

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

February Monthly Report (Covering the period of January 1 – January 31, 2018)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

ESH

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
1/5/18 ¹			Level 2	Secure		SCH, TRU, ESH, Secure
1/16/18	Slashing; assault on inmates; serious or persistent violence	1/13/2018 - Slashing 11/6/17 – Assault on Inmate 9/22/17 – Assault on Inmate	Level 1	General Population	Level 1	SCH, TRU, ESH
1/16/18	Slashing; assaults on inmates; serious or persistent violence	1/10/18 – Slashing	Level 1	General Population	Discharged from DOC custody prior to adjudication hearing	SCH
1/23/18	Slashing; serious or persistent violence	1/21/18 - Slashing	Level 1	Protective Custody	Level 1	TRU, ESH

SECURE

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
1/11/18	Assault on Staff	1/9/18 - AOS	Phase 1	APU	Continued Placement	N/A

¹ One young adult was previously housed in ESH and transferred to the Secure unit. He was not re-adjudicated for his second placement in ESH.

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- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release.**

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
1/15/18	Security Reasons	150	Level 1	West Facility
1/16/18	Assault on staff	273	Level 1	West Facility
1/16/18	Assault on staff	53	Level 1	West Facility
1/18/18	Discharged from DOC custody	14	Level 1	N/A
1/25/18	Discharged from DOC custody	114	Level 1	N/A
1/29/18	Discharged from DOC custody	33	Level 2	N/A

SECURE

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
1/4/18	Security Reasons	58	Phase 3	ESH

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.**

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
1/2/18	Level 2	Level 3	Review Recommendation
1/9/18	Level 1	Level 2	Review Recommendation
1/10/18	Level 1	Level 2	Review Recommendation
1/11/18	Level 2	Level 3	Review Recommendation
1/13/18	Level 2	Level 3	Review Recommendation
1/15/18	Level 2	Level 1	Assault on staff

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
1/2/18	Phase 2	Phase 3	Review Recommendation
1/2/18	Phase 2	Phase 3	Review Recommendation
1/16/18	Phase 1	Phase 2	Review Recommendation
1/24/18	Phase 2	Phase 3	Review Recommendation

NYC Department of Correction

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d. Average Daily Population in each phase/level and overall.

ESH

Entry Unit	Level 1	Level 2	Level 3	Overall
9.6	5.4	10.8	1.4	27.2

SECURE

Phase 1	Phase 2	Phase 3	Overall
3.12	3.65	1	7.77

- e. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date. Minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum, median, and average on the last day of each month overall and by phase/level².**

	Total People Entered To-Date	Total Entries To-Date
ESH	120	131
Secure	49	56

Length of Stay in ESH ³ (days; N= 73 for Entry – Level 3 and N=129 for Overall) ⁴					
	Entry Unit	Level 1	Level 2	Level 3	Overall
Average	32	59	65	27	108
Median	30	39	42	27	73
Minimum	2	3	0	25	1
Maximum	134	199	400	28	442

² Calculations for ESH by release reason are not available, as historical data are still being collected. Calculations for time in program by level are not producible at this time. An additional three months are needed to assess data availability.

³ Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Days are only added to each Young Adult's cumulative level total if the stay in a given level has concluded. For example, if at the time of reporting a Young Adult is currently on his 10th day in Level 1 after spending 30 days in ESH Entry, only the 30-day stay in the Entry Unit is included in the Young Adult's record, as the stay in Level 1 is active as of the reporting period close. Records are only included for Young Adults placed in ESH after March 1, 2017, upon the formalization of the Entry Unit, which is currently a Level 1 unit.

⁴ The N value represents all ESH young adult records that were used in any of the LOS calculations. Due to the progressive introduction of ESH levels and the establishment of the ESH Entry Unit in March 2017, young adults placed in ESH prior to March 1, 2017 are only included for the calculation of Overall length of stay. These young adults are excluded from the Level-specific length of stay calculations.

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Length of Stay in Secure ² (days; N= 48) ⁵				
	Phase 1	Phase 2	Phase 3	Overall
Average	44.98	33.37	39.05	83.73
Median	31.50	28.50	30.00	75.00
Minimum	1.00	7.00	5.00	1.00
Maximum	228.00	81.00	133.00	300.00

Overall Length of Stay in Secure by Release Reason (days, N=48)				
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Adjudication Determination
Average	115.31	51.38	83.22	8
Median	101.5	28	74.5	8
Minimum	43	1	1	8
Maximum	225	180	300	8

Time in Program ⁶ (days)				
Housing Area	Average	Median	Minimum	Maximum
ESH (N=22)	132.32	112.5	9	370
Secure (N= 8)	55.88	56.5	17	111

- f. The number of young adults who met the criteria for an initial 28-day review (Secure), 30-day review (ESH) and 45-day review (ESH).

28-day Review (Secure)	45-day Review (ESH)
8	14

- i. The number of young adults who received such a review.

28-day Review (Secure)	45-day Review (ESH)
8	12

⁵ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations. The Department will attempt to break out N's for levels in subsequent reports.

⁶ Time in Program is calculated only for inmates who were still in the unit on 9/1/17. The records are only included for Young Adults placed in ESH after March 1, 2017, upon the formalization of the Entry Unit, which is currently a Level 1 unit.

- ii. For each review, the individual’s level at the time of review, the level or housing type recommended, and the resulting placement. If the young adult did not advance to a less restrictive level, which exception was used to justify?

28-Day Reviews Recommending Advancement, Regression, or Release			
Secure Phase at Time of Review	New Secure Phase or Housing Type Recommended	Resulting Placement	If no advancement, why?
Phase 1	Phase 1	Phase 1	Engaged in disruptive, aggressive, violent behavior
Phase 3	Phase 3	Phase 3	Pending security review
Phase 3	Release from Secure	Release from Secure ⁷	N/A
Phase 1	Phase 2	Phase 2	N/A
Phase 2	Phase 3	Phase 3	N/A
Phase 3	Phase 3	Phase 3	Engaged in disruptive behavior
Phase 2	Phase 2	Phase 2	Engaged in violent behavior
Phase 2	Phase 3	Phase 3	N/A
Phase 2	Phase 2	Phase 2	Engaged in disruptive behavior
Phase 2	Phase 2	Phase 2	Engaged in disruptive, violent behavior
Phase 2	Phase 2	Phase 2	Engaged in disruptive behavior
Phase 2	Phase 2	Phase 2	Engaged in disruptive, violent behavior
Phase 2	Phase 3	Phase 3	N/A

⁷ Young adult was recommended for release from Secure during this reporting period. He was transferred during the next reporting period. This movement will be noted as a release in the March report.

NYC Department of Correction

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45-Day Reviews Recommending Advancement, Regression, or Release			
ESH Level at Time of Review	New ESH Level or Housing Type Recommended	Resulting Placement	If no advancement, why?
Level 2	Level 3	Level 3	N/A
Level 2	Level 2	Level 2	Engaged in disruptive behavior
Level 2	Level 2	Level 2	Engaged in disruptive behavior
Level 2	Level 3	Level 3	N/A
Level 1	Level 2	Level 2	N/A
Level 2	Level 2	Level 2	Based on behavior in Level 1, this young adult was advanced to Level 2 prior to his formal review.
Level 2	Level 2	Level 2	Based on behavior in Level 1, this young adult was advanced to Level 2 prior to his formal review.
Level 1	Level 1	Level 1	Engaged in disruptive and violent behavior; credible intelligence he may engage in additional violence in less restrictive unit
Level 2	Level 2	Level 2	Engaged in disruptive and aggressive behavior; credible intelligence he may engage in additional violence in less restrictive unit
Level 1	Level 1	Level 1	Engaged in disruptive behavior; credible intelligence he may engage in additional violence in less restrictive unit
Level 2	Level 2	Level 2	Engaged in disruptive and violent behavior; credible intelligence he may engage in additional violence in less restrictive unit
Level 2	Level 2	Level 2	Engaged in disruptive and aggressive behavior

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- g. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.⁸**

ESH Young Adult Programs	# of Sessions Offered	Average # of Participants per Session
Anger Management	7	4.7
Community Meeting	1	9.0
DBT (Dialectical Behavioral Therapy)	5	5.0
Education Tablets	57	2.3
Individual One-on-One Sessions	24	2.9
Interactive Journaling	3	5.3
Leadership Development	2	3.5
Youth Communication	5	4.4
ICAN (Level 2)	9	0.2
ICAN (Level 3)	10	0.9
Counseling and Social Services (Level 1)	15	1.4
Counseling and Social Services (Level 2)	32	1.3
Counseling and Social Services (Level 3)	11	1.2

Secure Programs	# of Sessions Offered	Average # of Participants per Session
Anger Management	50	1.9
Community Meeting	12	2.1
Creative Arts Expression	28	1.6
DBT	49	1.6
Individual Counseling Sessions	31	1.7
Interactive Journaling	25	1.8
Interpersonal Skills	28	1.7
Leadership Development	21	1.9
Structured Recreation	36	1.9
Youth Communication	35	1.5

⁸ Individual-level programming data will be provided beginning with the March 2018 report.

- h. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.**

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- i. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).**

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	1	0	65
Monthly Rate	.04	0	.16
Cumulative Count	56	9	1528
Cumulative Rate	1.99	1.1	2.68

- j. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).**

Slashing/Stabbings	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	0	0	5
Monthly Rate	0	0	.01
Cumulative Count	12	0	56
Cumulative Rate	.37	0	.10

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- k. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	3	1	6
Monthly Rate	.11	.09	.01
Cumulative Count	36	14	106
Cumulative Rate	1.12	1.72	.19

- l. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population.

Uses of Force	ESH Young Adults	Secure Young Adults	General Population Young Adults
Cumulative Count	132	71	897
Cumulative Rate	4.69	8.7	1.57