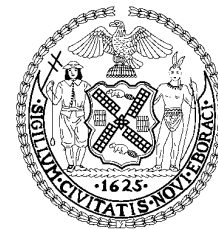
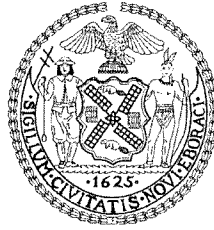


Financial Plan Statements for New York City April 2016



The City of New York



This report contains the Financial Plan Statements for April 2016 which have been prepared in accordance with the New York State Financial Emergency Act for the City of New York.

The fiscal year plan reflects the Financial Plan as submitted to the Financial Control Board on April 26, 2016.

The forecast of revenues and expenditures reflects actual revenue and expenditure performance to date and expected activity for the remainder of the fiscal year.

The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date.

A handwritten signature in black ink, appearing to read "Charles Brisky", written over a horizontal line.

Charles Brisky
Deputy Director for Expense
& Capital Budget Coordination
Mayor's Office of Management and Budget

THE CITY OF NEW YORK
BY

A handwritten signature in black ink, appearing to read "Tim Mulligan", written over a horizontal line.

Tim Mulligan
Deputy Comptroller of Budget
Office of the Comptroller

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NOTES TO FINANCIAL PLAN STATEMENTS

I. Summary of Significant Financial Policies, Procedures and Development

A. Financial Plan Statements

The City's Financial Plan Statements (FPS) represents the accounts of the General Fund and certain transactions of the Capital Projects Fund of the City, including the Department of Education and the City University of New York. They do not include the total operations of the New York City Health and Hospitals Corporation (HHC) but do include the City's subsidy to HHC.

The City's Financial Plan Statements incorporate the policies and procedures discussed in Note B. Such data are unaudited. Prior years' balances for cash, accounts receivable and outstanding obligations are consistent with the FY 2015 audited Comprehensive Annual Financial Report (CAFR). Whenever appropriate, adjustments have been incorporated into the Financial Plan Statements as they relate to the audit of the Comprehensive Annual Financial Report (CAFR). The Financial Plan on which these statements are based was prepared in accordance with generally accepted accounting principles (GAAP), except for the application of GASB 49 which prescribes the accounting treatment of pollution remediation costs.

B. Basis of Accounting

1. Revenues

Real estate tax revenue is recorded on the modified accrual basis of accounting, which recognizes as revenue payments received against the current year levy and late payments on prior year levies received within the first two months of the following year, reduced by estimated tax refunds to be made in the period.

Taxpayer assessed revenues (e.g. sales, income and certain excise taxes), net of estimated refunds, are recorded on a modified accrual basis. Revenues are susceptible to accrual if they are both measurable and available to be used to finance governmental operations during the year.

All other revenues are recorded when received in cash.

Federal categorical grants except as noted below are recognized as revenue as claims are filed during the year and adjusted at year-end for revenues earned but not claimed. State grants are recognized in the same manner. Advances provided to the City in anticipation of filing of claims by the City for federal and state reimbursement of Medicaid and welfare expenditures are recognized as revenue when received.

2. Expenditures

(a) Debt Service

Debt Service expenditures on general obligation issuances are recorded when City real estate tax collections are deposited into the Debt Service fund in advance of the actual debt service payment. Debt Service expenditures for the Transitional Finance Authority (TFA) are recorded when City personal income tax collections are retained by the TFA. Lease debt expenditures are recorded when the respective lease agreement requires City payment in advance of a payment to bondholders.

(b) Fixed Assets

Acquisitions of fixed assets having a useful life of more than five years and costing more than \$35,000 are treated as capital expenditures. All other acquisitions of fixed assets are treated as operating expenditures.

(c) Encumbrances

Encumbrances entered during FY 2016 for OTPS purchase orders and contracts expected to be received by June 30, 2016 are treated as expenditures.

(d) Risk Management

The City generally assumes the risk of its own losses with respect to most types of risks, including, but not limited to, property damage (both claims against the City and damage to the City's own property), personal injury, and workers' compensation; any losses incurred are paid out of the City's budget. The City's budgets and financial plans include estimates of judgments and claims to be settled annually, but there are no cash reserves for estimated losses incurred. Settlements reached or judgments entered during FY 2016 are recorded when paid and adjusted at year-end for any additional unpaid settlements reached or judgments entered during FY 2016.

(e) Vacation and Sick Leave

The annual costs of actual vacation and sick leave are recorded on a cash basis.

(f) Materials and Supplies

Purchases of materials and supplies are treated as expenditures when encumbered. No inventory accounts are included in the financial statements.

(g) General Reserve

The General Reserve provides for shortfalls in revenues and overruns in uncontrollable expenditures.

3. Capital Commitments

The reporting of actual capital commitments, as well as sources and uses of capital expenditures, are based upon the accounting period of the transaction.

C. Pension Plans

The City sponsors or participates in pension plans covering all eligible employees. Most plans require employee contributions. The plans provide pension benefits based on salary and length of service. In the event of disability during employment, participants may receive retirement allowances based on satisfaction of certain service requirements and other plan provisions. The City's main pension systems are the five major actuarial systems - The New York City Employees' Retirement System, The Teachers Retirement System, The Board of Education Retirement System, The New York City Police Pension Fund, Article 2 and the Fire Department Pension Fund Article 1-B.

The City also contributed to two other actuarial systems and sponsors two non-actuarial retirements' systems for certain employees, retirees and beneficiaries not covered by any of the five major actuarial systems. In addition, the City provides cost-of-living and other supplemental pensions to certain retirees of the actuarial and non-actuarial systems.

Financial Plan Statements can be accessed through the New York City Mayor's Office of Management and Budget's website at: www.nyc.gov/omb

Report No. 1 & 1A

Revenue and Obligation Forecast

**NEW YORK CITY
FINANCIAL PLAN SUMMARY
REPORT NO. 1
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2016**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	APR '16 PLAN
REVENUES:							
TAXES							
GENERAL PROPERTY TAX	\$ 517	\$ 517	\$ -	\$ 22,836	\$ 22,836	\$ -	\$ 22,815
OTHER TAXES	3,038	3,038	-	25,065	25,065	-	30,933
SUBTOTAL: TAXES	\$ 3,555	\$ 3,555	\$ -	\$ 47,901	\$ 47,901	\$ -	\$ 53,748
MISCELLANEOUS REVENUES	342	342	-	5,140	5,140	-	7,070
UNRESTRICTED INTGVT. AID	-	-	-	6	6	-	6
LESS: INTRA-CITY REVENUE DISALLOWANCES	(78)	(78)	-	(779)	(779)	-	(1,983)
	-	-	-	-	-	-	(15)
SUBTOTAL: CITY FUNDS	\$ 3,819	\$ 3,819	\$ -	\$ 52,268	\$ 52,268	\$ -	\$ 58,826
OTHER CATEGORICAL GRANTS	26	26	-	331	331	-	705
INTER-FUND REVENUES	34	34	-	305	305	-	583
FEDERAL CATEGORICAL GRANTS	636	636	-	3,991	3,991	-	8,467
STATE CATEGORICAL GRANTS	1,449	1,449	-	7,234	7,234	-	13,485
TOTAL REVENUES	\$ 5,964	\$ 5,964	\$ -	\$ 64,129	\$ 64,129	\$ -	\$ 82,066
EXPENDITURES:							
PERSONAL SERVICE	\$ 3,788	\$ 3,791	\$ 3	\$ 31,931	\$ 31,781	\$ (150)	\$ 44,152
OTHER THAN PERSONAL SERVICE	1,519	1,711	192	27,487	27,955	468	34,109
DEBT SERVICE	235	238	3	1,870	1,865	(5)	5,738
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	50
SUBTOTAL	\$ 5,542	\$ 5,740	\$ 198	\$ 61,288	\$ 61,601	\$ 313	\$ 84,049
LESS: INTRA-CITY EXPENSES	(78)	(78)	-	(779)	(779)	-	(1,983)
TOTAL EXPENDITURES	\$ 5,464	\$ 5,662	\$ 198	\$ 60,509	\$ 60,822	\$ 313	\$ 82,066
NET TOTAL	\$ 500	\$ 302	\$ 198	\$ 3,620	\$ 3,307	\$ 313	\$ -

Note: For additional details on revenues, see Report No. 3. For additional details on expenditures, see Report No. 4 and the corresponding notes.

NEW YORK CITY
MONTH - BY - MONTH - REVENUE AND OBLIGATION FORECAST
REPORT NO. 1A
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2016

	ACTUAL										FORECAST			
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	POST JUNE	FISCAL YEAR
REVENUES:														
TAXES														
GENERAL PROPERTY TAX	\$ 10,633	\$ 126	\$ 1,172	\$ 534	\$ 138	\$ 6,079	\$ 2,248	\$ 319	\$ 1,070	\$ 517	\$ 60	\$ 26	\$ (107)	\$ 22,815
OTHER TAXES	1,339	1,353	3,841	1,933	1,435	3,938	3,189	1,820	3,179	3,038	1,370	4,112	386	30,933
SUBTOTAL: TAXES	\$ 11,972	\$ 1,479	\$ 5,013	\$ 2,467	\$ 1,573	\$ 10,017	\$ 5,437	\$ 2,139	\$ 4,249	\$ 3,555	\$ 1,430	\$ 4,138	\$ 279	\$ 53,748
MISCELLANEOUS REVENUES	766	351	606	598	748	466	327	453	483	342	680	733	517	7,070
UNRESTRICTED INTGVT. AID	-	1	-	-	3	-	2	-	-	-	-	-	-	6
LESS: INTRA-CITY REVENUE	(20)	(3)	(52)	(22)	(75)	(161)	(44)	(193)	(131)	(78)	(200)	(487)	(517)	(1,983)
DISALLOWANCES	-	-	-	-	-	-	-	-	-	-	-	-	(15)	(15)
SUBTOTAL: CITY FUNDS	\$ 12,718	\$ 1,828	\$ 5,567	\$ 3,043	\$ 2,249	\$ 10,322	\$ 5,722	\$ 2,399	\$ 4,601	\$ 3,819	\$ 1,910	\$ 4,384	\$ 264	\$ 58,826
OTHER CATEGORICAL GRANTS	13	123	29	33	12	22	22	13	38	26	37	337	-	705
INTER-FUND REVENUES	-	-	32	41	19	17	17	59	86	34	127	81	70	583
FEDERAL CATEGORICAL GRANTS	77	41	318	558	371	399	600	417	574	636	895	829	2,752	8,467
STATE CATEGORICAL GRANTS	5	11	926	877	796	307	128	410	2,325	1,449	1,510	1,781	2,960	13,485
TOTAL REVENUES	\$ 12,813	\$ 2,003	\$ 6,872	\$ 4,552	\$ 3,447	\$ 11,067	\$ 6,489	\$ 3,298	\$ 7,624	\$ 5,964	\$ 4,479	\$ 7,412	\$ 6,046	\$ 82,066
EXPENDITURES:														
PERSONAL SERVICE	\$ 2,099	\$ 2,436	\$ 3,038	\$ 4,203	\$ 3,141	\$ 3,478	\$ 3,095	\$ 3,426	\$ 3,227	\$ 3,788	\$ 3,258	\$ 6,848	\$ 2,115	\$ 44,152
OTHER THAN PERSONAL SERVICE	9,996	3,367	2,636	1,795	1,640	1,653	1,247	1,471	2,163	1,519	2,041	2,672	1,909	34,109
DEBT SERVICE	66	55	131	343	49	214	94	474	209	235	74	3,752	42	5,738
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	-	-	-	-	-	-	50	50
SUBTOTAL	\$ 12,161	\$ 5,858	\$ 5,805	\$ 6,341	\$ 4,830	\$ 5,345	\$ 4,436	\$ 5,371	\$ 5,599	\$ 5,542	\$ 5,373	\$ 13,272	\$ 4,116	\$ 84,049
LESS: INTRA-CITY EXPENSES	(20)	(3)	(52)	(22)	(75)	(161)	(44)	(193)	(131)	(78)	(200)	(487)	(517)	(1,983)
TOTAL EXPENDITURES	\$ 12,141	\$ 5,855	\$ 5,753	\$ 6,319	\$ 4,755	\$ 5,184	\$ 4,392	\$ 5,178	\$ 5,468	\$ 5,464	\$ 5,173	\$ 12,785	\$ 3,599	\$ 82,066
NET TOTAL	\$ 672	\$ (3,852)	\$ 1,119	\$ (1,767)	\$ (1,308)	\$ 5,883	\$ 2,097	\$ (1,880)	\$ 2,156	\$ 500	\$ (694)	\$ (5,373)	\$ 2,447	\$ -

Report No. 2

Analysis of Change in Fiscal Year Plan

NEW YORK CITY
ANALYSIS OF CHANGE IN FISCAL YEAR FORECAST
REPORT NO. 2
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2016

	INITIAL PLAN <u>6/26/2015</u>	1st QUARTER MOD <u>CHANGES</u>	PRELIMINARY BUDGET <u>CHANGES</u>	EXECUTIVE BUDGET <u>CHANGES</u>	ADOPTED BUDGET <u>CHANGES</u>	CURRENT PLAN <u>4/26/2016</u>
REVENUES:						
TAXES						
GENERAL PROPERTY TAX	\$ 22,384	\$ 52	\$ 120	\$ 259	\$ -	\$ 22,815
OTHER TAXES	29,835	211	753	134	-	30,933
SUBTOTAL: TAXES	<u>\$ 52,219</u>	<u>\$ 263</u>	<u>\$ 873</u>	<u>\$ 393</u>	<u>\$ -</u>	<u>\$ 53,748</u>
MISCELLANEOUS REVENUES	6,539	199	179	153	-	7,070
UNRESTRICTED INTGVT. AID	-	1	3	2	-	6
LESS: INTRA-CITY REVENUE	(1,769)	(159)	(73)	18	-	(1,983)
DISALLOWANCES	(15)	-	-	-	-	(15)
SUBTOTAL: CITY FUNDS	<u>\$ 56,974</u>	<u>\$ 304</u>	<u>\$ 982</u>	<u>\$ 566</u>	<u>\$ -</u>	<u>\$ 58,826</u>
OTHER CATEGORICAL GRANTS	856	31	(124)	(58)	-	705
INTER-FUND REVENUES	575	2	29	(23)	-	583
FEDERAL CATEGORICAL GRANTS	7,146	901	617	(197)	-	8,467
STATE CATEGORICAL GRANTS	12,977	165	274	69	-	13,485
TOTAL REVENUES	<u>\$ 78,528</u>	<u>\$ 1,403</u>	<u>\$ 1,778</u>	<u>\$ 357</u>	<u>\$ -</u>	<u>\$ 82,066</u>
EXPENDITURES:						
PERSONAL SERVICE	43,424	116	722	(110)	-	44,152
OTHER THAN PERSONAL SERVICE	32,439	1,363	568	(261)	-	34,109
DEBT SERVICE	2,934	83	1,761	960	-	5,738
CAPITAL STABILIZATION RESERVE	500	-	(500)	-	-	-
GENERAL RESERVE	1,000	-	(700)	(250)	-	50
SUBTOTAL	<u>\$ 80,297</u>	<u>\$ 1,562</u>	<u>\$ 1,851</u>	<u>\$ 339</u>	<u>\$ -</u>	<u>\$ 84,049</u>
LESS: INTRA-CITY EXPENSES	(1,769)	(159)	(73)	18	-	(1,983)
TOTAL EXPENDITURES	<u>\$ 78,528</u>	<u>\$ 1,403</u>	<u>\$ 1,778</u>	<u>\$ 357</u>	<u>\$ -</u>	<u>\$ 82,066</u>

Report No. 3

Revenue Activity by Major Area

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2016

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	APR '16 PLAN
TAXES:							
GENERAL PROPERTY TAX	\$ 517	\$ 517	\$ -	\$ 22,836	\$ 22,836	\$ -	\$ 22,815
PERSONAL INCOME TAX	1,545	1,545	-	9,252	9,252	-	10,894
GENERAL CORPORATION TAX	90	90	-	2,694	2,694	-	3,587
BANKING CORPORATION TAX	(4)	(4)	-	316	316	-	317
UNINCORPORATED BUSINESS TAX	522	522	-	1,663	1,663	-	2,027
GENERAL SALES TAX	552	552	-	5,698	5,698	-	6,968
REAL PROPERTY TRANSFER TAX	108	108	-	1,411	1,411	-	1,716
MORTGAGE RECORDING TAX	94	94	-	1,031	1,031	-	1,192
COMMERCIAL RENT TAX	13	13	-	578	578	-	770
UTILITY TAX	34	34	-	273	273	-	370
OTHER TAXES	16	16	-	787	787	-	1,220
TAX AUDIT REVENUES	68	68	-	968	968	-	1,060
STAR PROGRAM	-	-	-	394	394	-	812
SUBTOTAL TAXES	\$ 3,555	\$ 3,555	\$ -	\$ 47,901	\$ 47,901	\$ -	\$ 53,748
MISCELLANEOUS REVENUES:							
LICENSES/FRANCHISES/ETC.	60	60	-	586	586	-	690
INTEREST INCOME	4	4	-	41	41	-	46
CHARGES FOR SERVICES	55	55	-	768	768	-	993
WATER AND SEWER CHARGES	-	-	-	1,516	1,516	-	1,516
RENTAL INCOME	37	37	-	215	215	-	257
FINES AND FORFEITURES	80	80	-	830	830	-	937
MISCELLANEOUS	28	28	-	405	405	-	648
INTRA-CITY REVENUE	78	78	-	779	779	-	1,983
SUBTOTAL MISCELLANEOUS REVENUES	\$ 342	\$ 342	\$ -	\$ 5,140	\$ 5,140	\$ -	\$ 7,070
UNRESTRICTED INTGVT. AID	-	-	-	6	6	-	6
LESS: INTRA-CITY REVENUES	(78)	(78)	-	(779)	(779)	-	(1,983)
DISALLOWANCES	-	-	-	-	-	-	(15)
SUBTOTAL CITY FUNDS	\$ 3,819	\$ 3,819	\$ -	\$ 52,268	\$ 52,268	\$ -	\$ 58,826

NEW YORK CITY
REVENUE ACTIVITY BY MAJOR AREA (RECOGNITION BASIS)
REPORT NO. 3
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2016

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	APR '16 PLAN
OTHER CATEGORICAL GRANTS	\$ 26	\$ 26	\$ -	\$ 331	\$ 331	\$ -	\$ 705
INTER-FUND REVENUES	34	34	-	305	305	-	583
FEDERAL CATEGORICAL GRANTS:							
COMMUNITY DEVELOPMENT	34	34	-	416	416	-	1,352
WELFARE	287	287	-	2,004	2,004	-	3,418
EDUCATION	96	96	-	515	515	-	1,668
OTHER	219	219	-	1,056	1,056	-	2,029
SUBTOTAL FEDERAL CATEGORICAL GRANTS	\$ 636	\$ 636	\$ -	\$ 3,991	\$ 3,991	\$ -	\$ 8,467
STATE CATEGORICAL GRANTS:							
WELFARE	87	87	-	760	760	-	1,650
EDUCATION	1,254	1,254	-	5,839	5,839	-	9,744
HIGHER EDUCATION	-	-	-	181	181	-	271
HEALTH AND MENTAL HYGIENE	89	89	-	303	303	-	583
OTHER	19	19	-	151	151	-	1,237
SUBTOTAL STATE CATEGORICAL GRANTS	\$ 1,449	\$ 1,449	\$ -	\$ 7,234	\$ 7,234	\$ -	\$ 13,485
TOTAL REVENUES	\$ 5,964	\$ 5,964	\$ -	\$ 64,129	\$ 64,129	\$ -	\$ 82,066

Report No. 4 & 4A

Obligation Analysis and Personal Service Expenditures

**NEW YORK CITY
OBLIGATION ANALYSIS
REPORT NO. 4
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2016**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	APR '16 PLAN
UNIFORMED FORCES							
POLICE DEPT.	\$ 533	\$ 592	\$ 59	\$ 4,622	\$ 4,546	\$ (76)	\$ 5,521
FIRE DEPT.	207	198	(9)	1,757	1,684	(73)	2,059
DEPT. OF CORRECTION	145	149	4	1,123	1,091	(32)	1,344
SANITATION DEPT.	90	101	11	1,298	1,299	1	1,562
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	90	151	61	2,429	2,468	39	2,962
DEPT. OF SOCIAL SERVICES	500	676	176	7,918	8,000	82	9,377
DEPT. OF HOMELESS SERVICES	91	62	(29)	1,120	1,129	9	1,325
HEALTH & MENTAL HYGIENE	110	113	3	1,230	1,305	75	1,506
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	87	80	(7)	743	741	(2)	1,071
ENVIRONMENTAL PROTECTION	81	96	15	1,141	1,196	55	1,475
TRANSPORTATION DEPT.	73	73	-	834	847	13	963
PARKS & RECREATION DEPT.	40	46	6	388	397	9	495
DEPT. OF CITYWIDE ADMIN. SERVICES	33	23	(10)	1,017	961	(56)	1,139
ALL OTHER	307	358	51	4,163	4,422	259	5,201
MAJOR ORGANIZATIONS							
DEPT. OF EDUCATION	1,353	1,340	(13)	16,421	16,439	18	22,372
CITY UNIVERSITY	145	92	(53)	759	810	51	1,070
HEALTH & HOSPITALS CORP.	215	208	(7)	606	611	5	894
OTHER							
MISCELLANEOUS BUDGET	488	424	(64)	4,625	4,562	(63)	9,037
PENSION CONTRIBUTIONS	719	720	1	7,224	7,228	4	9,288
DEBT SERVICE	235	238	3	1,870	1,865	(5)	5,738
PRIOR YEAR ADJUSTMENTS	-	-	-	-	-	-	(400)
CAPITAL STABILIZATION RESERVE	-	-	-	-	-	-	-
GENERAL RESERVE	-	-	-	-	-	-	50
SUBTOTAL	\$ 5,542	\$ 5,740	\$ 198	\$ 61,288	\$ 61,601	\$ 313	\$ 84,049
LESS: INTRA-CITY EXPENSES	(78)	(78)	-	(779)	(779)	-	(1,983)
TOTAL EXPENDITURES	\$ 5,464	\$ 5,662	\$ 198	\$ 60,509	\$ 60,822	\$ 313	\$ 82,066

**NEW YORK CITY
PERSONAL SERVICE EXPENDITURES
REPORT NO. 4A
(MILLIONS OF DOLLARS)**

**MONTH: APRIL
FISCAL YEAR 2016**

	CURRENT MONTH			YEAR-TO-DATE			FISCAL YEAR
	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	ACTUAL	APR '16 PLAN	BETTER/ (WORSE)	APR '16 PLAN
UNIFORMED FORCES							
POLICE DEPT.	\$ 505	\$ 527	\$ 22	\$ 4,084	\$ 3,938	\$ (146)	\$ 4,762
FIRE DEPT.	194	197	3	1,562	1,460	(102)	1,799
DEPT. OF CORRECTION	135	134	(1)	985	938	(47)	1,148
SANITATION DEPT.	85	84	(1)	746	761	15	911
HEALTH & WELFARE							
ADMIN. FOR CHILD SERVICES	49	56	7	347	353	6	435
DEPT. OF SOCIAL SERVICES	90	94	4	649	675	26	812
DEPT. OF HOMELESS SERVICES	17	21	4	121	133	12	165
HEALTH & MENTAL HYGIENE	47	48	1	324	335	11	405
OTHER AGENCIES							
HOUSING PRESERVATION & DEV.	17	19	2	124	134	10	162
ENVIRONMENTAL PROTECTION	54	56	2	419	414	(5)	499
TRANSPORTATION DEPT.	49	53	4	364	363	(1)	444
PARKS & RECREATION DEPT.	34	38	4	294	292	(2)	367
CITYWIDE ADMIN. SERVICES	19	19	-	135	136	1	164
ALL OTHER	189	204	15	1,323	1,393	70	1,682
MAJOR ORGANIZATIONS							
DEPT. OF EDUCATION	1,110	1,138	28	9,721	9,768	47	14,441
CITY UNIVERSITY	70	64	(6)	535	572	37	692
OTHER							
MISCELLANEOUS BUDGET	405	319	(86)	2,974	2,888	(86)	5,976
PENSION CONTRIBUTIONS	719	720	1	7,224	7,228	4	9,288
TOTAL	\$ 3,788	\$ 3,791	\$ 3	\$ 31,931	\$ 31,781	\$ (150)	\$ 44,152

NOTES TO REPORTS NO. 4 AND 4A

The current month, year-to-date and fiscal year data in Reports No. 4 and 4A are based on the Financial Plan submitted to the Financial Control Board on April 26, 2016. The actuals and projections in the forecasts are based on the best information available to the City at the date of preparation and certain assumptions and methods of estimation, which are considered reasonable and appropriate for purposes of the report as of such date. In some instances prior year charges are reflected in FY 2016 year-to-date expenses. These will be journaled back to prior years at a later date.

Police Department: The \$(76) million year-to-date variance is primarily due to:

- \$(13) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.
- \$83 million in delayed encumbrances, including \$76 million for other services and charges and \$6 million for contractual services, that will be obligated later in the fiscal year.
- \$(146) million in personal services, including \$(201) million for prior year charges, \$(20) million for overtime, \$(13) million for differentials, \$(12) million for terminal leave and \$(4) million for all other, offset by \$91 million for full-time normal gross, \$6 million for other salaried positions and \$5 million for holiday pay.

Fire Department: The \$(73) million year-to-date variance is primarily due to:

- \$(2) million in accelerated encumbrances, primarily for property and equipment, that was planned to be obligated later in the fiscal year.
- \$31 million in delayed encumbrances, including \$13 million for contractual services, \$9 million for other services and charges and \$9 million for supplies and materials, that will be obligated later in the fiscal year.
- \$(102) million in personal services, including \$(132) million for prior year charges and \$(4) million for terminal leave, offset by \$30 million for full-time normal gross and \$6 million for fringe benefits.

Department of Correction: The \$(32) million year-to-date variance is primarily due to:

- \$(2) million in accelerated encumbrances, including \$(1) million for social services and \$(1) million for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$17 million in delayed encumbrances, including \$10 million for contractual services and \$5 million for other services and charges, that will be obligated later in the fiscal year.

- \$(47) million in personal services, including \$(62) million for overtime and \$(57) million for prior year charges, offset by \$60 million for full-time normal gross and \$13 million for differentials.

Administration for Children's Services: The \$39 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for social services, that was planned to be obligated later in the fiscal year.
- \$34 million in delayed encumbrances, including \$23 million for other services and charges, \$8 million for contractual services and \$2 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$6 million in personal services.

Department of Social Services: The \$82 million year-to-date variance is primarily due to:

- \$56 million in delayed encumbrances, including \$27 million for contractual services, \$20 million for other services and charges, \$6 million for medical assistance and \$2 million for public assistance, that will be obligated later in the fiscal year.
- \$26 million in personal services, including \$(14) million for differentials, \$(9) million for overtime, \$(8) million for other salaried positions and \$(5) million for prior year charges, offset by \$62 million for full-time normal gross.

Health and Mental Hygiene: The \$75 million year-to-date variance is primarily due to:

- \$64 million in delayed encumbrances, including \$28 million for other services and charges, \$22 million for contractual services, \$6 million for supplies and materials and \$6 million for social services that will be obligated later in the fiscal year.
- \$11 million in personal services, including \$(5) million for differentials, \$(5) million for prior year charges and \$(3) million for holiday pay, offset by \$17 million for full-time normal gross and \$7 million for other salaried positions.

Environmental Protection: The \$55 million year-to-date variance is primarily due to:

- \$(1) million in accelerated encumbrances, primarily for supplies and materials, that was planned to be obligated later in the fiscal year.
- \$61 million in delayed encumbrances, including \$37 million for contractual services, \$14 million for other services and charges and \$9 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.
- \$(5) million in personal services.

Transportation Department: The \$13 million year-to-date variance is primarily due to:

- \$(5) million in accelerated encumbrances, primarily for contractual services, that was planned to be obligated later in the fiscal year.
- \$19 million in delayed encumbrances, including \$15 million for other services and charges and \$4 million for property and equipment, that will be obligated later in the fiscal year.
- \$(1) million in personal services.

Department of Citywide Administrative Services: The \$(56) million year-to-date variance is primarily due to:

- \$(63) million in accelerated encumbrances, including \$(55) million for other services and charges, \$(5) million for supplies and materials and \$(3) million for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$6 million in delayed encumbrances, primarily for contractual services, that will be obligated later in the fiscal year.
- \$1 million in personal services.

Department of Education: The \$18 million year-to-date variance is primarily due to:

- \$(144) million in accelerated encumbrances, including \$(67) million for supplies and materials, \$(50) million for property and equipment and \$(27) million for fixed and miscellaneous charges, that was planned to be obligated later in the fiscal year.
- \$115 million in delayed encumbrances, including \$91 million for contractual services and \$24 million for other services and charges, that will be obligated later in the fiscal year.
- \$47 million in personal services, including \$(55) million for prior year charges, \$(35) million for other salaried positions, \$(21) million for all other, \$(6) million for differentials and \$(6) million for overtime, offset by \$103 million for fringe benefits, \$55 million for full-time normal gross and \$11 million for terminal leave.

City University: The \$51 million year-to-date variance is primarily due to:

- \$(8) million in accelerated encumbrances, including \$(5) million for supplies and materials and \$(3) million for property and equipment, that was planned to be obligated later in the fiscal year.
- \$22 million in delayed encumbrances, including \$11 million for other services and charges and \$10 million for fixed and miscellaneous charges, that will be obligated later in the fiscal year.

- \$37 million in personal services, including \$(4) million for other salaried positions, \$(3) million for overtime and \$(2) million for prior year charges, offset by \$27 million for fringe benefits, \$17 million for full-time normal gross, and \$3 million for all other.

Miscellaneous Budget: The \$(63) million year-to-date variance is primarily due to:

- \$(86) million in fringe benefits reflecting accelerated encumbrances, that was planned to be obligated later in the fiscal year.
- \$14 million in judgments and claims reflecting delayed encumbrances, that will be obligated later in the fiscal year.
- \$9 million in other reflecting delayed encumbrances, that will be obligated later in the fiscal year.

Report No. 5

Capital Commitments

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

MONTH: APRIL			FISCAL YEAR: 2016		
DESCRIPTION	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	FISCAL YEAR PLAN
TRANSIT	\$0.0 (C) 0.0 (N)	\$0.0 0.0	\$220.0 (C) 0.0 (N)	\$0.0 0.0	\$333.2 (C) 0.0 (N)
HIGHWAY AND STREETS	18.3 (C) 2.9 (N)	40.3 8.5	167.3 (C) 92.4 (N)	135.5 92.7	546.4 (C) 164.4 (N)
HIGHWAY BRIDGES	32.3 (C) 0.0 (N)	0.0 0.0	91.1 (C) 79.3 (N)	18.4 41.0	216.2 (C) 117.4 (N)
WATERWAY BRIDGES	19.9 (C) 0.0 (N)	0.0 0.0	9.8 (C) 35.3 (N)	(23.3) 0.0	134.2 (C) 37.9 (N)
WATER SUPPLY	1.8 (C) 0.0 (N)	0.0 0.0	17.1 (C) 0.0 (N)	0.0 0.0	102.2 (C) 0.0 (N)
WATER MAINS, SOURCES & TREATMENT	37.6 (C) 0.0 (N)	63.8 0.8	213.8 (C) 0.0 (N)	181.0 0.8	679.7 (C) 1.7 (N)
SEWERS	51.3 (C) 0.0 (N)	54.2 0.0	188.2 (C) 1.3 (N)	203.9 1.2	472.0 (C) 19.5 (N)
WATER POLLUTION CONTROL	58.0 (C) (0.3) (N)	13.5 0.0	381.2 (C) (1.7) (N)	221.4 0.0	925.9 (C) 21.4 (N)
ECONOMIC DEVELOPMENT	8.9 (C) 6.0 (N)	0.2 0.0	99.8 (C) 15.5 (N)	59.7 8.4	532.5 (C) 124.7 (N)
EDUCATION	0.0 (C) 0.0 (N)	0.0 0.0	2,121.2 (C) 48.9 (N)	2,121.2 50.0	2,821.3 (C) 329.0 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL COMMITMENTS
REPORT NO. 5
(Dollars in Millions)**

DESCRIPTION	MONTH: APRIL		FISCAL YEAR: 2016		FISCAL YEAR PLAN
	CURRENT MONTH ACTUAL	PLAN	YEAR-TO-DATE ACTUAL	PLAN	
CORRECTION	3.3 (C) 1.0 (N)	0.0 0.0	59.1 (C) 2.0 (N)	99.9 12.2	387.4 (C) 71.0 (N)
SANITATION	23.1 (C) 0.0 (N)	10.8 0.0	146.0 (C) (0.4) (N)	152.8 0.0	250.1 (C) 2.4 (N)
POLICE	13.7 (C) 0.0 (N)	4.4 22.0	120.8 (C) 1.0 (N)	99.1 23.0	425.4 (C) 25.9 (N)
FIRE	5.1 (C) 0.0 (N)	0.1 0.0	74.7 (C) (11.1) (N)	1.7 0.0	210.3 (C) 4.9 (N)
HOUSING	13.2 (C) 0.0 (N)	0.1 0.0	440.6 (C) 53.6 (N)	77.8 0.2	1,460.3 (C) 72.6 (N)
HOSPITALS	5.0 (C) 39.8 (N)	22.0 8.0	98.0 (C) 85.9 (N)	85.6 36.7	269.5 (C) 144.5 (N)
PUBLIC BUILDINGS	6.8 (C) 0.0 (N)	0.5 0.0	77.5 (C) 0.0 (N)	78.4 (7.8)	180.3 (C) 0.0 (N)
PARKS	13.4 (C) 0.8 (N)	3.8 0.4	131.3 (C) 130.6 (N)	42.7 25.8	574.6 (C) 245.0 (N)
ALL OTHER DEPARTMENTS	50.1 (C) 8.6 (N)	33.9 16.7	602.1 (C) 29.5 (N)	421.0 25.3	2,665.1 (C) 302.8 (N)
TOTAL	\$362.0 (C) \$58.8 (N)	\$247.6 \$56.5	\$5,259.7 (C) \$562.3 (N)	\$3,976.8 \$309.5	\$13,186.6 (C) \$1,685.0 (N)

SYMBOLS:
(C) CITY FUNDS
(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**NEW YORK CITY
CAPITAL COMMITMENTS
REPORT NO. 5
(MILLIONS IN DOLLARS)**

Month: April

Fiscal Year: 2016

City Funds:

Total Authorized Commitment Plan	\$13,187
Less: Reserve for Unattained Commitments	<u>(3,809)</u>
Commitment Plan	<u>\$9,378</u>

Non-City Funds:

Total Authorized Commitment Plan	\$1,685
Less: Reserve for Unattained Commitments	<u>0</u>
Commitment Plan	<u>\$1,685</u>

Month and year-to-date variances for City funds are reported against the authorized FY 2017 Executive Capital Commitment Plan of \$13,187 million rather than the Financial Plan level of \$9,378 million. The additional \$3,809 million of commitments is included to help the City meet its overall Financial Plan target. Aggregate commitments are not expected to exceed the approved plan level as it may be modified from time to time.

NOTES TO REPORT #5

1. Capital Commitments: Commitments are defined as awarded contracts registered with the City Comptroller except for Waterway and Highway Bridge projects performed jointly with the State. Certain non-City commitments for Bridge projects are not registered with the City Comptroller. These commitments are reported when the State advertises the work. Beginning in Fiscal Year 2000, reported education commitments have been changed to represent master contract registrations with the Comptroller. Previously, reported education commitments represented Construction Authority contract registrations.

2. Variances in year-to-date commitments of City funds through April are primarily due to timing differences.

Waterway Bridges	-	Rehabilitation of Brooklyn Bridge, totaling \$34.2 million, advanced from June 2016 to December 2015 and March thru April 2016. Various slippages and advances account for the remaining variance.
Correction	-	Correction Facilities, Construction, Reconstruction and Improvements, City-wide, totaling \$22.4 million, slipped from July 2015 thru March 2016 to May 2016. Communication System Improvements, totaling \$7.9 million, slipped from July 2015 to May 2016. Purchase of Computer Equipment for use by the Department of Correction, totaling \$8.2 million, slipped from July 2015 to May 2016. Various slippages and advances account for the remaining variance.
Economic Development	-	Acquisition, Site Development, Construction and Reconstruction, City-wide, totaling \$29.4 million, advanced from May and June 2016 to July 2015 thru April 2016. Brooklyn Navy Yard, totaling \$5.5 million, advanced from June 2016 to November 2015 thru January 2016. Modernization and Reconstruction of Piers, City-wide, totaling \$3.4 million, advanced from June 2016 to September 2015 thru April 2016. Various slippages and advances account for the remaining variance.
Fire	-	Vehicle Acquisition, City-wide, totaling \$22.5 million, advanced from June 2016 to August 2015 thru March 2016. Facility Improvements, City-wide, totaling \$44.4 million, advanced from June 2016 to July 2015 thru April 2016. New Training Center for the New York City Fire Department, totaling \$3.5 million, advanced from June 2016 to July 2015 thru February 2016. Various slippages and advances account for the remaining variance.

- Highway Bridges - Improvements to Highway Bridges and Structures, City-wide, totaling \$38.7 million, advanced from May and June 2016 to September 2015 thru April 2016. Design Cost for Bridge Facilities, City-wide, totaling \$3.7 million, advanced from June 2016 and future periods to April 2016. Bridge Painting, City-wide, totaling \$8.6 million, advanced from June 2016 to April 2016. Reconstruction of Metropolitan Ave Bridge over LIRR Montauk Bridge, Queens, totaling \$4.4 million, advanced from June 2016 to April 2016. Reconstruction of Unionport Road Bridger over Westchester Creek, The Bronx, totaling \$14.8 million, advanced from June 2016 to April 2016. Various slippages and advances account for the remaining variance.
- Highways - Grade, Regulate and Pave Various Streets, Staten Island, totaling \$3.4 million, slipped from August 2015 thru January 2016 to May 2016. Resurfacing of Streets, City-wide, totaling \$4.6 million, advanced from May and June 2016 to April 2016. Land Acquisition for Streets & Sewers, totaling \$8.7 million, slipped from April 2016 to May 2016. Grade and Pave, South Jamaica area, totaling \$2.0 million, advanced from June 2016 to December 2015. Rehabilitation of Step Streets, City-wide, totaling \$5.1 million, slipped from December 2015 thru April 2016 to May 2016. Repaving and Resurfacing Streets, City-wide, totaling \$50.0 million, advanced from June 2016 to March 2016. Construction of Street Malls, totaling \$8.7 million, slipped from December 2015 and April 2016 to June 2016. Various slippages and advances account for the remaining variance.
- Housing - Housing Authority Projects, totaling \$166.6 million, advanced from June 2016 to July 2015 thru April 2016. Funding for Housing, City-wide, totaling \$3.8 million, advanced from June 2016 to April 2016. The Promesa Housing Development Fund Corporation, totaling \$2.5 million, advanced from June 2016 to March 2016. Affordable Neighborhood Cooperative Program, totaling \$2.9 million, advanced from June 2016 to July 2015 and April 2016. Low Income Housing Tax Credit (LIHTC) Projects, totaling \$7.2 million, advanced from June 2016 to September thru December 2015 and March 2016. Computer Purchases and Upgrades, totaling \$4.1 million, advanced from June 2016 to September 2015 thru April 2016. Deregistration of contracts for the Neighborhood Entrepreneur Program, totaling \$3.1 million, occurred in March 2016. Article 8A Loan Program, totaling \$3.8 million, advanced from June 2016 to December 2015 and February 2016. Supportive Housing Rehabilitation, totaling \$8.7 million, slipped from December 2015 to May 2016. Participation Loan Program (PLP) Rehabilitation, totaling \$14.5 million, advanced from June 2016 to October and December 2015. Spring Creek Associated Costs, Brooklyn, totaling \$5.5 million, slipped from December 2015 and January 2016 to May 2016. Third Party Transfer Programs, City-wide,

totaling \$27.2 million, advanced from June 2016 to December 2015 and February 2016. Assisted Living and Senior Housing, City-wide, totaling \$17.6 million, advanced from June 2016 to August and September 2015. Low and Mixed Income Rental Programs, totaling \$94.8 million, advanced from June 2016 to July thru December 2015 and March 2016. Supportive Housing, totaling \$29.1 million, advanced from June 2016 to November and December 2015. Various slippages and advances account for the remaining variance.

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| Hospitals | <ul style="list-style-type: none"> - Hospital Improvements, City-wide, totaling \$16.4 million, slipped from January thru April 2016 to May 2016. Purchase of Equipment for H+H Funds, City-wide, totaling \$5.2 million, advanced from June 2016 to March and April 2016. Emergency Medical Services Equipment, totaling \$25.6 million, advanced from May and June 2016 to August 2015 and January thru April 2016. Various slippages and advances account for the remaining variance. |
| Parks | <ul style="list-style-type: none"> - Miscellaneous Parks, Parkways, Playgrounds and Structures, City-wide, totaling \$22.3 million, advanced from May and June 2016 to July 2015 thru April 2016. Street and Park Tree Planting, City-wide, totaling \$21.6 million, advanced from June 2016 to December 2015 and January thru April 2016. Rehabilitation of Upper Highland Park, Queens, totaling \$3.7 million, advanced from June 2016 to March 2016. Park Improvements, City-wide, totaling \$23.5 million, advanced from June 2016 to July 2015 thru April 2016. Hudson River Trust, totaling \$10.0 million, advanced from June 2016 to October 2015. Construction and Reconstruction of Roofing Systems, City-wide, totaling \$2.2 million, advanced from June 2016 to October and November 2015. Various slippages and advances account for the remaining variance. |
| Police | <ul style="list-style-type: none"> - Purchases of Vehicles and Equipment, City-wide, totaling \$2.3 million, advanced from June 2016 to February and March 2016. Acquisition and Installation of New Computer Equipment, City-wide, totaling \$12.5 million, advanced from May and June 2016 to January thru April 2016. Vehicles of at least \$35,000 after November 1, 1999, totaling \$4.8 million, advanced from May and June 2016 to March and April 2016. Various slippages and advances account for the remaining variance. |
| Sanitation | <ul style="list-style-type: none"> - Garage and Other Facilities Improvements, City-wide, totaling \$15.4 million, slipped from November 2015 thru April 2016 to May 2016. Collection Trucks and Equipment, totaling \$19.2 million, advanced from May and June 2016 to April 2016. Construction and Reconstruction of Marine Transfer Stations, totaling \$2.1 |

million, advanced from June 2016 to February thru April 2016. Purchase of Electronic Data Processing Equipment, totaling \$13.8 million, slipped from January and April 2016 to May 2016. Various slippages and advances account for the remaining variance.

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| Sewers | <ul style="list-style-type: none"> - Construction and Reconstruction of Sanitary and Combined Sewers, totaling \$49.4 million, slipped from July 2015 thru April 2016 to May 2016. High Level Storm Sewers, totaling \$26.7 million, advanced from May and June 2016 to April 2016. Construction and Reconstruction of Storm Sewers, totaling \$6.6 million, slipped from July 2015 thru April 2016 to May 2016. Engineering, Architecture and other Administrative Costs, totaling \$5.5 million, advanced from June 2016 to January thru April 2016. Land Acquisition and Storm Water Management, Staten Island, totaling \$5.9 million, advanced from June 2016 to August thru November 2015 and February thru April 2016. Various slippages and advances account for the remaining variance. |
| Water Supply | <ul style="list-style-type: none"> - Additional Water Supply Emergency and Permanent, totaling \$10.3 million, advanced from June 2016 to July 2015 thru April 2016. City Tunnel Number 3, Stage 2, totaling \$6.8 million, advanced from June 2016 to July 2015 thru March 2016. Various slippages and advances account for the remaining variance. |
| Water Mains | <ul style="list-style-type: none"> - Water Main Extensions, City-wide, totaling \$8.9 million, advanced from May 2016 to April 2016. Trunk Main Extensions and Improvements, totaling \$7.0 million, slipped from April 2016 to May 2016. Construction of Croton Filtration, totaling \$15.7 million, advanced from June 2016 to August 2015 thru April 2016. Improvements to Structures, Including Equipment on Sheds Outside of the City, totaling \$11.8 million, advanced from June 2016 to July 2015 thru April 2016. Water Supply Improvements, City-wide, totaling \$3.3 million, advanced from June 2016 to November 2015 thru February 2016. Various slippages and advances account for the remaining variance. |
| Water Pollution Control | <ul style="list-style-type: none"> - Reconstruction of Water Pollution Projects, totaling \$42.1 million, advanced from June 2016 to November 2015 thru April 2016. North River Water Pollution Control Project, totaling \$8.0 million, advanced from June 2016 to September 2015 and February 2016. Combined Sewer Overflow Abatement Facilities, City-wide, totaling \$95.1 million, advanced from June 2016 to October 2015 thru April 2016. Deregistration of contracts for the Upgrade Bowery Bay Water Pollution Control Project, totaling \$7.0 million, occurred in October 2015 and January 2016. Upgrading Tallsmans Island Water Pollution Control Plant, totaling \$7.0 |

million, advanced from June 2016 to July 2015 thru April 2016. Construction and Reconstruction of Pumping Station/Force Mains, City-wide, totaling \$2.6 million, advanced from June 2016 to January thru April 2016. Engineering, Architecture, Administration and Other Costs for the Department of Water Resources, totaling \$2.3 million, advanced from June 2016 to September thru October 2015 and March thru April 2016. Bionutrient Removal Facilities, City-wide, totaling \$6.6 million, advanced from June 2016 to July 2015 thru March 2016. Various slippages and advances account for the remaining variance.

Others

- New Bronx Criminal Court – Phase 1, totaling \$17.4 million, advanced from June 2016 to September and November 2015 and March 2016.
- Mandated Payments for Private Gas Utility Relocation for the Department of Environmental Protection, City-wide, totaling \$9.2 million, advanced from May and June 2016 to January thru April 2016. Remedial Action at Closed Landfills, totaling \$7.3 million, advanced from June 2016 to July thru September 2015 and January and April 2016. Installation of Water Measuring Devices, totaling \$35.9 million, advanced from June 2016 to December 2015 thru April 2016.
- Ferry Maintenance Facility, totaling \$2.0 million, advanced from June 2016 to February 2016. Reconstruction of Ferry Vessels, Staten Island to Manhattan Service, totaling \$5.1 million, advanced from June 2016 to July 2015 thru February 2016.
- Congregate Facilities for Homeless Single Adults, totaling \$7.1 million, advanced from June 2016 to August 2015 thru April 2016.
- Improvements to Medical Examiner Facilities, City-wide, totaling \$2.1 million, advanced from June 2016 to March and April 2016. Richmond University Medical Center, totaling \$2.5 million, advanced from June 2016 to April 2016.
- Construction and Improvements to CUNY Community Colleges, City-wide, totaling \$6.2 million, advanced from June 2016 to July 2015 thru February 2016. Funding for Site Acquisition, Construction and Reconstruction, totaling \$2.5 million, advanced from June 2016 to September and October 2015.

Construction and Improvements to CUNY Senior Colleges, City-wide, totaling \$15.4 million, advanced from June 2016 to July 2015 thru April 2016.

- Computer Equipment for Human Resources, totaling \$4.9 million, advanced from June 2016 to August 2015 thru April 2016.
- Construction, Reconstruction, Improvements, Acquisition, Outfit and Equipment of Branch Libraries, City-wide, totaling \$11.7 million, advanced from June 2016 to July 2015 thru April 2016. QBPL Systems and Equipment Purchases, Queens, totaling \$2.1 million, advanced from June 2016 to July 2015 thru January 2016.
- Revenue Vehicles for Surface Transit Service, totaling \$8.0 million, advanced from June 2016 to March 2016.
- Purchase of Electronic Data Processing Equipment, City-wide, totaling \$6.6 million, advanced from June 2016 to March and April 2016. Judgements and Settlements in Connection with Capital Projects, totaling \$22.8 million, slipped from July 2015 thru April 2016 to May 2016.
- Improvements to Metropolitan Museum of Art, totaling \$6.0 million, advanced from June 2016 to November 2015. New York Zoological Society, totaling \$3.1 million, slipped from November 2015 and April 2016 to May 2016. Staten Island Zoological Society, totaling \$7.7 million, slipped from April 2016 to May 2016. Improvements to New York Botanical Gardens, totaling \$8.6 million, advanced from June 2016 to November 2015 and February 2016. Brooklyn Botanical Gardens, totaling \$5.0 million, advanced from June 2016 to July 2015. Flea Theater Inc., totaling \$4.9 million, advanced from June 2016 to April 2016. Manhattan Class Company Inc., totaling \$11.8 million, slipped from January and April 2016 to May 2016.
- Improvements to Rapid Transit System, totaling \$4.0 million, advanced from June 2016 to March 2016. Miscellaneous Reconstruction to Transit Lines Under Operation, City-wide, totaling \$35.0 million, advanced from June 2016 to February 2016. Various Transit Authority Projects and Purchases, totaling \$177.3 million, advanced from June 2016 to March 2016.

- Purchase of Equipment for use by the Department of Transportation, totaling \$11.0 million, advanced from June 2016 to September 2015 thru April 2016.
- Installation of Street-Surface Markings and Traffic Signals, City-wide, totaling \$28.6 million, advanced from June 2016 to October 2015 thru March 2016.

3. Variances in year-to-date commitments of non-City funds through April occurred in the Department of Transportation, the Department of Corrections, the New York City Economic Development Corporation, the Fire Department, the Department of Housing Preservation and Development, Hospitals, the Department of Parks and Recreation, the Police Department, Public Buildings and Others.

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| Waterway Bridges | - | Rehabilitation of Brooklyn Bridge, totaling \$36.8 million, advanced from June 2016 to November 2015. Various slippages and advances account for the remaining variance. |
| Corrections | - | Correctional Facilities, totaling \$10.2 million, slipped from July 2015 and January thru February 2016 to May 2016. Various slippages and advances account for the remaining variance. |
| Economic Development | - | Acquisition, Site Development, Construction and Reconstruction, City-wide, totaling \$7.1 million, advanced from June 2016 to August 2015 thru April 2016. Various slippages and advances account for the remaining variance. |
| Fire | - | Deregistration of contracts for Fire boats, totaling \$7.7 million, occurred in August 2015. Deregistration of contracts for Facility Improvements, City-wide, totaling \$2.3 million, occurred in August and September 2015. Various slippages and advances account for the remaining variance. |
| Highway Bridges | - | Improvements to Highway Bridges and Structures, City-wide, totaling \$3.3 million, advanced from June 2016 to October 2015 and February 2016. Bridge Rehabilitation, Mill Basin, totaling \$35.0 million, advanced from June 2016 to November 2015. Various slippages and advances account for the remaining variance. |

Housing	-	Housing Authority City Capital Subsidies, totaling \$66.2 million, advanced from June 2016 to November and December 2015. Deregistration of contracts for the Neighborhood Entrepreneur Program, totaling \$2.3 million, occurred in March 2016. Deregistration of contracts for Assisted Living and Senior Housing, City-wide, totaling \$15.2 million, occurred in September 2015. Supportive Housing, totaling \$5.2 million, advanced from June 2016 to November 2015. Various slippages and advances account for the remaining variance.
Hospitals	-	Hospital Improvements, City-wide, totaling \$49.2 million, advanced from May thru June 2016 to August 2015 thru April 2016. Various slippages and advances account for the remaining variance.
Parks	-	Park Improvements, City-wide, totaling \$104.8 million, advanced from June 2016 to July 2015 thru April 2016. Various slippages and advances account for the remaining variance.
Police	-	Improvements to the Police Department, totaling \$21.9 million, slipped from April 2016 to May 2016. Various slippages and advances account for the remaining variance.
Public Buildings	-	Planned Deregistration of contracts for Court Facilities, City-wide, totaling \$5.8 million, slipped from March 2016 to May 2016.
Others	-	Revenue Vehicles for Surface Transit Service, totaling \$8.0 million, advanced from June 2016 to March 2016.
	-	Traffic Installation for Bridges, Highways and Street Projects, City-wide, totaling \$3.5 million, slipped from April 2016 to May 2016. Bus Rapid Transit, City-wide, totaling \$12.0 million, slipped from April 2016 to May 2016.

Report No. 5A

Capital Cash Flow

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

	MONTH: APRIL		FISCAL YEAR: 2016	
DESCRIPTION	CURRENT MONTH		YEAR-TO-DATE	FISCAL YEAR
	ACTUAL		ACTUAL	PLAN
TRANSIT	\$0.0 (C)		\$38.9 (C)	\$46.7 (C)
	0.0 (N)		0.0 (N)	(0.0) (N)
HIGHWAY AND STREETS	11.4 (C)		179.9 (C)	228.9 (C)
	3.5 (N)		57.3 (N)	42.7 (N)
HIGHWAY BRIDGES	10.4 (C)		96.7 (C)	154.8 (C)
	10.1 (N)		112.9 (N)	94.1 (N)
WATERWAY BRIDGES	1.5 (C)		56.1 (C)	70.8 (C)
	7.2 (N)		43.8 (N)	36.4 (N)
WATER SUPPLY	7.5 (C)		101.9 (C)	268.3 (C)
	0.0 (N)		0.0 (N)	0.0 (N)
WATER MAINS, SOURCES & TREATMENT	38.7 (C)		382.3 (C)	444.7 (C)
	0.1 (N)		1.0 (N)	1.4 (N)
SEWERS	28.5 (C)		242.0 (C)	250.1 (C)
	0.2 (N)		1.0 (N)	2.8 (N)
WATER POLLUTION CONTROL	35.3 (C)		374.6 (C)	446.6 (C)
	(0.3) (N)		0.6 (N)	(7.9) (N)
ECONOMIC DEVELOPMENT	5.0 (C)		153.5 (C)	172.1 (C)
	0.5 (N)		8.4 (N)	25.1 (N)
EDUCATION	0.0 (C)		1,460.1 (C)	1,701.4 (C)
	0.0 (N)		1,017.3 (N)	1,198.5 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

**CITY OF NEW YORK
CAPITAL CASHFLOW
REPORT NO. 5A
(Dollars in Millions)**

	MONTH: APRIL		FISCAL YEAR: 2016	
DESCRIPTION	CURRENT MONTH ACTUAL		YEAR-TO-DATE ACTUAL	FISCAL YEAR PLAN
CORRECTION	18.0 (C)		64.7 (C)	66.7 (C)
	0.0 (N)		0.2 (N)	13.5 (N)
SANITATION	13.4 (C)		308.7 (C)	332.8 (C)
	0.3 (N)		2.9 (N)	(2.0) (N)
POLICE	13.8 (C)		149.9 (C)	139.4 (C)
	0.1 (N)		0.5 (N)	(1.2) (N)
FIRE	10.7 (C)		76.2 (C)	71.7 (C)
	0.0 (N)		(6.9) (N)	(4.3) (N)
HOUSING	34.6 (C)		487.3 (C)	506.4 (C)
	1.3 (N)		16.1 (N)	19.7 (N)
HOSPITALS	7.6 (C)		70.2 (C)	94.5 (C)
	0.0 (N)		18.1 (N)	36.4 (N)
PUBLIC BUILDINGS	8.2 (C)		95.1 (C)	103.6 (C)
	0.0 (N)		0.0 (N)	(0.1) (N)
PARKS	15.4 (C)		262.8 (C)	326.8 (C)
	2.1 (N)		181.5 (N)	168.1 (N)
ALL OTHER DEPARTMENTS	63.6 (C)		692.8 (C)	1,072.1 (C)
	6.9 (N)		72.4 (N)	165.4 (N)
TOTAL	\$323.7 (C)		\$5,293.7 (C)	\$6,498.6 (C)
	\$32.2 (N)		\$1,527.1 (N)	\$1,788.8 (N)

SYMBOLS:

(C) CITY FUNDS

(N) NON-CITY FUNDS, FEDERAL AND OTHER SOURCES

Report No. 6

Month-by-Month Cash Flow Forecast

NEW YORK CITY
MONTH - BY - MONTH CASH FLOW FORECAST
REPORT NO. 6
(MILLIONS OF DOLLARS)

MONTH: APRIL
FISCAL YEAR 2016

	ACTUAL										FORECAST		12	ADJUST-			
	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	Months	MENTS	TOTAL		
CASH INFLOWS																	
CURRENT																	
GENERAL PROPERTY TAX	\$ 4,133	\$ 126	\$ 772	\$ 934	\$ 138	\$ 5,579	\$ 2,948	\$ 319	\$ 1,070	\$ 517	\$ 60	\$ 6,526	\$ 23,122	\$ (307)	\$ 22,815		
OTHER TAXES	622	1,322	3,584	2,180	1,463	3,766	3,310	1,853	3,017	3,177	1,392	4,076	29,762	1,171	30,933		
FEDERAL CATEGORICAL GRANTS	248	47	434	82	428	411	517	355	623	523	868	915	5,451	3,016	8,467		
STATE CATEGORICAL GRANTS	261	276	1,460	(166)	994	728	(130)	527	3,540	496	1,164	1,333	10,483	3,002	13,485		
OTHER CATEGORICAL GRANTS	23	160	26	30	(1)	38	25	9	38	18	37	66	469	236	705		
UNRESTRICTED (NET OF DISALL.)	-	1	-	-	3	-	2	-	-	-	-	-	6	(15)	(9)		
MISCELLANEOUS REVENUES	746	348	434	576	673	305	283	260	352	264	480	246	4,967	120	5,087		
INTER-FUND REVENUES	-	-	32	41	19	17	17	59	86	34	127	81	513	70	583		
SUBTOTAL	\$ 6,033	\$ 2,280	\$ 6,742	\$ 3,677	\$ 3,717	\$ 10,844	\$ 6,972	\$ 3,382	\$ 8,726	\$ 5,029	\$ 4,128	\$ 13,243	\$ 74,773	\$ 7,293	\$ 82,066		
PRIOR																	
OTHER TAXES	1,042	249	-	-	-	-	-	-	-	-	-	-	1,291	-	1,291		
FEDERAL CATEGORICAL GRANTS	110	542	432	301	265	547	122	50	117	24	135	249	2,894	807	3,701		
STATE CATEGORICAL GRANTS	5	313	130	431	133	-	114	53	286	3	50	26	1,544	562	2,106		
OTHER CATEGORICAL GRANTS	5	152	18	-	1	5	1	12	1	1	5	2	203	311	514		
UNRESTRICTED INTGVT. AID	-	-	-	-	-	-	-	-	-	-	-	-	-	4	4		
MISC. REVENUE/IFA	-	204	80	-	-	-	-	-	-	-	-	17	301	(301)	-		
SUBTOTAL	\$ 1,162	\$ 1,460	\$ 660	\$ 732	\$ 399	\$ 552	\$ 237	\$ 115	\$ 404	\$ 28	\$ 190	\$ 294	\$ 6,233	\$ 1,383	\$ 7,616		
CAPITAL																	
CAPITAL TRANSFERS	513	264	317	958	715	237	502	119	1,318	170	881	135	6,129	370	6,499		
FEDERAL AND STATE	863	9	12	25	15	60	22	30	56	776	137	269	2,274	(485)	1,789		
OTHER																	
SENIOR COLLEGES	-	-	-	431	20	20	251	232	512	-	-	830	2,296	-	2,296		
HOLDING ACCT. & OTHER ADJ.	19	5	1	10	(31)	(2)	3	-	16	(1)	(20)	-	-	-	-		
OTHER SOURCES	374	113	-	376	1	-	460	-	-	536	-	-	1,860	-	1,860		
TOTAL INFLOWS	\$ 8,964	\$ 4,131	\$ 7,732	\$ 6,209	\$ 4,836	\$ 11,711	\$ 8,447	\$ 3,878	\$ 11,032	\$ 6,538	\$ 5,316	\$ 14,771	\$ 93,565	\$ 8,561	\$ 102,126		
CASH OUTFLOWS																	
CURRENT																	
PERSONAL SERVICE	2,358	2,292	3,028	3,682	3,109	3,688	3,230	3,262	3,133	3,423	3,258	6,269	40,732	3,420	44,152		
OTHER THAN PERSONAL SERVICE	1,558	2,272	2,261	2,314	2,101	2,091	2,006	2,313	2,170	2,624	2,070	2,930	26,710	5,466	32,176		
DEBT SERVICE	212	(127)	(40)	(611)	6	6	935	(4)	13	234	144	3,434	4,202	1,536	5,738		
SUBTOTAL	\$ 4,128	\$ 4,437	\$ 5,249	\$ 5,385	\$ 5,216	\$ 5,785	\$ 6,171	\$ 5,571	\$ 5,316	\$ 6,281	\$ 5,472	\$ 12,633	\$ 71,644	\$ 10,422	\$ 82,066		
PRIOR																	
PERSONAL SERVICE	1,406	924	53	85	655	203	(68)	175	86	(1)	24	527	4,069	1,476	5,545		
OTHER THAN PERSONAL SERVICE	1,195	605	3	2	187	310	374	137	158	164	197	168	3,500	2,858	6,358		
OTHER TAXES	38	61	-	-	-	-	-	-	-	-	-	-	99	-	99		
DISALLOWANCE RESERVE	-	-	1	-	-	-	-	-	1	-	-	-	2	1,114	1,116		
SUBTOTAL	\$ 2,639	\$ 1,590	\$ 57	\$ 87	\$ 842	\$ 513	\$ 306	\$ 312	\$ 245	\$ 163	\$ 221	\$ 695	\$ 7,670	\$ 5,448	\$ 13,118		
CAPITAL																	
CITY DISBURSEMENTS	703	355	525	415	544	556	794	394	683	324	651	555	6,499	-	6,499		
FEDERAL AND STATE	234	32	238	43	256	168	127	75	323	32	215	46	1,789	-	1,789		
OTHER																	
SENIOR COLLEGES	164	193	210	301	110	200	155	111	211	211	211	219	2,296	-	2,296		
OTHER USES	-	-	84	-	-	222	-	381	70	-	-	-	757	1,103	1,860		
TOTAL OUTFLOWS	\$ 7,868	\$ 6,607	\$ 6,363	\$ 6,231	\$ 6,968	\$ 7,444	\$ 7,553	\$ 6,844	\$ 6,848	\$ 7,011	\$ 6,770	\$ 14,148	\$ 90,655	\$ 16,973	\$ 107,628		
NET CASH FLOW	\$ 1,096	\$ (2,476)	\$ 1,369	\$ (22)	\$ (2,132)	\$ 4,267	\$ 894	\$ (2,966)	\$ 4,184	\$ (473)	\$ (1,454)	\$ 623	\$ 2,910	\$ (8,412)	\$ (5,502)		
BEGINNING BALANCE	\$ 9,502	\$ 10,598	\$ 8,122	\$ 9,491	\$ 9,469	\$ 7,337	\$ 11,604	\$ 12,498	\$ 9,532	\$ 13,716	\$ 13,243	\$ 11,789	\$ 9,502				
ENDING BALANCE	\$ 10,598	\$ 8,122	\$ 9,491	\$ 9,469	\$ 7,337	\$ 11,604	\$ 12,498	\$ 9,532	\$ 13,716	\$ 13,243	\$ 11,789	\$ 12,412	\$ 12,412				

NOTES TO REPORT #6

1. Beginning Balance

The July 2015 beginning balance is consistent with the FY 2015 audited Comprehensive Annual Financial Report (CAFR).

2. Ending Balances

The actual monthly ending cash balances are subject to restatement after the completion of bank reconciliations. The June 2016 ending balance includes deferred revenue from FY 2017 prepaid Real Estate Taxes.

3. Long Term Borrowings

Long Term Borrowings are comprised of proceeds of City general obligation bonds, NYC TFA debt and Water Authority revenue bonds, exclusive of bonds issued for refunding.

4. Restricted Cash Bond Proceeds

In certain instances a portion of the proceeds from each bond issuance is for capital expenditures to be made in succeeding months. Restricted cash bond proceeds accounts have been set up for this purpose. Balances in these accounts are excluded from the cash balances that are reflected in Report #6.

5. Cash Flow Realignment

Taxes reflect revenue net of refunds which may result in a negative cash flow in certain months. For Federal, State and Other Categorical Grants, a negative cash flow may result from corrections to certain grants that occur after the monthly accounting close in which cash is moved between grants and/or between current year/prior year classification. These negative cash flow transactions are accounting transactions reflected in the City's Financial Management System. For Debt Service, the negative cash flow represents real estate tax collections transferred from the Debt Service fund due to an over-retention in the prior year.