# NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES

#### PART A: ENROLLMENT FORM FOR LEGALLY EXEMPT GROUP CHILD CARE PROGRAM

Legally exempt group child care means a program in a facility, other than a residence, in which child care is provided on a regular basis and is not required to be licensed or registered with the New York State Office of Children and Family Services (OCFS) or permitted by the City of New York, but which meets all applicable state or local requirements for such child care programs.

Choose one of the following group child care programs you are providing, and complete sections indicated.

(Continued on page 2)

Type of Group Child Care	Program Subtype Description	Complete Sections
Groups under the auspices (GUA): Operated by public school	Program is operated under the auspices of the New York State Department of Education (NYSED) <u>AND</u> Is operated by a public-school district that is providing elementary or secondary education, or both, in accordance with the compulsory education requirements of New York State (NYS) Education Law, <u>AND</u>	Section 1-8, 10-11 <b>and</b> Part B Section 4
	Is a nursery school located on the same premises or campus where the elementary or secondary education is provided <u>OR</u> Is a kindergarten or pre-kindergarten program.	
GUA: Non-public operated voluntary registered nursery school	Program is a nursery school voluntarily registered with NYSED, <u>AND</u> Is operating in accordance with Part 125 of NYSED regulations, <u>AND</u> Is operated by a nonprofit agency or organization or private proprietary organization, <u>AND</u> Is providing services for three hours or less per day, to preschool age children.	Section 1-8, 10-11 <b>and</b> Part B Section 4
GUA: NYC Article 43 (School Based)	Program, located within New York City (NYC), is operated under Article 43 of NYC Health Code, <u>AND</u> Has filed appropriate notice with the NYC Department of Education on a form provided or approved by the NYC Department of Education, <u>AND</u> Is operated by a school recognized under the NYS Education Law and which provides compulsory education for children, <u>AND</u>	Section 1-8, 10-11 <b>and</b> Part B Section 4
	Is located within or as part of such school and has identical ownership, operation management, and control of kindergarten and pre-kindergarten classes for children aged 3 through 5 and all other classes provided by the school.	
GUA: NYSDOH Summer Day Camp NYCDOH Summer Day Camp Out-of-State Summer Day Camp	Program is a Summer Day Camp operating under the auspices of the NYS Department of Health (NYSDOH), AND  Does NOT concurrently hold a current license or registration to operate a day care program issued by OCFS or NYC Department of Health and Mental Hygiene (NYSDOHMH) for this site, AND  The Summer Day Camp is operated under the jurisdiction of the:  NYSDOH in accordance with Subpart 7-2 of the State Sanitary Code, OR  NYCDOHMH subsection 48.01.	Section 1-8, 10-11 <b>and</b> Part B Section 4

# (For Enrollment Agency Use)

Received Date: /	1	Complete Date: / /					
CCFS ID:		Facility Name:					
Type of Group Child Care	Program Subtype Description		Complete Sections				
Groups not under the auspices (GNUA): Private school or academy providing compulsory education (outside NYC)	Program is operated OUTSIDE of NYC elementary or secondary education, or requirements of the NYS Education Law Is located on the same premises or camprovided.	Section 1-7, 9-11 and Part B Section 4					
GNUA: Private school or academy providing compulsory education (within NYC)	elementary or secondary education, or requirements of the NYS Education Law	Program is operated WITHIN NYC by a private school or academy that is providing lementary or secondary education, or both, in accordance with the compulsory education equirements of the NYS Education Law <u>AND</u> is located on the same premises or campus where the elementary or secondary education is rovided.					
GNUA: Non-profit or private agency not registered with NYSED (outside NYC)	age children, <u>AND</u> Is NOT voluntarily registered with NYSE	Program is a nursery school for children 3 years of age or older or a program for preschool age children, <u>AND</u> Is NOT voluntarily registered with NYSED, <u>AND</u> Is operated by a non-profit agency or organization or a private proprietary agency, <u>AND</u>					
☐ GNUA: Federal	Program is located on federal property of the United Is NOT certified to operate by the United		Section 1-7, 9-11 <b>and</b> Part B Section 4				
☐ GNUA: Tribal	Program is located on tribal property AN Is NOT a grantee of Child Care and Dev	Section 1-7, 9-11 <b>and</b> Part B Section 4					
☐ GNUA: Unaffiliated School Age	Program cares for not more than six schours or less per day.	nool-age children, during non-school hours, for three	Section 1-7, 9-11 <b>and</b> Part B Section 4				
☐ GNUA: Other	Program that meets the legal qualification is NOT any other type listed above.	ons for a legally exempt group program AND	Section 1-7, 9-11 <b>and</b> Part B Section 4				

# **SECTION 1: Child Care Program**

Program Informa	ation:												
Child Care Program's Legal Name													
Enrollment Number (if	applicable)				Fede	ral Identii	fication Number						
DBA (Doing Business	As)				If DB/		filing receipt	and cert	ificate	of assumed nar	me		
Have you ever be	Have you ever been previously enrolled?  Yes No												
	vide your enrollment I												
	on and Contact Infor	mation				,,	011	1 01	. 1				
Site Address: Street A	address				Apt/FI	#	City	Sta	ate	Zip Code	Cou	nty	
Mailing Address: Stree	et Address/P.O. Box S	ame as a	bove		Apt/FI	#	City	Sta	ate	Zip Code	Cou	nty	
Site Phone			Site Fax Numbe	r	ı	1		Email A	ddress		1		
On-Site Director	's Information		( )	-									
Name: First	S IIIIOIIIIalioii	Last (F	Please include any	ALIASES	or MAID	DEN nam	es in parentheses	;)			МІ		Suffix
		,	•				·	,					
Date of Birth			Gender					Preferre	d Langı	ıage			
Administrative D	Director's Information	. $\Box$	Same as abov										
Name: First	mector's imormation				S or MA	IDEN nar	mes in parenthese	es)			МІ		Suffix
			•				•	,					
Date of Birth			Gender		Preferred Language								
1 1													
Davied of Operat	ion. (Coloct all that s						h = = 1 = = #			Summer			
Period of Operat	ion: (Select all that a		Full year	Daily H	louro of		hool year  Number of		Enve	lled Number of	Mayin	an Luna N	mbar of
	Ages Serveu	Operat		Operat		•	Classrooms		Chile		Maximum Number of Children		uniber of
	0-2 years												
Pre-School 3 years													
4 years													
5 years													
School Age	5-9 years												
	10-12 years												
	13+ years												

# **SECTION 2: Other Programs at Same Site/Location**

Only complete this section if any other program operated by your organization operates at the same site listed in Section 1.  List below all other child care programs operated by your organization at the same site.									
☐ Not applic	cable. No other programs operate at the site listed in	Section 1.							
Program's N	ame:	Child Care Facility ID #	<ul><li>☐ NYS License/Registration</li><li>☐ NYS Enrolled Legally Exempt</li></ul>						
Program's Di hours of care	escription: ( <i>Include numbers of children by age,</i> etc.)	<del>-</del>	that apply.)  Staff No shared resources  Other Resources:						
SECTION 3:	Director(s) Training Requirements								
Director(s) F	Preservice Training (Select one.)								
	Director(s) completed preservice training and attack	ched certificate(s) of completion.							
	Director(s) previously submitted certificate(s) to thi	s enrollment agency.							
Director(s)	Annual Training (Select one.)								
	Director(s) completed annual training and attached	d certificate(s) of completion.							
	Not applicable. This program is not yet enrolled as	a legally exempt program.							
Director(s) E	Enhanced Rate Training (Select One.) Available o	-							
	Director(s) completed Health and Safety: Competer Exempt Group Program Directors course or other a minimum of 15 hours of enhanced rate training a	course as approved by the Offic							
	Not applicable. This program is not applying for the	e enhanced rate at this time or is	not available by the paying district.						
	Staff and Volunteer Training Requirements								
Preservice 7	<u> </u>								
	All staff and volunteers have completed preservice	e training and a copy of certificat	e of completion is attached.						
Annual Train	ning								
	All staff and volunteers have completed an addition	nal five hours of annual training	and a copy of certificate of completion is attached.						
	Not applicable. This program is not yet enrolled as	a legally exempt program							
Enhanced R	Rate Training (in addition to pre-service training i	f initial enrollment or annual t	raining if re-enrollment)						
	All staff have completed a minimum of five hours of	of training, and a copy of certifica	ite of completion is attached.						
	Not applicable. This program is not applying for th	e enhanced rate at this time.							

## **SECTION 5: Medication Administration**

The program must be legally permitted to adm details.	inister medication to children in care receiving cl	hild care assistance. See instr	ructions fo	or		
Does the program administer medication (includin topically applied insect repellant) to any children in No  Yes (Complete applicable sections below.)	g over-the-counter topical ointments, lotions, creams n care receiving child care assistance?	, sprays, including sunscreen p	oducts and	d		
☐ Medical Professional Authorized by NYSED	OCFS Authorized					
Medication Administrant is a (Check one):  Registered Nurse Physician Nurse Practitioner Physician Assistant Licensed Practical Nurse Advanced EMT	Name of Medication Administrant:	trant:				
Name of Medication Administrant:  Date of Approved Health Care Plan (if required):  Date of Approved Health Care  Date of Approved Health Care						
Date of Approved Health Care Plan (if required):    I have attached a copy of the first page and approval of my Health Care Plan.    I have attached a copy of the first page and approval of my Health Care Plan.						
☐ I have attached a copy of the first page and approval of my Health Care Plan.						
SECTION 6: On-Site Director Formal Child	Care History					
*If you select yes to either of the questions below, information.	you must complete <b>Section 7</b> and provide the require	red true and accurate	Yes	No		
Have you ever had an application for license or re	gistration to operate a child day care program denied	1?				
Have you ever had a license or registration to ope	rate a child day care program revoked, limited, or su	spended?				
SECTION 7: Formal Child Care History Ack	nowledgement					
History of Day Care Enforcement						
Name of day care program having enforcement at Location:  Type(s) of enforcement action (Check all that app		ed				
Dates of enforcement actions:	y,,,					
Description/Reason for the enforcement action:						

## **SECTION 8: Programs Operating Under the Auspices of Another Government Agency (GUA)**

	grams operating aining to your p		ther federal, state, tribal, or gov	vernment agency complete ONLY the row of the table					
<b>GUA Subty</b>	ре	Program Details		Additional Program Information					
GUA: Operated by public school  GUA: Non-public operated voluntary registered nursery school		Legal Name of School:	Name of School District:	<ul><li>☐ Nursery School Program, 3 years of age and older</li><li>☐ Pre-K Program, 3 years of age and older</li><li>☐ School-Age Program, during non-school hours</li></ul>					
		Registration Number:	Date of Certificate of Registration: / /	☐ I HAVE ATTACHED a copy of my current certificate o registration, which is valid for up to five years.					
GUA: NYC Article 43 (School Based)		Legal Name of School:		☐ I HAVE ATTACHED a copy of the current Certificate of Filing issued by the NYCDOHMH.					
GUA: ( Summer Camp	Current Permit?  Yes  No	/es Date summer camp opened or will open: / /		☐ I HAVE ATTACHED a copy of my current year permit from the NYSDOH or the NYCDOHMH.					
_		Date of DOH Application:	1 1	☐ I HAVE ATTACHED proof of my application for the NYSDOH or NYCDOHMH permit.					
SECTION 9: Programs Not Operating Under the Auspices of Another Government Agency (GNUA)									
Group programs NOT operating under the auspices of another federal, state, tribal, or government agency complete ONLY the row of the table below pertaining to your program type.									
<b>GNUA Sub</b>	type	Program	n Details	Additional Program Information					

Group programs NOT operating under the auspices of another federal, state, tribal, or government agency complete ONLY the row of the table											
below pertaining to your program type.	below pertaining to your program type.										
GNUA Subtype	Program Details	Additional Program Information									
GNUA: Private school or academy providing compulsory education (outside NYC)	Legal Name of School:	☐ Nursery School or Pre-K, 3 years of age and older									
		☐ School-Age Program, during non-school									
		hours									
GNUA: Private school or academy providing compulsory education (within NYC)	Legal Name of School:										
GNUA: Non-profit or private agency not	Name of Agency/Organization:	☐ Nursery School, 3 years of age and older									
registered with NYSED		☐ Pre-School, 3 years of age and older									
GNUA: Federal (non-DOD grantee)	Name of Federal Agency/Property Where Located:										
GNUA: Tribal (non-CCDF grantee)	Name of Tribe:	Name of Tribal Property Where Located:									
GNUA: Unaffiliated School Age											
GNUA: Other											

Attached forms:

SECTION 10: Additional Health and Safety Requirement Documents - These are required health and safety documents per N	YCRR
415.13(b) (and 415.13(c), which pertain to the Health Care Plan if program is choosing to administer medications.	

I have incl	luded the following documents with this enrollment form:						
	Floor Plan						
	Certificate of Occupancy						
	Fire Inspection (completed within the past 12 months)						
	Health Care Plan, as applicable						
	Emergency Plan						
	Staff Roster						
	Other: Describe						
Disclaimer:  Legally exempt group child care directors, employees, and volunteers must undergo criminal history review and background clearances as set forth in 415.15(d). Directors are responsible for making sure all staff complete the required paperwork necessary for these checks. Directors are also responsible for collecting and submitting staff training certificates to the enrollment agency along with this application.  SECTION 11: On-Site Director Certification - Please be sure to read Section 12 before signing.							
To the best of my knowledge, I hereby affirm that the information provided on <i>Part A</i> of this form is true and complete. I understand that the information is subject to verification and that making a materially false statement or affirmation may result in denial or termination of the enrollment, social services terminating child care assistance payments, and/or legal action against the provider for deliberately presenting false or misleading information. I certify that I have read, attest, and agree to the On-site Director Attestations and Agreements in <i>Section 12</i> and am aware of the above disclaimer.							
Signature of (	On-Site Director:	Date:					

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#### Detach from Part A and retain for your own records.

#### **Section 12: On-Site Director Attestations and Agreements**

By signing this enrollment application, the on-site director attests and agrees to the following:

- I have reviewed the Health and Safety Requirements listed in 18 NYCRR 18 415 and agree to meet and continue to meet all requirements.
- The program meets and will continue to meet the following minimum staff-to-child ratios and maximum group size requirements unless a more stringent standard is required by law:

	Staff-to-Child Ratios by Age of Children								
	3 years	4 years	5 to 12 years						
Seated Activities	1:20	1:20	1:25						
Non-Seated Activities	1:10	1:12	1:25						
Maximum Group Size Total	30 children	36 children	50 children						

- I understand when children are cared for in mixed age groups, the staff-to-child supervision ratio and maximum group size applicable to the youngest child in the group must be followed.
- On a daily basis, the provider/program maintains, at the child care program, current and accurate attendance records on each child being cared for, minimally including: the date, arrival time, departure time, and if absent for the full day, a note that the child is absent.
- I understand that enrollment of this program to provide child care to children receiving child care assistance will only apply to the specific program located at the site specified in **Section 1**. If the program relocates temporarily or permanently to a child care location different from the one given on this form, the enrollment will end. To remain eligible to provide child care to children receiving child care assistance, I must submit a new enrollment request for the new site to the enrollment agency and begin the enrollment process anew.
- I understand that I am required to inform the enrollment agency promptly if I add any new employees or volunteers.
- I understand that if in the future there are new employees or volunteers, all staff will be subject to comprehensive background checks and are not permitted to be employed or present at the program until the Office notifies the program.
- I understand that the decision to enroll in the program is based on the facts provided on the enrollment form, and when there is a change to any of
  the information I have attested to, my eligibility to provide child care to children receiving child care assistance may also change. I will inform the
  enrollment agency immediately if there are changes to any information provided on the enrollment form or changes to the attachments.
- I understand the enrollment agency and the local social services district will exchange information regarding the child care program's enrollment status.
- I understand that the program must be enrolled with the enrollment agency before any payment can be made.
- The program agrees to maintain and provide accurate attendance records as required by the local social services district.
- The program agrees to collect the family share if instructed to do so by the local social services district. The program will immediately notify the local social services district if the parent/caretaker fails to pay the required family share.
- I understand that I must not charge parents more for the cost of child care for children receiving assistance than for children not receiving assistance.
- I understand that if the enrollment agency determines the program cannot be enrolled, then the local social services district cannot issue payment for care provided. The program will not be paid by the local social services district for any child care that it provides to a child(ren) receiving a child care assistance while the program is deemed an ineligible provider by the enrollment agency. The parent/caretaker has the right and responsibility to decide whether he/she wants to use the program. If the parent/caretaker chooses to use the program when it cannot be enrolled, I can hold the parent/caretaker responsible to pay the program for the child care.

- I understand child care assistance cannot be authorized for a child under 3 years of age for child care provided by legally exempt group care except for
  - child care programs located on federal or tribal property operated in compliance with applicable federal or tribal laws and regulations for such child care programs; or
  - o a child who is at least 2 years of age at the beginning of the school year but will turn 3 years of age on or before the applicable calendar date for which a child must be at least 5 years of age to be eligible for admission to school. Such a child shall be considered 3 years of age for the purposes of staff-to-child ratio and maximum group size.
- I agree to operate in compliance with all applicable state and local laws.
- I understand and agree the program will allow the parent/caretaker unlimited and on-demand access including the following:
  - Access to the parent/caretaker's child(ren)
  - The right to inspect at any time all parts of the facility used for child care or which could present a hazard to the health and/or safety to the child(ren)
  - Access to the staff caring for the child(ren)
  - Access to written records about the parent's/caretaker's child(ren) except when otherwise restricted by law
- I understand and agree that the program will allow representatives of the enrollment agency, the local social services district and the State of New York access to the premises where child care is provided to children in receipt of child care assistance to confirm that information on my enrollment form and/or on attendance forms is true and accurate, and that child care services are being provided as listed on these forms. I understand that if I do not allow access, then the program will be considered ineligible, the program's enrollment will be terminated, and the program will not be paid by the local social services district.
- I understand and agree to meet all of the conditions stated on this form for as long as I am providing child care. I understand that I am required to inform the enrollment agency and the parent/caretaker if there is a change in the information stated on the enrollment form.
- I agree to review each **Part B**, Enrollment Form for Parent/Caretaker for Legally Exempt Group Child Care Program, for each child enrolled in this group program.
- I understand the decision to enroll in the program is based on the facts provided and attested to on the enrollment form. Providing false information or deliberately concealing information may result in an inaccurate determination of my eligibility to provide child care to children in receipt of child care assistance, and/or denial or termination of enrollment. If I provide child care services while enrolled under false pretenses, or while I am an ineligible child care provider, the local social services district may refuse to issue child care assistance payments, terminate child care assistance payments, take legal action against the program or the parent/caretaker, and the program may be required to repay any money I receive for such services.

OCFS-LDSS-4700 (Rev. 04/2023)

CCFS ID:

## PART B: ENROLLMENT FORM FOR PARENT/CARETAKER FOR LEGALLY EXEMPT GROUP CHILD CARE PROGRAM

**Part B** must be completed by the parent/caretaker enrolling his/her child(ren) receiving assistance in a legally exempt group child care program.

## **SECTION 1: Program Information**

Program Information											
Child Care Program's Legal Name:					Enrollment	Number (if known)	)				
Site Address: Street Address			Apt/FI#		City		State Zi		Zip Code		County
SECTION 2: Parent/Caretak		nation									
Parent/Caretaker Information	1:										
Name: First		Last (Please include a	ny ALIASES o	or M	AIDEN names	in parentheses.)			MI		Suffix
Date of Birth	Gender		Home P	me Phone					Work Phone		
/ /			(	) -				(			
Cell Phone ( ) -			Email A	Email Address							
Home Address: Street Address			Apt /FI #	# City S			State	Zip Cod	de County		
Mailing Address: Street Address/P.O. I	Box ☐ San	ne as above	·	A	pt/FI #	City			State		Zip Code
Child Care Assistance Paying District:			Preferre	d La	inguage			1			
(For Enrollment Agency Us	e)										
Received Date: /	1		(	Com	npleted Dat	e: /	1				

Facility Name:

# **SECTION 3: Children Receiving Child Care Assistance**

Child's Information								
Name, First:	Last:		MI:	Date of Birth:	Gender:			
				/ /				
Who will be responsible for meals/snacks? (Chec	ck one.)	Who will administer medica	•	•				
☐ Program			quiremen	its as stated in the instruction	ns)			
☐ Parent		☐ Parent						
Child's Information								
Name, First:	Last:		MI:	Date of Birth:	Gender:			
				/ /				
Who will be responsible for meals/snacks? (Chec	k one.)	Who will administer medica	•	•				
☐ Program		1 '	quiremen	its as stated in the instruction	ns)			
Parent		☐ Parent						
Child's Information								
Name, First:	Last:		MI:	Date of Birth:	Gender:			
				/ /				
Who will be responsible for meals/snacks? (Chec	k one.)	Who will administer medication? (Check one.)						
Program		Program (must meet requirements as stated in the instructions)						
☐ Parent		☐ Parent						
Child's Information			,					
Name, First	Last		MI	Date of Birth	Gender			
		1		1 1				
Who will be responsible for meals/snacks? (Chec	k one.)	Who will administer medica	•	•				
Program		Program (must meet requirements as stated in the instructions)						
☐ Parent		☐ Parent						
Child's Information			T	T				
Name: First:	Last:		MI:	Date of Birth:	Gender:			
MI 111 ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )		T 1477 - 211 - 1 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -						
Who will be responsible for meals/snacks? (Chec	k one.)	Who will administer medication? (Check one.)						
Program		Program (must meet requirements as stated in the instructions)						
☐ Parent		☐ Parent						

## **SECTION 4: Parent/Caretaker Certification**

To the best of my knowledge, I hereby affirm that the information provided on <i>Part B</i> of this form is true and complete. I understand that the information is subject to verification and that making a materially false statement or affirmation may result in denial or termination of the enrollment, social services terminating child care assistance payments, and/or legal action against the parent/caretaker for deliberately	
presenting false or misleading information.	
Signature of Parent/Caretaker:	Date:
	1 1

# **SECTION 5: On-Site Director Certification**

I hereby affirm that I have reviewed <i>Part B</i> of this form, and that to the best of my knowledge, the information provided on this form is true and complete. I understand that the information is subject to verification and that making a materially false statement or affirmation may result in denial or termination of the enrollment for deliberately presenting false or misleading information.	
Signature of On-Site Director:	Date:
	1 1

This page intentionally left. Blank

## Detach here and retain for your own records.

#### **SECTION 6: Parent/Caretaker Attestations and Agreements**

## By signing this enrollment application, the parent/caretaker attests and agrees to the following:

- I understand it is my responsibility to choose a program that meets the needs of my child(ren). I certify that I have selected this program to care for my child(ren).
- I have reviewed the Health and Safety Requirements listed in the **18 NYCRR 415** and agree that the provider must meet and continue to meet all requirements.
- My child care program must give me unlimited and on-demand access to the following, including:
  - Access to my child(ren)
  - The right to inspect, at any time during the hours of operation, all parts of the facility used for child care or which could present a hazard to the health and/or safety of my child(ren)
  - Access to the staff for my child(ren)
  - Access to written records about my child(ren) except when otherwise restricted by law
- I will notify the enrollment agency immediately if
  - my address or phone number changes, <u>OR</u>
  - o I have any concerns about the health and safety of my child(ren) in the program's care.
- I understand that this enrollment applies ONLY to the program and the location of care listed in *Part A, Section 1*. If the program <u>OR</u> the location of care changes, this enrollment ends, and I must submit a new enrollment form for the new program or the new location.
- I will immediately notify the local social services district and the program if the hours that I need child care or other circumstances related to my need or eligibility for child care change.
- I agree to pay my family share, if any, as directed by the local social services district.
- I understand that if the program is denied enrollment or has its enrollment terminated, the program will be considered ineligible to provide child care. The local social services district cannot pay a program or issue payment for the care given by a program that cannot be enrolled or is ineligible to receive child care payment.
  - o If I choose to use an ineligible program, the program can hold me responsible to pay for the child care.
  - o I understand I have the right to select another program.
- I understand the decision to enroll in this program is based on the facts provided and attested to on the enrollment form. Providing false information or deliberately concealing information may result in an inaccurate determination of the program's eligibility to provide child care to children receiving child care assistance, and/or a denial or termination or enrollment. If the program provides child care services while enrolled under false pretenses, or while the program is an ineligible child care provider, the local social services district may refuse to issue child care assistance payments, terminate child care assistance payments, and/or take legal action against me or the child care provider.