

NYC Department of Correction  
 Variance Conditions (1-16(c)(1)(ii)) – Young Adult Enhanced Supervision Housing (ESH) and  
 Variance Conditions (1-05(b) and 1-08(f)) – Secure  
 August Monthly Report (Covering the period of July 1 – July 31, 2021)

- a. For each placement, the date of entry, the criteria met for placement, the dates of specific incidents used to justify placement and the associated incident type, the placement level, the housing category in which the inmate was housed prior to placement (e.g., GP, SCH, TRU, ESH, REST, APU), the result of his adjudication, and whether individual had previously been housed in Secure, ESH, TRU, and/or SCH.

**ESH**

Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
07/13/2021	Serious or Persistent Violence, Assault on Inmate, Assault on Staff	07/10/2021- Assault on staff; 04/07/2021- Assault on inmate	Level 2	GP	Level 2	TRU, Secure
07/16/2021	Serious or Persistent Violence, Slashing/Stabbing, Assault on Inmate	06/27/2021- Slashing, Assault on Inmate	Level 2	CMMX	Discharged or transferred from DOC custody	TRU, ESH, Secure
07/07/2021	Serious or Persistent Violence, Slashing/Stabbing, Assault on Inmate	07/05/2021- Slashing, Assault on Inmate	Level 2	TRU	Not Recommended	TRU, ESH, Secure. SCH
07/23/2021	Serious or Persistent Violence, Possession of Scalpel or Dangerous Article, Assault on Staff	06/29/2021- Assault on Staff; 04/11/2021- Assault on Staff	Level 2	TRU	Level 2	TRU, ESH
07/01/2021	Serious or Persistent Violence, Possession of Scalpel or Dangerous Article, Assault on Staff	06/29/2021- Assault on Staff; 04/11/2021- Assault on Staff	Level 2	TRU	Not Recommended	TRU, ESH
07/29/2021	Serious or Persistent Violence, Slashing/Stabbing, Assault on Inmate	07/26/2021- Assault on Inmate; 03/23/2021- Assault on Inmate	Level 2	GP	Level 2	TRU
07/29/2021	Serious or Persistent Violence, Assault on Inmate	07/26/2021- Assault on Inmate; 06/15/2021-	Level 2	GP	Level 2	SCH, ESH, TRU, Secure

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Entry Date	Placement Criteria	Incident Date(S) And Type(S)	Placement Level	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
		Assault on Inmate				
07/13/2021	Serious or Persistent Violence, Assault on Staff	07/10/2021- Assault on Staff	Level 2	GP	Level 2	TRU
07/13/2021	Serious or Persistent Violence, Slashing/Stabbing, Assault on Inmate, Assault on Staff	07/09/2021- Assault on Staff 02/12/2021- Slashing, Assault on Inmate	Level 2	GP	Level 2	TRU, Secure
07/07/2021	Serious or Persistent Violence, Assault on Inmate, Assault on Staff, Possession of a Dangerous Article	07/06/2021- Assault on Staff 03/19/2021- Possession of a Dangerous Article 02/16/2021- Assault on Staff 02/04/2021- Assault on Inmate 01/29/2021- Assault on Staff	Level 2	GP	Discharged or transferred from DOC custody	TRU
07/29/2021	Slashing/Stabbing, Assault on Inmate	07/26/2021- Slashing, Assault on Inmate	Level-2	GP	Level 2	TRU

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**Secure**

Entry Date	Placement Criteria	Incident Date(s) and Type(s)	Placement Phase	Previous Housing Category	Adjudication Result	Previous Placement in Secure, ESH, TRU, SCH
07/07/2021	Assault on Inmate	07/06/2021- Assault on Inmate	Phase 1	GP	Continued Placement	ESH, Secure, TRU
07/03/2021	Assault on Staff	06/30/2021- Assault on Staff 06/26/2021- Assault on Staff	Phase 1	PC	Transferred to another unit for security/health reasons prior to his adjudication	N/A
07/07/2021	Assault on Staff	07/06/2021- Assault on Staff	Phase 1	GP	Continued Placement	TRU, Secure
07/07/2021	Assault on Inmate	07/06/2021- Assault on Inmate	Phase 1	ESH 2	Continued Placement	SCH, Secure, TRU
07/01/2021	Assault on Inmate, slashing	06/29/2021- Assault on Inmate, slashing	Phase 1	GP	Continued Placement	N/A

**b. For each release, the date of release, the reason for release, the total number of days spent in the restrictive housing area (ESH or Secure), and the housing category (and phase/level, if applicable) in which the inmate was housed prior to and upon release**

**ESH**

Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
07/15/2021	Discharged or transferred from DOC custody	102	Level 2	N/A
07/16/2021	Satisfied the requirements of ESH	107	Level 2	GP
07/21/2021	Discharged or transferred from DOC custody	5	Level 2	N/A
07/16/2021	Not recommended by adjudication	9	Level 2	GP
07/22/2021	Discharged or transferred from DOC custody	66	Level 2	N/A

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Release Date	Release Reason	Total Days in ESH	ESH Level Prior to Release	Housing Category After Release
07/12/2021	Not recommended by adjudication	12	Level 2	GP
07/27/2021	Moved to a less restrictive unit	146	Level 2	GP
07/07/2021	Moved to a less restrictive unit	79	Level 2	Secure
07/23/2021	Moved for security/health reasons	63	Level 2	GP
07/12/2021	Discharged or transferred from DOC custody	4	Level 2	N/A

**Secure**

Release Date	Release Reason	Total Days in Secure	Secure Phase Prior to Release	Housing Category After Release
07/19/2021	Completed all three phases	49	Phase 3	GP
07/03/2021	Transferred to another unit for security/health reasons	3	Phase 1	PC
07/15/2021	Transferred to another unit for security/health reasons	46	Phase 2	GP
07/06/2021	Transferred to another unit for security/health reasons	30	Phase 1	ESH 2
07/14/2021	Not recommended by adjudication	18	Phase 1	CMCMX
07/05/2021	Transferred to another unit for security/health reasons	61	Phase 1	CMCMX
07/24/2021	Aged out of Secure	25	Phase 1	ESH 2
07/11/2021	Transferred to another unit for security/health reasons	19	Phase 1	GP
07/19/2021	Completed all three Phases	103	Phase 3	GP

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c. For each movement between phases/levels, the date of movement, the phase/level prior to movement, the phase/level to which the individual moved, and the reason for movement.

**ESH:** There are no YA ESH movements to report for the month of June.

**Secure:**

Date of Movement	Phase Prior to Movement	Phase After Movement	Reason for Movement
07/01/2021	Phase 1	Phase 2	Review Recommendation
07/15/2021	Phase 2	Phase 3	Review Recommendation
07/01/2021	Phase 2	Phase 3	Review Recommendation
07/08/2021	Phase 1	Phase 2	Review Recommendation
07/12/2021	Phase 1	Phase 2	Review Recommendation
07/23/2021	Phase 2	Phase 3	Review Recommendation
07/12/2021	Phase 1	Phase 2	Review Recommendation
07/23/2021	Phase 2	Phase 3	Review Recommendation
07/15/2021	Phase 1	Phase 2	Review Recommendation
07/30/2021	Phase 2	Phase 3	Review Recommendation
07/07/2021	Phase 1	Phase 1	Assault on Staff
07/29/2021	Phase 1	Phase 2	Review Recommendation

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**d. Average Daily Population in each phase/level and overall.**

**ESH**

Level 2	Level 3	Overall
19.6	0.0	19.6

**SECURE**

Phase 1	Phase 2	Phase 3	Overall
8.87	2.29	4.00	15.16

**e. The number of young adults in each phase/level as of the 1<sup>st</sup> day of the month following the reporting period.**

**ESH**

Level 2	Level 3
20	0

**SECURE**

Phase 1	Phase 2	Phase 3
5	2	5

**f. The total number of people entering ESH or Secure Unit to-date and the total number of entries to date.**

	Total People Entered To-Date	Total Entries To-Date
ESH	394	471
Secure	243	320

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- g. The minimum, maximum, median, and average length of stay in each phase/level and overall and in each phase/level and overall by release reason, and the time in-program minimum, maximum median, and average on the last day of each month overall and by phase/ level;**

Length of Stay in ESH <sup>1</sup> (days; N=435)						
	ESH Entry	Level 1	Level 2	Level 3	Non-Level ESH <sup>2</sup>	Overall
<b>Average</b>	32	48	58	25	34	85
<b>Median</b>	31	28	42	22	32	60
<b>Minimum</b>	2	0	0	0	3	2
<b>Maximum</b>	135	326	408	111	104	472

Overall Length of Stay in ESH by Release Reason <sup>3</sup> (days; N=178)						
	Not recommended by adjudication	Discharged or transferred from DOC custody	Moved for security/health reasons	Satisfied the requirements of ESH	Regressed to Phase 1, Re-adjudicated	Moved to Less Restrictive Unit
<b>Average</b>	11.67	64.40	83.23	73.46	34.67	45.57
<b>Median</b>	12.00	41.00	78.00	63.00	27.00	32.00
<b>Minimum</b>	9.00	3.00	6.00	16.00	16.00	16.00
<b>Maximum</b>	14.00	472.00	302.00	247.00	61.00	161.00

ESH Time in Program <sup>4</sup> (days) (N=20)				
	Level 1	Level 2	Level 3	Overall
<b>Average</b>	13.13	122.29	11.33	132.21
<b>Median</b>	11.00	114.00	10.00	123.50
<b>Minimum</b>	6.00	3.00	2.00	3.00
<b>Maximum</b>	29.00	220.00	22.00	233.00

Length of Stay in Secure (days; N=301) <sup>5</sup>
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<sup>1</sup> lengths of stay are calculated by averaging individual young adults' accumulated time in each level, regardless of the chronological progression of the young adult through various levels. records are only included for young adults who have been released from ESH or secure.

<sup>2</sup> this category refers to ESH before the level system was implemented.

<sup>3</sup> length of stay in ESH by release reason is calculated for all those discharged on or after 10/01/2019. those included in the calculation are individuals who were age 18-21 upon entry into ESH.

<sup>4</sup> time in program is calculated for all individuals who were 18-21 at the time of their placement in ESH and who were still in ESH on 08/01/2021.

<sup>5</sup> The N value represents all Secure Unit young adult records that were used in any of the LOS calculations.

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	Phase 1	Phase 2	Phase 3	Overall
<b>Average</b>	37.70	20.77	28.99	67.77
<b>Median</b>	29.00	14.00	23.00	60.00
<b>Minimum</b>	2.00	1.00	2.00	2.00
<b>Maximum</b>	229.00	112.00	144.00	301.00

Overall Length of Stay in Secure by Release Reason <sup>6</sup> (days; N=301)						
	Completed All 3 Phases	Transferred to Another Unit for Security/Health	Discharged from DOC Custody	Completed Program –Step down from ESH	Other <sup>7</sup>	Adjudication Determination
<b>Average</b>	30.00	44.86	57.04	89.75	52.75	21.82
<b>Median</b>	26.00	24.00	47.50	78.00	29.00	9.00
<b>Minimum</b>	9.00	2.00	2.00	19.00	2.00	6.00
<b>Maximum</b>	75.00	186.00	301.00	234.00	170.00	94.00

Secure Time in Program <sup>8</sup> (days) (N=12)				
	Phase 1	Phase 2	Phase 3	Overall
<b>Average</b>	31.50	11.43	17.40	45.42
<b>Median</b>	27.50	11.00	17.00	45.00
<b>Minimum</b>	25.00	2.00	2.00	25.00
<b>Maximum</b>	51.00	20.00	38.00	103.00

**h. The number of Young Adults who met the criteria for a 28-day review (Secure) and 21-day review (ESH).**

28-day Review (Secure)	21-day Review (ESH)
14	21

**i. The number of young adults who received such a review.**

<sup>6</sup> Length of stay by release reason for Secure is calculated for all those released from Secure since its opening. The entry dates of the first individuals included was 06/30/2016.

<sup>7</sup> This column represents all those who were released from Secure who do not fall into the other categories (i.e. Temporarily transferred from DOC facility, aging out, inmate was sentenced and sent to another program within another facility).

<sup>8</sup> Time in Program is calculated for all individuals who were still in Secure on 08/01/2021.



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28-day Review (Secure)	21-day Review (ESH)
10	18

**28-Day Reviews:** One (1) young adult aged out of Secure prior to their 28-day day review. Two (2) young adults transferred to another unit for security/health reasons prior to their 28-day review. One (1) young adult assaulted a staff member during their scheduled 28 Day Review, resulting in his review being pushed back to August 3<sup>rd</sup>.

**21-Day Reviews:** One (1) Young Adult moved to a less restrictive unit prior to their 21-day review. Two (2) Young Adult was discharged or transferred from DOC custody prior to their 21-day review.

- j. For each review, the individual’s, review date, level at the time of review, the level or housing type recommended, the resulting placement, resulting placement date, and days from review recommendation to resulting placement. If the Young Adult did not progress or regress in their placement, which exception was used to justify? If the Young Adult was not recommended to progress or regress in their placement, or if they were not moved following their recommendation reason for lack of movement.

**21-DAY REVIEW RECOMMENDATIONS**

Review Date	ESH Level at Time of Review	ESH Level Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. To Resulting Placement	If No Level Progression, Why?
07/08/2021	Level 2	Level 2	Level 2	07/08/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/20/2021	Level 2	Level 2	Level 2	07/20/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/27/2021	Level 2	Level 2	Level 2	07/27/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations

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07/07/2021	Level 2	Level 2	Level 2	07/07/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/16/2021	Level 2	GP	GP	07/16/2021	0	N/A
07/20/2021	Level 2	Level 3	Level 2	07/20/2021	0	Credible Intelligence
07/03/2021	Level 2	Level 2	Level 2	07/03/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/24/2021	Level 2	Level 2	Level 2	07/24/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/07/2021	Level 2	Level 2	Level 2	07/07/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/28/2021	Level 2	Level 2	Level 2	07/28/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/14/2021	Level 2	Level 3	Level 2	07/14/2021	0	Credible intelligence
07/06/2021	Level 2	Level 2	Level 2	07/06/2021	0	Willfully disregarded rules and regulations

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07/27/2021	Level 2	Level 2	Level 2	07/27/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/05/2021	Level 2	Level 2	Level 2	07/05/2021	0	Willfully disregarded rules and regulations
07/26/2021	Level 2	Level 2	Level 2	07/26/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/01/2021	Level 2	Level 2	Level 2	07/01/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/22/2021	Level 2	Level 3	Level 2	07/22/2021	0	Disregarded rules and regulations
07/21/2021	Level 2	Level 2	Level 2	07/21/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/02/2021	Level 2	Level 2	Level 2	07/02/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/23/2021	Level 2	Level 2	Level 2	07/23/2021	0	Aggressive behavior, willfully disregarded rules and regulations

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07/09/2021	Level 2	Level 2	Level 2	07/09/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/30/2021	Level 2	Level 2	Level 2	07/30/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/15/2021	Level 2	Level 3	Level 2	07/15/2021	0	Disregarded rules and regulations
07/01/2021	Level 2	Level 2	Level 2	07/01/2021	0	Willfully disregarded rules and regulations
07/22/2021	Level 2	Level 2	Level 2	07/22/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations
07/18/2021	Level 2	Level 2	Level 2	07/18/2021	0	Violent or aggressive behavior, willfully disregarded rules and regulations

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**28-DAY REVIEW RECOMMENDATIONS**

Review Date	Secure Phase at Time of Review	Secure Phase Recommended	Resulting Placement	Resulting Placement Date	Days from Review Rec. to Resulting Placement	If no phase progression, why?
07/01/2021	Phase 1	Phase 2	Phase 2	07/01/2021	0	N/A
07/15/2021	Phase 2	Phase 3	Phase 3	07/15/2021	0	N/A
07/15/2021	Phase 1	Phase 1	Phase 1	07/15/2021	0	Violent, aggressive behavior, disregarded rules and regulations
07/01/2021	Phase 2	Phase 3	Phase 3	07/01/2021	0	N/A
07/19/2021	Phase 3	GP	GP	07/19/2021	0	N/A
07/04/2021	Phase1	Phase 1	Phase 1	07/04/2021	0	Violent, aggressive behavior, disregarded rules and regulations
07/01/2021	Phase1	Phase 1	Phase 1	07/01/2021	0	Violent, aggressive behavior, disregarded rules and regulations
07/08/2021	Phase 1	Phase 2	Phase 2	07/08/2021	0	N/A
07/12/2021	Phase 1	Phase 2	Phase 2	07/12/2021	0	N/A
07/23/2021	Phase 2	Phase 3	Phase 3	07/23/2021	0	N/A
07/12/2021	Phase 1	Phase 2	Phase 2	07/12/2021	0	N/A
07/23/2021	Phase 2	Phase 3	Phase 3	07/23/2021	0	N/A

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07/15/2021	Phase 1	Phase 2	Phase 2	07/15/2021	0	N/A
07/30/2021	Phase 2	Phase 3	Phase 3	07/30/2021	0	N/A
07/19/2021	Phase 3	GP	GP	07/19/2021	0	N/A
07/30/2021	Phase 1	Phase 2	Phase 2	07/30/2021	0	N/A

k. The total number of recommended progressions, the total number of actual progressions, and the average and median days from review recommendation to actual progression.

Progressions				
Housing Area	Total recommended progressions	Total actual progressions	Average days from review rec. to progress.	Median days from review rec. to progress.
ESH (21-day reviews)	3	1	0	0
Secure (28-day reviews)	13	13	0	0

l. For each program offered, the number of classes or meetings offered, the average number of participants, and the number of unique individuals participating in any program that month and by each program type during the reporting month.

Secure – Self- Guided Components	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
ARA Community Partner	8	33	4	20
Dialectical Behavioral Therapy	2	11	6	6
Inner you Project Community Partner	8	33	4	20
The Kite Community Partner	8	33	4	20
Young Men's Work	2	6	3	3

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Secure – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Anger Management	3	7	2	7
Community Meeting	1	2	2	2
Dialectical Behavioral Therapy	5	15	3	9
Goal Setting	5	10	2	10
Individual Counseling	53	103	2	20
Interactive Journaling	1	4	4	4
Young Men's Work	10	25	3	16

ESH – Self-Guided Components <sup>9</sup>	# of Times Delivered	# of Self-Guided Packages Delivered	Average # of Packages per Delivery	Unique Individuals
Distribution of Self-Guided Materials	5	63	12.6	25
ESH – In-person Components	# of Sessions Offered	Total Participations	Average: # of Participants per Session	Unique Individuals
Individual Counseling	29	128	4.4	25

**m. The number of Young Adults in Secure Units who were placed in De-escalation areas, including the minimum, maximum, median, and average length of time in such areas.**

Number of Young Adults Placed in De-escalation Areas: 0			
Length of Stay in Secure De-escalation Areas			
Average	Median	Minimum	Maximum
N/A	N/A	N/A	N/A

<sup>9</sup> During the month of July, self-guided packets distributed to young adults in ESH consisted of a variety of materials on a given week. Materials distributed throughout the month included activity packets such as coloring pages and brainteasers, evidence-based worksheets on a variety of topics including, but not limited to, relapse prevention, anger management, and young adult specific curricula.

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- n. The number of inmate-on-inmate fights to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents / average daily population).

Inmate-on-Inmate Fights		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	3	3	51
	Monthly Rate	.15	.20	.25
	Cumulative Count	176	100	4676
	Cumulative Rate	8.60	8.92	13.17

- o. The number of slashings/stabbings to date and for the reporting month in Young Adult ESH and in Secure Unit, and the rates of inmate-on-inmate violence cumulatively and for the reporting month in Young Adult ESH, Secure Unit, and the Young Adult general population (number of incidents/average daily population).

Slashing/Stabbings		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	2	1	3
	Monthly Rate	.10	.07	.01
	Cumulative Count	53	14	173
	Cumulative Rate	2.73	1.25	.49

- p. The number of assaults on staff to date and for the reporting month in Young Adult ESH and Secure Unit, and the rates of assaults on staff by Young Adults in Young Adult ESH, Secure Unit, and the Young Adult general population, which shall be calculated cumulatively and for the reporting month.

Assaults on Staff		ESH Young Adults	Secure Young Adults	General Population Young Adults
	Monthly Count	3	2	4
	Monthly Rate	.15	.13	.02
	Cumulative Count	107	74	422
	Cumulative Rate	5.50	6.60	1.19



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 Variance Conditions (1-05(b) and 1-08(f)) – Secure  
 August Monthly Report (Covering the period of July 1 – July 31, 2021)

**q. The number of uses of force in Young Adult ESH and Secure Unit, and the rates of use of force for Young Adult ESH, Secure Unit, and the Young Adult general population**

Uses of Force		ESH Young Adults	Secure Young Adults	General Population Young Adults
	<b>Cumulative Count</b>	396	264	3213
	<b>Cumulative Rate</b>	19.35	23.56	9.05

**r. The implementation of the Department’s plan to increase young adults’ participation in recreation, including the number, rate, and time of young adults’ recreation participation.**

**Implementation Update:** The Department has implemented the recreation plan as previously submitted to the Board. The facility continues to afford young adults the daily opportunity to engage in outdoor recreation, as well as additional supplementary activities<sup>10</sup>; however, each individual may opt to decline as they see fit. In order to continue to follow social distancing guidelines programming staff were limited in their ability to facilitate regular structured activities in ESH in the month of June. The Department continues to provide books, as well as cognitive skills therapeutic packets within the unit.

	Total Count	Average Participation Per Day	YA ESH Average Daily Population	Average Duration
<b>ESH2</b>	52	1.68	19.6	2.7
<b>ESH3</b>	N/A	N/A	N/A	N/A
<b>Total</b>	52	1.68	19.6	2.7

<sup>10</sup> The young adult population in ESH has engaged in multiple violent incidents throughout the month of July impacting staff’s ability to afford recreation on those days. Low staffing levels and have similarly impacted recreation during the reporting period.