

**THE COMPTROLLER OF THE CITY OF NEW YORK**  
-----X

**In the matter of the Complaint of**  
**LOCAL 237, INTERNATIONAL BROTHERHOOD OF**  
**TEAMSTERS**

**NOTICE**  
**OF**  
**FILING**

**Against**


**CITY OF NEW YORK OFFICE OF LABOR RELATIONS,**

**For a determination of the prevailing rate of wage and**  
**supplements in accordance with New York State Labor Law**  
**Article Eight.**

-----X

PLEASE TAKE NOTICE that annexed hereto is a true copy of a Consent Determination that was duly filed on August 6, 2020 in the Office of the Comptroller in the matter of a complaint for the fixation of compensation of Maintenance Worker (90698).

**Scott M. Stringer**  
**Comptroller of the City of New York**  
**One Centre Street**  
**New York, NY 10007**

By:   
\_\_\_\_\_  
Wasyl Kinach, P.E.  
Director of Classifications  
Bureau of Labor Law  
Tel: (212) 669-2203  
Fax: (212) 815-8584

TO: RENE CAMPION  
Commissioner  
City of New York Office of Labor Relations  
22 Cortlandt Street, 28th Floor  
New York, NY 10007

GREGORY FLOYD  
President  
Teamsters Local 237  
216 West 14<sup>th</sup> Street  
New York, NY 10011

**BEFORE THE COMPTROLLER OF THE CITY OF NEW YORK**

-----X  
**In the Matter of the Complaints on behalf of employees in the title:**

**MAINTENANCE WORKER (90698)**

**for the fixation of their compensation as employees of the City of New York, et al., at the prevailing rate of wages pursuant to New York State Labor Law § 220 et seq.**

-----X

**CONSENT DETERMINATION**

A Complaint under Section 220 of the New York State Labor Law, having been filed by City Employees Union, Local 237, International Brotherhood of Teamsters, representing employees of the City of New York, et al., in the above referenced titles ("employees"), and this Consent Determination having been agreed to between the Mayor's Office of Labor Relations ("OLR") on behalf of the City of New York, et al., and the Complainant, compromising and settling certain disputes of basic rates of wages, supplemental benefits and jurisdiction on all issues of law and fact as to the titles set forth in the caption,

**NOW, THEREFORE, IT IS HEREBY DETERMINED BY CONSENT that:**

The compromised basic rate of wages and supplemental benefits agreed upon are and have been for the above mentioned employees of the City of New York, et al., as follows:

MAINTENANCE WORKER (HIRED BEFORE 3/1/06)

| PERIOD                  | INCUMBENT HOURLY RATE | SATURDAY RATE | OVERTIME/ SUNDAY/ HOLIDAY RATE |
|-------------------------|-----------------------|---------------|--------------------------------|
| 12/17/2017 - 01/16/2018 | \$29.00               | \$36.25       | \$43.50                        |
| 01/17/2018 - 12/16/2018 | \$29.58               | \$36.98       | \$44.37                        |
| 12/17/2018 - 03/16/2020 | \$30.25               | \$37.81       | \$45.38                        |
| 03/17/2020 - 01/1/2022  | \$31.16               | \$38.95       | \$46.74                        |

MAINTENANCE WORKER (HIRED ON OR AFTER 3/1/06)\*

| PERIOD                  | STEP 1<br>(New Hire) |               |                                | STEP 2<br>(Beginning of Second Year) |               |                                |
|-------------------------|----------------------|---------------|--------------------------------|--------------------------------------|---------------|--------------------------------|
|                         | HOURLY RATE          | SATURDAY RATE | OVERTIME/ SUNDAY/ HOLIDAY RATE | HOURLY RATE                          | SATURDAY RATE | OVERTIME/ SUNDAY/ HOLIDAY RATE |
| 12/17/2017 - 01/16/2018 | \$27.58              | \$34.48       | \$41.37                        | \$27.91                              | \$34.89       | \$41.87                        |
| 01/17/2018 - 12/16/2018 | \$28.13              | \$35.16       | \$42.20                        | \$28.47                              | \$35.59       | \$42.71                        |
| 12/17/2018 - 10/16/2019 | \$28.76              | \$35.95       | \$43.14                        | \$29.11                              | \$36.39       | \$43.67                        |

NEW HIRE RATE (EFFECTIVE 10/17/19)

| PERIOD                  | NEW HIRE RATE (first two years) |               |                                |
|-------------------------|---------------------------------|---------------|--------------------------------|
|                         | HOURLY RATE                     | SATURDAY RATE | OVERTIME/ SUNDAY/ HOLIDAY RATE |
| 10/17/2019 - 03/16/2020 | \$29.11                         | \$36.39       | \$43.67                        |
| 03/17/2020 - 01/1/2022  | \$29.98                         | \$37.48       | \$44.97                        |

**\* At the beginning of the employee’s third year they shall receive the incumbent rate that is in effect on that date.**

Saturday and Sunday rates shall be paid for days worked on a Saturday or Sunday when such day is part of the regular work week.

Work performed in excess of the regularly scheduled forty (40) hours shall be paid for in cash at the aforesaid overtime rate. For the purposes of this paragraph, paid holidays shall be considered as time actually worked.

Effective October 17, 2019, work performed in excess of forty (40) hours in pay status shall be paid for in cash at the aforesaid overtime rate. Hours in pay status shall include paid holidays and all paid leave, such as annual leave and sick leave.

In addition to the above rates, effective December 17, 2017 through October 16, 2019, a shift differential of \$3.94 per shift shall be paid for scheduled work actually performed between the hours of 4:00 P.M. and 8:00 A.M. Such differential shall be paid in addition to the rates set forth herein above for work performed for any day of the week including Saturdays, Sundays and holidays. Such differential shall be paid if one (1) or more hour of the scheduled shift falls within the period of 4:00 P.M. to 8:00 A.M.

Effective October 17, 2019, the shift differential will be paid for scheduled work actually performed between the hours of 8:00 P.M. and 8:00 A.M and shall be increased to \$5.00 per shift. Employees will no longer receive a shift differential for scheduled work performed between the hours of 4:00 P.M. and 8:00 P.M. Such differential shall be paid if one (1) or more hours of the scheduled shift falls within the period of 8:00 P.M. to 8:00 A.M.

Appendix A is modified to provide for:

**Annual Leave:**

Effective December 17, 2017:

The annual leave allowance for Employees who were hired on or after December 15, 1985 shall accrue as follows:

| <u>Years In Service</u>                     | <u>Allowance</u> | <u>Monthly Accrual (hh:mm)</u> |
|---|------------------|--------------------------------|
| At the beginning of the employee's 1st year | 14 days          | 09:20                          |
| At the beginning of the employee's 5th year | 19 days          | 12:40                          |
| At the beginning of the employee's 8th year | 24 days          | 16:00                          |

At the beginning of the employee's 15th year                      26 days                      17:20

**The annual leave\* allowance for employees who work at the Housing Authority who were hired on or after December 15, 1985, shall accrue as follows:**

| <u>Years In Service</u>                     | <u>Allowance</u> | <u>Monthly Accrual (hh:mm)</u> |
|---|------------------|--------------------------------|
| At the beginning of the employee's 1st year | 21 days          | 14:00                          |
| At the beginning of the employee's 5th year | 29 days          | 19:20                          |
| At the beginning of the employee's 9th year | 35 days          | 23:20                          |

**\*Annual Leave at the Housing Authority includes vacation, sick, personal business and religious observance days. These provisions supersede the annual leave accrual schedule set forth in the Personnel Rules and Regulations of the New York City Housing Authority.**

The following shall be deemed holidays and work performed on these days shall be paid at the aforesaid holiday rates:

- |                             |                  |
|-----------------------------|------------------|
| NEW YEAR'S DAY              | LABOR DAY        |
| MARTIN LUTHER KING, JR. DAY | COLUMBUS DAY     |
| LINCOLN'S BIRTHDAY          | ELECTION DAY     |
| WASHINGTON'S BIRTHDAY       | VETERAN'S DAY    |
| MEMORIAL DAY                | THANKSGIVING DAY |
| INDEPENDENCE DAY            | CHRISTMAS DAY    |

It is the intention of the parties that work actually performed on a holiday shall be paid at the holiday rate in addition to the paid holiday.

In the event that subsequent to the date of this determination, and prior to the entry of the next determination, additional paid holidays are granted to the City employees covered under the Career and Salary Plan, then in that event such additional holidays shall be deemed to be included in the List of Holidays for which holiday premium rates are to be paid.

**Leave Reg. Days:** Effective December 17, 2017, the leave benefits set forth in Article III, Sections (1)(a)-(f) of Appendix A annexed hereto shall continue to apply.

### **Welfare Fund**

A Welfare Fund contribution shall continue to be paid effective December 17, 2017 at the rate of \$1,855 per annum per active employee and \$2,055 per annum per retiree.

The annual contributions shall be paid per complainant by the City of New York to the Welfare Fund, Local 237 International Brotherhood of Teamsters, 216 West 14th Street, New York, N.Y.

Employees who have been separated from service subsequent to June 30, 1970 and who were covered by a Welfare Fund at the time of such separation pursuant to a separate agreement between the City of New York and the Certified union representing such employees, shall continue to be so covered subject to the provisions hereof, on the same contributory basis as incumbent employees. Contributions shall be made only for such time as said individuals remain primary beneficiaries of the New York City Health Insurance Program and are entitled to benefits paid for by the City through such program; or are retirees of the New York City Employees Retirement System who have completed five (5) years of full in time service with the City of New York, except that contributions for those employees hired after December 27, 2001 shall be governed by the provisions of §12-126 of the Administrative Code of the City of New York, as amended.

### **Annuity Fund**

Effective December 17, 2017 the City of New York, et al., shall contribute to the annuity fund \$5.78 for each paid working day up to a maximum of \$1,508.58 per annum on behalf of all full-time and full-time per diem employees. For part-time employees who work less than eight hours a day, the amount paid shall be based on a prorated amount, which is calculated against an eight hour

day, up to a maximum of \$1,508.58 per annum. For the purpose of these payments, excluded from paid working days are all scheduled days off, all days in non-pay status, and all paid overtime.

Effective October 17, 2019, the Employer's annuity contribution shall be increased to \$6.19 for each paid 8 hour working day and to \$7.74 for each 10 hour working day, up to a maximum of \$1,615.59 per annum. For part-time employees who work less than eight hours a day, the amount paid shall be based on a prorated amount, which is calculated against an eight hour day, up to a maximum of \$1,615.59 per annum. For the purpose of these payments, excluded from paid working days are all scheduled days off, all days in non-pay status, and all paid overtime.

This annuity fund will be subject to a separate agreement between the City of New York, et al., and the Complainant. The liability of the City of New York, et al., shall in no event exceed the amounts hereinabove set forth for each effective day payable, irrespective of any taxes, liens, attorneys' fees or otherwise, and provided further that the amount of contributions by the City of New York, et al., shall be limited to the payments as provided herein.

The City Health Benefit (Insurance) program shall continue. The parties agree that the May 5, 2014 and June 28, 2018 letter between the City and the MLC is incorporated as if fully set forth herein.

a) The provisions of this Consent Determination shall be consistent with the applicable provisions of the New York State Financial Emergency Act for the City of New York, as amended.

b) The Complainant agrees to execute a full release to the City of New York et al., for the period embraced herein, such release being set forth in the General Release and Waiver attached hereto as Exhibit "A".



c) The Complainant agrees to waive any and all interest on all differentials of basic rates of wages and supplemental benefits. It is expressly understood that such waiver, set forth in Exhibit "A" annexed hereto, shall include the waiver of any right to interest payments due pursuant to subdivision 8c of Section 220 of the Labor Law (L. 1967, c, 502, 1). However,

- (1) Interest on wage increases shall accrue at the rate of three percent (3%) per annum from one hundred twenty (120) days after the filing date of this Consent Determination, or one hundred twenty (120) days after the effective date of the increase, whichever is later, to the date of actual payment,
- (2) Interest on shift differentials, holiday and overtime pay shall accrue at the rate of three percent (3%) per annum from one hundred twenty (120) days following their earning, or one hundred twenty (120) days after the filing date of this Consent Determination, whichever is later, to the date of actual payment and
- (3) Interest accrued under (1) or (2) above shall be payable only if the amount of interest due to an individual Employee exceeds five dollars (\$5.00).

d) The Complainant herein shall refrain from filing any Article 78 proceedings in whole or in part with respect to any provision made herein and for any additional benefits other than those contained herein excepting that the right is reserved to bring any necessary proceedings for the enforcement of the terms of the Consent Determination.

e) The Complainant agrees to withdraw any and all objections in all of the periods embodied herein.

f) The Complainant agrees to waive any and all supplemental benefits payable under

subdivision 3 of Section 220 of the Labor Law of the State of New York, such waiver being set forth in Exhibit "A" annexed hereto, and accept in lieu thereof the supplemental benefits set forth in this Consent Determination, and as set forth in Appendix A annexed hereto as modified herein.

g) Any new Employee who may be hired by the City of New York, et al., during the term of this settlement shall be required to comply with all of the terms and conditions herein upon the payment of the rates and supplemental benefits herein.

h) Any legal claims of any nature, including specifically, but not limited thereto, premium rates, holiday rates, shift rates, overtime rates or any other legal claims affecting rates and supplemental benefits of any kind whatsoever, are merged in this compromise and settlement for the period of the compromise and settlement contained herein.

i) The foregoing basic rates of wages and supplemental benefits are due and payable to each and every employee of the City of New York, et al., serving in the above-referenced titles beginning as of the effective date of the complaint filed herein, and shall be applicable to all employees of the City of New York, et al., serving in the above-referenced titles who are represented by the Complainant.

j) The basic rates and supplemental benefits herein are not to be construed as true prevailing rates and supplemental benefits but shall be considered rates and benefits in compromise and settlement of all issues of law and fact.

k) It is further understood and agreed that in consideration of the compromise and settlement reached herein, the complaint in this matter is hereby settled.

l) The submission of any Labor Law complaint, effective on **January 2, 2022**, can be


made at the Bureau of Labor Law, Office of the Comptroller on or after that date.

IN WITNESS WHEREOF, the parties have executed this Agreement on the day and year first above written.

CONSENTED TO:

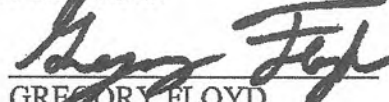
FOR THE CITY OF NEW YORK

BY:

 SB for RC  
RENEE CAMPION  
Commissioner of  
Labor Relations

FOR CITY EMPLOYEES UNION,  
LOCAL 237, I.B.T.

BY:

  
GREGORY FLOYD  
President  
City Employees Union,  
Local 237, I.B.T.

The basic rates and supplemental benefits agreed to herein between the parties are not to be construed as true prevailing rates and supplemental benefits, but shall be deemed substitute rates and benefits in compromise and settlement of all issues of law and fact raised in the complaint filed herein pursuant to Labor Law Section 220.8-d.

IT IS SO DETERMINED AND ENTERED



---

SCOTT M. STRINGER  
Comptroller

Dated: 8/6/2020  
New York, New York

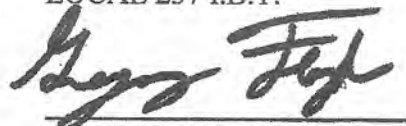
UNIT: Maintenance Worker  
TERM: **December 17, 2017 through January 1, 2022.**

GENERAL RELEASE AND WAIVER

Local 237, I.B.T. (hereinafter referred to as the "Union"), as the certified collective bargaining representative of employees in the title, Maintenance Worker for and in consideration of the wage rates and supplemental benefit package negotiated and agreed upon by the Union and the City of New York as set forth in a collective bargaining agreement for the period beginning **December 17, 2017 and terminating January 1, 2022**, a copy of which has been made available to the Union, hereby voluntarily and knowingly agrees to:

1. Waive, withdraw, relinquish, and refrain from filing, pursuing or instituting any claim for wages, supplements or other benefits, or any right, remedy, action or proceeding, which the Union has or may have under Section 220 of the Labor Law.
2. Discontinue any and all action or proceedings, if any, heretofore commenced by me or on my behalf of the above mentioned titles under and pursuant to Section 220 of the Labor Law applicable to the period **December 17, 2017 to January 1, 2022**.
3. Waive any and all interest on all differentials of basic rates of wages and supplemental benefits from **December 17, 2017 to January 1, 2022** except as expressly agreed upon in writing by the Union and the City. It is expressly understood that such waiver shall include the waiver of any right to interest payments pursuant to Subdivision 8c of Section 220 of the Labor Law (L. 1967, c. 502, Section 1).
4. Release and forever discharge the City of New York from all manner of actions, cause and causes of actions, suits, debts, dues, sums of money, accounts, reckonings, bonds, bills, specialties, covenants, contracts, controversies, agreements, promises, covenances, trespasses, damages, judgments, extents, executions, claims and demands whatsoever in law or in equity which the Union, on behalf of employees in the above titles, shall or may have, by reason of any claim for wages or supplemental benefits pursuant to Section 220 of the Labor Law from **December 17, 2017 to January 1, 2022** except as expressly agreed upon in writing by the Union and the City for that period.

LOCAL 237 I.B.T.



GREGORY FLOYD

President

City Employees Union,

Local 237, I.B.T.



# Office of Labor Relations

22 Cortlandt Street, New York, NY 10007  
nyc.gov/olr

**Renee Campion**  
*Commissioner*  
**Steven H. Banks**  
*First Deputy Commissioner*  
*General Counsel*

**Claire Levitt**  
*Deputy Commissioner*  
*Health Care Cost Management*  
**Georgette Gestely**  
*Director, Employee Benefits Program*

June 25, 2020

Gregory Floyd  
President  
Teamsters Local 237  
216 West 14th Street  
New York, New York 10011

**RE: NYCHA Maintenance Worker Alternate Work Schedules**

Dear Mr. Floyd:

This is to confirm the understanding and agreement of the parties concerning alternate work schedules for Maintenance Workers at the New York City Housing Authority (“NYCHA”).

a. Schedules

- i. In accordance with the terms set forth below, Maintenance Workers<sup>1</sup> assigned to a development at NYCHA will work either their traditional schedule, or an alternative work schedule (AWS)<sup>2</sup>. The schedule options will include<sup>3</sup>:

---

1 For purposes of Section IV, “Maintenance Worker” shall mean only Maintenance Workers assigned to a development at NYCHA.

2 For purposes of this agreement, “AWS” shall mean any schedule other than “schedule 0.”

3 The parties agree that, absent an agreement with Local 237, NYCHA may not change the AWS listed in IV(a)(i)(2) above, including the approximate percentage of Maintenance Worker in the schedule, for the duration of this Agreement. If either party seeks to change the specified schedule 1 or schedule 2, or the percentage of Maintenance Workers collectively in those schedules, it shall so notify the other party, and the parties will engage in good faith discussions to see if an agreement can be reached. Absent an agreement, the schedules 1 and 2 and approximate percentage of Maintenance Workers collectively in those schedules will remain as set forth above.

1. Schedule 0 (approximately 16% of the total development Maintenance Worker workforce)<sup>4</sup>
  - a. 8:00 a.m. to 4:30 p.m., Monday-Friday (the “traditional” schedule).
2. Schedule 1 and 2 (approximately 68% of the total development Maintenance Worker workforce)
  - a. 8:00 a.m. to 6:30 p.m. four days a week, with every other Saturday included in the regular 40-hour workweek;
  - b. 8:30 a.m. to 7:00 p.m. four days a week, with every other Saturday included in the regular 40-hour workweek;
3. Schedule 3 (approximately 16% of the total development Maintenance Worker workforce)
  - a. 8:00 a.m. to 6:30 p.m., Monday-Thursday, with Sunday replacing Thursday approximately every three weeks in the regular 40-hour workweek;

ii. Schedule options will be chosen by seniority, by the following process:

1. First, NYCHA will place Incumbent Maintenance Workers<sup>5</sup> volunteers within a consolidation utilizing an AWS into the schedule of their choice, in seniority order, up to the approximate number of Maintenance Workers required for each shift.
2. In the event there are not sufficient Maintenance Workers to staff each shift, NYCHA will place Incumbent Maintenance Worker volunteers from any NYCHA development who choose to transfer into a consolidation utilizing an AWS into the schedule of their choice, in seniority order and in accordance with established procedures, up to the approximate number of Maintenance Workers required for each shift.

---

<sup>4</sup> The parties acknowledge that, because NYCHA will gradually implement the AWSs in select developments, the percentage of Maintenance Workers working AWSs will gradually ramp up to the percentages set forth above.

<sup>5</sup> For the purposes of staffing AWSs, Incumbent Maintenance Workers are Maintenance Workers hired before February 27, 2020.

3. In the event there are not sufficient Maintenance Workers to staff each shift, NYCHA shall mandate New Hires<sup>6</sup> to work the traditional shift or an AWS, as staffing needs require, subject to the limitations set forth in Section IV(d)(ii) below.
4. In the event there are not sufficient Maintenance Workers to staff each shift after seeking volunteers and mandating New Hires through the process outlined in Section IV(a)(ii)(1)-(3) above, NYCHA shall mandate that the employee(s) at the development with the least seniority be moved to the appropriate shift.

b. Weekend Premium

All Maintenance Workers will receive a premium of 25% (i.e. 1.25x of the straight-time hourly rate) for hours worked on a Saturday within the Maintenance Worker's regular workweek or 50% (i.e. 1.50x of the straight-time hourly rate) for hours worked on a Sunday within the Maintenance Worker's regular workweek, as set forth in the Consent Determination. The premium paid will be considered part of Maintenance Worker's base hourly rate of pay on a pro-rata basis and as required by the FLSA for the purpose of calculating overtime and all other contractual benefits.

c. Meal Periods and Breaks

Maintenance Workers working a traditional schedule will continue to receive a thirty (30)-minute meal period and two (2) paid fifteen (15)-minute breaks per shift. Maintenance Workers working an AWS will receive a thirty (30)-minute meal period and two (2) paid twenty (20)-minute breaks per shift.

d. Assignments

i. Incumbent Maintenance Workers

1. Incumbent Maintenance Workers who are assigned to an AWS pursuant to Section IV(a)(ii)(1), (2) and (4) above shall be paid a one-time bonus of \$1,500. Such payment shall be paid in full in the first full pay period following the employee beginning an AWS shift. Such payment shall be pensionable and shall be subject to all applicable withholdings.

---

<sup>6</sup> For the purposes of staffing AWSs, New Hire Maintenance Workers are Maintenance Workers hired after February 27, 2020.



2. To the extent that an employee resigns, is terminated, transfers out of the AWS, or otherwise fails to work in the AWS for at least one year, the volunteer bonus shall be pro-rated. For example, if the employee works in the AWS for nine months, \$375 shall be deducted. An employee who resigns or is terminated shall have the pro-rated portion of the bonus deducted from one paycheck. However, an employee who transfers out of an AWS shall have the pro-rated portion of the bonus deducted as 10% of subsequent gross paychecks until the pro-rated amount has been paid.
3. Incumbent Maintenance Workers who volunteer to work an AWS and do not want to remain on that AWS will be entitled to apply for an ATLS transfer to transfer out of the AWS, at any time within the first six (6) months of beginning the AWS and each year thereafter on their work anniversary. Consistent with the current ATLS process, once a vacancy becomes available, NYCHA will consider requests to transfer out of an AWS schedule, and will give due consideration to such factors as seniority, job qualifications, prior work performance, and the general background of the candidate.

ii. New Hire Maintenance Workers

1. After Incumbent Maintenance Workers have chosen their preferred schedules, New Hires may be mandated to work an AWS.
2. NYCHA must include in its advertisements/job descriptions the fact that New Hires may be mandated to work an AWS.
3. In the event that NYCHA involuntarily transfers a New Hire to a different shift and/or location for the purposes of staffing an AWS, NYCHA will not involuntarily transfer that employee to another shift/location within one (1) year of the involuntary transfer. This limitation will not apply to employees at locations that NYCHA ceases to manage, or to employees who are subject to administrative transfers in response to instances of workplace violence or as a result of an EEO investigation or disciplinary proceeding.
4. NYCHA will not involuntarily transfer any employee, or threaten to involuntarily transfer any employee, for punitive, arbitrary, retaliatory or coercive reasons. The Labor-Management

Committee described in Section IV(f)(i), below, will resolve disputes arising under this provision.

e. Overtime & Transfers

- i. All work in excess of 40 hours in pay status will be paid at time-and-one-half premium;
- ii. Nothing in this provision shall affect employees' eligibility rights regarding transfers or the ATLS rights pursuant to Section 34 of the Collective Bargaining Agreement between NYCHA and the union. In the event that a Maintenance Worker hired after February 27, 2020 requests a transfer to another shift and/or another development, that transfer request will be honored in accordance with existing ATLS procedures.

f. Additional AWS Components

i. Labor-Management Committee

The Labor-Management Committee established to oversee the implementation of AWS pursuant to the 2018-2021 NYCHA-Local 237 MOA, Section V(f) shall also oversee the implementation of AWSs for Maintenance Workers and resolve disputes that arise concerning the AWS provisions of this Agreement.

- ii. In the event that NYCHA seeks to add titles other than Maintenance Workers to the AWS program, NYCHA must negotiate with Local 237 over the terms, conditions and implementation of AWSs for those additional titles.
- iii. NYCHA will afford NYCHA residents preference in filling all Maintenance Worker vacancies where practicable. However, nothing herein shall require NYCHA to hold a vacancy open pending the availability of a resident candidate.
- iv. Except as explicitly set forth above, all current contractual benefits (e.g. night shift differential, Annuity Fund, etc.) will be preserved.

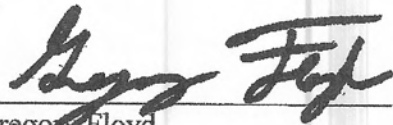
If the above accords with your understanding, please indicate your acceptance by signing below.

Very truly yours,

 SB for R.C.

Renee Campion

Agreed and Accepted on Behalf of IBT Local 237,

BY:   
Gregory Floyd  
President



# Office of Labor Relations

22 Cortlandt Street, New York, NY 10007  
nyc.gov/olr

**Renee Campion**  
*Commissioner*  
**Steven H. Banks**  
*First Deputy Commissioner*  
*General Counsel*

**Claire Levitt**  
*Deputy Commissioner*  
*Health Care Cost Management*  
**Georgette Gestely**  
*Director, Employee Benefits Program*

June 25, 2020

Gregory Floyd  
President  
Teamsters Local 237  
216 West 14th Street  
New York, New York 10011

**Re: Direct Deposit  
2017-2021 Maintenance Worker Agreement**

Dear Mr. Floyd:


This is to confirm the understanding and agreement of the parties concerning enrollment in direct deposit for employees covered under the Maintenance Worker Consent Determination for the period December 17, 2017 through January 1, 2022.

Effective February 27, 2020 the employer may require that all newly hired employees be paid exclusively through direct deposit or electronic funds transfer. For employees on direct deposit, the employer may provide pay stubs electronically except where the employee has requested in writing to receive a printed pay stub.

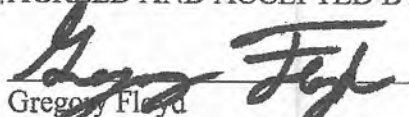
Further, the parties shall work together regarding incumbent employees' enrollment in direct deposit, with the objective of 100% of employees being paid electronically.

If the above accords with your understanding, kindly execute the signature line provided below.

Very truly yours,

  
Renee Campion

AGREED AND ACCEPTED BY:

  
Gregory Floyd  
President, IBT Local 237

IN THE EVENT OF ANY INCONSISTENCY BETWEEN APPENDIX A AND REQUIREMENTS IMPOSED BY FEDERAL, STATE, OR LOCAL LAW, SUCH AS THOSE THAT APPLY TO MATERNITY LEAVE, THE FEDERAL, STATE, OR LOCAL LAW SHALL TAKE PRECEDENCE UNLESS SUCH FEDERAL, STATE, OR LOCAL LAW AUTHORIZES SUCH INCONSISTENCY.

## APPENDIX A

### Time and Leave Benefits:

#### 1- ANNUAL LEAVE ALLOWANCE

##### Section 1

A combined vacation, personal business and religious holiday leave allowance, shall be established, which shall be known as "annual leave allowance".

##### Section 2                    EFFECTIVE MAY 1, 1970

Annual leave allowance shall be granted to permanent employees who work at least a 250-day year, as follows:

| <u>CATEGORY</u>                                   | <u>ANNUAL LEAVE ALLOWANCE</u>        | <u>MONTHLY ACCRUAL</u>                            |
|---|--------------------------------------|---|
| Employees who have completed 15 years of service. | 27 Work Days<br>(5 weeks and 2 days) | 2 - 1/4 days                                      |
| Employees who have completed 8 years of service.  | 25 Work Days<br>(5 weeks)            | 2 days, plus 1 day at end of<br>of vacation year. |
| All other employees                               | 20 Work Days<br>(4 weeks)            | 1 - 2/3 days                                      |

##### Section 3

There shall be a pro-rating of the above allowance for employees who work less than a 250-day year.

##### Section 4

For the earning of annual leave credits, the time recorded on the payroll at the full rate of pay, and the first six months of absence while receiving Workmen's Compensation payments shall be considered as time "served" by the employee.

In the calculation of annual leave credits, a full month's credit shall be given to an



employee who has been in full pay status for at least 15 calendar days during that month, provided however, that (a) where an employee has been absent without pay for an accumulated total of more than 30 calendar days in the vacation year, he shall lose the annual leave credits earnable in one month for each 30 days of such accumulated absence even though in full pay status for at least 15 calendar days in each month during this period; and (b) if an employee loses annual leave credits under this rule for several months in the vacation year because he has been in full pay status for fewer than 15 days in each month, but accumulates during said months a total of 30 or more calendar days in full pay status, he shall be credited with the annual leave credits earnable in 1 month for each 30 days of such full pay status.

### Section 5

Calculation of annual leave credits for vacation purposes shall be based on a year beginning May 1st, hereafter known as a "vacation year." All annual leave allowance of an employee to the employee's credit on April 30th and not used in the succeeding vacation year may be carried over from said vacation year to the next succeeding vacation year only, with the approval of the agency head; and any such time not used within the prescribed period shall be added to the employee's sick leave balance.

a. All annual leave accumulations to the credit of employees on May 1, 1961, which exceed the allowance permitted in Article I, Section 5, shall remain to their credit but shall be reduced to the maximum set by the Leave Regulations by May 1, 1970. This shall be accomplished in the following manner:

(1) Any accumulations in excess of 40 days shall be established as an annual leave reserve bank, which shall be in existence until May 1, 1970.

(2) Any time left in the annual leave reserve bank on May 1, 1970 shall be transferred to the sick leave balances of employees. If any such transfer causes an employee's sick leave balance to rise above the 180-day maximum established by the Leave Regulations, the sick leave surplus which exceeds 180 days shall be placed in the employees sick leave bank and shall remain to his credit, notwithstanding the provisions of Article II, Sec. 2.

(3) After May 1, 1970, the full provisions of Article I, Section 5 apply.

b. In the event, however, that the Mayor or an elected official of any department calls upon an employee to forego his vacation or any part thereof in any year, that portion thereof shall be carried over as vacation even though the same exceeds the limits fixed in Article I, Sections 5 and 5 (a) above.

### Section 6

The normal unit of charge against annual leave allowance for vacation and personal



business shall be one-half day. Smaller units of charge are authorized for time lost due to tardiness, religious observance, and for the time lost by employee representatives duly designated by employee organizations operating under the Mayor's Executive Order No. 38 dated May 16, 1957, engaged in the following types of union activity:

- a. Attendance at union meetings or conventions.
- b. Organizing and recruitment
- c. Solicitation of member.
- d. Collection of union dues.
- e. Distribution of union pamphlets, circulars and other literature.

The agency is authorized to make such other exceptions as warranted.

#### Section 7

Earned annual leave allowance shall be taken by the employees at the time convenient to the department. In exceptional and unusual circumstances, an agency head may permit use of annual leave allowance before it is earned, not exceeding two weeks.

#### Section 8

Where certification of eligible lists permits, provisional and temporary employees shall have the same annual leave benefits as regular employees except that they may not be permitted to use annual leave allowances for other than religious holidays until they have completed four months of service.

#### Section 9

Penalties for unexcused tardiness may be imposed by the head of each agency in conformance with established rules of the agency. As a minimum, however, all unexcused tardiness both in the morning and upon return from lunch shall be charged to the annual leave allowance.

#### Section 10

Terminal Leave shall be allowed to employees who work at least 250 days per year at the rate of one month for every ten years of service, (a) the rates of which are fixed in accordance with a Comptroller's determination made under Section 220 of the Labor Law of the State of New York, and (b) of service under the Career and Salary Plan Leave Regulations, prorated for a fractional part thereof.

If the employee so selects, and as an alternative to the above method of computation, his Terminal Leave allowance may be computed on the basis of one day of Terminal Leave for each two days of unused sick leave accumulation, to a maximum of one hundred (100) days Terminal



**Leave Allowance.** Under the latter option, Terminal Leave shall be computed on the basis of work days, rather than calendar days.

## **II. SICK LEAVE ALLOWANCE**

### **Section 1**

Sick leave allowance of one day per month of service shall be credited to permanent employees, provisional employees and temporary employees and shall be used only for personal illness of the employee.

### **Section 2**

Sick leave allowance shall be cumulative up to a maximum of 200 work-days. After this maximum is reached, no more sick leave credits may be earned by the employee except to the extent of restoring credits subsequently drawn for sick leave and thereby building up accruals again to the maximum of 200 work-days. Existing balances to the credit of employees at the time of adoption of these regulations shall remain to their credit.

### **Section 3**

Sick leave may be granted at the discretion of the agency head and proof of disability must be provided by the employee, satisfactory to the agency head. Presentation of a physician's certificate in the prescribed form may be waived for absences up to and including three consecutive work days. In a case of a protracted disability, such certificate shall be presented to the agency head at the end of each month of continued absence.

### **Section 4**

The normal unit for computation of sick leave shall be not less than one-half day. The agency head may authorize smaller units of charge in exceptional and unusual circumstances. Credits cannot be earned for the period an employee is on leave of absence without pay. For the earning of sick leave credits, the time recorded on the payroll at the full rate of pay, and the first six months of absence while receiving Workmen's Compensation payments shall be considered as time "served" by the employee.

In the calculation of sick leave credits, a full month's credit shall be given to an employee who has been in full pay status for at least 15 calendar days during that month, provided however, that (a) where an employee has been absent without pay for an accumulated total of more than 30 calendar days in the vacation year, he shall lose the sick leave credits earnable in one month for each 30 days of such accumulated absence even though in full pay status for at least 15 calendar days in each month during this period, and (b) if an employee loses sick leave



credits under this rule for several months in the vacation year because he has been in full pay status for fewer than 15 days in each month, but accumulates during said months a total of 30 or more calendar days in full pay status, he shall be credited with the sick leave credits earnable in one month for each 30 days of such full pay status.

#### **Section 5**

In the discretion of the agency head, employees except provisional and temporary employees, who have exhausted all earned sick leave and annual leave balances due to personal illness may be permitted to use unearned sick leave allowance up to the amount earnable in one year of service, chargeable against future earned sick leave.

#### **Section 6**

At the discretion of the agency head, permanent employees may also be granted sick leave with pay for three months after ten years of City Service, after all credits have been used. In special instances, sick leave with pay may be further extended, with the approval of the agency head. The agency head shall be guided in this matter by the nature and extent of illness and the length and character of service.

### **III. OTHER AUTHORIZED ABSENCES WITH PAY**

#### **Section 1**

Absence of permanent employees, provisional employees and temporary employees for the reasons indicated below, shall be excusable in the discretion of the agency head without charge to sick leave or annual leave balances, upon submittal of evidence satisfactory to the agency head:

- a. Absence not to exceed four work-days in the case of death in the immediate family. Family shall be defined for this purpose as spouse; natural, foster, step-parent, child, brother or sister; father-in-law or mother-in-law; or any relative residing in the household.
- b. For Jury Duty. Leave for jury duty shall be granted to the employee provided that he endorses his check for jury duty to the City.
- c. For Court Attendance Under Subpoena or Court Order. Leave to attend court shall be granted when neither the employee nor anyone related to him has a personal interest in the case, and where said attendance at court is not related to any other employment of the employee.
- d. Absence required because of Health Department ruling with respect to quarantine.



e. For attendance at New York City Civil Service examination, or for official investigation interview or appointment interview in relation to the resulting eligible list.

f. For attendance of delegates and alternates at State or National conventions of veterans' organizations and volunteer firemen's organizations.

g. Absence by employee representatives, duly designated by employee organizations operating under the Mayor's Executive Order No. 38 dated May 16, 1957, acting on matters related to the interests of employees of their own respective departments, to negotiate with and appear before departmental and other City officials and agencies including the Board of Estimate, the City Council, and the Department of Personnel.

### Section 2

Prior notice to and authorization by the agency head or his designated representative is required for absence under (b), (c), (e), (f), and (g) of Section 1 above. The employee shall give notice to the agency as soon as possible in all other cases.

### Section 3

Agency heads shall grant any leave of absence with pay required by law.

## IV LEAVES OF ABSENCE WITHOUT PAY

### Section 1

Maternity Leave. Existence of pregnancy shall be reported by the employee, in writing, to the head of agency not later than the completion of the fourth month of pregnancy. Maternity leave of absence, commencing not later than the completion of the fifth month of pregnancy, shall be granted for a period of twelve months, and upon application of the employee, may be extended by the agency head for an additional period, not to exceed six months. Total leave for this purpose shall not exceed 18 months. An employee on maternity leave may be required to report for physical examination before resuming service.

### Section 2

Leaves of absence without pay for reasons not covered in the foregoing rules may be granted to permanent employees by the agency head not to exceed one year. Extension of such leave may be granted by an agency head not to exceed an additional period of one year. Further extensions may be granted by an elected official, in an agency headed by such official, or by the City Personnel Director for agencies headed by appointed officials.

**Section 3**

Agencies shall grant any leave of absence without pay, such as military leave, required by law.

**V. MISCELLANEOUS PROVISIONS****Section 1**

Daily time records shall be maintained showing the actual hours worked by each employee.

**Section 2**

Upon transfer of a permanent employee, or appointment from an eligible list with continuous service in another City agency, sick leave and annual leave balances shall be transferred with the employee.

**Section 3**

Upon reinstatement of an employee to a permanent position, unused sick leave and vacation balance at the time of resignation or layoff, shall be restored to his credit.

**Section 4**

Subject to limitations of Art. I, Sec. 8 above, the annual leave allowance and the sick leave allowance herein granted shall be applicable to part-time employees on a pro-rated basis.

**VI. ABSENCE DUE TO INJURY INCURRED IN THE PERFORMANCE OF OFFICIAL DUTIES****Section 1**

Whenever an employee, not covered by Workmen's Compensation, is physically disabled in the performance of his official duties, the head of the agency is empowered to grant such employee a leave of absence with pay not to exceed one calendar year. In such case the employee shall be required to execute an agreement, wherein it is stipulated that, in the event that such employee makes any claim or institutes any action against any party whatsoever in relation to such disability, reimbursement in the amount of such pay shall be made to the City or the agency concerned, as the case may be, from the proceeds of the recovery by such



employee but not to exceed the amount of such proceeds. Such agreement shall be in a form and manner prescribed by the Corporation Counsel or other duly empowered counsel. The Agency head may have the injured employee examined by a physician employed by the City in order to determine the extent of the employee's disability and the approval of said physician from a medical viewpoint shall be required for the time granted with pay under this rule. The agency head may require periodic medical examinations of the disabled employee to ascertain the need for continued leave of absence with pay. Notwithstanding the provisions of Article I, Section 4 and Article II, Section 5 annual and sick leave shall accrue during the first six months only of such absence, and shall be credited upon the employee's return to duty.

### Section 2

The agency head is empowered to grant leave of absence with pay for the first week's absence of an employee covered by Workmen's Compensation who is physically disabled in the performance of official duties.

### Section 3

a. An employee physically disabled in the performance of his official duties who has accrued sick and/or annual leave or has been advanced credits in accordance with the Comptroller's Leave Regulations may elect one of the following, in addition to the benefits to which he is entitled under the Workmen's Compensation Law, such election to be made within the first seven calendar days of absence by the employee or someone in his behalf:

1. To receive the difference between the amount of his weekly salary and the compensation rate, provided that:

a. The injured employee or any authorized person acting in his behalf makes the request in writing, and

b. The injured employee or any authorized person acting in his behalf agrees that a pro-rated charge be made against his sick leave and/or annual leave balances equal to the number of working days of absence less the number of working days represented by the Workmen's Compensation payments, and

c. The injured employee has the necessary accrued sick leave and/or annual leave balance or has been advanced credits in accordance with the Comptroller's Leave Regulations which the supplementary pay can be charged, and

d. The injured employee was not guilty of willful gross disobedience of safety rules or willful failure to use a safety device, or was not under the influence of alcohol or narcotics at the time of injury, or did not willfully intend to bring about injury or death upon himself or another, and

e. The injured employee undergoes such medical examinations as are requested by the Workmen's Compensation Division of the Law Department and his agency; and when found fit for duty by said physicians, returns to his employment.

2. To take annual leave and receive full pay and Workmen's Compensation medical coverage, provided that:

a. The injured employer or any authorized person acting in his behalf makes the request in writing, and

b. The injured employee or any authorized person acting in his behalf agrees to have his annual leave balance charged for such absence, and

c. The injured employee has the necessary accrued annual leave balance.

3. To receive Workmen's Compensation benefits in their entirety with no charge against sick leave and/or annual leave.

b. During the period when an injured employee is receiving Workmen's Compensation and the differential to bring him to full pay, he will be carried on full-pay status and this time shall be counted for retirement benefits.

**VII HOLIDAYS WITH PAY**

**Section 1**

On the following effective dates prevailing rate per diem and per annum employees shall be entitled to a day off with pay for each of the following holidays:

New Year's Day  
Washington's Birthday  
Lincoln's Birthday

Memorial Day  
Independence Day  
Labor Day

Columbus Day  
Election Day  
Veteran's Day

Thanksgiving Day  
Christmas Day





THE CITY OF NEW YORK  
**OFFICE OF LABOR RELATIONS**  
40 Rector Street, New York, NY 10006-1705  
<http://nyc.gov/olr>

**ROBERT W. LINN**  
*Commissioner*

May 5, 2014

Harry Nespoli  
Chair, Municipal Labor Committee  
125 Barclay Street  
New York, NY 10007

Dear Mr. Nespoli:

This is to confirm the parties' mutual understanding concerning the following issues:

1. Unless otherwise agreed to by the parties, the Welfare Fund contribution will remain constant for the length of the successor unit agreements, including the \$65 funded from the Stabilization Fund pursuant to the 2005 Health Benefits Agreement between the City of New York and the Municipal Labor Committee.
2. Effective July 1, 2014, the Stabilization Fund shall convey \$1 Billion to the City of New York to be used to support wage increases and other economic items for the current round of collective bargaining (for the period up to and including fiscal year 2018). Up to an additional total amount of \$150 million will be available over the four year period from the Stabilization Fund for the welfare funds, the allocation of which shall be determined by the parties. Thereafter, \$ 60 million per year will be available from the Stabilization Fund for the welfare funds, the allocation of which shall be determined by the parties.
3. If the parties decide to engage in a centralized purchase of Prescription Drugs, and savings and efficiencies are identified therefrom, there shall not be any reduction in welfare fund contributions.
4. There shall be a joint committee formed that will engage in a process to select an independent healthcare actuary, and any other mutually agreed upon additional outside expertise, to develop an accounting system to measure and calculate savings.

5. The MLC agrees to generate cumulative healthcare savings of \$3.4 billion over the course of Fiscal Years 2015 through 2018, said savings to be exclusive of the monies referenced in Paragraph 2 above and generated in the individual fiscal years as follows: (i) \$400 million in Fiscal Year 2015; (ii) \$700 million in Fiscal Year 2016; (iii) \$1 billion in Fiscal Year 2017; (iv) \$1.3 billion in Fiscal Year 2018; and (v) for every fiscal year thereafter, the savings on a citywide basis in health care costs shall continue on a recurring basis. At the conclusion of Fiscal Year 2018, the parties shall calculate the savings realized during the prior four-year period. In the event that the MLC has generated more than \$3.4 billion in cumulative healthcare savings during the four-year period, as determined by the jointly selected healthcare actuary, up to the first \$365 million of such additional savings shall be credited proportionately to each union as a one-time lump sum pensionable bonus payment for its members. Should the union desire to use these funds for other purposes, the parties shall negotiate in good faith to attempt to agree on an appropriate alternative use. Any additional savings generated for the four-year period beyond the first \$365 million will be shared equally with the City and the MLC for the same purposes and subject to the same procedure as the first \$365 million. Additional savings beyond \$1.3 billion in FY 2018 that carry over into FY 2019 shall be subject to negotiations between the parties.


6. The following initiatives are among those that the MLC and the City could consider in their joint efforts to meet the aforementioned annual and four-year cumulative savings figures: minimum premium, self-insurance, dependent eligibility verification audits, the capping of the HIP HMO rate, the capping of the Senior Care rate, the equalization formula, marketing plans, Medicare Advantage, and the more effective delivery of health care.

7. Dispute Resolution

- a. In the event of any dispute under this agreement, the parties shall meet and confer in an attempt to resolve the dispute. If the parties cannot resolve the dispute, such dispute shall be referred to Arbitrator Martin F. Scheinman for resolution.
- b. Such dispute shall be resolved within 90 days.
- c. The arbitrator shall have the authority to impose interim relief that is consistent with the parties' intent.
- d. The arbitrator shall have the authority to meet with the parties at such times as the arbitrator determines is appropriate to enforce the terms of this agreement.
- e. If the parties are unable to agree on the independent health care actuary described above, the arbitrator shall select the impartial health care actuary to be retained by the parties.
- f. The parties shall share the costs for the arbitrator and the actuary the arbitrator selects.

If the above accords with your understanding and agreement, kindly execute the signature line provided.

Sincerely,



Robert W. Linn  
Commissioner

Agreed and Accepted on behalf of the Municipal Labor Committee

BY:   
\_\_\_\_\_  
Harry Nespoli, Chair





# OFFICE OF LABOR RELATIONS

40 Rector Street, New York, N.Y. 10006-1705  
nyc.gov/olr

ROBERT W. LINN  
*Commissioner*  
RENEE CAMPION  
*First Deputy Commissioner*  
CLAIRE LEVITT  
*Deputy Commissioner*  
*Health Care Cost Management*

MAYRA E. BELL  
*General Counsel*  
GEORGETTE GESTELY  
*Director, Employee Benefits Program*

June 28, 2018

Harry Nespoli, Chair  
Municipal Labor Committee  
125 Barclay Street  
New York, New York

Dear Mr. Nespoli:

1. This is to confirm the parties' mutual understanding concerning the health care agreement for Fiscal Years 2019 – 2021:
  - a. The MLC agrees to generate cumulative healthcare savings of \$1.1 billion over the course of New York City Fiscal Years 2019 through 2021. Said savings shall be generated as follows:
    - i. \$200 million in Fiscal Year 2019;
    - ii. \$300 million in Fiscal Year 2020;
    - iii. \$600 million in Fiscal Year 2021, and
    - iv. For every fiscal year thereafter, the \$600 million per year savings on a citywide basis in healthcare costs shall continue on a recurring basis.
  - b. Savings will be measured against the projected FY 2019-FY 2022 City Financial Plan (adopted on June 15, 2018) which incorporates projected City health care cost increases of 7% in Fiscal Year ("FY") 2019, 6.5% in FY 2020 and 6% in FY 2021. Non-recurring savings may be transferrable within the years FY 2019 through FY 2021 pursuant only to 1(a)(i), 1(a)(ii), 1(a)(iii) above. For example:
    - i. \$205 million in FY 2019 and \$295 million in FY 2020 will qualify for those years' savings targets under 1(a)(i) and 1(a)(ii).
    - ii. \$210 million in FY 2019, \$310 million in FY 2020, and \$580 million in FY 2021 will qualify for those years' savings targets under 1(a)(i), 1(a)(ii), 1(a)(iii).
    - iii. In any event, the \$600 million pursuant to 1(a)(iv) must be recurring and agreed to by the parties within FY 2021, and may not be borrowed from other years.

- c. Savings attributable to CBP programs will continue to be transferred to the City by offsetting the savings amounts documented by Empire Blue Cross and GHI against the equalization payments from the City to the Stabilization Fund for FY 19, FY 20 and FY 21, unless otherwise agreed to by the City and the MLC. In order for this offset to expire, any savings achieved in this manner must be replaced in order to meet the recurring obligation under 1(a)(iv) above.
    - d. The parties agree that any savings within the period of FY 2015 - 2018 over \$3.4 billion arising from the 2014 City/MLC Health Agreement will be counted towards the FY 2019 goal. This is currently estimated at approximately \$131 million but will not be finalized until the full year of FY 2018 data is transmitted and analyzed by the City's and the MLC's actuaries.
    - e. The parties agree that recurring savings over \$1.3 billion for FY 2018 arising under the 2014 City/MLC Health Agreement will be counted toward the goal for Fiscal Years 2019, 2020, 2021 and for purposes of the recurring obligation under 1(a)(iv) above. This is currently estimated at approximately \$40 million but will not be finalized until the full year of FY 2018 data is transmitted and analyzed by the City's and the MLC's actuaries. Once the amount is finalized, that amount shall be applied to Fiscal Years 2019, 2020, 2021 and to the obligation under 1(a)(iv).
2. After the conclusion of Fiscal Year 2021, the parties shall calculate the savings realized during the 3 year period. In the event that the MLC has generated more than \$600 million in recurring healthcare savings, as agreed upon by the City's and the MLC's actuaries, such additional savings shall be utilized as follows:
  - a. The first \$68 million will be used by the City to make a \$100 per member per year increase to welfare funds (actives and retirees) effective July 1, 2021. If a savings amount over \$600 million but less than \$668 million is achieved, the \$100 per member per year (actives and retirees) increase will be prorated.
  - b. Any savings thereafter shall be split equally between the City and the MLC and applied in a manner agreed to by the parties.
3. Beginning January 1, 2019, and continuing unless and until the parties agree otherwise, the parties shall authorize the quarterly provision of the following data to the City's and MLC's actuaries on an ongoing quarterly basis: (1) detailed claim-level health data from Emblem Health and Empire Blue Cross including detailed claim-level data for City employees covered under the GHI-CBP programs (including Senior Care and Behavioral Health information); and (2) utilization data under the HIP-HMO plan. Such data shall be provided within 60 days of the end of each quarterly period. The HIP-HMO utilization data will also be provided to the City's and MLC's actuaries within 60 days of the execution of this letter agreement for City Fiscal Year 2018 as baseline information to assess ongoing savings. The HIP-HMO data shall include: (i) utilization by procedure for site of service benefit changes; (ii) utilization by disease state, by procedure (for purposes of assessing Centers of Excellence); and (iii) member engagement data for the Wellness program, including stratifying members by three tranches (level I, II and III). The data shall include baseline data as well as data regarding the assumptions utilized in determining expected savings for comparison. The data described in this paragraph shall be provided pursuant to a data sharing agreement entered into by the City and MLC, akin to prior data agreements, which shall provide for the protection of member privacy and related concerns, shall cover all periods addressed by this Agreement (i.e., through June 30, 2021 and thereafter), and shall be executed within thirty days of the execution of this letter agreement.



4. The parties agree that the Welfare Funds will receive two \$100 per member one-time lump-sum payments (actives and retirees) funded by the Joint Stabilization Fund payable effective July 1, 2018 and July 1, 2019.
5. The parties recognize that despite extraordinary savings to health costs accomplished in the last round of negotiations through their efforts and the innovation of the MLC, and the further savings which shall be implemented as a result of this agreement, that the longer term sustainability of health care for workers and their families, requires further study, savings and efficiencies in the method of health care delivery. To that end, the parties will within 90 days establish a Tripartite Health Insurance Policy Committee of MLC and City members, chaired by one member each appointed by the MLC and the City, and Martin F. Scheinman, Esq. The Committee shall study the issues using appropriate data and recommend for implementation as soon as practicable during the term of this Agreement but no later than June 30, 2020, modifications to the way in which health care is currently provided or funded. Among the topics the Committee shall discuss:
  - a. Self-insurance and/or minimum premium arrangements for the HIP HMO plan.
  - b. Medicare Advantage- adoption of a Medicare Advantage benchmark plan for retirees
  - c. Consolidated Drug Purchasing- welfare funds, PICA and health plan prescription costs pooling their buying power and resources to purchase prescription drugs.
  - d. Comparability- investigation of other unionized settings regarding their methodology for delivering health benefits including the prospect of coordination/cooperation to increase purchasing power and to decrease administrative expenses.
  - e. Audits and Coordination of Benefits- audit insurers for claims and financial accuracy, coordination of benefits, pre-65 disabled Medicare utilization, End Stage Renal Disease, PICA, and Payroll Audit of Part Time Employees.
  - f. Other areas- Centers of Excellence for specific conditions; Hospital and provider tiering; Precertification Fees; Amendment of Medicare Part B reimbursement; Reduction of cost for Pre-Medicare retirees who have access to other coverage; Changes to the Senior Care rate; Changes to the equalization formula.
  - g. Potential RFPs for all medical and hospital benefits.
  - h. Status of the Stabilization Fund.

The Committee will make recommendations to be considered by the MLC and the City.

6. The joint committee shall be known as the Tripartite Health Insurance Policy Committee (THIPC) and shall be independent of the existing "Technical Committee." The "Technical Committee" will continue its work and will work in conjunction with the THIPC as designated above to address areas of health benefit changes. The Technical Committee will continue to be supported by separate actuaries for the City and the MLC. The City and the MLC will each be responsible for the costs of its actuary.
7. In the event of any dispute under sections 1-4 of this Agreement, the parties shall meet and confer in an attempt to resolve the dispute. If the parties cannot resolve the dispute, such dispute shall be referred to Martin Scheinman for resolution consistent with the dispute resolution terms of the 2014 City/MLC Health Agreement:
  - a. Such dispute shall be resolved within 90 days.

- b. The arbitrator shall have the authority to impose interim relief that is consistent with the parties' intent.
- c. The arbitrator shall have the authority to meet with the parties as such times as is appropriate to enforce the terms of this agreement.
- d. The parties shall share the costs for the arbitrator (including Committee meetings).

If the above conforms to your understanding, please countersign below.

Sincerely  
  
Robert W. Linn

Agreed and Accepted on behalf of the Municipal Labor Committee

BY:   
Harry Nespoli, Chair