

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
11/09/2020	Assault on Staff, serious or persistent violence	11/08/2020- Assault on Staff 11/07/2020- Assault on Staff	Level 2	GP	Level 2	TRU
11/09/2020	Assault on Staff, serious or persistent violence	11/08/2020- Assault on Staff 11/07/2020- Assault on Staff	Level 2	GP	Level 2	TRU, Secure
11/11/2020	Assault on Inmate, slashing, serious or persistent violence	11/10/2020- Assault on Inmate, slashing	Level 1	GP	Level 1	TRU, SCH, Secure, ESH
11/09/2020	Assault on Staff, serious or persistent violence	11/08/2020- Assault on Staff	Level 2	GP	Level 2	TRU, ESH
11/09/2020	Assault on Staff, serious or persistent violence	11/08/2020- Assault on Staff	Level 2	GP	Level 2	TRU

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

Secure

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
11/27/2020	Assault on Staff	11/27/2020- Assault on Staff	Phase 1	GP	Phase 1	TRU, Secure, ESH
11/12/2020	Assault on Staff	11/11/2020- Assault on Staff	Phase 1	GP	Phase 1	N/A
11/01/2020	Assault on Inmate	10/21/2020- Assault on Inmate	Phase 1	GP	Phase 1	N/A

- b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release

ESH

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
11/09/2020	Satisfied the requirements of ESH	104	Level 3	GP

Secure

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
11/30/2020	Completed All Three Phases	89	Phase 3	GP
11/30/2020	Completed All Three Phases	60	Phase 3	GP
11/27/2020	Completed All Three Phases	101	Phase 3	GP
11/25/2020	Discharged from DOC Custody	36	Phase 2	N/A

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and

Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

- c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

ESH

Date of Movement	Level Prior to Movement	Level After Movement	Reason for Movement
11/30/2020	Level 2	Level 3	Review Recommendation
11/30/2020	Level 2	Level 3	Review Recommendation
11/03/2020	Level 3	Level 2	Assault on Staff
11/30/2020	Level 2	Level 3	Review Recommendation
11/30/2020	Level 2	Level 3	Review Recommendation

SECURE

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
11/19/2020	Phase 1	Phase 2	Review Recommendation
11/12/2020	Phase 2	Phase 3	Review Recommendation
11/04/2020	Phase 1	Phase 2	Review Recommendation
11/19/2020	Phase 2	Phase 3	Review Recommendation
11/19/2020	Phase 1	Phase 2	Review Recommendation
11/19/2020	Phase 1	Phase 2	Review Recommendation
11/19/2020	Phase 1	Phase 2	Review Recommendation
11/19/2020	Phase 2	Phase 1	Review Recommendation

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
11/12/2020	Phase 2	Phase 3	Review Recommendation
11/19/2020	Phase 1	Phase 2	Review Recommendation
11/14/2020	Phase 2	Phase 3	Review Recommendation
11/04/2020	Phase 2	Phase 3	Review Recommendation
11/04/2020	Phase 1	Phase 2	Review Recommendation
11/19/2020	Phase 2	Phase 3	Review Recommendation

d. Average Daily Population in each phase/level and overall.

ESH

Level 1	Level 2	Level 3	Overall
0.6	3.2	0.5	4.3

SECURE

Phase 1	Phase 2	Phase 3	Overall
6.70	5.30	5.37	17.37

e. The number of young adults in each phase/level as of the 1st day of the month following the reporting period.

ESH

Level 1	Level 2	Level 3
0	1	4

SECURE

Phase 1	Phase 2	Phase 3
5	5	5

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.

	Total People Entered To-Date	Total Entries To-Date
ESH	338	398
Secure	204	275 ¹

g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;

Length of Stay in ESH ² (days; N=385)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH ³	Overall
Average	33	55	56	25	35	86
Median	30	29	41	22	32	60
Minimum	2	0	0	0	1	2
Maximum	135	326	408	111	105	472

Overall Length of Stay in ESH by Release Reason ⁴ (days; N=123)						
	Not recommended by adjudication	Discharged or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
Average	-	59.32	78.83	76.90	154.00	39.06
Median	-	30.00	68.00	64.00	60.00	31.00
Minimum	-	3.00	6.00	42.00	27.00	3.00
Maximum	-	472.00	225.00	247.00	375.00	131.00

¹ Number has been adjusted to account for all those with multiple placements within the unit.

² Lengths of stay are calculated by averaging individual Young Adults' accumulated time in each level, regardless of the chronological progression of the Young Adult through various levels. Records are only included for Young Adults who have been released from ESH or Secure.

³ This category refers to ESH before the level system was implemented.

⁴ Length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. Those included in the calculation are individuals who were age 18-21 upon entry into ESH.

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

ESH Time in Program ⁵ (days) (N=5)				
	Level 1	Level 2	Level 3	Overall
Average	30.00	46.60	9.60	62.20
Median	30.00	21.00	1.00	22.00
Minimum	30.00	20.00	1.00	21.00
Maximum	30.00	151.00	44.00	225.00

Length of Stay in Secure (days; N=247) ⁶				
	Phase 1	Phase 2	Phase 3	Overall
Average	35.54	21.63	28.60	65.67
Median	28.50	14.00	23.00	60.00
Minimum	2.00	1.00	2.00	2.00
Maximum	229.00	112.00	134.00	301.00

Overall Length of Stay in Secure by Release Reason ⁷ days; N=247)						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other ⁸	Adjudication Determination
Average	87.74	39.12	59.98	30.19	46.00	22.67
Median	78.00	22.50	52.00	26.00	30.00	9.00
Minimum	19.00	2.00	2.00	9.00	2.00	6.00
Maximum	234.00	183.00	301.00	75.00	139.00	94.00

Secure Time in Program ⁹ (days) (N=15)				
	Phase 1	Phase 2	Phase 3	Overall
Average	27.80	19.60	24.20	48.93
Median	28.00	15.00	19.00	55.00
Minimum	2.00	14.00	12.00	2.00
Maximum	52.00	43.00	52.00	102.00

⁵ Time in Program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 12/01/2020.

⁶ The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

⁷ Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

⁸ This column represents all those who were released from Secure who do not fall into the other categories (i.e. temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

⁹ Time in Program is calculated for all individuals who were still in Secure on 12/01/2020.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 7-day (ESH) and 21-day review (ESH).

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
16	1	7

i. The number of young adults who received such a review.

28-day Review (Secure)	7-day Review (ESH)	21-day Review (ESH)
16	1	7

28-Day Reviews: All young adults received their scheduled reviews during the month of November.

7-Day Reviews: All young adults received their scheduled review during the month of November.

21-Day Reviews: All young adults received their scheduled review during the month of November.

j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

7-DAY REVIEW RECOMMENDATIONS:

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
11/18/2020	Level 1	Level 1	Level 1	11/18/2020	0	Willfully disregarded rules and regulations, aggressive behavior
11/25/2020	Level 1	Level 2	Level 1	11/25/2020	0	N/A

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

21-DAY REVIEW RECOMMENDATIONS

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
11/30/2020	Level 2	Level 3	Level 3	11/30/2020	0	N/A
11/30/2020	Level 2	Level 3	Level 3	11/30/2020	0	N/A
11/06/2020	Level 2	Level 3	Level 2	11/06/2020	0	N/A
11/04/2020	Level 3	Level 2	Level 2	11/03/2020	0	Violent, aggressive behavior, willfully disregarded rules and regulations
11/25/2020	Level 2	Level 2	Level 2	11/25/2020	0	Willfully disregarded rules and regulations
11/09/2020	Level 3	GP	GP	11/09/2020	0	N/A
11/30/2020	Level 2	Level 3	Level 3	11/30/2020	0	N/A
11/30/2020	Level 2	Level 3	Level 3	11/30/3030	0	N/A

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

28-DAY REVIEW RECOMMENDATIONS

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
11/19/2020	Phase 1	Phase 2	Phase 2	11/19/2020	0	N/A
11/12/2020	Phase 2	Phase 3	Phase 3	11/12/2020	0	N/A
11/30/2020	Phase 3	GP	GP	11/30/2020	0	N/A
11/04/2020	Phase 1	Phase 2	Phase 2	11/04/2020	0	N/A
11/19/2020	Phase 2	Phase 3	Phase 3	11/19/2020	0	N/A
11/04/2020	Phase 1	Phase 1	Phase 1	11/04/2020	0	Disregarded rules and regulations
11/19/2020	Phase 1	Phase 2	Phase 2	11/19/2020	0	N/A
11/19/2020	Phase 3	Phase 3	Phase 3	11/19/2020	0	Violent, aggressive behavior
11/12/2020	Phase 1	Phase 1	Phase 1	11/12/2020	0	Violent, aggressive behavior, disregarding rules and regulations
11/12/2020	Phase 1	Phase 1	Phase 1	11/12/2020	0	Violence, aggressive behavior
11/19/2020	Phase 1	Phase 2	Phase 2	11/19/2020	0	N/A
11/19/2020	Phase 1	Phase 2	Phase 2	11/19/2020	0	N/A
11/19/2020	Phase 2	Phase 1	Phase 1	11/19/2020	0	Violent, aggressive behavior
11/12/2020	Phase 2	Phase 3	Phase 3	11/12/2020	0	N/A

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase regression or progression, why?
11/12/2020	Phase 1	Phase 1	Phase 1	11/12/2020	0	Disregarded Rules and Regulations
11/19/2020	Phase 1	Phase 2	Phase 2	11/19/2020	0	N/A
11/30/2020	Phase 3	GP	GP	11/30/2020	0	N/A
11/14/2020	Phase 2	Phase 3	Phase 3	11/14/2020	0	N/A
11/04/2020	Phase 2	Phase 3	Phase 3	11/04/2020	0	N/A
11/27/2020	Phase 3	GP	GP	11/27/2020	0	N/A
11/04/2020	Phase 1	Phase 2	Phase 2	11/04/2020	0	N/A
11/19/2020	Phase 2	Phase 3	Phase 3	11/19/2020	0	N/A
11/26/2020	Phase 1	Phase 1	Phase 1	11/26/2020	0	Violent, aggressive behavior

k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Housing Area	Progressions			
	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (7-day reviews)	1	0	0	0
ESH (21-day reviews)	6	5	0	0
Secure (28-day reviews)	16	16	0	0

i. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

NYC Department of Correction
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure
 December Monthly Report (Covering the period of November 1 – November 30, 2020)

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
ARA Community Partners	4	15	4	15
Create and Connect Community Partners	4	15	4	15
Distribution of Self-Guided Materials	2	3	2	3
Secure – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	4	11	3	8
Community Meeting	18	53	3	16
Dialectical Behavioral Therapy	9	22	2	11
Follow-up on Self-Guided Materials	2	10	5	10
Goal Setting	9	25	3	15
Individual Counseling	38	80	2	20
Interactive Journaling	9	25	3	15
Interpersonal Skill Development	2	7	4	6
Young Men’s Work	3	4	1	4

ESH – Self- Guided Components ¹⁰	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	143	248	1.7	12
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Individual Counseling	102	68	0.7	12

¹⁰ During the month of November, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, a CBT-based journaling series, and other evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

- m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

- n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	0	3	70
Monthly Rate	0	.17	.43
Cumulative Count	137	78	4113
Cumulative Rate	6.69	7.46	10.87

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).

Slashing/Stabbings	ESH Young Adults	Secure Young Adults	General Population Young Adults
Monthly Count	0	1	2
Monthly Rate	.00	.06	.01
Cumulative Count	37	11	137
Cumulative Rate	1.92	1.05	.36

NYC Department of Correction

Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and
 Variance Conditions (1-05(b) and 1-08(f)) – Secure

December Monthly Report (Covering the period of November 1 – November 30, 2020)

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	0	2	4
	Monthly Rate	.00	.12	.02
	Cumulative Count	85	51	379
	Cumulative Rate	4.42	4.88	1.00

- q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Cumulative Count	304	207	2781
	Cumulative Rate	14.85	19.81	7.35

- r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.

Implementation Update: The Department has implemented the recreation plan as previously submitted to the Board. The facility continues to afford young adults the daily opportunity to engage in outdoor recreation, as well as additional supplementary activities; however, each individual may opt to decline as they see fit. Young adults continue to state that they would rather opt for inside programming than outdoor recreation. In order to continue to follow social distancing guidelines programming staff were unable to facilitate structured activities in ESH in the month of November. The Department continues to provide books, as well as cognitive skills therapeutic packets within the unit. Further, in the month of November ESH security staff continued to offer individuals in Level 3 the supplemental opportunity to go to the gym area. This is a progression incentive offered specifically to those in Level 3.

	Total Count	Average Participation Per Day	YA ESH Average Daily Population
ESH1	9	0.30	0.6
ESH2	26	0.87	3.2
ESH3	3	0.10	0.5
Total	38	1.27	4.3