

# Consolidated Plan

2015–2019  
Executive Summary



**Bill de Blasio**  
Mayor, City of New York

**Carl Weisbrod**  
Director, Department of City Planning

**NYC**PLANNING  
DEPARTMENT OF CITY PLANNING CITY OF NEW YORK



*Effective as of December 16, 2016*

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Department of City Planning  
120 Broadway, New York, NY 10271

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DCP# 15-01

# The City of New York

## 2015 CONSOLIDATED PLAN

December 16, 2016

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# Executive Summary (ES-)

## ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

As a condition of receiving U.S. Department of Housing and Urban Development (HUD) Office of Community Planning and Development (CPD) formula entitlement program funds (Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for Persons With AIDS (HOPWA), and Emergency Solutions Grant (ESG) funds, respectively), the City of New York is required to submit every five (5) years a Consolidated Plan outlining the jurisdiction's housing and community development needs and priorities. In addition, localities are required to submit an annual programmatic Action Plan identifying how the jurisdiction plans to allocate its entitlement funds to address those priority needs.

The following Proposed Consolidated Plan (Con-Plan) is New York City's submission to HUD of its Five-Year Strategic Plan for Consolidated Plan Years 2015-2019.

In May 2012, HUD's CPD office introduced the eCon-Planning Suite, a new electronic template for producing the Consolidated Plan, One-Year Action Plan, and the Consolidated Annual Performance and Evaluation Report (CAPER) directly within HUD's Integrated Disbursement and Information System (IDIS). HUD mandated that localities use the eCon-Planning Suite to formulate their (next five-year) Consolidated Plan. Therefore, the Proposed 2015 Consolidated Plan represents the City of New York's first Con-Plan formulated using HUD's template.

The Proposed Consolidated Plan consists of three major sections: a housing and community development needs assessment (Needs Assessment), a housing market analysis (Market Analysis) and a strategic plan (Strategic Plan) which identifies those priority housing and community development needs and strategies that the jurisdiction plans to address with the available HUD resources over the next five years (2015-2019).

In addition, the Proposed Plan contains New York City's 2015 One-Year Action Plan, its annual application for the respective formula entitlement grant funds (CDBG, HOME, ESG and HOPWA). The Proposed Action Plan serves not only as the City's application for the funds, but also as the HOPWA grant application for the New York HOPWA Eligible Metropolitan Statistical Area (HOPWA EMSA). The EMSA is comprised of the five boroughs of the City of New York plus three upstate New York jurisdictions, the counties of Westchester, Rockland and Orange, as well as three counties in central New Jersey, Middlesex, Monmouth and Ocean. The County of Westchester administers the HOPWA funds for the cities of Mount Vernon, New Rochelle, and Yonkers which are incorporated within its boundaries.

## 2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

The City of New York based its housing needs assessment on the City's 2011 Housing Vacancy Survey (HVS). According to the HVS, New York City housing market is very strong and extremely high cost, both for owner and rental housing. Housing affordability has come to a critical level as incomes have stagnated for wide swaths of the populace, while rents and housing construction costs have escalated. The very low rental vacancy rate of just 3.12% obscures the even more critical shortage of units affordable to low and moderate income tenants. In addition, the City's housing stock is some of the oldest in the country.

Both the current and previous mayoral administrations recognize that safe and affordable housing is a priority in maintaining the City's vitality. In May 2014, Mayor de Blasio released *Housing New York: A Five-Borough, Ten-Year Plan*, a comprehensive plan to build and preserve 200,000 affordable units over the coming decade. The housing related activities within the Consolidated Plan are part of the Mayor's broader housing strategy.

Although safe affordable housing is a crucial component to improving the lives of New Yorkers, the City allocates a large share of HUD entitlement funds to community redevelopment programs as part of a holistic approach to enhancing the living environment found within the City.

Regarding the federally-funded outcomes identified in the Proposed Plan, the City cannot predict future Congressional appropriations for HUD formula entitlement programs, and as an extension, the level of accomplishment that would be achieved through the expenditure of potential federal monies. Therefore, to obtain its five-year Performance Indicator projections, the City has multiplied by five its proposed annual accomplishment data for the formula entitlement-funded program activities expected to be funded in the 2015 Consolidated Plan formula grant awards (flat-level funding method).

For Consolidated Plan Program Years 2015-2019, the City of New York has identified:

- Six formula entitlement-funded strategic objectives that aim to increase or improve *Accessibility to Decent Affordable Housing* which will result in: 932,770 persons assisted with new/improved access to services (cumulative); 46 rental or owner-occupied units made accessible to persons with disabilities; 1,055 rental units constructed; 1,400 households assisted with tenant-based rental assistance /rapid re-housing; and, 6,370 homeless persons assisted with overnight shelter.
- Six formula entitlement-funded strategic objectives that aim to provide or increase *Decent Affordable Housing* which will result in over approximately: 3,077 housing units rehabilitated and returned to private ownership; 36,725 persons living with AIDS provided with tenant-based rental assistance, supportive services, and/or supportive housing; 6,945 households assisted with tenant-based rental assistance; and, 450 first-time homebuyers assisted with downpayment assistance.
- Two formula entitlement-funded strategic objectives that aim to *Sustain Decent Housing* which will result in: 83,845 rental units rehabilitated; 5,645,110 households assisted housing units brought up to code via housing code enforcement/foreclosed property care (cumulative); and, 12,500 persons assisted with homelessness prevention.

- Nine formula entitlement-funded strategic objectives that aim to increase the *Availability/Accessibility to a Suitable Living Environment* which will result in: 88,200,132 persons assisted with new/improved access to services (cumulative); 120,120 homeless persons given overnight shelter (cumulative); and, 15,007 persons provided new/improved access to a facility.
- Two formula entitlement-funded strategic objective to increase *Affordability of a Suitable Living Environment* which will result in: 320,816 persons assisted with a new/improved access to services; and, the improvement of public facility infrastructure for the provision of breakfast to schoolchildren in 255,600 low-/moderate-income households.
- Seven formula entitlement-funded strategic objectives that aim to increase or improve the *Sustainability of a Suitable Living Environment* through the: home repairs for 11,175 elderly homeowners; the façade renovation for 15 owner-occupied historic homes; renovation to 5 commercial façades on historic buildings; 779,595 persons provided new/improved access to a facility; 150 demolitions to remove slum or blighted conditions as part of geographically targeted revitalization effort; 73 cultural organizations assisted; 15,466 vacant lots cleaned; and, 1,440,000 persons provided with public service activities within the Bronx River and the adjacent areas.
- Three formula entitlement-funded strategic objectives that aim to increase the *Availability/Accessibility to Economic Opportunity* which will result in: 8,605 persons assisted with new/improved access to literacy, educational or vocational services; and, 29,442 new and/or existing businesses assisted.
- Three formula entitlement-funded strategic objectives for which a Performance Indicator is Not Applicable (N/A). Please note that the proposed outcomes which are indicated as *cumulative* represent the aggregate from multiple programs that may provide different types of benefits that are accessed by the same set of persons and/or households. Therefore, the reader is advised to interpret the data with caution.

### **3. Evaluation of past performance**

Regarding New York City's past performance in its use of formula entitlement funds, please refer to the City's 2014 Consolidated Plan Annual Performance Report (APR), the most recent APR available.

The 2014 Performance Report can be accessed on the New York City Department of City Planning's website at: <http://www.nyc.gov/html/dcp/html/pub/conpln2014apr.shtml>.

### **4. Summary of citizen participation process and consultation process**

#### In the Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the Proposed 2015 Consolidated Plan, on April 11, 2014.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan in several ways. Over 1,900 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices of the

previously mentioned activity were published in three local newspapers, one English-language, a Spanish-language, and a Chinese-language daily, each with citywide circulation. Furthermore, a notice was placed as a public service message on the New York City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated.

#### In the Public Comment Review Period and Public Hearing

In order to notify the public of the release of the Proposed Consolidated Plan for public review and of the federally-required public hearing on the contents of the document, the City utilized the same notification methods as it did to announce the public hearing for the formulation of the Proposed Plan. Furthermore, the respective notices included relevant Plan-related information so that informed comments are facilitated. Lastly, copies of the Proposed 2015 Consolidated Plan are mailed to both the Chairperson and District Manager of each of the City's 59 Community Boards.

To provide public access to the document, copies of the Proposed 2015 Consolidated Plan could be obtained at the City Planning Bookstore, 22 Reade Street, New York, New York 10007, Phone: 212-720-3667, (Monday: 12 pm to 4:00 pm, Wednesday: 10:00 am to 1:00 pm) or any of the New York City Department of City Planning borough offices.

In addition, copies of the Proposed Consolidated Plan were made available for reference in the City's Municipal Reference & Research Center (the City Hall Library), and the main public library in each of the five boroughs.

Furthermore, the Department of City Planning posted the Proposed 2015 Consolidated Plan on the Department's website in Adobe Acrobat format for review by the public. The Internet-based version may be accessed at: <http://www.nyc.gov/planning>.

The public comment period began March 4, 2015 and extended 30 days ending April 2, 2015. The public hearing on Proposed 2015 Consolidated Plan was conducted as scheduled on April 2, 2015 at 2:30 p.m., in Spector Hall, at the Department of City Planning, 22 Reade Street, Manhattan. The City agency representatives in attendance made themselves available for a question and answer session afterwards.

The public was instructed to submit their written comments on the 2015 Proposed Consolidated Plan by close of business, April 2, 2015 to: Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 22 Reade Street 4N, New York, New York 10007, FAX: (212) 720-3495, email: [Proposed2015ConPlan@planning.nyc.gov](mailto:Proposed2015ConPlan@planning.nyc.gov).

## **5. Summary of public comments**

### Comments from the Public Hearing on the Formulation of the Proposed Consolidated Plan

The hearing began with opening remarks and the floor was then opened to testimony to those in attendance. However, no member of the public gave testimony. The hearing was concluded after the Consolidated Plan Committee member agencies' representatives waited a sufficient period of time to permit persons who may have been en route to the hearing the opportunity arrive and provide their testimony.

Comments from the Public Hearing on the Proposed Consolidated Plan

The hearing began with opening remarks and the floor was then opened to testimony to those in attendance. However, no member of the public gave testimony. The hearing was concluded after the Consolidated Plan Committee member agencies' representatives waited a sufficient period of time to permit persons who may have been en route to the hearing the opportunity arrive and provide their testimony.

**6. Summary of comments or views not accepted and the reasons for not accepting them**

As stated above, no comments were received either orally or in writing from the formulation public hearing; public hearing on Proposed Plan, or the public comment period, respectively.



# The (Formulation) Process (PR-)

## PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

### 1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

**PR-Table1 – Responsible Agencies**

Agency Role	Name	Department/Agency
	NEW YORK CITY	
CDBG Administrator		Office of Management and Budget
HOPWA Administrator		Dept. of Health and Mental Hygiene
HOME Administrator		Dept. of Housing Preservation and Development
ESG Administrator		Dept. of Homeless Services

### Narrative

The New York City Department of City Planning is the lead agency in the City's Consolidated Plan application process and is responsible for the formulation, preparation and development of each year's proposed Plan in conjunction with the Consolidated Plan Committee member agencies and the federal government.

The four entitlement programs (Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Housing Opportunities for Persons with AIDS (HOPWA) and Emergency Solutions Grant (ESG)), are administered by the: Office of Management and Budget (OMB), the Department of Housing Preservation and Development (HPD), the Department of Health and Mental Hygiene (DOHMH) – Division of Disease Control, and the Department of Homeless Services (DHS), respectively.

The New York City Consolidated Plan also serves as the HOPWA grant application for six (6) surrounding counties within the New York Eligible Metropolitan Statistical Area (EMSA): The New York State counties of Orange; Rockland and Westchester and the New Jersey Counties of Middlesex, Monmouth, and Ocean, respectively.

In addition, the New York City Housing Authority (NYCHA), using primarily Public Housing Capital funds, administers public housing new construction, rehabilitation and modernization activities, and home ownership opportunity programs, along with a Section 8 rental certificate and voucher program. Furthermore, the City of New York's Continuum of Care for the Homeless and Other Special Needs Populations is administered by various City Agencies, each according to their respective area of expertise. The supportive housing programs and services are funded primarily with City (capital and/or expense) and/or State funds.

The Department of Homeless Services (DHS) coordinates prevention and short-term emergency shelter for homeless families and individuals. Programs for runaway and homeless youth and children aging out of foster care are administered by the Department of Youth and Community Development (DYCD), and Administration for Children's Services (ACS), respectively.

The Human Resources Administration (HRA) provides a range of public benefits and social services which assist in homeless prevention and/or diversion. Through HRA's HIV/AIDS Administration (HASA), HRA provides emergency and supported housing assistance and services for persons with HIV-related illness or AIDS. The City's Department of Health and Mental Hygiene, along with the State's Offices of Mental Health (OMH), the Office for People With Developmental Disabilities (OPWDD), and Office of Alcoholism and Substance Abuse Services (OASAS); plans, contracts for and monitors services for these disability areas and provides planning support to OASAS in the field of substance abuse services. The Bureau of Mental Health within the DOHMH, through contracted agencies, provides an array of mental health recovery oriented services and supportive housing programs to prevent homelessness and works collaboratively with Federal, City, and State agencies to assure continuity of services. Several other City agencies such as the Department of the Aging (DFTA) (the elderly and frail elderly), the Mayor's Office for People with Disabilities (MOPD) (persons with a disability), and the Mayor's Office to Combat Domestic Violence (MOCDV) (victims of domestic violence) address the concerns of targeted groups of citizens by providing housing information and supportive housing services assistance.

### **Consolidated Plan Public Contact Information**

Any questions or comments concerning New York City's Consolidated Plan and the formula entitlement grant funded activities may be directed to:

Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 120 Broadway, 31st Floor, New York, New York 10271, Phone (212) 720-3337.

CDBG: John Leonard, Director of Community Development, Office of Management and Budget (212) 788-6177

HOME: Jordan Press, Director, Federal Policy and External Affairs, Department of Housing Preservation and Development (212) 863-8968

ESG: Kevin Rente, Director of Federal Policy, Department of Homeless Services, (212) 361-5595

HOPWA: John Rojas, Assistant Commissioner, Department of Health and Mental Hygiene/Division of Disease Control (347) 396-7428

## **PR-10 Consultation - 91.100, 91.200(b), 91.215(i)**

### **1. Introduction**

New York City's Consolidated Plan citizen participation and formulation process is part of the City's larger Charter mandated budget process.

This process, specified in the City Charter, provides for citizen participation on all programs, projects and services funded by the City's Expense, Capital and Community Development Block Grant (CDBG) budgets.

As stated previously, the Consolidated Plan is the City's application for the four HUD Office of Community Planning and Development Entitlement Programs: CDBG, HOME, ESG, and HOPWA. The allocation of these funds will be for housing, homeless, supportive housing and community development programs and are determined during the City's Budget Process. The Consolidated Plan focuses on the money expected to be received from HUD and the matching funds that the City uses primarily from City Tax Levy; however, funds from the State, the private sector, and nonprofit organizations are also described.

The City's budget is required to be adopted on or before the start of its fiscal year (July 1st to June 30th). Citizens are encouraged to get involved in this decision-making process. The City's Budget Process, which is voted on by the City Council, is subject to extensive public review and participation. The City has an established citizen participation process that is divided into three phases: 1. needs assessment and budget preparation (May to November); 2. Preliminary Budget (November to April); and 3. Executive and Adopted Budget (April to July). The Budget Process solicits citizen comments at several stages before the final budget is adopted. For opportunities for individuals, community boards, and other organizations to participate in the planning and budgeting process, please refer to the schedule on OMB's website at <http://www.nyc.gov/html/omb/html/about/cycle.shtml>.

Citizen participation in developing the budget is mandated by the City Charter. Through months of consultations with the 59 community boards, expense and capital budgets for operating agencies are established. Additionally, public hearings may be held by individual agencies to assist in the development and enhancement of their respective programs and operations. This provides agencies with a significant understanding of community priorities for capital project and service delivery improvements.

This schedule emphasizes the participation of the community boards at the local level because, by City Charter mandate, the boards are charged with monitoring City service delivery, proposing budget priorities, and reviewing development and land use proposals at the community level. The 59 local community boards are the primary mechanism for citizen participation in the budget process in New York City. Others seeking input into the City's budgetary decisions find it appropriate and useful to obtain a community board's endorsement of their proposals. Each board is composed of up to 50 people who live or work in the community district.

Citizens have an opportunity to participate in the above process in several ways including participating locally with the community board and organizations represented on community board committees and attending and testifying at local hearings held by community boards and those held by the City Council. Hearings held in the fall facilitate the community boards' development of their Statement of Community

District Needs and Priorities and their Capital and Expense/CD Budget project/program requests. In February, citizens have an opportunity to testify at a community board public hearing on the Preliminary Budget in relation to community needs. Public hearings are held by the City Council in May on the Executive Budget and the Proposed Community Development Budget before final adoption of the budget.

**Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I)).**

The Department of Health and Mental Hygiene actively encourages citizen participation through city wide mechanisms for citizen participation to determine needs, set priorities, goals and provide valuable feedback to improve performance of mental health services in New York City. A wide range of participants including service recipients, stakeholders, community based organizations, legal & disability advocacy organizations, lend a diverse input into the development, formulation and implementation of mental health services in the following ways:

- Behavioral Health Public Forums;
- Consumer Advisory Board(s);
- Office of Consumer Affairs;
- Public hearings which solicit input prior to registration of DOHMH contracts;
- Participation in forums and roundtables including advocacy groups consisting of recipients of mental health services, their families, advocates support organizations and providers;
- Direct input through CTS (Correspondence Tracking System) responding to 311 inquiries;
- City Council Budget Hearings.

As a participant in the NYCoCC efforts of Federal, State, City agencies, individual tenant members, and advocacy organizations are engaged in a coordinated response to address homelessness.

For more information regarding engaging in the process of providing public input contact:

<http://www.nyc.gov/health>.

Enhanced rental assistance, the expansion of existing programs, and the addition of new permanent and transitional units for adults and families are the foundation of the City's continuum of housing and supportive services for persons living with HIV/AIDS. The numerous supportive services that the City provides help to maintain individuals and families in housing and enhance their quality of life in both new and existing settings. The City seeks to relocate persons with AIDS who are inappropriately housed, such as doubled-up families and individuals, those with inadequate bath or kitchen facilities, or those in situations of inaccessibility. Whenever possible, the City places individuals and families in appropriate-sized apartments or efficiencies with private baths. Several facilities with multiple units have congregate meals prepared, or have specialized staff to provide nutritional counseling for residents who prepare their meals individually.

Given the current state of knowledge about HIV/AIDS, the linkage to primary health care for each individual throughout his or her life is the organizing principle for the housing continuum of care. This includes access to antiretroviral medications and other related HIV/AIDS medications, substance abuse treatment services, mental health services, case management, and home care. Funding for the City's program is provided primarily by City Tax Levy and matching State and Federal Medicaid funds.

Additional Federal dollars accessed through HOPWA, the Centers for Disease Control and Prevention, and Part A of the Ryan White HIV/AIDS Treatment Modernization Act (HATMA) expand the considerable efforts the City has committed to meet the needs of this population. Access to a continuum of services is facilitated, in cooperation with HASA, by a network of community-based organizations.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness**

DHS, the ESG recipient, coordinates extensively with NYC's Coalition on the Continuum of Care. The following goals, listed in NYC's five year Consolidated Plan are consistent with the CCoC's strategic plan: Investing in proven strategies to reduce the number of homeless individuals on the streets; Preventing those families and individuals at-risk of homelessness from entering shelter; Ensuring that shelter is a short-term solution to a housing crisis by rapidly re-housing families and individuals. It aims to end homelessness, with an emphasis on chronic and veteran's homelessness. Efforts by DHS and the CCoC have focused on outreach to street homeless individuals, prevention and diversion services for those at-risk of becoming homeless, temporary housing programs that emphasize mainstream resources, services to rapidly re-house homeless families and individuals, targeted placement into supported housing for those most in need, and aftercare services to ensure individuals do not fall back into homelessness. The alignment of the ConPlan goals and the CCoC's strategic plan has led to coordinated efforts within the jurisdiction to address the needs of homeless persons and persons at risk of homelessness.

The City also coordinates with many systems of care in developing policies and procedures to ensure systems of care minimize/eliminate discharging individuals into homelessness. An attached appendix describes in detail these efforts.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS**

There is one ESG recipient in the NYC CCoC: DHS. DHS is also the CCoC's Collaborative Applicant and Steering Committee member and therefore ensures that the CCoC consults on the ESG funding allocation plan and on ESG program performance evaluation in the following ways: DHS initially presented to the CCoC Steering Committee in 2012 and has since given updated annual presentations. The funding allocation, program activities, performance standards, and HMIS-related issues were all discussed. DHS plans to use a similar process with the CCoC to determine how ESG funds will be used in future years. DHS, who is also the HMIS administrator, will utilize HMIS to evaluate ESG program outcomes and report findings annually to the CCoC. In early 2014, the CAPER was reviewed and discussed with the NYC CCoC Data Management Committee.

DHS is also the HMIS Lead Agency, via an MOU with the Steering Committee, and is responsible for ensuring the HMIS is administered in compliance with the CCoC Program interim rule, the new 2014 HMIS Data Standards and other HUD Notices. The NYC HMIS Policies & Procedures were developed in order to fulfill the requirements of the HMIS Proposed Rule. DHS has an HMIS Coordinator, an HMIS analyst and an HMIS trainer on staff. The HMIS analyst is also the ESG analyst. The Director of Planning,

Development and Grants works with the HMIS vendor, Foothold Technology, to make certain the NYC HMIS can generate the required HUD reports as well as provide data to inform local decision making and performance analysis.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities**

**PR-Table2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	NEW YORK CITY DEPARTMENT OF HOMELESS SERVICES
	<b>Agency/Group/Organization Type</b>	Services-homeless Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Lead-based Paint Strategy Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	ESG recipient and CoC collaborative applicant. Provided insight into the City's homeless strategy and current trends in homelessness within the jurisdiction.
2	<b>Agency/Group/Organization</b>	NYS OTDA
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYS OTDA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
3	<b>Agency/Group/Organization</b>	ENTERPRISE COMMUNITY PARTNERS
	<b>Agency/Group/Organization Type</b>	Nonprofit Org Community Development Financial Institution
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes</b>	Consultation for ESG through participation in NYC CCoC. Enterprise Community Partners is a voting member of the NYC CCoC's Steering Committee.

	<b>of the consultation or areas for improved coordination?</b>	DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
4	<b>Agency/Group/Organization</b>	NEW YORK CITY DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT CDYCD
	<b>Agency/Group/Organization Type</b>	Services-Children Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. DYCD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. DYCD also provides RHY services throughout the City.
5	<b>Agency/Group/Organization</b>	Corporation for Supportive Housing
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. CSH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. CSH is also a member of the CoC's Veterans Task Force.
6	<b>Agency/Group/Organization</b>	US Department of Veterans Affairs
	<b>Agency/Group/Organization Type</b>	Other government - Federal
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes</b>	Consultation for ESG through participation in NYC CCoC. The VA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering

	<b>of the consultation or areas for improved coordination?</b>	Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. The VA is also a member of the CoC's Veterans Task Force.
7	<b>Agency/Group/Organization</b>	NYS Office of Alcoholism and Substance Abuse Services (OASAS)
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. OASAS is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
8	<b>Agency/Group/Organization</b>	NYS Office of Mental Health (OMH)
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYS OMH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
9	<b>Agency/Group/Organization</b>	NYC Human Resources Administration (HRA)
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Services-Employment Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HRA is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on



		homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HRA is also a member of the CoC's Veterans Task Force.
10	<b>Agency/Group/Organization</b>	Homeless Services United (HSU)
	<b>Agency/Group/Organization Type</b>	Services-homeless Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HSU is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
11	<b>Agency/Group/Organization</b>	Supportive Housing Network of New York (SHNNY)
	<b>Agency/Group/Organization Type</b>	Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. SHNNY is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. SHNNY is also a member of the CoC's Veterans Task Force.
12	<b>Agency/Group/Organization</b>	New York City AIDS Housing Network (VOCAL)
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. New York City AIDS Housing Network is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy

		in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with HIV/AIDS.
13	<b>Agency/Group/Organization</b>	Coalition of Behavioral Health Agencies
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Health Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Coalition of Behavioral Health Agencies is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for vulnerable individuals who are homeless.
14	<b>Agency/Group/Organization</b>	Council of Senior Centers and Services of NYC, Inc.
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. Council of Senior Centers and Services of NYC, Inc. is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless seniors.
15	<b>Agency/Group/Organization</b>	HOUSING PRESERVATION & DEVELOPMENT
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Service-Fair Housing Other government - Local

	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. HPD is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. HPD is also a member of the CoC's Veterans Task Force.
16	<b>Agency/Group/Organization</b>	NYC Department of Health and Mental Hygiene
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Services-Health Health Agency Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC DOHMH is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals.
17	<b>Agency/Group/Organization</b>	NYC Coalition of Domestic Violence Providers
	<b>Agency/Group/Organization Type</b>	Services-Victims of Domestic Violence Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. NYC Coalition of Domestic Violence Providers is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals who have experienced domestic violence.

18	<b>Agency/Group/Organization</b>	Association for Runaway, Homeless & Street Involved Youth Organizations
	<b>Agency/Group/Organization Type</b>	Services-Children Services-homeless Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. The New York Association of Homeless and Street Involved Youth Organizations is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless youth needs.
19	<b>Agency/Group/Organization</b>	Association for Community Living New York City (ACL-NYC)
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Persons with Disabilities Planning organization Nonprofit Org
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation for ESG through participation in NYC CCoC. ACL-NYC is a voting member of the NYC CCoC's Steering Committee. DHS presents to Steering Committee annually to discuss funding allocation, program activities, performance standards, and HMIS-related issues. CoC is a leading voice on homeless strategy in NYC and has been implementing initiatives to meet the needs of chronically homeless individuals. This coalition provides advocacy for homeless individuals with disabilities.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

**PR-Table3 – Other local / regional / federal planning efforts**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	NYC Department of Homeless Services	The goals of the Strategic Plan are closely aligned with the goals of the NYC Coalition on the Continuum of Care. DHS is the CoC's Collaborative Applicant and Steering Committee member. DHS is also the ESG recipient for New York City.

**Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(I))**

The Office of Management and Budget provided the adjacent units of local government an opportunity to comment on the City’s Non-Housing Community Development Plan. No comments were received. The City of New York consults with the New York City Housing Authority, a Public Housing Authority (PHA) during the development of the City’s Consolidated Plan. NYCHA’s programs (as articulated in their PHA Plan), in conjunction with the programs formulated by the city departments responsible for housing, homeless prevention, and supportive housing services to special needs populations, form the basis of the City’s coordinated housing and community development strategic plan.

New York City DOHMH works closely with NY State Office of Mental Health in assessing housing priorities, pipeline of development, special population; and in the development and implementation of public policy to strategically coordinate efforts for supportive housing. Collaboration and Coordination between the City and State is a necessary element in the delivery of quality mental health care and in the determining of priorities. Added to this is the Prior Authorization Review process which occurs if a mental health program plans to make any changes in service delivery. Such changes must be approved by local authority (NYC).

In addition, as the designated grantee for the HOPWA formula grant, DOHMH’s Division of Disease Control serves as the coordinator and administrator for the HOPWA program for the entire New York City Eligible Metropolitan Statistical Area (EMSA). The EMSA is comprised of the five boroughs of the City of New York together with Westchester, Orange, and Rockland Counties in the Lower Hudson Valley and Middlesex, Monmouth and Ocean counties in New Jersey. The Division of Disease Control works with these six counties and the eligible localities therein to plan and evaluate their use of HOPWA funds and to ensure the consistency of their efforts with those of the rest of the EMSA. In turn, Westchester County acts as the administrator for HOPWA funds received by the cities of Mount Vernon and Yonkers.

## PR-15 Citizen Participation

### 1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

#### In the Consolidated Plan Formulation Process

The City of New York conducted a public hearing to solicit comments on the formulation of the *Proposed 2015 Consolidated Plan*, on April 11, 2014.

New Yorkers were invited to attend and participate in the formulation and development of the Consolidated Plan in several ways. Over 1,900 notification letters were sent to New York City residents, organizations and public officials inviting participation in the public hearing. In addition, notices regarding the public hearing were published in three local newspapers: one English-language, a Spanish-language, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on the Department of City Planning's website. Lastly, a notice was placed as a public service message on the New York City-operated local cable television access channel. The respective notices included relevant Plan-related information so that informed comments are facilitated.

Testimony could be given orally at the hearing or submitted by mail or email in lieu of attendance.

#### In the Public Comment Review Period and Public Hearing

In order to notify the public of the release of the Proposed Consolidated Plan for public review and of the federally-required public hearing on the contents of the document, the City utilized the same notification methods as it did to announce the public hearing for the formulation of the Proposed Plan. Lastly, copies of the *Proposed 2015 Consolidated Plan* were mailed to both the Chairperson and District Manager of each of the City's 59 Community Boards. (*Please refer to Section PR-10., Consultation, for the role the Community Boards have as the primary mechanism for citizen participation in the City's budget process.*)

To provide public access to the document, copies of the *Proposed 2015 Consolidated Plan* could be obtained at the **City Planning Bookstore**, 22 Reade Street, New York, New York 10007, Phone: 212-720-3667, (**Monday: 12 pm to 4:00 pm, Wednesday: 10:00 am to 1:00 pm**) or any of the New York City Department of City Planning borough offices.

In addition, copies of the Proposed Consolidated Plan were made available for reference in the City's Municipal Reference & Research Center (the City Hall Library), and the main public library in each of the five boroughs.

Furthermore, the Department of City Planning posted the *Proposed 2015 Consolidated Plan* on the Department's website in Adobe Acrobat format for review by the public. The Internet-based version may be accessed at: <http://www.nyc.gov/planning>.

The public comment period began March 4, 2015 and extended for 30 days ending April 2, 2015. The public hearing on the Proposed 2015 Consolidated Plan was conducted as scheduled on April 2, 2015, at 2:30 p.m., in Spector Hall, at the Department of City Planning, 22 Reade Street, Manhattan. *Spector Hall is wheelchair accessible and near public transportation that is accessible.*

The public was instructed to submit their written comments on the *2015 Proposed Consolidated Plan* by close of business, April 2, 2015 to: Charles V. Sorrentino, New York City Consolidated Plan Coordinator, Department of City Planning, 22 Reade Street 4N, New York, New York 10007, FAX: (212) 720-3495, email: Proposed2015ConPlan@planning.nyc.gov. Any Comments received are summarized and agencies' responses incorporated into the version submitted to HUD.

## Citizen Participation Outreach

Pr-Table4 – Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	No one attended or provided oral or written comments. Therefore, no response was required.	No one provided oral or written comments.	N/A	
2	Notice Mailing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Chinese, Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p>	No one attended or provided oral or written comments. Therefore, no response was required.	No one provided oral or written comments.	N/A	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
		Residents of Public and Assisted Housing				
3	Internet Outreach	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	No one attended or provided oral or written comments. Therefore, no response was required.	No one provided oral or written comments.	N/A	
4	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish, Chinese</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	No one attended or provided oral or written comments. Therefore, no response was required.	No one provided oral or written comments.	N/A	

