

Procurement Report for New York City Transitional Finance Authority

Fiscal Year Ending: 06/30/2021

Run Date: 10/01/2021

Status: CERTIFIED

Certified Date : 10/01/2021

Procurement Information:

Question	Response	URL (If Applicable)
1. Does the Authority have procurement guidelines?	Yes	https://www1.nyc.gov/site/transitionalfinance/governance/procurement.page
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a. If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

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Procurement Transactions Listing:

1. Vendor Name	Academy Securities Inc.	Address Line1	277 Park Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	5/5/2016	State	NY
End Date		Postal Code	10172
Fair Market Value		Plus 4	
Amount	\$313,831.35	Province/Region	
Amount Expended For Fiscal Year	\$313,831.35	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

2. Vendor Name	BNY Mellon Capital Markets LLC	Address Line1	1 Wall St
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	12/20/2012	State	NY
End Date		Postal Code	10005
Fair Market Value		Plus 4	
Amount	\$731,759.16	Province/Region	
Amount Expended For Fiscal Year	\$731,759.16	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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3. Vendor Name	Bank of America Merrill Lynch	Address Line1	150 N. Wacker Dr.
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	CHICAGO
Award Date	5/5/2016	State	IL
End Date		Postal Code	60606
Fair Market Value		Plus 4	
Amount	\$4,849,900.59	Province/Region	
Amount Expended For Fiscal Year	\$4,849,900.59	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

4. Vendor Name	Bank of America NA	Address Line1	150 N. Wacker Dr.
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	CHICAGO
Award Date	6/4/2010	State	IL
End Date	10/1/2021	Postal Code	60606
Fair Market Value		Plus 4	
Amount	\$1,521,489.76	Province/Region	
Amount Expended For Fiscal Year	\$1,521,489.76	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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5. Vendor Name	Bank of New York Mellon	Address Line1	PO Box 660576
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	DALLAS
Award Date	6/4/2010	State	TX
End Date	4/12/2024	Postal Code	75266
Fair Market Value		Plus 4	
Amount	\$78,183.07	Province/Region	
Amount Expended For Fiscal Year	\$78,183.07	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

6. Vendor Name	Bank of New York Mellon	Address Line1	101 Barclay
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10286
Fair Market Value		Plus 4	
Amount	\$58,113.00	Province/Region	
Amount Expended For Fiscal Year	\$58,113.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Trustee

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7. Vendor Name	Barclay's Capital Inc.	Address Line1	One Liberty Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/16/2008	State	NY
End Date		Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$914,994.55	Province/Region	
Amount Expended For Fiscal Year	\$914,994.55	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

8. Vendor Name	Barclays Bank PLC	Address Line1	One Liberty Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	6/4/2010	State	NY
End Date	1/27/2020	Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$3,312,751.67	Province/Region	
Amount Expended For Fiscal Year	\$3,312,751.67	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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9. Vendor Name	Blaylock Beal Van LLC	Address Line1	600 Lexington Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	5/5/2016	State	NY
End Date		Postal Code	10022
Fair Market Value		Plus 4	
Amount	\$233,384.84	Province/Region	
Amount Expended For Fiscal Year	\$233,384.84	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

10. Vendor Name	Bryant Rabbino LLP	Address Line1	650 5th Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/1/2018	State	NY
End Date	6/30/2021	Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$327,500.00	Province/Region	
Amount Expended For Fiscal Year	\$327,500.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Bond Counsel

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11. Vendor Name	Cabrera Capital Markets LLC	Address Line1	650 Fifth Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	12/20/2012	State	NY
End Date		Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$365,504.87	Province/Region	
Amount Expended For Fiscal Year	\$365,504.87	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

12. Vendor Name	Citigroup Global Markets	Address Line1	388 Greenwich Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10013
Fair Market Value		Plus 4	
Amount	\$1,658,401.42	Province/Region	
Amount Expended For Fiscal Year	\$1,658,401.42	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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13. Vendor Name	Creative Source	Address Line1	27 W 24th St
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	10/1/2018	State	NY
End Date	6/30/2021	Postal Code	10010
Fair Market Value		Plus 4	
Amount	\$10,050.00	Province/Region	
Amount Expended For Fiscal Year	\$10,050.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Graphic Designer

14. Vendor Name	Drexel Hamilton LLC	Address Line1	77 Water Street
Type of Procurement	Other Professional Services	Address Line2	201
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	5/5/2016	State	NY
End Date		Postal Code	10005
Fair Market Value		Plus 4	
Amount	\$268,746.10	Province/Region	
Amount Expended For Fiscal Year	\$268,746.10	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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15. Vendor Name	Fidelity Capital Markets	Address Line1	401 E 60th St
Type of Procurement	Other Professional Services	Address Line2	#36B
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/17/2009	State	NY
End Date		Postal Code	10022
Fair Market Value		Plus 4	
Amount	\$93,956.68	Province/Region	
Amount Expended For Fiscal Year	\$93,956.68	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

16. Vendor Name	Goldman Sachs & Co.	Address Line1	666 5th Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10103
Fair Market Value		Plus 4	
Amount	\$328,665.30	Province/Region	
Amount Expended For Fiscal Year	\$328,665.30	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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17. Vendor Name	Great Pacific Securities	Address Line1	666 5th Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10103
Fair Market Value		Plus 4	
Amount	\$93,591.74	Province/Region	
Amount Expended For Fiscal Year	\$93,591.74	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

18. Vendor Name	J.P. Morgan SecuritiesLLC	Address Line1	277 Park Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10172
Fair Market Value		Plus 4	
Amount	\$1,324,022.32	Province/Region	
Amount Expended For Fiscal Year	\$1,324,022.32	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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19. Vendor Name	JPMorgan Chase Bank N.A.	Address Line1	1111 Fannin
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	HOUSTON
Award Date	4/1/2014	State	TX
End Date	12/14/2020	Postal Code	77002
Fair Market Value		Plus 4	
Amount	\$5,260,935.99	Province/Region	
Amount Expended For Fiscal Year	\$5,260,935.99	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

20. Vendor Name	Janney Montgomery Scott LLC	Address Line1	575 Lexington Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	5/5/2016	State	NY
End Date		Postal Code	10022
Fair Market Value		Plus 4	
Amount	\$86,624.72	Province/Region	
Amount Expended For Fiscal Year	\$86,624.72	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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21. Vendor Name	Jefferies LLC	Address Line1	520 Madison Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2009	State	NY
End Date		Postal Code	10022
Fair Market Value		Plus 4	
Amount	\$3,312,535.14	Province/Region	
Amount Expended For Fiscal Year	\$3,312,535.14	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

22. Vendor Name	Landesbank Hessen-Thuringen	Address Line1	420 5th Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2014	State	NY
End Date	4/15/2021	Postal Code	10018
Fair Market Value		Plus 4	
Amount	\$228,669.02	Province/Region	
Amount Expended For Fiscal Year	\$228,669.02	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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23. Vendor Name	Loop Capital Markets LLC	Address Line1	88 Pine Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10005
Fair Market Value		Plus 4	
Amount	\$71,686.52	Province/Region	
Amount Expended For Fiscal Year	\$71,686.52	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

24. Vendor Name	MUFG Bank Ltd	Address Line1	1251 6th Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2014	State	NY
End Date	7/6/2020	Postal Code	10022
Fair Market Value		Plus 4	
Amount	\$535,414.50	Province/Region	
Amount Expended For Fiscal Year	\$535,414.50	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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25. Vendor Name	Marks Paneth LLP	Address Line1	685 3rd Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	7/1/2016	State	NY
End Date	6/30/2019	Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$28,000.00	Province/Region	
Amount Expended For Fiscal Year	\$28,000.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Auditors

26. Vendor Name	Mizuho Bank Ltd.	Address Line1	1251 Avenue of the Americas
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2014	State	NY
End Date	10/9/2020	Postal Code	10020
Fair Market Value		Plus 4	
Amount	\$3,203,521.42	Province/Region	
Amount Expended For Fiscal Year	\$3,203,521.42	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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27. Vendor Name	Morgan Stanley & Co. Inc.	Address Line1	One NY Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10004
Fair Market Value		Plus 4	
Amount	\$795,474.27	Province/Region	
Amount Expended For Fiscal Year	\$795,474.27	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

28. Vendor Name	Norton Rose Fulbright US LLP	Address Line1	1301 Ave of the Americas
Type of Procurement	Legal Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/1/2018	State	NY
End Date	6/30/2021	Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$1,825,000.00	Province/Region	
Amount Expended For Fiscal Year	\$1,825,000.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Bond Counsel

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29. Vendor Name	Omnicap Group LLC	Address Line1	1325 6th Ave
Type of Procurement	Other Professional Services	Address Line2	Suite 2302
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	7/1/2016	State	NY
End Date	4/12/2024	Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$82,200.00	Province/Region	
Amount Expended For Fiscal Year	\$82,200.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Arbitrage Consulting

30. Vendor Name	Oppenheimer & Co. Inc.	Address Line1	85 Broad Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/17/2009	State	NY
End Date		Postal Code	10004
Fair Market Value		Plus 4	
Amount	\$67,615.64	Province/Region	
Amount Expended For Fiscal Year	\$67,615.64	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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31. Vendor Name	PNC BANK NA	Address Line1	340 Madison Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2014	State	NY
End Date	8/19/2020	Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$272,236.00	Province/Region	
Amount Expended For Fiscal Year	\$272,236.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

32. Vendor Name	PNC Capital Markets LLC	Address Line1	340 Madison Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$144,553.41	Province/Region	
Amount Expended For Fiscal Year	\$144,553.41	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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33. Vendor Name	Public Resources Advisory Group	Address Line1	39 Broadway
Type of Procurement	Other Professional Services	Address Line2	Suite 12010
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	10/1/2019	State	NY
End Date	9/30/2022	Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$940,957.50	Province/Region	
Amount Expended For Fiscal Year	\$940,957.50	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Financial Advisor

34. Vendor Name	RBC Capital Markets	Address Line1	One Liberty Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$282,715.65	Province/Region	
Amount Expended For Fiscal Year	\$282,715.65	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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35. Vendor Name	Ramirez & Co. Inc.	Address Line1	61 Broadway New York NY ?
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$5,735,997.02	Province/Region	
Amount Expended For Fiscal Year	\$5,735,997.02	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

36. Vendor Name	Raymond James & Associates Inc.	Address Line1	1 Pennsylvania Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$133,214.04	Province/Region	
Amount Expended For Fiscal Year	\$133,214.04	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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37. Vendor Name	Rice Financial Products	Address Line1	17 State Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/17/2009	State	NY
End Date		Postal Code	10004
Fair Market Value		Plus 4	
Amount	\$62,165.89	Province/Region	
Amount Expended For Fiscal Year	\$62,165.89	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

38. Vendor Name	Roosevelt and Cross Incorporated	Address Line1	1 Exchange Plaza
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10006
Fair Market Value		Plus 4	
Amount	\$379,061.84	Province/Region	
Amount Expended For Fiscal Year	\$379,061.84	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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39. Vendor Name	Siebert Brandford Shank & Co. LLC	Address Line1	100 Wall Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10005
Fair Market Value		Plus 4	
Amount	\$240,273.71	Province/Region	
Amount Expended For Fiscal Year	\$240,273.71	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

40. Vendor Name	State Street Bank & Trust Company N.A.	Address Line1	33 Maiden Lane
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	8/1/2012	State	NY
End Date	5/26/2023	Postal Code	10038
Fair Market Value		Plus 4	
Amount	\$1,544,335.89	Province/Region	
Amount Expended For Fiscal Year	\$1,544,335.89	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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41. Vendor Name	State Street Bank & Trust Company N.A.	Address Line1	33 Maiden Lane
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	1/1/2007	State	NY
End Date	9/27/2022	Postal Code	10038
Fair Market Value		Plus 4	
Amount	\$4,385.00	Province/Region	
Amount Expended For Fiscal Year	\$4,385.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Investments

42. Vendor Name	Stern Brothers	Address Line1	100 Wall Street
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10005
Fair Market Value		Plus 4	
Amount	\$27,703.22	Province/Region	
Amount Expended For Fiscal Year	\$27,703.22	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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43. Vendor Name	Stifel Nicolaus & Co. Inc.	Address Line1	237 Park Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	2/2/2008	State	NY
End Date		Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$193,857.77	Province/Region	
Amount Expended For Fiscal Year	\$193,857.77	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

44. Vendor Name	Sumitomo Mitsui Banking Corp.	Address Line1	277 Park Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	3/3/2010	State	NY
End Date	10/15/2024	Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$2,319,778.59	Province/Region	
Amount Expended For Fiscal Year	\$2,319,778.59	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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45. Vendor Name	TD Bank NA	Address Line1	469 7th Avenue
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	11/1/2011	State	NY
End Date	5/26/2023	Postal Code	10018
Fair Market Value		Plus 4	
Amount	\$1,130,036.42	Province/Region	
Amount Expended For Fiscal Year	\$1,130,036.42	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

46. Vendor Name	TD Securities (USA) LLC	Address Line1	31 W 52nd St
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	12/20/2012	State	NY
End Date		Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$347,760.79	Province/Region	
Amount Expended For Fiscal Year	\$347,760.79	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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47. Vendor Name	UBS Financial Services Inc.	Address Line1	1285 Sixth Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/1/2014	State	NY
End Date		Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$709,859.01	Province/Region	
Amount Expended For Fiscal Year	\$709,859.01	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

48. Vendor Name	US BANK	Address Line1	461 5th Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	8/1/2012	State	NY
End Date	5/26/2023	Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$457,246.40	Province/Region	
Amount Expended For Fiscal Year	\$457,246.40	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Liquidity Provider

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49. Vendor Name	US BANK	Address Line1	461 5th Ave
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	12/20/2012	State	NY
End Date		Postal Code	10017
Fair Market Value		Plus 4	
Amount	\$454,831.95	Province/Region	
Amount Expended For Fiscal Year	\$454,831.95	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

50. Vendor Name	Wells Fargo Bank NA	Address Line1	463 Broadway
Type of Procurement	Other Professional Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	9/17/2009	State	NY
End Date		Postal Code	10013
Fair Market Value		Plus 4	
Amount	\$4,329,951.60	Province/Region	
Amount Expended For Fiscal Year	\$4,329,951.60	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Underwriters

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Additional Comments