

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

November Monthly Report (Covering the period of October 1 – October 31, 2017)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
10/10/2017	Assault on staff	1/6/16 - UOF 5/1/17 - UOF 5/21/17 - UOF	Level 2	Secure	Level 2	SCH, TRU, Secure, ESH
10/13/2017	Serious injury to staff, slashing, possession of a weapon	7/23/16 – UOF 12/13/16 – slashing 2/4/17 – slashing 4/27/17 – serious injury to staff	Level 1	General Population	Entry Unit	TRU, ESH
10/20/2017	Slashing	9/7/17 - Slashing	Entry Unit	ESH Level 3	Entry Unit	TRU, ESH
10/29/2017	Serious injury to staff	10/28/17 - Serious injury to staff	Entry Unit	General Population	Entry Unit	SCH

SECURE

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
10/25/2017	Assault on Staff	10/25/17 – AOS	Phase 1	General Population	Continued Placement	SCH, TRU
10/27/2017	Stepdown from ESH	6/16/17 – Possession of weapon 9/2/17 – Assault on inmate	Phase 1	ESH	Continued Placement	ESH

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- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release.**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
10/5/2017	Discharged from DOC custody	334	Level 1	N/A
10/26/2017	Discharged from DOC custody	86	Level 2	N/A
10/26/2017	Discharged from DOC custody	31	Level 2	N/A
10/27/2017	Security reasons	134	Level 2	Secure

SECURE

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
10/4/2017	Completed all 3 phases	43	Phase 3	General Population
10/10/2017	Transfer to ESH after AOS and possession of weapon in Secure	147	Phase 2	ESH
10/24/2017	Completed all 3 phases	175	Phase 3	General Population
10/26/2017	Discharged from DOC custody	86	Phase 1	N/A

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, and the phase/level to which the individual moved.**

ESH

Date of Movement	Level Prior to Movement	Level After Movement
10/1/2017	Level 2	Entry Unit
10/3/2017	Level 1	Level 2
10/6/2017	Entry Unit	Level 1
10/6/2017	Entry Unit	Level 2
10/12/2017	Level 1	Level 2
10/13/2017	Entry Unit	Level 1
10/17/2017	Level 1	Level 2
10/18/2017	Entry Unit	Level 2
10/19/2017	Entry Unit	Level 1
10/19/2017	Level 3	Level 1
10/22/2017	Level 1	Level 2
10/24/2017	Level 2	Level 3
10/24/2017	Level 2	Level 3
10/24/2017	Entry Unit	Level 1
10/25/2017	Level 1	Entry Unit
10/26/2017	Level 1	Level 2
10/27/2017	Level 1	Level 2

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SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement
10/17/2017	Phase 1	Phase 2
10/17/2017	Phase 1	Phase 2
10/24/2017	Phase 1	Phase 2
10/31/2017	Phase 2	Phase 3
10/31/2017	Phase 2	Phase 3

d. Average Daily Population in each phase/level and overall.

ESH

Entry Unit	Level 1	Level 2	Level 3	Level 4	Overall
4.4	9.7	14.3	3.1	0	31.6

SECURE

Phase 1	Phase 2	Phase 3	Overall
3.35	2.58	2.35	8.29

e. Minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason¹, and the time in-program minimum, maximum, median, and average on the last day of each month overall.

Length of Stay in ESH ² (days; N=55) ³					
	Entry Unit	Level 1	Level 2	Level 3	Overall
Average	36	48	45	29	78
Median	33	32	43	29	67
Minimum	3	5	5	29	8
Maximum	111	203	106	29	340

¹ For ESH, Overall Length of Stay by release reason is not available for this reporting period because the historical data needed for this data point is still being collected.

² Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Days are only added to each Young Adult's cumulative level total if the stay in a given level has concluded. For example, if at the time of reporting a Young Adult is currently on his 10th day in Level 1 after spending 30 days in ESH Entry, only the 30-day stay in the Entry Unit is included in the Young Adult's record, as the stay in Level 1 is active as of the reporting period close. Records are only included for Young Adults placed in ESH after March 1, 2017, upon the formalization of the Entry Unit.

³ The N value represents all ESH young adult records that were used in any of the LOS calculations. The Department will attempt to break out N's for levels in subsequent reports.

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Length of Stay in Secure ² (days; N=54) ⁴				
	Phase 1	Phase 2	Phase 3	Overall
Average	41.31	32.48	41.15	75.25
Median	30	27	31.5	65.5
Minimum	1	7	5	1
Maximum	228	81	133	300

Overall Length of Stay in Secure by Release Reason (days, N=54)				
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Adjudication Determination
Average	108.1	43.9	67.1	8
Median	105.1	31.5	56	8
Minimum	43	1	1	8
Maximum	208	119	300	8

Time in Program ⁵ (days)				
Housing Area	Average	Median	Minimum	Maximum
ESH (N=23)	101.23	89	37	236
Secure (N=7)	83.5	49	4	175

- f. The number of young adults who met the criteria for an initial 28-day review (Secure), 30-day review (ESH) and 45-day review (ESH).

28-day Review (Secure)	30-day Review (ESH)	45-day Review (ESH)
8	5	15

- i. The number of young adults who received such a review.

28-day Review (Secure)	30-day Review (ESH)	45-day Review (ESH)
8	5	15

⁴ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations. The Department will attempt to break out N's for levels in subsequent reports.

⁵ Time in Program is calculated only for inmates who were still in the unit on 10/1/17. The records are only included for Young Adults placed in ESH after March 1, 2017, upon the formalization of the Entry Unit.

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- ii. For each review that recommended advancement, regression, or release, the individual’s level at the time of review, the new level or housing type recommended and the resulting placement.

28-Day Reviews Recommending Advancement, Regression, or Release		
Secure Phase at Time of Review	New Secure Phase or Housing Type Recommended	Resulting Placement
Phase 3	Release from Secure	Release from Secure
Phase 3	Release from Secure	Release from Secure
Phase 1	Phase 2	Phase 2
Phase 1	Phase 2	Phase 2
Phase 2	Phase 3	Phase 3
Phase 2	Phase 3	Phase 3
Phase 1	Phase 2	Phase 2

30-Day Reviews Recommending Advancement, Regression, or Release		
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement
Entry Unit	Move to Level 2	Level 2
Entry Unit	Move to Level 1	Level 1
Entry Unit	Move to Level 1	Level 1
Entry Unit	Move to Level 1	Level 1
Entry Unit	Move to Level 2	Level 2

45-Day Reviews Recommending Advancement, Regression, or Release		
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement
Level 2	Move to Level 3	Level 3

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g. For each program offered, the number of classes or meetings offered and the average number of participants.

ESH Young Adult Programs	# of Sessions Offered	Average # of Participants per Session
DBT (Dialectical Behavioral Therapy)	7	2.9
Interactive Journaling	2	2.5
Youth Communication	7	2.7
Community Meeting	2	3.5
Individual One-on-One Sessions	20	2.3
Leadership Development (Young Men's Work)	3	2.0
Structured Recreation	7	3.3
Interpersonal Skills	10	2.9
Social Service/Groups (Level 1)	13	0.86
Social Services (Level 2)	20	1.75
I-CAN (Level 2)	20	0.6
Social Services (Level 3)	13	0.15
I-CAN (Level 3) ⁶	13	0.1

Secure Programs	# of Sessions Offered	Average # of Participants per Session
Empowerment Zone	12	1.8
Stella Adler	5	1.6
All programs below are DOC Counselor-led		
Anger Management	18	1.8
Community Meeting	13	1.5
Creative Writing	9	1.2
Dialectical Behavior Therapy	15	1.4
Family and Support Interventions	2	1.0
Individual One-on-one Sessions	29	1.6
Interactive Journaling	16	1.6
Interpersonal Skills	17	1.8
Leadership Development (Young Men's Work)	16	1.6
Structured Recreation	29	1.8
Youth Communication	6	1.7

⁶ During the month of October, three (3) young adults were housed in Level 3. Due to movements within the ESH levels and/or discharges from DOC custody, each were present for less than ten (10) sessions.

- h. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.**

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- i. The number of inmate-on-inmate fights in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).**

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
	Count	43	7
Rate	1.517	0.880	2.060

- j. The number of slashings/stabbings in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings	ESH Young Adults	Secure Young Adults	General Population Young Adults
	Count	10	0
Rate	0.281	0	0.079

- k. The number of assaults on staff in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population.**

Assaults on Staff	ESH Young Adults	Secure Young Adults	General Population Young Adults
	Count	30	13
Rate	0.844	1.634	0.143

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I. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population.

Uses of Force	ESH Young Adults	Secure Young Adults	General Population Young Adults
Count	115	61	731
Rate	4.057	7.668	1.201