

NEW YORK CITY DEPARTMENT OF FINANCE

GENERAL CORPORATION

▼ DO NOT WRITE IN TH	IIS SPACE - FOR OFFICE	AL USE ONLY 🔻	

TAX RETURN For CALENDAR YEAR 1997 or FISCAL YEAR beginning _ and ending NEW • YORK Amended return • • Final return Check box if the corporation has ceased operations. Name EMPLOYER IDENTIFICATION NUMBER label here. Address (number and street) BUSINESS CODE NUMBER AS PER FEDERAL RETURN mailing City and State Zip Code Business Telephone Number Date business began in NYC IMPORTANT: Corporations licensed and/or regulated by the NYC Taxi and Limousine Commission use business code 9999 in lieu of federal code SCHEDULE A Computation of Tax - BEGIN WITH SCHEDULE B ON PAGE 2. COMPLETE ALL OTHER SCHEDULES. TRANSFER APPLICABLE AMOUNTS TO SCHEDULE A. Payment | Pay amount shown on line 20 - Make check payable to: NYC Department of Finance 1. Allocated net income (from Schedule B, line 31) ----- 1. X .0885 Allocated capital (from Schedule E, line 14) 2a. 2a. X .0015 Total allocated capital - Cooperative Housing Corps. — 2b. X .0004 Cooperatives - enter: ■ BORO 3. Alternative tax (applies to all corporations, including professional corporations) (see last page for worksheet) 300 00 4. Minimum tax - No reduction is permitted for a period of less than 12 months 4. Allocated subsidiary capital (from Schedule C, line 2G) ● 5. │ 5. X .00075 Tax (line 1, 2a, 2b, 3 or 4, whichever is largest, PLUS line 5) 6. 6. Less: UBT Paid Credit (attach Form NYC-9.7) 7. 7. Tax after Unincorporated Business Tax Paid Credit 8. 8. First installment of estimated tax for period following that covered by this return: (a) If application for extension has been filed, enter amount from line 2 of Form NYC-6 (attach form) (b) If application for extension has **not** been filed and line 8 exceeds \$1,000, enter 25% of line 8 (see instructions) 9b Sales tax addback per Adm. Code, Section 11-604.12(c), 11-604.15(c) and 11-604.17a(c) (see instr.) 10. 10 Total (add lines 8, 9a or 9b and 10) 11. Credits from Form(s) NYC-9.5 and/or NYC-9.6 (attach form) (see instructions) 12a. 12a Energy cost savings credit (attach Form NYC-ECS) (see instructions) 12b. Net tax after credits (line 11 less lines 12a and 12b) 13. 13. Prepayments (from Prepayments Schedule, page 3, line E) (see instructions) -----14. Balance due (line 13 less line 14) 15. Overpayment (line 14 less line 13) 16. 16. 17. Amount of line 16 to be: (b) Credited to 1998 estimated tax Interest (see instructions) 18a. 18a. Additional charges (see instructions) 18b. 18b. Penalty for underpayment of estimated tax (attach Form NYC-222) ● 18c. 18c Total of lines 18a. 18b and 18c 19. TOTAL REMITTANCE DUE (line 15 plus line 19) Enter payment amount on line A above 20. Issuer's allocation percentage (from Schedule E, line 15) % NYC rent from Sch. G, part 1 or NYC rent deducted on federal return - THIS LINE MUST BE COMPLETED (see instr.) • 21. 21. Federal return filed:

□ 1120 □ □ 1120A □ □ 1120S □ □ 1120F □ 23. Gross receipts or sales from federal return • 22. EIN of Parent Corporation Total assets from federal return 24. 26. EIN of Common Parent Corporation 27. Compensation of officers (from Schedule F, line 1) Business allocation percentage (from Schedule H, line 5) - if not allocating, enter 100% % 28. CERTIFICATION OF AN ELECTED OFFICER OF THE CORPORATION I hereby certify that this return, including any accompanying rider, is, to the best of my knowledge and belief, true, correct and complete. Sign Preparer's Social Security Number Signature of officer Check if self-employed Preparer's signature Firm's Employer Identification Number

Form NYC-3L - 1997	NAME *	EIN +	Pag	e 2

SCHEDULE B Computation and Allocation of Entire Net Income -		
SCHEDULE B Computation and Allocation of Entire Net Income –	SCHEDIHE D	Computation and Allegation of Entire Not Income
	SCHEDULE B	Computation and Allocation of Entire Net Income

1	Endered toyable income before not energing loss deduction and energial deductions (see instructions)	, [
1. 2.	Federal taxable income before net operating loss deduction and special deductions (see instructions)		
2. 3.	Deductions directly attributable to subsidiary capital (attach list) (see instructions)		
3. 4.	Deductions indirectly attributable to subsidiary capital (attach list) (see instructions)		
	NYS Franchise Tax and other taxes, including MTA surcharge, deducted on federal return (see instr.) ···		
	NYC General Corporation Tax deducted on federal return (see instructions)		
	New York City adjustments relating to (see instructions):	JD.	
6.	(a) Sales and compensating use tax credit	62	
	(b) Employment opportunity relocation costs credit		
	(c) Real estate tax escalation credit		
	(d) ACRS depreciation and/or adjustment (attach Form NYC-399)		
	(e) Energy cost savings credit		
7	Other additions (see instructions) (attach rider)		
7. o	Total additions (add lines 1 through 7)		
8. 02	Dividends and gains from subsidiary capital (itemize on rider) (see instr.)	0.	
	Interest from subsidiary capital (itemize on rider) (see instructions) 9b.		
10.	50% of dividends from nonsubsidiary corporations (see instructions)		S CORPORATIONS
11.	New York City net operating loss deduction (see instructions) 11.		Attach a rider to line 1
12.	Gain on sale of certain property acquired prior to 1/1/66 (see instructions)		showing income and
13. 14	NYC and state tax refunds included in Sch. B, line 8 (see instructions)		deductions from federal
14.	Also include on page 1, Sch. A, line 10 (see instr.)		Form 1120S, Schedule
15.	Wages and salaries subject to federal jobs credit (attach federal		K, lines 1-10 and 11a.
	Form 4874 and/or 5884) (see instructions)		
16.	Depreciation and/or adjmt. calculated under pre-ACRS rules (attach Form NYC-399) (see instr.) 16.		
17.	Other deductions (see instructions) (attach rider)		
18.	Total deductions (add lines 9 through 17)	18.	
19.	Entire net income (line 8 less line 18)		
20.	If the amount in line 19 is not correct, enter correct amount here and explain on rider (see instr.)	20.	
21.	Investment income - (complete lines a through g below) (see instructions)	L	
	(a) Dividends from nonsubsidiary stocks held for investment and certain dividends and income from target corp.	21a.	
	(b) Interest from investment capital (include federal, state and municipal obligations) (itemize on rider)		
	(c) Net capital gain (loss) from sales or exchanges of nonsubsidiary securities held for investment	21c.	
	(d) Income from assets included on line 3 of Schedule D	21d.	
	(e) Add lines 21a through 21d inclusive		
	(f) Deductions directly or indirectly attributable to investment income		
	(g) Balance (subtract line 21f from line 21e)	21g.	
	(h) Interest on bank accounts included in income reported on line 21d 21h.		
22.	New York City net operating loss deduction apportioned to investment income (see instructions)	22.	
23.	Investment income to be allocated (line 21g less line 22) (but not more than line 19 or 20)		
24.	Business income to be allocated (line 19 or line 20 less line 23)	24.	
25.	Allocated investment income (line 23 multiplied by: % - Schedule D, line 2) (see instructions)	25.	
26.	Allocated business income (line 24 multiplied by: % - Schedule H, line 5)	26.	
27.	Total allocated income (line 25 plus line 26)	27.	
28.	New York City gain (loss) on qualified New York City property (see instruction 1(f) on Form NYC-324)	28.	
29.	Total of lines 27 and 28	29.	
30.	Optional depreciation on qualified New York City property (attach Form NYC-324)	30.	
31.	Taxable net income (line 29 less line 30) (enter at Schedule A, line 1)		
		_	
S	CHEDULE C Subsidiary Capital and Allocation		

SCHEDULE (Subsidiary Ca	ıpıtar an	d Anocation																
-	Α	В	С	D	E	F	G												
DESCRIPTION OF S	UBSIDIARY CAPITAL	% of Voting	Average	Liabilities Directly or	Net Average Value	Issuer's	Value Allocated												
LIST EACH ITEM (USE RIDER IF NECESSARY)	EMPLOYER IDENTIFICATION NUMBER		N Stock Value Indirectly Attributable to (column C minus	Stock Value		Stock Value Indirectly Attributable to (column C minus Allocat		1 1	Stock Value	Value			Stock Value Indirect	Value Inc	Indirectly Attributable to	ly Attributable to (column C minus	ctly Attributable to (column C minus	Allocation Percentage	to NYC (column E x column F)
		%				%													
1. Totals (including ite	ems on rider)																		
2. Allocated subsidiar	y capital: Transfer this to	otal to Sch	edule A, line 5			·····													

A DESCRIPTION OF INVESTMENT	В	С		D			I		
DESCRIPTION OF INVESTMENT				D	E			F∣	G
	No. of Shares	Average		es Directly or	Net Avera			uer's	Value Allocate
LIST EACH STOCK AND SECURITY (USE RIDER IF NECESSARY)	or Amount of Securities	Value		Attributable to nent Capital	(column C min	us column D)		cation entage	to NYC (column E x colu
(662 1.6521111 1.25255 1.111)								%	
Totals (including items on rider) ·····>	-								
Investment allocation percentage (lin	e 1G divided by	/ line 1E) >	-					%	
Cash - (To treat cash as investment capital you must include it on this line.)	al,								
Investment capital (total of lines 1E a		n Schedule E.	. line 10)						
(,						
CHEDULE E Comput	ation and A	Allocation	of Ca	pital					
s used to determine average value in column C. Cl									
_									
- Annually	U -	Quarterly		UMN A		OLUMN B			COLUMN C
- Monthly	-	Daily	Beginnii	ng of Year	E	nd of Year	ı		Average Value
Total assets from federal return									
Real property and marketable securities									
Subtract line 2 from line 1									
Real property and marketable securities									
Adjusted total assets (add lines 3 and 4) Total liabilities (see instructions)									
Total capital (column C, line 5 less column					l		··· 7.		
Subsidiary capital (Schedule C, column	F line 1)						8.		
Business and investment capital (line 7	less line 8)						9.		
Investment capital (Schedule D, line 4)							10		
Allocated investment capital (line 10 x _									
Allocated business capital (line 11 x		from Schedul	e H line 5))			13		
Total allocated business and investment									
Issuer's allocation percentage (sum of Sc						′)			
	71. E, IIIO 11 and				•		15.		
,									
CHEDULE F Officers	(appointed	or electe	ed) and	l Certai	n Stoc	kholde	rs		
ude all officers, whether or not receiving any compensati	ion, and every stockho	older owning in exce	ss of 5% of ta	xpayer's issued	d capital stock	who received			
Name and Address - Give actual residence	e. (Attach rider if ne	ecessary.)	Soci	al Security Nu	umber	Official Tit			All Other Compe red from Corpora
								(If n	one, write "none
Total, including any amount on rider						······································	1.		
PREPAYMENTS CLAIMED ON SCHED		de text	DATE		AMOUNT		TEI	N DIGIT	TRANSACTION
A. Mandatory first installment paid with									
Payment with declaration, Form NYO									
3. Payment of estimated tax, Form NY									
	C-B100 (3)			1					
Form NYC C. Payment with extension, Form NYC D. Carryover credit from preceding yea	-6								

S	CHIEDULE G Complete this schedule if	busii	ness is ca	rried on bot	th inside and	outside	NYC
Pa	rt 1 - List location of, and rent paid or payable, if any, for each place of busi executive office, public warehouse, contractor, converter, etc.), and nu					ıfacturing, sale	es office,
	Complete Rent Address		lature of	Number of Employees	Wages, Salaries, Etc.	Dutie	es
	Addiess	,	Cuviles	Limployees	Galanes, Etc.		
L_							
101							
Paı	rt 2 - List location of, and rent paid or payable, if any, for each place of busin executive office, public warehouse, contractor, converter, etc.), and num			-		ufacturing, sale	es office,
	Complete Rent Address		ature of ctivities	Number of Employees	Wages, Salaries, Etc.	Dutie	s
				. ,	,		
Tot	al						
S	CHEDULE H Business Allocation - see	instr	uctions be	efore comple	eting this sch	edule	
Did	you make an election to use fair market value in the property fa	ctor?				☐ Yes	☐ No
lf th	nis is your first tax year, are you making the election to use fair m	narket v	alue in the pro	operty factor?		☐ Yes	□No
	you a manufacturing corporation electing to use a double weigh		•				
	jinning after 6/30/1996?					☐ Yes	□No
			COLUMNI	A NEW YORK OIT	COLUMNIT		IEDE
	Pool actate award (con instructions)	1a	COLUMN	A - NEW YORK CIT	Y COLUMN E	3 - EVERYWI	HEKE
	Real estate owned (see instructions) Real estate rented - multiply by 8 (see instructions) (attach rider,						
	Inventories owned Inventories owned						
	Tangible personal property owned (see instructions)	··· 1d.					
	Tangible personal property rented - multiply by 8(see instructions)						
1f. 1a	าบเลา	1g.					%
·y.	, , , , , , , , , , , , , , , , , , ,						
2a.	Receipts in the regular course of business from: Sales of tangible personal property where shipments are made	<u> </u>					
	to points within new York City						
	All sales of tangible personal property						
	Services performed	20.					
	Rentals of property Royalties						
26. 2f.	Other business receipts	·· 2f.					
2g.	Total	· 2g.					
2h.	Percentage in New York City (column A of line 2g divided by column B)	2h.					%
2i.	Additional receipts factor (enter amount from line 2h. See Instructions)						%
3a.	Wages, salaries and other compensation of employees, except	t					
	general executive officers (see instructions)						21
3b.	Percentage in New York City (column A divided by column B)	3b.					%
4.	Total of the New York City percentages shown at lines 1g, 2h, 2i and 3	3b 4.					%
5.	Business allocation percentage (line 4 divided by three, or by the	he actu	al number of p	percentages used	if other		

Form NYC-3L - 1997

NAME ♦ __

Form NYC-3L - 1997	NAME *	EIN +	Page 5

SCHEDULE I Business Allocation for Aviation Corporations and Corporations Operating Vessels

Part 1	Business	allocation	for aviation	corporations

	Business allocation for aviation corporations		
		AVERAGE FO	OR THE YEAR
		COLUMN A - NEW YORK CITY	COLUMN B - EVERYWHERE
1.	Aircraft arrivals and departures1.		
2.	New York City percentage (column A divided by column B)	%	
3.	Revenue tons handled		
4.	New York City percentage (column A divided by column B)	%	
5.	Originating revenue5.		
6.	New York City percentage (column A divided by column B)6.	%_	
7.	Total of lines 2,4 and 6	%	
8.	Allocation percentage (line 7 divided by three)		
	(enter on Schedule H, line 5)	%	
_			

Part 2 Business allocation for corporations operating vessels in foreign commerce

		COLUMN A -	NEW YORK CITY TERRITORIAL WATERS	COLUMN B - EVERYWHERE
	Aggregate number of working days1.			
2.	Allocation percentage (column A divided by column B) (enter on Schedule H, line 5)		%	

SCHEDULE J The following information must be entered for this return to be complete.

(REFE	ER TO INSTRUCTIONS BEFORE COMPLETING THIS SECTION.)		
1a.	New York City principal business activity		
1b.	Other significant business activities (attach schedule, see instructions)		
1c.	Trade name of reporting corporation, if different from name entered on page 1		
2.	Is this corporation included in a consolidated federal return?	☐ YES	☐ NO
	If "YES", give parent's name		
3.	Is this corporation included in a New York City Combined General Corporation Tax Return?	☐ YES	☐ NO
	If "YES", give parent's name EIN		
4.	Is this corporation a member of a controlled group of corporations as defined in IRC section 1563,		
	disregarding any exclusion by reason of paragraph (b)(2) of that section?	☐ YES	☐ NO
	If "YES", give common parent corporation's name, if any		
5.	Has the Internal Revenue Service of the New York State Department of Taxation and Finance		
	corrected any taxable income or other tax base reported in a prior year, or are you currently being audited?	☐ YES	☐ NO
	If "YES", by whom?		
	State period(s):and answer 6.	_	_
6.	Has Form(s) NYC-3360 (Report of Federal/State Change in Tax Base) been filed?	☐ YES	☐ NO
7.	Did you calculate a depreciation deduction by the application of the Federal Accelerated Cost Recovery System (ACRS)?	☐ YES	☐ NO
8.	Were you a participant in a "Safe Harbor Leasing" transaction during the period covered by this return?	☐ YES	☐ NO
9.	Was this corporation a member of a partnership or joint venture during the tax year?	☐ YES	☐ NO
	If "YES", attach schedule listing name(s) and Employer Identification Number(s).		
10.	At any time during the taxable year, did the corporation have an interest in real property (including a leasehold interest)		
	located in NYC or a controlling interest in an entity owning such real property?	☐ YES	☐ NO
11.	If "YES" to 10:		
	a) Attach a schedule of such property, indicating the nature of the interest and including the street address, borough, block and lot n b) Was any NYC real property (including a leasehold interest) or controlling interest in an entity owning NYC real property	umber.	
	acquired or transferred with or without consideration?	☐ YES	□ NO
	c) Was there a partial or complete liquidation of the corporation?		☐ NO
	d) Was 50% or more of the corporation's ownership transferred during the tax year, over a three-year period or according to a plan?	☐ YES	☐ NO
12.	If "YES" to 11b, 11c or 11d, was a Real Property Transfer Tax Return filed?	☐ YES	☐ NO
13.	If "NO" to 12, explain:	-	
14.	Was the completed contract method of accounting utilized in determining taxable income?	☐ YES	☐ NO
15.	Does the corporation have one or more qualified subchapter s subsidiaries?	☐ YES	☐ NO
	a) If "YES": Are all items of income, gain, loss, deduction and capital of each QSSS included in this report?	☐ YES	☐ NO
	i) If "NO": Attach a schedule showing the name, address and EIN, if any, of each QSSS NOT included in this report and indicate whether the QSSS filed or was required to file a City business income tax return.		

Alternative Tax Worksheet

Refer to pages 3 and 4 of instructions before computing the alternative tax.

Net income/loss (Schedule B, line 19 or 20)	1.	\$
For taxable years beginning after 6/30/96, officers salaries and compensations multiplied by .75 and 100% of certain stockholders' salaries and compensation. See		
instructions.	2.	\$
Total (line 1 plus line 2a or 2b, whichever is applicable)	3.	\$
Statutory exclusion - For taxable years beginning before 7/1/97, enter \$15,000. For taxable years beginning on or after 7/1/97, enter \$30,000. (if return does not cover an entire year, exclusion must be prorated based on the period covered by the return)	4.	\$
Net amount (line 3 minus line 4)	5.	\$
30% of net amount (line 5 X 30%)	6.	\$
Investment income to be allocated (Schedule B, line 23 Do not enter more than amount on line 6 above. Enter "0" if not applicable.)	7.	\$
Business income to be allocated (line 6 minus line 7)	8.	\$
Allocated investment income (line 7 x investment allocation % from Schedule D, line 2F) %	9.	\$
Allocated business income (line 8 x business allocation % from Schedule H, line 5) %	10.	\$
Taxable net income (line 9 plus line 10)	11.	\$
Tax rate	12.	\$ 8.85% (.0885)
Alternative tax (line 11 x line 12) Transfer amount to page 1, Schedule A, line 3	13.	\$

Attach copy of all pages of your federal tax return or pro forma federal tax return.

Make remittance payable to the order of: NYC DEPARTMENT OF FINANCE Payment must be made in U.S. dollars, drawn on a U.S. bank.

To receive proper credit, you must enter your correct Employer Identification Number on your tax return and remittance.

MAILING → **INSTRUCTIONS** **RETURNS WITH REMITTANCES** NYC DEPARTMENT OF FINANCE BOX 3900 CHURCH STREET STATION

NEW YORK, NY 10008-3900

RETURNS CLAIMING REFUNDS NYC DEPARTMENT OF FINANCE **BOX 1117 WALL STREET STATION** NEW YORK, NY 10268-1117

ALL OTHER RETURNS NYC DEPARTMENT OF FINANCE **BOX 1130 WALL STREET STATION** NEW YORK, NY 10268-1130