

DEPARTMENT OF PROBATION



WHAT WE DO

The Department of Probation (DOP) helps build stronger and safer communities by supervising individuals on probation and fostering positive changes in their decision-making and behavior through research-based practices. By offering support in areas such as education, employment, health and behavioral health services, family engagement, and civic participation, DOP promotes meaningful community reintegration. These efforts help individuals successfully transition out of the criminal and juvenile justice systems and reduce the likelihood of recidivism.

In Criminal and Supreme Courts, DOP prepares court investigations and reports, supervises individuals based on their assessed risk and needs, and connects them to services that reduce recidivism and support reintegration. In Family Court, DOP addresses custody, juvenile delinquency, and other related matters while partnering with families and community groups to support youth development. Each year, it manages about 33,000 cases through pretrial intake, diversion, interstate services, investigations, and supervision.

Additionally, DOP operates the Neighborhood Opportunity Network (NeON) in seven City neighborhoods with high concentrations of individuals on probation. NeON provides a range of services to people on probation as well as neighborhood residents, supporting individuals with the tools, credentials, and hands-on experience needed to secure sustainable employment, achieve economic mobility, and further reduce the likelihood of recidivism.

FOCUS ON EQUITY

As the largest community corrections alternative to incarceration in New York City and one of the largest probation agencies in the nation, DOP is committed to advancing equity by addressing long-standing disparities and reducing the disproportionate impact of the justice system on marginalized communities. DOP expands access to services, removes systemic barriers, and supports pathways to opportunities for individuals—particularly Black and Latinx probationers, people from economically marginalized communities, those with serious mental health needs, and other overrepresented groups—so they may not only to avoid incarceration, but achieve stability and long-term success.

DOP brings resources to neighborhoods disproportionately impacted by the justice system, where many individuals on probation live. Through its nationally recognized NeON initiative, the Department delivers services and support directly in seven such communities—Brownsville, Bedford-Stuyvesant, and East New York in Brooklyn; Harlem in Manhattan; Jamaica in Queens; North Staten Island; and the South Bronx. NeON's locations function as both reporting sites and accessible resource hubs within walking distance for many clients. In collaboration with the Mayor's Office for Economic Opportunity, other City agencies, and trusted community partners, DOP focuses on five core pillars: recidivism, employment, education, housing, and mental health. By ensuring that services targeting these core pillars are provided where they are needed most, the Department addresses underlying causes of crime, reduces re-offending, and promotes stability for people on probation.

For youth and young adults, DOP delivers developmentally appropriate, evidence-based programs such as Adolescent Portable Therapy, Parent Support, PEAK Centers after school programming, and Intensive Community Monitoring (ICM) Plus+—a mentorship program for youth on ICM, high-risk supervision, or adjustment (which allows for diversion from prosecution). These initiatives address behavioral health, strengthen family relationships, and build protective factors that reduce the risk of further justice involvement. For adults, the Department focuses on economic empowerment through transitional employment and job readiness initiatives. These include NeON Works, which provides year-long paid skill-building opportunities for young adults; the Neighborhood Employment Services Program, which supports community upkeep by deploying work crews across NYCHA developments; and Employment-Focused Services, which provides transitional work and long-term job connection support for adults with moderate to high employment needs. These programs provide work experience, skill-building, and direct pathways to economic mobility. Vocational programs further support these efforts by offering training and certifications in fields such as commercial driving, emergency medical response, culinary arts, and skilled trades, equipping participants with industry-recognized credentials to access stable employment. Citywide partnerships, like the Young Men's Initiative that works to address disparities faced by young men of color, reinforce DOP's mission to reduce systemic inequities in education, employment, and justice outcomes.

The Department's commitment to equity and inclusion is a fundamental part of its mission. By ensuring fair and inclusive practices within both its internal workforce development and external service delivery, DOP builds trust with the communities it serves. This trust, in turn, strengthens the Department's ability to promote public safety, support successful reentry, and reduce recidivism. A diverse and inclusive DOP workforce is better equipped to engage meaningfully with individuals on probation and to develop effective, culturally responsive strategies that support positive life outcomes. In Fiscal 2025, DOP advanced this commitment by expanding its training academy curriculum to provide graduates with more comprehensive preparation for service, while the Agency's Equal Employment Opportunity office delivered regular training and communications on equity and inclusion practices. The Department also introduced new traditions and recognition initiatives, including formal academy graduations, promotion ceremonies that honor both sworn and civilian staff, and unit citations that celebrate collective achievements, demonstrating its commitment to fostering a workforce culture that values dedication, inclusion and innovation.

OUR SERVICES AND GOALS

SERVICE 1 Contribute to improved outcomes in adult and family court proceedings.

- Goal 1a Produce timely and accurate pre-sentence investigations.
 - Goal 1b Assess and determine youth appropriate for diversion from formal juvenile court proceedings via adjustment services.
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SERVICE 2 Monitor and enforce the conditions of probation.

- Goal 2a Assess client risk and misconduct data to apply optimal supervision, monitoring, and programming throughout a probation term.
 - Goal 2b Maximize client accountability through field visits and enforcement actions.
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SERVICE 3 Provide client support and enrichment services to improve probation outcomes.

- Goal 3a Offer community-based services aligned with objectives identified through comprehensive assessments of probationer needs.

HOW WE PERFORMED

- During the first four months of Fiscal 2026, the Department completed 2,601 adult pre-sentence investigation reports to assist judges in Criminal and Supreme Courts in making informed sentencing decisions—a nine percent increase compared to the same period in Fiscal 2025. Of these, 94.4 percent were completed on time, down four percentage points from the first four months of Fiscal 2025, reflecting higher volume and complexity among adult cases. Similarly, the number of juvenile investigations and reports completed for Family Court also rose by five percent, totaling 404 in the first four months of Fiscal 2026. Despite the higher caseload, the juvenile on-time completion rate improved by nine percentage points to 96.0 percent, reaching the highest rate since Fiscal 2021, aligned with the Department's continued efforts to streamline workflows and strengthen staff support.
- All youth arrested between the ages of 12 and 17 who fall under Family Court jurisdiction are processed by DOP post-arrest. During the first four months of Fiscal 2026, the Department processed 2,685 juvenile intakes, a five percent decrease compared to the same period of Fiscal 2025 and the first decline following year-over-year increases since Fiscal 2021. This trend aligns with year-to-year changes in arrests by the New York Police Department (NYPD), which influences post-arrest referrals to DOP.
- During the juvenile intake process, probation officers assess statutory eligibility and suitability for adjustment, which allows for diversion from prosecution. In the first four months of Fiscal 2026, the overall proportion of juvenile delinquency cases eligible for adjustment dropped to 13 percent, down six percentage points compared to the same period in Fiscal 2025. Most of this decline is due to a drop in the proportion of low-risk cases eligible for adjustment, which fell 11 percentage points from 34 percent to 23 percent, marking a third consecutive fiscal year of decreases and reaching a historic low. Medium- and high-risk cases eligibility rates remained largely unchanged and low. While adjustment eligibility rates have been decreasing year-over-year since Fiscal 2022, it is difficult to compare across years as the types of cases referred to the Department changes significantly. The reasons for the decline are complex as they are influenced not only by individual risk assessment but also by statutory and procedural constraints—such as whether a youth is charged with a violent felony or has prior probation history. This, in addition to the 30 percent decrease in the number of juvenile cases open for adjustment (from 555 to 391), suggests that a larger share of new referrals may involve higher-risk youth or more serious charges, limiting opportunities for diversion.
- In the first four months of Fiscal 2026 the Department completed 3,140 initial risk and needs assessments for adults entering probation supervision, 32 percent more than in the first four months of Fiscal 2025. Despite the increased caseload, the average number of days to complete these assessments decreased 33 percent, improving to 6 days. The Department also completed 706 initial assessments for juveniles in the first four months of Fiscal 2026, 23 percent fewer than during the same period in Fiscal 2025, and the time to complete these assessments improved to 21 days, dropping 16 percent. These screenings use proven assessment tools to identify individuals on probation who are at higher risk of reoffending and to determine the types of programs or interventions that can address their specific needs. The improvement in completion times reflects operational efficiencies and continued staff training, which supported timely completion of assessments during the reporting period.
- The average monthly rearrest rate for adults on probation improved from 3.9 percent in the first four months of Fiscal 2025 to 3.5 percent in the same period of Fiscal 2026. When viewed as a percentage of all NYPD arrests, this adult rate improved slightly from 2.6 percent to 2.4 percent, successfully meeting the 2.6 percent target. Juvenile rearrest rates remain elevated, increasing the juvenile rate increased from 4.1 percent to 4.4 percent. As a percentage of all NYPD arrests, the juvenile rate increased from 0.2 percent to 0.3 percent. While rearrest rates are influenced by a range of external factors, the Department actively addresses recidivism through risk-based supervision, early identification of higher-risk individuals, and targeted interventions designed to support positive outcomes on probation.
- For the first time with a year-over-year comparison, the Department reported the cumulative rearrest rate, measuring the total number of rearrests among all individuals under supervision during the reporting period—unlike the average monthly rearrest rate, which counts the number of individuals rearrested in each month (counting each person only once, even if rearrested multiple times). This measure captures rearrests across the full supervision period and provides a more comprehensive view of recidivism. The cumulative rearrest rate for adults declined from 18.2 percent to 16.4 percent, while the rate for juveniles increased from 16.5 percent to 21.2 percent. These changes indicate progress among adults but emerging challenges among juveniles, who are being rearrested more often and may benefit from more focused supervision and support. These insights enable the Department to better target interventions, provide added structure to clients who need it, and direct resources where they will have the greatest impact.

- The average monthly violation rate decreased in both the adult and juvenile divisions in the first four months of Fiscal 2026. For adults on probation, the violation rate declined from 1.6 percent in the first four months of Fiscal 2025 to 1.0 percent in the same period of Fiscal 2026, while the juvenile violation rate decreased slightly from 1.6 percent to 1.5 percent. These improvements reflect ongoing supervision efforts aimed at supporting compliance with probation conditions.
- The Department’s Intelligence Unit (Intel) completed 1,531 enforcement actions in the first four months of Fiscal 2026, a 26 percent decrease compared to the same period of Fiscal 2025. The overall decline was largely driven by a 100 percent reduction in ignition interlock device (IID) checks, which are initiated by Court referrals on eligible cases involving DWI (driving while intoxicated) offenses. The decline in IID checks may be due to fewer cases involving DWI offenses or because Courts may order alternative substance use treatment instead of IID, as it can be a financial burden for some individuals. Additionally, there was a 19 percent decrease in warrant enforcement and a 27 percent decrease in other types of enforcement events. Despite this, some enforcement activities increased, including criminal possession of weapon visits, which rose by two percent, and domestic incident responses, which increased by 11 percent. Intel continues to utilize targeted deployment and enforcement practices aimed at individuals most at risk for recidivism or other misconduct.
- In the first four months of Fiscal 2026, there were 28 new enrollments of juveniles in alternative-to-placement (ATP) programs, an increase of 33 percent compared to the same period in Fiscal 2025. There were also 746 new enrollments in DOP managed programs, down 20 percent. There are a variety of factors that may impact this decline, as Probation officers may recommend ATP, but placement ultimately depends on both the Court’s order and the program’s assessment of whether it can appropriately meet the individual’s needs. Youth not ordered to ATP may instead be referred to other supervision programs tailored to their needs.
- The rate of adult individuals completing their probation terms decreased by three percentage points from the first four months of Fiscal 2025 to 75 percent in the first four months of Fiscal 2026, while the juvenile completion rate rose by two percentage points to 92 percent. This shift reflects a continued trend of higher-risk individuals and those with more serious charges receiving probation sentences. Improving probation completion rates remains a priority for the Department.

SERVICE 1 Contribute to improved outcomes in adult and family court proceedings.

Goal 1a Produce timely and accurate pre-sentence investigations.

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Adult investigation reports completed	8,270	7,744	7,478	*	*	2,390	2,601
★ Adult investigation reports — On time completion (%)	99.0%	99.2%	97.0%	↑	↑	98.2%	94.4%
Juvenile investigation reports completed	808	1,135	1,155	*	*	385	404
★ Juvenile investigation reports — On time completion (%)	91.0%	80.0%	94.0%	↑	↑	87.0%	96.0%

★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None

Goal 1b Assess and determine youth appropriate for diversion from formal juvenile court proceedings via adjustment services.

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Juvenile supervision — Intake cases received	6,486	7,564	8,106	*	*	2,827	2,685
★ Juvenile delinquency cases eligible for adjustment (%)	32%	24%	16%	↑	↑	19%	13%
– low-risk (%)	51%	39%	29%	*	*	34%	23%
– medium-risk (%)	5%	2%	2%	*	*	3%	2%
– high-risk (%)	1%	0%	0%	*	*	0%	0%
Juvenile delinquency cases open for adjustment	NA	NA	1,408	*	*	555	391

★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None

SERVICE 2 Monitor and enforce the conditions of probation.

Goal 2a

Assess client risk and misconduct data to apply optimal supervision, monitoring, and programming throughout a probation term.

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Adult supervision cases	9,656	9,918	10,812	*	*	10,169	10,924
Juvenile supervision cases	653	931	967	*	*	1,014	1,109
Adult initial risk assessments completed	8,209	8,223	7,810	*	*	2,374	3,140
Juvenile initial risk assessments completed	2,610	2,530	2,324	*	*	915	706
Average time to complete adult initial risk assessments (days)	11	8	11	*	*	9	6
Average time to complete juvenile initial risk assessments (days)	29	33	27	*	*	25	21
Adult probationer rearrest rate (monthly average) (%)	2.7%	3.5%	3.7%	3.0%	3.0%	3.9%	3.5%
★ Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average) (%)	2.2%	2.5%	2.5%	2.6%	2.6%	2.6%	2.4%
★ Average monthly violation rate for adult probationers (%)	1.0%	2.0%	1.5%	*	*	1.6%	1.0%
Juvenile probationer rearrest rate (monthly average) (%)	3.8%	3.1%	4.4%	3.5%	3.5%	4.1%	4.4%
★ Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average) (%)	0.2%	0.2%	0.3%	*	*	0.2%	0.3%
★ Average monthly violation rate for juvenile probationers (%)	2.1%	1.7%	1.4%	3.0%	3.0%	1.6%	1.5%
★ Rearrests per adult who passes through supervision (%)	NA	NA	42.3%	↓	↓	18.2%	16.4%
★ Rearrests per juvenile who passes through supervision (%)	NA	NA	35.6%	↓	↓	16.5%	21.2%
Probation violation proceedings ending in revocation for adult probationers (%)	35%	38%	42%	*	*	39%	54%
Probation violation proceedings ending in revocation for juvenile probationers (%)	26%	34%	29%	*	*	24%	29%
Revocation of juveniles not resulting in placement (%)	65.0%	20.0%	26.0%	*	*	25.0%	23.0%
Revocation of juveniles resulting in placement (%)	35.0%	80.0%	74.0%	*	*	75.0%	77.0%
★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None							

Goal 2b

Maximize client accountability through field visits and enforcement actions.

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Cyber/social media investigations	42	171	133	*	*	48	29
★ Intel enforcement events	3,678	7,407	5,085	*	*	2,079	1,531
– Warrant enforcement	NA	1,634	1,197	*	*	500	404
– Criminal possession of a weapon visits	NA	1,156	1,787	*	*	562	573
– Ignition interlock device visits	NA	2,743	343	*	*	342	1
– Domestic incidents enforcement	NA	275	368	*	*	157	174
– Other enforcement events	NA	1,599	1,390	*	*	518	379
★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None							

SERVICE 3 Provide client support and enrichment services to improve probation outcomes.

Goal 3a Offer community-based services aligned with objectives identified through comprehensive assessments of probationer needs.

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
New enrollments in Alternative-to-Placement programs	87	83	57	*	*	21	28
● New enrollments in DOP-managed programs	1,616	1,560	1,547	*	*	937	746
Adult probationer early completion rate (%)	19%	15%	8%	*	*	12%	6%
Adult probationer early completion approval rate (%)	96%	95%	87%	*	*	95%	90%
★ Completion rate for adult probationers (%)	81%	77%	76%	↑	↑	78%	75%
★ Completion rate for juvenile probationers (%)	88%	87%	92%	↑	↑	90%	92%
★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None							

AGENCY-WIDE MANAGEMENT

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Human services contracts	NA	NA	32	*	*	NA	NA
Human services contract registration within 30 days of the contract start date (%)	NA	NA	88%	*	*	NA	NA
Total dollars disbursed for human services contracts (\$000,000)	NA	NA	\$15.89	*	*	NA	NA
★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None							

AGENCY CUSTOMER SERVICE

Performance Indicators	Actual			Target		4-Month Actual	
	FY23	FY24	FY25	FY26	FY27	FY25	FY26
Customer Experience							
E-mails responded to within 14 days (%)	100%	100%	100%	*	*	100%	100%
Letters responded to within 14 days (%)	100%	100%	100%	*	*	100%	100%
Completed requests for interpretation	10,495	10,497	12,413	*	*	NA	NA
★ Critical Indicator ● Equity Indicator "NA" Not Available ↑↓ Directional Target * None							

AGENCY RESOURCES

Resource Indicators	Actual			Sept. 2025 MMR Plan	Updated Plan	Plan	4-Month Actual	
	FY23	FY24	FY25	FY26	FY26 ¹	FY27 ¹	FY25	FY26
Expenditures (\$000,000) ²	\$115.3	\$106.5	\$112.9	\$115.7	\$116.0	\$116.2	\$50.7	\$48.0
Revenues (\$000)	\$138.0	\$120.5	\$89.2	\$302.0	\$302.0	\$302.0	\$20.4	\$29.1
Personnel	1014	893	869	1,076	1,095	1,076	906	848
Overtime paid (\$000)	\$3,075	\$2,947	\$4,487	\$2,776	\$2,776	\$2,776	\$1,307	\$1,032
¹ February 2026 Financial Plan. ² Expenditures include all funds "NA" - Not Available								

SPENDING AND BUDGET INFORMATION

Where possible, the relationship between an agency's goals and its expenditures and planned resources, by budgetary unit of appropriation (UA), is shown in the 'Applicable MMR Goals' column. Each relationship is not necessarily exhaustive or exclusive. Any one goal may be connected to multiple UAs, and any UA may be connected to multiple goals.

Unit of Appropriation	Expenditures FY25 ¹ (\$000,000)	February 2026 Financial Plan FY26 ² (\$000,000)	Applicable MMR Goals ³
Personal Services - Total	\$77.4	\$81.8	
001 - Executive Management	\$13.6	\$11.5	All
002 - Probation Services	\$63.9	\$70.3	All
Other Than Personal Services - Total	\$35.5	\$34.2	
003 - Probation Services	\$35.5	\$34.1	All
004 - Executive Management	\$0.1	\$0.1	All
Agency Total	\$112.9	\$116.0	

¹Comprehensive Annual Financial Report (CAFR) for the Fiscal Year ended June 30, 2025. Includes all funds. ²Includes all funds. ³Refer to agency goals listed at front of chapter.

NOTEWORTHY CHANGES, ADDITIONS OR DELETIONS

- The 'Agency-wide Management' section was added to the chapter to catalog indicators which apply to broad aspects of agency management rather than a single goal. The indicators 'Total dollars disbursed for human service contracts,' 'Human service contract registration within 30 days of the contract start date (%)', and 'Human service contracts' were added to this section. Due to the data processing timeline, the indicator data will be published annually in the Preliminary Mayor's Management Report (PMMR) following the close of the fiscal year reported.

ADDITIONAL RESOURCES

For additional information go to:

- The Mayor's Office of Contract Services' Citywide Indicators Report: <https://www.nyc.gov/site/mocs/resources/citywide-indicator-reports.page>

For more information on the agency, please visit: www.nyc.gov/dop.

