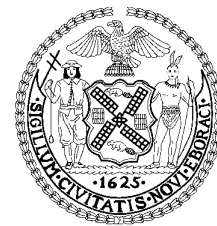
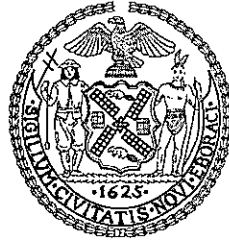


Financial Plan Statements
for
New York City
September 2010



The City of New York



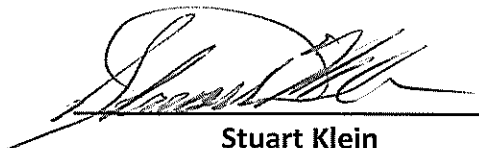
This report contains Financial Plan Statements for September 2010 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on July 13, 2010.


The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

**THE CITY OF NEW YORK
BY**



Stuart Klein
First Deputy Director
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Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements represent the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC and the City's share of payments to the Corporation in connection with its role as a Medicaid provider.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are derived from preliminary FY 2010 balances and are subject to audit adjustments. Amounts reported may be subject to reclassification or adjustments arising from management review and audits of the City's FY 2010 and FY 2011 Financial Statements. The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles, except for the application of GASB 49.

Covered Organization Financial Plans are issued quarterly.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$15,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2011 for OTPS purchase orders and contracts expected to be received by June 30, 2011 are treated as expenditures.

(d) Self-Insurance

The City is self-insured with respect to most risks, including, but not limited to, property damage, personal injury, and workers' compensation. Settlements reached or judgments entered during FY 2011 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2011.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The basis of reporting actual capital commitments, as well as sources and uses of capital expenditures, has been changed. Commitments and expenditures are now reported based upon the accounting period of the transaction. In prior Financial Plan Statements, these actuals were based upon dates transactions were entered in the City's Financial Management System.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to three other actuarial systems and sponsors six non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Office of Management and Budget's website at: www.nyc.gov/omb

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
REVENUES:									
TAXES									
GENERAL PROPERTY TAXES	\$ 980	\$ 927	\$ 53	\$ 8,742	\$ 8,632	\$ 110	\$ 16,780	\$ 16,780	\$ -
OTHER TAXES	3,075	2,769	306	4,928	4,599	329	22,126	22,126	-
MISCELLANEOUS REVENUES	433	403	30	1,339	1,300	39	5,912	5,912	-
UNRESTRICTED INTGOVT. AID	-	1	(1)	-	1	(1)	14	14	-
LESS: INTRA-CITY REVENUES	(30)	(96)	66	(36)	(102)	66	(1,616)	(1,616)	-
DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
SUBTOTAL	4,458	4,004	454	14,973	14,430	543	43,201	43,201	-
OTHER CATEGORICAL GRANTS	63	119	(56)	185	241	(56)	1,235	1,235	-
CAPITAL INTER-FUND TRANSFERS	56	27	29	56	27	29	558	558	-
FEDERAL GRANTS	159	159	-	217	215	2	6,813	6,813	-
STATE GRANTS	1,525	1,520	5	1,552	1,547	5	11,352	11,352	-
TOTAL REVENUES	\$ 6,261	\$ 5,829	\$ 432	\$ 16,983	\$ 16,460	\$ 523	\$ 63,159	\$ 63,159	\$ -
EXPENDITURES:									
PS	\$ 2,741	\$ 2,739	\$ (2)	\$ 6,726	\$ 6,710	\$ (16)	\$ 36,462	\$ 36,462	\$ -
OTPS	2,328	2,331	3	11,785	11,281	(504)	25,783	25,783	-
DEBT SERVICE	(1)	174	175	110	295	185	2,093	2,093	-
GENERAL RESERVE	-	-	-	-	-	-	437	437	-
SUBTOTAL	5,068	5,244	176	18,621	18,286	(335)	64,775	64,775	-
LESS: INTRA-CITY EXPENSES	(30)	(96)	(66)	(36)	(102)	(66)	(1,616)	(1,616)	-
TOTAL EXPENDITURES	\$ 5,038	\$ 5,148	\$ 110	\$ 18,585	\$ 18,184	\$ (401)	\$ 63,159	\$ 63,159	\$ -
SURPLUS/(DEFICIT)	\$ 1,223	\$ 681	\$ 542	\$ (1,602)	\$ (1,724)	\$ 122	\$ -	\$ -	\$ -

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: SEPTEMBER
FISCAL YEAR 2011

	ACTUAL			FORECAST										
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	TOTAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAXES	\$ 7,652	\$ 110	\$ 980	\$ 429	\$ 41	\$ 2,879	\$ 3,342	\$ 60	\$ 926	\$ 401	\$ 20	\$ 43	\$ (103)	\$ 16,780
OTHER TAXES	915	938	3,075	1,306	962	2,529	2,608	1,190	2,557	1,912	866	3,264	4	22,126
MISCELLANEOUS REVENUES	613	293	433	442	294	350	583	328	427	469	557	722	401	5,912
UNRESTRICTED INTGOVT. AID	-	-	-	-	1	1	-	-	-	-	-	12	-	14
LESS: INTRA-CITY REVENUES	(3)	(3)	(30)	(136)	(32)	(88)	(246)	(82)	(97)	(173)	(24)	(301)	(401)	(1,616)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	(5)	(10)	-	(15)
SUBTOTAL	9,177	1,338	4,458	2,041	1,266	5,671	6,287	1,496	3,813	2,609	1,414	3,730	(99)	43,201
OTHER CATEGORICAL GRANTS	6	116	63	75	35	88	67	42	86	73	40	544	-	1,235
CAPITAL INTER-FUND TRANSFERS	-	-	56	17	73	31	24	24	126	27	23	33	124	558
FEDERAL GRANTS	30	28	159	405	371	396	481	562	1,042	582	542	597	1,618	6,813
STATE GRANTS	25	2	1,525	209	1,056	847	978	916	1,049	960	961	1,281	1,543	11,352
TOTAL REVENUES:	\$ 9,238	\$ 1,484	\$ 6,261	\$ 2,747	\$ 2,801	\$ 7,033	\$ 7,837	\$ 3,040	\$ 6,116	\$ 4,251	\$ 2,980	\$ 6,185	\$ 3,186	\$ 63,159
EXPENDITURES:														
PS	\$ 2,014	\$ 1,971	\$ 2,741	\$ 2,757	\$ 2,719	\$ 2,820	\$ 3,532	\$ 2,724	\$ 2,746	\$ 2,772	\$ 2,735	\$ 5,537	\$ 1,394	\$ 36,462
OTPS	7,123	2,334	2,328	1,443	995	1,632	1,317	1,452	1,530	1,230	1,733	2,165	501	25,783
DEBT SERVICE	95	16	(1)	54	155	146	91	263	366	465	157	286	-	2,093
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	437	437
SUBTOTAL	9,232	4,321	5,068	4,254	3,869	4,598	4,940	4,439	4,642	4,467	4,625	7,988	2,332	64,775
LESS: INTRA-CITY EXPENSES	(3)	(3)	(30)	(136)	(32)	(88)	(246)	(82)	(97)	(173)	(24)	(301)	(401)	(1,616)
TOTAL EXPENDITURES	\$ 9,229	\$ 4,318	\$ 5,038	\$ 4,118	\$ 3,837	\$ 4,510	\$ 4,694	\$ 4,357	\$ 4,545	\$ 4,294	\$ 4,601	\$ 7,687	\$ 1,931	\$ 63,159
SURPLUS/(DEFICIT)	\$ 9	\$ (2,834)	\$ 1,223	\$ (1,371)	\$ (1,036)	\$ 2,523	\$ 3,143	\$ (1,317)	\$ 1,571	\$ (43)	\$ (1,621)	\$ (1,502)	\$ 1,255	\$ -

**NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

DESCRIPTION	INITIAL PLAN 7/13/2010	CHANGES FROM INITIAL PLAN	CHANGES FROM PREVIOUS FORECAST
REVENUES:			
TAXES			
GENERAL PROPERTY TAXES	\$ 16,780	\$ -	\$ -
OTHER TAXES	22,126	-	-
MISCELLANEOUS REVENUES	5,912	-	-
UNRESTRICTED INTERGOVERNMENTAL AID	14	-	-
LESS:INTRA-CITY REVENUES	(1,616)	-	-
DISALLOWANCES	(15)	-	-
SUBTOTAL	43,201	-	-
OTHER CATEGORICAL GRANTS	1,235	-	-
CAPITAL INTERFUND TRANSFERS	558	-	-
FEDERAL GRANTS	6,813	-	-
STATE GRANTS	11,352	-	-
TOTAL REVENUES	\$ 63,159	\$ -	\$ -
EXPENDITURES:			
PERSONAL SERVICE	\$ 36,462	\$ -	\$ -
OTHER THAN PERSONAL SERVICE	25,783	-	-
DEBT SERVICE	2,093	-	-
GENERAL RESERVE	437	-	-
SUBTOTAL	64,775	-	-
LESS:INTRA-CITY EXPENDITURES	(1,616)	-	-
TOTAL EXPENDITURES	\$ 63,159	\$ -	\$ -

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
TAXES:									
GENERAL PROPERTY TAXES	\$ 980	\$ 927	\$ 53	\$ 8,742	\$ 8,632	\$ 110	\$ 16,780	\$ 16,780	\$ -
PERSONAL INCOME TAX	638	695	(57)	1,465	1,524	(59)	7,557	7,557	-
GENERAL CORPORATION TAX	510	519	(9)	510	519	(9)	2,478	2,478	-
BANKING CORPORATION TAX	487	127	360	487	127	360	839	839	-
UNINCORPORATED BUSINESS TAX	337	283	54	337	283	54	1,588	1,588	-
GENERAL SALES TAX	552	498	54	1,285	1,220	65	5,143	5,143	-
REAL PROPERTY TRANSFER TAX	57	53	4	185	159	26	628	628	-
MORTGAGE RECORDING TAX	29	40	(11)	96	120	(24)	455	455	-
COMMERCIAL RENT TAX	141	136	5	141	136	5	566	566	-
UTILITY TAX	32	30	2	67	60	7	383	383	-
OTHER TAXES	129	111	18	192	174	18	924	924	-
TAX AUDIT REVENUES *	61	53	8	61	53	8	622	622	-
TAX PROGRAM	-	-	-	-	-	-	-	-	-
STAR PROGRAM	102	224	(122)	102	224	(122)	943	943	-
TOTAL TAXES	\$ 4,055	\$ 3,696	\$ 359	\$ 13,670	\$ 13,231	\$ 439	\$ 38,906	\$ 38,906	\$ -
MISCELLANEOUS REVENUES:									
LICENSES/FRANCHISES/ETC.	25	20	5	117	110	7	481	481	-
INTEREST INCOME	1	1	-	4	4	-	48	48	-
CHARGES FOR SERVICES	30	60	(30)	110	138	(28)	751	751	-
WATER AND SEWER CHARGES	159	68	91	631	540	91	1,332	1,332	-
RENTAL INCOME	16	7	9	55	46	9	243	243	-
FINES AND FORFEITURES	69	70	(1)	211	211	-	848	848	-
MISCELLANEOUS	103	81	22	175	149	26	593	593	-
INTRA-CITY REVENUE	30	96	(66)	36	102	(66)	1,616	1,616	-
TOTAL MISCELLANEOUS	\$ 433	\$ 403	\$ 30	\$ 1,339	\$ 1,300	\$ 39	\$ 5,912	\$ 5,912	\$ -

* The financial plan as submitted on July 13, 2010 reflects \$622 million in Tax Audit Revenues, anticipated to be collected as follows:

	CURRENT MONTH ACTUAL	YEAR-TO-DATE ACTUAL	FISCAL YEAR 2011 PLAN
GENERAL SALES TAX	\$ 1	\$ 1	\$ 20
PERSONAL INCOME TAX	1	1	25
GENERAL CORPORATION TAX	15	15	381
COMMERCIAL RENT TAX	3	3	15
BANKING CORPORATION TAX	8	8	106
UTILITY TAX	29	29	8
UNINCORPORATED BUSINESS TAX	3	3	51
REAL PROPERTY TRANSFER TAX	-	-	7
OTHER TAXES	1	1	9
TOTAL	\$ 61	\$ 61	\$ 622

**NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3 (CONT.)
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNRESTRICTED INTGOVT. AID									
FEDERAL REVENUE SHARING	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
NY STATE REVENUE SHARING	-	-	-	-	-	-	-	-	-
OTHER INTGOVT. AID	-	1	(1)	-	1	(1)	14	14	-
TOTAL UNRESTRICTED INTG.	\$ -	\$ 1	\$ (1)	\$ -	\$ 1	\$ (1)	\$ 14	\$ 14	\$ -
OTHER CATEGORICAL GRANTS	63	119	(56)	185	241	(56)	1,235	1,235	-
CAPITAL INTER-FUND TRANSFERS	56	27	29	56	27	29	558	558	-
LESS: INTRA-CITY REVENUES	(30)	(96)	66	(36)	(102)	66	(1,616)	(1,616)	-
LESS: DISALLOWANCES	-	-	-	-	-	-	(15)	(15)	-
FEDERAL GRANTS									
COMMUNITY DEVELOPMENT	15	16	(1)	38	39	(1)	247	247	-
WELFARE	98	106	(8)	98	106	(8)	2,747	2,747	-
EDUCATION	16	3	13	25	12	13	2,568	2,568	-
OTHER	30	34	(4)	56	58	(2)	1,251	1,251	-
TOTAL FEDERAL GRANTS	\$ 159	\$ 159	\$ -	\$ 217	\$ 215	\$ 2	\$ 6,813	\$ 6,813	\$ -
STATE GRANTS									
WELFARE	76	91	(15)	76	91	(15)	2,051	2,051	-
EDUCATION	1,446	1,420	26	1,460	1,434	26	7,983	7,983	-
HIGHER EDUCATION	-	1	(1)	-	1	(1)	187	187	-
HEALTH AND MENTAL HYGIENE	-	-	-	-	-	-	445	445	-
OTHER	3	8	(5)	16	21	(5)	686	686	-
TOTAL STATE GRANTS	\$ 1,525	\$ 1,520	\$ 5	\$ 1,552	\$ 1,547	\$ 5	\$ 11,352	\$ 11,352	\$ -
TOTAL REVENUES	\$ 6,261	\$ 5,829	\$ 432	\$ 16,983	\$ 16,460	\$ 523	\$ 63,159	\$ 63,159	\$ -

NOTES TO REPORT #3

Taxes:

Year-to-date taxes are \$439 million above plan. This results from \$561 million in earlier than anticipated collections, primarily due to \$360 million in Banking Corporation Tax, offset by a \$122 million shortfall in PIT STAR aid payments. The STAR aid shortfall reflects the impact of the NYS budget enacted on August 20, 2010 and not incorporated in the July plan modification.

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES									
POLICE DEPT.	\$ 381	\$ 346	\$ (35)	\$ 1,189	\$ 1,083	\$ (106)	\$ 4,470	\$ 4,470	\$ -
FIRE DEPT.	136	126	(10)	455	403	(52)	1,636	1,636	-
DEPT. OF CORRECTION	74	76	2	261	261	-	1,012	1,012	-
SANITATION DEPT.	86	66	(20)	543	420	(123)	1,346	1,346	-
HEALTH & WELFARE									
ADMIN. FOR CHILD SERVICES	172	152	(20)	1,401	1,076	(325)	2,641	2,641	-
DEPT. OF SOCIAL SERVICES	775	737	(38)	2,435	2,629	194	8,406	8,406	-
DEPT. OF HOMELESS SERVICES	84	24	(60)	669	553	(116)	843	843	-
HEALTH & MENTAL HYGIENE	96	141	45	933	1,010	77	1,603	1,603	-
OTHER AGENCIES									
HOUSING PRESERVATION & DEV.	53	18	(35)	251	230	(21)	606	606	-
ENVIRONMENTAL PROTECTION	131	146	15	346	359	13	1,017	1,017	-
TRANSPORTATION DEPT.	51	45	(6)	314	262	(52)	689	689	-
PARKS & RECREATION DEPT.	30	27	(3)	111	108	(3)	319	319	-
DEPT. OF CITYWIDE ADMIN. SERVICES	5	25	20	892	965	73	1,154	1,154	-
ALL OTHER	228	174	(54)	1,296	1,414	118	3,188	3,188	-
COVERED ORGANIZATIONS									
DEPT. OF EDUCATION	1,826	2,024	198	4,503	4,281	(222)	18,611	18,611	-
HIGHER EDUCATION	21	72	51	157	159	2	773	773	-
HEALTH & HOSPITALS CORP.	31	14	(17)	31	16	(15)	184	184	-
OTHER									
MISCELLANEOUS BUDGET:									
FRINGE BENEFITS	232	218	(14)	680	626	(54)	3,997	3,997	-
TRANSIT SUBSIDIES	2	4	2	20	124	104	464	464	-
JUDGMENTS & CLAIMS	46	28	(18)	138	64	(74)	687	687	-
OTHER	31	30	(1)	155	216	61	987	987	-
PENSION CONTRIBUTIONS	578	577	(1)	1,731	1,732	1	7,612	7,612	-
DEBT SERVICE	(1)	174	175	110	295	185	2,093	2,093	-
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	-	-	-
SUB-TOTAL	\$ 5,068	\$ 5,244	\$ 176	\$ 18,621	\$ 18,286	\$ (335)	\$ 64,338	\$ 64,338	\$ -
PLUS GENERAL RESERVE	-	-	-	-	-	-	437	437	-
LESS INTRA-CITY EXPENSES	(30)	(96)	(66)	(36)	(102)	(66)	(1,616)	(1,616)	-
TOTAL EXPENDITURES	\$ 5,038	\$ 5,148	\$ 110	\$ 18,585	\$ 18,184	\$ (401)	\$ 63,159	\$ 63,159	\$ -

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	FT & FTE POSITIONS		PERSONAL SERVICE COSTS						FT & FTE POSITIONS		PERSONAL SERVICE COSTS			
	CURRENT MONTH		CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR 2011 PROJECTIONS		FISCAL YEAR 2011 PROJECTIONS			
	ACTUAL	PLAN *	ACTUAL	PLAN	BETTER/ (WORSE)	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES														
POLICE DEPT.	51,329	51,407	\$ 342	\$ 312	\$ (30)	\$ 1,007	\$ 968	\$ (39)	50,442	50,442	-	\$ 4,200	\$ 4,200	\$ -
FIRE DEPT.	15,962	16,310	120	114	(6)	363	342	(21)	15,779	15,779	-	1,501	1,501	-
DEPT. OF CORRECTION	10,086	10,182	66	65	(1)	203	200	(3)	10,265	10,265	-	888	888	-
SANITATION DEPT.	9,175	9,484	59	59	-	177	180	3	9,419	9,419	-	807	807	-
HEALTH & WELFARE														
ADMIN. FOR CHILD SERVICES	5,889	6,059	28	28	-	87	89	2	6,174	6,174	-	374	374	-
DEPT. OF SOCIAL SERVICES	14,005	14,527	56	58	2	175	182	7	14,479	14,479	-	749	749	-
DEPT. OF HOMELESS SERVICES	1,912	2,049	9	9	-	28	28	-	2,049	2,049	-	119	119	-
HEALTH & MENTAL HYGIENE	6,027	6,189	29	29	-	88	88	-	6,400	6,400	-	390	390	-
OTHER AGENCIES														
ENVIRONMENTAL PROTECTION	5,853	5,946	36	34	(2)	107	107	-	6,095	6,095	-	453	453	-
TRANSPORTATION DEPT.	4,950	4,442	31	25	(6)	91	77	(14)	4,396	4,396	-	332	332	-
PARKS & RECREATION DEPT.	7,241	6,365	26	21	(5)	84	73	(11)	5,757	5,757	-	246	246	-
CITYWIDE ADMIN. SERVICES	2,197	2,336	11	10	(1)	34	33	(1)	2,280	2,280	-	139	139	-
ALL OTHER	30,483	30,029	158	173	15	477	473	(4)	30,496	30,496	-	1,999	1,999	-
COVERED ORGANIZATIONS														
DEPT. OF EDUCATION	134,420	135,966	960	1,007	47	1,394	1,512	118	135,778	135,778	-	12,598	12,598	-
OTHER														
MISCELLANEOUS BUDGET	-	-	232	218	(14)	680	626	(54)	-	-	-	4,055	4,055	-
PENSION CONTRIBUTIONS	-	-	578	577	(1)	1,731	1,732	1	-	-	-	7,612	7,612	-
TOTAL	299,529	301,291	\$ 2,741	\$ 2,739	\$ (2)	\$ 6,726	\$ 6,710	\$ (16)	299,809	299,809	-	\$ 36,462	\$ 36,462	\$ -

* Includes planned full-time headcount and estimates of planned FTEs.

**NEW YORK CITY
PERSONNEL CONTROL REPORT
REPORT NO. 4B**

**MONTH: SEPTEMBER
FISCAL YEAR 2011**

	FULL-TIME POSITIONS CURRENT MONTH			FULL-TIME POSITIONS FISCAL YEAR 2011		
	ACTUAL	PLAN	BETTER/ (WORSE)	FORECAST	PLAN	BETTER/ (WORSE)
UNIFORM FORCES						
POLICE DEPT.	50,263	50,211	(52)	48,934	48,934	-
FIRE DEPT.	15,878	16,252	374	15,719	15,719	-
DEPT. OF CORRECTION	10,044	10,131	87	10,214	10,214	-
SANITATION DEPT.	9,083	9,349	266	9,281	9,281	-
HEALTH & WELFARE						
ADMIN. FOR CHILD SERVICES	5,841	5,998	157	6,112	6,112	-
DEPT. OF SOCIAL SERVICES	13,863	14,509	646	14,458	14,458	-
DEPT. OF HOMELESS SERVICES	1,911	2,048	137	2,048	2,048	-
HEALTH & MENTAL HYGIENE	4,865	4,982	117	5,187	5,187	-
OTHER AGENCIES						
ENVIRONMENTAL PROTECTION	5,706	5,826	120	5,968	5,968	-
TRANSPORTATION DEPT.	4,552	4,176	(376)	4,180	4,180	-
PARKS & RECREATION DEPT.	3,488	3,141	(347)	3,059	3,059	-
CITYWIDE ADMIN. SERVICES	2,049	2,083	34	1,988	1,988	-
ALL OTHER	25,763	25,501	(262)	25,969	25,969	-
COVERED ORGANIZATIONS						
DEPT. OF EDUCATION	119,888	120,779	891	119,084	119,084	-
TOTAL	273,194	274,986	1,792	272,201	272,201	-

NOTES TO REPORTS NO. 4, 4A AND 4B

The current month, year-to-date and fiscal year data in Reports No. 4, 4A & 4B reflect the Financial Plan submitted to the Financial Control Board on July 13, 2010.

There are 299,529 filled positions as of September of which 273,194 are full-time positions and 26,335 are full-time equivalent positions. Of the 299,529 filled positions, 260,308 are estimated to be wholly or partially city funded. For the fiscal year (June 30, 2011) 272,201 of the 299,809 positions are full-time and 260,382 of the 299,809 positions are estimated to be city funded positions.

In some instances prior year charges are reflected in FY 2011 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(106) million year-to-date variance is primarily due to:

- \$(86) million in accelerated encumbrances, including \$(43) million for special expense, \$(26) million for general contractual services, \$(8) million for data processing equipment and \$(4) million for advertising.
- \$19 million in delayed encumbrances, including \$11 million for motor vehicles, \$5 million for supplies and materials and \$4 million for heat, light and power.
- \$(39) million in personal services, including \$(27) million in overtime, \$(9) million in full-time normal gross and \$(8) million other adjustments offset by \$3 million in fringe benefits.

Fire Department: The \$(52) million year-to-date variance is primarily due to:

- \$(38) million in accelerated encumbrances, including \$(12) million for rentals of land, buildings and structures, \$(4) million for general maintenance and repairs and \$(4) million for automotive supplies and materials.

- \$7 million in delayed encumbrances, primarily for general contractual services and heat, light and power.
- \$(21) million in personal services, primarily for overtime.

Sanitation Department: The \$(123) million year-to-date variance is primarily due to:

- \$(145) million in accelerated encumbrances, including \$(128) million for municipal waste export, \$(4) million for general contractual services and \$(4) million for automotive supplies and material.
- \$19 million in delayed encumbrances, including \$4 million for heat, light and power and \$4 million for other professional services.
- \$3 million in personal services, primarily for full-time normal gross.

Administration for Children's Services: The \$(325) million year-to-date variance is primarily due to:

- \$(396) million in accelerated encumbrances, including \$(149) million for children's charitable institutions, \$(67) million for day care of children, \$(53) million for child welfare services, \$(39) million for direct foster care of children, \$(33) million for Special Education Facilities for the Institutionalized and Foster Care, \$(26) million for Head Start and \$(17) million for homemaking services.
- \$69 million in delayed encumbrances, including \$38 million for general fixed charges, \$10 million for subsidized adoption, \$6 million for rentals of land, buildings and structures and \$3 million for other general expenses.
- \$2 million in personal services.

Department of Social Services: The \$194 million year-to-date variance is primarily due to:

- \$230 million in delayed encumbrances, including \$140 million for medical assistance, \$68 million for public assistance, \$4 million for rentals of land, buildings and structures, \$3 million for homeless family services and \$3 million for heat, light and power.
- \$(43) million in accelerated encumbrances, including \$(8) million for AIDS services, \$(8) million for non-grant charges, \$(7) million for general contractual services and \$(4) million for security services.
- \$7 million in personal services, including \$11 million for full-time normal gross, offset by \$(2) million for differentials.

Department of Homeless Services: The \$(116) million year-to-date variance is primarily due to:

- \$(133) million in accelerated encumbrances, including \$(95) million for homeless family services, \$(31) million for homeless individual services and \$(3) million for rentals of land, buildings and structures.
- \$17 million in delayed encumbrances, primarily for security services and heat, light and power.

Department of Health and Mental Hygiene: The \$77 million year-to-date variance is primarily due to:

- \$158 million in delayed encumbrances, including \$64 million for hospital contracts, \$35 million for AIDS services, \$30 million for mental health services – HHC, \$15 million for rentals of land, buildings and structures and \$3 million for general supplies and materials.
- \$(81) million in accelerated encumbrances, including \$(57) million for mental hygiene services and \$(14) million for general contractual services.

Department of Housing Preservation and Development: The \$(21) million year-to-date variance is primarily due to:

- \$33 million in delayed encumbrances, primarily for Federal Section 8 Rent Subsidy.
- \$(52) million in accelerated encumbrances, including \$(31) million for general contractual services, \$(5) million for general maintenance and repairs, \$(5) million for community consultant contracts and \$(4) million for in-rem maintenance costs.
- \$(2) million in personal services.

Department of Environmental Protection: The \$13 million year-to-date variance is primarily due to:

- \$51 million in delayed encumbrances, including \$25 million for other general expenses, \$16 million for heat, light and power and \$3 million for general contractual services.
- \$(38) million in accelerated encumbrances, including \$(17) million for rentals of land, building and structures and \$(6) million for general supplies and materials.

Department of Transportation: The \$(52) million year-to-date variance is primarily due to:

- \$(51) million in accelerated encumbrances, including \$(28) million for contractual services and \$(22) million for supplies and materials.
- \$13 million in delayed encumbrances, primarily for heat, light and power.
- \$(14) million in personal services, including \$(6) million in full-time normal gross and \$(4) million in overtime.

Department of Citywide Administrative Services: The \$73 million year-to-date variance is primarily due to:

- \$95 million in delayed encumbrances, including \$73 million for heat, light and power, \$15 million for general contractual services and \$3 million for rentals of land, buildings and structures.
- \$(21) million in accelerated encumbrances, including \$(13) million for general maintenance and repairs and \$(3) million for general supplies and materials.
- \$(1) million in personal services.

Department of Education: The \$(222) million year-to-date variance is primarily due to:

- \$(340) million in OTPS, primarily due to accelerated encumbrances of \$(237) million for transportation of pupils, \$(119) million for payments to contract schools and corporate schools, \$(102) million for rentals of land, buildings and structures, \$(78) million for direct educational services to students, \$(47) million for other professional services, \$(26) million for data processing equipment, \$(25) million for maintenance and operation of infrastructure, \$(25) million for professional computer services, \$(24) million for curriculum and professional development, \$(9) million for data processing supplies, \$(7) million for general contractual services, \$(6) million for general equipment and \$(4) million for fuel oil, offset by delayed encumbrances of \$98 million for general supplies and materials, \$80 million for food and forage supplies, \$58 million for heat, light and power, \$45 million for NYC Transit Authority reduced fares for schoolchildren, \$23 million for payments for surety bonds and insurance premiums, \$15 million for other books, \$14 million for private bus companies reduced fares for schoolchildren, \$10 million for training program for city employees, \$7 million for telecommunications maintenance, \$5 million for tuition payments for foster care, \$4 million for MTA Payroll Tax and \$3 million for library books.
- \$118 million in personal services, of which \$(28) million represents backpay that will be journalled to prior years and \$146 million represents the current year spending variance.

Health and Hospitals Corporation: The \$(15) million year-to-date variance is primarily due to:

- \$(15) million in accelerated encumbrances primarily for payments to HHC.

Miscellaneous: The \$37 million year-to-date variance is primarily due to:

- \$(54) million in fringe benefits reflecting accelerated encumbrances.
- \$104 million in transit subsidies reflecting delayed encumbrances.
- \$(74) million in judgments and claims reflecting prior year charges.
- \$61 million in other.

Debt Service: The \$185 million year-to-date variance is primarily due to:

- \$210 million in delayed encumbrances primarily for general interest on bonds and blended component units.
- \$(25) million in accelerated encumbrances primarily for costs associated with financing and payments to counterparties.

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: SEPTEMBER		FISCAL YEAR: 2011		
	CURRENT MONTH		YEAR-TO-DATE		FISCAL YEAR
	ACTUAL	PLAN	ACTUAL	PLAN	PLAN
TRANSIT	\$0.0 (C)	\$0.0 (C)	\$0.0 (C)	\$0.0 (C)	\$183.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	32.2 (N)
HIGHWAY AND STREETS	44.4 (C)	29.4 (C)	55.4 (C)	47.6 (C)	568.7 (C)
	2.2 (N)	2.9 (N)	2.6 (N)	5.2 (N)	257.6 (N)
HIGHWAY BRIDGES	(1.8) (C)	0.4 (C)	15.9 (C)	20.9 (C)	358.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	65.0 (N)
WATERWAY BRIDGES	0.4 (C)	2.2 (C)	2.6 (C)	3.7 (C)	35.8 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	20.8 (N)
WATER SUPPLY	0.6 (C)	0.0 (C)	2.3 (C)	0.0 (C)	22.1 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	54.0 (C)	15.1 (C)	95.7 (C)	38.4 (C)	988.0 (C)
	0.0 (N)	0.0 (N)	0.0 (N)	0.0 (N)	4.9 (N)
SEWERS	7.9 (C)	6.0 (C)	20.3 (C)	45.5 (C)	433.1 (C)
	11.4 (N)	10.7 (N)	11.4 (N)	10.7 (N)	63.2 (N)
WATER POLLUTION CONTROL	46.3 (C)	0.0 (C)	71.4 (C)	0.0 (C)	631.4 (C)
	0.0 (N)	0.0 (N)	(0.1) (N)	0.0 (N)	8.9 (N)
ECONOMIC DEVELOPMENT	43.9 (C)	0.6 (C)	69.5 (C)	9.3 (C)	938.3 (C)
	3.4 (N)	0.0 (N)	10.5 (N)	1.7 (N)	189.1 (N)
EDUCATION	100.2 (C)	100.2 (C)	374.0 (C)	274.0 (C)	1,358.7 (C)
	50.0 (N)	50.0 (N)	429.9 (N)	329.9 (N)	1,063.1 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: SEPTEMBER		FISCAL YEAR: 2011		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	1.4 (C) 0.0 (N)	1.6 (C) 0.0 (N)	5.0 (C) 0.0 (N)	102.2 (C) 0.0 (N)	400.7 (C) 0.0 (N)
SANITATION	19.6 (C) 0.0 (N)	212.9 (C) 0.3 (N)	140.3 (C) 0.0 (N)	358.1 (C) 0.3 (N)	834.6 (C) 4.9 (N)
POLICE	5.9 (C) 0.0 (N)	63.0 (C) 0.0 (N)	12.7 (C) 0.0 (N)	75.5 (C) 0.0 (N)	322.7 (C) 0.0 (N)
FIRE	27.9 (C) 0.1 (N)	2.6 (C) 0.0 (N)	33.4 (C) 1.6 (N)	5.7 (C) 0.0 (N)	214.4 (C) 17.2 (N)
HOUSING	0.8 (C) 0.0 (N)	0.0 (C) 0.0 (N)	5.1 (C) 0.3 (N)	10.9 (C) 0.0 (N)	693.9 (C) 225.9 (N)
HOSPITALS	5.3 (C) 0.0 (N)	0.0 (C) 0.0 (N)	50.5 (C) 0.0 (N)	44.8 (C) 0.0 (N)	222.3 (C) 3.2 (N)
PUBLIC BUILDINGS	5.4 (C) 0.0 (N)	7.0 (C) 0.0 (N)	22.6 (C) 0.0 (N)	14.6 (C) 0.0 (N)	495.2 (C) 0.3 (N)
PARKS	18.5 (C) 7.4 (N)	(0.9) (C) 0.0 (N)	152.3 (C) 9.6 (N)	26.5 (C) 2.5 (N)	1,085.8 (C) 208.3 (N)
ALL OTHER DEPARTMENTS	131.1 (C) 1.7 (N)	15.3 (C) 0.4 (N)	244.4 (C) 4.8 (N)	61.9 (C) 27.9 (N)	3,523.3 (C) 456.7 (N)
TOTAL	\$511.7 (C) \$76.2 (N)	\$455.5 (C) \$64.3 (N)	\$1,373.5 (C) \$470.5 (N)	\$1,139.5 (C) \$378.3 (N)	\$13,309.9 (C) \$2,621.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: September

Fiscal Year: 2011

City Funds:

Total Authorized Commitment Plan	\$13,310
Less: Reserve for Unattained Commitments Commitment Plan	<u>(3,875)</u> <u>\$9,435</u>

Non-City Funds:

Total Authorized Commitment Plan	\$2,621
Less: Reserve for Unattained Commitments Commitment Plan	<u>0</u> <u>\$2,621</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2011 September Capital Commitment Plan of \$13,310 million rather than the Financial Plan level of \$9,435 million. The additional \$3,875 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through September are primarily due to timing differences.

- | | | |
|-------------------------|---|--|
| Correction | - | Adolescent Reception Detention Center, Riker’s Island, totaling \$9.5 million, slipped from July and August 2010 to November 2010. Correction facilities, construction, reconstruction and improvements, City-wide, totaling \$58.3 million, slipped from July and August 2010 to November 2010. Purchase of equipment for other use by the Department of Correction, totaling \$5.1 million, slipped from July 2010 to November 2010. Acquisition and construction of the supplementary housing program and support facilities, totaling \$7.1 million, slipped from July thru September 2010 to November 2010. Purchase of computer equipment, totaling \$13.6 million, slipped from July 2010 to November 2010. Construction of High Security Institution, Rikers Island, totaling \$2.1 million, slipped from July 2010 to November 2010. Various slippages and advances account for the remaining variance. |
| Education | - | Five-Year Educational Capital Plan, totaling \$100.0 million, advanced from June 2011 to August 2010. Various slippages and advances account for the remaining variance. |
| Economic
Development | - | Brooklyn Navy Yard, totaling \$8.9 million, advanced from June 2011 to August 2010. Acquisition and site development for commercial redevelopment, City-wide, totaling \$45.4 million, advanced from October 2010 and January and June 2011 to August and September 2010. Modernization and reconstruction of piers, City-wide, totaling \$3.4 million, advanced from June 2011 to July thru September 2010. Various slippages and advances account for the remaining variance. |

- Fire - Fire Department facility improvements, City-wide, totaling \$18.3 million, advanced from October 2010 thru January 2011 to September 2010. New training center for the New York City Fire Department, totaling \$6.1 million, advanced from June 2011 to August and September 2010.
- Housing - Edgemere, totaling \$9.7 million, slipped from August 2010 to November 2010. HUD Multi-Family Program, City-wide, totaling \$3.1 million, occurred in July 2010. Various slippages and advances account for the remaining variance.
- Highways - Highway repaving, City-wide, totaling \$11.7 million, advanced from November and December 2010 to July thru September 2010. Repaving and resurfacing of streets, City-wide, totaling \$10.4 million, advanced from October and December 2010 and June 2011 to July thru September 2010. Sidewalk reconstruction, totaling \$6.7 million, slipped from August and September 2010 to November 2010. Downtown Brooklyn rehabilitation, totaling \$6.1 million, slipped from September 2010 to November 2010. Various slippages and advances account for the remaining variance.
- Parks - Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$10.9 million, advanced from December 2010 and January and June 2011 to July and September 2010. Acquisition of property for playgrounds, City-wide, totaling \$6.4 million, advanced from June 2011 to August and September 2010. Deregistration of construction contracts for Rockaway Beach Boardwalk, totaling \$8.8 million, occurred in August 2010 and the remaining plan totaling \$8.8 million, slipped from July 2010 to March 2011. Orchard Beach Expansion, totaling \$3.8 million, advanced from June 2011 to September 2010. Improvements to Waterfront Park, totaling \$94.6 million, advanced from June 2011 to August 2010. Park improvements, City-wide, totaling \$2.7 million, advanced from June 2011 to September 2010. Improvements to the New Yankee Stadium, totaling \$6.9 million, advanced from June 2011 to July and September 2010. Various slippages and advances account for the remaining variance.
- Police - Purchase of ultra high frequency radio telephone equipment, totaling \$52.0 million, slipped from July thru September 2010 to November 2010. Marine launches for Harbor Unit, totaling \$2.7 million, slipped from September 2010 to November 2010. Acquisition and installation of computer equipment, City-wide, totaling \$3.8 million, advanced from November 2010 to August thru September 2010. Acquisition of

vehicles, totaling \$8.5 million, slipped from September 2010 to November 2010. Various slippages and advances account for the remaining variance.

- Public Buildings - Construction and reconstruction of public buildings, City-wide, totaling \$2.2 million, advanced from October 2010 and April 2011 to July thru September 2010. Reconstruction and improvements to long term leased facilities, City-wide, totaling \$2.7 million, slipped from September 2010 to November 2010. Board of Elections, totaling \$7.0 million, advanced from April and June 2011 to August and September 2010. Various slippages and advances account for the remaining variance.
- Sanitation - Purchase of collection trucks and equipment, totaling \$9.7 million, slipped from September 2010 to November 2010. Improvements to garages and other facilities, totaling \$3.3 million, slipped from July thru September 2010 to November 2010. Construction of Marine Transfer Station, totaling \$6.5 million, slipped from July and August 2010 to November 2010. Construction of sanitation garage for District 1/2/5 Manhattan, totaling \$195.1 million, slipped from September 2010 to November 2010. Various slippages and advances account for the remaining variance.
- Sewers - Construction and reconstruction of sanitary and combined sewers, City-wide, totaling \$16.0 million, slipped from July thru September 2010 to November 2010. Construction and reconstruction of storm sewers, City-wide, totaling \$15.1 million, slipped from July and August 2010 to November 2010. Acquisition of land, pursuant to storm water management program, totaling \$5.6 million, advanced from June 2011 to July thru September 2010. Various slippages and advances account for the remaining variance.
- Water Mains - Water main extensions, City-wide, totaling \$3.7 million, slipped from July and August 2010 to November 2010. Trunk main extensions and improvements, totaling \$13.2 million, slipped from July thru September 2010 to November 2010 and a deregistration, totaling \$5.0 million, occurred in September 2010. Construction of the Croton Filtration Plant, totaling \$27.8 million, advanced from June 2011 to July thru September 2010. Improvements to structures on watersheds outside the City, totaling \$50.3 million, advanced from June 2011 to July thru September 2010. Various slippages and advances account for the remaining variance.

Water Pollution
Control

- Reconstruction of Ward's Island Water Pollution Control Plant, totaling \$4.1 million, advanced from June 2011 to August and September 2010. Reconstruction of Water Pollution Control Projects, totaling \$10.4 million, advanced from June 2011 to July thru September 2010. Construction of combined sewer overflow abatement, totaling \$26.9 million, advanced from June 2011 to July thru September 2010. Upgrade of Bowery Bay Ward Water Pollution Control, totaling \$7.6 million, advanced from June 2011 to July thru September 2010. Upgrade of Tallmans Island Ward Water Pollution Control Plant, totaling \$9.7 million, advanced from June 2011 to August and September 2010. Construction and reconstruction of pumping stations, City-wide, totaling \$2.3 million, advanced from June 2011 to July and August 2010. Engineering, architect and other administrative costs associated with Water Pollution Control, totaling \$2.5 million, advanced from June 2011 to September 2010. Upgrade of the Newtown Creek Water Pollution Control Plant, totaling \$5.0 million, advanced from June 2011 to July thru September 2010. Various slippages and advances account for the remaining variance.

Others

- Purchase of EDP equipment, totaling \$8.2 million, advanced from June 2011 to July thru September 2010. Emergency communications system and facilities, totaling \$4.7 million, advanced from June 2011 to July thru September 2010.
- Purchase of DEP equipment, totaling \$3.7 million, advanced from June 2011 to July thru September 2010. Purchase of electronic data processing equipment, totaling \$23.5 million, advanced from June 2011 to July thru September 2010. Acquisition and construction and reconstruction to leased spaces, totaling \$2.5 million, slipped from July and August 2010 to November 2010. Mandated payments for private gas utility relocation for the Department of Environmental Protection, totaling \$6.7 million, advanced from October thru December 2010 and June 2011 to July thru September 2010. Remedial action at closed landfill, totaling \$8.7 million, advanced from June 2011 to September 2010. Installation of water measuring devices, City-wide, totaling \$12.8 million, advanced from June 2011 to July thru September 2010.
- Improvements to health facilities, City-wide, totaling \$102.9 million, advanced from January, April, May and June 2011 to July thru September 2010.

- Construction, reconstruction, improvements, acquisitions, outfitting and equipment for Queens’s libraries, totaling \$10.8 million, slipped from July thru September 2010 to November 2010.
- Purchase of electronic data processing equipment, totaling \$20.2 million, advanced from June 2011 to July and August 2010 and a deregistration, totaling \$3.6 million, occurred in September 2010. Contracts for deregistration, totaling \$3.6 million, occurred in September 2010. Purchase of electronic data processing equipment for FISA, totaling \$11.1 million, advanced from June 2011 to July thru September 2010. Energy efficiency and sustainability, totaling \$7.2 million, advanced from October 2010 and January 2011 to July thru September 2010.
- Deregistration of construction contracts for Cultural Institutions, or acquisition, City-wide, totaling \$12.7 million, occurred in September 2010.
- Computer equipment for the Department of Transportation, totaling \$10.0 million, slipped from July and September 2010 to November 2010.

3. Variances in year-to-date commitments of non-City funds through September occurred in the Department of Education, Department of Business Services, the Department of Parks and Recreation, and the Department of Transportation.

- | | | |
|----------------------|---|---|
| Education | - | Five-Year Educational Capital Plan, totaling \$100.0 million, advanced from June 2011 to August 2010. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition, site development, construction and reconstruction related to Economic Development, totaling \$9.1 million, advanced from January and June 2011 to July thru September 2010. Various slippages and advances account for the remaining variance. |
| Parks | - | Miscellaneous parks, parkways and playgrounds, City-wide, totaling \$5.1 million, advanced from January and June 2011 to July and September 2010. |
| Others | - | Street lighting, City-wide, totaling \$22.7 million, slipped from July 2010 to November 2010. |

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: SEPTEMBER		FISCAL YEAR: 2011	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)		\$40.6 (C) 0.0 (N)	\$92.4 (C) 6.3 (N)
HIGHWAY AND STREETS	18.3 (C) 5.7 (N)		37.5 (C) 9.9 (N)	281.7 (C) 73.2 (N)
HIGHWAY BRIDGES	17.3 (C) 18.7 (N)		46.6 (C) 39.6 (N)	289.8 (C) 120.2 (N)
WATERWAY BRIDGES	13.3 (C) 11.8 (N)		39.1 (C) 27.3 (N)	205.1 (C) 124.4 (N)
WATER SUPPLY	7.8 (C) 0.0 (N)		21.5 (C) 0.0 (N)	145.8 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	118.3 (C) 0.0 (N)		339.5 (C) 0.0 (N)	988.2 (C) 1.0 (N)
SEWERS	16.0 (C) 0.0 (N)		46.4 (C) 0.0 (N)	148.2 (C) 14.1 (N)
WATER POLLUTION CONTROL	92.9 (C) 0.4 (N)		334.1 (C) 7.6 (N)	911.8 (C) 51.2 (N)
ECONOMIC DEVELOPMENT	29.7 (C) 6.1 (N)		76.7 (C) 15.8 (N)	250.6 (C) 54.8 (N)
EDUCATION	400.0 (C) 0.0 (N)		726.7 (C) 73.4 (N)	1,783.2 (C) 690.1 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

DESCRIPTION	MONTH: SEPTEMBER		FISCAL YEAR: 2011	
	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	2.4 (C)		8.4 (C)	94.6 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
SANITATION	131.4 (C)		167.9 (C)	358.2 (C)
	0.0 (N)		1.2 (N)	1.7 (N)
POLICE	13.2 (C)		38.6 (C)	298.7 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
FIRE	8.4 (C)		20.3 (C)	86.3 (C)
	0.4 (N)		7.7 (N)	8.2 (N)
HOUSING	8.6 (C)		58.0 (C)	225.0 (C)
	0.1 (N)		7.0 (N)	70.1 (N)
HOSPITALS	3.5 (C)		57.0 (C)	64.1 (C)
	0.0 (N)		0.0 (N)	0.6 (N)
PUBLIC BUILDINGS	8.9 (C)		32.0 (C)	171.3 (C)
	0.0 (N)		0.0 (N)	0.1 (N)
PARKS	45.3 (C)		225.2 (C)	496.6 (C)
	2.2 (N)		7.3 (N)	53.4 (N)
ALL OTHER DEPARTMENTS	126.4 (C)		414.1 (C)	1,532.8 (C)
	10.8 (N)		25.4 (N)	146.8 (N)
TOTAL	\$1,061.5 (C)		\$2,730.2 (C)	\$8,424.6 (C)
	\$56.2 (N)		\$222.3 (N)	\$1,416.3 (N)

**SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES**

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: SEPTEMBER
FISCAL YEAR 2011

	ACTUAL			FORECAST									12	ADJUST-	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	
CASH INFLOWS															
CURRENT															
GENERAL PROPERTY TAX	\$3,352	\$110	\$980	\$429	\$41	\$2,879	\$3,342	\$60	\$926	\$401	\$20	\$2,515	\$15,055	\$1,725	\$16,780
OTHER TAXES	444	953	2,896	1,464	965	2,568	2,507	1,266	2,438	2,023	866	3,293	21,683	443	22,126
FEDERAL GRANTS	107	431	(77)	167	224	458	318	477	1,123	574	412	787	5,001	1,812	6,813
STATE GRANTS	361	67	840	(89)	382	1,032	229	158	1,987	631	1,595	2,443	9,636	1,716	11,352
OTHER CATEGORICAL	48	218	60	48	24	94	40	75	50	84	33	273	1,047	188	1,235
UNRESTRICTED (NET OF DISALL.)	-	-	-	-	1	1	-	-	-	-	(5)	(10)	(13)	12	(1)
MISCELLANEOUS REVENUES	610	290	403	306	262	262	337	246	330	296	533	421	4,296	-	4,296
CAPITAL INTER-FUND TRANSFERS	-	-	56	17	73	31	24	24	126	27	23	33	434	124	558
SUBTOTAL	4,922	2,069	5,158	2,342	1,972	7,325	6,797	2,306	6,980	4,036	3,477	9,755	57,139	6,020	63,159
PRIOR															
OTHER TAXES	669	247	-	-	-	-	-	-	-	-	-	-	916	-	916
FEDERAL GRANTS	325	378	725	356	242	298	88	94	124	73	40	107	2,850	1,308	4,158
STATE GRANTS	19	498	534	446	128	113	58	64	244	22	17	97	2,240	1,697	3,937
OTHER CATEGORICAL	(3)	126	18	28	15	92	12	12	12	12	13	12	349	165	514
UNRESTRICTED	-	-	-	-	-	-	-	-	-	-	-	-	-	21	21
MISC. REVENUE/CAPITAL IFA	2	32	53	-	-	-	-	-	-	-	-	-	87	(87)	-
SUBTOTAL	1,012	1,281	1,330	830	385	503	158	170	380	107	70	216	6,442	3,104	9,546
CAPITAL															
CAPITAL TRANSFERS	605	1,251	1,029	981	1,219	514	618	690	553	723	573	825	9,581	(1,156)	8,425
FEDERAL AND STATE	3	4	68	75	99	197	37	161	57	34	305	376	1,416	-	1,416
OTHER															
SENIOR COLLEGES	288	2	1	143	267	-	1	267	488	25	4	658	2,144	(287)	1,857
HOLDING ACCT. & OTHER ADJ.	-	-	1	(1)	-	-	-	-	-	-	-	-	-	-	-
OTHER SOURCES	-	307	-	79	-	-	-	-	-	-	-	-	386	-	386
TOTAL INFLOWS	\$6,830	\$4,914	\$7,587	\$4,449	\$3,942	\$8,539	\$7,611	\$3,594	\$8,458	\$4,925	\$4,429	\$11,830	\$77,108	\$7,681	84,789
CASH OUTFLOWS															
CURRENT															
PS	\$1,436	\$1,971	\$2,659	\$3,328	\$2,719	\$2,820	\$2,961	\$2,724	\$2,746	\$3,389	\$2,735	\$4,890	\$34,378	\$2,084	\$36,462
OTPS	1,188	1,643	1,754	1,731	1,407	1,911	1,732	1,861	1,884	1,714	1,905	2,794	21,524	3,080	24,604
DEBT SERVICE	57	144	14	26	134	110	150	261	344	426	150	277	2,093	-	2,093
SUBTOTAL	2,681	3,758	4,427	5,085	4,260	4,841	4,843	4,846	4,974	5,529	4,790	7,961	57,995	5,164	63,159
PRIOR															
PS	1,784	780	33	53	60	50	50	50	40	40	30	30	3,000	-	3,000
OTPS	958	395	3	29	115	450	55	30	325	200	25	15	2,600	-	2,600
OTHER TAXES	79	127	-	-	-	-	-	-	-	-	-	-	206	-	206
DISALLOWANCE RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	1,113	1,113
SUBTOTAL	2,821	1,302	36	82	175	500	105	80	365	240	55	45	5,806	1,113	6,919
CAPITAL															
CITY DISBURSEMENTS	905	764	1,061	409	774	480	706	465	692	702	812	655	8,425	-	8,425
FEDERAL AND STATE	114	52	56	47	274	62	237	62	208	40	202	62	1,416	-	1,416
OTHER															
SENIOR COLLEGES	215	111	93	224	143	143	143	143	143	214	143	142	1,857	-	1,857
OTHER USES	86	-	103	-	-	-	-	-	-	-	-	197	386	-	386
TOTAL OUTFLOWS	\$6,822	\$5,987	\$5,776	\$5,847	\$5,626	\$6,026	\$6,034	\$5,596	\$6,382	\$6,725	\$6,002	\$9,062	\$75,885	\$6,277	\$82,162
NET CASH FLOW	\$8	(\$1,073)	\$1,811	(\$1,398)	(\$1,684)	\$2,513	\$1,577	(\$2,002)	\$2,076	(\$1,800)	(\$1,573)	\$2,768	\$1,223	\$1,404	\$2,627
BEGINNING BALANCE	\$4,604	\$4,612	\$3,539	\$5,350	\$3,952	\$2,268	\$4,781	\$6,358	\$4,356	\$6,432	\$4,632	\$3,059	\$4,604		
ENDING BALANCE	\$4,612	\$3,539	\$5,350	\$3,952	\$2,268	\$4,781	\$6,358	\$4,356	\$6,432	\$4,632	\$3,059	\$5,827	\$5,827		

Note: Cash flow does not include the \$2.4 billion of short-term seasonal borrowing in the July 2010 Plan.

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6A
(MILLIONS OF DOLLARS)

MONTH: SEPTEMBER
FISCAL YEAR 2011

	ACTUAL			FORECAST									12 Months	ADJUST- MENTS	TOTAL
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN			
SENIOR COLLEGES															
SENIOR COLLEGES COST (OUTFLOW)	(215)	(111)	(93)	(224)	(143)	(143)	(143)	(143)	(143)	(214)	(143)	(142)	(1,857)	-	(1,857)
SENIOR COLLEGES INFLOW - CURRENT	-	-	-	-	-	-	-	115	488	25	4	658	1,290	567	1,857
SENIOR COLLEGES INFLOW - PRIOR	288	2	1	143	267	-	1	152	-	-	-	-	854	(854)	-
NET SENIOR COLLEGES	73	(109)	(92)	(81)	124	(143)	(142)	124	345	(189)	(139)	516	287	(287)	-
CAPITAL															
<u>CURRENT CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	200	1,050	500	675	1,150	750	255	750	700	-	525	850	7,405	-	7,405
(INC)/DEC RESTRICTED CASH	200	72	54	41	(181)	(461)	213	(135)	(182)	723	48	(25)	367	(1,156)	(789)
SUBTOTAL	400	1,122	554	716	969	289	468	615	518	723	573	825	7,772	(1,156)	6,616
<u>PRIOR CITY CAPITAL TRANSFERS:</u>															
LONG TERM BORROWINGS	-	150	250	250	-	-	-	-	-	-	-	-	650	-	650
(INC)/DEC RESTRICTED CASH	205	(21)	225	15	250	225	150	75	35	-	-	-	1,159	-	1,159
SUBTOTAL	205	129	475	265	250	225	150	75	35	-	-	-	1,809	-	1,809
TOTAL CITY CAPITAL TRANSFERS	605	1,251	1,029	981	1,219	514	618	690	553	723	573	825	9,581	(1,156)	8,425
<u>FEDERAL AND STATE - INFLOWS:</u>															
CURRENT	3	4	68	75	26	75	37	72	57	34	305	376	1,132	284	1,416
PRIOR	-	-	-	-	73	122	-	89	-	-	-	-	284	(284)	-
TOTAL FEDERAL AND STATE INFLOWS	3	4	68	75	99	197	37	161	57	34	305	376	1,416	-	1,416
<u>CAPITAL OUTFLOWS:</u>															
CITY DISBURSEMENTS	(905)	(764)	(1,061)	(409)	(774)	(480)	(706)	(465)	(692)	(702)	(812)	(655)	(8,425)	-	(8,425)
FEDERAL AND STATE	(114)	(52)	(56)	(47)	(274)	(62)	(237)	(62)	(208)	(40)	(202)	(62)	(1,416)	-	(1,416)
TOTAL OUTFLOWS	(1,019)	(816)	(1,117)	(456)	(1,048)	(542)	(943)	(527)	(900)	(742)	(1,014)	(717)	(9,841)	-	(9,841)
<u>NET CAPITAL:</u>															
NET CITY CAPITAL	(300)	487	(32)	572	445	34	(88)	225	(139)	21	(239)	170	1,156	(1,156)	-
NET NON-CITY CAPITAL	(111)	(48)	12	28	(175)	135	(200)	99	(151)	(6)	103	314	-	-	-
NET TOTAL CAPITAL	(411)	439	(20)	600	270	169	(288)	324	(290)	15	(136)	484	1,156	(1,156)	-

NOTES TO REPORT #6/6A

1. Beginning Balance

The July 2010 beginning balance is preliminary and subject to the FY 2010 audited Comprehensive Annual Financial Report (CAFR).

2. Ending Balances

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations and the FY 2010 audited Comprehensive Annual Financial Report (CAFR). The June 2011 ending balance includes deferred revenue from FY 2012 prepaid Real Estate Taxes.

3. Long Term Borrowings

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. Restricted Cash Bond Proceeds

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.