

Under the CARES Act, certain participants, certified as qualified individuals, whether active or severed from City service, were eligible to withdraw funds from their 457, 401(k), 401(a) plan or NYCE IRA, penalty free, up to \$100,000 in 2020. This is referred to as a coronavirus-related distribution (CRD).

- · Participant may recontribute all or part of the CRD to the Plan within 3 years, beginning after the date the CRD was received.
- Participant can recontribute the CRD by sending a check or wire to the Plan, (each recontribution amount must be a minimum \$100). Repayment is not subject to interest.
- · Amounts that are recontributed to the Plan are treated as a trustee-to-trustee transfer and are not included in income.
- · Please consult with your tax advisor for further information on making a recontribution to the Plan.

Please complete this form if you wish to <u>recontribute</u> all or a portion of your coronavirus-related distribution (CRD) back into your Deferred Compensation Plan or NYCE IRA account.

I. Personal Information

PARTICIPANT SSN (INCLUDE LAST 4 DIGITS)	DATE OF BIRTH	AREA CODE	DAYTIME PHONE NUMBER	AREA CODE	MOBILE PHONE NUMBER		
	MMIDDIYY						
LAST NAME			FIRST NAME		MI.		
HOME MAILING ADDRESS - NUMBER AND STREET	CHECK HERE IF THIS IS A NEW ADDR	RESS			APT. NO.		
CITY		STATE	ZIP CODE	E-MAIL ADDRESS			
AGENCY/FORMER AGENCY NAME							

II. Recontribution Amount (Each recontribution payment amount must be a minimum of \$100)

A qualified individual may recontribute all or part of their CRD to the Plan (or another eligible retirement plan) within 3 years, beginning after the date the CRD was received. Amounts that are recontributed are treated as a trustee-to-trustee transfer and are not included in income.

Recontribution Amount: \$

III. Recontribution Plan/Account

The Recontribution Amount must be returned to the Plan/account from which it was taken. If you took a distribution from more than one plan, please complete a separate form for each recontribution.

Please indicate below which account your original CARES Act distribution was taken from. Your recontribution amount will be deposited back into this account.

- 1) Dere-Tax 457 Plan account: D 01 Account or D 02 Account
- 2) Pre-Tax 401(k) Plan account: O 03 Account or O 04 Account
- 3) 🔲 401(k) Special Rollover Account: 05 Account
- 4) 🔲 401(a) Plan account: 06 Account
- 5) Traditional NYCE IRA account

IV. Investment of Recontribution

For a description of the investment funds, refer to the Summary Guide of 457& 401(k) Plan Provisions. Note: This allocation will not affect any current or future investment allocations you have with the Plan. You can make changes to your account by either visiting the Plan's Web site at http://nyc.gov/deferredcomp or via the telephone by calling (212) 306-7760.

Ι.	Pre-Arranged Portfolios: Choose	only one:		
	1. 🔲 2060 Fund	4. 🔲 2045 Fund	7. 🔲 2030 Fund	10. 🔲 2015 Fund
	2. 🔲 2055 Fund	5. 🔲 2040 Fund	8. 🔲 2025 Fund	11. 🔲 2010 Fund
	3. 🔲 2050 Fund	6. 🔲 2035 Fund	9. 🔲 2020 Fund	12. Static Allocation Fund
			- OF -	
١١.	Core Investment Options Create	your own portfolio: Enter the percentage (in whole	numbers) to be deposited in each investment option	n. The total must add up to 100%.
	1. Stable Income Fund	%	5. Mid-Cap Equity Index Fund	%
	2. Bond Index Fund	%	6. International Equity Fund	%
	3. Equity Index Fund	%	7. Small-Cap Equity Fund	%
	4. Global Socially Responsible	Index Fund %	Investment allocation must total 100%	6 1 0 0 %

|--|

V. Taxes

Pursuant to the CARES Act, the entire CRD must be reported on a Form 1099-R for tax year 2020 but may be included in income ratably over 3 years. For example, an individual who withdraws \$30,000 in 2020 may report \$10,000 of income in 2020, 2021, and 2022. However, any amounts recontributed to the Plan reduce the amount of the CRD included in taxable income in the year of recontribution and may be eligible to be carried back to prior tax years.

A qualified individual may recontribute all or part of the CRD to the Plan (or another eligible retirement plan) within 3 years, beginning after the date the CRD was received. Amounts that are recontributed are treated as a trustee-to-trustee transfer and are not included in income.

Qualified individuals will use Form 8915-E to report a CRD and any recontribution made prior to filing their return. Form 8915-E also is used to determine the amount of the CRD includible in income for the tax year. Please consult with your tax advisor for further information on making a recontribution to the Plan.

VI. Recontribution Payment Instructions

For 457 Recontributions, make check/wire payable to City of New York Deferred Compensation 457 Plan

For 401(k) Recontributions, make check/wire payable to City of New York Deferred Compensation 401(k) Plan

For NYCE IRA Recontributions, make check/wire payable to the Traditional NYCE IRA

Please include the participant's name and last four digits of Social Security number on the check.

Mail (do not fax) this form and your recontribution check together to:

Regular Mail	Express Mail	Wire Instructions
NYC Deferred Compensation Plan P.O. Box 392057 Pittsburgh, PA 15251-9057	NYC Deferred Compensation Plan Box # 392057 500 Ross Street 154-0455 Pittsburgh, PA 15262-0001	BNY Mellon ABA/Routing Number 021000018 For Credit to New York City Deferred Compensation Plan/NYCE IRA Account Number 8900623829 FBO (Name of Account Owner)

VII. Signature

AUTHORIZATION AND SIGNATURE - The Coronavirus Aid, Relief and Economic Security Act of 2020 ("CARES Act") was signed into law on March 27, 2020. The CARES Act permits a qualified individual who receives a coronavirus-related distribution (CRD) to recontribute, at any time in a 3-year period, starting in tax year 2020, any portion of the distribution to the Deferred Compensation Plan (Plan) or an eligible retirement plan that is permitted to accept eligible rollover contributions.

I understand that the Plan will only accept a recontribution of a CRD distributed by the Plan. I understand that I cannot recontribute an amount in excess of the CRD received from the Plan. The recontribution will be treated as having been made in a trustee-to-trustee transfer to the Plan. I understand that only certain types of distributions are eligible for recontribution and that it is solely my responsibility to ensure such eligibility.

By signing below, I affirm that the funds I am recontributing are, in fact, eligible for such treatment. I understand that the Plan will not be held responsible for any tax penalties that may occur for an incomplete submission or for a transfer of funds ineligible for rollover treatment. I understand that if the recontribution assets ("Assets") are received before this form is received by the Deferred Compensation Plan's Administrative Office, the Assets will be allocated in the same manner as my current Investment Allocation for that particular Plan designated for incoming assets. I further understand that I can transfer my money between investment options at any time through the Plan's website or IVR using my PIN. For transactions made through the website or IVR, the Plan will act on my instructions; neither the City of New York nor the Plan's recordkeeper, Voya, will be liable for any investment loss, liability, cost or expense for implementing any such instructions.

I understand that my participation in the Deferred Compensation Plan is governed by the applicable Plan Document, the Internal Revenue Code, and state and local laws and regulations. Administrative charges are currently \$20.00 per quarter in the Deferred Compensation Plan. In addition, the Plan's investment funds are assessed an annualized administrative fee of .0004. I understand that I can obtain information about the Plan's investment options, including descriptions and/or prospectuses, by contacting the Plan's Administrative Office at (212) 306-7760.

My signature indicates that I have read and understand the effect of my election and agree to all pages of this form. I affirm that all information provided is true and accurate.

SIGNATURE