



Hearings Division Quarterly Report



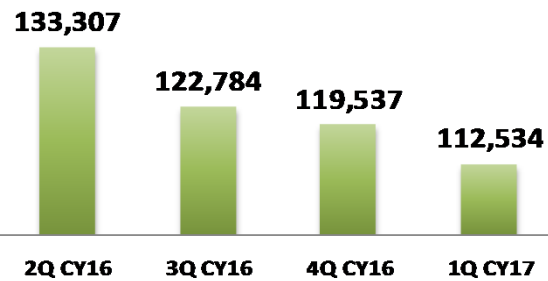
1Q CY2017
(Jan-Mar 2017)

April 27, 2017

Summons Received Board Cases* 1Q CY2017

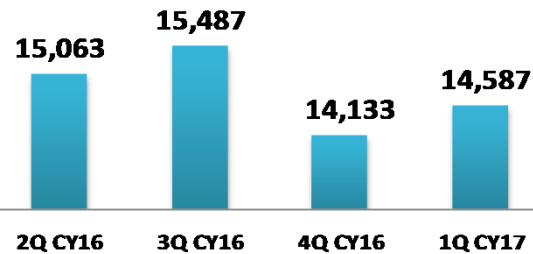
DSNY

6% Decrease



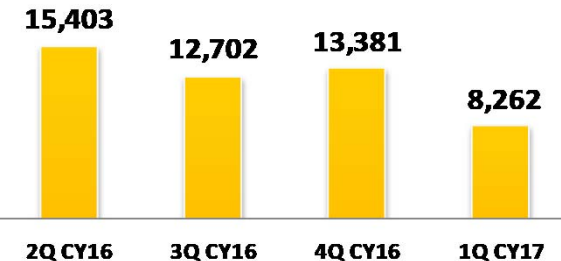
DOB

3% Increase



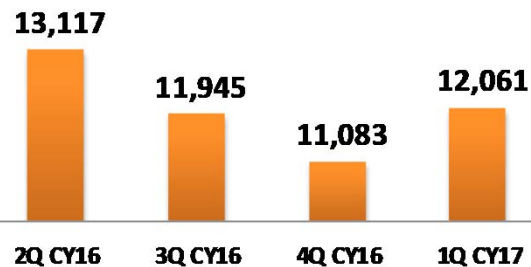
DOT

38% Decrease



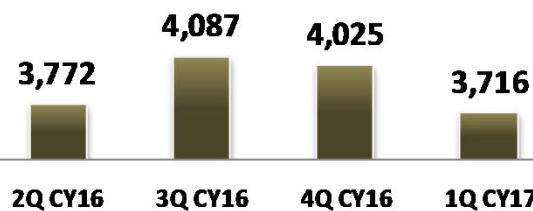
FDNY

9% Increase



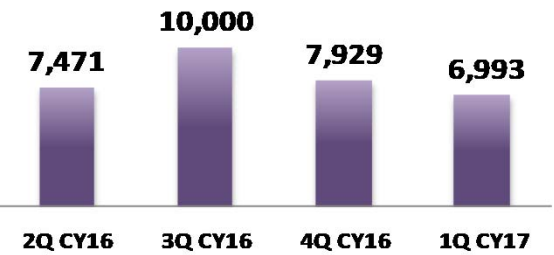
DEP

8% Decrease



DOHMH

12% Decrease



*2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016), 4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017) ; % change = 4Q CY2016 vs 1Q CY2017

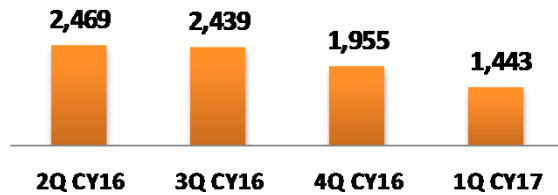
*legacy legislation designated these cases as ECB

April 27, 2017

Summonses Received Board Cases* 1Q CY2017

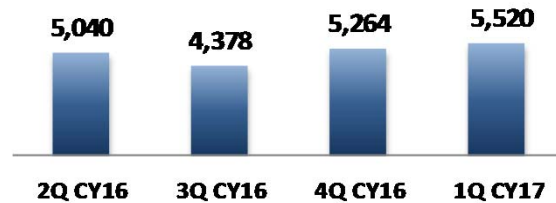
DPR

26% Decrease



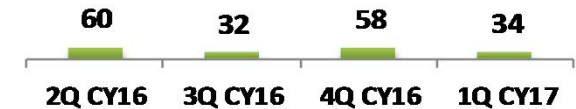
NYPD

5% Increase



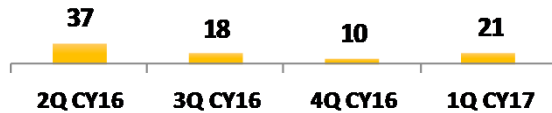
DOITT

41% Decrease



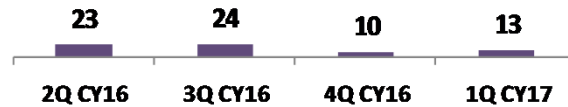
BIC

110% Increase



LPC

30% Increase



Agency Group	4Q CY16 vs 1Q CY17
DSNY	-6%
DOB	3%
DOT	-38%
FDNY	9%
DOHMH	-12%
NYPD	5%
DEP	-8%
DPR	-26%
DOITT	-41%
BIC	110%
LPC	30%

*2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016), 4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017) ; % change = 4Q CY2016 vs 1Q CY2017

*legacy legislation designated these cases as ECB

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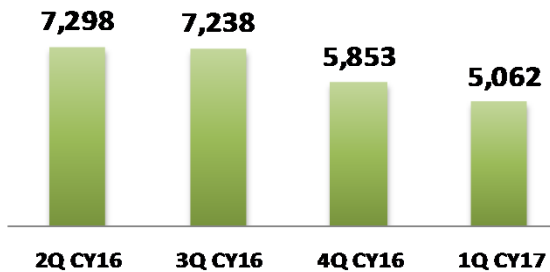
Summonses Received

Non-Board Cases*

1Q CY2017

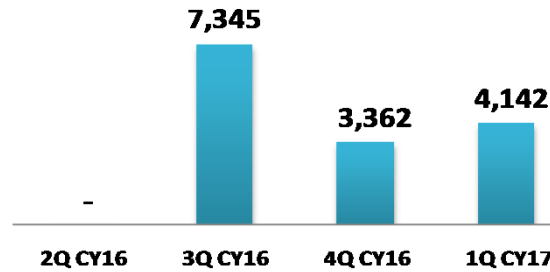
Non-Board Health

14% Decrease



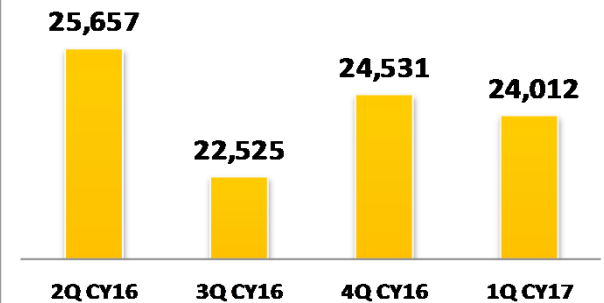
Non-Board DCA†

23% Increase



Vehicle for Hire

2.1% Decrease



† OATH began hearing DCA cases after August 22, 2016.

* 2Q CY2016 (Apr-Jun 2016), 3Q CY2016 (Jul-Sep 2016), 4Q CY2016 (Oct-Dec 2016), 1Q CY2017 (Jan-Mar 2017) ; % change = 4Q CY2016 vs 1Q CY2017

*Numbers reflect summonses.

April 27, 2017

Summonses Received Board Cases *

Quarterly Comparisons

Agency	1Q CY2016 <i>(Jan, Feb & Mar2016)</i>	1Q CY2017 <i>(Jan, Feb & Mar2017)</i>
DSNY	109,971	112,534
DOB	12,242	14,587
DOT	12,989	8,262
FDNY	11,502	12,061
DOHMH	6,248	6,993
NYPD	4,261	5,520
DEP	3,641	3,716
DPR	1,212	1,443
DOITT	137	34
BIC	21	21
LPC	29	13
DCA	-	-
Agency Code Missing	77	150
Misc	153	158
Total	162,483	165,492

*legacy legislation designated these cases as ECB

April 27, 2017

Total Summonses Heard Board Cases* *Quarterly Comparisons*

Agency	1Q CY2016 <i>(Jan, Feb & Mar2016)</i>	1Q CY2017 <i>(Jan, Feb & Mar2017)</i>
DSNY	13,553	22,188
DOB	11,836	16,343
DOHMH	3,802	4,064
FDNY	4,812	5,208
DEP	3,282	3,382
DOT	4,475	3,696
NYPD	5,387	3,342
DOITT	730	87
DPR	391	452
BIC	14	8
LPC	11	4
DCA	0	0
Agency Code Missing	26	47
Misc	433	881
Total	48,752	59,702

*legacy legislation designated these cases as ECB

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Decisions Rendered

Board Cases*

Quarterly Comparisons

Agency	1Q CY2016 <i>(Jan, Feb & Mar2016)</i>	1Q CY2017 <i>(Jan, Feb & Mar2017)</i>
DSNY	14,672	19,840
DOB	7,412	11,517
DOHMH	3,161	3,502
FDNY	4,123	4,676
DOT	3,181	2,802
DEP	2,089	2,620
NYPD	2,171	3,273
DPR	355	421
DOITT	399	61
BIC	9	4
LPC	4	3
DCA	0	0
Agency Code Missing	27	46
Misc.	52	91
Total	37,655	48,856

*legacy legislation designated these cases as ECB

April 27, 2017

Hearing to Decision Board Cases*

(Elapsed Time from Last Hearing to Decision Date)
1Q CY2016

	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)	4Q CY2016 (Oct-Dec 2016)	1Q CY2017 (Jan-Mar 2017)
< 10 days	84%	77%	65%	69%
11 to 20 days	15%	17%	17%	16%
21 to 30 days	2%	5%	15%	10%

	2Q CY2016 (Apr-Jun 2016)	3Q CY2016 (Jul-Sep 2016)	4Q CY2016 (Oct-Dec 2016)	1Q CY2017 (Jan-Mar 2017)
< 20 days	98%	94%	82%	85%
21 to 30 days	2%	5%	15%	10%
31 to 40 days	0.1%	0.4%	3.2%	4.3%

*legacy legislation designated these cases as ECB

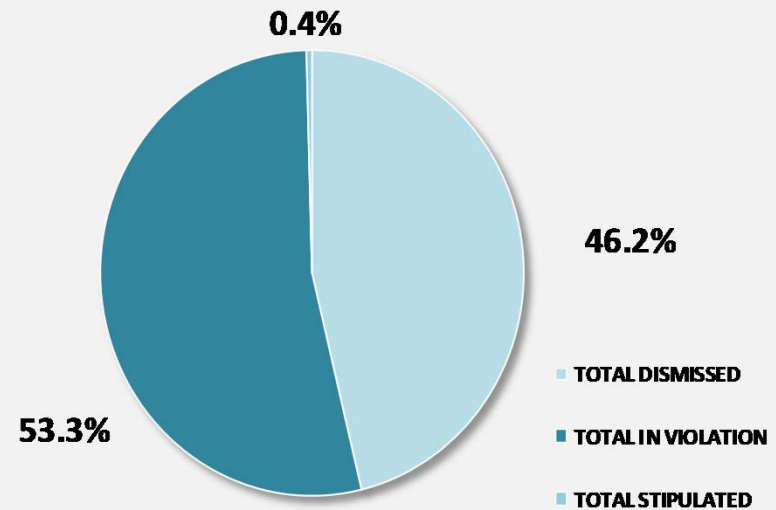
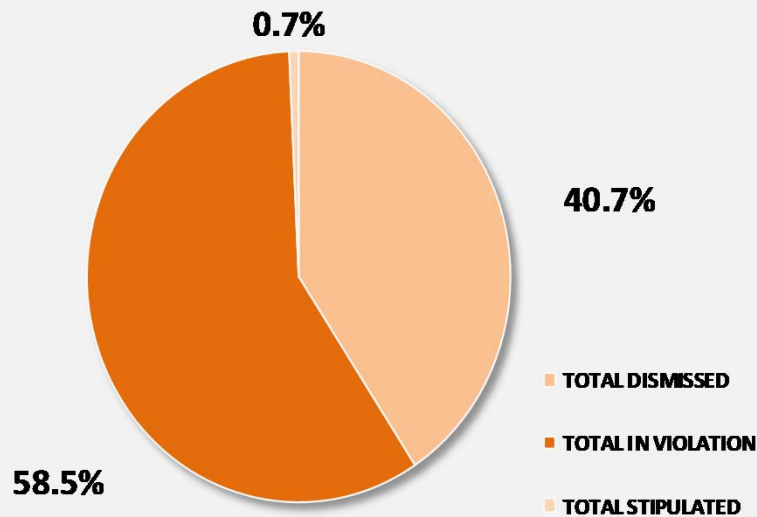
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Decisions Rendered after Hearing Board Cases*

1Q CY2016 vs 1Q CY2017
(In Violation/Dismissed/Stipulated)

	1Q CY2016 (Jan, Feb & Mar 2016)
DISMISSED	15,344
IN VIOLATION	22,040
STIPULATED	271
TOTAL	37,655

	1Q CY2017 (Jan, Feb & Mar 2017)
DISMISSED	22,593
IN VIOLATION	26,056
STIPULATED	207
TOTAL	48,856



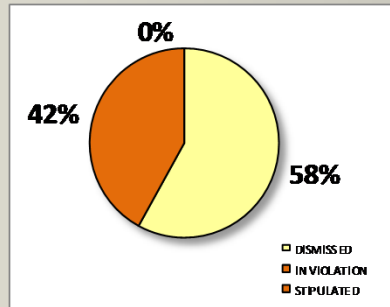
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April 27, 2017

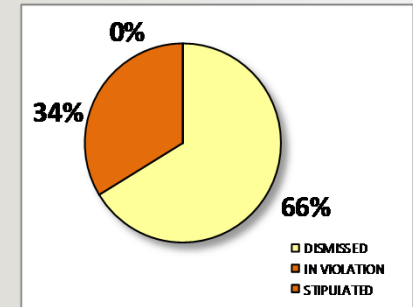
Decisions Rendered after Hearing Board Cases*

(By Agency – 1Q CY2016 vs 1Q CY2017)

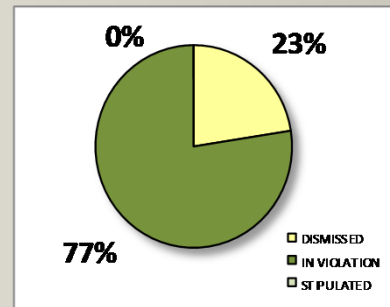
DSNY	1Q CY2016
DISMISSED	8,511
IN VIOLATION	6,160
STIPULATED	1
TOTAL	14,672



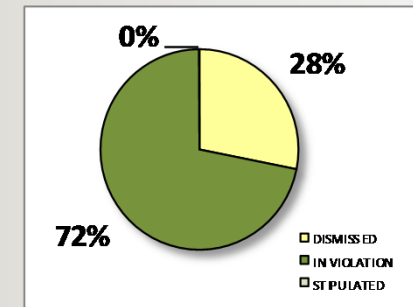
DSNY	1Q CY2017
DISMISSED	13,152
IN VIOLATION	6,687
STIPULATED	1
TOTAL	19,840



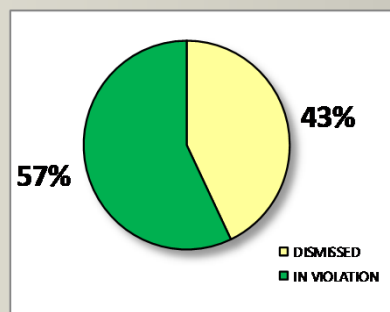
DOB	1Q CY2016
DISMISSED	1,667
IN VIOLATION	5,743
STIPULATED	2
TOTAL	7,412



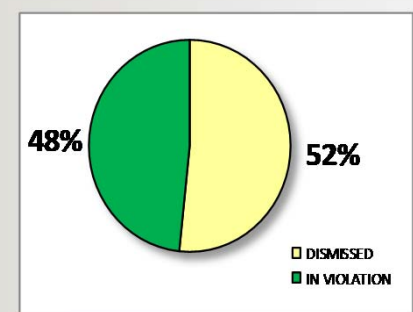
DOB	1Q CY2017
DISMISSED	3,242
IN VIOLATION	8,269
STIPULATED	6
TOTAL	11,517



DOT	1Q CY2016
DISMISSED	1,366
IN VIOLATION	1,815
TOTAL	3,181



DOT	1Q CY2017
DISMISSED	1,447
IN VIOLATION	1,355
TOTAL	2,802



*January, February, and March 2016

*January, February, and March 2017

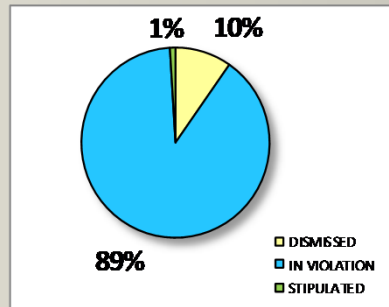
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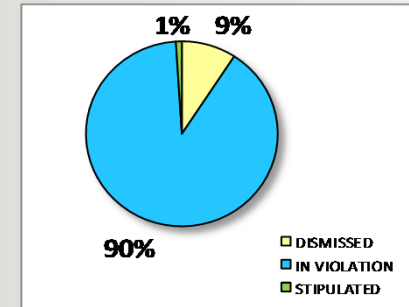
Decisions Rendered after Hearing Board Cases*

(By Agency – 1Q CY2016 vs 1Q CY2017)

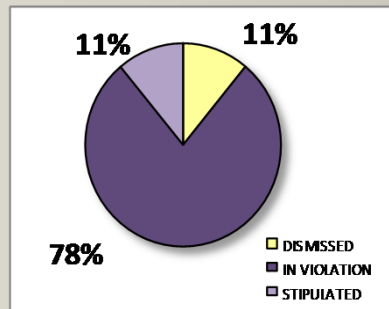
FDNY	1Q CY2016
DISMISSED	400
IN VIOLATION	3,684
STIPULATED	39
TOTAL	4,123



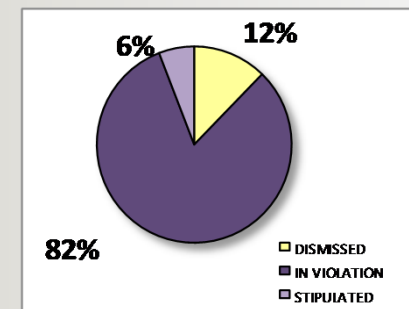
FDNY	1Q CY2017
DISMISSED	427
IN VIOLATION	4,203
STIPULATED	46
TOTAL	4,676



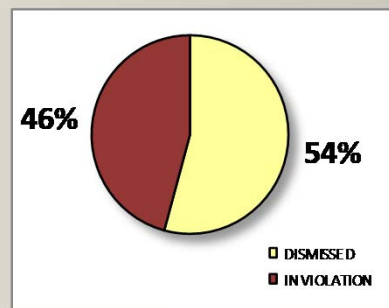
DEP	1Q CY2016
DISMISSED	228
IN VIOLATION	1,632
STIPULATED	229
TOTAL	2,089



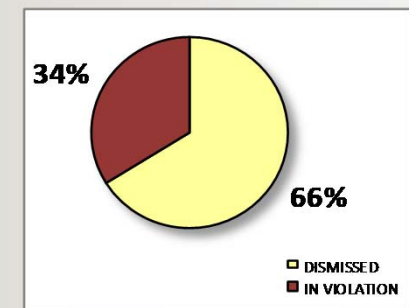
DEP	1Q CY2017
DISMISSED	322
IN VIOLATION	2,144
STIPULATED	154
TOTAL	2,620



NYPD	1Q CY2016
DISMISSED	1,177
IN VIOLATION	994
TOTAL	2,171



NYPD	1Q CY2017
DISMISSED	2,165
IN VIOLATION	1,108
TOTAL	3,273



*January, February, and March 2016

*January, February, and March 2017

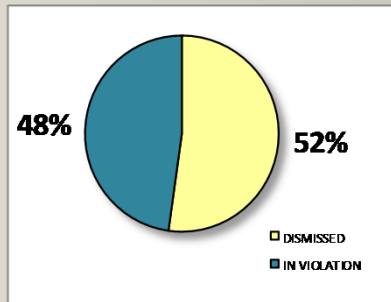
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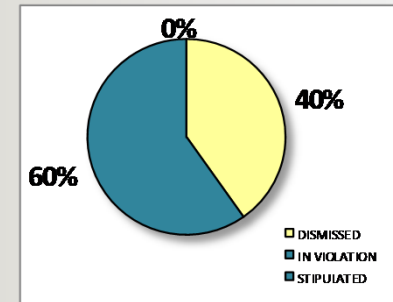
Decisions Rendered after Hearing Board Cases*

(By Agency – 1Q CY2016 vs 1Q CY2017)

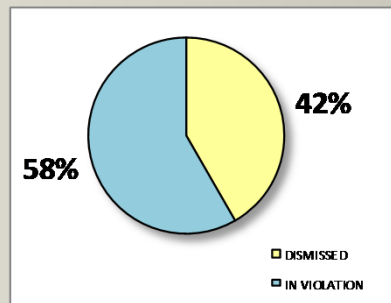
DOHMH	1Q CY2016
DISMISSED	1,514
IN VIOLATION	1,647
TOTAL	3,161



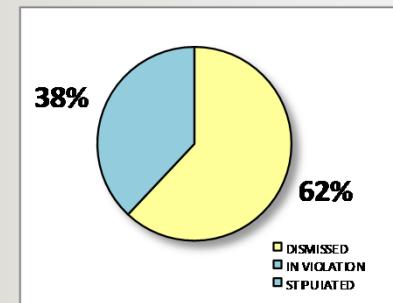
DOHMH	1Q CY2017
DISMISSED	1,406
IN VIOLATION	2,096
STIPULATED	-
TOTAL	3,502



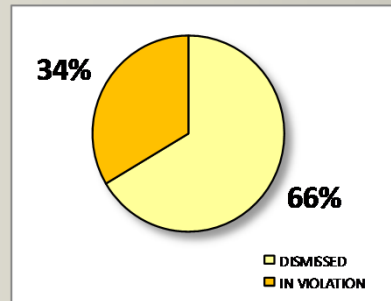
DPR	1Q CY2016
DISMISSED	148
IN VIOLATION	207
TOTAL	355



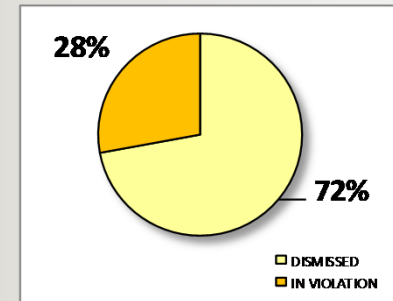
DPR	1Q CY2017
DISMISSED	261
IN VIOLATION	160
STIPULATED	-
TOTAL	421



DOITT	1Q CY2016
DISMISSED	265
IN VIOLATION	134
TOTAL	399



DOITT	1Q CY2017
DISMISSED	44
IN VIOLATION	17
TOTAL	61



*January, February, and March 2016

*January, February, and March 2017

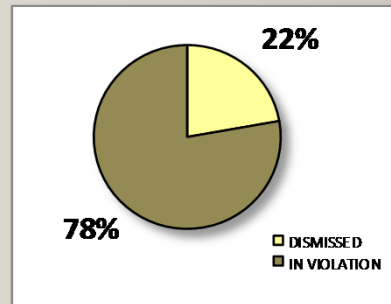
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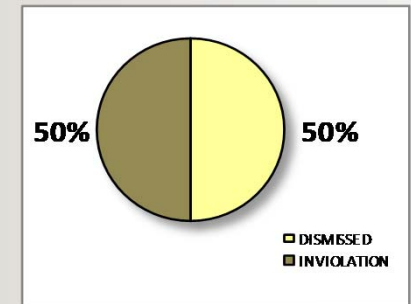
Decisions Rendered after Hearing Board Cases*

(By Agency – 1Q CY2016 vs 1Q CY2017)

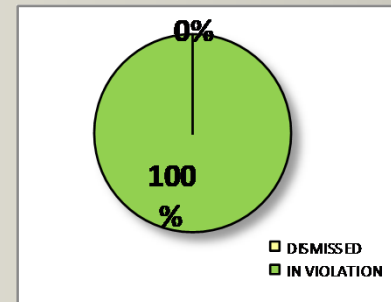
BIC	1Q CY2016
DISMISSED	2
IN VIOLATION	7
TOTAL	9



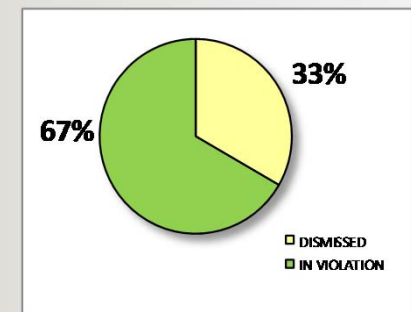
BIC	1Q CY2017
DISMISSED	2
IN VIOLATION	2
TOTAL	4



LPC	1Q CY2016
DISMISSED	-
IN VIOLATION	4
TOTAL	4



LPC	1Q CY2017
DISMISSED	1
IN VIOLATION	2
TOTAL	3



*January, February, and March 2016

*January, February, and March 2017

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April 27, 2017

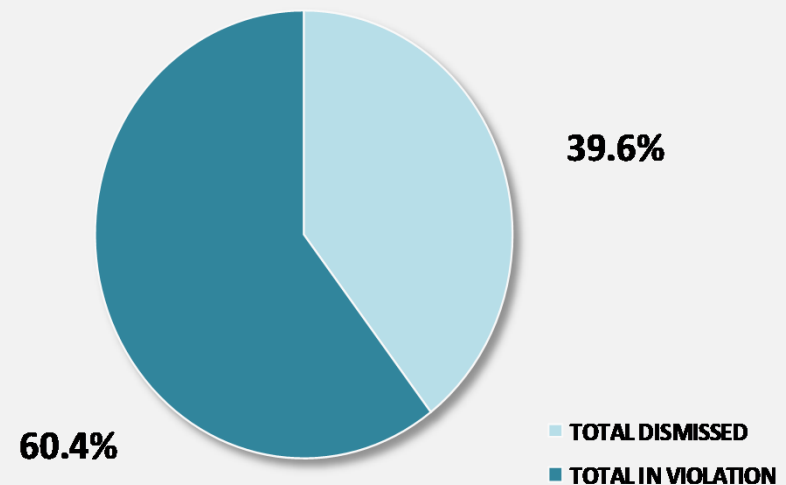
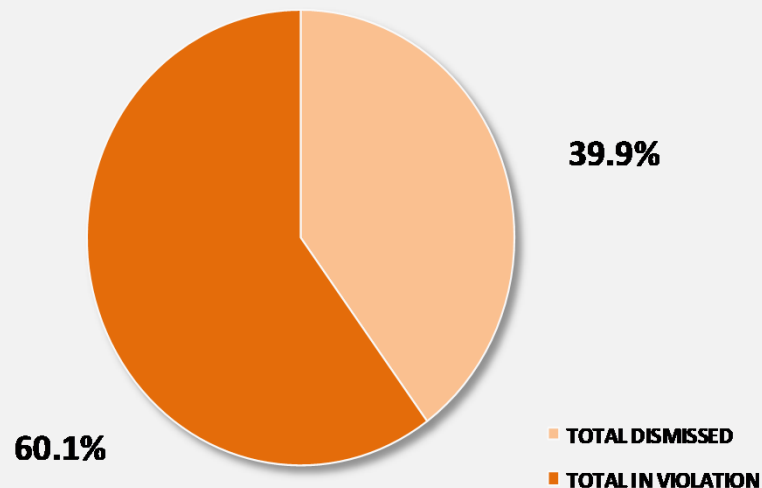
Decisions Rendered after Hearing

Non-Board Health Cases *

1Q CY2016 vs 1Q CY2017
(In Violation/Dismissed)

	1Q CY2016 (Jan, Feb & Mar 2016)
DISMISSED	9,894
IN VIOLATION	14,888
TOTAL	24,782

	1Q CY2017 (Jan, Feb & Mar 2017)
DISMISSED	7,459
IN VIOLATION [†]	11,383
TOTAL	18,842



[†] The number does not include default decisions.

*The numbers reflect per charge not summons.

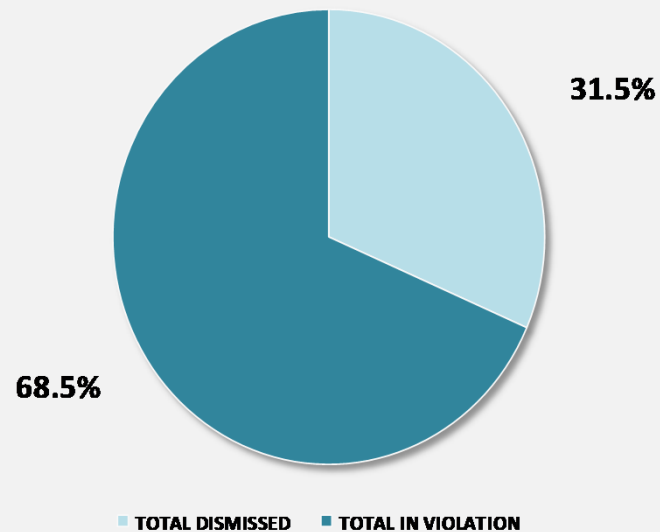
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Decisions Rendered after Hearing

Non-Board DCA Cases *

1Q CY2017
(In Violation/Dismissed)

	1Q CY2017 (Jan, Feb & Mar 2017)
DISMISSED	688
IN VIOLATION[†]	1,494
TOTAL	2,182



[†] The number does not include default decisions.

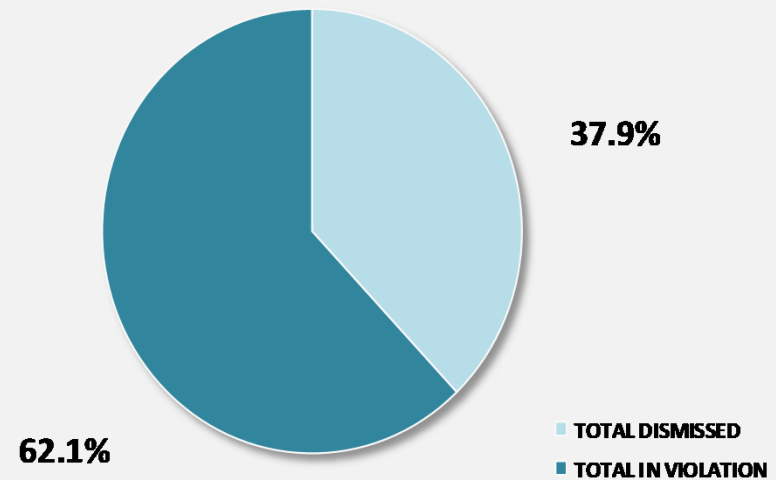
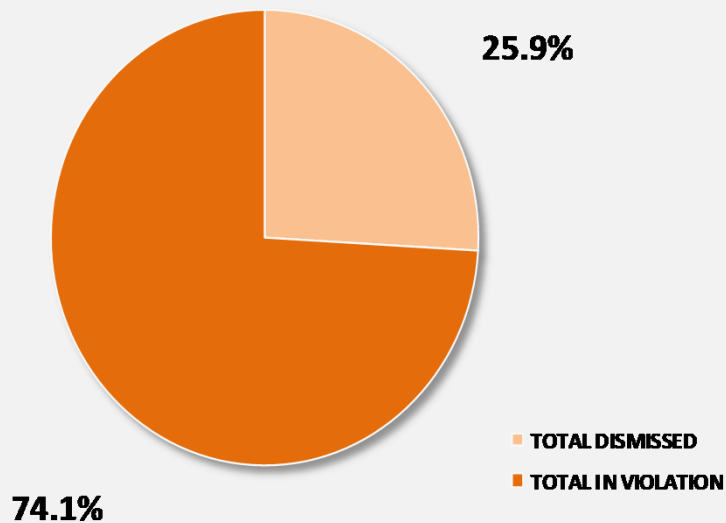
*The numbers reflect per charge not summons.

Decisions Rendered after Hearing Non-Board Vehicle for Hire Cases

1Q CY2016 vs 1Q CY2017
(In Violation/Dismissed)

	1Q CY2016 (Jan, Feb & Mar 2016)
DISMISSED	797
IN VIOLATION	2,279
TOTAL	3,076

	1Q CY2017 (Jan, Feb & Mar 2017)
DISMISSED	720
IN VIOLATION †	1,178
TOTAL	1,898



† The number does not include default decisions.

Most Commonly Issued Summonses By Agency

Board Cases*

(1Q CY2017)

DOT

FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS

CONSTRUCTION MATERIALS/EQUIPMENT STORED ON STREET W/O PERMIT

NO RAISED PLOW SIGN/STEEL PLATES OR FAIL TO COUNTERSINK PLATES W/RDWAY

DOB

FAIL TO COMPLY W/COMMISSIONER ORDER TO FILE CERT OF CORRECTION W/DOB

UNLAWFUL ACTS.FAILURE TO COMPLY WITH AN ORDER OF THE COMMISSIONER

FAILURE TO MAINTAIN ELEVATOR OR CONVEYING SYSTEM

NYPD

RIGHT OF WAY - FAILURE TO YIELD, PHYSICAL INJURY

VEND IN BUS STOP, NEXT TO HOSPITAL/10 FT OF DRIVE, SUBWAY, CROSSWALK

OPERATION OF MOTORIZED SCOOTER WITHIN THE CITY OF NEW YORK

DSNY

FAILURE TO CLEAN 18 INCHES INTO STREET

DIRTY SIDEWALK/DIRTY AREA

DIRTY AREA

*January, February, and March 2017

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April 27, 2017

Most Commonly Issued Summonses By Agency

Board Cases*

(1Q CY2017)

DEP

FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER

FAILED TO INSTALL A BACKFLOW PREVENTER

OPERATING EMISSION SOURCE WITH EXPIRED REGISTRATION 1ST OFF

DOHMH

FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST

FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS,VEGETATION 1ST

FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO PESTS, GARBAGE CANS/SPILLAGE

FDNY

INSPECTION AND TESTING

FIRE PROTECTION SYSTEMS:FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS

AFFIDAVITS, DESIGN/INSTALLATION DOCUMENTS, AND OTHER DOCUMENTATION

LPC

WORK W/O OR VIOL.OF CERT.OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT.TYPEA

WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFECT(TYPE C)FLAG,SIGN,BANR,AWNG

WORK W/O OR VIOL OF CERT.OF APPROP/NO EFFECT-ALTERAL'N STRFRONT-TYPE A

*January, February, and March 2017

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April 27, 2017

Most Commonly Issued Summonses By Agency

Board Cases*

(1Q CY2017)

DOITT

FAILURE TO PROVIDE WORKING PUBLIC PAY
TELEPHONE & OPERATOR SERVICES

REQUIRED SIGN MISSING/IMPERMISSIBLE AS
PER REQUIREMENTS OF SUBSECTION

FAILED TO CLEAN/MAINTAIN PUBLIC PAY
TELEPHONE AS PER REQMENTS OF SUBSEC

BIC

IDLING OF MOTOR VEHICLE ENGINE MORE
THAN THREE MINUTES 1ST OFF

FAILED TO OBTAIN IDENTIFICATION CARD AS
PER SUBSEC.

FAILED TO DISPLAY IDENTIFICATION CARD AS
PER SUBSEC.

DPR

FAILURE TO COMPLY WITH
DIRECTIONS/PROHIBITIONS ON SIGNS

UNLEASHED OR UNCONTROLLED ANIMALS IN
PARK

UNAUTHORIZED VENDING

*January, February, and March 2017

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April 27, 2017

Appealed Summonses by Enforcement Agency Board Cases* (1Q CY2017)

Agency	# of Violations
DSNY	811
DOB	387
FDNY	98
DOHMH	49
DOT	47
DEP	27
NYPD	14
DOITT	2
DPR	2
Misc.	1
Total	1,438

*January, February, and March 2017

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Appealed Summonses by Enforcement Agency for Non-Board Cases (1Q CY2017)

Agency	# of Violations
Health	45
DCA	92
Vehicle for Hire	292
Total	429

*January, February, and March 2017

Defaults Board Cases* (1Q CY2017)

Total # of Defaults (Jan, Feb & Mar 2017) =

75,028

Issuing Agency	# of Defaults 1Q CY2017	% out of Total Defaults
DSNY	54,799	73.0%
DOT	5,486	7.3%
DOB	4,915	6.6%
DOHMH	3,844	5.1%
FDNY	2,849	3.8%
NYPD	1,382	1.8%
DEP	1,128	1.5%

*legacy legislation designated these cases as ECB

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Defaults *
Non-Board Cases
(1Q CY2017)

Total # of Defaults (Jan, Feb & Mar 2017) =

8,906

Issuing Agency	# of Defaults 1Q CY2017
Health	1,785
DCA	1,825
Vehicle for Hire	5,296

*Numbers reflect summons.

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