THE CITY OF NEW YORK DEPARTMENT OF BUILDINGS

DEPARTMENTAL MEMORANDUM

DATE: May 18, 1978

TO: Borough Superintendents

FROM: Acting Commissioner Irving E. Minkin, P.E.

SUBJECT: Zoning Lot Certification Pursuant to Section 12-10

of the Zoning Resolution.

On August 18, 1977, the Board of Estimate, per Cal. No. 52, amended the Zoning Resolution as to what constitutes a "Zoning Lot."

Under this amendment an applicant for new developments or enlargements who desires to permit the use of a tract of land within a single block as a single zoning lot, which may consist of one or more tax lots or parts of tax lots, as shown on the official tax map whether in common ownership or not, and without the future ability of utilizing the previous "75 year lease" provision, is required to furnish certain information which is to be certified by a title company licensed to do business in the State of New York before he can obtain a building permit or certificate of occupancy.

Enclosed herewith for the guidance of your personnel are acceptable copies of documentation which may be provided for these certification purposes, then executed and recorded.

The acceptable forms are:

- 1. Exhibit "I": Certification Pursuant to Zoning Lot Subdivision C for a tract of land in single ownership and which lists all the parties in interest. This is to be provided by the title insurance company.
- 2. Exhibit "II": Certification Pursuant to Zoning Lot Subdivision D for a tract of land with more than one owner to be treated as one zoning lot with a Declaration of Restrictions which may be individually or collectively written with regard to each party in interest. The boundaries of such zoning lot may or may not coincide with its comprising tax lots. This form is to be used in conjunction with Exhibit "IV" and/or Exhibit "V", and is to be provided by the title insurance company.

- 3. Exhibit "III": Zoning Lot Description and Ownership Statement by Building Department permit applicant in which he states and describes the tax lots applicable to the zoning lot. This is to recorded in The City Register's office (or, if applicable, in the County Clerk's office) prior to issuance of any permit for a development or enlargement.
- 4. Exhibit "IV": Declaration of Zoning Lot Restrictions by the parties in interest declaring that certain tract of land is to be treated as a zoning lot. (See Exhibit II)
- 5. Exhibit "V": Waiver of Declaration of Zoning Restriction by the parties in interest who waiver their rights to execute a declaration, such as Exhibit "IV". (See Exhibit II)

The following procedures shall be followed:

1a. A New Building application or an Alteration application is to be filed for each of the premises declared to be part of the tract of land declared to be treated as one zoning lot. The ownership, metes and bounds and plot plan on the Statement "A" should reflect this. The specification sheets of the applications are to contain the following statement:

"These premises have been declared to be subject to the provisions of Section 12-10 Zoning Resolution as to zoning lot ownership." They are also to contain the libre and page of the Declaration as recorded in the County Clerk's or Register's office.

2a. No certificate of occupancy shall be required for existing buildings on a premises in the tract of land now existing without one and for which there is no change of occupancy or use, and for which the sole purpose an application was filed was to make such Declaration a matter of record in Building Department files.

- b. Similarly, the inspectional requirements for an amended certificate of occupancy for an existing building with an existing certificate of occupancy are to be waived when the sole purpose of the application is to make such Declaration a matter of record in Building Department files.
- c. However, this shall not be construed to preclude ongoing enforcement of any existing violations in existing buildings.

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- 3. Index cards and other appropriate department records shall also be noted as to such Declaration.
- 4. A building permit may be issued after:
- a. The appropriate "Certification" is filed with the department.
- b. The "Zoning Lot Description and Ownership Statement" is recorded in the Conveyances Section of the County Clerk's or Register's office, and evidence of this is received by the department.
- 5. No "Declaration" or "Certification" is required in connection with any application where the tract of land consisting of two or more contiguous lots of record located within a single block, was in single ownership on August 18, 1977, the effective date of the prior definition of "Zoning Lot" in the resolution and where there is no other Party in Interest, or in the case of applications for other than developments or enlargements, as defined in section 12-10 of the Zoning Resolution.
- 6. While the enclosed "Exhibit" forms meet the approval of the Counsel of the Department of City Planning and of this department, others may be accepted provided they contain a similar completeness of information.

Referral of the enclosed exhibits to our General Counsel should henceforth not be necessary.

Irving E. Minkin, P.E Acting Commissioner

IEM/IP/df Enclosures

CC: Exec. Staff Prof. Societies BCAC; Industry Norman Marcus, Counsel, Dept City Planning Bernard M. Rifkin, Vice Pres. Title Guarantee-N.Y., 120 Broadway, N.Y.C.

N.B.	i#	
or ALT.	#	

EXHIBIT "I"

CERTIFICATION PURSUANT TO ZONING LOT SUBDIVISION C OF SECTION 12-10 OF THE ZONING RESOLUTION OF DECEMBER 15, 1961 OF THE CITY OF NEW YORK - AS AMENDED EFFECTIVE AUGUST 18, 1977

THE TITLE GUARANTEE COMPANY, a title insurance company licensed to do business in the State of New York and having its principal office at 120 Broadway, New York, New York hereby certifies that as to the land hereafter described being a tract of land, either unsubdivided or consisting of two or more lots of record, contiguous for a minimum of ten linear feet, located within a single block in the single ownership of that all the parties in interest consisting of a "party in interest" as defined in Section 12-10, subdivision (c) of the Zoning Resolution of the City of New York, effective December 15. 1961, as amended, are the following

NAME

ADDRESS

NATURE OF INTEREST

The subject tract of land with respect to which the foregoing parties are the parties in interest as aforesaid, is known as Tax Lot Number(s
on the Tax Map of the Cit New York, s ____ in Block ____ County and more particularly described as follows: (Full Metes and Bounds Description)

BEGINNING at a point in the side of

distant

feet

from the corner

formed by the intersection of

and

feet; thence (direction) running thence (direction) feet; thence (direction) thence (direction) to the point or place of beginning.

	That the said premises are known as and by street address(e				
	, as shown on the following				
	DIAGRAM:				
	a) Show Distrance BLOCK NO. N from corner.				
	b) Show Block & Lot numbers and dimensions of each lot. The north point of the diagram must agree with the arrow				
Delete	That the Zoning Lot Description and Ownership Statement				
if not applic-	containing the above description was recorded on the				
able	day of 19 in				
	Record Book at Page				
	CERTIFIED this day of to				
	, the applicant for this				
	certification.				
	NOTE: A Zoning Lot may or may not coincide with a lot as shown on the Official Tax Map of the City of New York, or on any recorded subdivision plot or deed. A Zoning Lot may be subdivided into two or more zoning lots provided all the resulting zoning lots and all the buildings thereon shall comply with the applicable provisions of the zoning lot resolution.				
	THIS CERTIFICATE IS MADE FOR AND ACCEPTED BY THE APPLICANT UPON THE EXPRESS UNDERSTANDING THAT LIABILITY HEREUNDER IS LIMITED TO ONE THOUSAND (\$1,000.00) DOLLARS.				
	THE TITLE GUARANTEE CO	M			

EY:

Partially Superseded by Building

and

SMAM

Partially Superseded by Buildings Bulletin 2020-003
N.E. # or ALT. #
EXHIBIT II
CERTIFICATION PURUSANT TO ZONING LOT SUBDIVISION D OF SECTION 12-10 OF THE ZONING RESOLUTION OF DECEMBER 15, 1961 CITY OF NEW YORK - AS AMENDED EFFECTIVE AUGUST 18, 1977
THE TITLE GUARANTEE COMPANY, a title insurance company licensed to do business in the State of New York and having its principal office at 120 Broadway, New York, New York, hereby certifies that as to the land hereinafter described, being a tract of land either unsubdivided or consisting of two or more lots or record contiguous for a minimum of ten linear feet, located within a single block, that all the parties in interest constituting a party as defined in Section 12-10, subdivision (d) of the Zoning Resolution of the City of New York, effective December 15, 1961, as amended are the following:
INTEREST DECLARATION (IDENTIFY THE OR WAIVER LOT OR LOTS INDICATE RECORDING RECORD ADDRESS AFFECTED) WHICH DATE BOOK PAGE
The subject tract of land with respect to which the foregoing parties are the parties in interest as aforesaid is known as Tax Lot Number(s) in Block No. as shown on the Tax Map of the City of New York County and more particularly described as follows: (Full Metes and Bounds Description).
BEGINNING at a point on the side of
distant feet from the corner
formed by the intersection of
and
running thence feet; thence
feet; thence feet; thence
feet; to a point or place of beginning.
That the said premises are known as and by the street
address(es)

	·	remises are know	v			
					`	
	DIAGRAM:	nggar anggar anggar anggar katan	_, at 5110#11	<i>y</i> v 20		
	a) Show Distran from corner.	ce BLOCK NO).	N •		
-	b) Show Block & Lot numbers and dimensio of each lot.			The nort	h point	
				of the d must agr the arro	liagram ree with	
Delete	That the Zoning	Lot Description	and Ownersh	ip Statem	nent	
if not applic-able	containing the	above description	on was record	ed on the	•	
a 316		day of	····	_19	_ in	
	Record Book at	Page		•		
	CERTIFIED this	ð	lay of		to	
	the applicant for this					
	certification.					
	lot Cit div sub pro all the	oning Lot may or as shown on the y of New York, o ision plot or dedivided into two vided all the rethe buildings tapplicable provolution.	e Official Taper on any received. A Zoning or more zone sulting zonic thereon shall	x Map of orded subg Lot may ing lots are comply v	the D- 7 be and with	
	UPON THE EXPRES	E IS MADE FOR AN S UNDERSTANDING THOUSAND (\$1,000	THAT LIABILI	TY HEREUN		
			THE TIT	LE GUARAI	NTEE COMPAN	

N.B.#	
or	
ALT.#	

EXHIBIT III

ZONING LOT DESCRIPTION AND OWNERSHIP STATEMENT BY
BUILDING DEPARTMENT PERMIT APPLICANT
AND TO BE RECORDED IN THE
COUNTY CLERK'S OR REGISTER S OFFICE

				, a New York
Corporation :	having its pri	ncipal off	lice at	
			, an appli	cant for present
or future per	rmits pursuant	to the Zo	ning Resolut	ion of the City
of New York,	effective as:	of December	er 15, 1961,	and as subse-
quently amen	ded states tha	t the zoni	ing lot to wh	ich the afore-
mentioned per	rmit or permit	s pertain	are shown on	the Tax Map of
the City of	New York, Coun	ty of New	York, as Lot	s
in Block		as	shown on the	Tax Map of the
City of New	York		County, and	is more partic-
ularly descr	ibed as:			
BEG	INNING at a po	int on the	2	side of
	đi	stant		feet from
the	corner formed	by the in	tersection o	f
		and		
run	ning thence		feet;	thence
fee	t; thence		feet;	thence
fee	t; to the poin	t or place	e of beginnin	.g,
Tha	t the said pre	mises are	known as and	by street
ad	dress(es)			
	_			
as	shown on the	following	DIAGRAM:	Ŋ
Show distance				T
from corner				
Show Block & Lot Numbers				
and dimension	s		+ <u>_</u>	.
of each lot.				orth point of liagram <u>must</u> agree
			with	the arrow.

The above described zoning lot is presently owned by BLOCK TAX LOT NAME ADDRESS

$_{_{\parallel}}$ IN WITNESS WHEREOF the applican	t for permit has execute	d this
instrument this d	ay of	_, 19
	÷	**
	ВУ	
	·	•
STATE OF NEW YORK)) SS.:		• .
COUNTY OF NEW YORK)		
by me duly sworn, did depose an	that he is the Corporation described in nt; that said instrument affixed by order of the	and which is such board of
STATE OF NEW YORK) COUNTY OF NEW YORK)		_
•		•
On the	day of	, 1978,
On the before me personally came to be known to be the individua the foregoing instrument, and a executed the same.	l described in and w cknowledged that	ho executed
		•
NOTE: Section C26-110.	2 Subdivision (a) Paragr	aph (1)

NOTE: Section C26-110.2 Subdivision (a) Paragraph (1) of the Administrative Code requires submission of an accurate lot diagram in accordance with an attached boundary survey made by a licensed surveyor, which need not be recorded but which must be submitted with the application for the permit.

EXHIBIT IV

	DECLARATION OF ZONING LOT RESTRICTIONS
	, residing at
	<u> </u>
	, residing at
	;
	a
-	corporation having its
	principal office at
ine out	constituting the "parties in interest" (excepting those parties
f not . pplicable)	waiving their respective rights to join therein) as defined in
PPIICADIE,	Section 12-10(d) of the Zoning Resolution of the City of New
	York effective December 15, 1961, as amended with respect to
_	the land known as Tax Lot(s), in Block
	on the Tax Map of the City of New York, County of
	, do hereby declare that the tract of land known as
	and by street address(es)
	and
	is to be treated as one zoning lot for
	the purposes of and in accordance with the provisions of the
	aforementioned Zoning Resolution effective December 15, 1961,
	as amended August 18, 1977.
	IN WITNESS WHEREOF, the declarants have executed this
	instrument this day of,
	19
	i i i i i i i i i i i i i i i i i i i

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STATE OF NEW YORK) COUNTY OF NEW YORK)		t ^t .
On the before me personally came to me known, who, being by that he resides at that he is the Corporation described in ar ment; that he knows the sea affixed to said instrument affixed by order of the boa and that he signed his name	of nd which executed the for al of said corporation; is such corporate seal; ard of directors of said	, the pregoing instru- that the seal that it was so corporation;
STATE OF NEW YORK) COUNTY OF NEW YORK)		
On the before me personally came to me known to be the individual the foregoing instrument, a evocated the same	vidual described in a	, 19,

N.B.#	
or	
ALT.#	

EXHIBIT V

WAIVER OF DECLARATION OF ZONING RESTRICTIONS

, residing at
;
, residing at
;
_a
corporation having
its principal office at
, being a "party in interest" as defined in
Section 12-10(d) of the Zoning Resolution of the City of New
York effective December 15, 1961, as amended with respect to
the land known as Tax Lot(s), in Block
on the Tax Map of the City of New York, County of
hereby waives (its) (his) (her) (their) respective right(s)
to execute a declaration that the above described lands which
are known by street address(es)
and
to be treated as one zoning lot
for the purpose of and is accordance with the provisions of the
aforementioned zoning recolution and shall have the effect
therein set forth.
IN WITNESS WHEREOF, the undersigned has executed this
waiver this, 19,

STATE OF NEW YORK COUNTY OF NEW YORK)) SS.:)	
that he is the the Corporation des strument; that he k seal affixed to said so affixed by order		cuted the foregoing orporation; that the rporate seal; that i
STATE OF NEW YORK) COUNTY OF NEW YORK)	SS.:	
	day ofday of	