

Conflicts of Interest Board
Regulatory History of the Rules of the Board
Title 53 of the Rules for the City of New York

Listed below are links to the Notice of Adoption for each Board Rule, including amendments thereto.

Chapter 1: Conflicts of Interest

Rule 53 RCN	Title	Action	Publication Date	Effective Date
§ 1-01	Valuable Gifts	Adopted	July 13, 1990	Aug. 12, 1990
		Amended (a); added (b); relettered (b)-(j) as (c)-(j)	Oct. 8, 1997	Nov. 7, 1997
		Amended (a), (b)	Dec. 30, 1998	Jan. 29, 1999
		Amended (i); added (k)	June 9, 2000	July 9, 2000
		Amended (f)(4), (g)	Dec. 15, 2000	Jan. 14, 2001
		Amended (d), (f)(4); deleted (e)(5); relettered (e)(6) as (e)(5)	Dec. 27, 2006	Jan. 26, 2007
		Repealed and replaced (h)	April 3, 2020	May 3, 2020
		Repealed and replaced (e)	March 1, 2021	March 31, 2021
		Repealed and replaced (i)-(k); added (l)-(m)	May 27, 2021	June 26, 2021
		Repealed and replaced (a)-(c)	April 26, 2022	May 26, 2022
§ 1-02	Public Servants Charged with Substantial Policy Discretion	Adopted	July 13, 1990	Aug. 12, 1990
		Amended	Sept. 23, 1997	Oct. 23, 1997
		Relettered; added (b)	May 30, 2001	June 29, 2001
		Amended (a)	Jan. 30, 2004	Feb. 29, 2004
		Amended (a)	Oct. 24, 2019	Nov. 23, 2019
		Amended	May 31, 2024	June 30, 2024

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 1-03</u>	Definition of Lesser Political Office than that of Assembly Leader Which May be Held by Members of the City Council	Adopted	April 27, 1990	May 27, 1990
		Added (d)	July 16, 1999	Aug. 15, 1999
<u>§ 1-04</u>	Investments in Publicly Traded Securities	Adopted	Sept. 21, 1990	Oct. 21, 1990
		Relettered; amended title and (a); added (b) and (c)	Feb. 22, 2021	March 24, 2021
<u>§ 1-05</u>	Definition of Blind Trust	Adopted	Sept. 21, 1990	Oct. 21, 1990
		Amended (a), (a)(3)	Dec. 30, 1998	Jan. 29, 1999
<u>§ 1-06</u>	Definition of Primary Employment with the City	Adopted	Dec. 9, 1991	Jan. 8, 1992
<u>§ 1-07</u>	Post-Employment	Adopted	Nov. 6, 1991	Dec. 6, 1991
		Repealed and Replaced	Oct. 27, 2020	Nov. 26, 2020
<u>§ 1-08</u>	Definition of “Other Similar Entity” within the Definition of “Firm”	Adopted; renumbered former § 1-08 as § 4-01	Dec. 21, 2018	Jan. 20, 2019
		Consolidated and amended (a)(6); renumbered (a)(7) through (a)(9)	March 1, 2021	March 31, 2021
<u>§ 1-09</u>	Prohibited Appearances Before City Agencies by City Planning Commissioners	Adopted	June 18, 1992	July 18, 1992
		Amended	Aug. 25, 2020	Sept. 24, 2020

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 1-10</u>	Prohibited Business or Financial Relationships Between a Superior and a Subordinate	Adopted; renumbered former § 1-10 as § 4-02	Dec. 21, 2018	Jan. 20, 2019
<u>§ 1-11</u>	Adjustment of Dollar Amount in Definition of "Ownership Interest"	Adopted	Oct. 28, 1994	Nov. 27, 1994
		Amended	June 11, 1998	July 11, 1998
		Amended	Jan. 28, 2003	Feb. 27, 2003
		Amended	March 14, 2006	April 13, 2006
		Amended	April 8, 2010	May 8, 2010
		Amended	Dec. 16, 2013	Jan. 15, 2014
		Amended	Feb. 9, 2018	March 11, 2018
		Amended	Jan. 26, 2022	Feb. 25, 2022
<u>§ 1-12</u>	Definition of "Particular Matter" for Tax Commissioners and Certain Other Public Servants in the Tax Commission, Department of Finance, Comptroller's Office, and Law Department in Relation to Real Estate Tax Assessments	Adopted	June 4, 1997	July 4, 1997
<u>§ 1-13</u>	Use of City Time and City Resources	Adopted	July 9, 1998	Aug. 8, 1998
		Amended (d)	Jan. 9, 2007	Feb. 8, 2007
		Relettered (e) as (f); added (e)	Feb. 8, 2019	March 10, 2019
		Amended (e)	Dec. 16, 2019	Jan. 15, 2020
		Amended title and (b)-(f)	June 22, 2020	July 22, 2020
<u>§ 1-14</u>	Official Fundraising	Adopted	May 17, 2019	June 16, 2019
<u>§ 1-15</u>	Community Board Service	Adopted	Oct. 24, 2019	Nov. 23, 2019
<u>§ 1-16</u>	Prohibited Gifts from Lobbyists and Exceptions Thereto	Adopted	Dec. 27, 2006	Jan. 26, 2007

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 1-17</u>	Accomplice Liability	Adopted; renumbered former § 1-17 as § 4-06	June 22, 2020	July 22, 2020
<u>§ 1-18</u>	Use of City Title in Promotional Materials	Adopted	Aug. 25, 2020	Sept. 24, 2020

Chapter 2: Procedural Rules for Hearings

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 2-01</u>	Applicability and Definitions	Adopted	Aug. 5, 1991	Sept. 4, 1991
		Amended	Feb. 6, 1996	March 7, 1996
		Repealed and Replaced	Aug. 30, 2019	Sept. 29, 2019
		Amended	Feb. 2, 2024	March 3, 2024
<u>§ 2-02</u>	Notices of Probable Cause and Pre- Hearing Procedures	Adopted	Aug. 5, 1991	Sept. 4, 1991
		Amended	Feb. 6, 1996	March 7, 1996
		Repealed and Replaced	Aug. 30, 2019	Sept. 29, 2019
		Amended	Feb. 2, 2024	March 3, 2024
<u>§ 2-03</u>	Enforcement Hearings and Post-Hearing Procedures	Adopted	Aug. 5, 1991	Sept. 4, 1991
		Amended	Feb. 6, 1996	March 7, 1996
		Repealed and Replaced	Aug. 30, 2019	Sept. 29, 2019
		Amended	Feb. 2, 2024	March 3, 2024
<u>§ 2-04</u>	Decisions and Orders	Adopted	Aug. 5, 1991	Sept. 4, 1991
		Amended	Feb. 6, 1996	March 7, 1996
		Repealed	Aug. 30, 2019	Sept. 29, 2019
<u>§ 2-05</u>	General Matters	Adopted	Aug. 5, 1991	Sept. 4, 1991
		Amended	Feb. 6, 1996	March 7, 1996
		Repealed	Aug. 30, 2019	Sept. 29, 2019

Chapter 3: Organizations Affiliated with Elected Officials

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 3-01</u>	Definitions	Adopted	Feb. 14, 2018	March 16, 2018
<u>§ 3-02</u>	Procedures for Obtaining a Determination by the Board that an Elected Official or an Agent of an Elected Official Does Not Exercise Control over an Entity	Adopted	Feb. 14, 2018	March 16, 2018
<u>§ 3-03</u>	Factors by which the Board Will Determine Whether an Entity is Affiliated with an Elected Official	Adopted	Feb. 14, 2018	March 16, 2018
		Amended	May 17, 2019	June 16, 2019
<u>§ 3-04</u>	Annual Reporting by Organizations Affiliated with an Elected Official	Adopted	Feb. 14, 2018	March 16, 2018
		Amended	May 17, 2019	June 16, 2019
		Amended	Feb. 14, 2020	March 15, 2020
<u>§ 3-05</u>	Record Retention	Adopted	Feb. 14, 2018	March 16, 2018

Chapter 4: Annual Disclosure

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 4-01</u>	Procedures for Obtaining an Extension of Time to File an Annual Disclosure Report	Adopted as § 1-08	Feb. 26, 1992	March 27, 1992
		Renumbered as § 4-01	Dec. 21, 2018	Jan. 20, 2019
		Repealed and Replaced	Oct. 7, 2020	Nov. 6, 2020

Rule 53 RCN	Title	Action	Publication Date	Effective Date
<u>§ 4-02</u>	Retention of Annual Disclosure Reports	Adopted as § 1-10	July 14, 1994	Aug. 13, 1994
		Amended (b)(2)	March 28, 2001	April 27, 2001
		Renumbered as § 4-02	Dec. 21, 2018	Jan. 20, 2019
		Repealed and Replaced	Oct. 7, 2020	Nov. 6, 2020
<u>§ 4-03</u>	Reserved (formerly City Employees Holding Policymaking Positions for Purposes of the Financial Disclosure Law)	Adopted as § 1-14	Dec. 23, 2003	Jan. 22, 2004
		Renumbered as § 4-03	Dec. 21, 2018	Jan. 20, 2019
		Repealed and Replaced	Oct. 7, 2020	Nov. 6, 2020
		Repealed	May 31, 2024	June 30, 2024
<u>§ 4-04</u>	City Employees Whose Duties Involve the Negotiation, Authorization, or Approval of Contracts and of Certain Other Matters	Adopted as § 1-15	Jan. 30, 2004	Feb. 29, 2004
		Renumbered as § 4-04	Dec. 21, 2018	Jan. 20, 2019
	Contract Filers	Repealed and Replaced	Sept. 9, 2025	Oct. 9, 2025
<u>§ 4-05</u>	Deadlines for the Submission of Annual Disclosure Reports for Candidates Seeking Matching Funds	Adopted	June 1, 2020	July 1, 2020

Rule 53 RCN	Title	Action	Publication Date	Effective Date
§ 4-06	Financial Disclosure Appeals Rule	Adopted as § 1-17	Feb. 4, 2011	March 6, 2011
		Renumbered as § 4-06	June 22, 2020	July 22, 2020
§ 4-07	Procedures for the Enforcement of Penalties Against Late Filers	Adopted	July 6, 2023	Aug. 5, 2023

Chapter 5: Legal Defense Trusts

Rule 53 RCN	Title	Action	Publication Date	Effective Date
§ 5-01	Definitions	Adopted	Aug. 28, 2019	Sept. 27, 2019
§ 5-02	Donations	Adopted	Aug. 28, 2019	Sept. 27, 2019
§ 5-03	Reporting and Disclosure	Adopted	Aug. 28, 2019	Sept. 27, 2019
§ 5-04	Dissolution	Adopted	Aug. 28, 2019	Sept. 27, 2019
§ 5-05	Electronic Submission of Compliance Documents	Adopted	Aug. 28, 2019	Sept. 27, 2019
§ 5-06	Record Retention	Adopted	Aug. 28, 2019	Sept. 27, 2019