Harlem River, totaling \$4.5 million, advanced from June 2012 to August 2011 thru March 2012. Reconstruction of the Williamsburg Bridge, totaling \$3.5 million, advanced from June 2012 to September, October 2011, January and February 2012. Deregistration of contracts for the Brooklyn Bridge, totaling \$67.8 million, occurred in July 2011 and contracts for the reconstruction of the Brooklyn Bridge, totaling \$7.5 million, slipped from July 2011 and January 2012 to May 2012. Various slippages and advances account for the remaining variance.  |
| Correction       | - | Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$21.0 million, slipped from July 2011 thru March 2012 to May 2012. Acquisition and construction of the supplementary housing program and support facilities, totaling \$43.7 million, slipped from November 2011, January thru and February 2012 to May 2012. Purchase of computer equipment for use by the Department of Correction, totaling \$11.7 million, slipped from January and February 2012 to May 2012. Riker's Island infrastructure, totaling \$7.5 million, slipped from December 2011 and February and March 2012 to May 2012. Various slippages and advances account for the remaining variance. |
| Education        | - | Five-Year Educational Capital Plan, totaling \$220.0 million, slipped from February and March 2012 to May 2012. Education construction projects, totaling \$5.0 million, slipped from March 2012 to May 2012. Other City-wide, Capital Expenditures, totaling \$4.5 million, advanced from April and June 2012 to March 2012. Schoolyards to playgrounds, totaling \$2.0 million, advanced from June 2012 to March 2012. Various slippages and advances account for the remaining variance.   |

Economic  
Development

- Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$31.1 million, advanced from June 2012 to December 2011 thru March 2012. Development of the Brooklyn Navy Yard, totaling \$20.0 million, advanced from June 2012 to November 2011 thru January and March 2012. Economic development for industrial, Waterfront and commercial purpose, totaling \$2.2 million, advanced from June 2012 to January and February 2012. Modernization and reconstruction of piers, City-wide, totaling \$5.4 million, advanced from June 2012 to December 2011 thru February 2012. Various slippages and advances account for the remaining variance.

Fire

- Vehicle acquisition, City-wide, totaling \$33.5 million, advanced from June 2012 to August 2011 thru March 2012. Facility improvements, City-wide, totaling \$11.0 million, advanced from June 2012 to July and September 2011 thru March 2012. Management information and Control System, totaling \$3.0 million, advanced from June 2012 to November 2011 thru February 2012. Various slippages and advances account for the remaining variance.

Housing

- HANAC assisted Living, totaling \$2.6 million, advanced from June 2012 to December 2011. Broadway housing communities, totaling \$3.0 million, advanced from June 2012 to December 2011. A 421 Trust Fund, totaling \$2.5 million, advanced from June 2012 to February 2012. Computer purchases and upgrade, totaling \$3.4 million, advanced from June 2012 to July 2011 thru February 2012. Low income rental program, totaling \$15.4 million, advanced from June 2012 to December 2011, January and March 2012. Supportive housing, totaling \$6.7 million, advanced from June 2012 to December 2011. Mixed income rental, totaling \$3.0 million, advanced from June 2012 to December 2011. Various slippages and advances account for the remaining variance.

Highways

- Construction and reconstruction of highways, City-wide, totaling \$3.5 million, slipped from January thru March 2012 to May 2012. Sidewalk reconstruction, totaling \$2.3 million, slipped from March 2012 to May 2012. Repaving and resurfacing of streets, City-wide, totaling \$10.1 million, advanced from June 2012 to November 2011 thru March 2012. Engineering Services Agreement, City-wide, totaling \$2.9 million,

advanced from June 2012 to December 2011 and January 2012. Various slippages and advances account for the remaining variance.

- Parks
  - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$23.4 million, advanced from June 2012 to August 2011 thru March 2012. Construction, reconstruction of playgrounds and Recreational Facilities, totaling \$3.7 million, advanced from April and June 2012 to September 2011 and January 2012. Deregistration of contracts for construction and reconstruction of playgrounds, totaling \$2.0 million, occurred in December 2011 thru March 2012. Street and park tree planting, City-wide, totaling \$19.4 million, advanced from June 2012 to August 2011 thru March 2012. Ferry Point Park, totaling \$12.7 million, advanced from June 2012 to November 2011 thru February 2012. Park improvements, City-wide, totaling \$4.9 million, advanced from May and June 2012 to August 2011 thru March 2012. High line, totaling \$4.5 million, advanced from June 2012 to December 2011 thru February 2012. Various slippages and advances account for the remaining variance.
  
- Police
  - Purchase of ultra-high frequency radio telephone equipment, totaling \$19.6 million, slipped from August thru December 2011, February and March 2012 to May 2012. Marine launches for Harbor Unit, totaling \$3.3 million, slipped from January thru March 2012 to May 2012. Acquisition of vehicles, totaling \$9.2 million, slipped from December 2011 thru March 2012 to May 2012. Purchase of new equipment for the police department, totaling \$2.2 million, advanced from June 2012 to February 2012. Various slippages and advances account for the remaining variance.
  
- Sanitation
  - Collection trucks and other equipment, totaling \$35.7 million, slipped from January and March 2012 to May 2012. Improvements to garages and other facilities, totaling \$2.5 million, slipped from October 2011 thru March 2012 to May 2012. Site acquisition for sanitation garages, City-wide, totaling \$20.0 million, slipped from November 2011 to May 2012. Construction of salt storage sheds, totaling \$10.3 million, slipped from August thru November 2011 and January 2012 to May 2012. Purchase of electronic data processing, totaling \$50.1 million, slipped from September 2011 thru January 2012 to May 2012. Deregistration of contracts for the construction of the Marine Transfer Station, totaling \$10.6 million, occurred in February 2012. Deregistration of contracts for Sanitation Garage, District 1/2/5, Manhattan, totaling \$36.6 million, occurred in March 2012 and \$6.1 million, slipped from January thru March 2012 to May 2012. Various slippages and advances account for the remaining variance.

- Sewers

  - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$26.8 million, slipped from July 2011 thru March 2012 to May 2012. Construction and reconstruction of storm sewers, City-wide, totaling \$53.4 million, slipped from July 2011 thru March 2012 to May 2012. Acquisition of land pursuant to storm water management program, Staten Island, totaling \$5.8 million, advanced from June 2012 to July 2011 thru March 2012. Guniting of sewers, City-wide, totaling \$5.8 million, advanced from June 2012 to December 2011. Various slippages and advances account for the remaining variance.
  
- Water Supply

  - Additional Water Supply Emergency, totaling \$13.0 million, advanced from June 2012 to July thru December 2011 and January 2012. City Tunnel Number three, Stage two, totaling \$42.1 million, advanced from June 2012 to July 2011 thru March 2012. Various slippages and advances account for the remaining variance.
  
- Water Mains

  - Water main extensions, City-wide, totaling \$13.0 million, slipped from March 2012 to May 2012. Trunk main extensions and improvements, totaling \$6.6 million, slipped from February and March 2012 to May 2012. Construction of the Croton Filtration Plant, totaling \$128.0 million, advanced from June 2012 to July 2011 thru March 2012. Improvements to structures on watersheds outside the City, totaling \$93.8 million, advanced from June 2012 to July 2011 thru March 2012. Water supply improvements, totaling \$4.3 million, advanced from June 2012 and Fiscal Year 2013 to August 2011 thru March 2012. Various slippages and advances account for the remaining variance.
  
- Water Pollution Control

  - Hunts Point Water Pollution Control Plant, totaling \$5.8 million, advanced from June 2012 and Fiscal Year 2013 to July thru November 2011, January thru March 2012. Construction and reconstruction of pumping stations, totaling \$15.1 million, advanced from June 2012 to August 2011 thru March 2012. Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$25.2 million, advanced from June 2012 to July thru September 2011 and November 2011 thru March 2012. Reconstruction of Water Pollution Control Projects, totaling \$76.6 million, advanced from June 2012 to October 2011 thru March 2012. North River Water Pollution Control Plant, totaling \$10.6 million, advanced from June 2012 to August thru November 2011. Combined sewer overflow abatement, totaling \$46.1 million, advanced from June 2012 to July 2011 thru March 2012. Twenty sixth ward Water Pollution Control Plant, totaling \$2.8 million, advanced from June 2012 to August 2011 thru March 2012. Upgrade of Bowery Bay Water

Pollution Control Plant, totaling \$15.2 million, advanced from June 2012 to August 2011 thru March 2012. Reconstruction of Jamaica Water Pollution Control Plant, totaling \$11.6 million, advanced from June 2012 to August 2011 thru March 2012. Upgrade of Tallmans Island Water Pollution Control Plant, totaling \$12.6 million, advanced from June 2012 to August thru December 2011, February and March 2012. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$93.1 million, advanced from June 2012 to July 2011 thru March 2012. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$52.7 million, advanced from June 2012 to September thru December 2011 and February 2012. Bionutrient removal facilities, City-wide, totaling \$4.6 million, advanced from June 2012 to September 2011 thru January 2012. Various slippages and advances account for the remaining variance.

Others

- Equipment for Children's Services, City-wide, totaling \$26.2 million, slipped from January and February 2012 to May 2012.
- Purchase of EDP equipment for DoITT, totaling \$27.4 million, advanced from June 2012 to January thru March 2012. Emergency communications system and facilities, totaling \$12.3 million, advanced from June 2012 to December 2011, February and March 2012.
- Purchase of DEP equipment, totaling \$7.4 million, advanced from June 2012 to August thru November 2011 and January thru March 2012. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$11.6 million, advanced from June 2012 to November 2011 thru March 2012. Installation of Water Measuring Devices, totaling \$2.8 million, advanced from June 2012 to January thru March 2012.
- Purchase of equipment for the use by the Department of Homeless Services, totaling \$5.9 million, advanced from June 2012 to July thru December 2011, January and March 2012. Reconstruction and improvements to Shelters, totaling \$2.1 million, advanced from June 2012 to January and February 2012. Congregate facilities for the Department of the Homeless, totaling \$3.6 million, advanced from June 2012 to July thru November 2011 and February and March 2012.
- Brooklyn Children's Museum, City-wide, totaling \$5.8 million, slipped from February 2012 to May 2012. Improvements to Snug Harbor, totaling \$6.4 million, slipped from February 2012 to May 2012. Cultural



institutions or acquisition, totaling \$8.4 million, advanced from May and June 2012 to September 2011 and March 2012.

- Albert Einstein College of Medicine, totaling \$2.0 million, advanced from June 2012 to October 2011. Improvements to Health Facilities, totaling \$4.5 million, advanced from April, May and June 2012 to October 2011 thru January and March 2012.
- City University improvements, City-wide, totaling \$15.4 million, advanced from June 2012 to July 2011 thru March 2012. Community College improvements, totaling \$10.8 million, advanced from June 2012 to August 2011 thru January and March 2012.
- Computer equipment, for Human Resources, totaling \$6.3 million, advanced from June 2012 to July 2011 thru March 2012. Private branch exchange, totaling \$2.9 million, advanced from June 2012 to July and December 2011 and February 2012.
- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for The New York and Queens libraries, totaling \$32.4 million, slipped from July 2011 thru March 2012 to May 2012.
- Purchase of electronic data processing equipment, totaling \$19.1 million, advanced from June 2012 to July 2011 thru March 2012. Judgments and settlements, totaling \$2.3 million, slipped from September 2011 to May 2012. Deregistration of contracts for communication equipment, totaling \$7.2 million, occurred in October 2011.
- Parking meters, City-wide, totaling \$18.2 million, advanced from June 2012 to December 2011.

3. Variances in year-to-date commitments of non-City funds through March occurred in the Department of Education, Department of Housing Preservation and Development, the Department of Parks and Recreation, the Department of Transportation and others.

- Education - Capital Projects, totaling \$4.0 million, advanced from June 2012 to March 2012. Five-Year Educational Capital Plan, totaling \$183.3 million, slipped from February and March 2012 to May 2012. Various slippages and advances account for the remaining variance.
- Housing - Assisted Living and Senior Housing, totaling \$4.8 million, advanced from June 2012 to October and December 2011. Low income rental program, totaling \$20.1 million, advanced from June 2012 to December 2011, January and March 2012. Various slippages and advances account for the remaining variance.
- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$10.6 million, slipped from February and March 2012 to May 2012. Deregistration of contracts, totaling \$0.7 million, occurred in January 2012 and contracts for the reconstruction of the Bronx River Park, totaling \$1.5 million, slipped from March 2012 to May 2012. Park improvements, City-wide, totaling \$4.6 million, slipped from January and February 2012 to May 2012. Various slippages and advances account for the remaining variance
- Highway Bridges - Protection against Marine Borers, City-wide, totaling \$46.2 million, advanced from June 2012 to November 2011. Various slippages and advances account for the remaining variance.
- Highways - Deregistration of contracts for Hudson yards, totaling \$1.6 million, occurred in July, September and December 2011 and contracts for Hudson yards, totaling \$1.0 million, slipped from August 2011 to May 2012. Various slippages and advances account for the remaining variance.
- Others - Computer equipment, for Human Resources, totaling \$3.1 million, advanced from June 2012 to July 2011 thru January and March 2012. Private branch exchange, totaling \$3.0 million, advanced from June 2012 to July and December 2011 and February 2012.
- Energy efficiency and sustainability, totaling \$9.4 million, advanced from June 2012 to September 2011 thru March 2012.
- Rapid and surface transit program, totaling \$30.2 million, advanced from June 2012 to January 2012.

- Installation of traffic signals, totaling \$7.1 million, advanced from June 2012 to August and September 2011, January and February 2012. Bus rapid transit, City-wide, totaling \$2.2 million, advanced from June 2012 to October 2011 and January 2012.

## **Report No. 5A**

Capital Cash Flow

**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH		FISCAL YEAR: 2012	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
<b>TRANSIT</b>	\$0.0 (C) 0.0 (N)		(\$17.2) (C) 30.2 (N)	\$72.2 (C) 9.2 (N)
<b>HIGHWAY AND STREETS</b>	12.0 (C) 4.0 (N)		177.7 (C) 25.7 (N)	358.1 (C) 71.2 (N)
<b>HIGHWAY BRIDGES</b>	15.3 (C) 13.5 (N)		128.9 (C) 92.2 (N)	199.8 (C) 170.0 (N)
<b>WATERWAY BRIDGES</b>	24.2 (C) 11.6 (N)		155.0 (C) 107.3 (N)	215.9 (C) 147.1 (N)
<b>WATER SUPPLY</b>	12.8 (C) 0.0 (N)		96.8 (C) 0.0 (N)	185.9 (C) 0.0 (N)
<b>WATER MAINS, SOURCES &amp; TREATMENT</b>	70.8 (C) 0.0 (N)		718.5 (C) 0.0 (N)	857.2 (C) 32.7 (N)
<b>SEWERS</b>	13.7 (C) 1.3 (N)		133.1 (C) 8.5 (N)	222.8 (C) 4.8 (N)
<b>WATER POLLUTION CONTROL</b>	72.8 (C) 4.7 (N)		727.4 (C) 40.5 (N)	793.1 (C) 50.8 (N)
<b>ECONOMIC DEVELOPMENT</b>	20.1 (C) 1.4 (N)		133.8 (C) 36.5 (N)	239.7 (C) 61.2 (N)
<b>EDUCATION</b>	181.6 (C) 143.5 (N)		894.1 (C) 931.3 (N)	1,070.5 (C) 1,086.0 (N)

**SYMBOLS:**

**(C) CITY FUNDS**

**(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**CITY OF NEW YORK  
CAPITAL CASHFLOW  
REPORT NO. 5A  
(Dollars in Millions)**

DESCRIPTION	MONTH: MARCH	FISCAL YEAR: 2012	FISCAL YEAR
	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	PLAN
<b>CORRECTION</b>	3.9 (C) 0.0 (N)	33.2 (C) 0.0 (N)	117.4 (C) 0.0 (N)
<b>SANITATION</b>	26.9 (C) 0.0 (N)	236.2 (C) 0.1 (N)	269.4 (C) 1.7 (N)
<b>POLICE</b>	28.9 (C) 0.0 (N)	166.8 (C) 0.0 (N)	292.9 (C) (1.6) (N)
<b>FIRE</b>	5.8 (C) 0.1 (N)	66.5 (C) 0.2 (N)	105.2 (C) 2.4 (N)
<b>HOUSING</b>	10.8 (C) 1.9 (N)	159.7 (C) 71.9 (N)	234.7 (C) 78.1 (N)
<b>HOSPITALS</b>	15.0 (C) 0.0 (N)	105.1 (C) 0.8 (N)	142.2 (C) 0.1 (N)
<b>PUBLIC BUILDINGS</b>	12.3 (C) 0.0 (N)	118.6 (C) 0.0 (N)	166.8 (C) 0.1 (N)
<b>PARKS</b>	26.5 (C) 2.8 (N)	270.1 (C) 22.3 (N)	387.6 (C) 62.1 (N)
<b>ALL OTHER DEPARTMENTS</b>	111.2 (C) 10.7 (N)	806.3 (C) 116.2 (N)	1,531.8 (C) 170.7 (N)
<b>TOTAL</b>	<b>\$664.6 (C) \$195.7 (N)</b>	<b>\$5,110.7 (C) \$1,483.7 (N)</b>	<b>\$7,463.2 (C) \$1,946.6 (N)</b>

**SYMBOLS:  
(C) CITY FUNDS  
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

## **Report No. 6 & 6A**

Month-by-Month Cash Flow Forecast

**NEW YORK CITY**  
**MONTH - BY - MONTH CASH FLOW FORECAST**  
**REPORT NO. 6**  
**(MILLIONS OF DOLLARS)**

**MONTH: MARCH**  
**FISCAL YEAR 2012**

	JUL	AUG	SEP	OCT	ACTUAL NOV	DEC	JAN	FEB	MAR	FORECAST APR	MAY	JUN	12 Months	ADJUST- MENTS	TOTAL
<b>CASH INFLOWS</b>															
<b>CURRENT</b>															
GENERAL PROPERTY TAX	\$3,455	\$127	\$1,024	\$532	\$162	\$4,391	\$2,021	\$106	\$980	\$408	\$23	\$2,517	\$15,746	\$2,066	\$17,812
OTHER TAXES	473	1,059	2,898	1,557	1,176	2,678	2,617	1,444	2,460	2,351	1,125	3,604	23,442	908	24,350
FEDERAL GRANTS	323	397	(252)	243	343	584	251	331	1,030	689	487	956	5,382	2,352	7,734
STATE GRANTS	326	269	665	407	489	896	129	178	3,341	(62)	1,431	1,224	9,293	2,075	11,368
OTHER CATEGORICAL	71	209	86	2	41	46	21	(29)	87	26	78	279	917	129	1,046
UNRESTRICTED (NET OF DISALL.)	-	-	1	7	-	-	-	1	-	3	4	4	20	(10)	10
MISCELLANEOUS REVENUES	542	420	333	305	335	299	363	303	451	291	425	431	4,498	-	4,498
CAPITAL INTER-FUND TRANSFERS	-	-	48	38	45	71	33	49	35	77	46	65	507	44	551
<b>SUBTOTAL</b>	<b>5,190</b>	<b>2,481</b>	<b>4,803</b>	<b>3,091</b>	<b>2,591</b>	<b>8,965</b>	<b>5,435</b>	<b>2,383</b>	<b>8,384</b>	<b>3,783</b>	<b>3,619</b>	<b>9,080</b>	<b>59,805</b>	<b>7,564</b>	<b>67,369</b>
<b>PRIOR</b>															
OTHER TAXES	822	289	-	-	-	-	-	-	-	-	-	-	1,111	-	1,111
FEDERAL GRANTS	290	526	439	390	257	147	170	96	119	145	91	208	2,878	677	3,555
STATE GRANTS	207	376	324	103	69	122	89	121	354	66	66	225	2,122	1,488	3,610
OTHER CATEGORICAL	6	16	181	49	70	37	8	2	2	3	8	4	386	95	481
UNRESTRICTED	-	1	-	-	-	-	-	-	-	-	-	-	1	-	1
MISC. REVENUE/CAPITAL IFA	1	25	35	-	-	-	-	-	-	-	-	-	61	(61)	-
<b>SUBTOTAL</b>	<b>1,326</b>	<b>1,233</b>	<b>979</b>	<b>542</b>	<b>396</b>	<b>306</b>	<b>267</b>	<b>219</b>	<b>475</b>	<b>214</b>	<b>165</b>	<b>437</b>	<b>6,559</b>	<b>2,199</b>	<b>8,758</b>
<b>CAPITAL</b>															
CAPITAL TRANSFERS	446	689	468	693	721	942	490	330	302	846	1,072	1,268	8,267	(804)	7,463
FEDERAL AND STATE	171	118	77	88	104	349	395	56	67	54	53	415	1,947	-	1,947
<b>OTHER</b>															
SENIOR COLLEGES	-	1	1	405	-	1	244	226	447	1	4	410	1,740	69	1,809
HOLDING ACCT. & OTHER ADJ.	2	2	1	3	(1)	(2)	(3)	4	20	(26)	-	-	-	-	-
OTHER SOURCES	1,371	-	343	-	35	-	104	-	208	-	-	-	2,061	-	2,061
<b>TOTAL INFLOWS</b>	<b>\$8,506</b>	<b>\$4,524</b>	<b>\$6,672</b>	<b>\$4,822</b>	<b>\$3,846</b>	<b>\$10,561</b>	<b>\$6,932</b>	<b>\$3,218</b>	<b>\$9,903</b>	<b>\$4,872</b>	<b>\$4,913</b>	<b>\$11,610</b>	<b>\$80,379</b>	<b>\$9,028</b>	<b>89,407</b>
<b>CASH OUTFLOWS</b>															
<b>CURRENT</b>															
PS	\$1,428	\$1,984	\$3,353	\$2,723	\$2,729	\$2,843	\$2,874	\$2,794	\$3,283	\$2,815	\$2,822	\$4,676	\$34,324	\$3,131	\$37,455
OTPS	1,281	1,766	2,143	2,102	1,447	1,993	1,760	1,864	2,027	2,083	1,913	2,710	23,089	3,495	26,584
DEBT SERVICE	80	44	13	18	46	143	417	317	173	421	168	1,490	3,330	-	3,330
<b>SUBTOTAL</b>	<b>2,789</b>	<b>3,794</b>	<b>5,509</b>	<b>4,843</b>	<b>4,222</b>	<b>4,979</b>	<b>5,051</b>	<b>4,975</b>	<b>5,483</b>	<b>5,319</b>	<b>4,903</b>	<b>8,876</b>	<b>60,743</b>	<b>6,626</b>	<b>67,369</b>
<b>PRIOR</b>															
PS	1,580	777	21	3	26	13	38	11	10	27	34	60	2,600	905	3,505
OTPS	777	500	49	2	544	68	53	255	95	15	25	17	2,400	2,558	4,958
OTHER TAXES	48	164	-	-	-	-	-	-	-	-	-	-	212	-	212
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,185	1,185
<b>SUBTOTAL</b>	<b>2,405</b>	<b>1,441</b>	<b>70</b>	<b>5</b>	<b>570</b>	<b>81</b>	<b>91</b>	<b>266</b>	<b>105</b>	<b>42</b>	<b>59</b>	<b>77</b>	<b>5,212</b>	<b>4,648</b>	<b>9,860</b>
<b>CAPITAL</b>															
CITY DISBURSEMENTS	446	522	449	791	797	487	495	458	665	621	819	913	7,463	-	7,463
FEDERAL AND STATE	82	270	53	319	50	83	377	54	196	92	254	117	1,947	-	1,947
<b>OTHER</b>															
SENIOR COLLEGES	118	120	153	153	120	175	147	119	119	194	195	196	1,809	-	1,809
OTHER USES	-	265	-	90	-	245	-	64	-	-	-	1,397	2,061	-	2,061
<b>TOTAL OUTFLOWS</b>	<b>\$5,840</b>	<b>\$6,412</b>	<b>\$6,234</b>	<b>\$6,201</b>	<b>\$5,759</b>	<b>\$6,050</b>	<b>\$6,161</b>	<b>\$5,936</b>	<b>\$6,568</b>	<b>\$6,268</b>	<b>\$6,230</b>	<b>\$11,576</b>	<b>\$79,235</b>	<b>\$11,274</b>	<b>\$90,509</b>
<b>NET CASH FLOW</b>	<b>\$2,666</b>	<b>(\$1,888)</b>	<b>\$438</b>	<b>(\$1,379)</b>	<b>(\$1,913)</b>	<b>\$4,511</b>	<b>\$771</b>	<b>(\$2,718)</b>	<b>\$3,335</b>	<b>(\$1,396)</b>	<b>(\$1,317)</b>	<b>\$34</b>	<b>\$1,144</b>	<b>(\$2,246)</b>	<b>(\$1,102)</b>
<b>BEGINNING BALANCE</b>	<b>\$3,919</b>	<b>\$6,585</b>	<b>\$4,697</b>	<b>\$5,135</b>	<b>\$3,756</b>	<b>\$1,843</b>	<b>\$6,354</b>	<b>\$7,125</b>	<b>\$4,407</b>	<b>\$7,742</b>	<b>\$6,346</b>	<b>\$5,029</b>	<b>\$3,919</b>		
<b>ENDING BALANCE</b>	<b>\$6,585</b>	<b>\$4,697</b>	<b>\$5,135</b>	<b>\$3,756</b>	<b>\$1,843</b>	<b>\$6,354</b>	<b>\$7,125</b>	<b>\$4,407</b>	<b>\$7,742</b>	<b>\$6,346</b>	<b>\$5,029</b>	<b>\$5,063</b>	<b>\$5,063</b>		



**NEW YORK CITY**  
**MONTH - BY - MONTH CASH FLOW FORECAST**  
**REPORT NO. 6A**  
**(MILLIONS OF DOLLARS)**

**MONTH: MARCH**  
**FISCAL YEAR 2012**

	ACTUAL									FORECAST			12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
<b>SENIOR COLLEGES</b>															
SENIOR COLLEGES COST (OUTFLOW)	(118)	(120)	(153)	(153)	(120)	(175)	(147)	(119)	(119)	(194)	(195)	(196)	(1,809)	-	(1,809)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	16	-	1	244	226	447	1	4	410	1,349	460	1,809
SENIOR COLLEGES INFLOW - PRIOR	-	1	1	389	-	-	-	-	-	-	-	-	391	(391)	-
NET SENIOR COLLEGES	(118)	(119)	(152)	252	(120)	(174)	97	107	328	(193)	(191)	214	(69)	69	-
<b>CAPITAL</b>															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	982	272	783	971	409	35	404	17	2,424	-	800	7,297	-	7,297
(INC)/DEC RESTRICTED CASH	(83)	(490)	83	(219)	(285)	533	455	(74)	285	(1,578)	1,072	468	167	(804)	(637)
SUBTOTAL	117	492	355	564	686	942	490	330	302	846	1,072	1,268	7,464	(804)	6,660
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	-	-	129	-	-	-	-	-	-	-	-	129	-	129
(INC)/DEC RESTRICTED CASH	329	197	113	-	35	-	-	-	-	-	-	-	674	-	674
SUBTOTAL	329	197	113	129	35	-	-	-	-	-	-	-	803	-	803
<b>TOTAL CITY CAPITAL TRANSFERS</b>	<b>446</b>	<b>689</b>	<b>468</b>	<b>693</b>	<b>721</b>	<b>942</b>	<b>490</b>	<b>330</b>	<b>302</b>	<b>846</b>	<b>1,072</b>	<b>1,268</b>	<b>8,267</b>	<b>(804)</b>	<b>7,463</b>
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	137	18	33	88	104	349	395	56	67	54	53	415	1,769	178	1,947
PRIOR	34	100	44	-	-	-	-	-	-	-	-	-	178	(178)	-
<b>TOTAL FEDERAL AND STATE INFLOWS</b>	<b>171</b>	<b>118</b>	<b>77</b>	<b>88</b>	<b>104</b>	<b>349</b>	<b>395</b>	<b>56</b>	<b>67</b>	<b>54</b>	<b>53</b>	<b>415</b>	<b>1,947</b>	<b>-</b>	<b>1,947</b>
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(446)	(522)	(449)	(791)	(797)	(487)	(495)	(458)	(665)	(621)	(819)	(913)	(7,463)	-	(7,463)
FEDERAL AND STATE	(82)	(270)	(53)	(319)	(50)	(83)	(377)	(54)	(196)	(92)	(254)	(117)	(1,947)	-	(1,947)
<b>TOTAL OUTFLOWS</b>	<b>(528)</b>	<b>(792)</b>	<b>(502)</b>	<b>(1,110)</b>	<b>(847)</b>	<b>(570)</b>	<b>(872)</b>	<b>(512)</b>	<b>(861)</b>	<b>(713)</b>	<b>(1,073)</b>	<b>(1,030)</b>	<b>(9,410)</b>	<b>-</b>	<b>(9,410)</b>
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	-	167	19	(98)	(76)	455	(5)	(128)	(363)	225	253	355	804	(804)	-
NET NON-CITY CAPITAL	89	(152)	24	(231)	54	266	18	2	(129)	(38)	(201)	298	-	-	-
<b>NET TOTAL CAPITAL</b>	<b>89</b>	<b>15</b>	<b>43</b>	<b>(329)</b>	<b>(22)</b>	<b>721</b>	<b>13</b>	<b>(126)</b>	<b>(492)</b>	<b>187</b>	<b>52</b>	<b>653</b>	<b>804</b>	<b>(804)</b>	<b>-</b>

## **NOTES TO REPORT #6/6A**

1. **Beginning Balance**

The July 2011 beginning balance is consistent with the FY 2011 audited Comprehensive Annual Financial Report (CAFR).

2. **Ending Balances**

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2012 ending balance includes deferred revenue from FY 2013 prepaid Real Estate Taxes.

3. **Long Term Borrowings**

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. **Restricted Cash Bond Proceeds**

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. **Cash Flow Realignment**

For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System.