



New York City Administration for Children's Services
Office of the General Counsel
150 William Street, 18th floor
New York, New York 10038

Gladys Carrión, Esq.
Commissioner

Joseph Cardieri, Esq.
General Counsel/Deputy Commissioner

BY HAND DELIVERY

Carolyn Miller
Executive Director
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: Disclosure of Donations
November 15, 2016 Reports

RECEIVED BY
CONFLICTS OF INTEREST BD
2016 NOV 16 P 12:02
3:10 pm
A

Dear Mr. Davies:

In accordance with the public disclosure requirements set forth in Advisory Opinion No. 2003-4 of the NYC Conflicts of Interest Board, enclosed please find the following:

1. List of donations received by ACS, reflecting donations of \$5,000 or more for the reporting period April 1, 2016 to September 30, 2016;
2. List of donations received by New Yorkers for Children ("NYFC"), ACS's affiliated not-for-profit, of \$5,000 or more for the reporting period April 1, 2016 to September 30, 2016;
3. List of any not-for-profit organizations for which Commissioner Carrión sought private contributions and/or endorsed during the relevant reporting period.

These reports also include cumulative donation amounts of \$5,000 or more, within the past 24 months, for each listed individual or entity.

Further, in addition to the third report listed above, and pursuant to Advisory Opinion No. 2008-6 please be advised that ACS did not seek private contributions on behalf of any non-affiliated not-for-profit organization within the six month period ending September 30, 2016.



NEW YORK CITY CHILDREN'S SERVICES
150 William Street, 18th Floor
New York, NY 10038

Gladys Carrión, Esq.
Commissioner

Solicitations for Private Contributions and Endorsements* Made by ACS Commissioner Gladys Carrión, Esq.

Reporting Period: April 1, 2016 -- September 30, 2016

Preparer: Mary Nam, Chief of Staff to the Commissioner

Preparer Tele./Extension/ Email: 212-341-2752 / mary.nam@acs.nyc.gov

Names of Not-for-Profit Entities for Whom the Head of Agency Sought Support

None

**Per Advisory Opinion 2008-6*



OFFICE OF
ADMINISTRATIVE
TRIALS AND HEARINGS

100 CHURCH STREET, 12TH FLOOR, NEW YORK, NEW YORK 10007

FIDEL F. DEL VALLE
COMMISSIONER
CHIEF ADMINISTRATIVE LAW JUDGE
(212) 933-3001

TYNIA D. RICHARD
DEPUTY COMMISSIONER
& GENERAL COUNSEL
(212) 933-3015

October 31, 2016

Wayne G. Hawley, Esq.
General Counsel
Conflicts of Interest Board
2 Lafayette Street, 10th Floor
New York, NY 10007

Re: Donation Disclosure Report
April 1, 2016, through September 30, 2016

Dear Mr. Hawley:

Pursuant to Advisory Opinions 2003-4 and 2008-6, this letter serves to inform the Board that the Office of Administrative Trials and Hearings received no applicable donations for the period of April 1, 2016, through September 30, 2016, and is not affiliated with any not-for-profit entity.

If you have any questions, please do not hesitate to contact me.

Sincerely,

Tynia D. Richard
Deputy Commissioner & General Counsel



Donna M. Corrado, Ph.D.
Commissioner

Steven Foo
General Counsel

2 Lafayette St.
New York, NY 10007

212 602 4100 tel
212 442 1095 fax

November 10, 2016

Mr. Wayne Hawley
Conflict of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Re: **COIB Financial Disclosures under AO 2003-4 and AO 2008-6**

Dear Wayne:

The following list comprises the Department for the Aging's (DFTA) disclosures required under AO 2003-4 and AO 2008-6 for the reporting period of April 1, 2016 through September 30, 2016:

- **AO 2003-4 Listing of All Donations of Money, Goods or Services with a Value of \$5,000 or More:**
 - None.

- **AO 2008-6 Unaffiliated Not-for-Profit Entities For Whom DFTA Solicited Support:**
 - Aging in New York Fund, 2 Lafayette Street, Suite 2100, New York, NY 10007
 - Bay Ridge Center, 6935 Fourth Avenue, Brooklyn, NY 11209
 - Carter Burden Center for the Aging, 1484 First Avenue, New York, NY 10075
 - Council on Social Work Education, 1701 Duke Street, Suite 200, Alexandria, VA 22314
 - Meals on Wheels of Staten Island, 304 Port Richmond Avenue, Staten Island, NY 10302
 - Mental Health Association of New York, 50 Broadway, 19th Floor, New York, NY 10004
 - New York Academy of Medicine, 1216 Fifth Avenue, New York, NY, 10029
 - New York University College of Nursing, 433 First Avenue, #742, New York, NY 10010
 - New York University School of Medicine, 550 1st Avenue, New York, NY 10016
 - Silberman School of Social Work, 2180 Third Avenue, New York, NY 10035
 - State University of New York (SUNY) Downstate Medical Center, 450 Clarkson Ave, Brooklyn, NY 11203



- Weill Cornell Medical College, 525 East 68th Street, New York, NY 10065

Please feel free to call me with any questions.

Sincerely,

A handwritten signature in blue ink, consisting of several loops and a long horizontal stroke at the bottom.

Steven Foo



OFFICE OF THE BROOKLYN BOROUGH PRESIDENT

Andrew S. Gounardes
Counsel to the Borough President

January 6, 2017

Mr. Wayne Hawley
General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street – Suite 1010
New York, NY 10007

VIA EMAIL

RE: Disclosures of donations to and on behalf of the Brooklyn Borough President's Office and affiliated not-for-profit organizations

Dear Mr. Hawley:

Pursuant to COIB Advisory Opinions 2003-4 and 2008-6, I am writing to provide amended information concerning donations made to and on behalf of the Brooklyn Borough President's Office for the period April 1, 2016 – September 30, 2016.

Enclosed is an updated spreadsheet detailing all donations received by this office that are greater than or equal to \$5,000 for the period. The spreadsheet is amended to reflect the total value of donations received by Visa. In addition to a monetary donation made by Visa to the Brooklyn Borough President's Office, there was also an in-kind donation made to support the 'Dine in Brooklyn' initiative which was not previously reported.

I trust that this information will be sufficient to comply with all relevant COIB protocol. Should you require any additional information, please do not hesitate to contact me.

Sincerely,



Andrew S. Gounardes

Company/Donor	6 Months 04/01/16 to 09/30/16 Letter	2 Years 10/01/14 to 09/30/16 Letter		
Abrams Fensterman Fensterman LLP	A	A	Legend:	Range:
Broadway Stages (in kind)	D	D	A	\$5,000 to under \$20,000
Brooklyn Borough President's Office	A	B	B	\$20,000 to under \$60,000
Daily News (in kind)	B	B	C	\$60,000 to under \$100,000
Health Care Partners (in kind)	A	A	D	\$100,000 to under \$250,000
Hill Country (in kind)	A	A	E	\$250,000 to under \$500,000
Hubert's Lemonade (in kind)	A	A	F	\$500,000 to under \$1,000,000
Investors Bank	B	B	G	\$1,000,000 or more
MCU (in kind)	A	A		
NYC & Company Foundation, Inc.	C	D		
Peter Aschkenasy	A	A		
Robert B. Catell	A	A		
Schnepps Communications (in kind)	A	A		
Subway (in kind)	A	A		
Walentas Foundation	B	B		
WBLS (in kind)	A	A		
Visa (monetary & in kind)	C	C		
Yelp (in kind)	B	B		

Non-Profit Orgs. Receiving Support Letters	
African American Planning Commission	Lasante Health Center
America Asia Economy & Culture Development Association	Legal Information for Families Today (LIFT)
Artbridge	Literal Libraries
Bed-Stuy Parents Committee	Music First Coalition
Beginning with Children Foundation	My True Colors Festival (True Colors Project)
Success Academy Charter Schools	Neighbors Allied for Good Growth
BioBAT, Inc.	New Creation Community Health Empowerment Inc.
BMS Family Health Center	New York Metropolitan Cricket League
Boy Scout Troop 182	Nia Theatrical Production Company, Inc.
BROOKLYN BALLERS, INC.	NYU
Brooklyn Birthing Center	Organizing for Action
Brooklyn Community Foundation	Pitkin Avenue Business Improvement District
Brooklyn Marine Steam Education Alliance	Pratt Institute Brooklyn Fashion + Design Accelerator
Brooklyn Microgrid	Public Utility Law Project
Brooklyn Sports & Entertainment	Reformed Protestant Dutch Church of Flatbush
Caribbean American International Chaplains Association, Inc.	and Globalization
Civil Aid Services, Inc.	Smart Cities NYC
Cypress Hills Local Development Corporation	STREB Inc.
Downstate Technology Center, Inc.	The David & Dovetta Wilson Scholarship Fund
Jewish Community Council of Greater Coney Island, Inc.	Transportation Alternatives
Friends of + POOL	United Negro College Fund - New York
GallopNYC	Workers Unite Film Festival
Harlem Historical Society	NY Sunworks
JSMLI INC	Legal Interpreting Services, Inc.
Las Americas Charter School	

MELINDA KATZ
PRESIDENT



(718) 286-3000
web site: www.queensbp.org
e-mail: info@queensbp.org

CITY OF NEW YORK
OFFICE OF THE
PRESIDENT OF THE BOROUGH OF QUEENS
120-55 QUEENS BOULEVARD
KEW GARDENS, NEW YORK 11424-1015

To: Wayne Hawley, General Counsel, NYC Conflicts of Interests Board
From: Angelina Martinez-Rubio, Counsel, Queens Borough President Melinda Katz's Office
Date: November 1, 2016
Re: Report on Fundraising for non-affiliated entities for period covering April 1, 2016 – September 30, 2016 pursuant to Conflict of Interests Board Advisory Opinions 2003-4 and 2008-6

715 Veterans Association
A Cause, A Concern, A Solution
African-American Caribbean Education Association, Inc.
AIDS Center of Queens County
Alley Pond Environmental Center
Avigdor's Helping Hand
Bangladesh Hindu Mandir
Bayside High School
Bellerose Merchant Association
Bruhud NY Seniors
Caribbean Muslim Convention
Center for Islamic Studies
CHAZAQ
Corona Congregational Church Inc., United Church of Christ
Dominican Day Parade Committee
First Baptist Church
Floral Park Bellerose Indian Merchants Association, Inc.
Flushing Central Lions Club
Flushing Chinese American Association
Flushing Council on Culture and the Arts
Greater Allen A.M.E. Cathedral
Guyana's 50 Year Independence Committee
Haven International Ministries
Havurat Israel
Hunger Free America
Increase Carpenter Chapter of the National Society of the Daughters of the American Revolution
King of Kings Foundation
Korean Community Services of Metropolitan New York
Jamaica Bay Eco Watchers

Jamaica Hospital Medical Center
Jamaica Muslim Center
The Josephine Foundation
Langston Hughes Community Library and Cultural Center
Laurelton Memorial Day Parade Committee
Lion's Club International District 20K1
Maple Grove Cemetery
Minkwon Center for Community Action
MediSys Health Network
Mount Horeb Baptist Church
Museum of Chinese in America
National Association of Town Watch
New American Women's Forum of NY
New Hamilton Beach Civic Association
New York Asian American Realtors Association
New York Chinese Business Association
NYCHSRO/MedReview
Public Health Solutions
Queens County St. Patrick's Day Parade Committee
Queens County Young Democrats
Queens Courier
Queens Jewish Community Council
Queens Theatre
Richmond Hill/South Ozone Park Lions Club
Ridgewood YMCA
SHARE
Sing Tao Daily Newspaper
Socrates Sculpture Park
St. Luke Cathedral
Taiwanese American Arts Council
Trinidad and Tobago United Community Association
United Adult Ministries
West Indian American Day Carnival Association, Inc.
York College

November 1, 2016

Carolyn Miller
Executive Director
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Re: COIB Disclosures Pursuant to AO 2003-4 and AO 2008-6

Dear Ms. Miller:

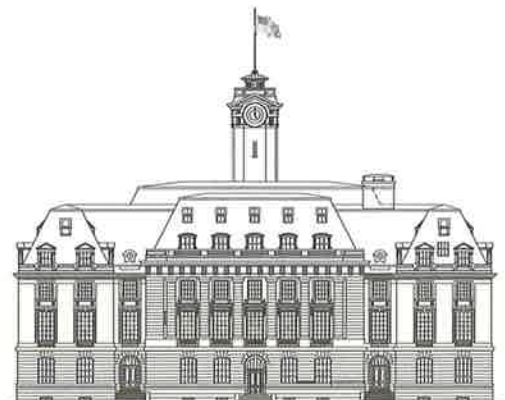
The following represents the disclosures of the Staten Island Borough President's Office for the period from April 1, 2016 to September 30, 2016 pursuant to the above-referenced Advisory Opinions.

- **AO 2003-4:** listing of all donations of money, goods, or services with a value of \$5,000 or more: None
- **AO 2008-6:** listing of the names of all non-for-profit entities for which City agencies solicited support and/or endorsed:
 - Turkish Cultural Center
 - Public Health Solutions
 - New York Legal Assistance Group
 - YMCA Counseling Service
 - Black Caucus of the CSA
 - Christ Church
 - Gianna Nicole's Heart of Hope Foundation
 - Council of Jewish Organizations of Staten Island
 - Staten Island Liberian Community
 - SHARE
 - Holy Rosary Church
 - Columbia Association
 - Garibaldi-Meucci Museum

Thank you for your time, courtesy, and consideration.

Very truly yours,


Christopher DeCicco
Counselor to the Borough President





Rick D. Chandler, P.E.
Commissioner

Alexandra Fisher
Deputy Commissioner
Legal & Regulatory Affairs

280 Broadway
7th Floor
New York, NY 10007
www.nyc.gov/buildings

+1 212 393 2705 tel
+1 212 566 3843 fax

November 10, 2016

Wayne G. Hawley
Deputy Executive Director & General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010

Re: Disclosure of Donations and Fundraising Report (April 1, 2016, through September 30, 2016)

Dear Mr. Hawley:

This letter constitutes the Department of Buildings' (DOB) disclosure report for the April 1, 2016 through September 30, 2016 filing period. During this time period, DOB received a gift in kind of services estimated to be worth \$30,000 from Microsoft Corporation, which in order to provide these services, engaged Spruce Technology for an amount not to exceed \$30,000 to provide DOB with a Proof of Concept/Pilot to enhance the benefits of Microsoft technology in its DOB environment.

The Department did not receive any other funding or solicit support for entities that are subject to disclosure during the relevant time period.

Please feel free to contact me should you require anything further.

Sincerely,

A handwritten signature in black ink, appearing to be the name "Alexandra Fisher".

Alexandra Fisher
Deputy Commissioner, Legal and Regulatory Affairs

Chart B - COIB Advisory Opinion 2008-6 Disclosure for the period 04/01/16 - 09/30/16

Council Member's Name: Melissa Mark-Viverito

List of Non City-Affiliated Not-for-Profits for which the Council Member Solicited Support

1	National Coalition Against Domestic Violence
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	

Chart B - COIB Advisory Opinion 2008-6 Disclosure for the period 04/01/16 - 09/30/16

Council Member's Name: DANIEL DROMM

List of Non City-Affiliated Not-for-Profits for which the Council Member Solicited Support

1	SAMARITAN VILLAGE
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
15	

Chart B - COIB Advisory Opinion 2008-6 Disclosure for the period 04/01/16 - 09/30/16

Council Member's Name: VINCENT J. GENTILE

List of Non City-Affiliated Not-for-Profits for which the Council Member Solicited Support

1	REACHING OUT COMMUNITY SERVICES
2	NIA COMMUNITY SERVICES NETWORK
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	



Lisette Camilo
Commissioner

November 15, 2016

David N. Dinkins
Municipal Building
1 Centre Street
17th Floor
New York, NY 10007

(212) 386 0201 tel
nyc.gov/dcas

Ms. Carolyn Miller
Executive Director
Conflicts of Interest Board
2 Lafayette, 10th Fl.
New York, NY 10007

RE: DCAS Disclosure of Donations and Fundraising Efforts
for Period April 1, 2016 through September 30, 2016

Dear Ms. Miller:

Pursuant to COIB Advisory Opinions 2003-4 and 2008-6, the Department of Citywide Administrative Services ("DCAS") discloses the following donations and fundraising efforts for the above-stated reporting period:

Donations

DCAS has no record of receiving donations of money, goods, or services with a value of \$5000 or more.

Fundraising/Endorsements of Unaffiliated Not-for-Profits

New York Blood Center (through the citywide blood donation program promoting blood donations by City employees).

\$5000 donation to NY State NAACP for the 80th Anniversary Celebration.

If you have any questions, please do not hesitate to contact me at (212) 386-0201 or DCAS's COIB legal liaison, Bisha Nurse at (212) 386-6252.

Sincerely,

A handwritten signature in black ink, appearing to read "Lisette Camilo". The signature is fluid and cursive.

Lisette Camilo

c : Suzanne M. Lynn, General Counsel, DCAS
Sanford Cohen, Deputy General Counsel of Human Capital, DCAS

From: [Pfefferblit, Noah \(CB\)](#)
To: [Amber Gonzalez](#)
Cc: [Wayne Hawley](#)
Subject: FW: Reminder: Disclosure of Donations and Fundraising Efforts Due November 15, 2016
Date: Thursday, October 20, 2016 7:32:58 PM
Attachments: [image002.png](#)

We received \$6,000 from Mardi Gras Productions in September 2016. These were proceeds from fund-raising events sponsored by Manhattan Community Board 1.

Let me know if you need any additional information.

Regards,

Noah Pfefferblit
District Manager
Manhattan Community Board 1
npfefferblit@cb.nyc.gov
1 Centre Street, Room 2202 North
New York, NY 10007
Phone (212)669-7970 (o), 347-635-5720 (mobile)
Website: nyc.gov/html/mancb1/



From: Amber Gonzalez [<mailto:Gonzalez@coib.nyc.gov>]
Sent: Monday, October 03, 2016 11:39 AM
To: Amber Gonzalez
Subject: Reminder: Disclosure of Donations and Fundraising Efforts Due November 15, 2016

Greetings,

The reports for the period April 1, 2016, through September 30, 2016, for donations to City agencies and for official fundraising for unaffiliated not-for-profits, required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively, **are due to the Board by November 15, 2016.**

AO 2003-4 (attached, see especially pages 22-23) requires a listing of all donations of money, goods, or services with a value of \$5000 or more.

AO 2008-6 (also attached, see especially pages 12-13) requires a listing of the names of all not-for-profit entities for which City agencies, based on a determination by their agency head, solicited support and/or endorsed.

*****Please note that these reports, in whatever format you choose to submit, as well as all previously submitted reports, will soon be posted on the Board's website.*****

Please be advised that:

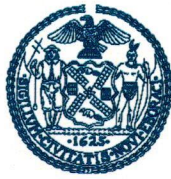
- 1) The two above-referenced Advisory Opinions contain **different** disclosure requirements.
- 2) For any disclosure for which your agency requests that the donor remains anonymous, that is, where you request a waiver of the requirement to disclose the identity of the donor, you must include a separate document stating the following: a) the identity of those staff of your agency who know the identity of the donor, b) that your agency has received a statement from the donor that the donor, the donor's family, and any firm in which the donor has a controlling interest or has a high executive position are not engaged in business dealings with your agency, or, if that is not the case, that the donor is not involved in such business dealings, and c) that your agency will maintain on file the donor's statement and that to the best of your agency's knowledge that statement is accurate.
- 3) If another individual at your agency can better respond to these disclosure requirements, please provide me with his or her name and contact information.

If you have any questions about these reports, you may contact me.

Thank you,

Amber Gonzalez
Assistant Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007
(212) 442-1405
gonzalez@coib.nyc.gov
<http://www.nyc.gov/ethics>

This message is intended only for the use of the Addressee and may contain information that is PRIVILEGED and CONFIDENTIAL. If you are not the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please permanently delete all copies of the message and its attachments and notify us immediately at 212-442-1400. Thank you.



KATHRYN E. DIAZ
GENERAL COUNSEL

CITY OF NEW YORK
OFFICE OF THE COMPTROLLER
SCOTT M. STRINGER

OFFICE OF THE GENERAL COUNSEL

November 14, 2016

Carolyn Lisa Miller
Executive Director
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Re: Disclosure of Donations and Fundraising Efforts for the period
April 1, 2016, through September 30, 2016

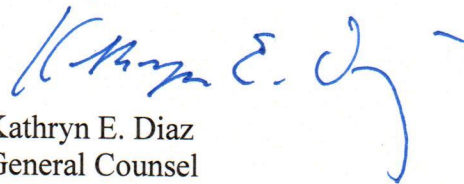
Dear Ms. Miller:

In compliance with NYC Conflicts of Interest Board (COIB) Advisory Opinion 2003-4, be advised that the Office of the New York City Comptroller Scott M. Stringer received no donations greater than \$5,000 in value from a single donor during the reporting period of April 1, 2016, through September 30, 2016.

In addition, in accordance with COIB Advisory Opinion 2008-6, I have enclosed lists of not-for-profit entities that the Comptroller has supported and/or endorsed through production of journal letters of support, speaking engagements, and service on honorary committees during this period.

If I can be of any additional assistance, please do not hesitate to contact me.

Sincerely,



Kathryn E. Diaz
General Counsel

Enclosures

Journal Letters

Event Date	Organization & Event
04/02/16	Morris Park Community Association 42nd Annual Dinner
04/02/16	Hindu Center's 51st Anniversary Gala Dinner
04/03/16	4th Annual Big Chazaq Event
04/03/16	Federation of Italian American Organizations of Brooklyn 39th Annual Fundraising Gala
04/03/16	Congregation Beit Simchat Torah New Temple Dedication Ceremony
04/07/16	Jewish Community Council of Marine Park Annual Legislative Reception
04/07/16	Turkish Cultural Center of Staten Island 7th Annual Friendship Dinner and Award
04/08/16	Stuyvesant High School Parents' Association Annual Spring Feast Dinner
04/09/16	Chhaya CDC 7th Annual Homebuyer Fair
04/10/16	Yeshiva of Central Queens 75th Anniversary Dinner
04/11/16	Brooklyn Conservatory of Music 2016 Gala: Music Moves
04/13/16	JCRC-NY 40th Anniversary Gala Dinner
04/16/16	The Jewish Children's Museum 11th Anniversary Gala Dinner
04/17/16	The Lowell School "Love for Lowell" Benefit Dinner
04/17/16	Jamaica Bangladesh Friends Society Jamaica Bangladesh Friends Society
04/18/16	LiveOn NY Annual Spring Gala
04/20/16	Muslim Bar Association of NY Gala and Awards Reception
04/20/16	New York State Trial Lawyers Association Law Day
04/21/16	Women's City Club of New York Centennial Civic Spirit Awards Gala
04/21/16	YWCA of Queens 38th Annual Gala
04/23/16	Sikh Cultural Society, Inc. Annual Sikh Day Parade
04/24/16	Rev. Dr. Thomas D. Johnson, Sr. 10th Pastoral Anniversary at Canaan Baptist Church
04/25/16	20th Anniversary of Shapla Welfare Associates and Reunion
04/25/16	Museum of Chinese in America 5th Annual Celebration of Community Heroes
04/28/16	Career Mobility Partnership Annual Career Building Awards Gala
04/28/16	Southside United HDFC - Los Sures Annual Fundraiser
04/28/16	Community Agency for Senior Citizens 30th Annual Spring Luncheon
04/29/16	The Society Of Asian Federal Officers 25th Annual Awards and Scholarship Banquet
04/30/16	Brooklyn Stuyvesant Heights Lions Club 28th Anniversary
05/01/16	Workers Unite Film Festival, Inc.
05/01/16	Time Television, Bangladesh American Friendship Society of NY and 66th Precinct Council Boishakhi (Bengali New Year) Mela
05/02/16	Korean American Family Service Center 27th Annual Gala
05/03/16	UJA-Federation of New York Global Marketing Leadership Award Dinner
05/05/16	National Organization of Black Law Enforcement Executives New York Chapter 33rd Annual Scholarship Awards Dinner
05/05/16	International Centre for Missing & Exploited Children 2016 Gala for Child Protection
05/06/16	Sakhi for South Asian Women Gala
05/07/16	Turkish American Eyup Sultan Cultural Center 1st Annual Brooklyn Turkish Festival
05/09/16	Reach Out and Read of Greater New York's Annual Benefit and Auction "Believe in
05/10/16	National Medical Fellowships, Inc. New York Champions of Health Awards
05/10/16	COJO-SI and NYPD Medal Ceremony in Honor of Precinct 122
05/11/16	Safe Horizon 21st Annual Champion Awards
05/12/16	New Dramatists 67th Annual Spring Luncheon
05/12/16	69th Precinct Community Council 2nd Annual Banquet

Journal Letters

Event Date	Organization & Event
05/12/16	Nanum Foundation 10th Annual "I Love NANUM" Gala
05/13/16	Staten Island Museum Spring Gala "Water Ways"
05/14/16	KCS 27th Parents' Day Festival and Health Fair
05/15/16	104th Precinct/Glendale Civilian Observation Patrol 40th Anniversary Dinner Dance
05/16/16	UJA-Federation of New York Lawyers Division Corporate Counsel Event
05/17/16	UJA-Federation of New York Entertainment, Media & Communications Division Sports for Youth Initiative Annual Luncheon
05/17/16	COJECO 15th Anniversary Celebration
05/18/16	New York Building Congress
05/18/16	Rockaway Development Revitalization Corp. 30th Annual Dinner
05/18/16	Apicha Community Health Center 26th Anniversary Gala
05/18/16	Brooklyn Children's Museum Spring Benefit
05/19/16	National Hemophilia Foundation Annual Spring Soiree
05/19/16	Boys Hope Girls Hope New York "Vision of Hope Awards Dinner"
05/20/16	Muktadhara Foundation 2016 International Bangla Festival & Book Fair
05/21/16	Federation of Turkish American Associations - Turkish Day Parade
05/21/16	Grandparents Around the World 10th Annual Grandparents Ball
05/22/16	Association of Chinese American Physicians USA Inc. 21st Annual Convention and Gala
05/22/16	Nepal Day Parade 2016
05/23/16	UJA-Federation of New York Theater Division
05/24/16	UJA-Federation of New York – Bankruptcy and Reorganization Group of The Lawyers Division Annual Luncheon
05/24/16	UJA-Federation of New York Life Insurance Division Annual Breakfast
05/24/16	New York Legal Assistance Group Annual Gala
05/25/16	Encore Community Services Annual "Heart to Heart" Awards Benefit
05/26/16	CPC Nan Shan Senior Center Annual Fundraiser
05/26/16	KAPA-GNY 25th Annual Teacher's Day Celebration
05/27/16	PS 130M Parents Association Annual Banquet
05/27/16	Korean American Youth Foundation 23rd Anniversary Gala
05/28/16	The Civil Aid Services 11th Annual Officers Installation Ceremony & Cadet Corps 10th Anniversary Fundraising Gala
05/31/16	UJA-Federation of New York General Insurance Division Dinner
06/01/16	DOROT's 40th Annual Spring Benefit
06/02/16	YouthBridge-NY Annual Fundraiser and Fellowship Graduation
06/02/16	UJA-Federation of New York Investment Management Division Event
06/04/16	43rd Anniversary of the 369th Veterans Association Inc – Richmond District Luncheon
06/05/16	Lincoln Square Synagogue
06/06/16	Primary Care Development Corporation Spring Gala
06/07/16	UJA-Federation of New York Lawyers' Division 25th Annual Trusts & Estates Group
06/07/16	UJA-Federation Hospitality Division Annual Cocktail Reception
06/08/16	Korean American Lawyers Association of Greater New York 30th Anniversary Gala
06/08/16	BRIC Celebrate Brooklyn! Performing Arts Festival 38th Season Opening Night Concert and Gala
06/08/16	Bronx Community College Foundation Gala

Journal Letters

Event Date	Organization & Event
06/09/16	UJA-Federation of New York Private Equity Division Event
06/09/16	Korea Times Newspaper 49th Anniversary
06/11/16	Brooklyn Pride Week Pride Guide - 20th Anniversary
06/12/16	Alpha Kappa Alpha - Epsilon Pi Omega Chapter Charter Day Celebration
06/12/16	Muslim Community Network 6th Annual Gala & Iftar
06/14/16	UJA Federation of NY Real Estate Division Annual Luncheon
06/15/16	Flushing Town Hall 37th Anniversary and Gala
06/15/16	Queens Unity Iftar
06/16/16	UJA-Federation of New York Real Estate Division Design & Construction Division Inaugural Cocktail Reception
06/16/16	Association of Electrical Contractors, Inc. 45th Annual Scholarship Dinner
06/16/16	UJA-Federation of New York Entertainment, Media and Communications Division Music Visionary Luncheon
06/16/16	Public Health Solutions Annual "To Your Health" Benefit
06/16/16	Adhikaar's Annual Spring for Justice Fundraiser Reception
06/17/16	Staten Island LGBT Center Annual "One Island One Pride" Dinner Dance
06/17/16	Local 372 14th Annual Scholarship Dinner Dance Awards Night
06/19/16	The Friendship Circle Fundraiser
06/19/16	Hindu Temple Society of North America 2nd International Yoga Day Celebration
06/21/16	Immigrant Social Services 2016 Gala
06/21/16	UJA-Federation of New York Wall Street and Financial Services Division Annual Banking and Finance Event
06/22/16	Project FIND Annual Gala
06/22/16	UJA-Federation of New York Wine & Spirits Division Annual Dinner
06/22/16	MAS Ibn Sina Grand Opening Ramadan Interfaith Iftar
06/24/16	U.S. Taekwondo Education Foundation Times Square Festival
06/24/16	Global Organization of People of Indian Origin Convention and 27th Anniversary
06/25/16	American Chinese Commerce Association 19th Annual Dinner Gala
06/28/16	Council of Jewish Organizations of Staten Island 49th Annual Anniversary Dinner and Awards Reception
06/29/16	Chinatown Partnership 9th Annual Gala
07/05/16	American Council of Minority Women 8th Annual Chaand Raat Bazaar 2016
07/06/16	UJA-Federation of New York Morris Bailey Leadership Award
07/09/16	China International Tourism Association 20th Anniversary / Jan Hsiu Jung 60th Birthday
07/10/16	Chittagong Association of North America Boishakhi Mela 2016
07/15/16	Sing Tao Newspaper 51st Anniversary
07/19/16	Siach Yitzchok 35th Annual Dinner
07/21/16	National Hispanic Business Group 31st Annual Awards Gala & Scholarship Presentation
07/22/16	Colombian Parade Annual Banquet
07/26/16	COJO-SI and NYPD Medal Ceremony in Honor of Precinct 120
07/28/16	Brooklyn Chinese Improvement Association 9th Anniversary Dinner
08/11/16	NYPD Muslim Officers Society 8th Annual Scholarship Dinner
08/13/16	Floral Park-Bellerose Indian Merchants Association Chapati Mela
08/14/16	Dominican Parade

Journal Letters

Event Date	Organization & Event
08/21/16	Federation of Indian Associations 36th Annual India Day Parade
08/28/16	Church McDonald Bangladeshi Business Association
09/05/16	49th Annual West Indian American Labor Day Carnival Festival & Parade
09/09/16	New York Chinese Business Association 26th Anniversary
09/09/16	NY Enping Hometown
09/10/16	NAACP Mid-Manhattan Branch 15th Annual Freedom Luncheon
09/15/16	BAPS Shri Swaminarayan Temple Grand Opening in Melville, Long Island, NY
09/18/16	Time Television 2nd Anniversary Gala Dinner
09/18/16	Queens Jewish Community Council 20th Testimonial Breakfast
09/19/16	SHARE 13th Annual "A Second Helping of Life" Tasting Benefit
09/21/16	Chazaq 4th Annual Dinner
09/21/16	Gouverneur Families 131st Anniversary Gala
09/22/16	New York Asian American Realtors Association
09/24/16	Caribbean Muslim Convention 2016: "One Nation Under God: The American Muslim"
09/26/16	Boys & Girls Harbor 2016 Salute to Achievement
09/27/16	Marine Park Civic Association 90th Anniversary Dinner Dance
09/27/16	Woodside on the Move - Fall for Woodside Cocktail Fundraiser, Celebrating 40 Years
09/27/16	City & State 10th Anniversary Gala
09/28/16	Fu Zhou Ting Jiang HS Alumni Association USA 1st Anniversary Gala
09/30/16	Flushing Central Lions Club
09/30/16	OCA 40th Anniversary

Speaking Engagements

Event Date	Organization & Event
04/03/16	Jewish Community Council of Pelham Parkway Annual Breakfast
04/13/16	National Action Network 25th Anniversary Convention Ribbon Cutting
04/13/16	Jewish Community Relations Council 40th Anniversary Gala Dinner
04/21/16	Manhattan Chamber of Commerce Chairman's Conversation City Comptroller Scott M. Stringer
04/27/16	18th Annual Staten Island Economic Development Corporation Business Conference
04/28/16	Los Sures Fundraising Reception
05/04/16	TECH:NYC Launch Event
05/07/16	UFT Spring Education Conference
05/10/16	Open House NY Gala
05/11/16	The Black Institute 2016 Justice for All Ball
05/12/16	Queens Times Ledger Annual Event
05/14/16	Korean Community Service 27th Parents' Day Festival and Health Fair
05/15/16	Time Television Bengali New Year Mela
05/16/16	Union Square Partnership Annual Meeting & Networking Event
05/19/16	Churches United for Fair Housing Annual Awards Dinner
06/05/16	Met Council 40th Annual Legislative Breakfast
06/05/16	Lincoln Square Synagogue Annual Dinner
06/10/16	Teamsters Local 237 Founders Day Celebration
06/12/16	Muslim Community Network 6th Annual Gala
06/17/16	Thrive Collective Annual Event
06/21/16	Columbus Avenue BID Annual Meeting
08/10/16	Shema Kolainu Annual Legislative Breakfast
09/10/16	NAACP Mid-Manhattan Branch 15th Annual Freedom Luncheon
09/22/16	Association for a Better New York Breakfast with Comptroller Stringer
09/23/16	Bank of America Merrill Lynch Biennial New York Investor Conference
09/27/16	Marine Park Civic Association 90th Anniversary Dinner Dance
09/29/16	New York Amsterdam News 6th Annual "Tying Communities Together" Labor Awards Breakfast

Honorary Committees

Event Date	Organization & Event
04/13/16	JCRC-NY 40th Anniversary Gala Dinner
05/09/16	Reach Out and Read of Greater New York's Annual Benefit and Auction "Believe in Books!"
05/10/16	JASA Celebrating Seniors Cocktail Reception
05/16/16	Publicolor Stir, Splatter + Roll!
05/18/16	New York Building Congress
05/18/16	Brooklyn Children's Museum Spring Benefit
05/19/16	Living Liberally Annual Celebration
05/24/16	New York Immigration Coalition Annual Gala
06/06/16	Riverside Park Conservancy Gala
06/09/16	Nontraditional Employment for Women 2016 Equity Leadership Awards Luncheon
06/09/16	The New York Botanical Garden 125th Anniversary Celebration
06/22/16	Project FIND Annual Gala
06/22/16	Project Hospitality "Giving Thanks" Luncheon
09/27/16	Solar One Oktoberfest



Susana Chávez Hersh
Deputy General Counsel
75-20 Astoria Boulevard
East Elmhurst, New York 11370

718-546-0959
Fax 718-278-6024

November 15, 2016

Amber Gonzalez
Assistant Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Re: Disclosure of donation and fundraising efforts for the New York City Department of Correction

Dear Ms. Gonzalez:

Please accept this letter as the New York City Department of Correction's ("Department") report for the period April 1, 2016 through September 30, 2016 for donations to City agencies and for official fundraising for unaffiliated not-for-profits, as required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively.

During the reporting period, the Department received a monetary donation of \$20,000 from the Correction Officers Benevolent Association in support of various planned events celebrating the Department's 120th year anniversary. The Department does not have any fundraising activities to disclose for this reporting period.

If you need additional information or clarification please do not hesitate to contact me.

Sincerely,

Susana Chávez Hersh
Deputy General Counsel



November 14, 2016

Via email to gonzalez@coib.nyc.gov

Amber Gonzalez
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Vincent Sapienza
Acting Commissioner

Elissa Stein Cushman
General Counsel

Morlan Ty Rogers
Assistant Counsel
Bureau of Legal Affairs

59-17 Junction Blvd.
Flushing, NY 11373

Tel. (718) 595-6594
Fax (718) 595-6543
TyRogers@dep.nyc.gov

Re: Semi-annual report of donations to, and fundraising efforts by, the New York City Department of Environmental Protection for the period April 1, 2016 through September 30, 2016

Dear Ms. Gonzalez:

I am writing in compliance with the New York City Conflict of Interest Board's Advisory Opinions 2003-4 and 2008-6, which require this agency to disclose (a) donations received by the agency or affiliated not-for-profit entities ("NFPs") that exceed \$5,000 in aggregate value from a single donor and (b) affiliated and unaffiliated NFPs for which the agency sought private contributions.

Please be advised that the New York City Department of Environmental Protection ("DEP") received reportable donations for the above-referenced reporting period as follows:

- New York Water Environment Association donated travel expenses with a cumulative value of \$17,809.25 for DEP personnel to attend the Water Environment Federation Technical & Exhibition Conference "Operations Challenge" competitions in June 2016 and September 2016. Please see the attached documents for further details. The cumulative total value of donations received from this entity from October 1, 2014 through September 30, 2016 is \$33,405.85.

During this reporting period, DEP did not engage in any fundraising activities on behalf of any unaffiliated NFPs. (DEP does not have any affiliated NFPs.)

Please be further advised that DEP receives requests from producers for film shoots on DEP-managed property. DEP informs the producers that they may make donations to the Mayor's Fund to Advance New York City ("Fund"), which is a 501(c)(3) not-for-profit organization ("NFP"). DEP may use money from the Fund for certain expenditures.

If any additional information is required, please do not hesitate to contact me at (718) 595-6594.

Very truly yours,

A handwritten signature in cursive script, appearing to read "Morlan Ty Rogers".

Morlan Ty Rogers
Assistant Counsel

c: Vincent Sapienza, Acting DEP Commissioner
Paul Herzfeld, Senior Business Counsel

From: [Williams, Dawn \(DOF\)](#)
To: [Amber Gonzalez](#)
Cc: [Ricksey, Corina \(DOF\)](#); [O'Connell, Mary \(DOF\)](#)
Subject: Reminder: Disclosure of Donations and Fundraising Efforts Due November 15, 2016
Date: Tuesday, November 15, 2016 12:03:42 PM
Attachments: [image002.png](#)

Hello, Amber.

In response to your inquiry regarding Disclosure of Donations and Fundraising Efforts in the Department of Finance, please be advised that there were no donations received in money, goods or services in excess of \$5,000, or fundraising activities during the period of April 1, 2016 through September 30, 2016 to report.

Name and Address of Donor	Type of Donation: money/goods/ services	EIN (If available)	Date Received	Purpose of Donation	Description of Donation (if goods or services are difficult to value)	Estimated Value of Donor's Donations for the Reporting Period	Cumulative Value of Donor's Donations in the Past 24 Months
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Please let me know if you have any questions.

Thank you,

Dawn Williams
 Assistant Director | Employee Services - Personnel
 66 John Street, 9th Floor
 New York, NY 10038
 Tel (212) 291-4748
williamsdawn@finance.nyc.gov



From: Amber Gonzalez [mailto:Gonzalez@coib.nyc.gov]
Sent: Monday, October 03, 2016 11:39 AM
To: Amber Gonzalez
Subject: Reminder: Disclosure of Donations and Fundraising Efforts Due November 15, 2016

Greetings,

The reports for the period April 1, 2016, through September 30, 2016, for donations to City agencies and for official fundraising for unaffiliated not-for-profits, required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively, **are due to the Board by November 15, 2016.**

AO 2003-4 (attached, see especially pages 22-23) requires a listing of all donations of money, goods, or services with a value of \$5000 or more.

AO 2008-6 (also attached, see especially pages 12-13) requires a listing of the names of all not-for-profit entities for which City agencies, based on a determination by their agency head, solicited support and/or endorsed.

*****Please note that these reports, in whatever format you choose to submit, as well as all previously submitted reports, will soon be posted on the Board's website.*****

Please be advised that:

- 1) The two above-referenced Advisory Opinions contain **different** disclosure requirements.
- 2) For any disclosure for which your agency requests that the donor remains anonymous, that is, where you request a waiver of the requirement to disclose the identity of the donor, you must include a separate document stating the following: a) the identity of those staff of your agency who know the identity of the donor, b) that your agency has received a statement from the donor that the donor, the donor's family, and any firm in which the donor has a controlling interest or has a high executive position are not engaged in business dealings with your agency, or, if that is not the case, that the donor is not involved in such business dealings, and c) that your agency will maintain on file the donor's statement and that to the best of your agency's knowledge that statement is accurate.
- 3) If another individual at your agency can better respond to these disclosure requirements, please provide me with his or her name and contact information.

If you have any questions about these reports, you may contact me.

Thank you,

Amber Gonzalez
 Assistant Counsel
 New York City Conflicts of Interest Board
 2 Lafayette Street, Suite 1010
 New York, NY 10007
 (212) 442-1405

gonzalez@coib.nyc.gov
<http://www.nyc.gov/ethics>

This message is intended only for the use of the Addressee and may contain information that is PRIVILEGED and CONFIDENTIAL. If you are not the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please permanently delete all copies of the message and its attachments and notify us immediately at 212-442-1400. Thank you.

From: [Badamo, Laura](#)
To: [Amber Gonzalez](#)
Cc: [Mogilanski, Roy](#); [Glick, Andrea \(OPA\)](#); [Myers, Rose-Ellen](#); [Fitzpatrick, Edward](#); [Reddy, Peter](#); [Matthew.Neil.\(OPA\)](#); [Bicchetti, Diana \(Payroll\)](#)
Subject: Disclosure of Donations and Fundraising Efforts
Date: Tuesday, November 15, 2016 12:37:53 PM

Dear Ms. Gonzalez:

This is in response to your email below. I have checked with the Executives at FISA and OPA and based upon everyone's information and belief neither FISA nor OPA have received any donations nor participated in fundraising during the period of April 1, 2016 to September 30, 2016.

Thank you,

Laura M. Badamo
Assistant Executive
Director and
Deputy General Counsel
FISA
212 857-1180

Greetings,

The reports for the period April 1, 2016, through September 30, 2016, for donations to City agencies and for official fundraising for unaffiliated not-for-profits, required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively, **are due to the Board by November 15, 2016.**

AO 2003-4 (attached, see especially pages 22-23) requires a listing of all donations of money, goods, or services with a value of \$5000 or more.

AO 2008-6 (also attached, see especially pages 12-13) requires a listing of the names of all not-for-

profit entities for which City agencies, based on a determination by their agency head, solicited support and/or endorsed.

*****Please note that these reports, in whatever format you choose to submit, as well as all previously submitted reports, will soon be posted on the Board's website.*****

Please be advised that:

- 1) The two above-referenced Advisory Opinions contain **different** disclosure requirements.
- 2) For any disclosure for which your agency requests that the donor remains anonymous, that is, where you request a waiver of the requirement to disclose the identity of the donor, you must include a separate document stating the following: a) the identity of those staff of your agency who know the identity of the donor, b) that your agency has received a statement from the donor that the donor, the donor's family, and any firm in which the donor has a controlling interest or has a high executive position are not engaged in business dealings with your agency, or, if that is not the case, that the donor is not involved in such business dealings, and c) that your agency will maintain on file the donor's statement and that to the best of your agency's knowledge that statement is accurate.
- 3) If another individual at your agency can better respond to these disclosure requirements, please provide me with his or her name and contact information.

If you have any questions about these reports, you may contact me.

Thank you,

Amber Gonzalez
Assistant Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007
(212) 442-1405
gonzalez@coib.nyc.gov
<http://www.nyc.gov/ethics>

This message is intended only for the use of the Addressee and may contain information that is PRIVILEGED and CONFIDENTIAL. If you are not the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please permanently delete all copies of the message and its attachments and notify us immediately at 212-442-1400. Thank you.



Steven Banks
Commissioner/Administrator
Human Resources
Administration/Department of
Social Services

Lucille McEwen
Executive Deputy
Commissioner of Capacity
Planning & Development
Lmcewen@dhs.nyc.gov

33 Beaver Street
20th Floor
New York, NY 10004

212.361.0550 tel.
917.637.7088 fax

October 18, 2016

Paul R. Freitag
Executive Director
West Side Federation for Senior and Supportive Housing, Inc.
2345 Broadway
New York, NY 10024

Re: West 108th Street Development
HHAP Application for Capital Funds for Supportive Housing

Dear Mr. Freitag:

This letter is to confirm that the New York City Department of Homeless Services will refer 115 single adults to the new West 108th Street development on Manhattan's Upper West Side.

The West 108th Street development, sponsored by the West Side Federation for Senior and Supportive Housing, Inc. ("WSFSSH"), will house 194 units of affordable and supportive housing in addition to the expanded Valley Lodge shelter.

DHS confirms that it will work with WSFSSH to provide suitable referrals from the shelter system for the 115 units of permanent supportive housing.

Separately, DHS will be entering into a contract with WSFSSH for the Valley Lodge shelter.

We are excited about this noteworthy project that promises to insure long-term permanency for supportive housing residents and allows all residents of the building to become part of a larger community within the building and within the neighborhood.

Sincerely,

A handwritten signature in blue ink that reads "Lucille McEwen".

Lucille McEwen
Executive Deputy Commissioner
New York City Department of Homeless Services

Steven Banks
Commissioner/Administrator
Human Resources
Administration/Department of
Social Services

Lucille McEwen
Executive Deputy
Commissioner of Capacity
Planning & Development
Lmcewen@dhs.nyc.gov

33 Beaver Street
20th Floor
New York, NY 10004

212.361.0550 tel.
917.637.7088 fax

October 3, 2016
Paul R. Freitag
Executive Director
West Side Federation for Senior and Supportive Housing, Inc.
2345 Broadway
New York, NY 10024

Re: Mill Brook Terrace, a NextGen NYCHA WSFSSH development for low
income seniors

Dear Mr. Freitag:

This letter is to confirm that the New York City Department of Homeless
Services will refer 48 senior households to the new Mill Brook Terrace
development in the Bronx. Mill Brook Terrace is a 159-unit residence for low-
income seniors in Mott Haven sponsored by the West Side Federation for Senior
and Supportive Housing, Inc. ("WSFSSH").

DHS can confirm that it will work with WSFSSH and NYCHA to provide
suitable referrals from the shelter system for this project. We understand that
the project will have 100% Project Based Vouchers, and that eligible seniors
must be 62 years or older.

Sincerely Yours,



Lucille McEwen
Executive Deputy Commissioner
New York City Department of Homeless Services

Letters of Support Disclosure for April 1, 2016 - September 30, 2016

Requesting Organization	Funder / RFP Name	Status	Date Completed
The Fortune Society	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
New York Common Pantry	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
St. John's Bread & Life	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
United Way of New York	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Met Council	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Benefits Data Trust	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Catholic Charities Community Services, Archdiocese of New York	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Food Bank For New York City	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Central Queens YM & YWHA	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Public Health Solutions	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Project Hospitality	NYS OTDA - TSNAP Outreach II	Completed	4/15/2016
Legal Aid Society	NYS OCA Judiciary Civil Legal Services	Completed	6/13/2016
Center for Court Innovation - Red Hook Community Justice Center	US DOJ OVC A Pathway to Justice, Healing and Hope: Addressing Polyvictimization in a Family Justice Center Setting Demonstration Initiative	Completed	6/29/2016
MDRC	US HHS - ACYF - Evaluation of the Procedural Justice Informed Alternatives to Contempt (PJAC) Demonstration	Completed	6/30/2016
Project Renewal	NYS OTDA - Homeless Housing and Assistance Program	Completed	7/13/2016
Housing Works	US HHS HRSA New Access Points	Completed	7/15/2016
New Destiny Housing Corporation	NYS OTDA Empire State Supportive Housing Initiative	Completed	7/18/2016
Project Renewal	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Henry Street Settlement	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Brooklyn Workforce Innovations	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
PHI	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
The HOPE Program	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
STRIVE	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Manhattan Educational Opportunity Center	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Opportunities for a Better Tomorrow	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Northern Manhattan Improvement Corporation	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Marks Jewish Community House	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Center for Employment Opportunities	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Central Queens YM & YWHA	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Per Scholas	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Ridgewood Bushwick Senior Citizens Council, Inc.	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
El Barrio's Operation Fightback	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Agudath Israel of America Community Services	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Kingsborough Community College	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
Fortune Society	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
SoBro	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
CMP	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
St. Nick's Alliance	NYS OTDA SNAP E&T Venture IV	Completed	7/21/2016
CAMBA	CDW (Private Technology Solutions Company) - Computer Donation Request	Completed	7/22/2016
Fortune Society	NYS DOL Division of Employment and Workforce Solutions Special Populations Training	Completed	7/29/2016
Fortune Society	NYS Criminal Justice Investment Initiative	Completed	8/19/2016
New Destiny Housing Corporation	NYS OTDA - Homeless Housing and Assistance Program	Completed	9/16/2016
The Fortune Society	NYS DCJS County Re-entry Task Force	Completed	9/21/2016
Project Ore, The Educational Alliance	The Fan Fox & Leslie R. Samuels Foundation	Completed	9/28/2016

--	--	--	--



Office of the Mayor

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

TO: Wayne Hawley
Deputy Executive Director / General Counsel
Conflicts of Interest Board

FROM: Cecile Noel, Commissioner, OCDV *cnw*

DATE: November 15, 2016

RE: In-Kind Donations Received

Attached please find the semi-annual Disclosure Form indicating that the Mayor's Office to Combat Domestic Violence received one in-kind donation valued at \$20,000 during the period April 1, 2016 through September 30, 2016. As you know, all monetary gifts donated to my office are deposited to the Mayor's Fund to Advance New York City, and the Disclosure Form for those monetary gifts for the same time period is provided to you directly by Darren Bloch, Executive Director of the Mayor's Fund to Advance New York City.

OCDV issued eight (8) letters of support, one (1) Linkage Agreement and three (3) Memoranda of Understanding (MOU) during this reporting period; they are provided as attachments.

If you have any questions, please do not hesitate to call.

cc: Acting Counsel Paul Rodriguez
Deputy Mayor Herminia Palacio
Darren Bloch

Attachments



Office of the Mayor

September 21, 2016

Henry Thompson, FACHE
Chief Executive Officer
Community Health Center of Richmond
439 Port Richmond Avenue
Staten Island, NY 10302

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Dear Mr. Thompson:

The Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to your organization's grant application for the *Services Area Competing (HRSA-17-052)* funding opportunity through the DHHS/Bureau of Primary Health Care.

OCDV formulates policies and programs, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers (FJCs) which provide co-located comprehensive civil legal, criminal, justice, counseling and supportive services for victims of intimate partner violence.

The Community Health Center of Richmond (CHCR), a diagnostic and treatment center providing critical medical care for the underserved community in the North Shore of Staten Island, is a current partner of OCDV's NYC Family Justice Center in Staten Island (SIFJC) and was a prior long-term partner of OCDV's Staten Island Domestic Violence Response Team (DVRT) until that program ended when the SIFJC opened in June 2016. CHCR refers survivors of intimate partner violence to the SIFJC for coordinated comprehensive services and CHCR is a valuable partner helping SIFJC clients in crisis obtain needed medical services.

We recognize the important need for continued primary care services in the North Shore of Staten Island and strongly support your application. We look forward to assisting your project in any way that we can.

Sincerely,

Cecile Noel
Commissioner



Office of the Mayor

August 26, 2016

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Cornell University
Office of Research Integrity and Assurance
East Hill Office Building, Suite 320
395 Pine Tree Road
Ithaca, NY 14850

To Whom It May Concern:

The Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to Cornell Tech for a research initiative on digital security and privacy in abuse settings, focused on intimate partner violence. This research project would provide an opportunity to gain a better understanding of how digital technologies play an increasing role in abusive relationships, understanding that it is extremely important to identify and mitigate digital security and privacy threats that can often jeopardize victim safety.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers (FJCs) which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

Professor Dell and Professor Ristenpart at Cornell Tech have outlined a robust research project that has the potential to improve the design of technologies that are more resilient to exploitation by abusive partners and to inform the design of future digital intervention tools for improving survivor safety. We strongly support this application and look forward to assisting this project in any way that we can.

Sincerely,

Cecile Noel
Commissioner



Office of the Mayor

May 24, 2016

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

David V. Pomeranz
Chief Operating Officer
The Hebrew Home for the Aged at Riverdale
5901 Palisade Avenue
Bronx, New York 10471

Dear Mr. Pomeranz:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to The Hebrew Home at Riverdale's (HHAR) pending application to the New York State Office of Temporary and Disability Assistance's Homeless Housing and Assistance Program. This program, if funded, would develop 168 units of affordable housing, and support services for low income, frail older adults, with priority given to elder abuse victims.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence, sex trafficking and elder abuse.

The Hebrew Home at Riverdale (HHAR) is a long-term care organization committed to providing the highest quality of life for older adults. The Harry and Jeanette Weinberg Center for Elder Abuse Prevention at HHAR provides shelter for victims of elder abuse, while simultaneously developing new legal strategies, outreach and training programs, and multidisciplinary partnerships to enhance the individualized, victim-centered services that clients receive.

We recognize the enormous need for affordable housing for victims of elder abuse and we strongly support your application. We look forward to assisting your project in any way that we can.

Sincerely,

Cecile Noel
Commissioner



Office of the Mayor

June 3, 2016

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Amelia Hershberger
New York State Office of Court Administration
Division of Professional and Court Services
Grants and Contracts
2500 Pond View, Suite 104
Castleton-on-Hudson, New York 12033

Dear Ms. Hershberger:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to The Legal Aid Society's application for the Judiciary Civil Legal Services RFP through the New York State Unified Court System's Permanent Commission on Access to Justice. If funded, this grant would enable The Legal Aid Society to serve more clients through their citywide legal services program and support the expansion of new programming.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

The Legal Aid Society provides direct legal services to low-income families and individuals in criminal, juvenile, and civil matters while working to achieve broader systemic change for society as a whole. Operating in all five boroughs, The Legal Aid Society provides comprehensive direct legal assistance for families and individuals experiencing legal problems involving domestic violence, housing, homelessness, employment, and/or immigration law.

We recognize the enormous need for legal support and services for domestic violence survivors, specifically with family law and immigration law matters. This grant, if awarded, would enhance the services provided to Family Justice Center clients and help assist with off-site referrals. We strongly support this application and we look forward to assisting in any way that we can.

Sincerely,

Cecile Noel
Commissioner



Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

May 26, 2016

Legal Services Corporation
Technology Initiative Grants
3333 K Street NW, 2d floor
Washington, DC 20007

To whom this concerns:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to Legal Services NYC's application for a Technology Initiative Grant to evaluate the effectiveness of translated orders of protection in Family Court matters.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

Legal Services NYC is the largest civil legal services provider in the country and provides free legal assistance to over 20,000 low-income people each year. With neighborhood-based offices and outreach sites across all five boroughs, the organization provides high quality legal assistance in the core practice areas of family, housing, benefits, consumer, and education law. In addition to legal assistance, the organization provides community legal education through community workshops, hotlines and written materials.

We work closely with Legal Services NYC in our shared efforts to prevent domestic violence and support survivors of domestic violence. This proposal, if funded, provides an opportunity to learn about whether automated document translation systems can provide safer results for survivors of domestic violence and cost savings for the courts system. We strongly support this application and we look forward to assisting in any way that we can.

Sincerely,

Cecile Noel
Commissioner



June 7, 2016

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Mao Yang
Administration for Children, Youth and Families
Family and Youth Services Bureau
Switzer Building
330 C Street SW, 3rd Floor
Washington, DC 20201

Dear Mao Yang:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to Safe Horizon's application in response to the U.S. Department of Health & Human Services, Administration on Children, Youth and Families – Family and Youth Services Bureau solicitation: Family Violence Prevention and Services Discretionary Grants: Specialized Services for Abused Parents and Their Children (Demonstration Projects). If funded, this project will increase Safe Horizon's capacity to respond to the unique needs presented by families and children who have witnessed domestic violence, in the boroughs of Brooklyn and Staten Island.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

Safe Horizon is the largest victim services non-profit organization in the United States, providing social services for victims of abuse and violent crime throughout the five boroughs of New York City. Safe Horizon provides compassion and expert support to over 250,000 victims of domestic violence, child abuse, sexual assault, and human trafficking, as well as homeless youth and the families of homicide victims.

Safe Horizon is an important resource in the City and we strongly support their efforts to address the needs of children and their non-abusive caregivers impacted by domestic violence. We will work with Safe Horizon to support their coordination of enhanced and targeted services for this population.

Sincerely,

Cecile Noel
Commissioner



Office of the Mayor

June 22, 2016

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Mark Greenberg
Acting Assistant Secretary
Administration for Children and Families
U.S. Department of Health and Human Services
Washington, DC 20201

Dear Assistant Secretary Greenberg:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to Sanctuary for Families' application for funding via the Administration on Children, Youth and Families' Specialized Services for Abused Parents and Their Children Demonstration Projects RFP. With this project, Sanctuary's goal is to improve clinical outcomes for children and families who have experienced abuse through use of an assessment and intervention model focused on strengthening the attachment between the non-abusing parent and child as a foundation of the recovery process; and build the knowledge base on this model with domestic violence and child welfare programs.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers (FJCs) which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

Sanctuary for Families is New York City's largest provider of dedicated services for domestic violence victims and their children. With a Children's Program active since 1985, Sanctuary was one of the first domestic violence agencies nationally to create a separate, specialized program for child victims/witnesses of abuse. Sanctuary has been a trusted community partner of OCDV for more than a decade, and a key on-site partner agency at FJCs. Sanctuary has a robust staff presence at all of the FJCs and is the City's contracted children's service provider at the Bronx, Queens, and Manhattan FJCs.

Sanctuary is an important resource in the City and we strongly support their application. We look forward to assisting this project in any way that we can.

Sincerely,

Cecile Noel
Commissioner



Office of the Mayor

August 11, 2016

Cyrus R. Vance
District Attorney of New York County
New York County District Attorney's Office
One Hogan Place
New York, NY 10013

Cecile Noel
Commissioner
Office to Combat Domestic Violence

100 Gold Street, 2nd Floor
New York, NY 10038

212 788 3156 tel

cnoel@cityhall.nyc.gov
www.nyc.gov/domesticviolence

Dear District Attorney Vance:

The NYC Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to Sanctuary for Families' application for funding via the New York County District Attorney's Office's Criminal Justice Investment Initiative (CJII) for Family and Youth Development Programs RFP, managed by the CUNY Institute for State and Local Governance. With this project, Sanctuary's goal is to reduce the negative effects on children and families who are at an elevated risk of poor life outcomes due to the impact of being a victim or witness of domestic violence through the use of an evidence-based, family attachment-focused approach.

OCDV formulates policies and programs, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers (FJCs) which provide co-located comprehensive civil legal, criminal, justice, counseling and supportive services for victims of intimate partner violence.

Sanctuary for Families is New York City's largest provider of dedicated services for domestic violence victims and their children. With a Children's Program active since 1985, Sanctuary was one of the first domestic violence agencies nationally to create a separate, specialized program for child victims/witnesses of abuse. Sanctuary has been a trusted community partner of OCDV for more than a decade, and a key on-site partner agency at the FJCs. Sanctuary has a robust staff presence at all of the FJCs and is the City's contracted children's service provider at the Bronx, Queens, and Manhattan FJCs.

Sanctuary is an important resource in the City and we strongly support their application. We look forward to assisting this project in any way we can.

Sincerely,

Cecile Noel
Commissioner

LINKAGE AGREEMENT FORM

Pursuant to the proposal submitted by Legal Services NYC (LSNYC) in response to the RFP from the Office of Court Administration the proposer, if funded, will establish a programmatic linkage with the NYC Mayor's Office to Combat Domestic Violence (OCDV). The grant, if awarded, would enable LSNYC to expand their capacity to provide civil legal services and to work collaboratively to support other community based organizations. OCDV supports LSNYC's Office of Court Administration funding application.

OCDV formulates policies and program, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and the services available for victims and their children. Among its key initiatives, OCDV operates the NYC Family Justice Centers which provide co-located comprehensive civil legal, criminal justice, counseling and supportive services for victims of intimate partner violence.

LSNYC is a law firm dedicated to eliminating poverty and fighting for justice by providing free legal representation to low-income NYC residents in civil matters. Each year LSNYC represents and advises thousands of low-income people who need help with civil legal problems in the areas of housing, foreclosure, family, government benefits, Supplemental Security Income/Social Security, Immigration, consumer, tax, employment, and education law.

LSNYC and the NYC Mayor's Office to Combat Domestic Violence have agreed to a linkage for the purposes of the RFP from the Office of Court Administration. Through this programmatic linkage, the NYC Family Justice Centers will provide LSNYC clients with free, confidential services, as available and appropriate. In turn, LSNYC will provide eligible Family Justice Center clients with services it determines to be appropriate including legal advice and counsel, preparation and execution of legal documents, legal representation, and/or referrals. Both parties agree to comply with their client confidentiality regulations.

Proposed Organization:

Cecile Noel

Authorized Representative

Commissioner

Title
Cecile Noel

Signature

6/7/16

Date

Linked Organization

Nancy Goldhill

Authorized Representative

Project Director

Title

Nancy Goldhill

Signature

11/14/16

Date

Staten Island Legal Services

**MEMORANDUM OF UNDERSTANDING FOR
THE FY15 OVW GRANTS TO ENCOURAGE ARREST POLICIES AND
ENFORCEMENT OF PROTECTION ORDERS PROGRAM**

among

MAYOR'S OFFICE OF CRIMINAL JUSTICE

and

MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

and

KINGS COUNTY DISTRICT ATTORNEY'S OFFICE

and

SAFE HORIZON

and

GOOD SHEPHERD SERVICES' SAFE HOMES PROJECT

This Memorandum of Understanding ("MOU") is made and entered into by the New York City Mayor's Office of Criminal Justice ("MOCJ"), with an office located at 1 Centre Street, Room 1012N, New York, NY 10007, and the New York City Mayor's Office to Combat Domestic Violence ("OCDV"), with an office located at 100 Gold Street, New York, NY 10038, and the Kings County District Attorney's Office ("KCDA"), with an office located at 350 Jay St, Brooklyn, NY 11201, and Safe Horizon ("SH"), with an office located at 2 Lafayette, 3rd Floor, New York, NY 10007, and Good Shepherd Services' Safe Homes Project ("GSS/SHP"), with an office located at 305 Seventh Avenue, 9th Floor, New York, NY 10001.

WHEREAS, the purpose of this MOU is to serve as an assurance to MOCJ that OCDV, GSS/SHP, KCDA, and SH will fulfill all grant requirements set forth by the U.S. Department of Justice for the duration of the grant period.

WHEREAS, MOCJ facilitates cooperation and partnerships among the agencies and actors involved in crime prevention and criminal justice in New York City ("the City"), to ensure the fair and efficient functioning of the criminal justice system in the City, and serves as a liaison between the NYPD, the Departments of Correction and Probation, the five District Attorney

Offices, and other agencies to help coordinate consistent citywide policy on criminal justice issues; and,

WHEREAS, MOCJ is the administering entity and lead applicant for FY 15 OVW Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program (“EVE”), 2015-WE-AX-0011, CFDA #16.59, awarded by the United States Department of Justice's Office on Violence Against Women (“OVW”) for \$900,000 through the term of the grant, September 30, 2018 (the “Grants”); and

WHEREAS, OCDV is a Mayoral office, and KCDA is a District Attorney’s Office, and GSS/SHP and SH are 501(c)(3)s; and

WHEREAS, as the administering entity and lead applicant, the Grants Manager at MOCJ must establish, collect and report relevant information to the United States Department of Justice (“DOJ”); and

WHEREAS, MOCJ will enforce all city, state, and federal reporting and compliance requirements; and

WHEREAS, this MOU outlines the respective performance and financial requirements per agency as required by OVW, MOCJ, and the City of New York; and

WHEREAS, SH and GSS/SHP are each currently negotiating a contract with MOCJ that incorporates the terms of the Grants and this MOU (each such contract is the “Grant Contract”);

WHEREAS, activities outlined within the grant application will be performed for the project period October 1, 2015 through September 30, 2018, unless modified with DOJ’s approval; and

WHEREAS, KCDA, OCDV, SH, and GSS/SHP are ready, willing, and able to perform these services; and

WHEREAS, services are not guaranteed to be reimbursed until each Grant Contract is registered; and

WHEREAS, the respective performance and financial requirements of this grant are effective as of October 1, 2015; and

NOW THEREFORE, in consideration of the mutual understanding and goals of the parties to this MOU, the parties agree to the following, subject to the successful registration of a fully executed Grant Contract, where applicable:

I. GENERAL REQUIREMENTS

A. OCDV Responsibilities

1. OCDV will oversee all project-related activities, including coordination and oversight of the work performed by and with KCDA, SH, and GSS/SHP.
2. OCDV will be the primary liaison with KCDA, SH, and GSS/SHP on all programmatic related issues and will ensure that all programmatic activities conducted under the grant are in accordance with the approved grant application, unless modified with DOJ's approval in collaboration with MOCJ.
3. OCDV will ensure that KCDA, SH, and GSS/SHP, are meeting all grant deliverables and will recommend corrective actions when necessary.
4. OCDV will hire an EVE Project Coordinator with substantive experience working within the domestic violence prevention community and implementing collaborative projects. The Coordinator will be responsible for ensuring that the Project meets all goals and will work with EVE Project staff to effectively implement the grant.

B. KCDA Responsibilities

1. KCDA will contact domestic violence victims in Brooklyn before and immediately after the abuser's first court appearance and provide them with crucial information about the criminal justice process and the result of the arraignment proceedings, such as the terms and issuance of an order of protection, as well as conduct safety planning, and provide direct linkage to the NYC Family Justice Center in Brooklyn ("BKFJC").
2. KCDA will flag EVE arraignment cases where the offender is on either probation or parole and provide a notification to the appropriate agency (NYC Department of Probation or NYS Department of Correction and Community Supervision) and then work collaboratively to hold the offender accountable.
3. Other Than Personnel Services (OTPS) funding will be allocated to KCDA to account for OCDV's Language Line telephone interpretation services at the NYC Family Justice Center in Brooklyn. KCDA will request vendor Language Line to create a subaccount specifically for Project EVE cases. KCDA will reflect Language Line services in the grant-specific budget structure and will process payment for services rendered. KCDA will report expenditures as part of the quarterly Financial Report and include invoices as backup documentation.

C. SH and GSS/SHP Responsibilities

1. Case managers from GSS/SHP and SH will work with the 75th, 67th, and 83rd precincts' Domestic Violence Prevention Officers to receive referrals and conduct outreach to victims of domestic violence who are referred by the Domestic Violence Unit and whose abusive partners have not yet been arrested on certain designated open felony level and high risk complaint reports. The case managers will provide victims with safety planning, risk assessment, with information and referrals to services, including federal immigration remedies, and provide linkages to BKFJC services, including civil legal service attorneys, and to DVHPI, as appropriate. EVE Project staff will also participate in extensive training, and, once trained and certified, will utilize Dr. Campbell's Danger Assessment tool to assess the victim's risk of lethality, and provide immediate linkage to services for victims.

D. MOCJ Responsibilities

1. MOCJ will monitor the contracts with SH and GSS/SHP to assure the vendors are meeting contractual services and performance goals.
2. MOCJ will review and process invoices which will entail a comprehensive understanding of the contract provisions to assure the propriety of a given invoice.
3. MOCJ will be the primary liaison with KCDA, SH, GSS/SHP, and DOJ on all fiscal, compliance, and reporting related issues.
4. MOCJ will ensure that all grant expenditures are allowable as per the regulations of DOJ.
5. MOCJ will be responsible for coordinating the submission of deliverables, including grant-related reports, to DOJ.

II. REPORTING REQUIREMENTS

A. General Reporting Provisions

1. SH and GSS/SHP are responsible for the submission of financial and performance requirements to MOCJ in accordance with the terms of the Grant Contracts.
2. The MOCJ Grants Manager will review all submissions, and KCDA, OCDV, SH, and GSS/SHP shall be responsive to any reasonable requests for further information or documentation.

3. MOCJ is responsible for the compilation and submission of financial and performance requirements to DOJ in accordance with the terms of the grant award.

B. Financial Reporting

1. OCDV's Responsibilities

- i. OCDV will submit their quarterly expenditures to MOCJ no later than 15 working days after the close of the reporting period.

2. KCDA's Responsibilities

- i. KCDA will submit their quarterly expenditures to MOCJ no later than 10 working days after the close of the reporting period.

3. SH and GSS/SHP Responsibilities

- i. SH and GSS/SHP will submit invoices to MOCJ for payment as prescribed by the Grant Contracts.

4. MOCJ's Responsibilities

- i. MOCJ is responsible for the compilation and submission of financial requirements to DOJ in accordance with the terms of the grant award.
- ii. MOCJ will submit all financial requirements according to DOJ's pre-approved format, following the procedures outlined in the Grants Management Manual.

5. Reporting Schedule

The quarterly financial reporting schedule is as follows:

- January 1 – March 31
- April 1 – June 30
- July 1 – September 30
- October 1 – December 31

C. Performance Reporting

1. OCDV's Responsibilities

- i. OCDV is responsible for submitting all performance-related reporting related to all program activities conducted under the grant. OCDV will submit the final performance reporting to MOCJ no later than 15 working days after the close of each performance reporting period. All semi-annual performance reports must be submitted in a pre-approved format, following the procedures outlined in the Grants Management Manual.
- ii. OCDV will review and approve all performance reporting prior to submitting to MOCJ.
- iii. OCDV will be the primary liaison for performance reporting issues related to the sub-recipients. Should issues arise to a level in which any performance-related activities are found to be inconsistent with the approved grant application and budget as per MOCJ's records, OCDV will notify MOCJ of such issues.

2. KCDA's Responsibilities

- i. KCDA is responsible for submitting all performance-related reporting relating to their program activities. KCDA must submit performance reports to OCDV no later than 10 working days after the close of each performance reporting period. OCDV will submit the final performance reporting to MOCJ no later than 15 working days after the close of each performance reporting period. All semi-annual performance reports must be submitted in a pre-approved format, following the procedures outlined in the Grants Management Manual.

3. SH and GSS/SHP Responsibilities

- i. SH and GSS/SHP are responsible for submitting all performance-related reporting relating to their program activities. SH and GSS/SHP must submit performance reports to OCDV no later than 10 working days after the close of each performance reporting period. OCDV will submit the final performance reporting to MOCJ no later than 15 working days after the close of each performance reporting period. All semi-annual performance reports must be submitted in a pre-approved format, following the procedures outlined in the Grants Management Manual.

4. MOCJ's Responsibilities

- i. MOCJ is responsible for the compilation and submission of performance requirements to DOJ in accordance with the terms of the grant award.
- ii. MOCJ will submit all performance requirements according to DOJ's pre-approved format, following the procedures outlined in the Grants Management Manual.

5. Reporting Schedule

- i. Performance reports are due on a semi-annual basis for the FY15 OVW Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program. The reporting periods are:
 - o January 1 – June 31
 - o July 1 – December 30

D. Other Requirements

1. Within sixty (60) days of the end of each City Fiscal Year, OCDV, KCDA, SH, and GSS/SHP will compare internal records against any documentation submitted to MOCJ to ascertain whether the submitted documentation substantiates the expenses submitted for reimbursement by MOCJ. OCDV, KCDA, SH, and GSS/SHP will respond to any reasonable requests by MOCJ to provide any additional required documentation, as outlined in the Grants Management Manual.
2. MOCJ staff will perform at least two (2) site visits per year for all grants:
 - a. One (1) site visit will occur at the location where most program activities occur in order to review programmatic activities and operations.
 - b. One (1) site visit will occur at the main administrative offices of each organization to review fiscal policies and procedures, documentation and other issues.
 - c. Additional visits will be scheduled as necessary, and at MOCJ's discretion.
3. Every 5th and 11th month of each calendar year, MOCJ will request that OCDV, KCDA, SH, and GSS/SHP provide a certification certifying all full-time employees charged to the grant. MOCJ will notify OCDV immediately of any personnel changes that they become aware of through this certification check.

4. SH and GSS/SHP must have an audit completed and submitted to MOCJ within 9 calendar months of their fiscal year-end or one month after the issuance of their audit, should any of these entities receive \$500,000 or more in Federal awards. SH and GSS/SHP are not required to submit a copy of the reporting package to MOCJ if there are no audit findings.

City Agencies fulfill this requirement through the Single-Audit performed yearly by an outside Auditor contracted by the City of New York. Agencies must report any findings identified by the Auditor to MOCJ, related to the FY15 OVW Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program.

5. Each quarter, OCDV and MOCJ will meet to share updates on expenditure data, performance measures and operational adjustments that may need to be made.
6. If any EVE partner agency receives press inquiries that involve the NYC Family Justice Center, including clients assisted at the FJC, they must consult with the OCDV Director of Communications before responding to the inquiry. Approval of any such coverage shall rest with the Mayor's Office to Combat Domestic Violence. Any public mention of the FJC to the press or otherwise shall refer to the FJC as follows: "New York City Family Justice Center, [BOROUGH], an initiative of the Mayor's Office to Combat Domestic Violence."

III. DATA REQUIREMENTS

1. OCDA, KCDA, SH, and GSS/SHP must complete all reasonably requested data fields in the EVE database in a timely fashion and no later than 5 business days after the services were provided to the client.
2. OCDV, KCDA, SH, and GSS/SHP must provide any grant-related information reasonably requested by MOCJ as needed in addition to the required performance reporting.
3. SH and GSS/SHP must retain documentation to substantiate any data reported in accordance with the updated Office of the Chief Financial Officer (OCFO) Financial Guide and must be able to furnish such documents in case of an audit.

OCDV and KCDA must retain documentation to substantiate any data reported in accordance with the updated policies of the New York City Department of Records and Information Services.

4. Under a site visit or audit, OCDA, KCDA, SH, and GSS/SHP must reasonably comply with submission requirements as they develop.

IV. PENALTIES

A. MOCJ, as the grant administrator, is ultimately responsible for all of the grant activities that do and do not take place. Therefore, it is imperative that agencies and organizations who are partnering with MOCJ on grants fully participate and produce the required documentation and perform the required activities. Sub-recipients are required to abide by the duties outlined in the Grants Management Manual. Failure to abide by these requirements may result in the following penalties:

1. MOCJ will not apply on behalf of or in partnership with the defaulting agency or organization.
2. Failure to comply may jeopardize current and future funding opportunities.
3. MOCJ will terminate current grant activities and notify DOJ of this termination.
4. In extreme cases where MOCJ and DOJ have identified that egregious mismanagement of funds has occurred, MOCJ may request the recoupment of funds to be paid back to DOJ. Extreme misuse of funds include:
 - Conflicts of interest
 - Failure to properly support the use of award funds
 - Theft

V. STANDARD ASSURANCES

A. OCDV, KCDA, SH, and GSS/SHP must certify that Federal funds are expended in accordance with the terms of award agreements and applicable provisions of Federal and State laws and regulations. Additionally, OCDV, KCDA, SH, and GSS/SHP must follow the policies and procedures outlined within the MOCJ Grants Management Manual and the policies and procedures established by the City of New York.

B. OCDV, KCDA, SH, and GSS/SHP must certify that all submitted financial and performance reports contain accurate and reliable financial data and are presented in accordance with the terms of applicable agreements and the original grant application.

OCDV, KCDA, SH, and GSS/SHP cannot make programmatic or funding changes to the original application without notifying and receiving approval from MOCJ.

C. SH, GSS/SHP, KCDA, and OCDV must ensure that all financial reports submitted to MOCJ have occurred within the valid project period and in accordance to the approved budget materials. Financial reports must be submitted according to schedule and on time, as listed under the "Sub-recipient Monitoring" section of the Grants Management Manual. Any expenditure made outside of the project and budget period is considered disallowed and not reimbursable. Repaying misused funds will be the responsibility of the sub-recipient who expended the funds.

D. If proper documentation, as outlined within the Grants Management Manual, is not submitted to MOCJ by OCDV, KCDA, SH, and GSS/SHP, drawdowns for reimbursement of activity will not occur.

E. OCDV, KCDA, SH, and GSS/SHP, must ensure that their accounting system makes use of adequate internal controls that provide full accountability for revenues, expenditures, assets, and liabilities. The accounting systems of OCDV, KCDA, SH, and GSS/SHP, must ensure that agency funds are not commingled with funds from other grants. Each award from MOCJ must be tracked in a separate budget code or bank account for non-city agencies.

F. Federal funds must be used to supplement existing state and local funds for program activities and must not supplant funds that have been appropriated for the same purpose.

G. For applications where a sub-recipient will be claiming an indirect rate, the organization must provide the official letter designating their federally approved rate. This documentation should be retained with grant award information.

H. OCDV, KCDA, SH, and GSS/SHP, must sign and retain the initial award MOU and contract, and adhere to all Special Conditions outlined within the grant award letter. OCDV, KCDA, SH, and GSS/SHP, must retain electronic documentation according to the retention policy for all grants received, and further have information readily available in case of site-visits or audits.

M. It is a requirement of all sub-recipients to take and pass the online DOJ Grants Financial Management training. Upon completion of the course, a copy of the certificate must be submitted to MOCJ for retention. Completion must occur within 6 months of MOU execution by the employee(s) overseeing grant fiscal compliance for the agency or organization. The training can be found at <http://gfm.webfirst.com/>.

VI. GENERAL PROVISIONS

A. **TERM AND TERMINATION:** This Agreement shall not be effective until it is duly executed by all of the parties ("Effective Date"). The term of the Agreement shall be from the Effective Date of the Grant Contract or the date that SH, and GSS/SHP receive notice that the Grant Contract has been registered, whichever is later, until the date all obligations of the parties have been completed.

B. **REQUESTS FOR INFORMATION AND FUNDING:** All requests must be made in writing by respective parties, and will be acknowledged and or responded to within 7 business days.

C. **INTEGRATION:** This Agreement constitutes the entire agreement among the parties regarding the subject matter hereof, and supersedes all prior or contemporaneous agreements, negotiations, representations, and proposals (whether oral or written) among the parties or between any of them.

D. **NOTICES:** Any notice shall be made to the following parties or to such other representatives that the parties designate in writing:

For OCDV:

New York City Mayor's Office to Combat Domestic Violence

100 Gold Street

New York, NY 10038

Attn: Cecile Noel, Commissioner

____—Elizabeth Dank, Deputy Commissioner/General Counsel

For KCDA:

Kings County District Attorney's Office

350 Jay Street

Brooklyn, NY 11201

Attn: Shibinsky Payne, Director of Victim Service Unit

Leleah Robinson, Grants Manager

For MOCJ:

New York City Mayor's Office of Criminal Justice

1 Centre Street

New York, New York 10007

Attn: Saira Khan, Budget Analyst

Martina Colaizzi, Senior Contract Analyst

For SH:

Safe Horizon

2 Lafayette, 3rd Floor

New York, NY 10007

Attn: Maureen Curtis, Vice-President, Criminal Justice Programs

For GSS/SHP:
Good Shepherd Services' Safe Homes Project
305 Seventh Avenue, 9th Floor
New York, NY 10001
Attn: Rachel Kitay, Contracts Manager, Government and Philanthropy

E. **ASSIGNMENT:** Each party agrees that it shall not assign, transfer, convey or otherwise dispose of this Agreement, except by operation of law, without the prior written consent of the other parties.

F. **WAIVER:** Waiver by any party of a breach of any provision of this Agreement shall not be deemed to be a waiver of any other or subsequent breach and shall not be construed to be a modification of the terms of the Agreement unless and until the same shall be agreed to in writing by such other party and attached to the original Agreement.

G. **MODIFICATION:** This Agreement may be modified by the parties in writing in a manner not materially affecting the substance thereof. It may not be altered or modified orally.

H. **APPLICABLE LAW:** This Agreement shall be governed, construed, applied and enforced in accordance with the laws of the City and State of New York, except where preempted by federal law.

I. **OWNERSHIP OF DOCUMENTS AND MATERIALS:** All reports, working papers, plans, studies, drawings, documents, technical memoranda and other work product produced by any and all contractors retained by SH, GSS/SHP, KCDA, and OCDV in the performance of the FY 15 Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program shall become the property of New York City. Notwithstanding the foregoing, all client records created by SH and other internal documents and information related to the Contractor's operations shall remain the exclusive property of the SH.

The parties hereto have duly executed the agreements as of the day and year first written above.

NEW YORK CITY MAYOR'S OFFICE OF CRIMINAL JUSTICE

By:  _____

Name: Jean-Claude LeBec

Title: Chief Operating Officer, New York City Mayor's Office of Criminal Justice

Date: 9/6/16 _____

NEW YORK CITY MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

By:  _____

Name: Cecile Noel

Title: Commissioner

Date: 10/27/16 _____

THE KINGS COUNTY DISTRICT ATTORNEY'S OFFICE

By:  _____

Name: Leroy Frazer, Jr.

Title: Chief of Staff

Date: 9/19/16 _____

SAFE HORIZON

By: 

Name: Maureen Curtis

Title: Vice-President, Criminal Justice Programs

Date: 9/1/16

GOOD SHEPHERD SERVICES' SAFE HOMES PROJECT

By: 

Name: Sr. Paulette LoMonaco

Title: Executive Director, Government and Philanthropy

Date: 10/11/16

Attachments:

Award Letter

**MEMORANDUM OF UNDERSTANDING FOR
THE OVW FY 2015 JUSTICE FOR FAMILIES PROGRAM**

among

MAYOR'S OFFICE OF CRIMINAL JUSTICE

and

MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

and

SAFE HORIZON

This Memorandum of Understanding ("MOU") is made and entered into by the New York City Mayor's Office of Criminal Justice ("MOCJ"), with an office located at 1 Centre Street, Room 1012N, New York, NY 10007, and the New York City Mayor's Office To Combat Domestic Violence ("OCDV"), with an office at 100 Gold Street, 2nd Floor, New York, New York, 10038, and Safe Horizon ("SH") with an office at 2 Lafayette, 3rd Floor, New York, NY 10007. The purpose of this MOU is to serve as an assurance to MOCJ that SH will fulfill all grant requirements set forth by the U.S. Department of Justice for the duration of the grant period.

WHEREAS, MOCJ facilitates cooperation and partnerships among the agencies and actors involved in crime prevention and criminal justice in New York City ("the City"), to ensure the fair and efficient functioning of the criminal justice system in the City, and serves as a liaison between the NYPD, the Departments of Correction and Probation, the five District Attorney Offices, and other agencies to help coordinate consistent citywide policy on criminal justice issues; and,

WHEREAS, MOCJ is the administering entity and lead applicant for the OVW FY 2015 Justice for Families Program, 2015-FJ-AX-0001, CFDA # 16.021, awarded by the United States Department of Justice's Office on Violence Against Women ("OVW") for \$500,000 through the term of the grant, September 30th 2018 (the "Grant"); and

WHEREAS, the parties are currently negotiating a contract that incorporates the terms of the Grant this MOU (the "Grant Contract");

WHEREAS, MOCJ and OCDV are city agencies and SH is a 501(c)(3); and

WHEREAS, as the administering entity and lead applicant, the Grants Manager at MOCJ must establish, collect and report relevant information to the United States Department of Justice ("DOJ"); and

WHEREAS, MOCJ will enforce all city, state, and federal reporting and compliance requirements; and

WHEREAS, this MOU outlines the respective performance and financial requirements per agency as required by United States Department of Justice's Office on Violence Against Women, MOCJ, and the City of New York; and

WHEREAS, services are not guaranteed to be reimbursed until the City contract registration; and

WHEREAS, SH is ready, willing, and able to perform these services; and

WHEREAS, SH will perform grant activities for the project period October 1st, 2015 through September 30th 2018, unless the project period is extended: and

WHEREAS, the respective performance and financial requirements of this grant are effective as of October 1st, 2015;

NOW THEREFORE, in consideration of the mutual understanding and goals of the parties to this MOU, the parties agree to the following, subject to the successful registration of a fully executed Grant Contract:

I. GENERAL REQUIREMENTS

A. MOCJ General Roles and Responsibilities

1. MOCJ will monitor the contract with SH to assure that the vendor is meeting contractual services and performance goals.
2. MOCJ will review and process invoices which will entail a comprehensive understanding of the contract provisions to assure the propriety of a given invoice.
3. MOCJ will be the primary liaison with SH on all fiscal related issues.
4. MOCJ will ensure that all grant expenditures are allowable as per the regulations of DOJ.
5. MOCJ will be responsible for coordinating the submission of deliverables, including grant-related reports, to DOJ.

B. OCDV's Responsibilities

1. OCDV will regularly communicate with SH to discuss program operations and provide technical assistance.

2. OCDV will be the primary liaison with SH on all programmatic related issues and will ensure that program design and implementation are in accordance with the approved grant application, unless modified with DOJ's approval in collaboration with MOCJ.
3. OCDV will collect data from SH for grant reports, ensure that SH is meeting all grant deliverables, and recommend corrective actions when necessary.

C. SH' Responsibilities

1. SH will be responsible for the provision of generalized and specialized expertise on this Grant, including, but not limited to the development of the project and the manifestation of the other deliverables outlined below.
2. SH will fulfill all requirements as defined in the Grant Contract once it is registered.
3. SH will provide timely delivery of any information which affects the stated and understood goals of the project and also expects timely responses to project-related inquiries from the parties named herein.
4. The primary activities of this project include providing supervised visitations and safe exchanges for families involved in custody disputes, who have been referred by the court system due to safety concerns for the victimized partner and/or child(ren) stemming from a history of domestic violence, dating violence, child sexual abuse, sexual assault, and stalking. In addition to operating a Supervised Visitation and Exchange Program ("SVEP"), the program would provide training with the goal of educating court-based and court-related personnel on the dynamics of domestic violence, dating violence, sexual assault, and stalking, including information on perpetrator behavior, evidence-based risk factors for domestic and dating violence homicide, and on issues relating to the needs of victims, including safety, security, privacy, and confidentiality.

II. FINANCIAL REPORTING

A. MOCJ's Responsibilities

1. MOCJ is responsible for the compilation and submission of financial requirements to DOJ in accordance with the terms of the grant award.
2. MOCJ will submit all financial requirements according to DOJ's pre-approved format, following the procedures outlined in the Grants Management Manual.

B. SH's Responsibilities

1. SH will submit invoices to MOCJ for payment as prescribed by the Grant Contract.
2. SH is responsible for the submission of financial reports to MOCJ in accordance with the terms of the Grant Contract.
3. The MOCJ Grants Manager will review all submissions, and SH shall be responsive to all and any reasonable requests for further information or documentation.

III. PERFORMANCE REPORTING

A. Responsibilities

1. Performance reports are due on a semi-annual basis for the OVW FY 2015 Justice For Families Program. The reporting periods are:
 - January 1 – June 31
 - July 1 – December 30
2. SH is responsible for submitting all performance-related reporting. SH must submit performance reports to OCDV no later than 10 working days after the close of each performance reporting period. OCDV will submit the final performance reporting to MOCJ no later than 15 working days after the close of each performance reporting period. All semi-annual performance reports must be submitted in a pre-approved format, following the procedures outlined in the Grants Management Manual.
3. The MOCJ Grants Manager will review all submissions, and SH shall be responsive to all and any reasonable requests for further information or documentation.

B. MOCJ's Responsibilities

1. MOCJ is responsible for the compilation and submission of performance requirements to DOJ in accordance with the terms of the grant award.
4. MOCJ will submit all performance requirements according to DOJ's pre-approved format, following the procedures outlined in the Grants Management Manual.

C. OCDV's Responsibilities

1. OCDV shall review all programmatic reports prior to their submission to MOCJ by SH.

IV. OTHER REQUIREMENTS

1. Within sixty (60) days of the end of each City Fiscal Year, SH and OCDV will compare internal records against any documentation submitted to MOCJ to ascertain whether the submitted documentation substantiates the expenses submitted for reimbursement by MOCJ. SH and OCDV will respond to any reasonable requests by MOCJ to provide any additional required documentation, as outlined in the Grants Management Manual.
2. MOCJ staff will perform at least two (2) site visits per year for all grants:
3. One (1) site visit will occur at the location where most program activities occur in order to review programmatic activities and operations.
4. One (1) site visit will occur at the main administrative offices of the organization to review fiscal policies and procedures, documentation and other issues.
5. Additional visits will be scheduled as necessary, and at MOCJ's discretion.
6. Every 5th and 11th month of each calendar year, MOCJ will request that SH provide a certification certifying all full-time employees charged to the grant.
7. SH must have an audit completed and submitted to MOCJ within 9 calendar months of their fiscal year-end or one month after the issuance of their audit, should SH receive \$500,000 or more in Federal awards. SH is not required to submit a copy of the reporting package to MOCJ if there are no audit findings.
8. Each quarter OCDV and MOCJ will meet to share updates on expenditure data, performance measures and operational adjustments that may need to be made.

V. DATA REQUIREMENTS

A. Data Requirements

1. SH must provide any grant-related information reasonably requested by MOCJ as needed in addition to the required performance reporting.
2. SH must retain documentation to substantiate any data reported in accordance with the retention policy in the Grants Management Manual and must be able to furnish such documents in case of an audit.
3. Under a site visit or audit, SH must reasonably comply with submission requirements as they develop.

VI. PENALTIES

A. MOCJ, as the grant administrator, is ultimately responsible for all of the grant activities that do and do not take place. Therefore, it is imperative that agencies and organizations who are partnering with MOCJ on grants fully participate and produce the required documentation and perform the required activities. Sub-recipients are required to abide by the duties outlined in the Grants Management Manual. Failure to abide by these requirements may result in the following penalties:

1. MOCJ will not apply on behalf of or in partnership with the defaulting agency or organization.
2. Failure to comply may jeopardize current and future funding opportunities.
3. MOCJ will terminate current grant activities and notify DOJ of this termination.
4. In extreme cases where MOCJ and DOJ have identified that egregious mismanagement of funds has occurred, MOCJ may request the recoupment of funds to be paid back to DOJ. Extreme misuse of funds may include:
 - Conflicts of interest
 - Failure to properly support the use of award funds
 - Theft

VII. STANDARD ASSURANCES

A. SH must certify that Federal funds are expended in accordance with the terms of award agreements and applicable provisions of Federal and State laws and regulations.

Additionally, SH must follow the policies and procedures outlined within the MOCJ Grants Management Manual and the policies and procedures established by the City of New York.

B. SH must certify that all submitted financial and performance reports contain accurate and reliable financial data and are presented in accordance with the terms of applicable agreements and the original grant application. SH cannot make programmatic or funding changes to the original application without notifying and receiving approval from MOCJ.

C. SH must ensure that all financial reports submitted to MOCJ have occurred within the valid project period and in accordance to the approved budget materials. Financial reports must be submitted according to schedule and on time, as listed under the "Sub-recipient Monitoring" section of the Grants Management Manual. Any expenditure made outside of the project and budget period is considered disallowed and not reimbursable. Repaying misused funds will be the responsibility of the sub-recipient who expended the funds.

D. If proper documentation, as outlined within the Grants Management Manual, is not submitted to MOCJ by SH, drawdowns for reimbursement of activity will not occur.

E. SH must ensure that their accounting system makes use of adequate internal controls that provide full accountability for revenues, expenditures, assets, and liabilities. The accounting systems of SH must ensure that agency funds are not commingled with funds from other grants. Each award from MOCJ must be tracked in a separate budget code, or bank account for non-city agencies.

F. Federal funds must be used to supplement existing state and local funds for program activities and must not supplant funds that have been appropriated for the same purpose.

G. For applications where a sub-recipient will be claiming an indirect rate, the organization must provide the official letter designating their federally approved rate. This documentation should be retained with grant award information.

H. SH must sign and retain the initial award MOU and contract, and adhere to all Special Conditions outlined within the grant award letter. SH must retain electronic documentation according to the retention policy for all grants received, and further have information readily available in case of site-visits or audits.

M. It is a requirement of all sub-recipients to take and pass the online DOJ Grants Financial Management training. Upon completion of the course, a copy of the certificate must be submitted to MOCJ for retention. Completion must occur within 6 months of MOU execution by the employee(s) overseeing grant fiscal compliance for the agency or organization. The training can be found at <http://gfm.webfirst.com/>.

VIII. GENERAL PROVISIONS

A. **TERM AND TERMINATION:** This Agreement shall not be effective until it is duly executed by all of the parties ("Effective Date"). The term of the Agreement shall be from the Effective Date of the Grant Contract or the date that Safe Horizon receives notice that the Grant Contract has been registered, whichever is later, until the date all obligations of the parties have been completed.

B. **REQUESTS FOR INFORMATION AND FUNDING:** All requests must be made in writing by respective parties, and will be acknowledged and or responded to within 7 business days.

C. **INTEGRATION:** This Agreement constitutes the entire agreement among the parties regarding the subject matter hereof, and supersedes all prior or contemporaneous agreements, negotiations, representations, and proposals (whether oral or written) among the parties or between any of them.

D. **NOTICES:** Any notice shall be made to the following parties or to such other representatives that the parties designate in writing:

For MOCJ:

New York City Mayor's Office of Criminal Justice
1 Centre Street
New York, New York 10007
Attn: Saira Khan, Budget Analyst
Martina Colaizzi, Senior Contract Analyst

For OCDV:

New York City Mayor's Office To Combat Domestic Violence
100 Gold Street, 2nd Floor
New York, NY 10007
Attn: Cecile Noel, Commissioner

For SH:

Safe Horizon
2 Lafayette St., Third Floor
New York, NY 10007
Attn: Maureen Curtis, Vice-President

E. **ASSIGNMENT:** Each party agrees that it shall not assign, transfer, convey or otherwise dispose of this Agreement, except by operation of law, without the prior written consent of the other parties.

F. **WAIVER:** Waiver by any party of a breach of any provision of this Agreement shall not be deemed to be a waiver of any other or subsequent breach and shall not be

construed to be a modification of the terms of the Agreement unless and until the same shall be agreed to in writing by such other party and attached to the original Agreement.

G. **MODIFICATION:** This Agreement may be modified by the parties in writing in a manner not materially affecting the substance thereof. It may not be altered or modified orally.

H. **APPLICABLE LAW:** This Agreement shall be governed, construed, applied and enforced in accordance with the laws of the City and State of New York, except where preempted by federal law.

I. **OWNERSHIP OF DOCUMENTS AND MATERIALS:** All reports, working papers, plans, studies, drawings, documents, technical memoranda and other work product produced by any and all contractors retained by SH in the performance of the FY 2015 Justice for Families Program shall become the property of New York City. Notwithstanding the foregoing, all client records created by SH and other internal documents and information related to the Contractor's operations shall remain the exclusive property of the SH.

The parties hereto have duly executed the agreements as of the day and year first written above.

NEW YORK CITY MAYOR'S OFFICE OF CRIMINAL JUSTICE

By:  _____

Name: Jean-Claude LeBec
Title: Chief Operating Officer, New York City Mayor's Office of Criminal Justice

Date: 7/1/2016

NEW YORK CITY MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

By:  _____

Name: Cecile Noel
Title: Commissioner

Date: 10/27/16

SH

By: _____



Name: Maureen Curtis

Title: Vice President, Criminal Justice Programs

Date: _____

6/10/16

Attachments:

Award Letter

MEMORANDUM OF UNDERSTANDING

OVC FY 2016, A Pathway to Justice, Healing, and Hope: Addressing Polyvictimization in a Family Justice Center Setting Demonstration Initiative

Among the following parties:

1. The Mayor's Fund to Advance New York City ("Mayor's Fund");
2. The following governmental agencies ("agencies"):
 - New York City Mayor's Office to Combat Domestic Violence ("OCDV")
 - Center for Economic Opportunity ("CEO"); and
3. The following nonprofit, nongovernmental victim services organizations ("organizations"):
 - The New York City Alliance Against Sexual Assault ("Alliance")
 - Safe Horizon
 - Voces Latinas ("VL")
 - Mount Sinai Sexual Assault and Violence Intervention ("SAVI") Program
 - Sanctuary for Families ("SFF")

Each of the Mayor's Fund, agencies, and organizations is a "partner" and collectively are "partners".

This Memorandum of Understanding (MOU) affirms the mutual support that the Mayor's Fund and each agency and organization will provide in the demonstration initiative focused on developing and implementing the NYC Polyvictimization Collaborative Project ("Project") at the New York City Family Justice Center, Queens, New York, ("QFJC"), a project to be funded under A Pathway to Justice, Healing, and Hope: Addressing Polyvictimization in a Family Justice Center Setting Demonstration Initiative of the Office for Victims of Crime in the Office of Justice Programs within the United States Department of Justice ("DOJ Office").

I. History of Collaborative Relationship and Commitment to Partnership

In preparation of this application, the Mayor's Fund, in partnership with OCDV, reached out to the other partners regarding their potential participation in the proposed NYC Polyvictimization Collaborative Project. Further, the Mayor's Fund and OCDV discussed the proposed Project Budget for each of the partners and each partner approved the Budget that was relevant to their agency or organization.

Through this demonstration initiative, the partners are committed to convening and/or participating in working groups of a diverse cross-section of service providers and community stakeholders to learn about the needs of polyvictims, developing a new polyvictimization screening tool for use at the QFJC, conducting comprehensive training of staff to administer the

tool to adult clients and their children receiving services at the QFJC, and providing services needed to address intimate partner violence and other victimizations that clients have experienced in their lifetime. In addition, the Mayor's Fund with the assistance of OCDV and CEO is committed to engaging a research entity to conduct a process evaluation on the implementation of the screening tool.

The lead partners, the Mayor's Fund and OCDV, and all of the other partners have the expertise, experience, and commitment to ensure the continued success of the NYC Polyvictimization Collaborative Project.

II. Roles and Responsibilities

Each of the partners has agreed to participate in the NYC Polyvictimization Collaborative Project as detailed below. The roles and responsibilities described below are contingent on the receipt of the funds requested for this Project in the OVC FY16 grant application. If this application for funding is denied, it is fully understood that the Mayor's Fund and the agencies and organizations that sign this MOU are in no way obligated or funded to provide the resources or services described in this document.

The Mayor's Fund to Advance New York City (the Mayor's Fund)

The Mayor's Fund to Advance New York City is a 501(c) (3) nonprofit organization that serves as the primary vehicle for New York City's business, foundational and philanthropic communities to engage City government, contribute to public programs, and enhance the City's ability to serve its residents. Chaired by First Lady Chirlane McCray, the Mayor's Fund's current areas of focus include mental health, youth workforce development, and immigration and citizenship. For over twenty years, the Mayor's Fund to Advance New York City has facilitated public-private collaborations that support the development and emerging needs of the City's most underserved communities. Uniquely positioned to wed the unmatched reach of government with the flexibility and entrepreneurial spirit of the private sector, the Mayor's Fund leverages the deep well of civic goodwill by linking City agencies to private individuals, corporations and foundations with shared goals for New York City and its residents. Through these innovative public-private partnerships, the Mayor's Fund facilitates citywide community development to fill vital needs, as well as to evaluate the continued efficacy and funding sustainability of its programs.

The Mayor's Fund to Advance New York City Responsibilities and Contributions

The Fund will be responsible for providing the following contributions:

- Act as the Project applicant for grant funding (in partnership with OCDV) from the Department of Justice, Office of Justice Programs, Office for Victims of Crime;
- Serve as the primary point of contact to the DOJ Office;
- Act as the fiscal agent for the Project;
- With the assistance of OCDV, ensure that all reporting requirements are met and monitor the Project's implementation to ensure achievement of Project objectives; and

- Enter into all contracts necessary to implement the Project.

Governmental Agencies

New York City Mayor's Office to Combat Domestic Violence (OCDV)

In November 2001, New York City voters approved an amendment to the City Charter to make the Commission to Combat Family Violence (originally established by Executive Order in 1994) into a permanent office of City government, headed by a Commissioner. OCDV is one of the few local government offices in the U.S. exclusively dedicated to addressing the issue of domestic violence across a large urban jurisdiction. In October 2015, Mayor Bill de Blasio appointed Cecile Noel as the Commissioner of the Mayor's Office to Combat Domestic Violence. OCDV develops policies and programs to further its mission, coordinates the citywide delivery of domestic violence services, and works with diverse communities to increase awareness about domestic violence and resources and services available for victims. OCDV operates the NYC Family Justice Centers ("FJCs" or "Centers"), with locations in the Bronx, Brooklyn, Manhattan, Queens, and Staten Island, which provide comprehensive multi-agency services in one location for victims of intimate partner violence, elder abuse, and sex trafficking. Each Center is co-located with the local District Attorney's Office for each borough and has New York Police Department Domestic Violence Prevention Officers ("DVPOs") on-site, in addition to staff from over 35 community organization partners who offer counseling services for adults and children, civil legal assistance, immigration assistance, economic empowerment, and supportive services. The FJCs are walk-in Centers that provide free and confidential services regardless of the client's language, income, immigration status, gender identity, or sexual orientation.

The operations of the Queens Family Justice Center ("QFJC" or "Queens FJC") are managed by OCDV through an Executive Director and other QFJC administrative staff members. The objective of the QFJC is to provide client-centered, trauma-informed services under one roof to make it easier for victims to access the services they need. All on-site FJC services are provided by partner agencies, including community based organization and City agencies. Since opening in 2008, the Queens FJC has assisted over 31,000 clients and has had over 88,000 visits. Client visits to the Queens FJC increased by almost 1,500 annually last year and there has been an additional 10% increase in client visits this year. In 2015, the Queens FJC averaged over 1,000 client visits a month, which includes 360 new client screenings monthly.

In addition to operating the Family Justice Centers, OCDV has implemented innovative programming and comprehensive training initiatives to raise awareness about the issue of intimate partner violence and ensure victims have access to a full range of essential services. These programs and training initiatives include the Coordinated Approach to Preventing Stalking ("CAPS") program, the Queens Human Trafficking Intervention *Pro Bono* Project, and the Healthy Relationship Training Academy, which is a prevention-based peer-led education model for youth. OCDV also chairs NYC's Domestic Violence Fatality Review Committee, which reviews and analyzes homicide statistics to make recommendations to improve service delivery to victims and awareness efforts.

The Mayor's Office to Combat Domestic Violence Responsibilities and Contributions

OCDV will be responsible for providing the following in-kind contributions:

- Ensure the success of the grant and that the Project is meeting all goals and objectives;
- Coordinate Project staff and partners to effectively implement the goals of the grant and maintain collaborative and cooperative relationships;
- Ensure that there is transparent communication and adherence to confidentiality protocols;
- Install methods for data collection and evaluation and submit grant reports in a timely manner;
- Assist Project partners to convene working groups of service providers and key community stakeholders to discuss best practices around the design and implementation of the screening tool;
- Participate in the design and development of the polyvictimization screening tool;
- Oversee the coordination and implementation of the polyvictimization screening tool at the QFJC;
- Ensure access for all identified polyvictims to the full range of services and partner agencies available at the QFJC, as appropriate;
- Participate in the process evaluation conducted by a research entity, as appropriate and needed;
- Provide office space, telephones and service, computers with internet access, information technology services, security, and copier and fax machine access for all OCDV and nonprofit partner staff on-site at the QFJC;
- Oversee all work to be completed by OCDV staff members on this Project, including the QFJC Executive Director, Deputy Commissioner/General Counsel, Senior Advisor for Research and Evaluation, and Director of Budgets and Grants.

Center for Economic Opportunity (CEO)

The Center for Economic Opportunity, a part of the Mayor's Office of Operations, works to reduce poverty and advance evidence-based policy in New York City through research, program design, monitoring, and evaluation. CEO manages a dedicated annual Innovation Fund and works collaboratively with City agencies and other partners to create, implement, and oversee a range of anti-poverty programs, policies, and research projects. CEO's in-house evaluation team works with nationally recognized, independent evaluation firms and City agencies to rigorously measure program impacts and provide objective evidence to inform decisions of whether to replicate, eliminate, or scale up programs. More than 500,000 individuals have been served by CEO programs, securing more than 30,000 job placements, more than 10,000 paid internships, more than 10,000 enrolled in college or occupational training, and over \$100 million in increased tax credits claimed.

CEO is committed to partnering with the Mayor's Fund and OCDV on the research and evaluation component of this grant. The Mayor's Fund, with the assistance of CEO and OCDV, would identify a research entity to participate in the evaluation component of this grant opportunity. If funding is received, the Mayor's Fund, with the assistance of CEO and OCDV,

would prepare a request for proposals for the research and evaluation component of the Project, and then circulate the request for proposals to CEO's pre-selected list of potential research entities to invite them to submit a response. Once a research entity is selected by the Mayor's Fund with the assistance of CEO and OCDV, the Mayor's Fund and OCDV would work closely with the selected partner to create the evaluation tool to assess the effectiveness of the implementation tool at the QFJC.

CEO Responsibilities and Contributions

CEO will be responsible for providing the following in-kind contributions:

- Along with OCDV, assist the Mayor's Fund on the research and evaluation element of this Project;
- Assist in the process of selecting a research entity to conduct a process evaluation of the implementation of a polyvictimization screening tool; and
- Oversee all work to be completed by CEO staff on this Project.

Nongovernmental Agencies

The New York City Alliance Against Sexual Assault (the 'Alliance')

The mission of the New York City Alliance Against Sexual Assault is to prevent sexual violence and reduce the harm it causes through education, research and advocacy. The Alliance is the lead organization in the NYC Regional Sexual Assault Prevention Center, a NYS Department of Health certified prevention program that partners with 4 rape crisis centers to provide evidence-informed prevention programs that help to reduce sexual violence. The Alliance also provides technical assistance and training in prevention and intervention in sexual violence. In 2014-15, the Alliance Training Institute provided training to 554 medical and human services professionals from 120 different organizations in intervention in sexual violence situations. The Alliance is a technical assistance provider to 76 rape crisis centers state-wide on prevention and intervention in sexual assault as well as to college campuses in the 5 boroughs of New York City. The Alliance's program, Allies for Advocacy (AFA), provides criminal justice and personal advocacy to over 100 survivors per year who are having difficulty accessing systemic assistance in NYC. The Alliance also serves as a referral hub to acute care by rape crisis centers in NYC and advocates for systemic changes in public policies on behalf of victims of sexual violence and advocates who serve them.

The NYC Alliance Against Sexual Assault Responsibilities and Contributions

The Alliance will be responsible for providing the following grant-funded contributions:

- Hire a .50 FTE staff member to act as a consultant as well as engage an hourly consulting faculty member for this Project in accordance with the agreed upon budget;
- Convene working groups of service providers and key community stakeholders to discuss the design of the screening tool and best practices for implementation;
- Work collaboratively with key partners and stakeholders to design and develop a polyvictimization screening tool;

- Utilize their expertise in trauma-centered intervention, evidence-based sexual assault prevention strategies, education, and advocacy to act as a referral source for QFJC partners seeking services for polyvictimization clients;
- Provide quarterly trainings at the QFJC on topics relevant to sexual assault, intimate partner violence and polyvictimization;
- Provide technical assistance and capacity building training to community-based organizations in order to better serve adult victims of child sexual abuse in diverse communities of Queens; and
- Comply with all data collection practices and requests by the Mayor's Fund and OCDV in order to comply with the deliverables of this grant and/or the process evaluation.

The Alliance will provide the following in-kind contributions:

- Supervision of the one grant-funded consultant;
- Participation in the process evaluation conducted by a research entity, as appropriate and needed; and
- Subject to availability, expertise of the Alliance's leadership.

Safe Horizon

Safe Horizon is a private, nonprofit organization that provides a broad scope of services to crime victims throughout New York City. The mission of Safe Horizon is to provide support, prevent violence, and promote justice for victims of crime and abuse, their families, and communities. Safe Horizon operates extensive community and court-based service programs for domestic violence, sexual assault, rape, child abuse, and assault victims, as well as persons affected by homicide. These programs include 24-hour hotlines, Community Programs, Domestic Violence Shelters, and a Counseling Center. Safe Horizon has a long history of collaborating with OCDV and is the current city-contracted provider for screening and case management services at the centers. all five NYC Family Justice Centers.

Safe Horizon Responsibilities and Contributions

Safe Horizon will be responsible for providing the following grant-funded contributions:

- Dedicate .20 time each of two FTE staff members to act as consultants for the purposes of this Project in accordance with the agreed upon budget;
- Convene working groups of service providers and key community stakeholders to discuss the design of the screening tool and best practices around implementation;
- Work collaboratively with key partners and stakeholders to design and develop a polyvictimization screening tool;
- Provide guidance and expertise in developing recommendations for integrating the polyvictimization screening tool into the current screening practices at the QFJC;
- In coordination with OCDV, develop and conduct staff trainings on the use of the polyvictimization screening tool at the QFJC;
- Monitor the use of the polyvictimization screening tool by Safe Horizon's city-contracted client services specialist screening staff at the QFJC through regular observation and supervision meetings;

- Provide guidance and expertise in creating best practice recommendations in utilizing the polyvictimization screening tool to assess QFJC repeat clients for polyvictimization after their initial screening;
- Ensure ongoing support and coaching for new and existing staff in the use of the screening tool; and
- Comply with all data collection practices and requests by the Mayor's Fund and OCDV in order to comply with the deliverables of this grant and/or the process evaluation.

Safe Horizon will be responsible for providing the following in-kind contributions:

- Utilization of the polyvictimization screening tool by the city-contracted Safe Horizon client services specialist screening staff at the QFJC;
- Appropriate referrals and linkages to services by city-contracted Safe Horizon client services specialist screening staff at the QFJC when a QFJC client screens positive for polyvictimization;
- Supervision of the two grant funded consultants;
- Participation in the process evaluation conducted by a research entity, as appropriate and needed; and
- Subject to availability, expertise of the Safe Horizon leadership.

Voces Latinas ("VL")

Voces Latinas is a culturally-specific center that provides services to Latinas in western Queens with an emphasis on empowering, educating, and providing leadership and advocacy training to enable them to make healthier decisions for themselves and their families. The organization was founded in 2003 with the mission of reducing the rate of HIV and violence among immigrant Latinas. Voces Latinas' programs address client needs in the context of the multiple traumas they face, including social oppression, poverty, threats of deportation, community violence, intimate partner violence, sexual violence, and survival sex. VL's community-based model has been the catalyst for the growth and success of its work.

More specifically, VL reaches close to 2,000 Latinas annually through the following services: 1) educational workshops series in Spanish, 2) Promotora (Community Health Worker) training, 3) case coordination services utilizing the ARTAS model, 4) violence against women program which facilitates mental health services through a partnership with Catholic Charities and a weekly domestic violence support group, 5) immigration legal assistance to survivors of violence and sexual assault through a partnership with Queens Legal Services and CUNY Law School, 6) HIV testing and linkage to care during day and late night hours, 7) community mobilization programs that train local business owners and employees to be HIV prevention educators, to refer customers for testing, and to reduce stigma in the community, and 8) community level interventions, including empowerment and Community PROMISE, for high risk and HIV positive Latinas.

Voces Latinas Responsibilities and Contributions

Voces Latinas will be responsible for providing the following grant-funded contributions:

- Hire a 1.0 FTE case manager to be located on-site at the QFJC, in accordance with the agreed-upon budget, who will provide comprehensive case management services to QFJC clients who screen positive for polyvictimization including, but not limited to, risk assessment, safety planning, advocacy, referrals to QFJC on-site and off-site partners for additional services, and other services, as needed and appropriate;
- Participate in all on-site vetting and training requirements at the QFJC;
- Execute a new FJC Partnership Agreement with OCDV in order to become an on-site partner of the QFJC and regularly comply with all requirements of that agreement;
- Receive training regarding the QFJC database and engage in regular data entry as required by the policies and practices of the QFJC; and
- Comply with all data collection practices and requests by OCDV in order to comply with the deliverables of this grant and/or the process evaluation.

Voces Latinas will be responsible for providing the following in-kind contributions:

- Supervision of the one grant-funded case manager;
- Participation in the process evaluation conducted by a research entity, as appropriate and needed; and
- Subject to availability, expertise of the VL leadership.

The Mount Sinai Sexual Assault and Violence Intervention Program ("SAVI")

The Mount Sinai SAVI program was founded in 1984 as the Rape Crisis Intervention Program (RCIP). From SAVI's inception, the program recognized how paramount it was to provide free services that address the needs of survivors of sexual assault, especially women and girls, with limited resources or limited access to them. Over time, many of these individuals and their loved ones have returned to support and develop the program by sharing their experiences, skills, and time. Through this input and the recognition of the changing needs of survivors, the mission of SAVI has become threefold: (1) to meet the needs of rape, sexual assault, sexual abuse, sex trafficking and intimate partner violence survivors by offering immediate crisis intervention in hospital emergency departments; (2) to provide trauma therapy, counseling and information/referrals both for past and present survivors and their loved ones; and (3) to educate the public and professionals about issues of sexual and intimate partner violence, inclusive of primary prevention of gender-based violence.

Mount Sinai SAVI Responsibilities and Contributions

SAVI will be responsible for providing the following grant-funded contributions:

- Hire a 1.0 FTE psychotherapist, in accordance with the agreed upon budget, to perform the following tasks: intake interviews with QFJC clients who screen positive for polyvictimization and are referred to them for services; provide clinical consultation, assessment and referral services; develop treatment plans inclusive of goal-setting and with a view towards re-empowering the survivor; and provide individual short-term (12 sessions) and long-term (up to one year) trauma-specific therapy for adults, adolescents, and families who are QFJC polyvictim clients;
- Provide short-term group therapy for QFJC polyvictim clients;
- Supervise a clinical student intern, as requested and as resources allow;

- Participate in all vetting and training requirements to be on-site at the QFJC;
- Receive training regarding the QFJC database and engage in regular data entry as required by the policies and practices of the QFJC; and
- Comply with all data collection practices and requests by the Mayor's Fund and OCDV in order to comply with the deliverables of this grant and/or the process evaluation.

Mount Sinai SAVI will provide the following in-kind contributions:

- Supervision of the one grant-funded counselor;
- Participation in the process evaluation conducted by a research entity, as appropriate and needed; and
- Subject to availability, expertise of the SAVI leadership.

Sanctuary for Families ("SFF")

Sanctuary for Families is New York's leading service provider and advocate for survivors of domestic violence, sex trafficking, and related forms of gender violence. Every year, SFF empowers thousands of adults and children to move from fear and abuse to safety and stability, transforming lives through a comprehensive range of services. Working out of six offices and five shelters, as well as nine public housing police stations throughout New York City, SFF provides a range of wrap-around services addressing SFF clients' full array of needs. Last year, SFF served over 16,000 individuals through legal and clinical services, economic empowerment support, shelter and programming for children and youth. Through outreach and trainings, SFF educated over 42,000 concerned community members last year, ensuring that communities are prepared to protect victims and prevent gender violence. Informed by work with thousands of survivors, SFF is a leading advocate for legislation and public policies that promote freedom from gender violence as a basic human right. SFF is the city-contracted community provider on-site five days per week at the QFJC, supervising and administering the QFJC children's programming, including managing the Children's Room and conducting children's counseling sessions.

Sanctuary for Families Responsibilities and Contributions

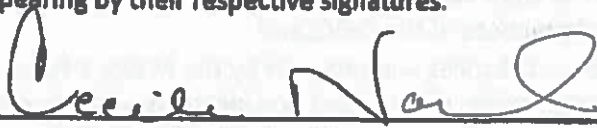
Sanctuary for Families will be responsible for providing the following in-kind contributions:

- Participate in working group meetings, as available, with key stakeholders and community members regarding the design and development of the polyvictimization screening tool to be implemented at the QFJC;
- Utilize the polyvictimization screening tool by the city-contracted SFF children's services staff at the QFJC;
- Participate in the process evaluation conducted by a research entity, as appropriate and needed;
- Compliance with all data collection practices and requests by the Mayor's Fund and OCDV in order to comply with the deliverables of this grant and/or the process evaluation; and
- Subject to availability, expertise of SFF's leadership.

[SIGNATURE PAGE FOLLOWS]

**Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016**

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

6/23/16 
Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rubio-Torlo**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families

Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date Commissioner Cecile Noel
New York City Mayor's Office to Combat Domestic Violence

Date Executive Director Darren Bloch
The Mayor's Fund to Advance New York City

6.22.16 
Date Director of Programs and Evaluation David Berman
Center for Economic Opportunity

Date Vice President of Criminal Justice Programs Maureen Curtis
Safe Horizon, Inc.

Date Executive Director Nathaly Rubio-Torio
Voces Latinas

Date Executive Director Lynn Frederick Hawley
Mount Sinai SAVI Program

Date Executive Director Mary Haviland
The New York City Alliance Against Sexual Assault

Date Executive Director Judy Kluger
Sanctuary for Families


**Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016**

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

6/23/16 

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rublo-Torlo**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families

**Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016**

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Nathaly Rubio Torio

Date **Executive Director Nathaly Rubio-Torio, LMSW**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families

**Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016**

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

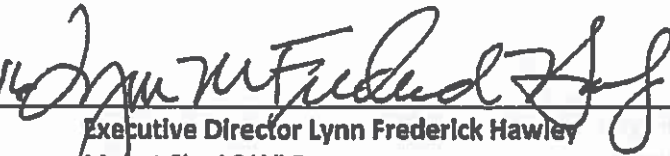
Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rubio-Torlo**
Voces Latinas

4.23.14 

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families

Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

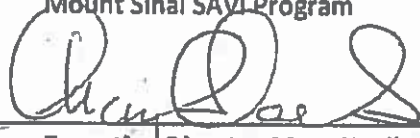
Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rubio-Torio**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAYI Program

6/24/16



Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families

Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rubio-Torlo**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault


Date **Executive Director Judy Kluger**
Sanctuary for Families

Mayor's Fund to Advance New York City
NYC Mayor's Office to Combat Domestic Violence
OVC FY 2016

IN ACCORDANCE with the above terms and conditions, the parties hereby execute this MOU on the dates appearing by their respective signatures.

Date **Commissioner Cecile Noel**
New York City Mayor's Office to Combat Domestic Violence

6/24/16 
Date **Executive Director Darren Bloch**
The Mayor's Fund to Advance New York City

Date **Director of Programs and Evaluation David Berman**
Center for Economic Opportunity

Date **Vice President of Criminal Justice Programs Maureen Curtis**
Safe Horizon, Inc.

Date **Executive Director Nathaly Rubio-Torio**
Voces Latinas

Date **Executive Director Lynn Frederick Hawley**
Mount Sinai SAVI Program

Date **Executive Director Mary Haviland**
The New York City Alliance Against Sexual Assault

Date **Executive Director Judy Kluger**
Sanctuary for Families



THE CITY OF NEW YORK
OFFICE OF THE MAYOR
NEW YORK, NY 10007

November 15, 2016

Carolyn Miller
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Ms. Miller:

Pursuant to COIB AO2008-6, please be advised that during the period April 1, 2016 to September 30, 2016, city employees in the Mayor's Office solicited funds and/or in-kind contributions for the following not-for-profit entities:

The Mayor's Fund to Advance New York City
The Fund for Public Schools, Inc.
The Fund for Public Health, Inc.
Gracie Mansion Conservancy
New York City Global Partners, Inc.
EMUNAH of America, Inc.
Tell Every Amazing Lady Ovarian Cancer Louisa M. McGregor Ovarian Cancer Foundation
Breakthrough New York, Inc.
Harvard University
YWCA of Brooklyn
Jazz Vespers of Emmanuel Baptist Church
Jitegemee
The American Small Craft Association
Brooklyn Public Library
George Biddle Kelley Education Foundation
In Our Backyards, Inc.
BAPS Swaminarayan Sanstha

The Washington Leadership Program
Rikers Island Debate Project
Free Tibet, Inc.
YMCA d' Haiti
New York Immigration Coalition
Syracuse University College of Law
Detroit 490 Rape Kit Campaign
Heartshare St. Vincent Services
Alpha Kappa Alpha Sorority, Inc.
Planned Parenthood
New Leaders Council
Human Right Campaign
Self Help Africa (USA)
After-Scholl All Stars
Haitian-American Community Organization
Major Music International Corporation

Very truly yours,

A handwritten signature in black ink, appearing to read "Henry T. Berger". The signature is written in a cursive style with a horizontal line underlining the first name.

Henry T. Berger
Special Counsel

cc: Maya Wiley
Counsel to the Mayor

From: [Hackworth, Thaddeus \(Recovery\)](#)
To: [Amber Gonzalez](#)
Subject: Disclosure of Donations and Fundraising Efforts - HRO
Date: Wednesday, November 16, 2016 1:27:25 PM

Dear Amber,

HRO has nothing to report for the period of April 1, 2016 through September 30, 2016.

Best,

Thaddeus Hackworth
General Counsel
City of New York, Mayor's Office of Housing Recovery Operations
250 Broadway, 24th Floor
New York, NY 10007
tel: (212) 615-8026
thackworth@recovery.nyc.gov

CONFIDENTIALITY NOTICE: This e-mail message is intended only for the person or entity to which it is addressed and may contain CONFIDENTIAL or PRIVILEGED material. Any unauthorized review, use, disclosure or distribution is prohibited. If you are not the intended recipient, please contact the sender by reply e-mail and destroy all copies of the original message. If you are the intended recipient but do not wish to receive communications through this medium, please so advise the sender immediately.

REPORTING PERIOD APRIL 1ST TO SEPT 30TH, 2016

NOT-FOR-PROFIT ENTITIES FOR WHICH NEW YORK CITY DEPARTMENT OF PARKS & RECREATION, PARKS COMMISSIONER MITCHELL J. SILVER (“MJS”) SOLICITED SUPPORT AND/OR ENDORSED

EVENT DATE	EVENT ATTENDED	MJS ACTIVITY
04-05-16	Central Park Conservancy (“CPC”) 18 th Annual Volunteer Recognition Reception	Delivered general remarks
04-07-16	CPC Tavern on the Green Re-opening Reception	Attended
04-13-16	Van Alen Institute Spring Party Dinner	Attended
04-21-16	Frieze Art Fair NY Cocktail Reception	Attended
06-01-16	New York Restoration Project (NYRP) Spring Picnic	Delivered general remarks
06-04-16	City Parks Foundation (“CPF”) SummerStage Season Opener	Delivered welcome remarks
06-12-16	Madison Square Park Conservancy (“MSPC”) Big Apple Barbeque	Attended
06-13-16	Public Theater Backstage Dinner & Performance	Attended
06-15-16	CPC: NY Philharmonic in Central Park	Delivered introductory remarks
06-20-16	CPF Gala Concert and Dinner Honoring Ron Delsener	Delivered general remarks
06-21-16	CPF SummerStage Concert	Attended
06-22-16	Friends of the Highline (FHL) Annual Summer Party	Attended
07-12-16	MSPC Flatiron Chefs Event	Attended
08-03-16	Public Theater Backstage Dinner & Performance: Troilus & Cressida	Attended
08-30-16	CPF Tennis Benefit	Delivered general remarks



POLICE DEPARTMENT

Legal Bureau
One Police Plaza, Room 1406
New York, N.Y. 10038
(646) 610-5400


November 15, 2016

Amber Gonzalez, Assistant Counsel
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Ms. Gonzalez:

Enclosed please find the New York City Police Department's disclosures as required by Advisory Opinion Nos. 2008-6 and 2003-4 for the period of April 1, 2016 to October 30, 2016. Should you have any questions regarding the submission, please contact the undersigned at (646) 610-8404.

Very truly yours,



Stephanie L. Zimberg
Director

SLZ:lm
Encl.

CONFLICTS OF INTEREST BOARD REPORT
ADVISORY OPINION #2008-6
POLICE COMMISSIONER WILLIAM J. BRATTON
REPORT PERIOD: APRIL 1, 2016 THROUGH OCTOBER 30, 2016

Police Commissioner William J. Bratton participated in events involving the following organizations:

1010 WINS
9/11 Memorial and Museum
ABC
Aspen Security Forum
Association for a Better New York
Bank of America
Bernard Hodes Group
Boston Marathon
Brennan Center, New York University
Centurion Foundation
Citizens Crime Commission
CNBC
ESRI
FBI National Executive Institute Association
Federal Law Enforcement Foundation
Financial Crimes Publication
Global Sports Management Summit
Global Summit
Harlem Chamber of Commerce
Hudson Yards Project
Infor
Israeli Consulate
Italian Consulate
J.P. Morgan Chase
Jewish Community Council
John Jay College
Law Enforcement Explorer Program
LnCT Conference
Long Island University
Macys
Major Cities Chiefs Association
Manhattan Institute
Marine Corps Law Enforcement Foundation
MSNBC
National Crime Victims Fair
National Italian American Foundation
National Law Enforcement Officers Foundation
New York City Police Foundation

New York County District Attorney's Office
New York Daily News
New York Mets
New York Post
New York Stock Exchange
New York Women's Forum
NY 1
Police Athletic League
Police Executive Research Forum
Real Estate Board of New York
Ronald McDonald House
Silver Shield Foundation
Silverstein Properties
St. David's School Alumni Council
Staten Island Chamber of Commerce
Sunshine Kids
Suydam Dinner
TARGET
Union Club
United States Military Academy
WCBS
West Indian American Day Parade and Carnival
Woodrow Wilson Awards
WPIX

Police Commissioner William J. Bratton has written a Journal letter of support for the following organizations:

104 Precinct Civilian Observation Patrol
American Legion of Kings County
International Bangla Festival and Book Fair
LinCt
Muslim Officers Society Dinner
NOBLE
Pakistan Independence Day
Rose of Carmel
World Seido Karate Organization

CONFLICTS OF INTEREST BOARD REPORT
ADVISORY OPINION #2008-6

POLICE COMMISSIONER JAMES P. O'NEILL

REPORT PERIOD: APRIL 1, 2016 THROUGH OCTOBER 30, 2016

Police Commissioner James P. O'Neill participated in events involving the following organizations:

Caribbean Muslim Convention
Detectives Crime Clinic
Federal Law Enforcement Foundation
Global Citizens Festival
Greater New York Chamber of Commerce
New York Finest Foundation
Society of Professional Investigators

Police Commissioner James P. O'Neill has written a Journal letter of support for the following organizations:

Hispanic Society Dinner



Non-Affiliated Not For Profit Report**

Public Disclosures on Unaffiliated Not for Profit Entities that are supported/endorsed for the Period 4/1/2016 – 9/30/16) [Pursuant to COIB AO 2008-6].

Date of Letter	Organization	To	For
May 2, 2016	The Civilians Investigation Theater	Congratulatory Letter	Journal
May 5, 2016	Jewish Community Council of Greater Coney Island's Horizon Academy	Commissioner Bill Chong, Dept. of Youth & Community Development	Grant
May 18, 2016	Brooklyn Children's Museum	Congratulatory Letter	Journal
June 2, 2016	YouthBridge	Congratulatory Letter	Journal
June 4, 2016	Immigrant Social Services	Congratulatory Letter	Journal
June 16, 2016	Adhikaar	Congratulatory Letter	Journal
June 29, 2016	Chinatown Partnership Local Development Corp.	Congratulatory Letter	Journal
September 19, 2016	SHARE	Congratulatory Letter	Journal
September 19, 2016	The Door	Criminal Justice Investment Initiative	Funding



November 4, 2016

Amber Gonzalez
Conflicts of Interest Board
2 Lafayette St.
New York, NY 10007

Re: Disclosure of Donations and
Fundraising Efforts

Dear Ms. Gonzalez:

This letter constitutes NYCERS report for the period of April 1, 2016 through September 30, 2016.

NYCERS has received no donations during this period and has not conducted any fundraising.

If you have any further questions, please feel free to call me at 347-643-3377

Sincerely,

Ilyse Sisolak
General Counsel



Meera Joshi
Commissioner

Christopher Wilson
Deputy Commissioner/
General Counsel
Legal Affairs

33 Beaver Street,
22nd Floor
New York, NY 10004

+1 212 676 1135 tel
+1 212 676 1102 fax

November 10, 2016

Wayne Hawley, Esq.
General Counsel
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

RE: Donations Report for April 1, 2016, through September 30, 2016

Dear Mr. Hawley:

Pursuant to Board Opinions 2003-4 and 2008-6, the Taxi and Limousine Commission ("TLC") hereby reports that no reportable donations were received during this past biannual reporting period. The TLC also did not solicit any donations for any unaffiliated not-for-profits during the same period.

Please let us know if there is any additional information we can provide.

As always, we thank you for your attention to this matter.

Very truly yours,

A handwritten signature in blue ink, appearing to read "Ira Goldapper".

Ira Goldapper
Assistant General Counsel

cc: Meera Joshi, Commissioner/Chair, TLC
Christopher Wilson, Deputy Commissioner/General Counsel, TLC



Department of Transportation

POLLY TROTTEBERG, Commissioner

November 15, 2016

Amber Gonzalez, Associate Counsel
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: Bi-annual Fundraising Disclosure
April 1, 2016 – September 31, 2016

Dear Ms. Gonzalez:

In accordance with Advisory Opinion No. 2003-4, the New York City Department of Transportation did not receive any donations in excess of Five Thousand Dollars (\$5,000) requiring disclosure for the reporting period of April 1, 2016 – September 31, 2016.

In accordance with Advisory Opinion No. 2003-4, Safe Streets Fund, Incorporated a not for profit corporation affiliated with the New York City Department of Transportation did not receive any donations in excess of Five Thousand Dollars (\$5,000) requiring disclosure for the reporting period of April 1, 2016 – September 31, 2016. Safe Streets Funds, Incorporated is in the process of dissolution and all residual funds were transferred as of December 22, 2015 to the Mayor's Fund.

Lastly, in accordance with Advisory Opinion No. 2008-6, to the best of our knowledge, the New York City Department of Transportation did not solicit or endorse any unaffiliated not-for-profit entities.

If you require any additional facts or have any further questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read "Traci Wheelwright", is written over a circular stamp or seal.

Traci Wheelwright
Assistant General Counsel

cc. W. Hawley, P. Damashek, S. Pondish, D. Fenichel

November 3, 2016

Via email to gonzalez@coib.nyc.gov

Amber Gonzalez
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Re: Semi-Annual Report of Donations and Fundraising Efforts for the
New York City Water Board (the "Water Board") for the period of
April 1, 2016 to September 30, 2016

Dear Ms. Gonzalez:

I am writing pursuant to the New York City Conflicts of Interest Board's Advisory Opinions 2003-4 and 2008-6, which require the Water Board to disclose donations to the Water Board and official fundraising efforts in support of the Water Board. Please be advised that the Water Board does not have any donations or fundraising activities to disclose for this reporting period.

If any additional information is required, please do not hesitate to contact me at (718) 595-3114.

Sincerely,



Jason K. Low
Assistant Counsel

C: Mathilde O. McLean
Omar A. Nazem
Greg L. Ascierito



RECEIVED BY
CONFLICTS OF INTEREST BOARD

2016 NOV - 7 A 10: 10

Bill Chong
Commissioner

123 William Street, Suite 17
New York, NY 10038

646 343 6000 tel
212 442 5998 fax

www.nyc.gov/dycd

November 7, 2016

Wayne G. Hawley, Esq.
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, New York 10007

RE: New York City Department of Youth and Community Development (DYCD) Disclosure of Donations and Fundraising Efforts Required by the Conflicts of Interest Board (COIB) Advisory Opinion Nos. 2003-4 and 2008-6.

Dear Mr. Hawley:

Pursuant to COIB Advisory Opinion Number 2003-4 which requires a listing of all donations of money, goods or services with a value of \$5000 or more by the private sector or City affiliated not-for profits and Advisory Opinion Number 2008-6 which requires a listing of the names only of unaffiliated not-for-profit entities for which City agencies solicited support, I am submitting a report for DYCD for the period from April 1, 2016 through September 30, 2016.

If you have any questions, please do not hesitate to contact me at (646) 343-6270.

Sincerely,


Caroline Press
General Counsel

**Department of Youth and Community Development Report
For Public Disclosure of Donations by Unaffiliated Not-for-Profits Pursuant to
Advisory Opinion Number 2008-6
Reporting Period: April 1, 2016 through September 30, 2016**

Name	Type	Purpose
None	Not Applicable	Not Applicable