



## Hearings Division – ECB Designated Cases

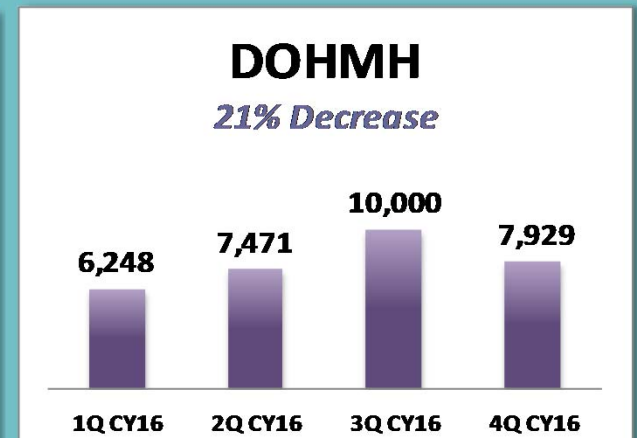
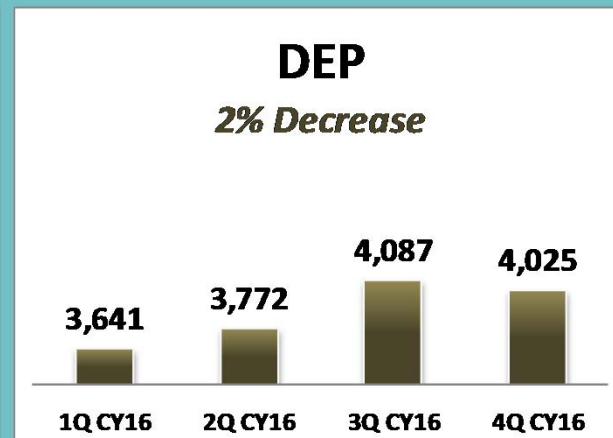
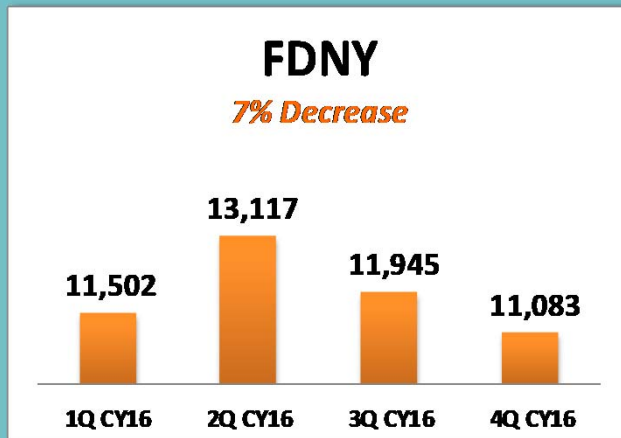
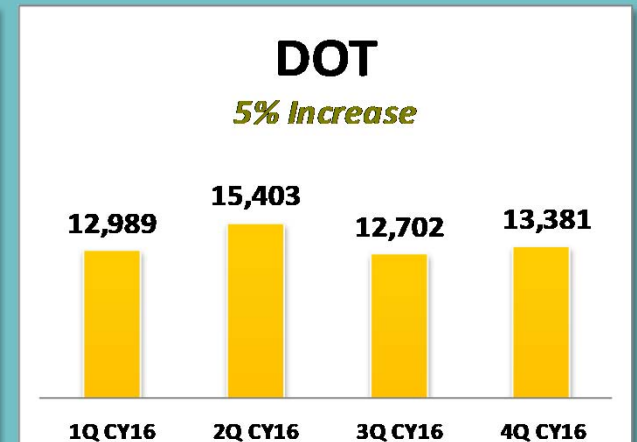
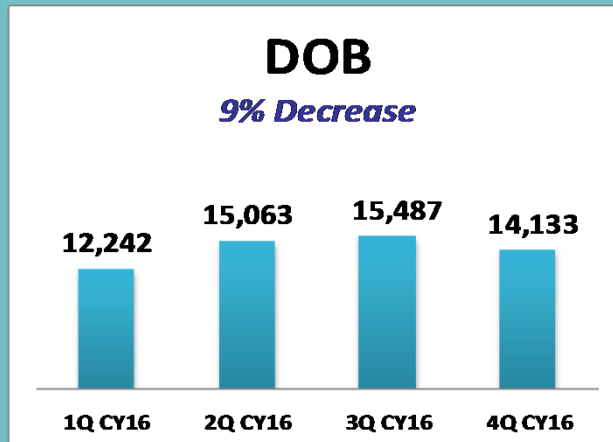
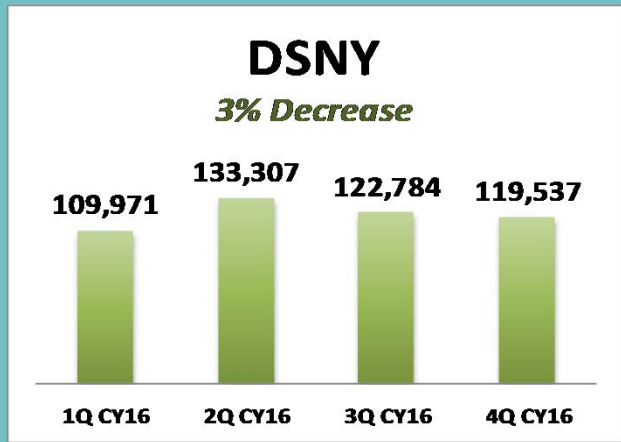


**Quarterly Review for Board Members**  
*(4Q CY2016)*

January 30, 2017

# Summonses Received Hearings Division for ECB Designated Cases\*

## 4Q CY2016

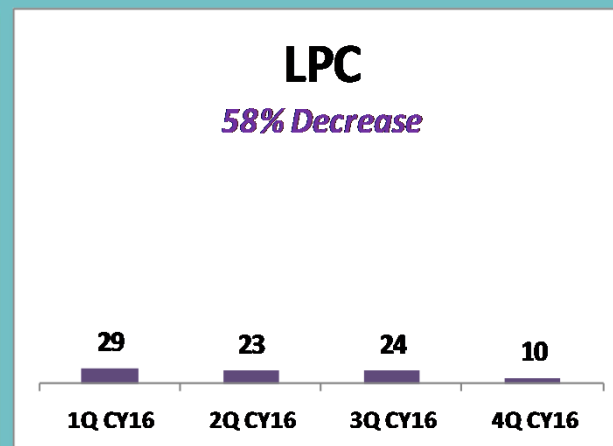
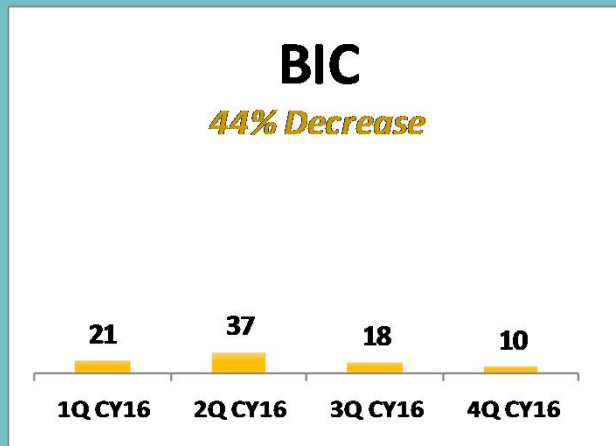
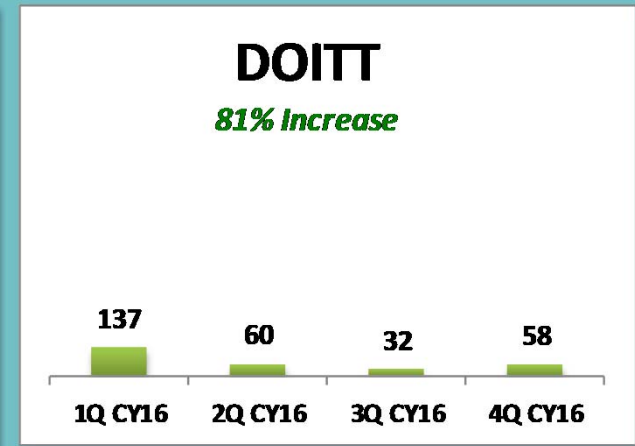
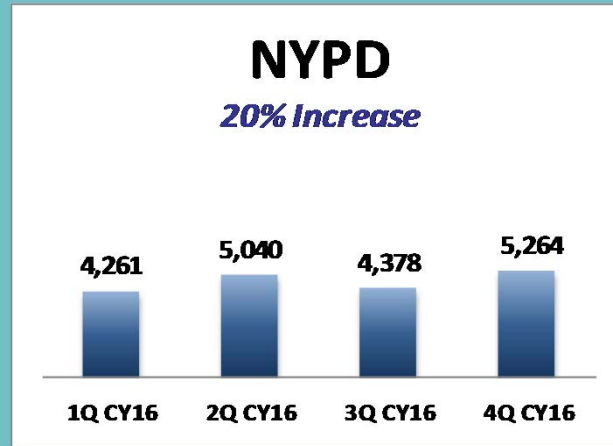
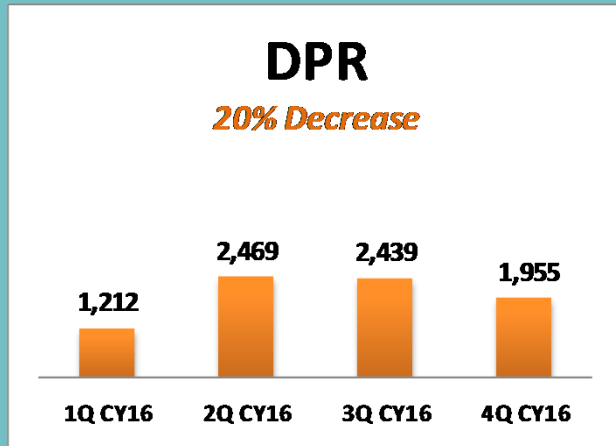


\*1Q CY2016 (Jan-Mar 2016) , 2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016), 4Q CY2016 (Oct-Dec 2016), ; % change = 3Q CY2016 vs 4Q CY2016

\*legacy legislation designated these cases as ECB

# Summonses Received Hearings Division for ECB Designated Cases\*

## 4Q CY2016



Agency Group	3Q CY16 vs 4Q CY16
DSNY	-3%
DOB	-9%
DOT	5%
FDNY	-7%
DOHMH	-21%
NYPD	20%
DEP	-2%
DPR	-20%
DOITT	81%
BIC	-44%
LPC	-58%

\*1Q CY2016 (Jan-Mar 2016) , 2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016), 4Q CY2016 (Oct-Dec 2016), ; % change = 3Q CY2016 vs 4Q CY2016

\*legacy legislation designated these cases as ECB

# Summonses Received

## Hearings Division for ECB Designated Cases\*

### Quarterly Comparisons

Agency	4Q CY2015 <i>(Oct, Nov &amp; Dec2015)</i>	4Q CY2016 <i>(Oct, Nov &amp; Dec2016)</i>
DSNY	111,005	119,537
DOB	12,875	14,133
DOT	19,516	13,381
FDNY	11,314	11,083
DOHMH	7,077	7,929
NYPD	3,954	5,264
DEP	3,139	4,025
DPR	2,311	1,955
DOITT	183	58
BIC	39	10
LPC	35	10
DCA	1	-
Agency Code Missing	100	123
Misc	165	110
<b>Total</b>	<b>171,714</b>	<b>177,618</b>

\*legacy legislation designated these cases as ECB

# Total Summonses Heard Hearings Division for ECB Designated Cases\*

## *Quarterly Comparisons*

Agency	4Q CY2015 <i>(Oct, Nov &amp; Dec2015)</i>	4Q CY2016 <i>(Oct, Nov &amp; Dec2016)</i>
DSNY	16,338	20,212
DOB	11,269	14,889
DOHMH	4,562	4,159
FDNY	4,085	4,726
DEP	3,652	3,715
DOT	3,446	2,770
NYPD	2,188	2,796
DOITT	760	92
DPR	690	575
BIC	19	6
LPC	17	9
DCA	0	0
Agency Code Missing	27	42
Misc	454	582
<b>Total</b>	<b>47,507</b>	<b>54,573</b>

\*legacy legislation designated these cases as ECB

# Decisions Rendered

## Hearings Division for ECB Designated Cases\*

### *Quarterly Comparisons*

Agency	4Q CY2015 <i>(Oct, Nov &amp; Dec2015)</i>	4Q CY2016 <i>(Oct, Nov &amp; Dec2016)</i>
DSNY	15,809	16,832
DOB	7,213	8,147
DOHMH	3,877	3,353
FDNY	3,493	3,885
DOT	2,488	2,083
DEP	2,356	2,535
NYPD	2,271	2,697
DPR	629	540
DOITT	475	53
BIC	16	5
LPC	10	7
DCA	0	0
Agency Code Missing	29	42
Misc.	44	52
<b>Total</b>	<b>38,710</b>	<b>40,231</b>

\*legacy legislation designated these cases as ECB

# Hearing to Decision

## Hearings Division for ECB Designated Cases\*

(Elapsed Time from Last Hearing to Decision Date)

### 4Q CY2016

	1Q CY2016 (Jan-Mar 2016)	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)	4Q CY2016 (Oct-Dec 2016)
<b>&lt; 10 days</b>	90%	84%	77%	65%
11 to 20 days	9%	15%	17%	17%
21 to 30 days	1%	2%	5%	15%

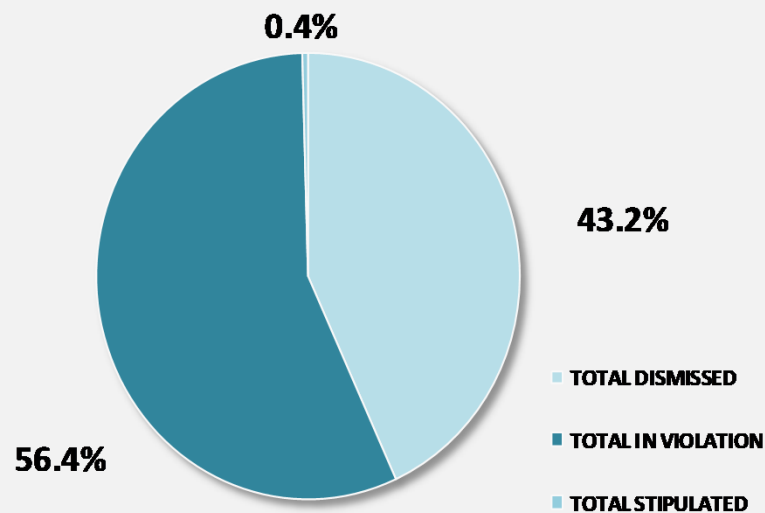
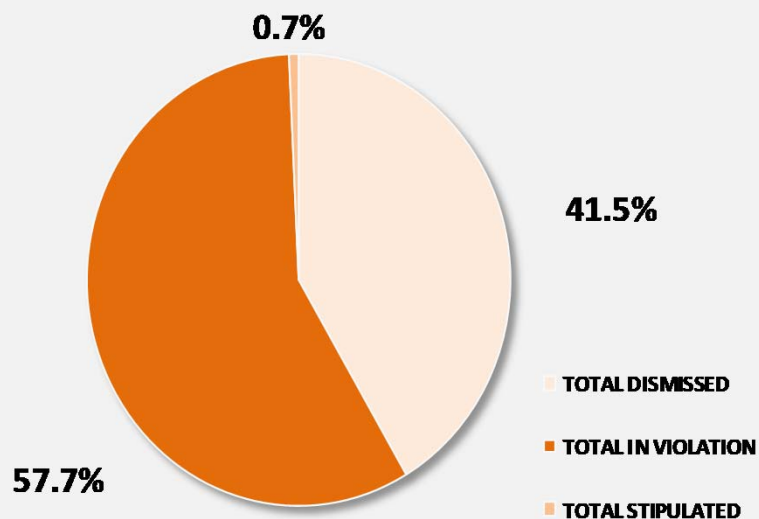
	1Q CY2016 (Jan-Mar 2016)	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)	4Q CY2016 (Oct-Dec 2016)
<b>&lt; 20 days</b>	99%	98%	94%	82%
21 to 30 days	1%	2%	5%	15%
31 to 40 days	0.2%	0.1%	0.4%	3.2%

# Decisions Rendered after Hearing Hearings Division for ECB Designated Cases\*

4Q CY2015 vs 4Q CY2016  
(In Violation/Dismissed/Stipulated)

	4Q CY2015 (Oct, Nov & Dec 2015)
DISMISSED	16,074
IN VIOLATION	22,352
STIPULATED	284
TOTAL	38,710

	4Q CY2016 (Oct, Nov & Dec 2016)
DISMISSED	17,376
IN VIOLATION	22,680
STIPULATED	175
TOTAL	40,231



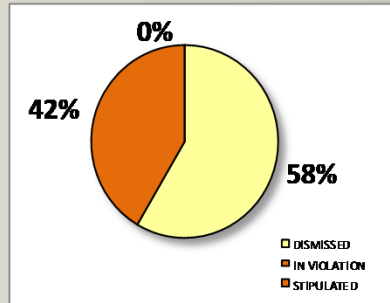
\*legacy legislation designated these cases as ECB



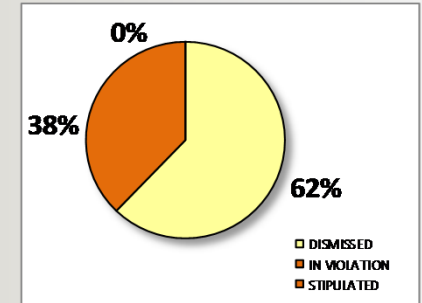
# Decisions Rendered after Hearing Hearings Division for ECB Designated Cases\*

(By Agency – 4Q CY2015 vs 4Q CY2016)

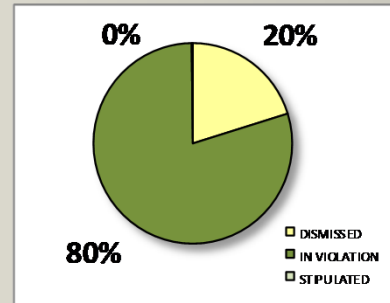
DSNY	4Q CY2015
DISMISSED	9,241
IN VIOLATION	6,568
STIPULATED	-
<b>TOTAL</b>	<b>15,809</b>



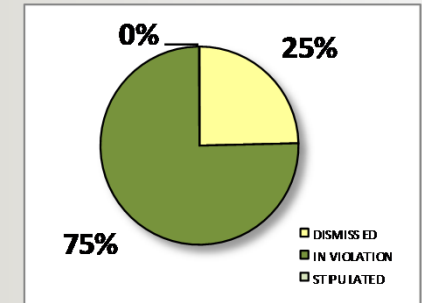
DSNY	4Q CY2016
DISMISSED	10,471
IN VIOLATION	6,360
STIPULATED	1
<b>TOTAL</b>	<b>16,832</b>



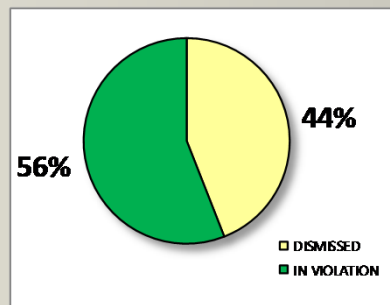
DOB	4Q CY2015
DISMISSED	1,460
IN VIOLATION	5,740
STIPULATED	13
<b>TOTAL</b>	<b>7,213</b>



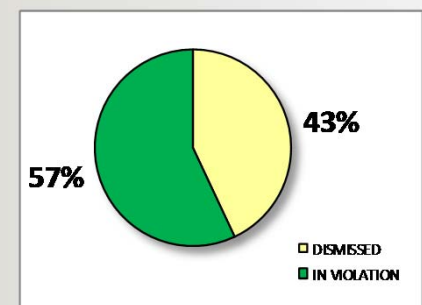
DOB	4Q CY2016
DISMISSED	2,006
IN VIOLATION	6,137
STIPULATED	4
<b>TOTAL</b>	<b>8,147</b>



DOT	4Q CY2015
DISMISSED	1,096
IN VIOLATION	1,392
<b>TOTAL</b>	<b>2,488</b>



DOT	4Q CY2016
DISMISSED	896
IN VIOLATION	1,187
<b>TOTAL</b>	<b>2,083</b>



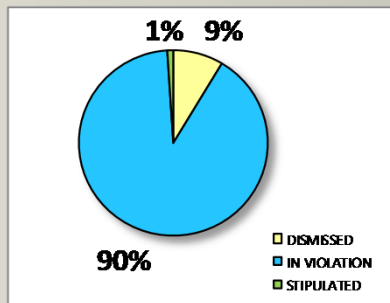
\*October, November and December 2015

\*October, November and December 2016

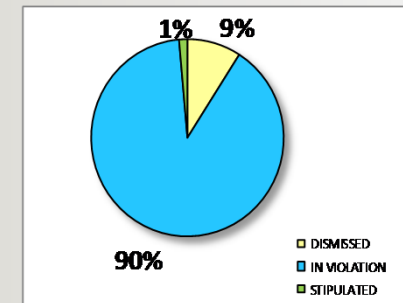
# Decisions Rendered after Hearing Hearings Division for ECB Designated Cases\*

(By Agency – 4Q CY2015 vs 4Q CY2016)

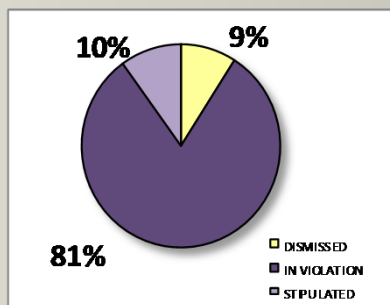
<b>FDNY</b>	4Q CY2015
DISMISSED	303
IN VIOLATION	3,154
STIPULATED	36
<b>TOTAL</b>	<b>3,493</b>



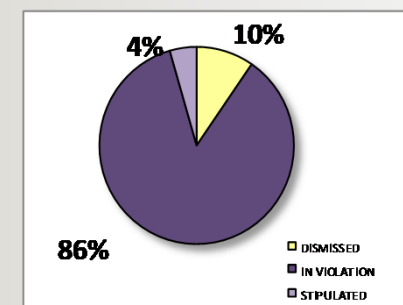
<b>FDNY</b>	4Q CY2016
DISMISSED	354
IN VIOLATION	3,476
STIPULATED	55
<b>TOTAL</b>	<b>3,885</b>



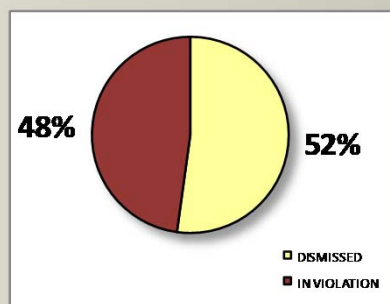
<b>DEP</b>	4Q CY2015
DISMISSED	213
IN VIOLATION	1,908
STIPULATED	235
<b>TOTAL</b>	<b>2,356</b>



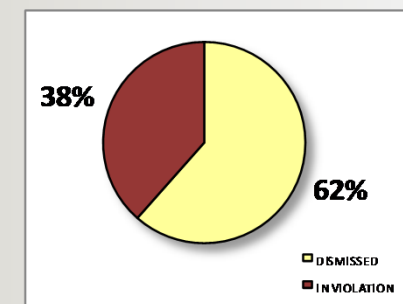
<b>DEP</b>	4Q CY2016
DISMISSED	243
IN VIOLATION	2,179
STIPULATED	113
<b>TOTAL</b>	<b>2,535</b>



<b>NYPD</b>	4Q CY2015
DISMISSED	1,185
IN VIOLATION	1,086
<b>TOTAL</b>	<b>2,271</b>



<b>NYPD</b>	4Q CY2016
DISMISSED	1,661
IN VIOLATION	1,036
<b>TOTAL</b>	<b>2,697</b>



\*October, November and December 2015

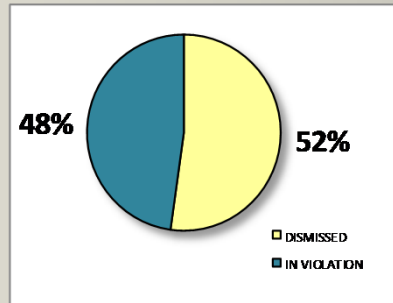
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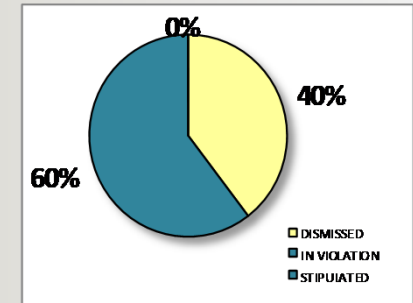
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(By Agency – 4Q CY2015 vs 4Q CY2016)

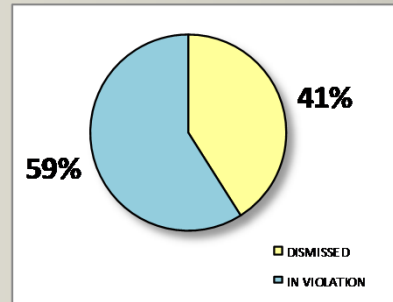
DOHMH	4Q CY2015
DISMISSED	2,024
IN VIOLATION	1,853
TOTAL	3,877



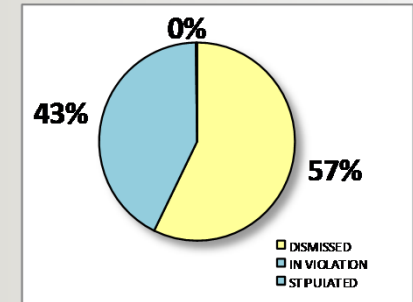
DOHMH	4Q CY2016
DISMISSED	1,331
IN VIOLATION	2,021
STIPULATED	1
TOTAL	3,353



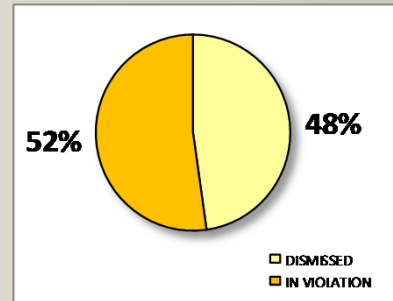
DPR	4Q CY2015
DISMISSED	258
IN VIOLATION	371
TOTAL	629



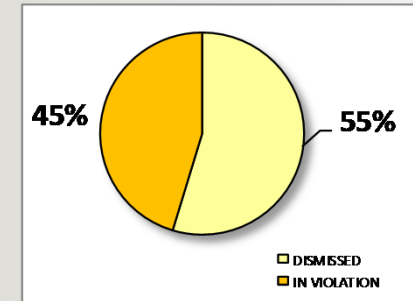
DPR	4Q CY2016
DISMISSED	309
IN VIOLATION	230
STIPULATED	1
TOTAL	540



DOITT	4Q CY2015
DISMISSED	227
IN VIOLATION	248
TOTAL	475



DOITT	4Q CY2016
DISMISSED	29
IN VIOLATION	24
TOTAL	53



\*October, November and December 2015

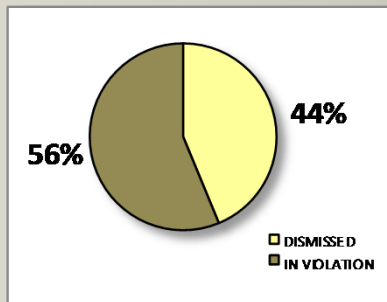
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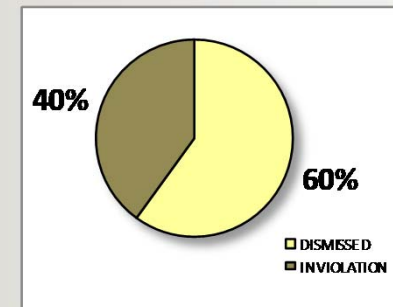
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(By Agency – 4Q CY2015 vs 4Q CY2016)

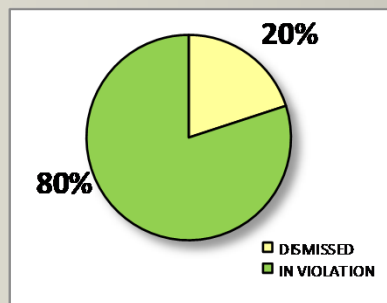
BIC	4Q CY2015
DISMISSED	7
IN VIOLATION	9
<b>TOTAL</b>	<b>16</b>



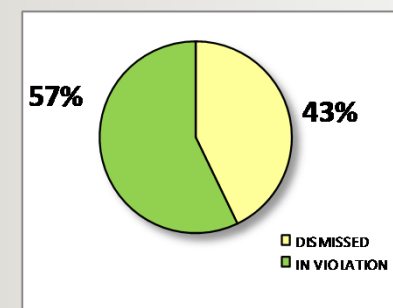
BIC	4Q CY2016
DISMISSED	3
IN VIOLATION	2
<b>TOTAL</b>	<b>5</b>



LPC	4Q CY2015
DISMISSED	2
IN VIOLATION	8
<b>TOTAL</b>	<b>10</b>



LPC	4Q CY2016
DISMISSED	3
IN VIOLATION	4
<b>TOTAL</b>	<b>7</b>



\*October, November and December 2015

\*October, November and December 2016

# Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases\* (4Q CY2016)

DOT
FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS
FAILURE TO PERMANENTLY RESTORE CUT WITHIN REQUIRED TIME
CONSTRUCTION MATERIALS/EQUIPMENT STORED ON STREET W/O PERMIT

DOB
FAILURE TO MAINTAIN BUILDING IN CODE-COMPLIANT MANNER
FAILURE TO MAINTAIN ELEVATOR OR CONVEYING SYSTEM
FAIL TO COMPLY W/COMMISSIONER ORDER TO FILE CERT OF CORRECTION W/DOB

NYPD
RIGHT OF WAY - FAILURE TO YIELD, PHYSICAL INJURY
VEND IN BUS STOP, NEXT TO HOSPITAL/10 FT OF DRIVE, SUBWAY, CROSSWALK
OPERATION OF MOTORIZED SCOOTER WITHIN THE CITY OF NEW YORK

DSNY
FAILURE TO CLEAN 18 INCHES INTO STREET
DIRTY SIDEWALK/DIRTY AREA
DIRTY AREA

\*October, November and December 2016

\*legacy legislation designated these cases as ECB

# Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases\* (4Q CY2016)

DEP
FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER
FAIL TO ADOPT/IMPLEMENT NOISE MITIGATION PLAN FOR CONSTRUCTION SITE
FAIL TO KEEP/HAVE AVAILABLE FOR INSPECTION NOISE MITIGATION PLAN

DOHMH
FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCIVE TO RODENT-DEBRIS,VEGETATION 1ST
FAIL TO ELIMINATE CONDITIONS CONDUCIVE TO PESTS, GARBAGE CANS/SPILLAGE

FDNY
FIRE PROTECTION SYSTEMS:FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS
INSPECTION AND TESTING
CERTIFICATES OF FITNESS AND CERTIFICATES OF QUALIFICATION

LPC
WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT.TYPEA
WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFCT(TYPE C)FLAG,SIGN,BANR,AWNG
WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFECT-ALTERAL'N STRFRONT-TYPE A

\*October, November and December 2016

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# Most Commonly Issued Summonses By Agency Hearings Division for ECB Designated Cases\* (4Q CY2016)

DOITT
FAILURE TO PROVIDE WORKING PUBLIC PAY TELEPHONE & OPERATOR SERVICES
REQUIRED SIGN MISSING/IMPERMISSIBLE AS PER REQUIREMENTS OF SUBSECTION
INSTALL/OPERATE/MAINTAIN PUBLIC PAY TELEPHONE WITHOUT PERMIT

BIC
IDLING OF MOTOR VEHICLE ENGINE MORE THAN THREE MINUTES 1ST OFF
-
-

DPR
FAILURE TO COMPLY WITH DIRECTIONS/PROHIBITIONS ON SIGNS
UNAUTHORIZED VENDING
FAILURE TO COMPLY WITH PEDICAB RESTRICTIONS

\*October, November and December 2016

\*legacy legislation designated these cases as ECB

# Appealed Summonses by Enforcement Agency Hearings Division for ECB Designated Cases\*

(4Q CY2016)

Agency	# of Violations
<b>DOB</b>	<b>299</b>
<b>DSNY</b>	<b>143</b>
<b>FDNY</b>	<b>107</b>
<b>DOHMH</b>	<b>55</b>
<b>DEP</b>	<b>13</b>
<b>DOT</b>	<b>33</b>
<b>NYPD</b>	<b>15</b>
<b>DPR</b>	<b>8</b>
<b>Total</b>	<b>673</b>

\*October, November and December 2016

\*legacy legislation designated these cases as ECB



# Defaults

## Hearings Division for ECB Designated Cases\*

(4Q CY2016)

**Total # of Defaults** (Oct, Nov & Dec 2016) =

**90,706**

Issuing Agency	# of Defaults 4Q CY2016	% out of Total Defaults
DSNY	65,702	72.4%
DOT	6,815	7.5%
DOHMH	5,496	6.1%
DOB	4,659	5.1%

\*legacy legislation designated these cases as ECB