



Department of Finance

2016 Banking Corporation Stock Allocation Report

THE NEW YORK CITY DEPARTMENT OF FINANCE
2016 BANKING CORPORATION TAX ALLOCATION PERCENTAGE REPORT

Name	Percent
AAREAL CAPITAL CORPORATION	100.00
ABACUS FEDERAL SAVINGS BANK	86.14
ABACUS HEALTH PLAN MANAGEMENT CORP	100.00
ABN AMRO HOLDINGS USA LLC	80.21
AGRICULTURAL BANK OF CHINA	0.03
ALLY FINANCIAL INC	1.58
ALMA BANK	93.05
AMALGAMATED BANK OF NEW YORK	94.76
AMERASIA BANK	80.87
APPLE FINANCIAL HOLDINGS INC AND SUBSIDIARIES	70.47
ARAB BANK P L C	0.04
ARMOR HOLDCO INC	66.78
ASTORIA FINANCIAL CORPORATION	15.19
ATLAS BANK	100.00
AUSTRALIA & NEW ZEALAND BANKING GROUP LTD	0.67
BANCA MONTE DEI PASCHI DI SIENA SPA	0.12
BANCA POPOLARE DI VICENZA S.C.P.A.	100.00
BANCO BILBAO VIZCAYA ARGENTARIA	0.67
BANCO BRADESCO S A	0.22
BANCO DE BOGOTA-NEW YORK AGENCY	13.68
BANCO DE LA NACION ARGENTINA	0.14
BANCO DE SABADELL SA	0.76
BANCO DEL ESTADO DE CHILE	0.43
BANCO ESPIRITO SANTO S.A.	1.24
BANCO INDUSTRIAL DE VENEZUELA C A	51.15
BANCO ITAU BBA S.A.	100.00
BANCO POPULAR DE PUERTO RICO	0.63
BANCO POPULAR NORTH AMERICA	26.65
BANCO SANTANDER INT'L	3.37
BANCO SANTANDER S.A.	1.03
BANGKOK BANK PUBLIC COMPANY LTD	0.27
BANK HAPOALIM B M	3.21
BANK LEUMI LE-ISRAEL CORPORATION	62.57
BANK OF BARODA	100.00
BANK OF CHINA	0.51
BANK OF COMMUNICATIONS CO LTD	0.06
BANK OF INDIA	95.40
BANK OF NOVA SCOTIA AGENCY	3.39

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Name	Percent
BANK OF TAIWAN	0.78
BANK OF THE WEST	0.18
BANK POLSKA KASA OPIEKI SA	100.00
BANKUNITED INC & SUBSIDIARIES	0.89
BAYERISCHE LANDESBANK	3.23
BB AND T CORPORATION	5.38
BBHTCC LLC	100.00
BEHALF INC	100.00
BERKSHIRE BANK	80.44
BKB REALTY CORPORATION	100.00
BLACKROCK FUNDS ADVISORS	9.90
BLACKROCK INSTITUTIONAL TRUST CO	4.18
BLADEX HOLDINGS INC	100.00
BMO HARRIS BANK N A	0.11
BMW BANK OF NORTH AMERICA	0.10
BNP PARIBAS CC INC	100.00
BOS USA MANAGEMENT INC	100.00
BPD HOLDING INC	80.20
BRADESCO SECURITIES INC	100.00
BRIDGE BANCORP INC & SUBSIDIARY	1.44
BROOKLINE SAVINGS BANK	13.48
BROWN BROTHERS HARRIMAN TRUST COMPANY NA	72.41
BROWN INVESTMENT ADVISORY AND TRUST COMPANY	2.69
BTM NORTH AMERICA INTERNATIONAL INC	100.00
BTMU CAPITAL LEASING & FINANCE INC	2.87
BTMU LEASING & FINANCE INC	84.06
BTMU SECURITIES, INC	79.17
CAIXA GERAL DE DEPOSITOS	0.14
CALIFORNIA FIRST NATIONAL BANK	0.04
CANADIAN IMPERIAL BANK OF COMMERCE	100.00
CANARA BANK	100.00
CAPITALSOURCE BANK	4.08
CARVER BANCORP INC	100.00
CATHAY BANCORP INC AND SUBSIDIARIES	13.22
CCB INTERNATIONAL OVERSEAS (USA) INC	100.00
CENTRAL BANCORP & SUBSIDIARIES	1.14
CHANG HWA COMMERCIAL BANK LTD	1.26

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Name	Percent
CHARLES SCHWAB BANK NATIONAL ASSOCIATION	0.47
CHECKSPRING COMMUNITY CORPORATION	100.00
CHIBA BANK LTD	1.12
CHINA CONSTRUCTION BANK	0.07
CHINA MERCHANTS BANK CO LTD	0.45
CHINATOWN FEDERAL SAVINGS BANK	100.00
CIBC DELAWARE HOLDINGS INC	59.77
CIT BANK, NA	0.62
CITIZENS BANK NATIONAL ASSOCIATION	0.70
CITY NATIONAL BANK OF NEW JERSEY	12.91
CITY NATIONAL CORPORATION AND SUBSIDIARIES	6.09
CLS BANK INTERNATIONAL	45.42
COMMERCE BANCSHARES INC & SUBSIDIARIES	0.10
COMMERZ US FINANCIAL CORPORATION	100.00
COMMERZBANK AG	3.52
COMMONWEALTH BANK OF AUSTRALIA SA	0.33
COMMUNITY FSB HOLDING CO	100.00
COMMUNITY NATIONAL BANK	7.43
COMPASS BANK	1.80
COMPUTERSHARE TRUST COMPANY NA	1.49
CONTINENTAL STOCK TRANSFER & TRUST	100.00
COUNTRY BANK HOLDING COMPANY INC AND SUBSIDIARY	90.49
CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK	87.23
CREDIT AGRICOLE GLOBAL PARTNERS INC	85.82
CREDIT INDUSTRIEL ET COMMERCIAL	4.02
CREDIT SUISSE FIRST BOSTON INT'L US	100.00
CROSS COUNTY FEDERAL SAVINGS BANK	100.00
CROSS RIVER BANK	1.73
CTBC BANK	0.68
CTBC CAPITAL CORP	18.39
CUSTOMERS BANCORP INC	4.70
DELAWARE MANAGEMENT BUSINESS TRUST-DELAWARE MANAGEMENT COMPANY	0.71
DELTA NORTH BANKCORP INC	38.51
DEPFA BANK PLC NEW YORK AGENCY	9.82
DEUTSCHE BANK AG	0.40
DEUTSCHE BANK NEW YORK BRANCH AND AFFILIATED COMPANIES	83.56
DEXIA CREDIT LOCAL NY AGENCY	2.26

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Name	Percent
DIME COMMUNITY BANCSHARES INC	86.63
DISCOUNT BANCORP INC	90.97
DORAL BANK	55.84
DORAL MONEY INC	47.10
DRESDNER KLEINWORT LUMINARY INC	35.47
DVB HOLDING (US) INC	100.00
EAST ASIA HOLDING COMPANY INC	100.00
EAST WEST BANCORP INC & SUBS	1.50
EDOUARD VII PROPERTIES, INC.	100.00
EKTORNET US INC	39.24
ES BANCSHARES INC & SUBSIDIARY	100.00
ESN NORTH AMERICA INC	100.00
EUROCLEAR BANK SA/NV	0.25
EVERCORE TRUST COMPANY NA	33.82
EXPORT IMPORT BANK OF KOREA	100.00
FIDUCIARY TRUST CO INT'L	92.70
FIELDPOINT PRIVATE BANK & TRUST & SUBSIDIARY	37.85
FINANCIAL PACIFIC LEASING INC	2.65
FIRST CENTRAL SAVINGS BANK	35.14
FIRST COMMERCIAL BANK	1.43
FIRST HAWAIIAN LEASING INC	0.04
FIRST REPUBLIC BANK	16.50
FIRST TENNESSEE BANK CORP	3.29
FLUSHING FINANCIAL CORPORATION	59.53
FNB NY BANCORP INC	11.67
FRANK RUSSELL TRUST CO	18.16
GIBRALTAR PRIVATE BANK AND TRUST	9.45
GLOBAL BANK	100.00
GREEN OAK LLC	100.00
GREENE ELM TRADING I LLC	100.00
GREENE ELM TRADING II LLC	100.00
GREENE ELM TRADING IV LLC	100.00
GREENE ELM TRADING V LLC	100.00
GREENE ELM TRADING VI LLC	100.00
GREENE ELY TRADING III LLC	100.00
GUNMA BANK LTD NEW YORK	0.84

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Name	Percent
HABIB AMERICAN BANK NA	61.25
HABIB BANK LTD	100.00
HANA BANCORP INC	69.53
HSBC BANK PLC	100.00
HSBC NORTH AMERICA INC AND SUBSIDIARIES	35.53
HSH NORDBANK AG-NEW YORK BRANCH	100.00
HUA NAN COMMERCIAL BANK LTD	0.75
HUDSON CITY SAVINGS BANK	8.72
HUDSON VALLEY BANK CORP	13.33
HUNTINGTON NATIONAL BANK	0.02
HYPO REAL ESTATE CAPITAL CORP	44.65
ICBC STANDARD BANK PLC	100.00
ICESTAR BU	33.67
ICICI BANK LIMITED	100.00
IMI CAPITAL MARKETS USA CORP	100.00
INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	0.11
INDUSTRIAL AND COMMERCIAL BANK OF CHINA USA	51.20
INDUSTRIAL BANK OF KOREA	0.11
INTER AUDI BANK	67.81
INTERVEST BANCSHARES CORP	50.71
INTESA SAN PAOLO SPA	100.00
INVESTORS SAVINGS BANK	8.56
ITAU UNIBANCO SA	0.05
JPMORGAN CHASE & CO	29.92
KBC FINANCIAL HOLDING INC	89.14
KEARNY FINANCIAL CORP & SUBSIDIARIES	3.39
KEB HANA NY FINANCIAL CORP	100.00
KEB USA INTERNATIONAL CORP	100.00
KEYBANK NATIONAL ASSOCIATION	1.23
KFW IPEX BANK GMBH	100.00
KOOKMIN BANK NEW YORK BRANCH	0.08
KOREA DEVELOPMENT BANK	0.17
KREDIETBANK NORTH AMERICAN	100.00
LAND BANK OF TAIWAN	0.59
LANDESBANK-HESSEN THURINGEN	4.48

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LAW DEBENTURE TRUST COMPANY OF NY	100.00
LLYODS BANK PLC	0.32
LYXOR ASSET MANAGEMENT HOLDING CORP	100.00
M&T BANK CORPORATION	4.91
MARLIN BUSINESS BANK	2.30
MASHREQBANK PSC	0.94
MASPETH FEDERAL SAVINGS LOAN CENTER	96.17
MEDALLION BANK	5.02
MEGA INTERNATIONAL COMMERCIAL BANK CO LTD	2.19
MERCANTIL COMMERCEBANK, NA	3.00
MERRICK BANK CORPORATION	0.97
MHCB AMERICA HOLDINGS, INC & SUBS	94.79
MIDFIRST BANK	0.14
MITSUBISHI UFJ SECURITIES (USA) INC	99.05
MITSUBISHI UFJ TRUST & BANKING CORP USA	74.65
MIZUHO ALTERNATIVE INVESTMENTS LLC	100.00
MIZUHO BANK (USA)	80.87
MIZUHO JGB INVESTMENT HOLDINGS INC	100.00
MIZUHO RESEARCH INSTITUTE LTD	100.00
MIZUHO SECURITIES USA INC	73.25
MIZUHO TRUST AND BANKING COMPANY USA	100.00
MODERN FINANCIAL INC	9.66
MUFG UNION BANK N A	0.80
MY SAFRA BANK FSB	100.00
NARA BANCORP INC AND SUBSIDIARIES	12.10
NATIONAL AUSTRALIA BANK LTD	0.78
NATIONAL BANCORP HOLDINGS INC	14.89
NATIONAL BANK OF CANADA, NY BRANCH	0.84
NATIONAL BANK OF NEW YORK CITY	100.00
NATIONAL COOPERATIVE BANK, NA	4.05
NATIXIS	7.47
NATIXIS U.S. HOLDINGS, INC.	20.46
NEUBERGER BERMAN TRUST CO NATIONAL ASSOCIATION	93.46
NEW YORK BUSINESS DEVELOPMENT	25.98

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Name	Percent
NEW YORK COMMUNITY BANCORP INC &SUB	17.06
NEW YORK PRIVATE BANK & TRUST CORP & SUBS	58.58
NEWBANK	71.58
NOAH BANK	14.52
NONGHYUP BANK	98.79
NORTHERN TRUST COMPANY	1.64
NORTHFIELD BANK AND SUBSIDIARIES	48.14
NOVO BANCO S A	0.75
NYDTC CORPORATION	100.00
ORITANI SAVINGS BANK	8.57
OVERSEA-CHINESE BANKING CORPORATION	0.69
P T BANK RAKYAT INDONESIA (PERSERO)	100.00
P.T. BANK NEGARA INDONESIA PERSERO	100.00
P.T. BANK NEGARA INDONESIA PERSERO	100.00
PACIFIC WESTERN BANK	1.79
PEOPLE'S UNITED BANK	9.98
PHILIPPINE NATIONAL BANK	56.74
PNC FINANCIAL SERVICES GROUP INC	0.79
PONCE DE LEON FEDERAL SAVINGS BANK	96.89
PORTIGON AG	11.58
PORTIGON FINANCIAL SERVICES GMBK	100.00
RABOBANK NEDERLAND	4.33
RABOBANK USA FINANCIAL CORP	100.00
RAIFFEISEN BANK INTERNATIONAL AG	0.84
RANDOM PROPERTIES ACQUISITION CORP	54.16
RAYMOND JAMES BANK NA	1.11
RBC BANK (GEORGIA) NA	0.66
REGIONS BUSINESS CAPITAL CORP	100.00
REGIONS EQUIPMENT FINANCE CORPORATION	0.50
RIDGEWOOD SAVINGS BANK	81.37
ROBECO TRUST COMPANY	100.00
ROCKEFELLER TRUST COMPANY, N.A.	100.00
ROYAL BANK OF CANADA	88.25

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SAFRA NEW YORK CORPORATION AND SUBSIDIARIES	71.29
SALE NYC LLC	100.00
SANTANDER CONSUMER USA INC	0.44
SANTANDER HOLDINGS USA INC SUBS	5.69
SANTANDER INVESTMENT SECURITIES INC	100.00
SANTANDER SECURITIES LLC	14.03
SHINHAN BANK AMERICA	41.55
SHINKIN CENTRAL BANK	0.04
SHOKO CHUKIN BANK	0.37
SIGNATURE BANK	59.40
SILICON VALLEY BANK	1.66
SMBC LEASING AND FINANCE INC	83.02
SOCIETE GENERALE S.A.	3.66
SQUARE 1 BANK	0.80
STANDARD CHARTERED HOLDINGS INC	100.00
STANDARD CHARTERED SECURITIES (NORTH AMERICA) INC	95.05
STANTON EQUITY TRADING DELAWARE LLC	1.08
STATE STREET CORPORATION FKA STATE STREET BOSTON CORP	2.68
STERLING BANCORP AND SUBSIDIARIES	22.93
SUMITOMO MITSUI TRUST BANK, LIMITED	4.05
SUMMIT MORTGAGE BANKERS INC	70.90
SUNTRUST BANK AND AFFILIATES	0.54
SYNCHRONY BANK	0.45
TD BANK N A & AFFILIATES	8.83
TD HOLDINGS (USA) INC	95.51
THE BANCORP INC AND SUBSIDIARY	2.34
THE BANK OF TOKYO-MITSUBISHI UFJ LTD	6.31
THE BESSEMER GROUP INCORPORATED	29.47
THE DEPOSITORY TRUST CO	26.59
THE FIRST OF LONG ISLAND CORPORATION AND SUBSIDIARY	1.49
THE GLENMEDE TRUST COMPANY NA	4.27
THE INTERNATIONAL BANK OF AZERBAIJAN	100.00

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TIAA-CREF TRUST COMPANY FSB	9.40
TRISTATE CAPITAL BANK	10.26
TRUST CO OF THE WEST	8.53
TURKIYE VAKIFLAR BANKASI TAO	0.13
U S BANK NATIONAL ASSOCIATION	1.10
UBS AG	4.91
UBS BANK USA	1.98
UNITED OVERSEAS BANK LTD	1.20
USAA FEDERAL SAVING BANK	0.14
USAA TRANSFER AGENCY COMPANY DBA: SHAREHOLDER ACCOUNT SERVICES	1.15
USSAASSET MANAGENENT COMPANY	0.74
UTRECHT AMERICA FINANCE CO INC	48.08
VALLEY NATIONAL BANK	10.86
VERAIN INVESTMENTS LLC	100.00
VNESHECONOMBANK	100.00
VSB BANCORP INC	100.00
VTB CAPITAL INC	44.03
WEBFINANCIAL HOLDING CORPORATION & SUBSIDIARIES	6.20
WEBSTER BANK	0.47
WELLNESSPLUS INC	2.39
WESTLB SECURITIES INC	100.00
WESTPAC BANKING CORPORATION	0.17
WESTPAC CAPITAL MARKETS HOLDING CORP	100.00
WILSHIRE BANCORP INC AND SUBSIDIARIES	41.83
WOORI AMERICA BANK	50.68
WORLD'S FOREMOST BANK	0.06
WRIGHT EXPRESS FINANCIAL SERVICES CORP	0.13