

Consolidated Plan 2020



Annual Action Plan Amendment CDBG & HOPWA

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Preface

The City of New York is substantially amending its 2020 Consolidated Plan One-Year Action Plan to reallocate funds for Community Development Block Grant (CDBG), and to reallocate HOPWA funds to support a new Resource Identification activity for the Housing Opportunities for Persons with AIDS (HOPWA) programs. In addition, a minor amendment was made to HOME Investment Partnerships (HOME), increasing the maximum amount of the forgivable loan to its HomeFirst Program.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The 2020 Consolidated One-Year Action Plan is the City of New York's annual application to the United States Department of Housing and Urban Development (HUD) for the four Office of Community Planning and Development entitlement programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

In addition, the Proposed Action Plan serves not only as the City's application for the Entitlement funds, but also as the HOPWA grant application for the New York HOPWA Eligible Metropolitan Statistical Area (HOPWA EMSA). The EMSA is comprised of the five boroughs of the City of New York plus three upstate New York jurisdictions (the counties of Westchester, Rockland and Orange), as well as three counties in central New Jersey (Middlesex, Monmouth and Ocean).

For the 2020 Program Year, the City received **\$306,571,578** in entitlement funds for the four HUD formula grant programs: **\$173,664,331** for CDBG; **\$74,618,822** for HOME; **\$43,641,388** for HOPWA; and **\$14,647,037** for ESG.

In response to the SARS COVID-19 (coronavirus) pandemic, Congress passed, and President Trump signed into law the Coronavirus Aid, Relief, and Economic Security Act, or the "CARES Act," in order to prevent, prepare for, and respond to COVID-19. Supplemental grant funds authorized under the CARES Act are to be used to support state and local efforts to respond to the emergency, such as rental assistance, supportive services, economic development, access to health care, and other necessary actions. The spread of the virus in New York City and New York State has since slowed and the economy has gradually started to reopen. New Yorkers continue to benefit from programs funded in part through the CARES Act grants.

These programs received an additional **\$613,081,402** for FY2020 in response to the COVID-19 pandemic. Each allocation having the suffix -CV: **\$102,084,020** for CDBG-CV1; **\$122,279,413** for CDBG-CV3; **\$6,351,063** for HOPWA-CV; **\$50,507,036** for ESG-CV1, and **\$331,859,870** for ESG-CV2. (The HOME Program did not receive an additional allocation.) The City has also proposed to reallocate **\$146,366,000** in unspent CDBG Entitlement funds from previous years to new programs aimed at preparing for and responding to the effects of COVID-19.

The amended changes to the 2020 Annual Action Plan include:

- reallocating CDBG funds from the Minipools program, which did not operate in 2020 due to COVID-19, to three other programs,
- reallocating \$950,000 of unspent 2019 HOPWA funds towards Resource Identification activities for 2020 as defined by regulation *24 CFR Part 574.300 Eligible Activities* to expand and improve coordination, reporting, and tracking of housing assistance and supportive service activities through the development of information technology data systems, and
- amending the HomeFirst Program to qualify eligible homebuyers for a forgivable loan used toward down payment and/or closing costs on a one- to four- family home, condominium, or cooperative purchased in one of the five boroughs of New York City in an amount up to \$100,000.

Summary of Citizen Participation Process and consultation process

The City used diverse notification methods to inform the public of the amended 2020 Annual Action Plan. Over 500 notifications were emailed to New York City residents, organizations, and public officials inviting comments during the public review period. In addition, notices regarding the public hearing were published in three local newspapers: an English-, a Spanish-, and a Chinese-language daily, each with citywide circulation. Furthermore, the notice was posted on the Department of City Planning and NYC Office of Management and Budget websites. Copies of the HUD approved 2020 Annual Action Plan are obtainable by the public for download. A PDF version of the Action Plan will be posted on DCP's website (<http://www.nyc.gov/planning>) for public review.

New York City implemented a 5-day notice and comment period as allowed by HUD guidance. A dedicated email address is provided along with a webpage that allow citizens to provide comments. Publication of amended and proposed documents will be posted on DCP's dedicated webpage that linked documents to the language translation tool.

The public comment period began June 10, 2021 and extends for 5 days ending June 14, 2021. The public was instructed to submit their written comments on the 2020 Proposed Annual Action Plan by close of business, June 14, 2021 to: Lisa Rambaran, New York City Consolidated Plan Program Manager, Department of City Planning, 120 Broadway 31st Floor, New York, New York 10271, email: Con-PlanNYC@planning.nyc.gov.

Summary of public comments

Comments received will be summarized and agencies' responses incorporated into the version submitted to HUD

6. Summary of comments or views not accepted and the reasons for not accepting them

N/A

7. Summary

N/A

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The 2020 Amended Consolidated Plan One-Year Action Plan is the City of New York’s annual application to the United States Department of Housing and Urban Development (HUD) for the four Office of Community Planning and Development entitlement programs: Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), Emergency Solutions Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA).

Through this amendment, the City proposes to redistribute \$950,000 of its 2019 HOPWA funding amongst its existing programs to fund a new Resource Identification activity to facilitate system coordination, housing needs assessments, data collection and reporting. Additionally, the City proposes to reallocate \$566,000 from the Minipools program to three existing programs. Please see AP-35 for more information.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	173,664,331	63,600,000	39,816,669	277,081,000	0	2020 is the final year of the Strategic Plan and no additional resources are anticipated for the remainder of this Con Plan.
HOME	public - federal	Acquisition Homebuyer assistance	74,618,822	972,466	0	75,591,288	0	2020 is the final year of the Strategic Plan and no additional

		Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA						resources are anticipated for the remainder of this Con Plan.
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	\$950,000	0	0	\$950,000	0	2020 is the final year of the Strategic Plan and no additional resources are anticipated for the remainder of this Con Plan.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase housing stability among low-income PLWHA	2020	2020	Non-Housing Community Development		Housing Support Services for PLWHA (HOPWA)	HOPWA: \$950,000	
4	Create New Homeownership Opportunities- Downpayment	2015	2020	Affordable Housing		Housing - Homeownership assistance (Downpayment)	HOME: \$4,000,000	Direct Financial Assistance to Homebuyers: 100 Households Assisted
32	Increase accessible housing for people w/disabilities	2020	2020	Non-Homeless Special Needs		Administration - CDBG Housing - Privately-Owned Non-Housing Community Development/Public Services	CDBG: \$448,000	Public service activities for Low/Moderate Income Housing Benefit: 0 Households Assisted Rental units rehabilitated: 0 Household Housing Unit Homeowner Housing Rehabilitated: 0 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
38	Preservation of historic buildings and areas	2020	2020	Non-Housing Community Development		Administration - CDBG Housing - Residential Historic Preservation Non-Housing Community Development/Planning Non-housing Community Development/Non-ResiHistPrsr	CDBG: \$767,000	Facade treatment/business building rehabilitation: 1 Business Homeowner Housing Rehabilitated: 3 Household Housing Unit
46	Provide recreational activities for low/mod people	2020	2020	Non-Housing Community Development		Administration - CDBG Non-Housing Community Development/Planning Non-Housing Community Development/Public Services	CDBG: \$1,204,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 0 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 16863109 Persons Assisted

Goal Descriptions

1	Goal Name	Increase housing stability among low-income PLWHA
	Goal Description	The City will use HOPWA funds to ensure that low-income persons living with HIV/AIDS (PLWHA) not only gain stable housing but that they maintain housing stability through the provision of support services and supportive housing.
4	Goal Name	Create New Homeownership Opportunities-Down payment
	Goal Description	Use HOME Program funds to assist first-time homebuyers to afford down payment expense.
32	Goal Name	Increase accessible housing for people w/disabilities
	Goal Description	Continue to fund the removal of architectural barriers in rental dwellings and owner-occupied residences, thereby helping people with disabilities to remain in their homes and to maintain their independence.
38	Goal Name	Preservation of historic buildings and areas
	Goal Description	Promote the preservation of historic residential and non-residential buildings.
46	Goal Name	Provide recreational activities for low/mod people
	Goal Description	Provide recreational opportunities to low- and moderate-income people by funding staff to coordinate and manage programs at park facilities and the creation/improvement of parks and playgrounds.

Projects

AP-35 Projects – 91.220(d)

Introduction

The Office of Management and Budget has oversight responsibility for the Community Development Block Grant (CDBG) program.

New York City Department of Health & Mental Hygiene (DOHMH), administers the HOPWA program providing housing needs for people living with HIV/AIDS.

The Department of Housing Preservation and Development (HPD) administers the City's HOME Investment Partnership (HOME) Grant. Proposed projects fund affordable housing new construction, affordable housing rehabilitation, first-time homebuyer's homeownership assistance, and tenant-based rental assistance.

CDBG and HOPWA grantees substantially amended the HUD approved 2020 Annual Action Plan while the HOME grantee made a minor change to one of its programs.

The projects listed is ordered to correspond with their associated One-Year Goal(s) as found in section AP-20, Annual Goals and Objectives.

It should be noted that the order of the One-Year Goals in AP-20 are reordered to match and keep the funding sources together and should not be misinterpreted as the City's order of priority.

Projects

#	Project Name
1	2020-2023 HIV/AIDS Services Administration NYH20F002 (HASA)
4	HomeFirst Down Payment Assistance Program 2020
102	Project Open House
112	Landmarks Preservation Commission Planning
126	Minipools
141	CDBG Administration

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

CDBG

Through this substantial amendment, the City is proposing to reallocate the entirety of the Minipools program's 2020 allocation of \$566,000. This reallocation is necessary because the Minipools program did not operate during the 2020 season due to concerns about spreading COVID-19. The funds are proposed to be reallocated as follows:

- \$250,000 would be allocated to the Project Open House program to perform lead-based paint assessments and remediation at several sites. As these costs would benefit sites that were already projected to be completed with CDBG funds, the accomplishment projections remain the same.
- \$50,000 would be allocated to the Landmarks Preservation Commission (LPC) Planning program to photograph and otherwise record historically significant properties that pose a threat to the surrounding community and are expected to be demolished. As this is a Planning activity, LPC is not required to project accomplishments.
- \$266,000 would be allocated to the CDBG Administration program to cover indirect costs and fringe benefits attributable to CDBG-funded staff.

AP-38 Project Summary

Project Summary Information

1	Project Name	2020-2023 HIV/AIDS Services Administration NYH20F002 (HASA)
	Target Area	
	Goals Supported	Reduce homelessness among low-income PLWHA Promote access to care among low-income PLWHA Increase housing stability among low-income PLWHA
	Needs Addressed	Housing - Affordable Housing for PLWHA (HOPWA) Housing Support Services for PLWHA (HOPWA)
	Funding	\$23,866,238
	Description	
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	2020 HOPWA funds will support 1,735 units of permanent supportive housing and case management to individuals and families living with HIV/AIDS.
	Location Description	New York, NY

	Planned Activities	<p>Human Resources Administration: HIV/AIDS Services Administration (HASA) will serve as the administrative sub-recipient of the HOPWA award for HASA supportive housing vendors in New York City, NY.</p> <p>During Grant Year 2020, case management and supportive services will be delivered in conjunction with permanent supportive housing to an estimated 1,735 households. Since permanent supportive housing remains a significant and necessary component of the continuum of housing opportunities for New Yorkers living with HIV/AIDS, this funding will support a combination of permanent congregate facilities and permanent scattered-site supportive housing programs.</p> <p>Eligible housing subsidy activities include operating costs for housing including facility-based rental assistance, maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies and other incidental costs; and rental costs for permanent scattered-site supportive housing programs.</p> <p>Eligible supportive services including, but not limited to case management, harm reduction, care coordination, mental health, substance abuse, referrals, entitlements assistance and other supportive services as needed to ensure long-term housing stability.</p> <p>In addition to the housing services described above, through this substantial amendment, HASA will invest \$950,000 in Resource Identification activities to make information technology (IT) improvements to reduce burdens in use and reporting and allow for better tracking of HOPWA results and transparency. Specifically, the IT investment will help HASA:</p> <ul style="list-style-type: none"> • Identify and track housing resources and vacancies • Establish/maintain housing information websites • Coordinate housing case management efforts across providers • Build/maintain an HIV Housing Care Continuum effort • Collection/analysis of local HIV housing-related data
4	Project Name	HomeFirst Down Payment Assistance Program 2020
	Target Area	

	Goals Supported	Create New Homeownership Opportunities-Downpymnt
	Needs Addressed	Housing - Homeownership assistance (Downpayment)
	Funding	HOME: \$4,000,000
	Description	The HomeFirst Program offers down payment assistance to first-time homebuyers. Eligible homebuyers can qualify for a forgivable loan to use toward down payment and/or closing costs on a one- to four- family home, condominium, or cooperative purchased in one of the five boroughs of New York City. The amount of the forgivable loan will be up to \$100,000. Eligible borrowers must be first-time homebuyers with a maximum annual household income up to 80% of Area Median Income (AMI); purchase a one- to four-family home, condominium, or cooperative in one of the five boroughs of New York City; qualify for a mortgage loan from a participating lender; have their own savings to contribute toward down payment and closing costs; successfully complete a homebuyer education course with an HPD-approved counseling agency; and occupy the property as their primary residence for at least ten or fifteen years, depending on the size of the forgivable loan.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	This program will assist 100 Very Low Income (0 to 50% MFI), and/or Low Income (51 to 80% MFI) first-time homebuyers.
	Location Description	Citywide
	Planned Activities	
102	Project Name	Project Open House
	Target Area	
	Goals Supported	Increase accessible housing for people w/disabilities
	Needs Addressed	Housing - Privately-Owned Non-Housing Community Development/Public Services

	Funding	CDBG: \$448,000
	Description	Project Open House (POH) uses contractors to remove architectural barriers in rental units and owner-occupied homes.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	For 2020, the program anticipates that it will not complete any homeowner or renter projects due to the COVID-19 pandemic.
	Location Description	CD-funded staff located at 100 Gold Street in Manhattan oversees eligible projects citywide.

	Planned Activities	<p>The Mayor’s Office for People with Disabilities operates Project Open House, which uses CD funds to remove architectural barriers from the homes of New York City residents who have disabilities. The extent of the work depends on the physical condition of the applicant and their particular needs. Projects may include, but are not limited to, grab bar installations, main entry components (ramp, lift, and door), and kitchen and bathroom modifications. When the structural nature or other impediments of the building do not allow for structural modification to the entry or ingress/egress requirements, funding of equipment (e.g., Mobile Stair Lift) can be considered and utilized if appropriate. Project Open House affords program recipients greater independence through increased accessibility of their living environment.</p> <p>The following criteria are used to determine grant recipients:</p> <ul style="list-style-type: none"> • Applicant must have a permanent disability; • Must be a legal resident of New York City; • Income eligibility under U.S. Department of Housing and Urban Development's (HUD) Section 8 income limits; and • Need for increased independence. <p>For more information on the Project Open House program, please visit the following site: http://www1.nyc.gov/site/mopd/initiatives/project-open-house.page.</p> <p>Through this substantial amendment, the City is proposing to increase the program’s 2020 allocation by \$250,000, which will be used to perform lead-based paint assessments and remediation.</p>
112	Project Name	Landmarks Preservation Commission Planning
	Target Area	
	Goals Supported	Preservation of historic buildings and areas
	Needs Addressed	Non-Housing Community Development/Planning
	Funding	CDBG: \$653,000

	Description	LPC Planning conducts activities such as environmental reviews and architectural, archaeological, and historical analyses.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	This program is categorized as a planning activity and thus is not required to provide accomplishment projections.
	Location Description	1 Centre Street, New York, NY 10007

	Planned Activities	<p>LPC Planning has three components:</p> <ul style="list-style-type: none"> • Research: Activities include surveys to identify buildings or neighborhoods that merit further consideration for designation as landmarks or historic districts; evaluating the significance of buildings and neighborhoods under consideration; researching, photographing, and documenting historic buildings; and preparing detailed designation reports for proposed landmarks and historic districts. • Archaeology: Assess the potential archaeological impact of proposed projects and projects at existing landmarked sites; oversee any ensuing archaeology that may be needed, and manage the NYC Archaeological Repository: The Nan A. Rothschild Research Center. • Environmental Review: Assist with projects subject to environmental review by determining the presence of known or eligible historic or cultural resources and potential impacts to these resources. The Department issues comments, including findings of potential impacts uncovered during the review process. If a proposed project significantly impacts known or eligible resources, LPC works with the appropriate agencies to mitigate or reduce the impact as much as possible. The Department also maintains and supports the ERGIS Historic Maps application. <p>Through this substantial amendment, the City is proposing to increase the program’s 2020 allocation by \$50,000, which will be used to photograph and otherwise record properties with historic significance that pose a threat to the surrounding community and are expected to be demolished.</p>
126	Project Name	Minipools
	Target Area	
	Goals Supported	Provide recreational activities for low/mod people
	Needs Addressed	Non-Housing Community Development/Public Services
	Funding	CDBG: \$0
	Description	CD funds pay for seasonal lifeguards, recreation, and support staff at Minipools that are located in or near New York City Housing Authority developments.

	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	For 2020, the program will not serve any children as Minipool sites were closed due to COVID-19.
	Location Description	<u>N/A</u>
	Planned Activities	<p>The New York City Department of Parks and Recreation’s Minipools program offers safe swimming opportunities for children ages six to 11, as well as for toddlers accompanied by an adult. CD funds are used to pay for seasonal lifeguards, Parks Enforcement security personnel, and the staff that operate the filtration systems to maintain water quality and perform custodial services. The nine CD-funded Minipools operate during the summer months and are located near New York City Housing Authority developments.</p> <p>Minipools did not operate in 2020 due to the COVID-19 pandemic. Through this substantial amendment, the City proposes to reallocate Minipools’ 2020 funding to Project Open House, Landmarks Preservation Commission Planning, and the CDBG Administration programs. At this time, the City expects that the Minipools program will operate normally for the 2021 season.</p>
142	Project Name	CDBG Administration
	Target Area	

	Goals Supported	<p> Independent living for the elderly and disabled Increase accessible hsg for people w/disabilities Make the City more livable for ppl w/disabilities Prevent long-term displacement and homelessness Reduce housing discrimination Perform housing market analysis Return foreclosed housing to private ownership Preserve and improve occupied private housing Provide enrichment activities to low/mod areas Provide safe, accessible senior centers Promote justice for victims of crime and abuse Reduce homelessness Provide recreational activities for low/mod people Provide community green space through gardens Promote community development through planning Provide day care services to low/mod households Maintain habitability for elderly homeowners Increase capacity of local arts organizations Preservation of historic buildings and areas Revitalize the Bronx River and the adjacent area Provide safe learning environment in City schools Improve sanitary conditions throughout the City Reduction of blighted properties Improve literacy of low-skilled adults Revitalize commercial districts in low/mod areas </p>
	Needs Addressed	<p>Administration - CDBG</p>
	Funding	<p>CDBG: \$2,895,000</p>

Description	CD-funded staff provides administrative support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate NYC's CDBG Program.
Target Date	
Estimate the number and type of families that will benefit from the proposed activities	This program is categorized as an administrative activity and thus is not required to provide accomplishment projections.
Location Description	<ul style="list-style-type: none"> • NYC Office of Management and Budget - 255 Greenwich Street, New York, NY 10007 • NYC Department of Education - 52 Chambers Street, New York, NY 10007 • NYC Department of City Planning - 120 Broadway, New York, NY 10271 • NYC Department of Education - 52 Chambers Street, New York, NY 10007 • NYC Landmarks Preservation Commission - 1 Centre Street, New York, NY 10007 • NYC Mayor's Office for People with Disabilities - 100 Gold Street, New York, NY 10038

	Planned Activities	<p>This function provides administrative and support services for planning, management, and citizen participation necessary to formulate, implement, and evaluate the City's Community Development Program. These activities include:</p> <ul style="list-style-type: none">• Preparing and implementing the Citizen Participation Plan, including technical assistance to Community Boards and other interested groups and citizens;• Developing CDBG plans and policies;• Preparing the City's Consolidated Plan;• Preparing the Consolidated Plan Annual Performance Report;• Preparing Environmental Reviews;• Monitoring the expenditures for CD-funded programs;• Delineating population groups served by CD programs;• Liaising with HUD and other Federal departments; and• Certifying and maintaining necessary records that demonstrate that Federal requirements for environmental review, relocation, equal opportunity, and citizen participation are met. <p>In order to meet this mandate, as well as to plan effectively the City's future Community Development effort, a portion of the block grant is used to fund planning and management activities within the Office of Management and Budget, the Department of City Planning, the Department of Education, Landmarks Preservation Commission, and the Mayor's Office for People with Disabilities.</p> <p>Through this substantial amendment, the City proposes to reallocate \$266,000 to this program to cover indirect costs and fringe benefits attributable to CDBG-funded staff.</p>
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