

September 25, 2022

Dear American Thoracic Society,

The New York City (NYC) Department Health and Mental Hygiene (DOHMH) has made it a priority to place equity and antiracism at the core of its collaborative work with health systems across the city. This is such a priority for us that our Board of Health declared racism a public health crisis in October 2021 and mandated a series of actions be taken by our agency to advance anti-racism in public health policy and practice. We are gravely concerned at the continued use of race and ethnicity in clinical algorithms, which have severe consequences in the diagnoses and care of patients of color. Race is a sociopolitical construct. It does not represent biological variation, and ultimately, its use in clinical algorithms is unscientific and detrimental to patients of color whose spirometry results are "adjusted" for their race. While the European Respiratory Society and American Thoracic Society interpretive standards guidelines of 2021 discourage the approach of fixed adjustment factors for race, more needs to be done to substantially impact inequities in pulmonary health as well as overall population health. Race norming is contributing to lung health inequities in the US and globally. On this World Lung Day 2022 we urge the ATS to immediately issue guidance to end the use of race adjustment in spirometry testing.

Race adjustment in spirometry, incorrectly assumes that Black and Asian individuals have innately lower lung capacity compared to White individuals. Frighteningly, spirometry was used to demonstrate exactly these kinds of false physiological differences in lung capacity between races in order to justify slavery. Scientists agree that race does not represent biological differences and the human genome project has demonstrated that there are more genetic difference within races than between races. Race is a sociopolitical construct that has had relentless effects on racial health inequities, not through innate biological differences, but through systematic racism.

Black patients experience significantly worse outcomes in chronic lung disease and asthma. This demands immediate action. A significant body of evidence has shown that race adjustments in spirometry are not scientifically justified.^{7,8} Patients of color are being underdiagnosed and not receiving certain types of care or transplantation. Astonishingly, removing race adjustment from spirometry could results in as much as a 20% increase in diagnosis of Black patients with any pulmonary condition.⁹

COVID-19, a respiratory illness, disproportionately impacted Black, Indigenous and people of color (BIPOC) communities on a backdrop of underdiagnosed and under treated pulmonary illnesses. Addressing the full recovery from COVID-19 infection and the potential post-acute sequalae of COVID-19 (i.e. Long COVID) are an important issue in public health. Because of race adjustment in spirometry, Black patients will inexcusably be less likely to be diagnosed with post-acute respiratory COVID-19 sequelae such as pulmonary fibrosis, which will continue to exacerbate racial inequities in pulmonary health.

As an utmost priority in tackling racial health inequities, the NYC DOHMH has launched the Coalition to End Racism in Clinical Algorithms (CERCA), which is the first citywide initiative addressing race norming as a public health priority. As part of the coalition, eleven health systems across NYC have committed to eliminating at least one race-based algorithm from clinical practice, including several systems addressing the inappropriate use of race adjustment in spirometry. Disastrously, race as biology continues to permeate clinical practice and it must end immediately.

Recently, the National Kidney Foundation (NKF) and the American Nephrology Society (ASN) published new recommendations from their long-awaited joint taskforce reassessing the inclusion of race in diagnosis of kidney disease. The consensus recommendation was that the race variable be removed immediately from estimated glomerular filtration (eGFR) across the United States. This is a bold step forward in advancing racial equity in health care.

World Lung Day presents an opportunity to raise awareness and spur action towards health equity in pulmonary care. The ATS should have a crucial role in leading the work to promote the end of use of race adjustment in spirometry. The NYC DOHMH and the members of CERCA would like to work with the ATS leadership and colleagues to accelerate addressing this most urgent matter in racial justice and health equity. We would appreciate a response from ATS leadership on the following requests:

- 1. The NYC DOHMH Chief Medical Officer would like to meet with ATS leadership to further discuss the work of CERCA, plans to address the inappropriate use of race adjustment in spirometry, and what commitments ATS can make to support ending racism in clinical algorithms.
- 2. What work is currently being carried out at ATS to address the misuse of race adjustment in lung function calculation? Does ATS have plans and a timeline to launch a taskforce to reassess this issue; and if so when would leadership expect to make recommendations?
- 3. What role does the ATS leadership envision having in patient engagement, and redressing of underdiagnosis and inadequate treatment for pulmonary conditions among communities of color because of race adjustment in spirometry?

We thank you for your consideration and attention to this important and urgent health equity issue.

Sincerely,

Ashwin Vasan, MD, PhD

Commissioner

New York City Department of Health and Mental Hygiene

Michelle Morse, MD, MPH

Chief Medical Officer

Deputy Commissioner, Center for Health Equity and Community Wellness

NYC Department of Health and Mental Hygiene

Dorothy E. Roberts, JD

George A. Weiss University Professor of Law & Sociology

Raymond Pace & Sadie Tanner Mossell Alexander Professor of Civil Rights

Professor of Africana Studies

Director, Penn Program on Race, Science & Society

University of Pennsylvania

David Ansell, MD, MPH

SVP, Health Equity

Rush University Medical Center

Camara Phyllis Jones, MD, MPH, PhD

Past President, American Public Health Association

Leverhulme Visiting Professor in Global Health and Social Medicine, King's College London

Amaka Eneanya, MD, MPH, FASN Head of Strategy and Operations Fresenius Medical Care

Jennifer Tsai, MD, MEd Physician, Department of Emergency Medicine Yale New Haven Hospital

Garfield A. D. Clunie, MD
Associate Professor of Obstetrics and Gynecology
Division of Maternal-Fetal Medicine
Vice-Chair, Diversity, Equity and Inclusion
NYU Grossman School of Medicine/NYU Langone Health
123rd President, National Medical Association

Brian Garnet, MD
Associate Program Director, Pulmonary & Critical Care Fellowship
Director of Pulmonary Function Testing, Miami VA Medical Center
Assistant Professor of Medicine
Division of Pulmonary, Critical Care, and Sleep Medicine
University of Miami Miller School of Medicine

Lisa A. Maier, MD, MSPH
Chief, Division of Environmental and Occupational Health Sciences
National Jewish Health
Professor of Medicine
Division of Pulmonary Sciences and Critical Care Medicine
Department of Medicine, School of Medicine
Department of Environmental/Occupational Health, Colorado School of Public Health
University of Colorado Anschutz Medical Campus

Institute for Healing & Justice in Medicine

David S. Jones, MD, PhD
Harvard College Professor
A. Bernard Ackerman Professor of the Culture of Medicine
Faculty of Arts and Sciences and the Faculty of Medicine
Professor of Epidemiology, Harvard T.H. Chan School of Public Health
Harvard University

Eric C. Appelbaum, DO, MBA, FACOI Chief Operating Officer – Senior Executive Vice President SBH Health System

Al Friedman, MD Chief Medical Officer Yale New Haven Hospital

Lou Hart, MD Medical Director, Health Equity Yale New Haven Hospital

Monica Hahn, MD, MPH, MS Co-Founder, Institute for Healing & Justice in Medicine Associate Clinical Professor UCSF Dept of Family & Community Medicine Scott D. Halpern, M.D., Ph.D.

John M. Eisenberg Professor of Medicine, Epidemiology, and Medical Ethics & Health Policy Director, Palliative and Advanced Illness Research (PAIR) Center:pair.upenn.edu Director, Behavioral Economics to Transform Trial Enrollment Representativeness (BETTER) Center University of Pennsylvania Perelman School of Medicine

Greg Martin, MD, MSc
Professor of Medicine
Emory University School of Medicine
Director- Predictive Health Institute and Center for Health Discovery and Well Being
Executive Associate Division Director
Division of Pulmonary, Allergy, Critical Care, and Sleep Medicine

Fernando Holguin, MD, MPH James C. Campbell Professor of Pulmonary Medicine Division of Pulmonary Sciences and Critical Care. University of Colorado

Tom Balcezak, MD, MPH EVP, Chief Clinical Officer Yale New Haven Hospital

Deborah Rhodes, MD, FACP VP, Care Signature & Associate CMO Yale New Haven Hospital

David A. Beuther, MD, PhD, FCCP Chief Medical Information Officer Professor of Medicine National Jewish Health, Denver, CO

Sonia C. Flores, PhD Professor Vice Chair for Diversity and Justice Department of Medicine University of Colorado

E. Wesley Ely, MD, MPH
Professor of Medicine and Critical Care
Co-director, Critical Illness, Brain Dysfunction, and Survivorship (CIBS) Center
Vanderbilt University Medical Center and Nashville VA

David J De La Zerda, MD, FCCP Fellowship Program Director, Pulmonary & Critical Care Medicine Director, Medical Intensive Care Unit, Jackson Memorial Hospital Associate Professor of Medicine Division of Pulmonary & Critical Care Medicine University of Miami

Julia Cron, MD
Site Chief and Vice Chair
Department of Obstetrics and Gynecology
New York-Presbyterian Lower Manhattan Hospital
Weill Cornell Medicine
Obstetrics and Gynecology

Aaron Baugh, MD Assistant Professor of Medicine University of California San Francisco

Ayodeji Adegunsoye, MD, MS, FCCP Assistant Professor of Medicine Pritzker School of Medicine The University of Chicago

Brian P. Dickover, MD Pulmonary, Critical Care, Sleep Medicine Medical Director, ICU Michigan City, IN

Arielle Elmaleh-Sachs, MD
Postdoctoral Clinical Fellow
Department of General Internal Medicine
Columbia University Medical Center

M. Patricia George, MD Associate Professor of Medicine National Jewish Health, Denver CO

Ricky Darnell Grisson, II, MD, MBA, MPH Assistant Professor of Pathology The Warren Alpert Medical School of Brown University

Daniel Colon Hidalgo, MD, MPH
Pulmonary and Critical Care Medicine Fellow
University of Colorado Anschutz Medical Campus

Gregory E Holt, MD, PhD
Associate Professor of Medicine
Division of Pulmonary/Critical Care Medicine
Member, Sylvester Comprehensive Cancer Center
Miller School of Medicine
University of Miami

Alexander Moffett, MD Pulmonary and Critical Care Fellow Hospital of the University of Pennsylvania

Luis E. Seija, MD Chief Resident, Internal Medicine-Pediatrics Icahn School of Medicine at Mount Sinai

Prescott Woodruff, MD Professor of Medicine University of California San Francisco

Maria G. Tupayachi Ortiz, MD
Assistant Professor
Adult Cystic Fibrosis Center Director
Cystic Fibrosis Therapeutic and Development Center Director
Division of Pulmonary, Critical Care and Sleep Medicine
University of Miami, Miller School of Medicine

Amen Sergew, MD Associate Professor Pulmonary Critical Care University of Colorado

Zulma Yunt, MD
Associate Professor of Medicine
Clinic Director, Interstitial Lung Disease Program
National Jewish Health, Denver, CO

William F. Parker, MD, MCSP, PhD
Assistant Professor of Medicine and Public Health Sciences
Section of Pulmonary and Critical Care
Assistant Director, MacLean Center for Clinical Medical Ethics
University of Chicago

Leslie L. Seijo, MD Clinical Instructor, Pulmonary Critical Care University of California, San Francisco

References

- 1. NYC-DOHMH. Board of Health Passes Resolution Declaring Racism a Public Health Crisis. https://www1.nyc.gov/site/doh/about/press/pr2021/board-of-health-declares-racism-public-health-crisis.page
- 2. Vyas DA, Eisenstein LG, Jones DS. Hidden in plain sight—reconsidering the use of race correction in clinical algorithms. Mass Medical Soc; 2020. p. 874-882.
- 3. Stanojevic S, Kaminsky DA, Miller MR, et al. ERS/ATS technical standard on interpretive strategies for routine lung function tests. *European Respiratory Journal*. 2022;60(1)
- 4. Braun L. Breathing race into the machine: The surprising career of the spirometer from plantation to genetics. U of Minnesota Press; 2014.
- 5. American Association of Biological Anthropologists Statement on Race & Racism. https://bioanth.org/about/position-statements/aapa-statement-race-and-racism-2019/
- 6. Jorde LB, Wooding SP. Genetic variation, classification and race'. *Nature genetics*. 2004;36(11):S28-S33.
- 7. Elmaleh-Sachs A, Balte P, Oelsner EC, et al. Race/ethnicity, spirometry reference equations, and prediction of incident clinical events: The Multi-Ethnic Study of Atherosclerosis (MESA) Lung Study. *American journal of respiratory and critical care medicine*. 2022;205(6):700-710.
- 8. Liu GY, Khan SS, Colangelo LA, et al. Comparing Racial Differences in Emphysema Prevalence Among Adults With Normal Spirometry: A Secondary Data Analysis of the CARDIA Lung Study. *Annals of Internal Medicine*. 2022;
- 9. Moffett A, Eneanya N, Halpern S, Weissman G. The impact of race correction on the interpretation of pulmonary function testing among Black patients. *A7 A007 Impact of Race, Ethnicity, and Social Determinants on Individuals with Lung Diseases*. American Thoracic Society; 2021:A1030-A1030.
- 10. NYC-DOHMH. Dear Colleague Letter on Long COVID. https://www1.nyc.gov/assets/doh/downloads/pdf/covid/providers/letter-long-covid.pdf
- 11. Anderson MA, Malhotra A, Non AL. Could routine race-adjustment of spirometers exacerbate racial disparities in COVID-19 recovery? *The Lancet Respiratory Medicine*. 2021;9(2):124-125. doi:10.1016/s2213-2600(20)30571-3
- 12. Delgado C, Baweja M, Crews DC, et al. A unifying approach for GFR estimation: recommendations of the NKF-ASN task force on reassessing the inclusion of race in diagnosing kidney disease. *American Journal of Kidney Diseases*. 2021;