Return Completed Package to: NYC Dept. of Housing Preservation and Development Division of Tenant Resources (DTR) Project-Based Programs Unit 100 Gold Street, Room 4N New York, NY 10038



Office of Housing Operations DIVISION OF TENANT RESOURCES

RENTAL SUBSIDY RECERTIFICATION PACKAGE

(For: Housing Choice Voucher, Project Based Voucher, Shelter Plus Care, and SRO MOD Rehab) Case Manager's Name

Head of Household Name:

Address:

Dear Rental Subsidy Participant:

Complete Package Due On or Before (late packages may result in termination):

As a participant in the New York City Department of Housing Preservation and Development's (HPD) Rental Subsidy program, federal regulations require that you recertify annually in order to continue to receive assistance. Please:

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- I		
_		1

Read and complete the top of each page in this package.

- Complete the "Rental Subsidy Participant Household Summary."
- □ Have all household members 18 years or older sign:
 - "Authorization for Release of Information" 0
 - "Debts Owed to Public Housing Agencies and 0
 - Terminations" (Form 10)
- Complete all forms that apply to your household (Forms 2-10)
- Read and complete the recertification checklist to make sure you have included all required documents
- Read, sign, and date the certification statement below.
- Make a copy of this package for your records. If you need additional copies of any forms, please make copies or obtain copies to print out at the following web address: https://www.nyc.gov/hpd/dtr-forms.
- Submit the recertification package to HPD:
 - Online through HPD's secure document upload portal: https://a806-asap.nyc.gov/HPDPortal/ 0
 - By encrypted email to DTRAI@hpd.nyc.gov 0
 - By fax to 212.863.5299 0

If you have a disability and need a reasonable accommodation, you can request an accommodation by going to our website https://www.nyc.gov/hpd/dtr-forms and submitting a form or by calling 917-286-4300.

Did Someone Other than an HPD Employee	Need help?
Help You Complete This Recertification Package? Name	Call HPD at 917-286-4300. For more information about HPD's HCV Section 8
Relationship to You Phone # Address Email	policies please refer to HPD's Administrative Plan at <i>http://www.nyc.gov/</i> <i>html/hpd/html/tenants/section_8.shtml.</i>

Certification Statement -- Head of Household Please Read, Sign, and Date Below

I have read the enclosed HPD Rental Subsidy annual recertification forms and instructions. I have completed my recertification with the most current information on my household's income, assets and family composition. I understand that providing false statements to a government agency is punishable under federal law and may result in the termination of my participation in the Rental Subsidy program. I further understand that HPD will verify my income information with a third party, such as the Enterprise Income Verification database.

Head of Household Signature (Required)

Today's Date (Required)





RENTAL SUBSIDY PARTICIPATING HOUSEHOLD SUMMARY

Do you need assistance in any other language besides English? □Yes □ No If Yes, list the language: ______

Please enter all the requested information below. Please provide the supporting documentation described in each section.

THIS FORM MUST BE COMPLETED BY THE HEAD OF HOUSEHOLD.

The Head of Household is responsible for all information reported on behalf of household members.

Social Security Number	Last Name	e		First Name	Daytime Phone Number(s)
Address	Apartment	City	State	Zip Code	Email Address

FAMILY MEMBERS

Household composition must be verified at every recertification and throughout the year if it changes. Please list all household members below and enter the requested information. If there are any changes in the household from the last annual recertification, please provide HPD with supporting documentation.

If any household member has moved or passed away, please complete the "Request to Remove Household Member" (Form 3). If you would like to request to add a household member, please fill out the "Request to Add Household Member" (Form 2).

		(,			
FULL NAME	FULL-TIME STUDENT	SOCIAL SECURITY NUMBER	AGE	DATE OF BIRTH	CITIZENSHIP	IS THIS PERSON DISABLED?
	□ Yes □ No					
	□ Yes □ No					
	□ Yes □ No					
	□ Yes □ No					
	□ Yes □ No					
					1	





Division of Tenant Resources

HEAD OF HOUSEHOLD NAME

SOCIAL SECURITY NUMBER (last 4 digits)

HOUSEHOLD INCOME INFORMATION

All income for every household member must be reported and verified at every recertification. Please enter all household income below and provide HPD with supporting documentation (such as pay stubs) for all income, **including income from employment**, **pensions, government benefits, child support**, and all types of income listed in the "What is Income?" form. If income is from employment, complete the "Verification of Wages" (Form 4).

FULL NAME	INCOME DESCRIPTION	FREQUENCY (e.g. weekly, monthly)	AMOUNT	ANNUAL INCOME (if knownif not leave blank)

HOUSEHOLD BANK ACCOUNTS, ONLINE FINANCIAL ACCOUNTS AND OTHER ASSETS (OTHER THAN REAL ESTATE PROPOERTY)

All assets in the household must be reported at every recertification. Please enter all assets for all household members, along with the supporting documentation as listed in "Verification of Assets" (Form 5).

If you no longer have an asset that was previously reported to HPD please provide documentation, such as a closing statement or a letter of transfer from your bank. If you leave this section entirely blank, you will be declaring to HPD that your household has no assets.

For a list of different asset sources see the "What is an Asset?" form below.

Description of Account or Asset Type / Account Number (if Any)	AMOUNT	ANTICIPATED INCOME (e.g., interest)





REAL ESTATE PROPERTY

Households must report the ownership of any real estate property at every recertification. <u>Please list</u> all properties to which a family has ownership interest and complete the Real Estate Declaration form which can be found at (<u>https://www.nyc.gov/site/hpd/services-and-information/section-8.page</u>).

Is any property a Cooperative (Co-op)?	Yes	No
Do you collect rent from this property?	Yes	No
Is any of the property you own your primary residence?	Yes	No

NAME(S) OF PROPERTY OWNER(S)	ADDRESS OF PROPERTY	PROPERTY VALUE	ANTICIPATED INCOME (e.g. rental income)

IF YOU HAVE SOLD OR DISCARDED PROPERTY SINCE ADMISSION TO THE PROGRAM OR YOUR LAST CERTIFICATION, COMPLETE THE SECTION BELOW: INCOME FROM SALE

NAME(S) OF PROPERTY OWNER(S)	PROPERTY ADDRESS	SALE PRICE	PROFIT EARNED







SOCIAL SECURITY NUMBER (last 4 digits)

MEDICAL EXPENSES

If the Head of Household or spouse is 62 years of age or older or has a documented disability, you may declare current and expected un-reimbursed periodic and one-time medical expenses since your last annual recertification by filling out "Declaration of Un-reimbursed Medical and Pharmacy Expenses" (Form 6) and providing supporting documentation including proof of payment. Please fill out this form even if your expenses have not changed. Please list below any qualified medical expenses and provide HPD with supporting documentation.

FULL NAME OF FAMILY MEMBER	DESCRIPTION OF EXPENSES	ANNUAL AMOUNT

DISABILITY EXPENSES

If you or a household member has a documented disability, you may declare current and expected periodic un-reimbursed and one-time disability expenses since vour last annual recertification by filing out the "Declaration of Un-reimbursed Disability Expenses" (Form 7). Please fill out this form even if your expenses have not changed. Please list below any qualified disability expenses and provide HPD with supporting documentation.

FULL NAME OF FAMILY MEMBER	ANNUAL AMOUNT





SOCIAL SECURITY NUMBER (last 4 digits)

CHILDCARE EXPENSES

If you have current and expected un-reimbursed periodic or one-time childcare expenses since your last annual recertification, please refer to "Verification of Childcare Expenses" on (Form 9) to see if you qualify for this deduction. Please fill out this form even if your expenses have not changed. Please list below any qualified childcare and provide HPD with supporting documentation.

CHILD'S FULL NAME	ANNUAL AMOUNT

UTILITY ALLOWANCE

Utility allowance must be verified at every recertification. If any of the information below is incorrect, please provide HPD with a utility bill.				
UTILITY	PAID BY OWNER (yes or no)	PAID BY TENANT (yes or no)		
Gas				
Electric				
Heat and hot water				

Has any adult household member been registered as a lifetime sex offender since Rental Subsidy Housing assistance at HPD began? Yes No

If Yes, it is required that you list the name of the household member: _____



Use this form for reexaminations effective on or after January 1, 2024. Use form HUD-9886 for reexaminations effective prior to January 1, 2024.

Authorization for the Release of Information/Privacy Act Notice to the U.S. Department of Housing and Urban **Development and the Housing Agency/Authority (HA)**

U.S. Department of Housing and Urban Development, Office of Public and Indian Housing

PHA or IHA requesting release of information (full address, name of contact person, and date):

New York City Department of Housing Preservation and Development

Division of Tenant and Owner Resources Representative

Date:

Authority: Section 904 of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988, as amended by Section 903 of the Housing and Community Development Act of 1992 and Section 3003 of the Omnibus Budget Reconciliation Act of 1993. This law is found at 42 U.S.C. 3544. This law requires you to sign a consent form authorizing: (1) HUD, and the Housing Agency/Authority (HA) to request verification of salary and wages from current or previous employers; (2) HUD and the HA to request wage and unemployment compensation claim information from the state agency responsible for keeping that information; and (3) HUD to request certain tax return information from the U.S. Social Security Administration and the U.S. Internal Revenue Service.

Section 104 of the Housing Opportunity and Modernization Act of 2016. The relevant provisions are found at 42 U.S.C. 1437n . This law requires you to sign a consent form authorizing the HA to request verification of any financial record from any financial institutions as defined in the Right to Financial Privacy Act (12 U.S.C. 3401)), whenever the HA determines the record is needed to determine an applicant's or participant's eligibility for assistance or level of benefits.

Purpose: In signing this consent form, you are authorizing HUD and the above-named HA to request income information from the sources listed on the form. HUD and the HA need this information to verify your household's income, in order to ensure that you are eligible for assisted housing benefits and that these benefits are set at the correct level. HUD and the HA may participate in computer matching programs with these sources in order to verify your eligibility and level of benefits.

Uses of Information to be Obtained: HUD is required to protect the income information it obtains in accordance with the Privacy Act of 1974, 5 U.S.C. 552a. HUD may disclose information (other than tax return information) for certain routine uses, such as to other government agencies for law enforcement purposes, to Federal agencies for employment suitability purposes and to HAs for the purpose of determining housing assistance. The HA is also required to protect the income information it obtains in accordance with any applicable State privacy law. HUD and HA employees may be subject to penalties for unauthorized disclosures or improper uses of the income information that is obtained based on the consent form. Private owners may not request or receive information authorized by this form.

Who Must Sign the Consent Form: Each member of your family who is 18 years of age or older must sign the consent form. Additional signatures must be obtained from new adult members joining the family or whenever members of the family become 18 years of age.

Persons who apply for or receive assistance under the following programs are required to sign this consent form:

Public Housing Housing Choice Voucher Section 8 Moderate Rehabilitation

Failure to Sign Consent Form: Your failure to sign the consent form may result in the denial of eligibility or termination of assisted housing benefits, or both. Denial of eligibility or termination of benefits is subject to the HA's grievance procedures and Section 8 informal hearing procedures.

Revocation of consent: If you revoke consent, the PHA will be unable to verify your information, although the data matches between HUD and other agencies will continue to automatically occur in the Enterprise Income Verification (EIV) System if the family is not terminated from the program.

Sources of Information to be Obtained

State Wage Information Collection Agencies. (This consent is limited to wages and unemployment compensation I have received when I have received assisted housing benefits.)

U.S. Social Security Administration (HUD only) (This consent is limited to the wage and self-employment information and payments of retirement income as referenced at Section 6103(1)(7)(A) of the Internal Revenue Code.)

U.S. Internal Revenue Service (HUD only) (This consent is limited to unearned income [i.e., interest and dividends].)

Information may also be obtained directly from: (a) current and former employers concerning salary and wages; and (b) financial institutions as defined in the Right to Financial Privacy Act (12 U.S.C. 3401), whenever the HA determines the record is needed to determine an applicant's or participant's eligibility for assistance or level of benefits. I understand that income information obtained from these sources will be used to verify information that I provide in determining eligibility for assisted housing programs and the level of benefits. Therefore, this consent form only authorizes release directly from employers and financial institutions of information.

Consent: I consent to allow HUD or the HA to request and obtain income information from the sources listed on this form for the purpose of verifying my eligibility and level of benefits under HUD's assisted housing programs. I understand that HAs that receive income information under this consent form cannot use it to deny, reduce or terminate assistance without first independently verifying what the amount was, whether I actually had access to the funds and when the funds were received. In addition, I must be given an opportunity to contest those determinations.

This consent form remains effective until the earliest of (i) the rendering of a final adverse decision for an assistance applicant; (ii) the cessation of a participant's eligibility for assistance from HUD and the PHA; or (iii) The express revocation by the assistance applicant or recipient (or applicable family member) of the authorization, in a written notification to HUD or the PHA.

Signatures:

Head of Household	Date		
Social Security Number (if any) of Head of Household		Other Family Member over age 18	Date
Spouse	Date	Other Family Member over age 18	Date
Other Family Member over age 18	Date	Other Family Member over age 18	Date
Other Family Member over age 18	Date	Other Family Member over age 18	Date

Privacy Advisory. Authority: The Department of Housing and Urban Development (HUD) is authorized to collect this information by the U.S. Housing Act of 1937 (42 U.S.C. 1437 et. seq.), Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), and by the Fair Housing Act (42 U.S.C. 3601-19). Purpose: This form authorizes HUD and the above-named HA to request income information to verify your household's income in order to ensure that you are eligible for assisted housing benefits and that these benefits are set at the correct level. Failure to provide any of the requested information may result in a delay or rejection of your eligibility approval.

Penalties for Misusing this Consent: HUD and the HA (or any employee of HUD or the HA) may be subject to penalties for unauthorized disclosures or improper uses of information collected based on the consent form. Use of the information collected based on the form HUD 9886 is restricted to the purposes cited on the form HUD 9886. Any person who knowingly or willfully requests, obtains, or discloses any information under false pretenses concerning an applicant or participant may be subject to a misdemeanor and fined not more than \$5,000. Any applicant or participant affected by negligent disclosure of information may bring civil action for damages, and seek other relief, as may be appropriate, against the officer or employee of HUD or the HA for the unauthorized disclosure or improper use.

OMB Burden Statement. The public reporting burden for this information collection is estimated to be 0.16 hours for new admissions and .08 hours for household members turning 19, including the time for reviewing, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Collection of information income and assets is required for program eligibility determination purposes. The submission of the consent form is necessary (form-HUD 9886) so that PHAs can carry out the requirements of Section 904 of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988, as amended by Section 903 of the Housing and Community Development Act of 1992 and Section 3003 of the Omnibus Budget Reconciliation Act of 1993 (42 U.S.C. 3544) and Section 104 of HOTMA to ensure that HUD and PHAs can verify eligibility and income information for applicants and participants. This information collection is protected from disclosure by the Privacy Act. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions to reduce this burden, to the Office of Public and Indian Housing, US. Department of Housing and Urban Development, Washington, DC 20410. When providing comments, please refer to OMB Approval No. 2577-0295. HUD may not conduct and sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

SOCIAL SECURITY NUMBER (last 4 digits)

FORM 2. REQUEST TO ADD A HOUSEHOLD MEMBER

Complete this form to declare any additions to your household by birth, adoption, marriage, or domestic partnership or if you would like to request that any other individuals be added to your household. All proposed additions to household age18 and over will be screened for criminal background and sex offender registration. TO BE COMPLETED AND SIGNED BY THE HEAD OF HOUSEHOLD AND

PROPOSED MEMBER

Have you completed this form?				
Yes	Not Applicable			

Required for all proposed household members 18 years of age and older:

A photo ID, Social Security card, birth certificate, and proof of most recent address.

A signed "Authorization for the Release of Information" (Form 1).

Documentation of any income received by the proposed household member required for

all proposed household members under 18 years of age.

Custody documents or a letter from a social service provider stating the child permanently resides with the head of household.

A copy of Social Security card and birth certificate.

Proof of child support payments (if the new household member receives child support).

LAST NAME	FIRST NAME	RELATIONSHIP TO HEAD OF HOUSEHOLD	SOCIAL SECURITY NUMBER
// BIRTH DATE	Sex: 🗆 Male 🗆 Female 🛛 Are you disab	led? □Yes □No Are you	a student? 🗆 Yes 🗆 No
	oyed Yes No. If "Yes", please income must be documented. Please s	-	- · · · ·
Declaration of	Citizenship Status 🛛 Citizen 🖪	Non-citizen with Eligible Imm	nigration Status Non-citizen
who chooses n	ot to declare Eligible Immigration State	us	
Immigration S (Form I-551), Authorization (us is "Citizen" you must pro tatus," you must include one of 2) Arrival Departure Record (I-94), Card (I-688B). If "non-citizen who number of eligible citizens and legal res	the following documents 3) Temporary Resident chooses not to declare	s: 1) Alien Registration Card Card (I688), or Employment
	the above information is accurate a gency is punishable under federal law		
	SIGNATURE OF PROPOSED HOUSEHOLD MEN OR GUARDIAN (IF UNDER 18)	IBER C	DATE
	SIGNATURE OF HEAD OF HOUSEHOLD	I	DATE





FORM 3. REQUEST TO REMOVE A HOUSEHOLD MEMBER

To request that any individual be removed from the Section 8 household composition, please complete this form and provide documentation of the departing member's new address (example: copy of the departed/departing member's new lease or utility bill). If household member has died, please provide date of death or a copy of the death certificate.		Have you completed this form?	
		Not Applicable	
TO BE COMPLETED BY HEAD OF HOUSEHOLD			

Name of person to remove from Section 8 household composition:

Last Name

First Name

Social Security Number

I have included the following to remove the above family member from my household:

□ Lease or utility bill from the departing/departed household member's new address*, OR

□ Copy of the death certificate, OR

Date of Death _____ (HPD will verify with the Social Security Administration)

*If a copy of the lease or bill is not available, please explain why:

Head of Household Must Sign and Date Below

I, the Head of Household, certify that the information given to HPD on the date of _______ is accurate and complete to the best of my knowledge and belief. I understand that false statements or information are punishable by federal law and may result in the termination of my housing assistance. I further understand that HPD may verify my income or other relevant information with a third party, such as the Enterprise Income Verification (EIV) database.

SIGNATURE OF HEAD OF HOUSEHOLD

DATE





What is Income?			
The Head of Household must complete the form on the following page for each member of the household who receives any income and provide documentation. Please first review the definitions and examples of income		u completed 6 form?	
listed below and see if they apply to any member of the household. TO BE COMPLETED AND SIGNED BY HEAD OF HOUSEHOLD.	Yes	Not Applicable	

INCOME SOURCES

- Employment: Please indicate the amount you or a household member receives from employment. Provide two recent consecutive paystubs or a verification letter on letter head from your employer stating amount and frequency of pay. You may also have "Form 4. Verification of Wages" completed by your employer.
- Social Security /SSI: Please indicate the amount you or a household member currently receives. HPD will verify this information using HUD's Enterprise Income Verification (EIV) database.
- Public Assistance: Provide a verification letter which states the amount of benefits paid.
- Child Support / Alimony: Provide official documentation or a letter from any parent(s) of minors living in the assisted household without one or both parents, showing the frequency and amount of child support and/or alimony payments. Provide an official document or copy of any legal separation documents. If there is no legal document, provide a letter from the person providing child support stating the amount and frequency of child support provided if any. A child will only be considered part of the household if he or she resides in the household at least 183 days (over 6 months / 50%) of the year.
- Disability: Provide official documentation of frequency and amount of disability payments.
- Unemployment /Worker's Compensation: Provide official documentation of the frequency and amount of unemployment and/or worker's compensation payments.
- Pension / Retirement: This category includes IRA distributions that must be reported. Provide official documentation of the frequency and amount of pension/ retirement income.
- Veteran's Pay: Provide official documentation of the frequency and amount of income from Veteran's Pay
- Financial Aid/ Scholarship: Provide official documentation from the source of the educational financial aid/ scholarship with the amount and frequency of money received.
- Real Estate: Please provide documentation of any income you receive from owning real property (e.g., rental income, income earned from the sale of property, etc.)
- Self-employment / Seasonal employment: If you are self-employed, a seasonal employee, a freelance worker (like delivery or taxi driver using a ride-share mobile phone application), or have had more than one employer in the past 12 months, provide a complete signed copy of your most recent tax return.
- Other Types of Support: If a household member receives any regular income from organizations or persons (including relatives and friends) not residing in your home, provide documentation of such support. For example, a signed statement from the person or agency providing the income, verifying the amount and frequency.

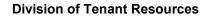




SOCIAL SECURITY NUMBER (last 4 digits)

FORM 4. VERIFICATION OF WAGES

The purpose of this form is to verify the wages of each household member. Each employed household member <u>must</u> complete this form if the below are not available:						Have you completed this form?	
•	Two (2) recent consecutive p	bay stubs stating	gross wages				
•	Letter from employer stating	gross wages an	d work hours				
	SECTION A TO BE COMPLE SECTION B TO BE COMPLE		OYED HOUSEHOLD MEMBEI O AND SIGNED BY CURREN		Yes	Not Applicable	
SE	ECTION A (to be completed b	oy EMPLOYEE)					
Na	ame of Employee:		Social Security Nurr	ber:			
SE	ECTION B (to be completed	by EMPLOYER)				
Сс	ompany:	Ad	dress:			····	
En	nployer Representative:	Title	:Telepho	ne: _			
	Type of Income	Amount	Pay Frequency (Hourly, Bi-weekly, Monthly, Annual)Write in Below	Ave		s worked per pay eriod	
	Current gross wages:	\$					
Ī	Gross wages in the past 12 months	\$					
	Overtime:	\$					
	Bonus, commission, or tips:	\$					
Ī	Armed Forces wages for exposure to hostile fire:	\$					
-	Future gross wages: (If wages are expected to increase, please indicate date effective:	\$					
lf v	wages for employee are seaso	nal, sporadic, or	cannot be accurately captured	d in th	ne above	chart, please	
ex	plain:						
Or	Driginal hire or rehire date: Date of termination:						
С	OMPANY STAMP/SEAL IS	MANDATORY					
	certify that the above information overnment agency is punishable			ıg fal	se state	ments to a	
	SIGNATURE OF OFFICIAL DATE						





SOCIAL SECURITY NUMBER (Last 4 digits)

WHAT IS AN ASSET?

An asset is something you own that you can convert into cash, such as bank accounts, real estate, stocks and bonds. If you or any household member, including children, owns any of the types of assets listed below, you must declare them in the Rental Subsidy Participating Household Summary page of this recertification package. Additionally, you must submit documents verifying the value of the asset(s) and income earned from the asset if applicable. Failure to report assets may result in termination or denial of subsidy. Below is a list of different types of assets and their descriptions.

TO BE REVIEWED BY HEAD OF HOUSEHOLD

ASSET SOURCES

- Bank Accounts: Any account that is open with any balance at any bank whether individually or jointly owned. Examples are checking, saving, money market accounts, and certificates of deposit. Provide a verification letter on letterhead from your financial institution, provide the most recent bank statement, or have a Form 5. Verification of Assets form completed by the financial institution.
- Online Financial Accounts: Any account that is online, that is not a bank, and where you deposit cash. These can be online money transfer accounts or online wallets where you keep cash.
- Investment Accounts: Examples of investments accounts are stocks and bonds. Provide official documentation stating the value and any dividends earned on the account or the most recent statement from the financial institution.
- Equity in Real Estate Property: Equity in real property is the estimated market value of any property owned less the unpaid balance on loans secured by the asset. Provide property tax statements and mortgage statements if applicable.
- Retirement Savings Accounts: A formal account that enables you to set aside money to be spent after retirement. Examples of this type of account include, IRA, Keogh and 401K plans. Provide official documentation stating the value and any dividends earned on the account or the most recent statement from the financial institution.
- Company Retirement or Pension Accounts: (If any member of the family has access) Provide official documentation of frequency and amount of payments.
- Lump sum payment: A one time payment that is retained and can be verified. Examples of lump sum payments are Inheritances, insurance payments, or settlements. Provide official documentation of the value of the payment and any interest income earned.
- Personal property held as investment: Any object or collection of value that can be converted into cash. Examples of this include coin collection, recreational vehicles, jewelry etc. Provide official documentation of the value of the property and any outstanding debt.
- Additional examples of assets: Examples of additional types of assets include cash value of life insurance, cash value of trusts, Annuities, S corporations, partnerships and time shares. Documentation verifying the value of these assets must be provided.

THE FOLLOWING ARE NOT ASSETS: DO NOT add Electronic Benefits Transfer (EBT) accounts, or similar accounts used for receiving government benefits (such as SNAP and WIC). These are not assets.



Division of Tenant Resources



HEAD OF HOUSEHOLD NAME

SOCIAL SECURITY NUMBER (last 4 diaits)

FORM 5. VERIFICATION OF BANK ACCOUNTS AND OTHER ASSETS

The purpose of this form is to provide third party verification of each household member's assets. Assets are items of value that may be turned into cash and may Have you completed include savings accounts, checking accounts, IRA accounts, Certificates of Deposit this form? (CDs), stocks/bonds, and online financial accounts. Each household member must provide bank statements or other evidence of assets provided by If these statements are not available, each member the financial institution. must have their financial institution complete this form. • If an asset holder has unverified assets from more than one financial institution, a separate form should be used for each financial institution.

 If assets reported on this form are joint assets, one form may be submitted for the joint asset holders.

SECTION A TO BE COMPLETED BY HEAD OF HOUSEHOLD, AND RETURNED IF NO MEMBER OF THE HOUSEHOLD HAS ASSETS

SECTION B TO BE COMPLETED, STAMPED AND SIGNED BY FINANCIAL **INSTITUTION FOR EVERY MEMBER OF THE HOUSEHOLD WITH ASSETS**

Not Applicable-(Complete Yes Section A)

SECTION A (To be completed and returned by Head of Household, if applicable) I certify that no one in my household has a savings account, checking account, IRA, CD or stocks/bonds.

Signature (Head of Household):

Date:

SECTION B (To be completed by financial institution for each household member with assets)

Name of

Social Asset Holder Security Number

Type of Asset (savings, checking	Account Number	Current Balance	Early Withdrawal Fees or Penalties	Current Rate of Interest/ Number of Shares		
or retirement account, stocks, CDs, etc.)			(if any)		Yes/ No	Joint Asset Holder's Name

Financial Institution:	Address	8		
Representative:	Title:	Telephone:		
COMPANY STAMP/SEAL IS MA	ANDATORY			

I certify that the above information is true and correct as of (date). I understand that providing false statements to a government agency is punishable under federal law.

SIGNATURE OF OFFICIAL

DATE





SOCIAL SECURITY NUMBER (last 4 digits)

□ Yes

Yes

□ Yes

🗆 No

🗆 No

FORM 6. DECLARATION OF UN-REIMBURSED MEDICAL & PHARMACY EXPENSES

If the Head of Household, co-head, or spouse is disabled, and/or 62 years of age or older and has <u>un-reimbursed</u> (not already paid for by	Have you completed this form?	
someone other than yourself) medical or pharmacy expenses, please complete this form for each household member with medical or pharmacy expenses. You must submit verification of all un-reimbursed medical and pharmacy expenses incurred during the last 12 months if they are expected to be an expense in the upcoming year. This includes copies of cancelled checks, receipts, or statements from an insurance company. Please submit a pharmacy printout for any un-reimbursed prescription payments you have made in the past 12 months. TO BE COMPLETED AND SIGNED BY THE HEAD OF HOUSEHOLD	Yes	Not Applicable
s Your Household Eligible for a Medical Expense Deduction?		

- 1. Do you have any un-reimbursed pharmacy expenses?
- 2. Do you pay a Medicare premium or pay for medical insurance?
- 3. Is any family member currently paying off past medical bills?
- 4. Is there an anticipated medical expense during the next 12 months?

If you answered yes to any of the questions above, please complete the box below:

Name of Household Member	Eligible Expense (pharmacy, insurance premiums, dental, hearing aid, eyeglasses, medical equipment)	Amount Due, Paid in the Past, or Expected in the Next 12 Months* (Submit proof of payment or invoice)	Expense Date or Payment Frequency (monthly, annually, etc.)	Name and Phone Number of Institution Providing Service

*If copies of cancelled checks, receipts, or statements from an insurance company are not available, you may submit a statement from your doctor, pharmacist, or other medical-related service provider specifying the nature and amount of expenses expected in the next 12 months. Only the portion of the total medical expense that exceeds 3% of the household annual income is an allowable deduction.

I certify that the above information is accurate and understand that providing false statements to a government agency is punishable under federal law and may result in loss of subsidy.

SIGNATURE OF HEAD OF HOUSEHOLD





SOCIAL SECURITY NUMBER (last 4 digits)

Yes

□ Yes □ No

Have you

completed

this form?

Not

Applicable

FORM 7. DECLARATION OF UN-REIMBURSED DISABILITY EXPENSES

If your family has an un-reimbursed expense for attendant care (home health aid for a disabled adult or baby-sitter for a disabled child age 13 or older) <u>or medical</u> <u>equipment</u> (such as a wheelchair) <u>for a household member who is disabled</u> and as a result of this expense, you or any household member were able to earn income from a job, you should complete this form. You must submit verification of any disability expenses incurred during the last 12 months. This may include a receipt for a wheelchair, ramp, adaptation to a vehicle, or special equipment to enable a blind person to read and write.

TO BE COMPLETED AND SIGNED BY THE HEAD OF HOUSEHOLD

Is Your Household Eligible for a Disability Expense Deduction?

- 1. Do you pay for someone to care for a disabled person in your household?
- 2. Did you buy medical equipment for a disabled person in your household?
- 3. Were you or any another household member able to earn income from a job because of this disability

expense?

If you answered yes to question #3 above, please complete the boxes below:

	Name of disabled household member:	Name of disabled household member:
	1	_ 2
Eligible disability expense(s): (medical equipment or attendant care expense)		
Amount due or expected in the next 12 months: (Submit proof of recurring payments or invoice)		
Name(s) and phone number of institution(s) providing service:		
Name(s) of household member(s) who earned income as a result of the disability expense:		

Note: Only the portion of the total disability expense that exceeds 3% of your household annual income is an allowable deduction. The deduction for the disability expense may not exceed the total amount of money earned by the household member(s) who are able to work because of the disability expense.

I certify that the above information is accurate and understand that providing false statements to a government agency is punishable under federal law and may result in loss of subsidy.

SIGNATURE OF HEAD OF HOUSEHOLD





SOCIAL SECURITY NUMBER (last 4 digits)

FORM 8. VERIFICATION OF STUDENT STATUS

The purpose of this form is to verify student status. Each household member 18 years of age or older who attends school full-time or is in a job training program must complete this form. If this form cannot be completed, please		Have you completed this form?		
 provide one of the following to verify student status: High school students may submit their most recent report card or a letter from a school official confirming enrollment College students may submit their most recent transcript or a letter from an official at their college Students in adult training programs may submit enrollment documents or a letter from a program official THIS FORM MUST BE COMPLETED, STAMPED AND SIGNED BY A SCHOOL/JOB TRAINING PROGRAM ADMINISTRATOR. 	Yes	Not Applicable		
SECTION A (to be completed by Head of Household)				

Student Household Member: Social Security Number:

SECTION B (to be completed by School or Job Training Program Administrator)

1. The student named above is enrolled in:
High School/College
Adult Training Program

If you selected "High School/College," skip #2 and go to #3.

2. Is the program providing employment training and self-sufficiency services? Yes No

Is the program funded by the federal, state, or local government? Yes No

Is the program funded by HUD? □ Yes □ No

3. Enrollment Status:

This student is enrolled in a (check one) full time/ part time program and will earn _____ credits this semester. Weekly hours of program participation if in an adult training program:

	Amount per year
Tuition	
Work Study	
Grants/Scholarship	

Educational Institution: _____ Address

Administrator:	Title:	Telephone:

COMPANY STAMP/SEAL IS MANDATORY

I certify that the above information is accurate and understand that providing false statements to a government agency is punishable under federal law.

SIGNATURE OF ADMINISTRATOR

DATE





SOCIAL SECURITY NUMBER (last 4 digits)

FORM 9. VERIFICATION OF CHILDCARE EXPENSES

this form if: o The expenses are fo	• The expenses are for a child or children age 12* or younger and		Have you completed this form?			
 The childcare is nece employed or to furthe THIS FORM MUST BE C AND COMPLETED AND 	er his or he OMPLETE	er education. ED BY THE HE	AD OF HOUSEH		Yes	Not Applicable
Name of Child	Age of Child	Rate of Pay During School Year	During	(h wee	uency of Pay ourly, daily, kly, monthly, annually)	Monthly Average
		\$	\$			\$
		\$	\$			\$
		\$	\$			\$
		\$	\$			\$
		\$	\$			\$
Name of Childcare Provider: Contact: If child is 13 or older, disable blease complete "Verification I certify that the above inf government agency is punis	Title: led, and ca n of Un-Re formation	are for child ena eimbursed Disat	Telephone (Re bles an adult hous bility Expenses" (F	equired sehold ⁻ orm 7)): member to be	employed,
SIGNATURE OF CH	IILD CARE PF	ROVIDER			DATE	
		FOR HPD L	ISE ONLY			
Call to provider made on	(date) by _				_staff member	's name
Expenses verified?	s □No	Notes:				





SOCIAL SECURITY NUMBER (last 4 digits)

FORM 10. DEBTS OWED TO PUBLIC HOUSING AGENCIES AND TERMINATIONS

Paperwork Reduction Notice: The information collection requirements contained in this notice have been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995 (44 U.S.C. 3520) and assigned OMB control number 2577-0266. In accordance with the Paperwork Reduction Act, HUD may not conduct or sponsor, and a person is not required to respond to a collection of information unless the collection displays a current valid OMB control number.

NOTICE TO APPLICANTS AND PARTICIPANTS OF THE FOLLOWING HUD RENTAL ASSISTANCE PROGRAMS:

*Public Housing (24 CFR 960)

*Section 8 Housing Choice Voucher,

Section 8 Moderate Rehabilitation (24 CFR 882) Project-Based Voucher (24 CFR 983)

including the Disaster Housing Assistance Program (24 CFR 982)

The U.S. Department of Housing and Urban Development maintains a national repository of debts owed to Public Housing Agencies (PHAs) or Section 8 landlords and adverse information of former participants who have voluntarily or involuntarily terminated participation in one of the above-listed HUD rental assistance programs. This information is maintained within HUD's Enterprise Income Verification (EIV) system, which is used by Public Housing Agencies (PHAs) and their management agents to verify employment and income information of program participants, as well as, to reduce administrative and rental assistance payment errors. The EIV system is designed to assist PHAs and HUD in ensuring that families are eligible to participate in HUD rental assistance programs and determining the correct amount of rental assistance a family is eligible for. All PHAs are required to use this system in accordance with HUD regulations at 24 CFR 5.233.

HUD requires PHAs, which administers the above-listed rental housing programs, to report certain information at the conclusion of your participation in a HUD rental assistance program. This notice provides you with information on what information the PHA is required to provide HUD, who will have access to this information, how this information is used and your rights. PHAs are required to provide this notice to all applicants and program participants and you are required to acknowledge receipt of this notice by signing page 2. Each adult household member must sign this form.

What information about you and your tenancy does HUD collect from the PHA?

The following information is collected about each member of your household (family composition): full name, date of birth, and Social Security Number.

The following adverse information is collected once your participation in the housing program has ended, whether you voluntarily or involuntarily move out of an assisted unit:

1. Amount of any balance you owe the PHA or Section 8 landlord (up to \$500,000) and explanation for balance owed (i.e. unpaid rent, retroactive rent (due to unreported income and/ or change in family composition) or other charges such as damages, utility charges, etc.); and

2. Whether or not you have entered into a repayment agreement for the amount that you owe the PHA; and

- 3. Whether or not you have defaulted on a repayment agreement; and
- 4. Whether or not the PHA has obtained a judgment against you; and
- 5. Whether or not you have filed for bankruptcy; and

6. The negative reason(s) for your end of participation or any negative status (i.e. abandoned unit, fraud, lease violations, criminal activity, etc.) as of the end of participation date.

Who will have access to the information collected?

This information will be available to HUD employees, PHA employees, and contractors of HUD and PHAs.

How long is the debt owed and termination information maintained in EIV?

Debt owed and termination information will be maintained in EIV for a period of up to ten (10) years from the end of participation date





FORM 10. DEBTS OWED TO PUBLIC HOUSING AGENCIES AND TERMINATIONS (Cont'd)

How will this information be used?

PHAs will have access to this information during the time of application for rental assistance and reexamination of family income and composition for existing participants. PHAs will be able to access this information to determine a family's suitability for initial or continued rental assistance, and avoid providing limited Federal housing assistance to families who have previously been unable to comply with HUD program requirements. If the reported information is accurate, your current rental assistance may be terminated and your future request for HUD rental assistance may be denied for a period of up to ten years from the date you moved out of an assisted unit or were terminated from a HUD rental assistance program.

What are my rights?

In accordance with the Federal Privacy Act of 1974, as amended (5 USC 552a) and HUD regulations pertaining to its implementation of the Federal Privacy Act of 1974 (24 CFR Part 16), you have the following rights:

1. To have access to your records maintained by HUD.

2. To have an administrative review of HUD's initial denial of your request to have access to your records maintained by HUD.

3. To have incorrect information in your record corrected upon written request.

4. To file an appeal request of an initial adverse determination on correction or amendment of record request within 30 calendar days after the issuance of the written denial.

5. To have your record disclosed to a third party upon receipt of your written and signed request.

What do I do if I dispute the debt or termination information reported about me?

You should contact the PHA, who has reported this information about you, in writing, if you disagree with the reported information. The PHA's name, address, and telephone numbers are listed on the Debts Owed and Termination Report. You have a right to request and obtain a copy of this report from the PHA. Inform the PHA why you dispute the information and provide any documentation that supports your dispute. <u>Disputes must be made within three years from the end of participation date. Otherwise the debt and termination information is presumed correct.</u> Only the PHA who reported the adverse information about you can delete or correct your record.

Your filing of bankruptcy will not result in the removal of debt owed or termination information from HUD's EIV system. However, if you have included this debt in your bankruptcy filing and/or this debt has been discharged by the bankruptcy court, your record will be updated to include the bankruptcy indicator, when you provide the PHA with documentation of your bankruptcy status.

The PHA will notify you in writing of its action regarding your dispute within 30 days of receiving your written dispute. If the PHA determines that the disputed information is incorrect, the PHA will update or delete the record. If the PHA determines that the disputed information is correct, the PHA will provide an explanation as to why the information is correct.

This Notice was provided by the New York City Department of Housing Preservation and Development I hereby acknowledge that the PHA provided me with the *Debts Owed to PHAs & Termination Notice*:

Thereby acknowledge that the ThA provided me with the Debis owed to ThAs & Termination Notice.					
PRINT NAMES OF ALL FAMILY MEMBERS AGE 18	DATE OF BIRTH	SOCIAL SECURITY	SIGNATURE	DATE	
OR OLDER		NUMBER			

OMB No. 2577-0266 Expires 04/30/2013 April 26, 2010 Alternative to Form HUD-52675





SOCIAL SECURITY NUMBER (last 4 digits)

RECERTIFICATION PACKAGE CHECKLIST				
			vou completed nis form?	
		e checklist, and the form, and return		Yes
both the chec	klist and the form with you			
Form #	Form Name	Who Must Complete this Form		Completed?
Cover Page	Rental Subsidy Recertification Package Cover Page	The Head of Household must sign the cover page to certify that the package has been filled out truthfully and completely.		□ Yes
Participant Household Summary	Rental Subsidy Participant Household Summary	The Head of Household must review and make corrections to information that was reported at the last annual certification.		□ Yes
1	Authorization for the Release of Information/ Privacy Act Notice	Each member of the household age 18 years and older must sign and date this form.		□ Yes
2	Request to Add a Household Member	Households that would like to request person to their Rental Subsidy househ complete this form and submit the doo requested.	□ Yes □ N/A	
3	Request to Remove a Household Member	Households that would like to request to remove a person to their Rental Subsidy household must complete this form and submit the documents requested.		□ Yes □ N/A
4	Verification of Wages	Each employed member must provide two (2) recent consecutive paystubs <u>OR</u> have his or her employer complete this form <u>OR</u> provide a letter from the employer stating employment dates and income.		 □ Yes, given to employer □ N/A
5	Verification of Bank Accounts and other Assets			 Yes, given to financial institution Yes, documentation enclosed N/A





SOCIAL SECURITY NUMBER (last 4 digits)

	RECERTIF	ICATION PACKAGE CHECKLIST CONTINUED	
Form #	Form Name	Who Must Complete this Form	Form Completed
6	Declaration of Un- Reimbursed Medical and Pharmacy Expenses	 You may complete this form if the Head of your Household, spouse or co-head are: Legally disabled 62 years of age or older This form may be completed to deduct <u>un-reimbursed</u> medical, pharmacy, or disability expenses expected in the next 12 months. Only the portion of the total medical expense that exceeds 3% of the household annual income is an allowable deduction. 	□ Yes □ N/A
		This form is not complete without documentation of these expenses.	
7	Declaration of Un- Reimbursed Disability Expenses	If your family has an un-reimbursed expense for attendant care (home health aid for a disabled adult or baby-sitter for a disabled child age 13 or older) or medical equipment (such as a wheelchair) for a household member who is disabled and as a result of this expense, you or any household member (including the disabled person) were able to earn income from a job, you should complete this form.	□ Yes □ N/A
		This form is not complete without documentation of these expenses.	
8	Verification of Student Status	Each household member who is 18 years of age or older who attends school full-time, or who is in a job training program, must have this form completed by the educational institution.	□ Yes □ N/A
9	Verification of Childcare Expenses	This form only applies if child care expenses are for the care of children 12 years old or younger, and only if child care is necessary for a family member to be employed, to further his or her education, or to seek employment.	□ Yes □ N/A
10	Debts Owed to Public Housing Agencies and Terminations	Each adult household member must sign this form which explains your rights regarding household information HPD is required to collect. Any household member who has turned 18 years of age since the last recertification must sign this form.	□ Yes □ N/A





LANGUAGE ASSISTANCE FORM

Spanish / Español 🗌

Si usted desea ayuda en algún idioma para completar este paquete, por favor llame al 917-286-4300. Un representante HPD podrá ayudarlo a obtener ayuda. Por favor margue el casillero al final de esta declaración si es que a usted le gustaría que registremos la preferencia de idioma marcada para referencia futura.

French / Français

Si vous désirez obtenir de l'aide dans votre langue pour remplir ce document, veuillez appeler le 917-286-4300. Un représentant du service des logements pourra vous aider à obtenir de l'aide. Veuillez cocher la case à la fin de cet énoncé si vous désirez que nous notions votre préférence de langue indiquée pour référence future.

Haitian Creole / Kreyòl ayisyen 🗌

Si w ta renmen jwenn asistans pou ranpli pake sa a, tanpri rele 917-286-4300. Yon anplwaye HPD ap kapab ba ou bon jan asistans. Tanpri tcheke kaz ki nan fen fraz sa a si w ta renmen nou endike lang ou pi pito pou referans alavni.

Russian / Русский 🗌

Если вам нужна помощь переводчика при заполнении этих анкет, звоните по телефону 917-286-4300. Представитель отдела HPD поможет вам вызвать переводчика. Пометьте соответствующий язык ниже, если вы хотите пользоваться этой услугой и в будущем.

Cantonese / 廣東話

如果您在填寫這套表格時需要以廣東話提供協助,請致電917-286-4300。 -位HPD代表將可協助您獲得幫助。如果您希望我們記錄指明的語言選擇供未來參考之用,請在本聲明結尾處剔選 相關的方格。

Chinese Mandarin / 普通话

如果您在填写这套表格时需要以普通话提供协助,请拨打917-286-4300。

一位HPD代表将可协助您获得帮助。如果您希望我们记录指明的语言选择供未来参考之用,请在本声明结尾处剔选 相关的方格。

🗌 ل عرب ية / Arabic

و رأ لئوںل لف ټذلي وذ ننمو ن نوير، عقود م اوه نم شـ . 917-286-286ملر، زَ نت الى عقف ن، ة زل ي ه ع د ل ف قيمي غ تنظيمي دل ذ مت زك اذا يَ تَنْفُعُ عَبَيْكَ اذاٍ أَة ازَ العُعَة نَ لَي مِنْ لِفَة يازَ عَتَقِيب عَلَيْ بِن العَقْقِدِ أَعْيَ مَعَن لدن لوي ا قِقِ سوم، ن دليو، دذ دا ري ض رقَع كدف

Other



If you would like assistance in a different language, please check the box indicating your preference. For assistance in completing this package, please call 917-286-4300