

THE CITY OF NEW YORK
 MANHATTAN COMMUNITY BOARD 3
 59 East 4th Street - New York, NY 10003
 Phone (212) 533-5300
 www.cb3manhattan.org - info@cb3manhattan.org

Alysha Lewis-Coleman, Board Chair

Susan Stetzer, District Manager

Community Board 3 Liquor License Stipulations for Administrative Approval

I, Sam Yan, as a qualified representative of Dim Sum Sam Inc,
 located at 59 2nd Avenue, New York, NY agree to the following stipulations:

- I will operate a full-service restaurant, specifically a (type of restaurant) Chinese Dim Sum Restaurant
 Kitchen open and serving food every night during all hours of operation.
- My hours of operation will be:
 Mon 11:00am - 12:00am; Tue 11:00am - 12:00am; Wed 11:00am - 12:00am
 Thu 11:00am - 12:00am; Fri 11:00am - 12:00am; Sat 11:00am - 12:00am; Sun 11:00am - 12:00am

(I understand opening is no later than specified opening hour & all patrons are to be cleared from business at specified closing hour)

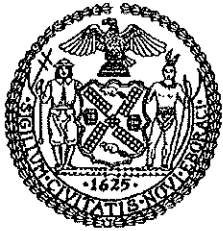
- I will not use outdoor space for commercial use.
- I will operate my sidewalk café no later than _____
- I will employ a doorman/security personnel on the following days: _____
- I will install soundproofing, _____
- I will close any front or rear façade doors and windows at 10:00 P.M. every night or when amplified sound is playing, including but not limited to DJs, live music and live nonmusical performances. I will have a closed fixed façade with no open doors or windows except my entrance door will close by 10:00 P.M. or when amplified sound is playing, including but not limited to DJs, live music and live nonmusical performances.
- I will not have DJs, live music, promoted events, any event at which a cover fee is charged, scheduled performances, more than _____ DJs/ promoted events per _____, more than _____ private parties per _____
- I will play ambient recorded background music only.
- I will not apply for an alteration to the method of operation or for any physical alterations of any nature without first coming before CB 3.
- I will not seek a change in class to a full on-premise liquor license without first obtaining approval from CB 3.
- I will not participate in pub crawls or have party buses come to my establishment.
- I will not have unlimited drink specials, including boozy brunches, with food.
- I will not have a happy hour or drink specials with or without time limitations OR I will have happy hour and it will end by _____.
- I will not have wait lines outside. I will have a staff person responsible for ensuring no loitering, noise or crowds outside.
- I will conspicuously post this stipulation form beside my liquor license inside of my business.
- Residents may contact the manager/owner at the number below. Any complaints will be addressed immediately. I will revisit the above-stated method of operation if necessary in order to minimize my establishment's impact on my neighbors.

Name: Sam Yan Phone Number: 917-691-3882
 18. I will: _____

I hereby certify that the information provided above is truthful and accurate based upon my personal belief.

Signed Sam Yan Dated 11/21/2018
 Sworn to this 21st day of November 2018

Teresa
 TERESE M. HANCOCK
 NOTARY PUBLIC - STATE OF NEW YORK
 No. 01ZH6289316
 Qualified in New York County
 My Commission Expires September 23, 2021



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Community Board 3 Liquor License Application Questionnaire

Today's Date:

APPLICANT

1. Name of applicant and principle(s): Dim Sum Sam Inc. / Sam Yan
2. Premise address: 59 2nd Ave., New York, NY 10003
3. Cross streets: E 3rd St. & E 4th St.
4. Trade name (DBA): Dim Sum
5. Check which you are applying to: New liquor licence Alteration of an existing license Sale of assets
6. If alteration, describe nature of alteration: _____
7. Is location currently licensed? Yes No
8. Type of license: Restaurant Wine License
9. Previous or current use of the location: Restaurant
10. Corporation and trade name of current location: Dim Sum Sam Inc.
11. Type of building and number of floors: Mixed use with 9 floors
12. Does premise have a valid Certificate of Occupancy and all appropriate permits, including for any back or side yard use? Yes No 12a. What is the permitted occupancy indoors and outdoors? Restaurant
13. Do you plan to apply for Public Assembly permit? Yes No
14. What is the zoning designation (check zoning using map: <http://gis.nyc.gov/doitt/nycitymap/> - please give specific zoning designation, such as R8 or C2): R7A
15. How many licensed establishments are within 1 block? 12
16. How many On-Premise (OP) liquor licenses are within 500 feet? 19
17. Is premise within 200 feet of any school or place of worship? Yes No

PROPOSED METHOD OF OPERATION

18. Describe your method of operation: Restaurant with full kitchen & menu
19. Will any other business besides food or alcohol service be conducted at premise? Yes No
20. If yes, please describe what type: _____
21. What are the proposed days/hours of operation (specify days/hours each day and hours of outdoor space if applicable):
11:00am - 12:00am
22. Total number of table: 13
23. Total number of seats: 62

24. How many stand-up bars/ bar seats are located on the premise? N/A (A stand up bar is any bar or counter, whether with seating or not, over which a patron can order, pay for, and receive an alcoholic beverage.)
25. Describe all bars (length, shape, and location): _____
26. Does premise have a full kitchen? Yes No
27. What are the hours kitchen will be open? 11:00am - 12:00am Everyday
28. What type of food is available for sale? Dim Sum, Chinese foods
29. Will a manager or principal always be on site? Yes No If yes, which? Sam Yan
30. How many employees will there be? 10
31. Do you have or plan to install French doors accordion doors or windows?
32. Will there be TVs/monitors? Yes No (If Yes, how many?) One
33. Will premise have music? Yes No 33a. If Yes, what type of music? Live Music Juke box
 DJ Tapes/CDs/iPod
34. If other type, please describe: _____
35. What will be the music volume? Background (quiet) Entertainment level
36. Please describe your sound system: Two small speakers only
37. Will you host any promoted events, scheduled performances or any event at which a cover fee is charged? Yes No
38. If Yes, what type of events or performances are proposed and how often? _____
39. How do you plan to manage vehicular traffic and crowds on the sidewalk caused by your establishment? _____
40. Will there be security personnel? Yes No 40a. If Yes, how many and when? _____
41. How do you plan to manage noise inside and outside your business so neighbors will not be affected? Door keeps closed
42. Do you have sound proofing installed? Yes No 43. If not, do you plan to install sound-proofing? Yes No

APPLICANT HISTORY

44. Has this corporation or any principal been licensed previously? Yes No If yes, please indicate name of establishment(s): Dim Sum Palace Inc.
45. Address: 334 W 46th St., New York, NY 10036 47. Community Board # 4
46. Dates of operation: February, 2015
47. Has any principal had work experience similar to the proposed business? Yes No If yes, explanation of experience or resume.
48. Does any principal have other businesses in this area? Yes No If yes, give trade name and describe type of business: _____
49. Has any principal had SLA reports or action within the past 3 years? Yes No If yes, attach list of violations and dates of violations and outcomes.

COMMUNITY OUTREACH

Please see the Community Board website to find block associations or tenant associations in the immediate vicinity of your location for community outreach. Applicants are encouraged to reach out to community groups.

ATTENTION RESIDENTS & NEIGHBORS

Dim Sum Sam Inc.

Company/DBA Name and Contact Number for Questions

plans to open a

Restaurant

(Please choose) Bar/Restaurant/Club and indicate if there will be a Sidewalk Café or Backyard Garden

at the following location

59 2nd Ave., New York, NY 10003

Building Number and Street Name (Address)

This establishment is seeking a license to serve

Beer & Wine

Beer & Wine or Beer

Sam Yan 917-691-3882

Applicant Contact Information

**Contact the Applicant or COMMUNITY BOARD 3
With any questions or concerns.
info@cb3manhattan.org - www.cb3manhattan.org**

ATTENTION RESIDENTS & NEIGHBORS

第 3 社區居民 請注意

Dim Sum Sam Inc

公司名字(Company) and/和 聯繫人的資料 (Contact Info)

Plans to open a (以上的店主想要在第 3 社區申請生意相關牌照擴展生意)

Restaurant

(請選擇/please choose)

酒吧 (Bar)/餐館 (Restaurant)

戶外咖啡 (Sidewalk Café) or 或者

後院花園咖啡 (Backyard Use)

59 2nd Ave., New York, NY 10003.

Address/生意地址

seeking a license to serve (以上的店主想要請以下相關酒牌照)

Beer & Wine

(請選擇/please choose)

啤酒和酒牌照 (Beer & Wine) or/或者

啤酒牌照 (Beer) or/或者

酒和烈酒牌照 (Wine & Liquor)

如有任何疑問或關注, 請聯繫申請者或第三社區委員會
info@cb3manhattan.org - www.cb3manhattan.org