

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

**Procurement Information:**

| Question   | Response | URL (If Applicable)   |
|--|----------|---|
| 1. Does the Authority have procurement guidelines?   | Yes      | <a href="https://www1.nyc.gov/site/transitionalfinance/governance/procurement.page">https://www1.nyc.gov/site/transitionalfinance/governance/procurement.page</a> |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?   | Yes      |   |
| 3. Does the Authority allow for exceptions to the procurement guidelines?  | No       |   |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases?   | No       |   |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement?   | No       |   |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?   | Yes      |   |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?  | Yes      |   |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?                            | No       |   |
| 8a. If Yes, was a record made of this impermissible contact?   |          |   |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes      |   |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

**Procurement Transactions Listing:**

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>1. Vendor Name</b>  | Academy Securities Inc.              | <b>Address Line1</b>           | 277 Park Ave  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10172         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$480,080.19                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$480,080.19                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>2. Vendor Name</b>  | BNY Mellon Capital Markets LLC       | <b>Address Line1</b>           | 1 Wall St     |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 12/20/2012                           | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10005         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$112,286.61                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$112,286.61                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>3. Vendor Name</b>  | Bank of America Merrill Lynch        | <b>Address Line1</b>           | 150 N. Wacker Dr. |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                   |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | CHICAGO           |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | IL                |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 60606             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$962,273.56                         | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$962,273.56                         | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters      |

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>4. Vendor Name</b>  | Bank of America NA                   | <b>Address Line1</b>           | 150 N. Wacker Dr.  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | CHICAGO            |
| <b>Award Date</b>  | 6/4/2010                             | <b>State</b>                   | IL                 |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 60606              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$1,337,312.46                       | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$1,337,312.46                       | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>5. Vendor Name</b>  | Bank of New York Mellon              | <b>Address Line1</b>           | 101 Barclay   |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10286         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$63,519.00                          | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$63,519.00                          | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Trustee       |

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>6. Vendor Name</b>  | Barclay's Capital Inc.               | <b>Address Line1</b>           | One Liberty Plaza |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                   |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK          |
| <b>Award Date</b>  | 9/16/2008                            | <b>State</b>                   | NY                |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10006             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$831,837.08                         | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$831,837.08                         | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters      |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>7. Vendor Name</b>  | Barclays Bank PLC                    | <b>Address Line1</b>           | One Liberty Plaza  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK           |
| <b>Award Date</b>  | 6/4/2010                             | <b>State</b>                   | NY                 |
| <b>End Date</b>  | 1/7/2023                             | <b>Postal Code</b>             | 10006              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$2,730,970.17                       | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$2,730,970.17                       | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>8. Vendor Name</b>  | Blaylock Beal Van LLC                | <b>Address Line1</b>           | 600 Lexington Ave |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                   |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK          |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | NY                |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10022             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$135,759.33                         | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$135,759.33                         | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters      |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>9. Vendor Name</b>  | Bryant Rabbino LLP                   | <b>Address Line1</b>           | 650 5th Ave   |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 9/1/2018                             | <b>State</b>                   | NY            |
| <b>End Date</b>  | 9/1/2023                             | <b>Postal Code</b>             | 10019         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$230,000.00                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$230,000.00                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Bond Counsel  |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>10. Vendor Name</b>   | Cabrera Capital Markets LLC          | <b>Address Line1</b>           | 650 Fifth Ave |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 12/20/2012                           | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10019         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$406,068.15                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$406,068.15                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                      |
|--|--------------------------------------|--------------------------------|----------------------|
| <b>11. Vendor Name</b>   | Citigroup Global Markets             | <b>Address Line1</b>           | 388 Greenwich Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                      |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY                   |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10013                |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$1,196,473.59                       | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$1,196,473.59                       | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters         |

|  |  |                                |               |
|--|--|--------------------------------|---------------|
| <b>12. Vendor Name</b>   | Creative Source Inc.                     | <b>Address Line1</b>           | 27 W 24th St  |
| <b>Type of Procurement</b>                                       | Other Professional Services              | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Non-Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 6/30/2021                                | <b>State</b>                   | NY            |
| <b>End Date</b>  | 6/30/2022                                | <b>Postal Code</b>             | 10010         |
| <b>Fair Market Value</b>   | \$10,450.00                              | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$10,450.00                              | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$10,450.00                              | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |  | <b>Procurement Description</b> | CAFR Designer |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>13. Vendor Name</b>   | Drexel Hamilton LLC                  | <b>Address Line1</b>           | 77 Water Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           | 201             |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10005           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$191,443.62                         | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$191,443.62                         | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>14. Vendor Name</b>   | Fidelity Capital Markets             | <b>Address Line1</b>           | 401 E 60th St |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           | #36B          |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 9/17/2009                            | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10022         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$72,391.42                          | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$72,391.42                          | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |



Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>15. Vendor Name</b>   | Frasca & Associates LLC              | <b>Address Line1</b>           | 521 Madison Ave   |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                   |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK          |
| <b>Award Date</b>  | 10/1/2019                            | <b>State</b>                   | NY                |
| <b>End Date</b>  | 9/30/2022                            | <b>Postal Code</b>             | 10022             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$134,225.00                         | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$134,225.00                         | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Financial Advisor |

|  |                                      |                                |                |
|--|--------------------------------------|--------------------------------|----------------|
| <b>16. Vendor Name</b>   | Goldman Sachs & Co.                  | <b>Address Line1</b>           | 666 5th Avenue |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK       |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY             |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10103          |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                |
| <b>Amount</b>  | \$278,167.13                         | <b>Province/Region</b>         |                |
| <b>Amount Expended For Fiscal Year</b>                           | \$278,167.13                         | <b>Country</b>                 | United States  |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters   |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                |
|--|--------------------------------------|--------------------------------|----------------|
| <b>17. Vendor Name</b>   | Great Pacific Securities             | <b>Address Line1</b>           | 666 5th Avenue |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK       |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY             |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10103          |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                |
| <b>Amount</b>  | \$114,588.79                         | <b>Province/Region</b>         |                |
| <b>Amount Expended For Fiscal Year</b>                           | \$114,588.79                         | <b>Country</b>                 | United States  |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters   |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>18. Vendor Name</b>   | J.P. Morgan SecuritiesLLC            | <b>Address Line1</b>           | 277 Park Ave  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10172         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$3,764,846.18                       | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$3,764,846.18                       | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>19. Vendor Name</b>   | JPMorgan Chase Bank N.A.             | <b>Address Line1</b>           | 1111 Fannin        |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | HOUSTON            |
| <b>Award Date</b>  | 4/1/2014                             | <b>State</b>                   | TX                 |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 77002              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$4,836,661.43                       | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$4,836,661.43                       | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |

|  |                                      |                                |                      |
|--|--------------------------------------|--------------------------------|----------------------|
| <b>20. Vendor Name</b>   | Janney Montgomery Scott LLC          | <b>Address Line1</b>           | 575 Lexington Avenue |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                      |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | NY                   |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10022                |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$126,873.85                         | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$126,873.85                         | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters         |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>21. Vendor Name</b>   | Jefferies LLC                        | <b>Address Line1</b>           | 520 Madison Ave |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 4/1/2009                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10022           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$2,973,086.80                       | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$2,973,086.80                       | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>22. Vendor Name</b>   | Katten Muchin Rosenman LLP           | <b>Address Line1</b>           | 575 Madison Ave |
| <b>Type of Procurement</b>                                       | Legal Services                       | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 9/1/2018                             | <b>State</b>                   | NY              |
| <b>End Date</b>  | 8/30/2023                            | <b>Postal Code</b>             | 10020           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$5,272.50                           | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$5,272.50                           | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Bond Counsel    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                |
|--|--------------------------------------|--------------------------------|----------------|
| <b>23. Vendor Name</b>   | Loop Capital Markets LLC             | <b>Address Line1</b>           | 88 Pine Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK       |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY             |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10005          |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                |
| <b>Amount</b>  | \$4,451,884.68                       | <b>Province/Region</b>         |                |
| <b>Amount Expended For Fiscal Year</b>                           | \$4,451,884.68                       | <b>Country</b>                 | United States  |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters   |

|  |                                       |                                |               |
|--|---------------------------------------|--------------------------------|---------------|
| <b>24. Vendor Name</b>   | Mayer Hoffman (formerly Marks Paneth) | <b>Address Line1</b>           | 685 3rd Ave   |
| <b>Type of Procurement</b>                                       | Other Professional Services           | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid  | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 7/1/2016                              | <b>State</b>                   | NY            |
| <b>End Date</b>  | 6/30/2023                             | <b>Postal Code</b>             | 10017         |
| <b>Fair Market Value</b>   |                                       | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$27,000.00                           | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$27,000.00                           | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                       | <b>Procurement Description</b> | Auditors      |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022  
 Status: CERTIFIED  
 Certified Date : 09/23/2022

|  |                                      |                                |                             |
|--|--------------------------------------|--------------------------------|-----------------------------|
| <b>25. Vendor Name</b>   | Mizuho Bank Ltd.                     | <b>Address Line1</b>           | 1251 Avenue of the Americas |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                             |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK                    |
| <b>Award Date</b>  | 4/1/2014                             | <b>State</b>                   | NY                          |
| <b>End Date</b>  | 10/9/2022                            | <b>Postal Code</b>             | 10020                       |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                             |
| <b>Amount</b>  | \$2,714,335.77                       | <b>Province/Region</b>         |                             |
| <b>Amount Expended For Fiscal Year</b>                           | \$2,714,335.77                       | <b>Country</b>                 | United States               |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider          |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>26. Vendor Name</b>   | Mizuho Securities USA LLC            | <b>Address Line1</b>           | 1271 6th Ave  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 5/5/2016                             | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10020         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$42,024.15                          | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$42,024.15                          | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>27. Vendor Name</b>   | Morgan Stanley & Co. Inc.            | <b>Address Line1</b>           | One NY Plaza  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10004         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$597,465.05                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$597,465.05                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

|  |   |                                |                      |
|--|---|--------------------------------|----------------------|
| <b>28. Vendor Name</b>   | New York City Municipal Water Finance Authority | <b>Address Line1</b>           | 255 Greenwich Street |
| <b>Type of Procurement</b>                                       | Other Professional Services                     | <b>Address Line2</b>           |                      |
| <b>Award Process</b>   | Authority Contract - Non-Competitive Bid        | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 10/1/2007                                       | <b>State</b>                   | NY                   |
| <b>End Date</b>  |   | <b>Postal Code</b>             | 10007                |
| <b>Fair Market Value</b>   |   | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$753,761.00                                    | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$753,761.00                                    | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |   | <b>Procurement Description</b> | Public Authority     |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |   |                                |                      |
|--|---|--------------------------------|----------------------|
| <b>29. Vendor Name</b>   | New York City Office of Management and Budget | <b>Address Line1</b>           | 255 Greenwich Street |
| <b>Type of Procurement</b>                                       | Other Professional Services                   | <b>Address Line2</b>           |                      |
| <b>Award Process</b>   | Authority Contract - Non-Competitive Bid      | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 10/1/2007                                     | <b>State</b>                   | NY                   |
| <b>End Date</b>  |   | <b>Postal Code</b>             | 10007                |
| <b>Fair Market Value</b>   |   | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$1,895,713.00                                | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$1,895,713.00                                | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |   | <b>Procurement Description</b> | Public Authority     |

|  |                                      |                                |                          |
|--|--------------------------------------|--------------------------------|--------------------------|
| <b>30. Vendor Name</b>   | Norton Rose Fulbright US LLP         | <b>Address Line1</b>           | 1301 Ave of the Americas |
| <b>Type of Procurement</b>                                       | Legal Services                       | <b>Address Line2</b>           |                          |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK                 |
| <b>Award Date</b>  | 9/1/2018                             | <b>State</b>                   | NY                       |
| <b>End Date</b>  | 9/1/2023                             | <b>Postal Code</b>             | 10019                    |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                          |
| <b>Amount</b>  | \$515,000.00                         | <b>Province/Region</b>         |                          |
| <b>Amount Expended For Fiscal Year</b>                           | \$515,000.00                         | <b>Country</b>                 | United States            |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Bond Counsel             |



Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                      |
|--|--------------------------------------|--------------------------------|----------------------|
| <b>31. Vendor Name</b>   | Omnicap Group LLC                    | <b>Address Line1</b>           | 1325 6th Ave         |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           | Suite 2302           |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 7/1/2016                             | <b>State</b>                   | NY                   |
| <b>End Date</b>  | 4/12/2024                            | <b>Postal Code</b>             | 10019                |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$107,150.00                         | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$107,150.00                         | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Arbitrage Consulting |

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>32. Vendor Name</b>   | Oppenheimer & Co. Inc.               | <b>Address Line1</b>           | 85 Broad Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 9/17/2009                            | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10004           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$145,945.70                         | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$145,945.70                         | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>33. Vendor Name</b>   | PNC Capital Markets LLC              | <b>Address Line1</b>           | 340 Madison Ave |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10017           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$79,999.99                          | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$79,999.99                          | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>34. Vendor Name</b>   | Public Resources Advisory Group      | <b>Address Line1</b>           | 39 Broadway       |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           | Suite 12010       |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK          |
| <b>Award Date</b>  | 10/1/2019                            | <b>State</b>                   | NY                |
| <b>End Date</b>  | 9/30/2022                            | <b>Postal Code</b>             | 10006             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$551,722.50                         | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$551,722.50                         | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Financial Advisor |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                   |
|--|--------------------------------------|--------------------------------|-------------------|
| <b>35. Vendor Name</b>   | RBC Capital Markets                  | <b>Address Line1</b>           | One Liberty Plaza |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                   |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK          |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY                |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10006             |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                   |
| <b>Amount</b>  | \$2,627,274.82                       | <b>Province/Region</b>         |                   |
| <b>Amount Expended For Fiscal Year</b>                           | \$2,627,274.82                       | <b>Country</b>                 | United States     |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters      |

|  |                                      |                                |                           |
|--|--------------------------------------|--------------------------------|---------------------------|
| <b>36. Vendor Name</b>   | Ramirez & Co. Inc.                   | <b>Address Line1</b>           | 61 Broadway New York NY ? |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                           |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK                  |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY                        |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10006                     |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                           |
| <b>Amount</b>  | \$632,626.41                         | <b>Province/Region</b>         |                           |
| <b>Amount Expended For Fiscal Year</b>                           | \$632,626.41                         | <b>Country</b>                 | United States             |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters              |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                      |
|--|--------------------------------------|--------------------------------|----------------------|
| <b>37. Vendor Name</b>   | Raymond James & Associates Inc.      | <b>Address Line1</b>           | 1 Pennsylvania Plaza |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                      |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK             |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY                   |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10019                |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                      |
| <b>Amount</b>  | \$175,222.29                         | <b>Province/Region</b>         |                      |
| <b>Amount Expended For Fiscal Year</b>                           | \$175,222.29                         | <b>Country</b>                 | United States        |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters         |

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>38. Vendor Name</b>   | Rice Financial Products              | <b>Address Line1</b>           | 17 State Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 9/17/2009                            | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10004           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$86,410.07                          | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$86,410.07                          | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                  |
|--|--------------------------------------|--------------------------------|------------------|
| <b>39. Vendor Name</b>   | Roosevelt and Cross Incorporated     | <b>Address Line1</b>           | 1 Exchange Plaza |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                  |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK         |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY               |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10006            |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                  |
| <b>Amount</b>  | \$353,327.22                         | <b>Province/Region</b>         |                  |
| <b>Amount Expended For Fiscal Year</b>                           | \$353,327.22                         | <b>Country</b>                 | United States    |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters     |

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>40. Vendor Name</b>   | Siebert Brandford Shank & Co. LLC    | <b>Address Line1</b>           | 100 Wall Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10005           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$2,857,046.11                       | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$2,857,046.11                       | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |  |                                |                    |
|--|--|--------------------------------|--------------------|
| <b>41. Vendor Name</b>   | State Street Bank & Trust Company N.A. | <b>Address Line1</b>           | 33 Maiden Lane     |
| <b>Type of Procurement</b>                                       | Other Professional Services            | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid   | <b>City</b>                    | NEW YORK           |
| <b>Award Date</b>  | 8/1/2012                               | <b>State</b>                   | NY                 |
| <b>End Date</b>  |  | <b>Postal Code</b>             | 10038              |
| <b>Fair Market Value</b>   |  | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$682,004.50                           | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$682,004.50                           | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |  | <b>Procurement Description</b> | Liquidity Provider |

|  |  |                                |                |
|--|--|--------------------------------|----------------|
| <b>42. Vendor Name</b>   | State Street Bank & Trust Company N.A. | <b>Address Line1</b>           | 33 Maiden Lane |
| <b>Type of Procurement</b>                                       | Other Professional Services            | <b>Address Line2</b>           |                |
| <b>Award Process</b>   | Authority Contract - Competitive Bid   | <b>City</b>                    | NEW YORK       |
| <b>Award Date</b>  | 1/1/2007                               | <b>State</b>                   | NY             |
| <b>End Date</b>  |  | <b>Postal Code</b>             | 10038          |
| <b>Fair Market Value</b>   |  | <b>Plus 4</b>                  |                |
| <b>Amount</b>  | \$15,239.00                            | <b>Province/Region</b>         |                |
| <b>Amount Expended For Fiscal Year</b>                           | \$15,239.00                            | <b>Country</b>                 | United States  |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |  | <b>Procurement Description</b> | Investments    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>43. Vendor Name</b>   | Stern Brothers                       | <b>Address Line1</b>           | 100 Wall Street |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10005           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$39,061.14                          | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$39,061.14                          | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

|  |                                      |                                |                 |
|--|--------------------------------------|--------------------------------|-----------------|
| <b>44. Vendor Name</b>   | Stifel Nicolaus & Co. Inc.           | <b>Address Line1</b>           | 237 Park Avenue |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                 |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK        |
| <b>Award Date</b>  | 2/2/2008                             | <b>State</b>                   | NY              |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10017           |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                 |
| <b>Amount</b>  | \$211,079.27                         | <b>Province/Region</b>         |                 |
| <b>Amount Expended For Fiscal Year</b>                           | \$211,079.27                         | <b>Country</b>                 | United States   |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters    |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>45. Vendor Name</b>   | Sumitomo Mitsui Banking Corp.        | <b>Address Line1</b>           | 277 Park Ave       |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK           |
| <b>Award Date</b>  | 3/3/2010                             | <b>State</b>                   | NY                 |
| <b>End Date</b>  | 10/15/2024                           | <b>Postal Code</b>             | 10017              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$1,708,215.99                       | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$1,708,215.99                       | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>46. Vendor Name</b>   | TD Bank NA                           | <b>Address Line1</b>           | 469 7th Avenue     |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK           |
| <b>Award Date</b>  | 11/1/2011                            | <b>State</b>                   | NY                 |
| <b>End Date</b>  | 5/26/2023                            | <b>Postal Code</b>             | 10018              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$1,130,036.42                       | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$1,130,036.42                       | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |



Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>47. Vendor Name</b>   | TD Securities (USA) LLC              | <b>Address Line1</b>           | 31 W 52nd St  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 12/20/2012                           | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10019         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$220,052.82                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$220,052.82                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

|  |                                      |                                |                |
|--|--------------------------------------|--------------------------------|----------------|
| <b>48. Vendor Name</b>   | UBS Financial Services Inc.          | <b>Address Line1</b>           | 1285 Sixth Ave |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK       |
| <b>Award Date</b>  | 4/1/2014                             | <b>State</b>                   | NY             |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10019          |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                |
| <b>Amount</b>  | \$269,609.23                         | <b>Province/Region</b>         |                |
| <b>Amount Expended For Fiscal Year</b>                           | \$269,609.23                         | <b>Country</b>                 | United States  |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters   |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |                    |
|--|--------------------------------------|--------------------------------|--------------------|
| <b>49. Vendor Name</b>   | US BANK                              | <b>Address Line1</b>           | 461 5th Ave        |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |                    |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK           |
| <b>Award Date</b>  | 8/1/2012                             | <b>State</b>                   | NY                 |
| <b>End Date</b>  | 5/26/2023                            | <b>Postal Code</b>             | 10017              |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |                    |
| <b>Amount</b>  | \$452,102.95                         | <b>Province/Region</b>         |                    |
| <b>Amount Expended For Fiscal Year</b>                           | \$452,102.95                         | <b>Country</b>                 | United States      |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Liquidity Provider |

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>50. Vendor Name</b>   | US BANK                              | <b>Address Line1</b>           | 461 5th Ave   |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 12/20/2012                           | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10017         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$155,300.87                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$155,300.87                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2022

Run Date: 09/23/2022

Status: CERTIFIED

Certified Date : 09/23/2022

|  |                                      |                                |               |
|--|--------------------------------------|--------------------------------|---------------|
| <b>51. Vendor Name</b>   | Wells Fargo Bank NA                  | <b>Address Line1</b>           | 463 Broadway  |
| <b>Type of Procurement</b>                                       | Other Professional Services          | <b>Address Line2</b>           |               |
| <b>Award Process</b>   | Authority Contract - Competitive Bid | <b>City</b>                    | NEW YORK      |
| <b>Award Date</b>  | 9/17/2009                            | <b>State</b>                   | NY            |
| <b>End Date</b>  |                                      | <b>Postal Code</b>             | 10013         |
| <b>Fair Market Value</b>   |                                      | <b>Plus 4</b>                  |               |
| <b>Amount</b>  | \$794,408.18                         | <b>Province/Region</b>         |               |
| <b>Amount Expended For Fiscal Year</b>                           | \$794,408.18                         | <b>Country</b>                 | United States |
| <b>Explain why the Fair Market Value is Less than the Amount</b> |                                      | <b>Procurement Description</b> | Underwriters  |

**Additional Comments**