## CITY OF NEW YORK

## MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISE (M/WBE) PROGRAM

## Preliminary Report

Fiscal Year 2012 Preliminary Compliance Information
(July 1, 2011 - December 31, 2011)
Pursuant to New York City Administrative Code § 6-129 (I)(1)

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## Introduction

This report details the City's efforts to ensure minority and women-owned businesses have greater access to public contracting opportunities. The reporting period covers program accomplishments for the first two quarters of Fiscal Year 2012 (July 1, 2011 - December 31, 2011). Pursuant to New York City Administrative Code §6-129(I)1, the report is jointly submitted by the Director of the Mayor's Office of Contract Services (MOCS), as City Chief Procurement Officer, and by the Commissioner of the Department of Small Business Services (SBS).

The reports consists of a summary of program activity, prime contract and subcontract utilization data for City-certified Minority and Women-Owned Business Enterprises (M/WBE), as well as additional data specified in Local Law 129 (LL 129) of 2005.

The City's Minority and Women-Owned Business Enterprise Program was signed into law in 2005 and is administered by SBS and MOCS. Since its passage, SBS has worked aggressively to develop the City's M/WBE program through wide-ranging initiatives that provide multiple services to certified companies, purchasing agencies, and prime contractors. The number of certified companies continues to increase, along with the number and value of contracts awarded to these companies. M/WBEs were awarded 4,060 prime contracts valued at $\$ 162,556,350$ and 532 subcontracts valued at $\$ 79,177,830$ in this reporting period. In the first five years of the program, i.e., from July 1, 2006 through December 31, 2011, M/WBE firms were awarded over 40,000 prime and subcontracts valued at nearly $\$ 2.7$ billion dollars.

## Building the Capacity of Firms to Compete

SBS is leading efforts to build the capacity of certified firms. Most of our certified firms are small - nearly 70\% have ten or fewer employees. While they have been increasingly successful in winning contracts below $\$ 100,000$, we are working to help these firms compete for larger City contracts. To do so, the City has launched "Compete to Win" a new set of initiatives to help even more M/WBEs do business with the City.

Compete to Win consists of the following set of programs to help M/WBEs compete and grow:

## NYC Teaming

In partnership with American Express OPEN, NYC Teaming helps firms create partnerships that allow them to bid on larger contracts or pursue new contracting opportunities. The program includes a Business Matching Event and a series of workshops leading up to the event that focus on Joint Ventures, Marketing to Partners, and Presenting Your Teamed Firm to Buyers. The matching event, in combination with workshops, will help businesses with complementary skills create partnerships and joint ventures to more effectively compete for contracts.

## Technical Assistance

The Technical Assistance program provides free workshops and one-on-one assistance to prepare firms to compete for and perform on City contracts. Workshops provide an in-depth understanding of specific industry requirements and standards for the submission of bids and proposals. The one-on-one personalized assistance will provide firms with guidance prior to submitting bids and proposals for City contracts, as well as aiding unsuccessful bidders and proposers to improve their next submission.

## NYC Construction Loan

The NYC Construction Loan program provides short-term working capital loans from the New York Business Development Corporation to M/WBE firms in the construction sector. The loans are designed to help fund startup expenses of labor and equipment for small businesses awarded contracts with City agencies. Participating agencies include the Department of Transportation, Department of Design and Construction, Department of Parks \& Recreation, Department of Citywide Administrative Services, and the Department of Environmental Protection. Eligible firms will receive packaging assistance, pre-approval for a loan, and disbursement of funds once awarded a contract.

## Bonding Readiness

Bonding Readiness will help small businesses, including M/WBEs, secure surety bonds for City construction projects. Services available through this program include workshops on accounting and financial management, insurance requirements, safety management, and credit repair. One-on-one financing assistance is also available to help with bookkeeping, application packaging, and referral to appropriate surety companies.

## NYC Construction Mentorship

The NYC Construction Mentorship program provides certified construction firms who bid on designated contracts with partnering agencies with greater access to City construction opportunities, a customized growth plan developed with a construction management firm, management classes, and on-the-job training services for contract winners. Businesses can start pre-qualifying now for contracts with Housing Preservation and Development, and Parks \& Recreation. Bidding opportunities and mentoring services will be available in April, 2012.

In addition to the new services launching through Compete to Win, the following programs are also available to help M/WBEs grow:

## Strategic Steps for Growth

Strategic Steps for Growth is a nine-month executive education program, designed for M/WBEs, offered by the New York City Department of Small Business Services and the NYU Leonard N. Stern School of Business, Berkley Center for Entrepreneurship \& Innovation. The program has provided certified firms with a new professional network, including business experts, university professors, and other business owners, and offers support for every aspect of business operations. Participants learn the strategic skills needed to run a growing company, and create a custom, three-year growth plan. With the second class graduating this past February, 41 graduates have collectively secured $\$ 1.6$ million in new financing, created 100 new jobs and won more than $\$ 24$ million in government contract awards.

## Corporate Alliance Program

Launched in February, 2011 the Corporate Alliance Program (CAP) is a set of programs created in partnership with 12 corporate partners to help minority and women-owned firms grow. The initiative is designed to connect certified M/WBEs with contracting and capacity-building opportunities in the private
sector. The various programs, including the CAP/Columbia University Construction Mentorship Program, a Corporate Coaching Program, and Corporate Skills Training, offer workshops, one-on-one coaching with a senior executive from a corporation, exclusive contracting opportunities in the private sector, and more. Becoming a supplier to a large corporation adds credibility and stability to a small business while increasing revenue. It also helps strengthen supplier-diversity programs at leading institutions in the City. Participating organizations include American Express, Colgate-Palmolive, Con Edison, IBM and National Grid. To date, 58 graduates have won $\$ 60$ million in City and Columbia University contracts.

## Improved Procurement Process and Compliance

To ensure that all agency staff that are responsible for purchasing decisions are familiar with the M/WBE program and their agency's goals, the City has required mandatory training for agency buyers on how to locate qualified certified firms. Agency M/WBE officers are also required to attend pre-bid meetings to inform prospective bidders about M/WBE requirements and the Online Directory of certified businesses. During this reporting period, SBS conducted 27 Train-the-Trainer sessions in which 50 M/WBE officers and other executive staff from 27 agencies learned program requirements and best practices. Following these sessions, agency M/WBE officers and liaisons from these agencies conducted training sessions for over 600 agency staff. M/WBE officers will continue to conduct trainings on an ongoing basis for additional staff that make purchasing decisions for their agency.

The City is undertaking additional efforts to reduce the burden on M/WBEs and small businesses who are competing for contracts and who are currently doing business with the City. Agencies are now required to post all solicitation materials through the City Record Online, allowing vendors to identify opportunities and download relevant materials from one convenient, online location.

## Expanding the Base of Certified Firms

SBS continues to increase the utilization of M/WBE firms by expanding its base of certified firms. Minorityowned and woman-owned firms who choose not to certify with the City are neither tracked, nor measured, in the City's performance reporting. To that end, SBS has worked hard to simplify certification without compromising review standards. SBS streamlined the certification application, increased the certification
period and expedited the application process for companies that are already certified with other regional certification entities. Businesses can now apply for M/WBE certification online and track the status of their application using the NYC Business Express website available at http://nyc.gov/BusinessExpress. On the site, a business can check on the license, permit, tax and incentive information needed to run a business in New York City.

Various community partners, including those funded by City Council through the M/WBE Leadership Association, have helped extend the reach of SBS's certification outreach efforts. Businesses can rely on these organizations and the SBS network of Business Solutions Centers, located throughout the five boroughs, for assistance in applying for certification. These efforts ensure a higher quality application, making the submission and the certification review process easier and simpler.

In the first two quarters of FY 2012, SBS certified 326 new M/WBEs and recertified 111 M/WBEs, bringing the number of City-certified companies to 3,438 . The Leadership Association also supports the growth of M/WBEs throughout the five boroughs with marketing workshops, networking events, and business development services. SBS also collaborates with local development corporations, trade associations, industry membership organizations and local chambers of commerce on more than 70 events during the first six months of this fiscal year to spread the word about the benefits of certification and the range of capacity-building services available at SBS to help businesses grow.

On May 23, SBS will host the City's 6th Annual Procurement Fair at BNY Mellon. This event is generally attended by over 600 firms, 80 City and State agencies and authorities, as well as private-sector contractors and corporations and has resulted in substantial contract awards for attendees.

## Fostering Business Growth

Since most City prime contracting opportunities occur at the "small purchase" level, i.e., under $\$ 100,000$, and such opportunities are not widely advertised, it is critical that vendors display accurate information in the City's purchasing systems so they can receive appropriate solicitations. Certified M/WBEs have the added benefit of a public profile on the SBS Online Directory of Certified Businesses, located at www.nyc.gov/buycertified. To be effective, the profile must be kept current. In the first half of FY 2012,

SBS helped 256 companies update their contact information and commodity codes. SBS also helped M/WBEs find the right opportunities by sending out solicitations to over 2,871 appropriate vendors and providing 261 sessions to help firms identify the best agencies to target for their products and services.

In addition, 160 companies attended our monthly workshop, Selling to Government, during the first six months of the year, and 239 certified M/WBEs took advantage of our one-on-one technical assistance service that provides guidance on responding to City contract solicitations.

SBS also works with the City Council through the M/WBE Leadership Association to provide certified firms with additional capacity-building services, including help applying for loans and surety bonds, preparing bids and proposals, and marketing to both the public and private sector. The ten member organizations began providing assistance to M/WBE businesses in the second quarter of FY 2012. During this time, they sponsored 31 events and 443 one-on-one technical assistance sessions.

While the primary goal of the M/WBE program is to connect certified firms with procurement opportunities, SBS recognizes that not all M/WBEs will win City contracts. For example, some certified companies specialize in goods and services that the City does not typically purchase. SBS is working hard to make sure that certified M/WBEs know about the services available to them through NYC Business Solutions, located in each of the five boroughs, that will help them grow their capacity to compete in the larger marketplace. The set of services, offered by SBS, includes business courses, legal review of contracts and leases, accessing financing and incentives, and recruiting and training employees. In the first half of FY 2012, certified firms received more than $\$ 1$ million in financing assistance with the help of NYC Business Solutions Centers, and were awarded over \$200,000 in Training Funds for customized training to improve the skills of their employees.

## Holding Agencies Accountable

SBS publishes an annual Citywide Progress Report for agency chief contracting officers and M/WBE liaisons. The progress report details agency performance inside and outside of LL 129 requirements, as well as key program initiatives and SBS recommendations to improve program results. The report is available on the SBS website at http://nyc.gov/sbs.

SBS also conducts an annual audit of 5\% of prime contracts with target subcontracting percentages and 5\% of subcontracts awarded to M/WBE firms. In addition, SBS reviews findings from completed audits to ensure ongoing resolution of issues. For the FY 2010 M/WBE Compliance Audit, 16 prime contracts awarded with subcontracting goals and 17 subcontracts awarded under these prime contracts were reviewed for LL 129 compliance. The audit showed that agencies have enhanced their compliance monitoring and tracking of prime contractors working on these contracts. In addition, in April 2012, the selected Audit Consultant will begin the review of FY 2011 contracts with subcontracting goals.

Under LL 129, each agency's M/WBE Officer is responsible for monitoring the agency's procurement activities to ensure compliance with its utilization plan and progress towards the participation goals as established in such plan (NYC Administrative Code § 6-129(f)(ix)). In an effort to ensure that all prime contractors on construction and professional services contracts are aware of LL 129 subcontracting requirements, $\mathrm{M} / \mathrm{WBE}$ officers or their designees are required to attend all pre-bid meetings for contracts that contain M/WBE subcontracting goals.

## Locally-based Enterprise Program

During the first half of Fiscal Year 2012, SBS certified 12 firms as Locally Based Enterprises (LBE), bringing the total number of LBE certified firms to 84 . When first established, the LBE program was designed to promote the growth of small construction firms through greater access to contracting opportunities with the City. Generally, the program requires agencies to utilize LBEs as prime or subcontractors on specific construction contracts. However, the number of contracts subject to the LBE program has substantially decreased in recent years due to applicable goal setting programs from the City, State and federal government.

Under the LBE program rules, contracts are excluded from the program if they are federally or State funded and goal programs apply. Federal construction is generally subject to the Disadvantaged Business Enterprise program and State contracts are subject to various requirements, including Article 15-A of the New York State Executive Law. As many City construction contracts are federally and State funded and subject to subcontracting goals under those programs, they are not covered by LBE. With the enactment of Local Law 129, M/WBE subcontracting goals are now applied to City funded construction contracts. With
the support of the Council, we have worked to ensure that M/WBE goal requirements are attached to these contract opportunities. Accordingly, this further limits the applicability of the LBE requirements. As many of our LBEs are also certified as minority or woman-owned companies and by doing so, they can be considered for targeted subcontracting opportunities on City construction projects. Over the past five fiscal years, certified LBEs won approximately $\$ 137$ million in prime and subcontract awards. In the first six months of the fiscal year agencies registered a limited number of contracts with LBE goals, exclusively in instances where LL 129 goals were not set.

## Efforts to Reduce or Eliminate Barriers to Competition

To reduce barriers for M/WBEs in the marketplace, the City raised the bond threshold from \$500,000 to \$1 million. This action helps to increase the universe of contractors who can compete for City construction contracts under $\$ 1$ million and also reduces the cost of bids. The City has also revised the bond form for contracts greater than $\$ 5$ million to make it less burdensome for vendors and their sureties and bond requirements have been reduced for other sectors by requiring agencies to obtain approval before adding bond requirements to a solicitation.

SBS continues to assist companies in expediting their payment requests from prime contractors and City agencies. To reduce the need for such intervention, SBS provides training on proper submission of payment requisitions and assists firms with their initial submission.

## Prime Contracts

In the tables below, we present preliminary data showing City agencies' award of prime contracts during the first two quarters of Fiscal Year 2012. M/WBEs were awarded $\$ 162,556,350$ in prime contracts in this reporting period. Relative to the same reporting period in Fiscal Year 2011, spending increased overall, as did prime contract awards to M/WBEs.

In those areas of procurement where agencies have greater discretion to target procurements to M/WBEs, (i.e., micro purchases and small purchases) M/WBEs utilization remains strong. For micro purchases, the M/WBE utilization rate (by dollar value) is $19 \%$ for the first six months of Fiscal Year 2012. For small purchases, the M/WBE utilization rate is $22 \%$. The strong and continuing improvement in small purchase
participation correlates with a policy change promulgated in the City's Procurement Policy Board (PPB) rules in Fiscal Year 2009. This change enhanced M/WBEs' ability to compete effectively for small purchases by increasing the number of small purchases that were solicited solely from competitors (M/WBE and nonM/WBE) drawn randomly from City bidder lists.

For contracts valued at more than $\$ 100,000$, but below one million dollars, M/WBE utilization remained at $9 \%$ during the reporting period. For contracts valued at one million dollars or more, the M/WBE utilization rate was $7 \%$, a significant increase from the $3 \%$ utilization rate of the first half of FY 2011. However, because there were so few contracts overall in those ranges ( $24 \mathrm{M} / \mathrm{WBE}$ awards below one million dollars and 27 at or above one million dollars), it is not possible to draw statistically significant conclusions from this data as yet. Agency-by-agency details corresponding to each of the above tables are included in the appendix to this report.

While progress has been made in some categories, strict state competitive bidding laws make it difficult for City agencies to achieve the ambitious prime contract award goals set by LL 129. Under LL 129, there are prime contract goals only for awards valued below one million dollars, and only for certain gender/ethnicity categories in certain industries. The applicable prime contract goals are as follows:

| § § 6-129(d)(1): |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: |
| Industry | Asian American | Black American | Hispanic American | Caucasian Women |
| Construction Services | No goal | $12.63 \%$ | $9.06 \%$ | No goal |
| Goods | $5.19 \%$ | $7.47 \%$ | $4.99 \%$ | $17.87 \%$ |
| Professional Services | No goal | $9.00 \%$ | $5.00 \%$ | $16.50 \%$ |
| Standardized Services | No goal | $9.23 \%$ | $5.14 \%$ | $10.45 \%$ |

The City is making progress in awards to M/WBE prime contracts valued between $\$ 5,000$ and $\$ 1$ million. For example, in goods contracting (an industry that has goals in all four of the contractor categories), over $40 \%$ of the goal was achieved in each ethnic/gender category. Notably, Hispanic Americans achieved over
$30 \%$ of the goal set for standardized services contracts; and Caucasian Women achieved more than $60 \%$ of the goals established for professional and standardized services in the aforementioned dollar range.

| § 6-129(I)(1)(i): |  |  |
| :--- | :---: | ---: |
| Number \& Dollar Value of Prime Contracts > \$5K |  |  |
| Construction Services | Count | Value |
| Goods | 461 | $\$ 548,606,264$ |
| Professional Services | 1545 | $\$ 360,567,779$ |
| Standardized Services | 274 | $\$ 412,883,421$ |
| Total | 2988 | $\$ 868,474,614$ |

## § 6-129(I)(1)(ii):

Number \& Dollar Value of Prime Contracts of M/WBEs > \$5K

| Industry | Asian |  | Black |  | Caucasian Female |  | Hispanic |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \# | Value | \# | Value | \# | Value | \# | Value |
| Construction Services | 51 | \$24,017,848 | 3 | \$40,540 | 18 | \$12,121,034 | 6 | \$6,337,720 |
| Goods | 64 | \$1,754,927 | 68 | \$2,332,581 | 206 | \$5,190,529 | 66 | \$1,726,572 |
| Professional Services | 16 | \$60,229,726 | 6 | \$8,481,111 | 12 | \$18,259,565 | 3 | \$120,170 |
| Standardized Services | 19 | \$10,219,646 | 18 | \$381,473 | 31 | \$4,812,634 | 16 | \$493,913 |
| Total | 150 | \$96,222,148 | 95 | \$11,235,705 | 267 | \$40,383,763 | 91 | \$8,678,375 |

§6-129 (I)(1)(iii)(iv)(v)(vi):

Dollar Value of Prime Contracts (\& Number/Value of Awards to M/WBEs)

| Industry/ <br> Dollar <br> Range | Total Dollar Value | All M/WBE |  | Asian American |  | Black American |  | Hispanic <br> American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \$ | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
| Construction Services | \$553,294,484 | 1309 | \$43,340,433 | 1135 | \$24,693,950 | 129 | \$159,609 | 23 | \$6,351,946 | 22 | \$12,134,928 |
| <=\$5K | \$4,688,220 | 1231 | \$823,291 | 1084 | \$676,102 | 126 | \$119,070 | 17 | \$14,226 | 4 | \$13,893 |
| $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | \$7,079,160 | 59 | \$1,591,744 | 41 | \$759,502 | 3 | \$40,540 | 2 | \$100,000 | 13 | \$691,703 |


| $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | \$18,084,433 | 8 | \$2,554,420 | 4 | \$1,713,807 | 0 | \$0 | 2 | \$420,854 | 2 | \$419,759 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| >=\$1M | \$523,442,671 | 11 | \$38,370,977 | 6 | \$21,544,539 | 0 | \$0 | 2 | \$5,816,866 | 3 | \$11,009,572 |
| Goods | \$369,253,835 | 1205 | \$12,834,440 | 213 | \$2,070,303 | 195 | \$2,588,525 | 196 | \$2,039,169 | 601 | \$6,136,444 |
| <=\$5K | \$8,686,056 | 801 | \$1,829,831 | 149 | \$315,375 | 127 | \$255,945 | 130 | \$312,597 | 395 | \$945,914 |
| $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | \$35,038,229 | 401 | \$10,055,384 | 64 | \$1,754,927 | 67 | \$1,787,233 | 65 | \$1,483,644 | 205 | \$5,029,579 |
| $\begin{aligned} & >\$ 100 \mathrm{~K} \\ & <\$ 1 \mathrm{M} \end{aligned}$ | \$40,395,882 | 3 | \$949,225 | 0 | \$0 | 1 | \$545,347 | 1 | \$242,928 | 1 | \$160,950 |
| >=\$1M | \$285,133,668 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| Professional Services | \$413,681,396 | 42 | \$87,098,819 | 17 | \$60,232,398 | 8 | \$8,486,041 | 4 | \$120,770 | 13 | \$18,259,610 |
| <=\$5K | \$797,974 | 5 | \$8,246 | 1 | \$2,671 | 2 | \$4,930 | 1 | \$600 | 1 | \$45 |
| $\begin{aligned} & >\$ 5 K \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | \$5,906,555 | 13 | \$694,503 | 4 | \$232,455 | 4 | \$234,678 | 3 | \$120,170 | 2 | \$107,200 |
| $\begin{aligned} & >\$ 100 \mathrm{~K} \\ & \text { <\$1M } \end{aligned}$ | \$19,520,867 | 10 | \$4,210,705 | 2 | \$1,311,907 | 1 | \$246,433 | 0 | \$0 | 7 | \$2,652,365 |
| >=\$1M | \$387,456,000 | 14 | \$82,185,364 | 10 | \$58,685,364 | 1 | \$8,000,000 | 0 | \$0 | 3 | \$15,500,000 |
| Standardized Services | \$886,340,161 | 1506 | \$19,282,658 | 250 | \$10,731,133 | 235 | \$886,307 | 282 | \$1,059,450 | 739 | \$6,605,768 |
| <=\$5K | \$17,865,547 | 1422 | \$3,374,991 | 231 | \$511,487 | 217 | \$504,834 | 266 | \$565,536 | 708 | \$1,793,134 |
| $\begin{aligned} & >\$ 5 K \\ & <=\$ 100 K \end{aligned}$ | \$18,121,509 | 79 | \$2,415,797 | 17 | \$456,156 | 18 | \$381,473 | 16 | \$493,913 | 28 | \$1,084,254 |
| $\begin{aligned} & >\$ 100 K, \\ & \text { <\$1M } \end{aligned}$ | \$26,374,698 | 3 | \$2,042,970 | 1 | \$763,490 | 0 | \$0 | 0 | \$0 | 2 | \$1,279,480 |
| >=\$1M | \$823,978,406 | 2 | \$11,448,900 | 1 | \$9,000,000 | 0 | \$0 | 0 | \$0 | 1 | \$2,448,900 |
| All Industries | \$2,222,569,875 | 4062 | \$162,556,350 | 1615 | \$97,727,783 | 567 | \$12,120,483 | 505 | \$9,571,334 | 1375 | \$43,136,749 |
| <=\$5K | \$32,037,798 | 3459 | \$6,036,360 | 1465 | \$1,505,636 | 472 | \$884,778 | 414 | \$892,959 | 1108 | \$2,752,987 |
| $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | \$66,145,454 | 552 | \$14,757,428 | 126 | \$3,203,040 | 92 | \$2,443,924 | 86 | \$2,197,727 | 248 | \$6,912,736 |
| $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | \$104,375,879 | 24 | \$9,757,320 | 7 | \$3,789,204 | 2 | \$791,780 | 3 | \$663,782 | 12 | \$4,512,554 |
| >=\$1M | \$2,020,010,745 | 27 | \$132,005,241 | 17 | \$89,229,904 | 1 | \$8,000,000 | 2 | \$5,816,866 | 7 | \$28,958,472 |

The tables above display data only regarding awards that went to certified M/WBEs, not other qualified "minority-owned" or "woman-owned" companies that have not yet sought City certification.

Most prime contracts reflected in the data (except the professional services contracts for example) are required by New York State law to be procured via competitive sealed bid. Under General Municipal Law (GML) § 103, agencies must, for the overwhelming majority of the contracts covered by LL 129, accept the lowest responsible bid and may not give a bidder preference because of its M/WBE status. City agencies' efforts to achieve their prime contract M/WBE participation goals are thus limited to such means as increased outreach and training, aimed at encouraging M/WBEs to bid successfully on various procurements. Even for work not covered by GML § 103, such as professional services contracts, GML § 104-b precludes agencies from incorporating into their contracts social policy goals unrelated to the goals of the State procurement laws, including M/WBE status. Thus, the City wide goals for prime contract awards must be viewed as aspirational, and agencies' performance evaluated in terms of their efforts to make progress toward achieving the goals in light of the limited tools available to them for that purpose. LL 129 reflects this standard, namely, by referring to whether each agency has made "substantial" or "adequate" progress toward meeting its goals. See § 6-129(I)(2) and (m).

The LL 129 program also does not cover all of the City's procurements. In keeping with Federal constitutional case law, the program is "narrowly tailored" to address the gender- and race/ethnic-based disparities identified in an economic study commissioned by the City Council and released in 2005. Based on that study, LL 129 is limited in several significant ways. The most significant limitation is the exclusion from the goals program of any prime contract valued at one million dollars or more.

LL 129 excludes some contracts from its coverage based upon the industry of the vendor and type of services. Nonprofits have no individual owners, so they cannot be classified as M/WBEs. Thus, nearly all human services contracts are excluded from LL 129, as they are held by nonprofit vendors. For other industries, LL 129 sets goals for prime contracts - ranging from $22 \%$ in construction to $36 \%$ in goods - but for three industries, LL 129 sets goals only for some gender and racial/ethnic subgroups, not for all. ${ }^{1}$

Further, LL 129 excludes from its goals provisions all contracts entered into by certain procurement methods - i.e., emergency procurements, intergovernmental procurements, interagency and government-to-government procurements, and sole source procurements. See, § 6-129(q) (iii)-(vi). In addition, LL 129

[^0]excludes all procurements wherein state or federal funding restrictions either preclude the imposition of local goals or override local goals by imposing analogous state or federal goals. See § 6-129(q)(i)-(ii).

## Subcontracts

LL 129 sets subcontracting goals for prime contracts in the construction and professional services areas only. These goals apply to prime contracts, including those valued at more than one million dollars, but the LL 129 goals only apply to subcontracts valued below one million dollars. ${ }^{2}$

During the reporting period, agencies awarded 129 new prime contracts with M/WBE subcontracting requirements, as compared to 112 in the first half of Fiscal Year 2011. It is important to note that there was a significant increase in the value of prime contracts subject to LL 129 M/WBE subcontracting requirements that were awarded to certified M/WBEs in the first half of Fiscal Year 2012 (25\%), compared to the same period in Fiscal Year 2011 (3\%).

As shown in the two tables below, those 129 prime contracts have thus far yielded 246 subcontracts valued at below one million dollars, 95 of which (approximately $60 \%$ of the value) were awarded to M/WBE firms. Looking at construction in particular, as the area represented by 239 of the 246 subcontracts, and not including subcontracts that were awarded to certified WBEs, a category for which LL 129 does not set subcontracting goals, M/WBEs were awarded $53 \%$ of the qualifying subcontracts, which is well above the LL 129 total goals of approximately $31 \%$.

The value of subcontracts awarded to M/WBEs on prime contracts with LL 129 subcontracting goals in the first half of Fiscal Year 2012 is $\$ 10.6$ Million, a $27 \%$ decrease from the $\$ 13.5 \mathrm{M}$ awarded in the comparable period of Fiscal Year 2011. It is important to note that during the reporting period, there was a decrease in

[^1]the overall value of prime contracts subject to LL 129 subcontracting requirements ${ }^{3}$ when compared to Fiscal Year 2011.

It is worth noting that for many of the covered contracts, especially in the construction arena, considerable subcontracting activity occurs in later phases of projects. Longer term trends can be derived from a review of the 715 active prime contracts registered during FY 2007-2012 that included target subcontracting requirements and M/WBE goals for construction and/or professional services. To date, including the first six months of Fiscal Year 2012, City agencies have approved more than 1259 subcontracts valued at below one million dollars for construction or professional services (i.e., covered by LL 129 goals); ${ }^{4}$ M/WBEs account for 428 of these subcontracts. Among those subcontracts, the share of the total dollar value awarded to certified M/WBE subcontractors is approximately $30 \%$.

| § 6-129(I)(vii)(A-B): <br> llar Value of Prime Contracts (\& Number/Value of Awards to M/WBEs) <br> Where Target Subcontracting Percentage is Established |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Industry | All |  | Asian |  | Black |  | Hispanic |  | Caucasian Female |  |
|  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
| Construction Services | 102 | \$258,154,668 | 8 | \$21,694,366 | 0 | \$0 | 3 | \$2,398,910 | 10 | \$8,164,549 |
| Professional Services | 27 | \$154,830,868 | 8 | \$49,185,395 | 1 | \$8,000,000 | 0 | \$0 | 2 | \$12,000,000 |
| Total Primes | 129 | \$412,985,536 | 16 | \$70,879,761 | 1 | \$8,000,000 | 3 | \$2,398,910 | 12 | \$20,164,549 |

${ }^{3}$ One reason why the number and value of prime contracts subject to LL 129 subcontracting requirements decreased in comparison to FY 2011 is due to a $102 \%$ increase in prime contracts subject to State and Federal M/WBE goals.
${ }^{4}$ The increase in subcontract awards reflects the City's continued efforts to improve data collection in the area of subcontracting.

| § 6-129(I)(1)(vii)(C): <br> Number \& Dollar Value of LL 129 Subcontracts (\& Number/Value of Awards to M/WBEs) Pursuant to Prime Contracts Where Target Subcontracting Percentage is Established |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Industry | Total |  | Total M/WBE |  | Asian American |  | Black American |  | Caucasian Female |  | Hispanic American |  |
|  | \# | Value | \# | Value | \# | Value | \# | Value | \# | \$ Value | \# | Value |
| Construction Services | 239 | \$16,854,882 | 90 | \$9,377,410 | 14 | \$2,471,035 | 47 | \$3,608,526 | 8 | \$510,007 | 21 | \$2,787,841 |
| Professional Services | 7 | \$1,525,212 | 5 | \$1,283,430 | 0 | \$0 | 4 | \$1,083,430 | 1 | \$200,000 | 0 | \$0 |
| Total | 246 | \$18,380,094 | 95 | \$10,660,840 | 14 | \$2,471,035 | 51 | \$4,691,956 | 9 | \$710,007 | 21 | \$2,787,841 |


| Number \& Dollar Value of Prime Contracts Registered Where Target <br> Subcontracting Percentage is Established <br> Fiscal Years 2007 to 2012 <br> Industry <br> Construction Services and Professional Services |  |  |
| :--- | :---: | :---: |

Number \& Dollar Value of LL 129 Subcontracts (\& Number/Value of Awards to M/WBEs) Pursuant to Prime Contracts Where Target Subcontracting Percentage is Established Fiscal Years 2007 to 2012 (Q1-2 only)

| Industry | All |  | All MWBE |  | Asian American |  | Black American |  | Hispanic <br> American |  | Caucasian Women |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
| Construction Services | 1002 | \$156,405,300 | 351 | \$43,999,085 | 79 | \$10,124,424 | 125 | \$13,371,628 | 81 | \$12,585,913 | 66 | \$7,917,120 |
| Professional Services | 257 | \$60,384,776 | 77 | \$21,787,899 | 25 | \$14,339,601 | 12 | \$2,135,425 | 11 | \$1,721,334 | 29 | \$3,591,538 |
| Total | 1259 | \$216,790,075 | 428 | \$65,786,984 | 104 | \$24,464,025 | 137 | \$15,507,053 | 92 | \$14,307,247 | 95 | \$11,508,659 |

## Waivers

Vendors sought a total of 122 requests for waivers of the target subcontracting requirements during the reporting period from July 1, 2011, through December 31, 2011. Of those requests, 42 were denied, while 18 were approved as full waivers and 61 were approved as partial waivers. Since waivers may be granted only to vendors that demonstrate both the capacity to perform the prime contract without subcontracting and a prior contracting history of doing similar work without subcontracting, some of the waivers that were granted involved repeated requests from the same firms, as they sought multiple bidding opportunities. Thus, the 79 full and partial waivers were granted to a total of only 38 individual firms. Waivers are determined during the pre-bid stage of the procurement. Thus, most of the vendors that received waivers did not ultimately win the contracts they were competing for.

| § 6-129(I)(1)(vii)(D): M/WBE Waivers Decided - 7/1/2011 to 12/31/2011 |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | Decision <br> Date | Vendor | BRD | $\begin{gathered} \text { Agency } \\ \text { TSP } \end{gathered}$ | Waiver <br> Request | Waiver <br> Determination | If Partial, \% granted |
| DDC | 7/6/2011 | C.D.E. Air Conditioning Co., Inc. | 6/23/2011 | 43.00\% | 20.00\% | Denied |  |
| HPD | 7/7/2011 | A. Russo Wrecking, Inc. | 7/8/2011 | 10.00\% | 3.00\% | Partial | 3.00\% |
| HPD | 7/12/2011 | A. Russo Wrecking, Inc. | 7/13/2011 | 36.00\% | 15.00\% | Partial | 15.00\% |
| HPD | 7/12/2011 | Gateway Demolition Corp. | 7/13/2011 | 36.00\% | 26.00\% | Partial | 26.00\% |
| HPD | 7/12/2011 | A. Russo Wrecking, Inc. | 7/13/2011 | 36.00\% | 15.00\% | Partial | 15.00\% |
| HPD | 7/12/2011 | Gateway Demolition Corp. | 7/13/2011 | 36.00\% | 26.00\% | Partial | 26.00\% |
| HRA | 7/18/2011 | Westat | 7/21/2011 | 15.00\% | 5.00\% | Denied |  |
| HRA | 7/18/2011 | Public Resources Incorporated | 7/21/2011 | 15.00\% | 0.00\% | Denied |  |
| DPR | 7/22/2011 | Niko Development Corporation | 7/27/2011 | 38.00\% | 22.00\% | Denied |  |
| DPR | 7/22/2011 | Niko Development Corporation | 7/27/2011 | 32.00\% | 26.00\% | Partial | 26.00\% |
| DPR | 7/25/2011 | Arista Plumbing, Heating and Piping Corp. | 7/28/2011 | 24.00\% | 0.00\% | Full |  |
| HRA | 7/25/2011 | Vera Institute of Justice, Inc. | 8/2/2011 | 15.00\% | 0.00\% | Full |  |
| HRA | 7/25/2011 | Public Consulting Group, Inc. | 7/21/2011 | 15.00\% | 0.00\% | Full |  |


| HRA | 7/25/2011 | Addiction Research and Treatment Corporation | 7/21/2011 | 15.00\% | 0.00\% | Full |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| HRA | 7/25/2011 | Fund for the City of New York/ Center for Court Innovation | 8/2/2011 | 15.00\% | 0.00\% | Full |  |
| DPR | 7/25/2011 | LaPoma Sitework \& Structure Inc. | 7/27/2011 | 30.00\% | 18.00\% | Partial | 18.00\% |
| HRA | 7/25/2011 | Policy Studies Associates, Inc. | 7/21/2011 | 15.00\% | 2.00\% | Partial | 2.00\% |
| HRA | 7/27/2011 | Abt Associates Inc. | 7/21/2011 | 15.00\% | 0.00\% | Denied |  |
| HRA | 7/27/2011 | Evaxx, Inc. | 8/2/2011 | 15.00\% | 0.00\% | Full |  |
| HRA | 7/27/2011 | RAND Corporation | 7/19/2011 | 15.00\% | 0.00\% | Full |  |
| HRA | 7/27/2011 | Partners for Organizational Excellence Inc. | 7/21/2011 | 15.00\% | 50.00\% | Withdrew |  |
| HRA | 7/28/2011 | OMG Center for Collaborative Learning | 8/2/2011 | 15.00\% | 0.00\% | Full |  |
| HRA | 7/28/2011 | Research Foundation of CUNY on behalf of Center for Urban Research, CUNY Graduate Center | 7/21/2011 | 15.00\% | 0.00\% | Full |  |
| HPD | 8/1/2011 | A. Russo Wrecking, Inc. | 8/10/2011 | 16.00\% | 4.00\% | Partial | 4.00\% |
| DDC | 8/2/2011 | LAWS Construction Corp. | 8/16/2011 | 9.00\% | 5.00\% | Partial | 5.00\% |
| DDC | 8/8/2011 | En-Tech Corp | 8/11/2011 | 2.00\% | 0.00\% | Full |  |
| HPD | 8/8/2011 | A. Russo Wrecking, Inc. | 8/17/2011 | 18.00\% | 6.00\% | Partial | 6.00\% |
| HPD | 8/8/2011 | A. Russo Wrecking, Inc. | 8/17/2011 | 29.00\% | 20.00\% | Partial | 20.00\% |
| DPR | 8/11/2011 | FGI Corp. | 8/16/2011 | 34.00\% | 15.00\% | Partial | 21.00\% |
| DPR | 8/15/2011 | FGI Corp | 8/17/2011 | 33.00\% | 10.00\% | Partial | 21.00\% |
| DSNY | 8/18/2011 | ANSU Construction Inc | 8/23/2011 | 20.00\% | 5.00\% | Denied |  |
| DDC | 8/18/2011 | En-Tech Corp | 8/22/2011 | 2.00\% | 0.00\% | Full |  |
| DOC | 8/18/2011 | Charles A. Dimino Inc | 8/31/2011 | 20.00\% | 1.00\% | Partial | 1.00\% |
| DSNY | 8/19/2011 | Triangle General Contractor Inc. | 8/23/2011 | 20.00\% | 6.00\% | Denied |  |
| DPR | 8/19/2011 | FGI Corp. | 8/23/2011 | 32.00\% | 25.00\% | Partial | 25.00\% |
| DOC | 8/25/2011 | Wittmann Plumbing Associates | 8/31/2011 | 20.00\% | 0.00\% | Full |  |
| DPR | 8/25/2011 | Professional Pavers | 8/30/2011 | 32.00\% | 8.00\% | Partial | 28.00\% |
| DOC | 9/1/2011 | Delphi Plumbing and Heating, Inc | 09/08/2011 | 20.00\% | 10.00\% | Denied |  |
| DPR | 9/6/2011 | Professional Pavers | 9/8/2011 | 30.00\% | 8.00\% | Partial | 28.00\% |
| DPR | 9/6/2011 | Professional Pavers | 9/7/2011 | 30.00\% | 8.00\% | Partial | 28.00\% |


| DPR | 9/7/2011 | Vernon Hill Contracting Corp. | 8/23/2011 | 32.00\% | 26.00\% | Partial | 26.00\% |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| DPR | 9/7/2011 | Vernon Hill Contracting Corp. | 9/7/2011 | 32.00\% | 20.00\% | Partial | 26.00\% |
| DPR | 9/12/2011 | Professional Pavers | 9/13/2011 | 20.00\% | 10.00\% | Denied |  |
| DDC | 9/12/2011 | Tully Construction Co Inc. | 9/14/2011 | 3.00\% | 1.00\% | Partial | 1.00\% |
| DPR | 9/12/2011 | LaPoma Sitework \& Structure Inc. | 8/23/2011 | 32.00\% | 25.00\% | Partial | 25.00\% |
| DPR | 9/14/2011 | Professional Pavers | 9/13/2011 | 25.00\% | 6.00\% | Denied |  |
| DPR | 9/14/2011 | LaPoma Sitework \& Structure Inc. | 9/16/2011 | 25.00\% | 12.00\% | Denied |  |
| DPR | 9/14/2011 | Niko Development Corporation | 9/16/2011 | 25.00\% | 18.00\% | Denied |  |
| DPR | 9/15/2011 | Professional Pavers | 9/20/2011 | 60.00\% | 8.00\% | Denied |  |
| DPR | 9/16/2011 | Professional Pavers | 9/15/2011 | 20.00\% | 6.00\% | Denied |  |
| DEP | 9/21/2011 | Wittmann Plumbing Associates | 9/28/2011 | 15.00\% | 0.00\% | Denied |  |
| DEP | 9/21/2011 | Delphi Plumbing and Heating, Inc | 9/27/2011 | 15.00\% | 10.00\% | Denied |  |
| DEP | 9/21/2011 | Wittmann Plumbing Associates | 9/27/2011 | 15.00\% | 0.00\% | Denied |  |
| DPR | 9/21/2011 | Niko Development Corporation | 09/27/2011 | 32.00\% | 20.00\% | Denied |  |
| DOC | 9/21/2011 | Arcadia Electrical Co. Inc | 9/29/2011 | 20.00\% | 0.00\% | Full |  |
| SBS | 9/21/2011 | Padilla and Company LLP | 10/3/2011 | 40.00\% | 0.00\% | Full |  |
| DPR | 9/28/2011 | LAWS Construction Corp. | 9/21/2011 | 27.00\% | 15.00\% | Partial | 15.00\% |
| HPD | 9/29/2011 | Compact Services of NY Inc. | 10/5/2011 | 26.00\% | 22.00\% | Denied |  |
| HPD | 9/29/2011 | A Russo Wrecking, Inc. | 10/5/2011 | 18.00\% | 6.00\% | Partial | 6.00\% |
| HPD | 9/29/2011 | A Russo Wrecking, Inc. | 10/5/2011 | 26.00\% | 11.00\% | Partial | 15.00\% |
| HPD | 9/29/2011 | A Russo Wrecking, Inc. | 10/5/2011 | 17.00\% | 1.00\% | Partial | 1.00\% |
| HPD | 9/29/2011 | A Russo Wrecking, Inc. | 10/5/2011 | 36.00\% | 14.00\% | Partial | 20.00\% |
| SBS | 9/29/2011 | PGWHC, Inc aka Grants Watch | 10/3/2011 | 40.00\% | 10.00\% | Partial | 16.00\% |
| HPD | 9/30/2011 | A Russo Wrecking, Inc. | 10/3/2011 | 8.00\% | 3.50\% | Partial | 5.00\% |
| HPD | 10/3/2011 | Compact Services of NY Inc. | 10/5/2011 | 23.00\% | 31.00\% | Denied |  |
| HPD | 10/3/2011 | A Russo Wrecking, Inc. | 10/7/2011 | 16.00\% | 10.00\% | Partial | 10.00\% |
| HPD | 10/3/2011 | A Russo Wrecking, Inc. | 10/13/2011 | 19.00\% | 1.00\% | Partial | 1.00\% |
| DEP | 10/4/2011 | Arnold Construction Co. Inc. | 10/4/2011 | 12.00\% | 1.00\% | Denied |  |
| DPR | 10/5/2011 | Professional Pavers | 10/12/2011 | 7.00\% | 1.00\% | Denied |  |
| HRA | 10/5/2011 | DMR Construction Services, Inc. | 10/11/2011 | 25.00\% | 0.00\% | Denied |  |
| DPR | 10/5/2011 | Professional Pavers | 10/11/2011 | 40.00\% | 25.00\% | Partial | 25.00\% |


| DPR | 10/5/2011 | LaPoma Sitework \& Structure Inc. | 10/6/2011 | 21.00\% | 16.00\% | Partial | 16.00\% |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| HPD | 10/5/2011 | A Russo Wrecking, Inc. | 10/14/2011 | 16.00\% | 1.00\% | Partial | 1.00\% |
| HPD | 10/5/2011 | A Russo Wrecking, Inc. | 10/14/2011 | 12.00\% | 6.00\% | Partial | 6.00\% |
| HPD | 10/5/2011 | A Russo Wrecking, Inc. | 10/19/2011 | 23.00\% | 18.00\% | Partial | 18.00\% |
| DPR | 10/11/2011 | Professional Pavers | 9/15/2011 | 21.00\% | 4.00\% | Denied |  |
| DOC | 10/11/2011 | E Electrical Contracting, LLC | 10/18/2011 | 20.00\% | 5.00\% | Partial | 5.00\% |
| DOC | 10/11/2011 | E Electrical Contracting, LLC | 10/18/2011 | 20.00\% | 5.00\% | Partial | 5.00\% |
| DOC | 10/13/2011 | P\&M Electrical Contracting | 10/18/2011 | 20.00\% | 7.00\% | Partial | 7.00\% |
| DOC | 10/13/2011 | P\&M Electrical Contracting | 10/18/2011 | 20.00\% | 7.00\% | partial | 7.00\% |
| DSNY | 10/14/2011 | The Franklin Company | 10/18/2011 | 20.00\% | 10.00\% | Partial | 10.00\% |
| DPR | 10/17/2011 | Professional Pavers | 10/28/2011 | 20.00\% | 8.00\% | Denied |  |
| DDC | 10/18/2011 | Navillus Contracting | 10/20/2011 | 10.00\% | 5.00\% | Denied |  |
| HPD | 10/18/2011 | Gateway Demolition Corp. | 10/5/2011 | 36.00\% | 32.00\% | Partial | 32.00\% |
| HPD | 10/18/2011 | Gateway Demolition Corp. | 10/5/2011 | 26.00\% | 19.00\% | Partial | 19.00\% |
| HPD | 10/20/2011 | A Russo Wrecking, Inc. | 10/19/2011 | 11.00\% | 6.00\% | Partial | 6.00\% |
| DPR | 10/25/2011 | Professional Pavers | 10/28/2011 | 20.00\% | 9.00\% | Denied |  |
| DSNY | 10/25/2011 | Aarco Environmental | 10/17/2011 | 20.00\% | 0.00\% | Partial | 8.50\% |
| NYPD | 10/25/2011 | Lo Sardo General Contractors Inc. | 11/2/2011 | 20.00\% | 10.00\% | Partial | 10.00\% |
| NYPD | 10/31/2011 | MST General Contracting \& Restoration | 11/2/2011 | 20.00\% | 10.00\% | Denied |  |
| ACS | 11/14/2011 | P\&M Electrical Contracting | 11/15/2011 | 10.00\% | 3.00\% | Partial | 7.00\% |
| ACS | 11/14/2011 | P\&M Electrical Contracting | 11/15/2011 | 10.00\% | 3.00\% | Partial | 7.00\% |
| DOC | 11/15/2011 | Community Electric Inc | 11/17/2011 | 20.00\% | 0.00\% | Partial | 15.00\% |
| DOC | 11/15/2011 | Community Electric Inc | 11/17/2011 | 20.00\% | 0.00\% | Partial | 15.00\% |
| HPD | 11/15/2011 | A Russo Wrecking, Inc. | 11/18/2011 | 17.00\% | 6.00\% | Partial | 12.00\% |
| HPD | 11/15/2011 | A Russo Wrecking, Inc. | 11/17/2011 | 17.00\% | 1.00\% | Partial | 4.00\% |
| DOC | 11/18/2011 | Charles A. Dimino Inc | 11/22/2011 | 20.00\% | 1.00\% | Partial | 1.00\% |
| HPD | 11/18/2011 | A Russo Wrecking, Inc. | 11/23/2011 | 23.00\% | 6.00\% | Partial | 15.00\% |
| HPD | 11/21/2011 | A Russo Wrecking, Inc. | 11/25/2011 | 34.00\% | 12.00\% | Partial | 25.00\% |
| ACS | 11/29/2011 | Whittmann Plumbing Associates | 12/5/2011 | 10.00\% | 0.00\% | Denied |  |
| ACS | 11/29/2011 | Whittmann Plumbing Associates | 12/5/2011 | 10.00\% | 0.00\% | Denied |  |


| ACS | $11 / 29 / 2011$ | Whittmann Plumbing Associates | $12 / 5 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| :--- | :--- | :--- | ---: | ---: | ---: | :--- | :--- |
| DDC | $11 / 29 / 2011$ | Commodore Construction Corp. | $12 / 8 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| DDC | $11 / 29 / 2011$ | W \& W Steel, LLC | $11 / 29 / 2011$ | $20.00 \%$ | $4.00 \%$ | Denied |  |
| DDC | $12 / 8 / 2011$ | Laws Construction Corp. | $12 / 13 / 2011$ | $25.00 \%$ | $20.00 \%$ | Partial | $20.00 \%$ |
| DPR | $12 / 13 / 2011$ | FGI Corporation | $1 / 5 / 2012$ | $32.00 \%$ | $10.00 \%$ | Denied |  |
| DCAS | $12 / 13 / 2011$ | Language Line Services, Inc. | $12 / 29 / 2011$ | $10.00 \%$ | $0.00 \%$ | Full |  |
| DCAS | $12 / 13 / 2011$ | Ark Systems Electric Corp. | $12 / 6 / 2011$ | $17.00 \%$ | $4.00 \%$ | Partial | $8.00 \%$ |
| HPD | $12 / 13 / 2011$ | A. Russo Wrecking, Inc. | $1 / 5 / 2012$ | $16.00 \%$ | $1.00 \%$ | Partial | $1.00 \%$ |
| HPD | $12 / 13 / 2011$ | A. Russo Wrecking, Inc. | $11 / 29 / 2011$ | $31.00 \%$ | $9.00 \%$ | Partial | $12.00 \%$ |
| DoITT | $12 / 20 / 2011$ | 360 Sound and Vision LTD | $12 / 22 / 2011$ | $5.00 \%$ | $0.00 \%$ | Denied |  |
| DCAS | $12 / 20 / 2011$ | Voiance Language Services | $12 / 24 / 2011$ | $10.00 \%$ | $0.00 \%$ | Full |  |
| DDC | $12 / 20 / 2011$ | NAGI Construction Co. | $12 / 22 / 2011$ | $4.00 \%$ | $0.00 \%$ | Full |  |
| DCAS | $12 / 23 / 2011$ | Legal Interpreting Sevices | $11 / 28 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| DCAS | $12 / 23 / 2011$ | Worldwide Interpretors, Inc. | $12 / 31 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| DCAS | $12 / 23 / 2011$ | LM Language Services | $12 / 24 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| DCAS | $12 / 23 / 2011$ | American Sign Language Learning | $12 / 24 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |
| Ctr. Inc. | $12 / 23 / 2011$ | Languages Translation Services | $12 / 29 / 2011$ | $10.00 \%$ | $3.00 \%$ | Denied |  |
| DCAS | $12 / 23 / 2011$ | B\&N\&K Restoration Co., Inc. | $1 / 4 / 2012$ | $15.00 \%$ | $0.00 \%$ | Full |  |
|  | $12 / 27 / 2011$ | Avantpage Inc. | $12 / 29 / 2011$ | $31.00 \%$ | $23.00 \%$ | Partial |  |
|  | $12 / 27 / 2011$ | N.B.I. Equipment Corp. | $12 / 12 / 2011$ | $10.00 \%$ | $0.00 \%$ | Denied |  |

## Large-Scale Procurement Approvals

Local Law 129 requires City agencies to seek MOCS approval, prior to soliciting for procurements anticipated to be valued at over $\$ 10$ million to determine whether it is practicable to divide the proposed contract into smaller contracts and whether doing so would enhance competition for such contracts among M/WBEs. In the reporting period, there were 54 registered contracts for which MOCS conducted large-scale procurement reviews. ${ }^{56}$ A full list is shown below. The value of the 54 approved contracts shown in the table below is approximately $\$ 1.8$ billion dollars. Approximately two-thirds of all approvals were solicited via competitive sealed bid.

More than $70 \%$ of the large scale approvals in the first half of FY 2012 fell into two categories: human services and unique or unusual goods and services. Large scale human services procurements are approved because the entire competition is anticipated to consist of submissions from not-for-profit organizations. Large scale unique goods and services contracts are approved because the use of separate or smaller contracts for such specialized goods, services or construction would not enhance competition among M/WBEs and/or is not practical or advantageous in light of costs or other factors.

| Fiscal 2012 Approvals of Large Scale Procurements |  |  |  |
| :--- | :---: | ---: | :---: |
| Basis for Determination | \# of <br> Contracts | Dollar Value | \% of <br> Total |
| Human Services | 21 | $\$ 767,240,432$ | $42 \%$ |
| Indivisible Purchase, Project or <br> Service | 4 | $\$ 75,495,576$ | $4 \%$ |
| Large Scale Construction | 9 | $\$ 206,519,201$ | $11 \%$ |
| Multiple Site Contract | 1 | $\$ 10,304,300$ | $1 \%$ |
| PLA | 2 | $\$ 26,806,250$ | $1 \%$ |
| Requirements Contract | 8 | $\$ 223,363,785$ | $12 \%$ |
| Unique/unusual goods/services | 9 | $\$ 536,667,130$ | $29 \%$ |
| Total | 54 | $\$ 1,846,396,673$ | $100 \%$ |

[^2]| Fiscal Year 2012 Registered Contracts Based on Prior Year Large Scale Procurement Approvals (>\$10M) |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Agency | Registration <br> Date | Type | Contract Description | Basis for Approval | Value |
| CJC | $7 / 7 / 2011$ | RFP | Trial-level Indigent Defense Services <br> New York County Defender Services | Human Services | $\$ 16,373,700$ |
| CJC | $7 / 25 / 2011$ | RFP | Provide indigent defense services in <br> Queens County Queens Law <br> Associates | Human Services | $\$ 19,008,000$ |
| ACS | $7 / 21 / 2011$ | RFP | RCS | Needs, including HIV and/ St. <br> Vincent's Services | Human Services |


| DHS | 8/26/2011 | RFP | Homes for the homeless- Saratoga Family Inn | Human Services | \$21,140,386 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| DHS | 9/23/2011 | RFP | Basic Housing-The Stadium, 1260 Sedgwick Ave. | Human Services | \$68,498,239 |
| DHS | 9/23/2011 | RFP | Basic Housing-The Skyway 132-10 S. Conduit Ave. | Human Services | \$57,934,135 |
| DHS | 12/15/2011 | RFP | Development \& operation of transitional residence. Porter Avenue shelter | Human Services | \$157,542,080 |
| DHS | 12/19/2011 | RFP | To provide transitional housing for adults at 645 Van Siclen to operate a stand alone transitional res at 645 Van Siclen | Human Services | \$34,140,423 |
| DHS | 12/16/2011 | RFP | To provide shelter services. For a stand alone trans. Res. To provide shelter services at 555 w 174th st. Bedco | Human Services | \$20,834,215 |
| Human Services |  |  |  |  | \$767,240,432 |
| DEP | 12/8/2011 | CSB | Tallman island wet weather sewage flow maximization tiww1 | Indivisible Purchase, Project or Service | \$22,071,513 |
| DSNY | 10/17/2011 | CSB | Export municipal solid waste from Brooklyn Transriver Marketing CO. LP | Indivisible Purchase, Project or Service | \$15,299,870 |
| DEP | 7/7/2011 | RFP | advanced wastewater treatment program assistance awtpa02 | Indivisible Purchase, Project or Service | \$11,987,693 |
| DEP | 7/14/2011 | CSB | activate water tunnel 3 stage 2 manhattan leg c549a | Indivisible Purchase, Project or Service | \$26,136,500 |
| Indivisible Purchase, Project or Service |  |  |  |  | \$75,495,576 |
| DDC | 7/15/2011 | CSB | Sanitary \& storm sewers \& appurtenances in Woodrow Road, SI SER002306 | Large Scale <br> Construction | \$11,971,786 |
| DDC | 8/31/2011 | CSB | Reconstruction of Storm and San. Sewers in Bay 32nd St, Qns SEQ200508 | Large Scale <br> Construction | \$11,411,411 |
| DDC | 8/18/2011 | CSB | Reconstruction of Storm \& San. <br> Sewer in S.Railroad St, S.I SER002181 | Large Scale Construction | \$10,678,910 |
| DDC | 10/14/2011 | CSB | HWK1129 Reconstruction of Nostrand Avenue HWK1129 Construction | Large Scale <br> Construction | \$23,508,885 |


| DDC | $9 / 29 / 2011$ | CSB | Installation of trunk \& distribution <br> water mains \& Appurtanc QED-990 | Large Scale <br> Construction | $\$ 12,637,408$ |
| :--- | :---: | :--- | :--- | :--- | :--- |
| DDC | $10 / 18 / 2011$ | CSB | Water Mains, Sanitary Storm Sewers <br> in McBaine Ave, SI SER002313 | Large Scale <br> Construction | $\$ 19,502,800$ |
| DEP | $11 / 16 / 2011$ | CSB | Sludge force main general work <br> nc50g2 | Large Scale <br> Construction | $\$ 4$. |


| DCAS | 9/19/2011 | CSB | Procure tapping sleeves for NYC DEP | Requirements <br> Contract | \$12,771,362 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| DCAS | 12/27/2011 | CSB | Truck, 25cy rear loading collection w/ snow plow CNG powered rear loading coll. Trucks w/ snow plow CNG powered-DSNY | Requirements <br> Contract | \$31,064,973 |
| Requirements Contract |  |  |  |  | \$223,363,785 |
| DCAS | 10/11/2011 | RFP | Provide Job Anal, Test Dev. \& Scoring Serv.-Civil Ser Exams Contract with Barrett \& Associates | Unique/unusual good or service | \$11,096,437 |
| DSNY | 10/13/2011 | CSB | Export municipal solid waste from Brooklyn. | Unique/unusual goods/services | \$87,155,873 |
| DSNY | 10/11/2011 | CSB | Export municipal solid waste from Brooklyn. | Unique/unusual goods/services | \$51,018,072 |
| DSNY | 10/12/2011 | CSB | Export municipal solid waste from Brooklyn. | Unique/unusual goods/services | \$18,415,047 |
| DSNY | 10/13/2011 | CSB | Export municipal solid waste from Brooklyn. Brooklyn Transfer LLC. | Unique/unusual goods/services | \$30,091,756 |
| DSNY | 10/14/2011 | CSB | Export municipal solid waste from Brooklyn. IWS Transfer Systems of N.J. INC. | Unique/unusual goods/services | \$23,895,792 |
| DSNY | 10/13/2011 | CSB | Export municipal solid waste from Brooklyn. Action Environmental Systems, LLC. | Unique/unusual goods/services | \$27,461,035 |
| DSNY | 10/11/2011 | CSB | Export municipal solid waste from Brooklyn. WASTE MANAGEMENT OF NY LLC BQE | Unique/unusual goods/services | \$123,228,510 |
| DSNY | 10/14/2011 | CSB | Export municipal solid waste from Brooklyn. WASTE Management OF NY LLC Varick | Unique/unusual goods/services | \$164,304,608 |
| Unique/unusual goods/services |  |  |  |  | \$536,667,130 |

## Complaints, Modifications and Noncompliance

SBS received one compliance complaint during the reported period. It was submitted by a third party on behalf of a vendor, in regard to an LBE goal set by the NYC Department of Parks and Recreation on two solicitations for Street Tree Planting. The complaint was filed by Angel "Luis" Acosta from Meganomics ATM Corporation on behalf of AA Tree Expert LLC. Neither firm is certified with the City. The Parks Department stated that no M/WBE goals were established because subcontracting was not anticipated in the relevant industries pursuant to LL 129.

Unlike waivers, which are granted or denied before contract award, modifications occur after a contract is already in place, where the vendor seeks to change the M/WBE participation goals for a particular contract. Vendors seeking modifications must show that they have made reasonable, good faith efforts to meet the goals set by agencies, but have been unsuccessful for various reasons.

MOCS approved three modifications during the reporting period. In each case, the prime contractors demonstrated good faith efforts to award subcontracts to M/WBE firms and demonstrated specific circumstances that lead to their inability to do so that were outside of their control.

In two of the modifications, DPR redesigned the projects and eliminated work which the prime contractors planned to award to M/WBE subcontractors. In one instance, despite the change the prime contractor found alternative opportunities for M/WBE subcontracting, however, none of the M/WBE subcontractors that were solicited for the work submitted bids. In the other modification, in addition to DPRs changes to the project, the M/WBE firm that was awarded a subcontract was unable to perform the work. Furthermore, the prime contractor demonstrated good faith efforts by awarding other non-qualifying subcontracting opportunities to M/WBE firms.

In the third case, a DEP project where most of the work took place upstate outside of City limits, the prime contractor was ultimately not able to find qualifying City-certified M/WBE subcontractors to fulfill its participation goals. A modification was approved due to the prime contractor's demonstrated good faith efforts in identifying and facilitating subcontracting opportunities for state-certified M/WBE firms and a City-certified WBE that could not count towards the prime contractor's goals because LL 129 does not include goals for women in construction.

The NYPD made two findings of LL 129 non-compliance, though no enforcement actions were taken against the contractors. In one case, the prime contractor would have qualified for a pre-award waiver as they did not use any subcontractors on the project and performed all the work themselves. In the other case, the prime contractor was a certified M/WBE. Issuing enforcement actions in both of these cases would not have served the interests of LL 129.

| Requests for Modification of M/WBE Subcontracting Goals |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | Industry | Procurement Method | Vendor Name | Contract Description | Registration Date | Value of Contract | Original <br> M/WBE <br> Goal | Determination | Modified Goal |
| DEP | Construction Services | CSB | Harrison Park Associates, Inc | Storm-water remediation at Croton | 2/26/2009 | \$498,993 | 31\% | Approved | 0\% |
| DPR | Construction Services | CSB | Applied <br> Landscape <br> Technologies | Reconstruction of ball fields \& miscellaneous site work | 10/5/2009 | \$273,400 | 15\% | Approved | 0\% |
| DPR | Construction Services | CSB | JICC Industries | Installation of pre-fab modular office units | 11/10/2008 | \$99,090 | 30\% | Approved | 8\% |


| Findings of Non-Compliance on M/WBE Subcontracting Goals |  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Agency | Industry |  | $\begin{array}{l}\text { Procurement } \\ \text { Method }\end{array}$ | Vendor Name | Contract Description | $\begin{array}{l}\text { Registration } \\ \text { Date }\end{array}$ | $\begin{array}{l}\text { Value of } \\ \text { Contract }\end{array}$ | $\begin{array}{c}\text { Target } \\ \text { Subcontracting } \\ \%\end{array}$ | \(\left.\begin{array}{c}M/WBE <br>

Goal \%\end{array}\right\}\)

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
| ACS | Goods | >\$5K | 30 | \$1,104,914.02 | 10 | \$407,554.00 | 3 | \$96,850.00 | 1 | \$100,000.00 | 4 | \$85,704.00 | 2 | \$125,000.00 |
|  |  | <=\$5K | 24 | \$52,185.87 | 1 | \$4,998.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$4,998.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 30 | \$1,104,914.02 | 10 | \$407,554.00 | 3 | \$96,850.00 | 1 | \$100,000.00 | 4 | \$85,704.00 | 2 | \$125,000.00 |
|  | Professional Services | >\$5K | 15 | \$1,803,794.80 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 26 | \$81,736.95 | 2 | \$5,530.00 | 0 | \$0.00 | 1 | \$4,930.00 | 1 | \$600.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 12 | \$569,551.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 3 | \$1,234,243.80 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 56 | \$5,725,946.34 | 8 | \$517,285.00 | 0 | \$0.00 | 3 | \$95,000.00 | 2 | \$200,000.00 | 3 | \$222,285.00 |
|  |  | <=\$5K | 500 | \$1,482,043.54 | 75 | \$183,219.79 | 8 | \$7,192.25 | 29 | \$65,389.56 | 15 | \$51,484.50 | 23 | \$59,153.48 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 47 | \$1,924,241.85 | 8 | \$517,285.00 | 0 | \$0.00 | 3 | \$95,000.00 | 2 | \$200,000.00 | 3 | \$222,285.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 8 | \$2,684,852.49 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 1 | \$1,116,852.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| BIC | Goods | >\$5K | 1 | \$6,874.45 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \text { >\$5K, } \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 1 | \$6,874.45 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Professional <br> Services | >\$5K | 1 | \$22,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 1 | \$22,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized <br> Services | >\$5K | 4 | \$59,534.89 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 76 | \$76,111.24 | 7 | \$6,187.61 | 0 | \$0.00 | 1 | \$1,400.00 | 1 | \$232.00 | 5 | \$4,555.61 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 4 | \$59,534.89 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| CCHR | Goods | <=\$5K | 33 | \$20,210.48 | 3 | \$1,845.00 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$631.00 | 1 | \$1,214.00 |
|  | Professional Services | >\$5K | 2 | \$12,958.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 16 | \$14,083.13 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 2 | \$12,958.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 2 | \$15,799.80 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 10 | \$6,169.73 | 3 | \$1,603.50 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$1,123.50 | 1 | \$480.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 2 | \$15,799.80 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| CCRB | Goods | >\$5K | 4 | \$60,569.03 | 2 | \$10,569.03 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$10,569.03 |
|  |  | <=\$5K | 2 | \$2,579.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \text { >\$5K, } \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 4 | \$60,569.03 | 2 | \$10,569.03 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$10,569.03 |
|  | Standardized | >\$5K | 4 | \$74,423.94 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender


## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Services | <=\$5K | 118 | \$247,725.55 | 7 | \$15,581.73 | 0 | \$0.00 | 1 | \$3,952.00 | 1 | \$381.81 | 5 | \$11,247.92 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 11 | \$262,189.12 | 2 | \$32,869.78 | 0 | \$0.00 | 1 | \$24,840.00 | 1 | \$8,029.78 | 0 | \$0.00 |
| DCAS | Construction Services | >\$5K | 28 | \$42,283,784.00 | 8 | \$556,000.00 | 3 | \$171,000.00 | 0 | \$0.00 | 2 | \$100,000.00 | 3 | \$285,000.00 |
|  |  | $\begin{aligned} & \hline>\$ 5 K, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 18 | \$1,371,000.00 | 8 | \$556,000.00 | 3 | \$171,000.00 | 0 | \$0.00 | 2 | \$100,000.00 | 3 | \$285,000.00 |
|  |  | >=\$1M | 10 | \$40,912,784.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 251 | \$297,736,846.08 | 31 | \$1,422,476.12 | 11 | \$369,164.30 | 0 | \$0.00 | 4 | \$100,286.02 | 16 | \$953,025.80 |
|  |  | <=\$5K | 37 | \$90,984.65 | 1 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$0.00 |
|  |  | $\begin{aligned} & \hline>\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 119 | \$4,110,887.00 | 30 | \$1,261,526.12 | 11 | \$369,164.30 | 0 | \$0.00 | 4 | \$100,286.02 | 15 | \$792,075.80 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 101 | \$39,236,573.41 | 1 | \$160,950.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$160,950.00 |
|  |  | >=\$1M | 31 | \$254,389,385.67 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 30 | \$32,997,320.00 | 7 | \$2,496,797.00 | 0 | \$0.00 | 1 | \$246,433.00 | 0 | \$0.00 | 6 | \$2,250,364.00 |
|  |  | <=\$5K | 3 | \$13,500.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 25 | \$11,084,757.00 | 7 | \$2,496,797.00 | 0 | \$0.00 | 1 | \$246,433.00 | 0 | \$0.00 | 6 | \$2,250,364.00 |
|  |  | >=\$1M | 5 | \$21,912,563.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized <br> Services | >\$5K | 9 | \$24,977,669.43 | 1 | \$95,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$95,000.00 |
|  |  | <=\$5K | 391 | \$756,980.41 | 199 | \$391,708.25 | 24 | \$52,906.23 | 31 | \$71,132.66 | 20 | \$23,619.99 | 124 | \$244,049.37 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 8 | \$430,442.83 | 1 | \$95,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$95,000.00 |
|  |  | >=\$1M | 1 | \$24,547,226.60 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DCP | Goods | >\$5K | 6 | \$91,455.37 | 1 | \$17,780.00 | 1 | \$17,780.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 59 | \$89,557.99 | 20 | \$35,293.99 | 10 | \$23,749.82 | 1 | \$0.00 | 0 | \$0.00 | 9 | \$11,544.17 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 6 | \$91,455.37 | 1 | \$17,780.00 | 1 | \$17,780.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 1 | \$402,001.00 | 1 | \$402,001.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$402,001.00 |
|  |  | <=\$5K | 3 | \$5,397.50 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 1 | \$402,001.00 | 1 | \$402,001.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$402,001.00 |
|  | Standardized <br> Services | >\$5K | 3 | \$25,197.24 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 40 | \$63,672.87 | 7 | \$7,123.17 | 2 | \$619.00 | 0 | \$0.00 | 3 | \$4,630.20 | 2 | \$1,873.97 |
|  |  | $\begin{aligned} & \hline>\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 3 | \$25,197.24 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DDC | Construction <br> Services | >\$5K | 41 | \$182,761,232.52 | 8 | \$26,139,298.20 | 4 | \$16,661,525.63 | 1 | \$28,089.57 | 0 | \$0.00 | 3 | \$9,449,683.00 |
|  |  | <=\$5K | 3 | \$5,903.30 | 1 | \$657.80 | 0 | \$0.00 | 1 | \$657.80 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ \$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 11 | \$412,462.33 | 3 | \$74,100.57 | 1 | \$18,900.00 | 1 | \$28,089.57 | 0 | \$0.00 | 1 | \$27,111.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 4 | \$2,043,884.59 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender


Prime contracts disaggregated by industry, dollar range, and ethnicity/gender


Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Services | <=\$5K | 130 | \$293,645.69 | 17 | \$40,841.50 | 0 | \$0.00 | 0 | \$0.00 | 3 | \$3,560.00 | 14 | \$37,281.50 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 3 | \$176,487.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DHMH | Goods | >\$5K | 190 | \$3,839,961.83 | 47 | \$864,700.52 | 10 | \$236,036.70 | 11 | \$263,966.28 | 3 | \$58,950.34 | 23 | \$305,747.20 |
|  |  | <=\$5K | 35 | \$97,376.57 | 10 | \$28,734.47 | 0 | \$0.00 | 4 | \$10,152.34 | 2 | \$5,347.10 | 4 | \$13,235.03 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 190 | \$3,839,961.83 | 47 | \$864,700.52 | 10 | \$236,036.70 | 11 | \$263,966.28 | 3 | \$58,950.34 | 23 | \$305,747.20 |
|  | Professional Services | >\$5K | 8 | \$589,349.20 | 4 | \$212,272.20 | 0 | \$0.00 | 2 | \$104,102.20 | 2 | \$108,170.00 | 0 | \$0.00 |
|  |  | <=\$5K | 27 | \$75,415.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 7 | \$400,849.20 | 4 | \$212,272.20 | 0 | \$0.00 | 2 | \$104,102.20 | 2 | \$108,170.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$188,500.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 66 | \$5,829,556.72 | 11 | \$306,067.46 | 2 | \$124,000.00 | 3 | \$40,846.00 | 3 | \$89,941.46 | 3 | \$51,280.00 |
|  |  | <=\$5K | 503 | \$1,329,044.80 | 67 | \$148,258.63 | 11 | \$25,212.85 | 15 | \$23,757.21 | 14 | \$29,062.95 | 27 | \$70,225.62 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 58 | \$1,917,060.85 | 11 | \$306,067.46 | 2 | \$124,000.00 | 3 | \$40,846.00 | 3 | \$89,941.46 | 3 | \$51,280.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 7 | \$2,777,495.87 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 1 | \$1,135,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DHS | Construction | >\$5K | 1 | \$423,130.00 | 1 | \$423,130.00 | 1 | \$423,130.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Services | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$423,130.00 | 1 | \$423,130.00 | 1 | \$423,130.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 43 | \$563,403.34 | 9 | \$100,411.02 | 0 | \$0.00 | 1 | \$5,461.30 | 1 | \$8,000.00 | 7 | \$86,949.72 |
|  |  | <=\$5K | 1 | \$781.25 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 43 | \$563,403.34 | 9 | \$100,411.02 | 0 | \$0.00 | 1 | \$5,461.30 | 1 | \$8,000.00 | 7 | \$86,949.72 |
|  | Professional Services | >\$5K | 8 | \$3,200,625.87 | 1 | \$423,130.00 | 1 | \$423,130.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 4 | \$15,135.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \text { >\$5K, } \\ & <=\$ 100 K \end{aligned}$ | 44 | \$1,698,403.34 | 9 | \$100,411.02 | 0 | \$0.00 | 1 | \$5,461.30 | 1 | \$8,000.00 | 7 | \$86,949.72 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 1 | \$138,633.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 17 | \$34,688,186.40 | 2 | \$569,480.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$10,000.00 | 1 | \$559,480.00 |
|  |  | <=\$5K | 61 | \$112,591.45 | 4 | \$9,876.40 | 1 | \$2,000.00 | 2 | \$6,000.00 | 0 | \$0.00 | 1 | \$1,876.40 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 9 | \$159,647.78 | 1 | \$10,000.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$10,000.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 4 | \$2,036,304.67 | 1 | \$559,480.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$559,480.00 |
|  |  | >=\$1M | 4 | \$32,492,233.95 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DJJ | Goods | >\$5K | 3 | \$23,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 60 | \$218,488.61 | 5 | \$25,000.00 | 0 | \$0.00 | 2 | \$10,000.00 | 2 | \$10,000.00 | 1 | \$5,000.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 3 | \$23,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | <=\$5K | 1 | \$5,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 2 | \$14,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 41 | \$122,089.73 | 6 | \$16,533.19 | 2 | \$5,510.00 | 1 | \$5,000.00 | 2 | \$5,023.19 | 1 | \$1,000.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 2 | \$14,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DOB | Goods | >\$5K | 10 | \$148,473.13 | 4 | \$60,790.00 | 0 | \$0.00 | 4 | \$60,790.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 11 | \$18,324.75 | 11 | \$18,324.75 | 3 | \$2,645.00 | 1 | \$3,150.00 | 0 | \$0.00 | 7 | \$12,529.75 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 10 | \$148,473.13 | 4 | \$60,790.00 | 0 | \$0.00 | 4 | \$60,790.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | <=\$5K | 4 | \$16,800.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >\$5K | 4 | \$122,364.20 | 1 | \$12,000.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$12,000.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 4 | \$122,364.20 | 1 | \$12,000.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$12,000.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 12 | \$686,190.72 | 1 | \$100,000.00 | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 50 | \$112,561.41 | 8 | \$22,445.00 | 3 | \$10,241.00 | 0 | \$0.00 | 4 | \$9,404.00 | 1 | \$2,800.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 11 | \$251,461.72 | 1 | \$100,000.00 | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >\$100K, | 1 | \$434,729.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | <\$1M |  |  |  |  |  |  |  |  |  |  |  |  |
| DOC | Construction Services | >\$5K | 2 | \$7,867,180.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 1 | \$560.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 2 | \$7,867,180.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 105 | \$3,360,258.31 | 56 | \$1,759,414.77 | 5 | \$110,512.80 | 10 | \$312,198.07 | 10 | \$244,009.25 | 31 | \$1,092,694.65 |
|  |  | <=\$5K | 227 | \$612,953.01 | 88 | \$212,871.22 | 17 | \$36,372.14 | 8 | \$14,798.32 | 21 | \$50,946.75 | 42 | \$110,754.01 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 105 | \$3,360,258.31 | 56 | \$1,759,414.77 | 5 | \$110,512.80 | 10 | \$312,198.07 | 10 | \$244,009.25 | 31 | \$1,092,694.65 |
|  | Professional Services | >\$5K | 3 | \$126,582.44 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 8 | \$23,238.75 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & <=\$ 100 K \end{aligned}$ | 3 | \$126,582.44 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 33 | \$7,494,211.06 | 4 | \$2,613,358.80 | 0 | \$0.00 | 1 | \$99,980.40 | 0 | \$0.00 | 3 | \$2,513,378.40 |
|  |  | <=\$5K | 138 | \$397,484.14 | 11 | \$34,803.72 | 1 | \$5,000.00 | 2 | \$6,000.00 | 1 | \$5,000.00 | 7 | \$18,803.72 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 28 | \$1,058,845.41 | 3 | \$164,458.80 | 0 | \$0.00 | 1 | \$99,980.40 | 0 | \$0.00 | 2 | \$64,478.40 |
|  |  | $\begin{aligned} & \text { >\$100K, } \\ & \text { <\$1M } \end{aligned}$ | 2 | \$834,363.65 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 3 | \$5,601,002.00 | 1 | \$2,448,900.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$2,448,900.00 |
| DOF | Goods | >\$5K | 7 | \$220,343.74 | 3 | \$106,382.10 | 2 | \$49,997.10 | 0 | \$0.00 | 1 | \$56,385.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender


Prime contracts disaggregated by industry, dollar range, and ethnicity/gender


## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
| DORIS | Goods | >\$5K | 3 | \$19,904.90 | 1 | \$5,422.90 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$5,422.90 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 3 | \$19,904.90 | 1 | \$5,422.90 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$5,422.90 |
|  | Standardized Services | >\$5K | 2 | \$18,904.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 23 | \$38,021.81 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 2 | \$18,904.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DOT | Construction Services | >\$5K | 5 | \$87,205,300.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 5 | \$87,205,300.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 90 | \$10,593,490.01 | 38 | \$1,161,962.16 | 8 | \$384,692.76 | 4 | \$107,480.00 | 6 | \$82,690.00 | 20 | \$587,099.40 |
|  |  | <=\$5K | 176 | \$626,957.01 | 40 | \$153,441.53 | 6 | \$27,500.00 | 6 | \$14,517.28 | 12 | \$43,152.10 | 16 | \$68,272.15 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 88 | \$2,952,969.01 | 38 | \$1,161,962.16 | 8 | \$384,692.76 | 4 | \$107,480.00 | 6 | \$82,690.00 | 20 | \$587,099.40 |
|  |  | >=\$1M | 2 | \$7,640,521.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 92 | \$10,612,394.01 | 38 | \$1,161,962.16 | 8 | \$384,692.76 | 4 | \$107,480.00 | 6 | \$82,690.00 | 20 | \$587,099.40 |
|  |  | <=\$5K | 2 | \$10,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \text { >\$5K, } \\ & <=\$ 100 K \end{aligned}$ | 93 | \$90,158,269.01 | 38 | \$1,161,962.16 | 8 | \$384,692.76 | 4 | \$107,480.00 | 6 | \$82,690.00 | 20 | \$587,099.40 |
|  |  | >=\$1M | 1 | \$2,397,776.38 | 1 | \$2,397,776.38 | 1 | \$2,397,776.38 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized | >\$5K | 64 | \$82,449,747.36 | 14 | \$252,076.12 | 4 | \$47,876.00 | 3 | \$27,400.00 | 5 | \$113,810.12 | 2 | \$62,990.00 |

## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Services | <=\$5K | 325 | \$1,014,199.55 | 23 | \$78,752.00 | 5 | \$22,672.00 | 6 | \$21,600.00 | 3 | \$15,000.00 | 9 | \$19,480.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 57 | \$1,693,054.22 | 14 | \$252,076.12 | 4 | \$47,876.00 | 3 | \$27,400.00 | 5 | \$113,810.12 | 2 | \$62,990.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 1 | \$191,800.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 6 | \$80,564,893.14 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DPR | Construction Services | >\$5K | 56 | \$78,121,326.88 | 12 | \$14,118,887.75 | 5 | \$5,955,590.37 | 0 | \$0.00 | 4 | \$6,237,719.72 | 3 | \$1,925,577.66 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 3 | \$171,462.20 | 1 | \$28,262.20 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$28,262.20 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 22 | \$11,491,264.67 | 5 | \$1,784,846.22 | 2 | \$1,053,676.76 | 0 | \$0.00 | 2 | \$420,854.00 | 1 | \$310,315.46 |
|  |  | >=\$1M | 31 | \$66,458,600.01 | 6 | \$12,305,779.33 | 3 | \$4,901,913.61 | 0 | \$0.00 | 2 | \$5,816,865.72 | 1 | \$1,587,000.00 |
|  | Goods | >\$5K | 85 | \$1,465,290.93 | 33 | \$444,889.49 | 4 | \$55,815.05 | 6 | \$58,196.97 | 3 | \$74,223.95 | 20 | \$256,653.52 |
|  |  | <=\$5K | 934 | \$2,071,805.26 | 236 | \$582,433.00 | 43 | \$88,852.21 | 34 | \$80,236.59 | 46 | \$123,195.71 | 113 | \$290,148.49 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & <=\$ 100 K \end{aligned}$ | 85 | \$1,465,290.93 | 33 | \$444,889.49 | 4 | \$55,815.05 | 6 | \$58,196.97 | 3 | \$74,223.95 | 20 | \$256,653.52 |
|  | Professional Services | >\$5K | 1 | \$4,000,000.00 | 1 | \$4,000,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$4,000,000.00 |
|  |  | <=\$5K | 1 | \$5,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >\$5K | 1 | \$22,312.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & <=\$ 100 K \end{aligned}$ | 1 | \$22,312.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | >=\$1M | 1 | \$4,000,000.00 | 1 | \$4,000,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$4,000,000.00 |
|  | Standardized Services | >\$5K | 79 | \$29,026,334.49 | 8 | \$192,675.00 | 1 | \$24,750.00 | 2 | \$19,830.00 | 0 | \$0.00 | 5 | \$148,095.00 |
|  |  | <=\$5K | 417 | \$916,448.54 | 32 | \$80,481.40 | 6 | \$11,905.20 | 5 | \$13,205.00 | 3 | \$6,280.00 | 18 | \$49,091.20 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 67 | \$1,375,794.09 | 8 | \$192,675.00 | 1 | \$24,750.00 | 2 | \$19,830.00 | 0 | \$0.00 | 5 | \$148,095.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 8 | \$3,638,631.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 4 | \$24,011,909.40 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DSBS | Construction Services | >\$5K | 3 | \$82,306.64 | 2 | \$31,680.64 | 1 | \$25,416.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$6,264.64 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 3 | \$82,306.64 | 2 | \$31,680.64 | 1 | \$25,416.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$6,264.64 |
|  | Goods | >\$5K | 1 | \$10,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 8 | \$12,859.52 | 4 | \$3,198.00 | 1 | \$309.20 | 1 | \$366.80 | 0 | \$0.00 | 2 | \$2,522.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 1 | \$10,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 1 | \$50,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 11 | \$95,166.16 | 6 | \$34,878.64 | 2 | \$25,725.20 | 1 | \$366.80 | 0 | \$0.00 | 3 | \$8,786.64 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 1 | \$50,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized | >\$5K | 10 | \$102,086.08 | 6 | \$71,331.68 | 2 | \$30,480.00 | 1 | \$9,115.88 | 1 | \$15,500.00 | 2 | \$16,235.80 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Services | <=\$5K | 98 | \$149,751.86 | 20 | \$36,134.09 | 3 | \$7,038.00 | 7 | \$10,230.12 | 3 | \$1,893.50 | 7 | \$16,972.47 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 10 | \$102,086.08 | 6 | \$71,331.68 | 2 | \$30,480.00 | 1 | \$9,115.88 | 1 | \$15,500.00 | 2 | \$16,235.80 |
| DSNY | Construction Services | >\$5K | 4 | \$1,652,564.70 | 1 | \$76,064.00 | 1 | \$76,064.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 2 | \$135,064.00 | 1 | \$76,064.00 | 1 | \$76,064.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$430,500.70 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 1 | \$1,087,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 66 | \$14,603,777.55 | 13 | \$1,163,137.57 | 0 | \$0.00 | 5 | \$779,983.87 | 3 | \$277,063.25 | 5 | \$106,090.45 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 60 | \$2,670,932.17 | 11 | \$374,862.49 | 0 | \$0.00 | 4 | \$234,636.79 | 2 | \$34,135.25 | 5 | \$106,090.45 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 4 | \$1,159,308.38 | 2 | \$788,275.08 | 0 | \$0.00 | 1 | \$545,347.08 | 1 | \$242,928.00 | 0 | \$0.00 |
|  |  | >=\$1M | 2 | \$10,773,537.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 3 | \$299,999.99 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 6 | \$15,196.45 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 3 | \$299,999.99 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 20 | \$542,853,489.01 | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$100,000.00 |
|  |  | <=\$5K | 1091 | \$2,041,632.83 | 185 | \$340,128.14 | 22 | \$30,114.99 | 29 | \$66,724.92 | 51 | \$86,932.20 | 83 | \$156,356.03 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 10 | \$554,926.01 | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$100,000.00 |
|  |  | >=\$1M | 10 | \$542,298,563.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| DYCD | Goods | >\$5K | 14 | \$85,924.11 | 2 | \$14,895.11 | 1 | \$8,726.50 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$6,168.61 |
|  |  | <=\$5K | 1 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 14 | \$85,924.11 | 2 | \$14,895.11 | 1 | \$8,726.50 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$6,168.61 |
|  | Professional Services | >\$5K | 3 | \$649,483.00 | 2 | \$584,483.00 | 1 | \$503,907.00 | 1 | \$80,576.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline>\$ 5 K, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 2 | \$145,576.00 | 1 | \$80,576.00 | 0 | \$0.00 | 1 | \$80,576.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$100K, } \\ & \text { <\$1M } \end{aligned}$ | 1 | \$503,907.00 | 1 | \$503,907.00 | 1 | \$503,907.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | <=\$5K | 118 | \$199,733.10 | 21 | \$35,776.16 | 5 | \$9,734.70 | 3 | \$9,356.87 | 6 | \$12,233.65 | 7 | \$4,450.94 |
| FDNY | Goods | >\$5K | 130 | \$3,193,687.91 | 23 | \$555,983.20 | 5 | \$75,614.00 | 0 | \$0.00 | 3 | \$56,758.50 | 15 | \$423,610.70 |
|  |  | <=\$5K | 230 | \$650,631.11 | 29 | \$84,735.95 | 4 | \$6,968.00 | 2 | \$9,340.00 | 1 | \$933.06 | 22 | \$67,494.89 |
|  |  | $\begin{aligned} & \hline \$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 130 | \$3,193,687.91 | 23 | \$555,983.20 | 5 | \$75,614.00 | 0 | \$0.00 | 3 | \$56,758.50 | 15 | \$423,610.70 |
|  | Professional Services | <=\$5K | 1 | \$2,999.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized <br> Services | >\$5K | 34 | \$8,438,955.78 | 2 | \$40,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$40,000.00 |
|  |  | <=\$5K | 102 | \$280,738.13 | 8 | \$25,431.13 | 0 | \$0.00 | 1 | \$3,051.00 | 3 | \$9,137.13 | 4 | \$13,243.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 31 | \$897,726.78 | 2 | \$40,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 2 | \$40,000.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$219,250.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 2 | \$7,321,979.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| HPD | Construction Services | >\$5K | 296 | \$7,239,164.63 | 43 | \$875,081.00 | 33 | \$408,122.00 | 2 | \$12,450.00 | 0 | \$0.00 | 8 | \$454,509.00 |
|  |  | <=\$5K | 7124 | \$4,665,939.50 | 1230 | \$822,633.63 | 1084 | \$676,102.07 | 125 | \$118,412.07 | 17 | \$14,226.15 | 4 | \$13,893.34 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 291 | \$4,625,368.03 | 42 | \$765,637.00 | 33 | \$408,122.00 | 2 | \$12,450.00 | 0 | \$0.00 | 7 | \$345,065.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 5 | \$2,613,796.60 | 1 | \$109,444.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$109,444.00 |
|  | Goods | >\$5K | 25 | \$542,619.45 | 10 | \$273,381.68 | 4 | \$169,575.76 | 0 | \$0.00 | 3 | \$78,225.97 | 3 | \$25,579.95 |
|  |  | <=\$5K | 64 | \$100,639.02 | 8 | \$8,560.50 | 0 | \$0.00 | 2 | \$1,075.00 | 2 | \$4,185.00 | 4 | \$3,300.50 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 25 | \$542,619.45 | 10 | \$273,381.68 | 4 | \$169,575.76 | 0 | \$0.00 | 3 | \$78,225.97 | 3 | \$25,579.95 |
|  | Professional Services | >\$5K | 4 | \$232,950.00 | 2 | \$150,000.00 | 1 | \$100,000.00 | 1 | \$50,000.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 4 | \$232,950.00 | 2 | \$150,000.00 | 1 | \$100,000.00 | 1 | \$50,000.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 18 | \$2,221,699.71 | 1 | \$21,000.00 | 0 | \$0.00 | 1 | \$21,000.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 438 | \$427,716.13 | 144 | \$109,646.51 | 41 | \$22,858.94 | 10 | \$4,381.05 | 18 | \$23,047.71 | 75 | \$59,358.81 |
|  |  | $\begin{aligned} & \hline \text { >\$5K, } \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 16 | \$971,699.71 | 1 | \$21,000.00 | 0 | \$0.00 | 1 | \$21,000.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | $\begin{aligned} & \text { >\$100K, } \\ & \text { <\$1M } \end{aligned}$ | 1 | \$250,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 1 | \$1,000,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| HRA | Construction <br> Services | >\$5K | 1 | \$199,200.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 1 | \$199,200.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 42 | \$715,787.13 | 11 | \$252,164.22 | 1 | \$24,192.50 | 1 | \$50,000.00 | 5 | \$66,182.27 | 4 | \$111,789.45 |
|  |  | <=\$5K | 166 | \$291,237.24 | 86 | \$140,879.15 | 18 | \$28,399.39 | 25 | \$43,495.24 | 7 | \$11,800.40 | 36 | \$57,184.12 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 42 | \$715,787.13 | 11 | \$252,164.22 | 1 | \$24,192.50 | 1 | \$50,000.00 | 5 | \$66,182.27 | 4 | \$111,789.45 |
|  | Professional <br> Services | >\$5K | 9 | \$961,673.00 | 1 | \$92,955.00 | 1 | \$92,955.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 3 | \$7,135.20 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 8 | \$461,673.00 | 1 | \$92,955.00 | 1 | \$92,955.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 1 | \$500,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized <br> Services | >\$5K | 31 | \$8,821,292.09 | 10 | \$999,541.90 | 5 | \$114,660.00 | 0 | \$0.00 | 2 | \$31,631.90 | 3 | \$853,250.00 |
|  |  | <=\$5K | 129 | \$346,794.21 | 27 | \$62,450.97 | 12 | \$27,275.27 | 4 | \$12,067.98 | 2 | \$6,268.00 | 9 | \$16,839.72 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 23 | \$663,344.84 | 9 | \$279,541.90 | 5 | \$114,660.00 | 0 | \$0.00 | 2 | \$31,631.90 | 2 | \$133,250.00 |
|  |  | $\begin{aligned} & \hline \$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 5 | \$2,152,886.00 | 1 | \$720,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$720,000.00 |

## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | >=\$1M | 3 | \$6,005,061.25 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| Law | Goods | >\$5K | 5 | \$59,790.15 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 3 | \$10,222.40 | 1 | \$1,290.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$1,290.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 5 | \$59,790.15 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 54 | \$9,484,636.93 | 19 | \$1,279,083.80 | 10 | \$229,320.00 | 0 | \$0.00 | 4 | \$63,263.80 | 5 | \$986,500.00 |
|  |  | <=\$5K | 108 | \$243,218.08 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 132 | \$6,351,855.46 | 27 | \$62,450.97 | 12 | \$27,275.27 | 4 | \$12,067.98 | 2 | \$6,268.00 | 9 | \$16,839.72 |
|  |  | $\begin{aligned} & \text { >\$100K, } \\ & \text { <\$1M } \end{aligned}$ | 6 | \$1,345,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 2 | \$5,880,054.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 18 | \$571,099.34 | 1 | \$5,639.86 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$5,639.86 |
|  |  | <=\$5K | 306 | \$573,305.11 | 21 | \$60,428.95 | 7 | \$27,270.85 | 1 | \$3,500.00 | 4 | \$6,158.00 | 9 | \$23,500.10 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 17 | \$172,099.34 | 1 | \$5,639.86 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$5,639.86 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$399,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| LPC | Construction Services | >\$5K | 5 | \$89,550.00 | 2 | \$60,000.00 | 2 | \$60,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 5 | \$89,550.00 | 2 | \$60,000.00 | 2 | \$60,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  | Goods | <=\$5K | 3 | \$907.34 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >\$5K | 1 | \$7,000.00 | 1 | \$7,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$7,000.00 |
|  | Standardized | <=\$5K | 23 | \$50,784.37 | 6 | \$8,920.67 | 0 | \$0.00 | 3 | \$5,590.70 | 1 | \$2,000.00 | 2 | \$1,329.97 |
|  |  | $\begin{aligned} & \hline>\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 1 | \$7,000.00 | 1 | \$7,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$7,000.00 |
| NYPD | Construction Services | >\$5K | 6 | \$1,074,603.00 | 1 | \$237,000.00 | 1 | \$237,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 4 | \$15,817.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 3 | \$191,947.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \text { >\$100K, } \\ & \text { <\$1M } \end{aligned}$ | 3 | \$882,656.00 | 1 | \$237,000.00 | 1 | \$237,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Goods | >\$5K | 298 | \$10,258,392.66 | 69 | \$1,565,997.12 | 7 | \$131,160.00 | 14 | \$394,624.30 | 10 | \$340,765.14 | 38 | \$699,447.68 |
|  |  | <=\$5K | 1381 | \$3,243,346.17 | 218 | \$455,797.31 | 37 | \$78,604.87 | 33 | \$62,527.51 | 27 | \$46,740.90 | 121 | \$267,924.03 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 297 | \$6,127,168.66 | 69 | \$1,565,997.12 | 7 | \$131,160.00 | 14 | \$394,624.30 | 10 | \$340,765.14 | 38 | \$699,447.68 |
|  |  | >=\$1M | 1 | \$4,131,224.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional Services | >\$5K | 4 | \$307,945.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 301 | \$10,450,339.66 | 69 | \$1,565,997.12 | 7 | \$131,160.00 | 14 | \$394,624.30 | 10 | \$340,765.14 | 38 | \$699,447.68 |
|  |  | $\begin{aligned} & \text { >\$5K, } \\ & \text { <=\$100K } \end{aligned}$ | 3 | \$73,945.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >\$100K, | 1 | \$234,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |

Prime contracts disaggregated by industry, dollar range, and ethnicity/gender

| Agency | Industry | Dollar <br> Range | Total Primes |  | Total MWBE |  | Asian American |  | Black American |  | Hispanic American |  | Caucasian Females |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value | \# | Value |
|  |  | <\$1M |  |  |  |  |  |  |  |  |  |  |  |  |
|  | Standardized Services | >\$5K | 72 | \$5,517,027.55 | 2 | \$22,000.00 | 1 | \$8,000.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$14,000.00 |
|  |  | <=\$5K | 870 | \$1,112,788.94 | 5 | \$10,880.00 | 1 | \$4,980.00 | 1 | \$3,000.00 | 3 | \$2,900.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & \hline \$ \$ 5 \mathrm{~K}, \\ & <=\$ 100 \mathrm{~K} \end{aligned}$ | 67 | \$1,345,204.55 | 2 | \$22,000.00 | 1 | \$8,000.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$14,000.00 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & <\$ 1 \mathrm{M} \end{aligned}$ | 3 | \$807,323.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 2 | \$3,364,500.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| OEM | Goods | >\$5K | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 48 | \$125,706.40 | 3 | \$2,569.46 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 3 | \$2,569.46 |
|  |  | $\begin{aligned} & \hline \$ \$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 1 | \$100,000.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Professional <br> Services | >\$5K | 3 | \$10,475,937.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | $\begin{aligned} & >\$ 5 K, \\ & <=\$ 100 K \end{aligned}$ | 2 | \$102,750.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | >=\$1M | 1 | \$10,373,187.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  | Standardized Services | >\$5K | 1 | \$120,567.50 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
|  |  | <=\$5K | 18 | \$37,976.53 | 1 | \$4,370.50 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 1 | \$4,370.50 |
|  |  | $\begin{aligned} & >\$ 100 \mathrm{~K}, \\ & \text { <\$1M } \end{aligned}$ | 1 | \$120,567.50 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 | 0 | \$0.00 |
| PROB | Goods | <=\$5K | 17 | \$26,927.07 | 6 | \$3,978.66 | 2 | \$903.18 | 0 | \$0.00 | 3 | \$2,625.00 | 1 | \$450.48 |

## Prime contracts disaggregated by industry, dollar range, and ethnicity/gender



## § 6-129(I)(vi)(C):

Count and Value of Subcontracts for which Participation Goals were Set, Disaggregated by Agency and Industry

|  |  |  | tal Primes |  | al M/WBE | Asia | American | Blac | American | Hispa | American | Cauc | ian Female |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | Industry | Count | Value | Count | Value | Count | Value | Count | Value | Count | Value | Count | Value |
| ACS | Professional Services | 2 | \$759,375 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
|  | Construction Services | 8 | \$33,912,784 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| DCAS | Professional Services | 1 | \$6,450,215 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
|  | Professional Services | 22 | \$141,223,501 | 9 | \$62,787,619 | 7 | \$46,787,619 | 1 | \$8,000,000 | 0 | \$0 | 1 | \$8,000,000 |
| DDC | Construction Services | 26 | \$166,768,350 | 4 | \$22,470,196 | 3 | \$16,642,626 | 0 | \$0 | 0 | \$0 | 1 | \$5,827,570 |
| DHS | Construction Services | 1 | \$423,130 | 1 | \$423,130 | 1 | \$423,130 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| DOT | Professional Services | 1 | \$2,397,776 | 1 | \$2,397,776 | 1 | \$2,397,776 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
|  | Construction Services | 35 | \$44,978,465 | 8 | \$8,687,836 | 3 | \$4,391,610 | 0 | \$0 | 3 | \$2,398,910 | 2 | \$1,897,315 |
| DPR | Professional Services | 1 | \$4,000,000 | 1 | \$4,000,000 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 1 | \$4,000,000 |
| DSNY | Construction Services | 2 | \$1,517,501 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| HPD | Construction Services | 2 | \$297,413 | 1 | \$109,444 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 1 | \$109,444 |
| HRA | Construction Services | 1 | \$199,200 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| NYPD | Construction Services | 3 | \$882,656 | 1 | \$237,000 | 1 | \$237,000 | 0 | \$0 | 0 | \$0 | 0 | \$0 |
| Total |  | 105 | \$403,810,367 | 26 | \$101,113,001 | 16 | \$70,879,761 | 1 | \$8,000,000 | 3 | \$2,398,910 | 6 | \$19,834,329 |


[^0]:    ${ }^{1}$ In construction, LL 129 establishes prime contract goals only for Black American and Hispanic American-owned firms. For professional services and standard services, prime contract goals are set for those groups, and also for Caucasian Women firms. Only for goods does LL 129 set prime contract goals for all four contractor groups.

[^1]:    ${ }^{2}$ Subcontractor participation goals are set for three groups (Black-American, Hispanic-American and Women-Owned firms) in the professional services area, but not for Asian American firms. Similarly, in construction, goals are set for three groups (Black-American, Hispanic-American and Asian-American-Owned firms), but not for Women-Owned firms. Each of these exclusions stems from the City's 2005 disparity study, which failed to identify any statistically significant procurement disparities in those areas. Similarly, the study did not find statistically significant disparity for M/WBEs in subcontracts for standardized services.
    ${ }^{3}$ One reason why the number and value of prime contracts subject to LL 129 subcontracting requirements decreased in comparison to FY 2011 is due to a $102 \%$ increase in prime contracts subject to State and Federal M/WBE goals.

[^2]:    ${ }^{5}$ Approvals that occurred during the reporting period are reported only after the contract is awarded, in order to protect the integrity of the bidding/proposal process.
    ${ }^{6}$ During the first half of FY 2012, one contract from DDC valued at $\$ 10.1$ million qualified for approval but was not brought before MOCS for pre-solicitation review because the agency estimates fell below the $\$ 10$ million threshold.

