



THE CITY OF NEW YORK
MANHATTAN COMMUNITY BOARD 3
59 East 4th Street - New York, NY 10003
Phone (212) 533-5300
www.cb3manhattan.org - mn03@cb.nyc.gov

Paul Rangel, Board Chair

Susan Stetzer, District Manager

Community Board 3 Liquor License Stipulations for Administrative Approval

I, Xin Hang Cao, as a qualified representative of Unique Up Inc
located at 120 1/2 1st Avenue, New York, NY agree to the following stipulations:

1. ☐ I will operate a full-service restaurant, specifically a (type of restaurant) Japanese
☒ Kitchen open and serving food every night during all hours of operation.
 2. My hours of operation will be:
Mon 11am-11pm; Tue 11am-11pm; Wed 11am-11pm; Thu 11am-11pm; Fri 11am-11pm; Sat 11am-11pm; Sun 11am-11pm.
- (I understand opening is no later than specified opening hour & all patrons are to be cleared from business at specified closing hour)
3. ☒ I may apply for sidewalk and/or roadbed dining as allowed by the temporary Open Restaurants program but will close all outdoor dining by 10:00 p.m. all days and not have any music, speakers or tv monitors. I will not have commercial use of backyard, sideyard, or rooftop. Any approved outdoor space will close no later than 10:00 p.m.
 4. ☒ I will close any front or rear façade doors and windows at 10:00 p.m. every night or when amplified sound is playing, including but not limited to DJs, live music and live nonmusical performances. ☐ I will have a closed fixed façade with no open doors or windows except my entrance door will close by 10:00 p.m. or when amplified sound is playing, including but not limited to DJs, live music and live nonmusical performances.
 5. I will not have ☒ DJs, ☒ live music, ☒ promoted events, ☒ any event at which a cover fee is charged, ☒ scheduled performances, ☐ more than _____ private parties per _____.
 6. ☒ I will play ambient recorded background music only. _____ number of TVs.
 7. ☒ I will not apply for an alteration to the method of operation or for any physical alterations of any nature without first coming before CB 3.
 8. ☒ I will not seek a change in class to a full on-premises liquor license without first obtaining approval from CB 3.
 9. ☒ I will not apply for an upgrade to a full on-premises liquor license for at least one year after my operations begin.
 10. ☒ I will not participate in pub crawls or have party buses come to my establishment.
 11. ☒ I will not have unlimited drink specials, including boozy brunches, with food.
 12. ☒ I will not have a happy hour or drink specials with or without time limitations OR ☐ I will have happy hour and it will end by _____. - **Please indicate one of the above** -
 13. ☒ I will not have wait lines outside. ☐ I will have a staff person responsible for ensuring no loitering, noise or crowds outside.
 14. ☒ I will conspicuously post this stipulation form beside my liquor license inside of my business.
 15. ☒ Residents may contact the manager/owner at the number below. Any complaints will be addressed immediately. I will revisit the above-stated method of operation if necessary in order to minimize my establishment's impact on my neighbors.
- Name: Xin Hang Cao Phone Number: 917-353-5225
16. ☐ I will: _____

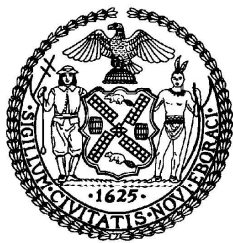
I hereby certify that the information provided above is truthful and accurate based upon my personal belief.

Signed Xin Hang Cao

Dated 7-22-2022

Sworn to this 22 day of July 2022 Ganesh Ram Junior

Ganesh Ram Junior
NOTARY PUBLIC, STATE OF NEW YORK
Registration No. 01RA6418262
Qualified In Queens County
Commission Expires June 7, 2025



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Paul Rangel, Board Chair

Susan Stetzer, District Manager

Community Board 3 Liquor License Application Questionnaire for Administrative Approval

Today's Date: 7/27/2022

APPLICANT

1. Name of applicant and principle(s): Unique Up Inc. President: Xin Hang Cao, Vice President: Xiu Yu Zhu
2. Premise address: 120 1/2 1st Ave. New York, NY 10009
3. Cross streets: 5th Street
4. Trade name (DBA): Unique Omakase
5. Check which you are applying to: ☒ New liquor license ☐ Alteration of an existing license ☐ Sale of assets
6. If alteration, describe nature of alteration: _____
7. Is location currently licensed? ☐ Yes ☒ No
8. Type of license: Tavern Wine
9. Previous or current use of the location: Previous use as a Bar, current use as Japanese restaurant
10. Corporation and trade name of current location: Unique Up Inc. DBA: Unique Omakase
11. Type of building and number of floors: Five floor attached building
12. Does premise have a valid Certificate of Occupancy and all appropriate permits, including for any back or side yard use? ☒ Yes ☐ No will have a letter of no objection 12a. What is the permitted occupancy indoors and outdoors? 16 person indoors
13. Do you plan to apply for Public Assembly permit? ☐ Yes ☒ No
14. What is the zoning designation (check zoning using map: <http://gis.nyc.gov/doitt/nycitymap/> - please give specific zoning designation, such as R8 or C2): R7A C1-5
15. How many licensed establishments are within 1 block? About 6
16. How many On-Premise (OP) liquor licenses are within 500 feet? About 30
17. Is premise within 200 feet of any school or place of worship? ☐ Yes ☒ No

PROPOSED METHOD OF OPERATION

18. Describe your method of operation: Japanese restaurant
19. Will any other business besides food or alcohol service be conducted at premise? ☐ Yes ☒ No
20. If yes, please describe what type: N/A
21. What are the proposed days / hours of operation (specify days / hours each day and hours of outdoor space if applicable): 11am to 11pm Monday to Sunday
22. Total number of table: One Sushi Bar table 23. Total number of seats: 11 seats
24. How many stand-up bars / bar seats are located on the premise? 0

(A stand-up bar is any bar or counter, whether with seating or not, over which a patron can order, pay for, and receive an alcoholic beverage.)

25. Describe all bars (length, shape, and location): Sushi Bar table 3' x 30'
26. Does premise have a full kitchen? ☐ Yes ☒ No , has food prepare area
27. What are the hours kitchen will be open? 10:45pm
28. What type of food is available for sale? Japanese food
29. Will a manager or principal always be on site? ☒ Yes ☐ No If yes, which? Principal will always be on site.
30. How many employees will there be? 6
31. Do you have or plan to install? ☐ French doors ☐ accordion doors ☐ windows None of these
32. Will there be TVs / monitors? ☐ Yes ☒ No If Yes, how many? _____
33. Will premise have music? ☒ Yes ☐ No 33a. If Yes, what type of music? ☐ Live Music ☐ Jukebox
☐ DJ ☒ Tapes / CDs / iPod
34. If other type, please describe: recorded soft background music only.
35. What will be the music volume? ☒ Background (quiet) ☐ Entertainment level
36. Please describe your sound system: N/A
37. Will you host any promoted events, scheduled performances or any event at which a cover fee is charged?
☐ Yes ☒ No
38. If Yes, what type of events or performances are proposed and how often? _____
39. How do you plan to manage vehicular traffic and crowds on the sidewalk caused by your establishment? _____
There is a waiting area inside the restaurant, will encourage patrons come by bus, subway, or walking. Will have stuff to manage the crowds if there is.
40. Will there be security personnel? ☐ Yes ☒ No 40a. If Yes, how many and when? _____
41. How do you plan to manage noise inside and outside your business so neighbors will not be affected? _____
Only play very soft background recorded music inside the restaurant.
42. Do you have sound proofing installed? ☐ Yes ☒ No
43. If not, do you plan to install sound-proofing? ☐ Yes ☒ No

APPLICANT HISTORY

44. Has this corporation or any principal been licensed previously? ☐ Yes ☒ No If yes, please indicate name of establishment(s): _____
45. Address: _____ 45a. Community Board _____
46. Dates of operation: _____
47. Has any principal had work experience similar to the proposed business? ☒ Yes ☐ No If yes, explanation of experience or resume. Please see attached job experience
48. Does any principal have other business in the area? ☐ Yes ☒ No If yes, give trade name and describe type of business: _____
49. Has any principal had SLA reports or action within the past 3 years? ☐ Yes ☒ No If yes, attach list of violations and dates of violations and outcomes.

COMMUNITY OUTREACH

Please see the Community Board website to find block associations or tenant associations in the immediate vicinity of your location for community outreach. Applicants are encouraged to reach out to community groups.



The Jan. 6 panel on Thursday focused on the 187 minutes that Donald J. Trump "chose not to act" as voters swarmed the Capitol.

WITNESSES DETAIL TRUMP'S REFUSAL TO STOP JAN. 6 MOB

Jarring Testimony on Hours of Inaction and 'Pouring Gasoline on the Fire'

By LUKY BROADWATER and MAGGIE HABERMAN

The House committee investigating the Jan. 6 attack laid out evidence on Thursday of how, as a mob of his supporters assaulted the Capitol, former President Donald J. Trump sat in his dining room off the Oval Office, watching the violence on television and choosing to do nothing for hours to stop it even as the lives of law enforcement officers, members of Congress and his own vice president were under threat.

In a final public hearing of the committee and one of the most dramatic of the inquiry, the panel provided a panoramic account, narrated by witness testimony from former aides and advisers, about a president who could not be moved to act until after it was clear that the riot had failed to disrupt Congress's attempt to confirm his election defeat.

They detailed how the entire apparatus of government — the top White House lawyer and other senior White House advisers, law enforcement officers and even his own daughter — sought to respond to the attack, but the commander in chief withstood the effort.

"You're the commander in chief. You've got an assault going on at the Capitol of the United States of America, and you're sitting," Gen. Mark A. Milley, the chairman of the Joint Chiefs of Staff, the nation's highest military officer, testified.

On a warm evening at a public congressional hearing, Mr. Morgan, 77, sat in a folding chair watching his wife, Louise, and 14-year-old daughter, Sophia, take a walk among the capitol grounds.

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To Rally Allies, Ukraine Points To Fresh Gains

By ANDREW E. KRAMER

KYIV, Ukraine — Just weeks ago, Ukraine's military was being promoted extensively to the east, taking heavy casualties as it slowly gave ground to the Russian advance. Western support appeared to be waning, and deep-seated doubts about Ukraine's future as a state of nations, let alone as a state of nations, were swirling.

Through it all, the Ukrainian president, in the words of one of his advisers, "has been a steady force, a steady force, a steady force."

He Built His Home for the Worst. It Happened.

Kentucky Conservative
Wonders if He Made
Himself a Target

By CAMPBELL ROBERTSON
RICHMOND, Ky. — The doorbell rang in the night, waking C. Wesley Morgan. He rolled out of bed and walked into the foyer, looking through the arched glass doorway into the dark. Nobody there. These phantoms had been happening lately, "ghost" sightings, he said. Nobody there. These phantoms had been happening lately, "ghost" sightings, he said.

Minutes later, he awoke to the sound of a siren, then the rattling of gunfire. It was coming from upstairs, where his daughter Jordan was sleeping. Mr. Morgan rushed to the front door, looking out at his driveway, spotting there to see a man in a truck and carrying a rifle.

He had been given the attack on that early February morning — a mental image — he had almost instinctively seen. He was certain that it had to have been a deliberate act of some kind. For more than a decade, he had been fighting about such dangers, convinced that the country was hurtling toward civil war. He put together a defense, building a fortress, building a fortress.

On a warm evening at a public congressional hearing, Mr. Morgan, 77, sat in a folding chair watching his wife, Louise, and 14-year-old daughter, Sophia, take a walk among the capitol grounds.

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ATTENTION RESIDENTS & NEIGHBORS

Unique Up Inc. DBA: Unique Omakase 347-420-6339
Company/DBA Name and Contact Number for Questions

plans to open a
Japanese Restaurant

(Please choose) Bar/Restaurant/Club and indicate if there will be a Sidewalk Café or Backyard Garden

at the following location

120 1/2 1st Avenue, New York, NY 10009

Building Number and Street Name (Address)

This establishment is seeking a license to serve

Wine, Beer, Cider

Beer & Wine or Beer

Xin Hang Cao 347-420-6339

Applicant Contact Information

Contact the Applicant or COMMUNITY BOARD 3
With any questions or concerns.
mn03@cb.nyc.gov - www.cb3manhattan.org

ATTENTION RESIDENTS & NEIGHBORS 第 3 社區居民 請注意

Unique Up Inc. DBA: Unique Omakase, 347-420-6339

公司名字(Company)和/或聯繫人的資料(Contact Info)

Plans to open a (以上的店主要想開第 3 社區申請生意相關牌照擴展生意)

Japanese Restaurant

(請選擇/please choose) 酒吧 (Bar)/餐館 (Restaurant)
戶外咖啡 (Sidewalk Café) 或者
後院花園咖啡 (Backyard Use)

120 1/2 1st Avenue, New York, NY 10009

Address/生意地址

seeking a license to serve (以上的店主要請以下相關牌照)

Wine, Beer, Cider

(請選擇/please choose)

啤酒和酒牌照 (Beer & Wine) 或/或者
啤酒牌照 (Beer) 或/或者
酒和烈酒牌照 (Wine & Liquor)

如有任何疑問或關注, 請聯繫申請者或第三社區委員會
mn03@cb.nyc.gov - www.cb3manhattan.org

NEIGHBORING RESIDENTS VECINOS DE LA COMUNIDAD

Unique Up Inc. DBA: Unique Omakase 347-420-6339
Company Name/Contact Info Nombre de la Compañía/el teléfono de contacto

Plans to open a:

Planifique abrir un/una:

Japanese Restaurant

(Please choose) Bar/Restaurant
sidewalk café/backyard use

(Favor de escoger) una barra/un Restaurant
un café de acera o un patio de atrás

120 1/2 1st Avenue, New York, NY 10009

address

dirección

Seeking a license to serve

En búsqueda de una
licencia para servir:

Wine, Beer, Cider

Beer & Wine or Beer/Wine & Liquor

Cerveza y vino o cerveza/vino y bebidas alcohólicas

At COMMUNITY BOARD 3
SLA & DCA Licensing
Committee Meeting

En la JUNTA COMUNITARIA 3
La reunión del Comité
de Licencias del SLA y del DCA

Si hay preguntas o inquietudes, favor de comuníquese con el solicitante o la Junta Comunitaria 3.
mn03@cb.nyc.gov - www.cb3manhattan.org

Job experience

Xinhang Cao, President of Unique Up Inc. has been a Japanese Sushi Chef since 2007, He worked at Shinn East Corp. located at 119 E. 7th Street, New York before became President Unique Up Inc.

Xiu Yu Zhu, Vice President of Unique Up Inc. has been as a restaurant manager since 2018. She worked at Miko located at 1931 Jericho Turnpike, East Northport, New York before became Vice President of Unique Up Inc.