rev02282020

NEW YORK STATE OF OPPORTUNITY.	State Liquor Authority
1	

OFFICE USE ONLY			
Original	Amended	Date	

49

Standardized <u>NOTICE FORM</u> for Providing <u>30-Day Advance Notice</u> to a <u>Local Municipality or Community Board</u>

1. Date Notice was Sent: 02	2/10/2021	1a. Delivered by:	Electronic Mail	-
2. Select the type of Applicat	tion that will be filed with the Authorit	cy for an On-Premises Alco	pholic Beverage License:	
New Application	Renewal Alteration • Corp	orate Change 🔲 Remo	val 🔲 Class Change 🔲 Method of Operation Change	
For Renewal applican For Alteration applica For Corporate Change For Removal applican For Class Change app	nswer each question below using all ints, answer all questions ants, attach a complete written description applicants, attach a list of the current ts, attach a statement of your current licants, attach a statement detailing your change applicants, although not	ption and diagrams depic at and proposed corporate and proposed addresses our current license type a	ting the proposed alteration(s) e principals with the reason(s) for the relocation	
Please incl	ude all documents as noted abov	e. Failure to do so ma	y result in disapproval of the application.	
This 30-Day Advance Not	tice is Being Provided to the Clerk	of the Following Loca	l Municipality or Community Board:	
3. Name of Municipality or 0	Community Board: Manhattan	Community Board	d No. 3	
Applicant/Licensee Infor	mation:			
4. Licensee Serial Number (i	f applicable): 1141078	Exp	iration Date (if applicable): 09/30/2021	
5. Applicant or Licensee Nar	me: TAISHO, INC.			
6. Trade Name (if any):				
7. Street Address of Establis	hment: 9 St Marks Place			
8. City, Town or Village:	lew York	, N	γ Zip Code: 10003	
9. Business Telephone Num	ber of Applicant/Licensee: $(212) 6$	673-1300		
10. Business E-mail of Applic	cant/Licensee: oyumioyumi@	gmail.com		
11. Type(s) of alcohol sold o	r to be sold:	Wine, Beer & Cide	Liquor, Wine, Beer & Cider	
12. Extent of Food Service:				
Full food menu; full	kitchen run by a chef or cook	lenu meets legal minimur	n food availability requirements; food prep area at minimu	ım
13. Type of Establishment:	Restaurant (full kitchen ar	nd full menu requi	red)	T
14. Method of Operation: (check all that apply)	Live Music (give details i.e., rock	Pancing Exotic Da):	
15. Licensed Outdoor Area: (check all that apply)	□ None □ Patio or Deck □ □ Sidewalk Cafe □ Other (spe		n/Grounds Freestanding Covered Structure	
'				

OFFICE U Original Amended	SE ONLY Date	4
16. List the floor(s) of the building that the establishment is located on:	amont and Caller	
16. List the floor(s) of the building that the establishment is located on:	ement and Cellar	
17. List the room number(s) the establishment is located in within the building	, if appropriate: N/A	
18. Is the premises located within 500 feet of three or more on-premises liquo	r establishments?	
19. Will the license holder or a manager be physically present within the estab	lishment during all hours of operation?	●Yes No
20. If this is a transfer application (an existing licensed business is being purcha	ased) provide the name and serial number of	of the licensee:
N/A Name	Serial Nu	
21. Does the applicant or licensee own the building in which the establishment		■No
Owner of the Building in Which the	Licensed Establishment is Located	
22. Building Owner's Full Name: 9 ST. MARKS PLACE, INC.		
23. Building Owner's Street Address: 12 St Marks Place		
24. City, Town or Village: New York	State: NY	Zip Code: 10003
25. Business Telephone Number of Building Owner: 212-777-1162		
Representative or Attorney Representir Application for a License to Traffic in Alcohol 26. Representative/Attorney's Full Name: Shimpei Kawasaki 27. Representative/Attorney's Street Address: 225 Broadway, Suite	at the Establishment Identified in this	
28. City, Town or Village: New York	State: NY	Zip Code: 10007
29. Business Telephone Number of Representative/Attorney: 917-546-9	J255	
30. Business E-mail Address of Representative/Attorney: shimpei@kav	vasakilaw.com	
I am the applicant or licensee holder or a principal of the Representations in this form are in conformity with represented the Authority when granting the license. I understand the upon, and that false representations may result in disangles by my signature, I affirm - under Penalty of Perjury - 131. Printed Principal Name:	esentations made in submitted docume nat representations made in this form v pproval of the application or revocatio	nts relied upon by will also be relied n of the license.
Principal Signature:		



February 10, 2021

Via Electronic Mail: echan@cb.nyc.gov Manhattan Community Board No. 3 59 East 4th Street New York, NY 10003

RE: SLA CORPORATE CHANGE APPLICATION

REMOVAL OF A PRINCIPAL DUE TO DEATH

APPLICANT: TAISHO, INC.

ADDRESS: 9 ST. MARKS PLACE NEW YORK, NY 10003

SERIAL NUMBER: 1141078

Dear Manhattan Community Board No. 3,

Applicant wishes to file a Corporate Change Application with the New York State Liquor Authority.

Please be advised that Kenji Mizogami, one of the two principals of the Applicant, passed away on December 03, 2020. In anticipation of his death, Kenji Mizogami transferred his shares to Yumiko Umiya on October 15, 2020. Therefore, Applicant wishes to remove Kenji Mizogami from the Applicant's Liquor License (Serial # 1141078) as a principal.

Please see the below chart for the corporate change to be requested.

Current Principal Name(s)	Title	Current Number of Shares
KENJI MIZOGAMI	Shareholder / Vice-President	150/200 Shares (75%)
YUMIKO UMIYA	Shareholder / President	50/200 Shares (25%)
Proposed Principal Name(s)	Title	Proposed Number of Shares
YUMIKO UMIYA	Shareholder / President	200/200 Shares (100%)

If you have any questions, please do not hesitate to contact the undersigned.

Sincerely,

Shimpei Kawasaki

Mumpe Bawasaki

KAWASAKI LAW OFFICE PLLC 165 Broadway, 23rd Floor New York, NY 10006 917-546-9255