

DEPARTMENT OF TRANSPORTATION

Section of Law	ECB Computer Code	Violation Description	Penalty	Default
Admin. Code 19-102(i)	AD01	Use/opening of street w/o permit	1500	5,000
Admin. Code 19-102(i)	AD02	Use/opening of protected street w/o permit	1,800	5,000
Admin. Code 19-107	AD03	Street closing without permit	1,800	5,000
Admin. Code 19-108	AD04	Failure to have permit on site or in field office	50	150
Admin. Code 19-109(a)	AD05	Failure to provide adequate protection at worksite	400	1,200
Admin. Code 19-109(b)	AD06	Identifying signs improperly displayed or missing	100	300
Admin. Code 19-117(a)	AD07	Constructing vault w/o license or revocable consent	500	1,500
Admin. Code 19-119	AD08	Vault opening without proper protection	250	750
Admin. Code 19-121(a)	AD09	Construction materials/equipment stored on street w/o permit	750	2,250
Admin. Code 19-121(b)(2)	AD10	Debris/constr. Materials obstructing gutters/sidewalk, etc.	250	750
Admin. Code 19-121(b)(3)	AD11	Construction material/equipment w/o proper reflective markings	250	750
Admin. Code 19-121(b)(4)	AD12	Material/equipment without name & address of owner	100	300
Admin. Code 19-121(b)(5)	AD13	Construction material/equipment w/in 5 ft. of surface RR track	500	1,500
Admin. Code 19-121(b)(6)	AD14	No street protection under construction material/equipment	250	750
Admin. Code 19-121(b)(7)	AD15	Obstruction of fire hydrant or bus stop	500	1,500
Admin. Code 19-122	AD16	Sand/dirt/rubbish/debris not removed from site within 7 days	250	750
19-123	ADC4	Commercial refuse container stored on the street w/o a permit	\$750	\$2,250
Admin. Code 19-123	AD17	No street protection under commercial refuse container	250	750
Admin. Code 19-124(a)	AD18	Canopy without permit	100	300
Admin. Code 19-125	AD19	Post/pole/flagpole socket/lamppost w/o permit/consent	100	300
Admin. Code 19-126	AD20	Use/movement/removal of crane/building/structure w/o permit	1,000	3,000
Admin. Code 19-128(a)	AD21	Installation/maintenance of public phone booth w/o license	150	450
19-133	ADC2	Unauthorized projections and encroachments on City Property	250	750
Admin. Code 19-102(ii)	AD30	Failure to comply with terms and conditions of DOT permit	1,200	3,600
Admin. Code 19-138(b)	AD31	Defacement of roadway or sidewalk	50	150
Admin. Code 19-176(b)	AD62	Unlawful bicycle riding	50	100
Admin. Code 19-102(i)	AD63	Working w/o permit on controlled access highway	4,000	5,000
Admin. Code 19-102(ii)	AD64	Fail to comply with terms of permit(controlled access highway)	4,000	5,000
Admin. Code 19-150	AD65	Fail to comply with Comm. Order re: illegal encroachment/projection	250	400
Admin. Code 19-136	AD66	Goods/wares/merchandise obstructing sidewalk	150	500

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Admin. Code 19-147(d)	AD8C	Failure to replace loose, slippery or broken utility maintenance hole (manhole) covers, castings	250	750
34 RCNY 2-02(a)(1)(ii)	AD67	Failed to provide name and tel no. of emergency contact	250	500
34 RCNY 2-07(a)(5)	AD3G	Toolcart stored on sidewalk failed to provide a 5 foot minimum walkway	250	500
34 RCNY 2-07(a)(5)	AD3H	Toolcart stored on roadway without a permit	250	750
34 RCNY 2-07(a)(5)	AD3J	Toolcart stored on sidewalk obstructing hydrant, bus stop, or driveway	500	500
34 RCNY 2-02(m)	AD3D	Illegally working on a street during an embargo period	1200	3600
34 RCNY 2-07(b)(2)	AD3K	Failure to repair defective street condition found within an area extending 12 inches outward from the perimeter of the covers/gratings	250	750
34 RCNY 2-09 (f) (4)(v)	AD68	Failed to install expansion joints as per subsection	250	750
34 RCNY 2-09(f)(4)(v)	AD69	Failed to seal expansion joints as per subsection	250	750
34 RCNY 2-09(f)(4)(viii)	ADA1	Failure to fully replace defective sidewalk flag	250	500
34 RCNY 2-09(f)(4)(xiv)	ADA2	Failure to install pedestrian ramp as per DOT drawings	400	1000
34 RCNY 2-09(f)(4)(xvi)	ADC3	Failure to obtain DOT approval for distinctive sidewalk	250	750
34 RCNY 2-09(f)(4)(xvi)(A)	ADA3	Failure to repair distinctive sidewalk in kind	250	500
34 RCNY 2-11(e)(10)(v)	AD70	No Raised Plow sign/Steel plates or fail to countersink plates flush with rd'wy	250	750
34 RCNY 2-07(c)(4)(i)	AD1A	Opening of manhole w/o an authorization number	2,000	3,000
34 RCNY 2-11(f)(4)(i)	AD1B	No notice to DOT before start phase of work on protected street	750	2250
34 RCNY 2-11(c)(1)	AD1C	Failure to notify Public Service Corp. prior to excavation	250	500
34 RCNY 2-11(e)(1)	AD1D	Failure to notify City 24 hrs before street work	250	500
34 RCNY 2-11(e)(9)(i)	AD1E	Temporary pavement not flush with surrounding area	500	1,000
34 RCNY 2-11(e)(12)(ii)	AD1F	Wearing course not flush with surrounding area	750	2,250
34 RCNY 2-11(e)(8)(vi)	AD1G	Temporary restoration sunken more than 2 inches	500	1,000
34 RCNY 2-07(c)(1)	AD1H	Doing non-emergency work on a critical roadway during restricted hours	2,000	3,000
34 RCNY 2-07(b)(3)	AD2A	Utility cover/street hardware not flush with surrounding area	1,000	3,000
34 RCNY 2-05(d)(12)	AD2B	Mixing mortar on roadway w/o protection	250	750
34 RCNY 2-05(d)(10)	AD2C	Failure to provide space for loading & unloading of materials	250	750
34 RCNY 2-05(i)(1)	AD2D	Crossing s/w with a motorized vehicle w/o permit	250	500
34 RCNY 2-05(d)(16)	AD2E	Fail to house cables/hoses/wires with <u>14</u> feet minimum clearance	250	500
34 RCNY 2-05(h)(1)	AD2F	Construction shanty/trailer/w/o a permit	150	450

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34 RCNY 2-05(h)(4)	AD3A	Failure to remove shanties/trailers from roadway/sidewalk	250	750
34 RCNY 2-05(f)(1)(i)	AD3B	Failure to install curb separate from sidewalk	250	500
34 RCNY 2-11(e)(5)	AD3C	Failure to maintain a 5ft pedestrian walkway on sidewalk	250	750
34 RCNY 2-11(e)(12)(vii)	AD3E	Failure to restore pavement/curb/gutter/s/w in kind	250	750
34 RCNY 2-11(e)(16)(i)(a)	AD3F	Failure to submit a sketch at the completion of the job	50	150
34 RCNY 2-11(e)(3)(ii)	AD4A	Tunneling/jacking without a permit	400	1,200
34 RCNY 2-11(e)(3)(i)	AD4B	Excavation down 5 feet or greater w/o shoring	1,500	4,500
34 RCNY 2-14(b)(1)	AD4C	Banners w/o permit	150	450
34 RCNY 2-11(e)(8)(i)	AD4D	Unsuitable backfill material used	250	750
34 RCNY 2-11(e)(10)	AD4E	Failure to pin and/or ramp steel plates	1,200	3,600
34 RCNY 2-11(e)(12)(x)	AD4F	Failure to permanently restore cut within required time	800	2,400
34 RCNY 2-11(e)(10)(vi)	AD4G	Failure to use skid resistant plating and/or decking on roadway	1,000	5,000
34 RCNY 2-11(e)(12)(viii)	AD5A	Failure to seal street opening joints	100	300
34 RCNY 2-11(e)(12)(ix)	AD5B	Failure to restore lane markings	750	1,000
34 RCNY 2-11(e)(14)(i)	AD5C	Failure to apply color code identifying permittee	50	150
34 RCNY 2-02(c)(2)	AD5D	Failure to display required signs at work site	250	350
34 RCNY 2-11(e)(4)(v)	AD5E	Failure to post flagperson at worksite to give directions	800	2,400
34 RCNY 2-11(e)(16)(iii)	AD5F	Failure to comply with DOT Standard Specifications	400	1,000
34 RCNY 2-04(f)(1)	AD6A	Canopy erected/maintained on a restricted street	150	450
A.C. 19-136(b)	AD6B	Vehicle(s) on sidewalk	50	300
34 RCNY 2-11(g)(1)(i)	AD6C	Working without a valid emergency number	1,000	3,000
34 RCNY 2-11(g)(1)(ii)	AD6D	Doing non-emergency work with an emergency authorization number	1,000	3,000
34 RCNY 2-11(g)(2)(i)	AD6E	Failure to begin emergency work within 2 hrs after authorization	500	1,500
34 RCNY 2-11(g)(2)(ii)	AD6F	Failure to perform emergency work around the clock	1,000	3,000
34 RCNY 2-11(g)(2)(viii)	AD7A	Failure to apply for permit within two business days of emergency work	250	750
34 RCNY 2-07(a)(3)	AD7B	Restricting more than 11ft of roadway by opening covers/gratings	500	1,600
34 RCNY 2-07(a)(4)	AD7C	Opening more than two consecutive covers/gratings	500	1,600
34 RCNY 2-07(c)(4)(iv)	AD7D	Fail to perform emergency work around the clock(covers/gratings)	1,000	3,000
34 RCNY 2-07(c)(4)(v)	AD7E	Fail to notify DOT of completion of emergency work (covers/gratings)	250	750
34 RCNY 2-11(e)(4)(ii)	AD7F	Failure to plate excavation in driving lane or intersection	1,200	3,600

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34 RCNY 2-11(e)(2)	AD8A	Use of Ram Hoe/truck mounted pavement breaker to precut pavement	250	400
34 RCNY 2-11 (e)(11)(iv)	ADA4	Failure to use correct ration of asphalt binder	400	1000
34 RCNY 2-11 (e)(11)(v)	ADA5	Failure to restore concrete base at same grade as existing base	400	1000
34 RCNY2-11 (e)(11)(vi)	ADA6	Installing asphalt other than binder as a base course	400	1000
34 RCNY 2-11 (e)(11)(vii)	ADA7	Installation of shallow conduit without department approval	250	500
34 RCNY 2-11 (e)(12)(iii)	ADA8	Failure to provide minimum thickness of wearing course on full depth asphalt restoration	400	1000
34 RCNY 2-11 (e)(12)(v)	ADA9	Failure to restore entire pavement between street opening and curb	400	1000
34 RCNY 2-11 (e)(12)(vi)	ADB1	Failure to restore street in kind (non-historic district)	750	1000
34 RCNY 2-11 (e)(12)(ix)	ADB2	Installing Construction Signs w/o a Permit	150	450
34 RCNY 2-11(e)(13)(ii)	ADB3	Failure to restore concrete pavement at the same depth, strength and finish as original pavement	400	1000
34 RCNY 2-11 (e)(13)(v)	ADB4	Installing asphalt on a concrete street or concrete bus stop area	700	1000
34 RCNY 2-11(e)(14)(iii)	ADB5	Failure to install a color coding marker at the end of the restoration	50	150
34 RCNY 2-13(l)(2)	AD8B	Failure to repair sidewalk covering a vault	250	400
34 RCNY 2-14 (e)(2)(v)	AD8D	Failure to maintain and/or replace weatherproof receptacles as necessary for holiday/temp. lighting.	150	300
34 RCNY 2-14 (e)(2)(viii)	AD8E	Failure to obtain and maintain the required insurance for holiday/temp. lighting.	250	500
34 RCNY 2-14 (e)(2)(ix)	AD8F	Failure to obtain a DOT permit prior to commencing work for holiday/temp. lighting.	250	500
34 RCNY 2-14 (e)(2)(x)	AD8G	Failure to remove temporary lighting and related equipment when required for holiday/temp. lighting.	250	500
34 RCNY 2-14 (e)(2)(xiii)	AD8H	Use of electrical service exceeding 120 volts and/or fused larger than 15 amperes for holiday/temp. lighting.	250	500
34 RCNY 2-14 (e)(2)(xiv)	AD8I	Failure to comply with minimum height clearances for holiday/temp. lighting.	250	500
34 RCNY 2-14 (e)(2)(xv)	AD8J	Supporting or securing holiday/temp. lighting to a fire escape or drainpipe; failure to insulate temporary lighting.	250	500
34 RCNY 2-14 (e)(2)(xviii)	AD8K	Failure to obtain DOT approval for adjustments to work on holiday/temp. lighting.	200	400
34 RCNY 2-14 (e)(2)(xix)	AD8L	Failure to perform changes mandated by the Department on holiday/temp. lighting.	150	300
34 RCNY 2-14 (e)(2)(xxi)	AD8M	Failure to certify work for holiday/temp. lighting.	150	300

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34 RCNY 2-14 (f)(2)(iv)	ADC5	Commercial refuse container placed in a crosswalk or pedestrian ramp	\$250	\$750
34 RCNY 2-14 (f)(3)	ADC6	Commercial refuse container causing obstruction or interference	\$250	\$750
34 RCNY 2-14 (f)(4)	ADC7	Unlabeled or Improperly labeled commercial refuse container	\$250	\$750
34 RCNY 2-14 (f)(5)	ADC8	Commercial refuse container not parallel to the curb or extended more than 9 feet into the roadway	\$250	\$750
34 RCNY 2-14 (f)(6)	ADC9	Failure to have the proper street protection under commercial refuse container	\$250	\$750
34 RCNY 2-14 (f)(2)(i)	ADB6	Commercial refuse container stored or placed in an unauthorized area	250	750
34 RCNY 2-14 (f)(2)(ii)	ADB7	Commercial refuse container stored or placed within 15 ft of a hydrant	250	750
34 RCNY 2-14 (f)(6)	ADB8	Improperly labeled commercial refuse container	250	750
34 RCNY 2-14 (f)(7)	ADB9	Commercial refuse container w/o proper reflective markings on all four sides	250	750
34 RCNY 2-14 (f)(8)	ADC1	Commercial refuse container/debris obstructing sidewalks, gutters, crosswalks or driveway	250	750
34 RCNY 2-15(a)	ADD1	Maintaining an unlawful Sidewalk ATM (Automatic Teller Machine)	2,500	5,000
34 RCNY 2-15(c)	ADD2	Failure to remove an unlawful Sidewalk ATM (continuing violation)	5,000	5,000
Admin. Code 19-176(c)	AD6G	Riding bicycle on sidewalk in manner which endangers any person or property - FIRST OFFENSE	150	300
Admin. Code 19-176(c)	AD6H	Riding bicycle on sidewalk in manner which endangers any person or property - SECOND OFFENSE	300	500
Admin. Code 19-176(c)	AD6I	Riding bicycle on sidewalk in manner which endangers any person or property and causes physical contact with a person - FIRST OFFENSE	250	500
Admin. Code 19-176(c)	AD6J	Riding bicycle on sidewalk in manner which endangers any person or property and causes physical contact with a person - SECOND OFFENSE	500	500
19-128.1(b)(1)	AD81	Newsrack exceeds size limits	100	500
19-128.1(b)(2)	AD82	Newsrack used for impermissible advertising/promotional purposes	100	500
19-128.1(b)(3)	AD83	Failure to keep coin return mechanism in good working order	100	500
19-128.1(b)(4)	AD84	Failed to affix correct name/address/tel. no. to newsrack as per subsection	100	500
19-128.1(b)(5),(6)	AD85	Newsrack placed/installed/maintained in improper location	250	500

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19-128.1(b)(7)	AD86	Failed to place/install newsrack in a manner so that it cannot be tipped over	250	500
19-128.1(c)(1)	AD87	Failed to notify DOT of newsrack info and compliance as per subsection	3,000	4,000
Admin. Code 19-128.1(c)(2)		Failed to notify DOT of required newsrack information for:		
	AD88	1-99 racks	375	500
	AD9A	100-249 racks	550	750
	AD9B	250-499 racks	1,100	1,500
	AD9C	500-749 racks	1,700	2,250
	AD9D	750-999 racks	2,300	3,000
	AD9E	1,000 + racks	3,000	4,000
Admin. Code 19-128.1(d)		Failed to maintain/provide req'd indem/ins., info for:		
	AD89	1-99 racks	375	500
	AD9F	100-249 racks.	550	750
	AD9G	250-499 racks.	1,100	1,500
	AD9H	500-749 racks.	1,700	2,250
	AD9I	750-999 racks.	2,300	3,000
	AD9J	1000+ racks	3,000	4,000
Admin. Code 19-128.1(e)(1)		Failed to/inaccurately certified graffiti-removal info for:		
	AD90	1-99 racks	375	500
	AD9K	100-249 racks	550	750
	AD9L	250-499 racks	1,100	1,500
	AD9M	500-749 racks	1,700	2,250
	AD9N	750-999 racks	2,300	3,000
	AD9O	1000 + racks	3,000	4,000
Admin. Code 19-128.1(e)(1)		Failed to maintain accurate logs/records as per subsec for:		
	AD91	1-99 racks	375	500
	AD9P	100-249 racks	550	750
	AD9Q	250-499 racks	1,100	1,500
	AD9R	500-749 racks	1,700	2,250
	AD9S	750-999 racks	2,300	3,000
	AD9T	1000 + racks	3,000	4,000
Admin. Code 19-128.1(e)(1)		Failed to provide maint., logs/records as per subsec for:		

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	AD92	1-99 racks	375	500
	AD9U	100-249 racks	550	750
	AD9V	250-499 racks	1,100	1,500
	AD9W	500-749 racks	1,700	2,250
	AD9X	750-999 racks	2,300	3,000
	AD9Y	1000 + racks	3,000	4,000
19-128.1(e)(2)	AD93	Failed to remove refuse from newsrack as per subsection	100	500
19-128.1(e)(3)	AD94	Newsrack empty/unsecured door for impermissible time	100	500
19-128.1(e)(4)	AD95	Failed to correct newsrack damaged/in need of repair as per subsection	100	500
19-128.1(e)(5)	AD96	Failed to repair damage to City property/sidewalk caused by newsrack	100	500
34RCNY 2-08 (e)(3)	D2G	Failed to remove refuse from newsrack as per paragraph	100	500
34RCNY 2-08 (e)(5)	D2H	Newsrack empty/unsecured door for impermissible time	100	500
34RCNY 2-08 (e)(4)	D2I	Failed to correct newsrack damaged/in need of repair as per paragraph	100	500
34RCNY 2-08 (b)(3)	D2J	Failed to repair damage to City property/sidewalk caused by newsrack	100	500
34RCNY 2-08 (d)(2)	D2K	Failed to affix correct name/address/tel. no. to newsrack as per paragraph	100	500
34RCNY 2-08 (d)(1)	D2L	Newsrack exceeds size limits	100	500
34RCNY 2-08 (d)(3)	D2M	Newsrack used for impermissible advertising/promotional purposes	100	500
34RCNY 2-08 (c)	D2N	Newsrack placed/installed/maintained in improper location	250	500
34RCNY 2-08 (b)(1)	D2O	Failed to place/install newsrack in a manner so that it cannot be tipped over	250	500
34RCNY 2-08 (b)(4)	D2P	Failed to notify DOT of newsrack info and compliance as per paragraph	3,000	4,000

34 RCNY 2-08(e)(1)		Failed to certify/inaccurately certified graffiti removal as per DOT requirements		
	D2Q	1-99 racks	375	500
	D2R	100-249 racks	550	750
	D2S	250-499 racks	1,100	1,500
	D2T	500-749 racks	1,700	2,250
	D2U	750-999 racks	2,300	3,000

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	D2V	1,000 or more racks	3,000	4,000
34 RCNY 2-08(e)(2)		Failed to maintain accurate logs/records per DOT requirements		
	D2W	1-99 racks	375	500
	D2X	100-249 racks	550	750
	D2Y	250-499 racks	1,100	1,500
	D2Z	500-749 racks	1,700	2,250
	D3L	750-999 racks	2,300	3,000
	D3M	1,000 or more racks	3,000	4,000
34 RCNY 2-08(e)(2)		Failed to provide maintenance logs/records to DOT on request		
	D3N	1-99 racks	375	500
	D3O	100-249 racks	550	750
	D3P	250-499 racks	1,100	1,500
	D3Q	500-749 racks	1,700	2,250
	D3R	750-999 racks	2,300	3,000
	DSS	1,000 or more racks	3,000	4,000
34 RCNY 2-08(b)(4)		Failed to notify DOT of newsrack info in accordance with rule requirements		
	D3T	1-99 racks	375	500
	D3U	100-249 racks	550	750
	D3V	250-499 racks	1,100	1,500
	D3W	500-749 racks	1,700	2,250
	D3X	750-999 racks	2,300	3,000
	DSY	1,000 or more racks	3,000	4,000
34 RCNY 2-08(f)		Failed to maintain/provide proper indemnification/insurance info		
	D4H	1-99 racks	375	500
	D4I	100-249 racks	550	750
	D4J	250-499 racks	1,100	1,500
	D4K	500-749 racks	1,700	2,250
	D4L	750-999 racks	2,300	3,000
	D4M	1,000 or more racks	3,000	4,000