

FORMS (to be submitted)	Required for	Sections (required to be filled)	Notes/Reference Code Sections
<a href="#">PW1</a>	All job applications submitted to DOB	<ul style="list-style-type: none"> <li><b>7:</b> to indicate whether Energy Analysis is included in the plans</li> <li><b>9G:</b> to indicate whether Lighting is included in the work scope</li> <li><b>10:</b> to indicate Compliance, and accordingly, to choose Code Compliance Path and Energy Analysis options; or to claim Exemption, and accordingly, to indicate the reason for exemption</li> </ul>	Appendix forms as applicable: <a href="#">PW1A</a> , <a href="#">PW1B</a> , and <a href="#">PW1C</a>
<a href="#">TR1</a>	All job applications submitted to DOB	<ul style="list-style-type: none"> <li><b>4 - Progress Inspection Categories:</b> to indicate whether Energy Code Compliance Inspections (TR8) are required</li> </ul>	Subsequent form as applicable: <a href="#">TR8</a>
<a href="#">TR8</a>	Jobs applications with TR1 indicating Energy Code Compliance Inspections are required	<ul style="list-style-type: none"> <li><b>All Sections</b></li> </ul>	<a href="#">1 RCNY §5000-01(h)</a>
<a href="#">PC1</a>	Professionally-Certified job applications for which Commissioning is required	<ul style="list-style-type: none"> <li><b>Items Required Prior To C/O or Signoff</b> to indicate whether Preliminary Commissioning Report Certification is required</li> </ul>	C408/ ASHRAE 90.1 -6.7.2.4
<a href="#">EN1</a>	Job applications for Commercial Buildings with Energy Modeling chosen for Energy Analysis option	<ul style="list-style-type: none"> <li><b>All Sections</b> as applicable per the proposed scope of work</li> </ul>	<ul style="list-style-type: none"> <li>- ASHRAE 90.1 –Section 11, Appendix G</li> <li>- Print Entire Excel workbook to PDF at Excel, and paste each page onto Energy Analysis Drawings (on EN- labeled sheets).</li> </ul>

DRAWINGS (to include the below, and be submitted)	Required for	Recommended Location (within Drawings)	Requirements/Notes	Reference Code Sections		
<a href="#">Professional Statement</a>	All job applications with <a href="#">PW1 - Section 10</a> indicating Compliance with Energy Code	On EN- labeled sheet	<ul style="list-style-type: none"> <li>Must specify the Version of Code the job is complying with (e.g. '2016 NYCECC')</li> </ul>	<a href="#">1 RCNY §5000-01(e)</a>		
<a href="#">Energy Analysis</a>		On EN- labeled sheet	<table border="0"> <tr> <td> <ul style="list-style-type: none"> <li><i>Residential</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- REScheck *</li> <li>- Energy Rating Index *</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p> </td> <td> <ul style="list-style-type: none"> <li><i>Commercial</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- COMcheck *</li> <li>- Energy Modeling*</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p> </td> </tr> </table>	<ul style="list-style-type: none"> <li><i>Residential</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- REScheck *</li> <li>- Energy Rating Index *</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p>	<ul style="list-style-type: none"> <li><i>Commercial</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- COMcheck *</li> <li>- Energy Modeling*</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p>	<a href="#">1 RCNY §5000-01(f)</a>
<ul style="list-style-type: none"> <li><i>Residential</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- REScheck *</li> <li>- Energy Rating Index *</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p>		<ul style="list-style-type: none"> <li><i>Commercial</i> Building - Energy Analysis options</li> <li>- Tabular Analysis</li> <li>- COMcheck *</li> <li>- Energy Modeling*</li> </ul> <p><i>*must be supplemented with Supporting Documentation Index</i></p>				
<a href="#">Supporting Documentation</a>		On EN- labeled sheet	<p><b>List of Required Progress Inspections</b></p> <ul style="list-style-type: none"> <li>Must be listed in the Tabular format as found in <a href="#">1 RCNY §5000-01</a></li> <li>Must match the Required Progress Inspections indicated on <a href="#">TR8</a></li> </ul>	<a href="#">1 RCNY §5000-01(h)</a>		
			<p><b>Commissioning Statement</b> identifying whether System Commissioning is <i>either Required or Not Required</i></p> <ul style="list-style-type: none"> <li>Along with the Statement, specify the Total installed Heating, Cooling and/or Renewable energy capacity</li> <li>Generally Required for Buildings of: Total Installed Heating capacity ≥ 600 Kbtu/h, or Total Installed Cooling capacity ≥ 480 Kbtu/h, or Total Installed Site-Generated Renewable Energy ≥ 25kw</li> </ul>	<a href="#">1 RCNY §5000-01(g)(4)(iii)</a>		
	<p><b>Air Barrier Testing Statement</b> identifying:</p> <ul style="list-style-type: none"> <li>For New, <i>Residential</i> Building applications, that Air Barrier Testing (Whole Building) is <i>Required</i></li> <li>For New, <i>Commercial</i> Building applications, <i>whether</i> Air Barrier Testing is <i>Required</i> <ol style="list-style-type: none"> <li>New, Commercial Buildings ≥ 25,000 sf and &lt;50,000 sf: <i>Whole Building Testing</i> is Required</li> <li>New, Commercial Buildings ≥ 50,000 sf: <i>Selected-Area Testing/ Inspection per Air Barrier Continuity Plan</i> is Required</li> <li>When Air Barrier Testing is required: Schedule of Joints and Air Barrier Continuity test guidelines are to be submitted</li> </ol> </li> </ul>		<a href="#">1 RCNY §5000-01(g)(4)(iv)</a>			
	On <b>Non-EN- labeled sheet</b>	<p><b>Construction drawings</b> covering all Work Types indicated on <a href="#">PW1 - Section 6</a></p> <ul style="list-style-type: none"> <li>Must include construction data for the below, as corresponding to the proposed scope of work <ol style="list-style-type: none"> <li>Building Envelope</li> <li>Building Mechanical Systems (HVAC)</li> <li>Service Water Heating</li> <li>Electrical Power and Lighting Systems</li> <li>Additional Efficiency Package option</li> <li>Existing Buildings</li> </ol> </li> <li>Data on Construction Drawings must match or exceed the values and specifications reported on Energy Analysis</li> </ul>	<a href="#">1 RCNY §5000-01(g)</a>  a. R402/ C402/ ASHRAE 90.1 -Section 5 b. R403/ C403/ ASHRAE 90.1 -Section 6 c. R403/ C404/ ASHRAE 90.1 -Section 7 d. R404/ C405/ ASHRAE 90.1 -Section 8, Section 9 e. C406 f. R5/ C5/ ASHRAE 90.1 -Section 4 through Section 10			