Pursuant to Local Law 23 of 2019, the Department is required to report on allegations of sexual abuse, sexual harassment, and interventions on visitors to Departmental facilities for cases that lasted longer than 90 days within the preceding six month period, (07/01/2021 to 12/31/2021), and for cases that were concluded within the preceding six months. The following report outlines the number and percentage of the information required by subdivisions c and d of the law. Data that cannot be aggregated has been provided to the Council and BOC but has not been included in this report. Data on interventions has been separated and is included in the last section of this report (pg. 3) to clarify the difference between an alleged incident and interventions. To avoid identifying unique instances of incidents which may risk re-identification, certain data is reported as "<6".

Beginning on April 1, 2020, the Department launched a televisiting program to provide a socially distanced opportunity for individuals in custody and their family and friends to maintain connections during the pandemic. Pursuant to definitions outlined in Local Law 23 of 2019, the Department did not have any allegations of sexual abuse or sexual harassment that took place during in-person professional visits or televisits during the reporting period. During this reporting period, the Department temporarily suspended in-person visits on December 22, 2021; they resumed on February 9, 2022.

<u>Subdivision c – Data related to staff on visitor incident investigations that lasted longer than</u> <u>90 days within the preceding six-month period:</u>

1. The date on which the alleged incident occurred and whether the incident took place between the times 7:00 AM and 3:00 PM, 3:00 PM and 11:00 PM, and 11:00 PM and 7:00 AM.¹

Time of Alleged Incident	Number of Staff on Visitor Allegations	Percent of Staff on Visitor Allegations
0700x1500	0	0%
1500x2300	0	0%
Unknown	0	0%
Total	0	100%

¹ The date in which the incident occurred cannot be reported in the aggregate.

2. Whether the alleged incident occurred at Rikers Island or at a borough facility, and at which facility the incident occurred.

Rikers or Borough	Facility	Number of Allegations	Percent of Allegations
Rikers	AMKC	0	0%
Rikers	EMTC	0	0%
Rikers	GRVC	0	0%
Borough	MDC	0	0%
Rikers	OBCC	0	0%
Rikers	RMSC	0	0%
Rikers	SOD	0	0%
Borough	VCBC	0	0%
Tota	al	0	100%

3. The method by which the alleged incident was reported and the date of reporting.²

Method of	Staff on Visitor Allegation		
Reporting	Number of Allegations	Percent of Allegations	
311	0	100%	
Total	0	100%	

4. Whether the alleged perpetrator completed staff training pursuant to subdivision f, and the last date such training was received.³

No allegations were made during this reporting period.

5. The gender of the alleged victim.

Alloged Vistim's	Staff on Visitor Allegations		
Alleged Victim's Gender	Number of Alleged Incidents	Percent of Alleged Incidents	
	incluents		
Male	0	0%	
Female	0	0%	
Total	0	100%	

² The date of the alleged incident cannot be reported in the aggregate.

³ The last date that the training was received cannot be reported in the aggregate.

6. Whether the alleged victim at the time of the incident was between the ages of 18-25, 26-35, 36-40, 41-60, over 60, or under 18.

Alleged	Staff on Visitor Allegation		
Victim's Age Range	Number of Alleged Incidents	Percent of Alleged Incidents	
18<	0	0%	
18-25	0	0%	
26-35	0	0%	
36-40	0	0%	
41-60	0	0%	
60>	0	0%	
Unknown	0	0%	
Total	0	100%	

<u>Subdivision d - Data related to allegations of staff on visitor incident investigations that</u> <u>concluded within the preceding six-month period.</u>

During the preceding six-month period (07/01/2021 to 12/31/2021), one (1) investigation into an allegation of staff-on-visitor incident was concluded.

1. The date on which the alleged incident occurred and whether the incident took place between the times 7:00 AM and 3:00 PM, 3:00 PM and 11:00 PM, and 11:00 PM and 7:00 AM.⁴

Time of Alleged Incident	Number of Staff on Visitor Allegations	Percent of Staff on Visitor Allegations
0700x1500	1	100%
1500x2300	0	0%
Unknown	0	0%
Total	0	100%

⁴ The date in which the incident occurred cannot be reported in the aggregate.

2. Whether the alleged incident occurred at Rikers Island or at a borough facility, and at which facility the incident occurred.

Rikers or Borough	Facility	Number of Allegations	Percent of Allegations
Rikers	AMKC	0	0%
Rikers	EMTC	0	0%
Rikers	GRVC	0	0%
Borough	MDC	0	0%
Rikers	OBCC	0	0%
Rikers	RMSC	0	0%
Rikers	SOD	0	0%
Rikers	WF	0	0%
Borough	BKDC	0	0%
Borough	VCBC	1	100%
Tota	al	1	100%

3. The method by which the alleged incident was reported and the date of reporting.⁵

Method of	Staff on Visitor Allegation		
Reporting	Number of Allegations	Percent of Allegations	
311	1	100%	
Total	1	100%	

4. Whether the alleged perpetrator completed staff training pursuant to subdivision f, and the last date such training was received.⁶

The alleged victim did not provide identification or description of the alleged perpetrator. As a result, it can not be determined whether or not training was provided.

⁵ The date of the alleged incident cannot be reported in the aggregate.

⁶ The last date that the training was received cannot be reported in the aggregate.

5. The gender of the alleged victim.

Alleged Victim's	Staff on Visitor Allegations		
Gender	Number of Alleged	Percent of Alleged	
Gender	Incidents	Incidents	
Male	0	0%	
Female	1	100%	
Total	1	100%	

6. Whether the alleged victim at the time of the incident was between the ages of 18-25, 26-35, 36-40, 41-60, over 60, or under 18.

Alleged	Staff on Visitor Allegation		
Victim's Age Range	Number of Alleged Incidents	Percent of Alleged Incidents	
18<	0	0%	
18-25	0	0%	
26-35	1	100%	
36-40	0	0%	
41-60	0	0%	
60>	0	0%	
Unknown	0	0%	
Total	1	100%	

Subdivision c (Interventions)

For the purposes of this report, the Department understands incidents of "interventions" to mean verified instance in which staff use their hands, other parts of their body, or other physical method to restrain, subdue, or compel a visitor to act or stop acting in a particular manner. The only verified information that speaks to this provision are interventions that occur over the course of an arrest of a visitor.

Arrests are authorized as a result of visitor behavior violates city, state, or federal law. Arrests may occur as a result of a visitor's attempt to introduce weapon or drug contraband into the facility or an assault on a staff member. For the purposes of this section, perpetrators are instead referred to as "members of service" and victims are referred to as "individuals subject to arrest".

1. The date on which the intervention occurred and whether the intervention took place between the times 7:00 AM and 3:00 PM, 3:00 PM and 11:00 PM, and 11:00 PM and 7:00 AM.⁷

Time of Intervention	Number of Interventions	Percent of Interventions
0700x1500	10	34.48%
1500x2300	19	65.52%
2300x0700	0	0%
Total	29	100%

2. Whether the intervention occurred at Rikers Island or at a borough facility, and at which facility the intervention occurred.

Facility	Borough or Rikers	Number of Interventions	Percent of Interventions
АМКС	Rikers	6	20.69%
BHPW	Borough	1	3.45%
BKDC	Borough	0	0%
EMTC	Rikers	0	0%
GRVC	Rikers	2	6.90%
MDC	Borough	0	0%
NIC	Rikers	2	6.90%
OBCC	Rikers	2	6.90%
RMSC	Rikers	0	0%
RNDC	Rikers	9	31.03%
SOD (Central Visits)	Rikers	2	6.90%
VCBC	Borough	5	17.23%
Tota		29	100%

3. The method by which the intervention was reported and the date of reporting⁸.

Method of Reporting	Number of Reports	Percent of Reports
Arrest of Visitor	26	100%
Total ⁹	26	100%

⁷ The date on which the arrest occurred cannot be reported in the aggregate.

⁸ The date on which the intervention was reported cannot be reported in the aggregate.

⁹ There were 3 incidents in which 2 visitors were arrested, totaling 29 arrests out of 26 reported incidents.

4. Whether the involved members of service completed staff training pursuant to subdivision f, and the last date such training was received.

In May 2019, the Department contracted with the Osborne Association to provide a customer service and community sensitivity training to all Department visit captains and officers. The training was additionally provided to visitor shuttle bus drivers, bail window facilitators, and K9 officers who interact with visitors, bringing the total to 242 staff members scheduled to participate. The full-day training was specifically designed for public-facing Department staff and covered a range of topics, including implicit bias, deescalation strategies, and stress management.

The Department has worked with the Osborne Association to develop a follow-up refresher course based on trainings conducted in May and June 2019 that focused on customer service, de-escalation strategies, implicit bias, and stress management. This fall, the Department finalized a half day refresher training that will be rolled out for the same staff members who participated in the initial Osborne Association training. The in-house refresher module is entitled "Facilitating Successful Visits," and covers the importance of visits to people in custody and the central role visits play in the Department's rehabilitation-centered correctional mission. The refresher includes activities for participants which highlight the perspective of friends and family members who visit people in custody. In October 2020 the Training Division formally rolled out the in-person "Facilitating Successful Visits" training for related personnel.

As of July 2021, all staff members identified as having frequent interactions with visitors have completed both the Visitor Pat Frisk course and the Facilitating Successful Visits course, except for those individuals identified on leave, medically-monitored or otherwise unavailable for training. Of the 207 staff members assigned to the Central Visit House, facility Visits, the Central Intelligence Bureau, and the Departmental Canine Unit, 174 staff members completed Visitor Pat-Frisk training and 196 staff members completed the Facilitating Successful Visits course.

In December 2021, the Department initiated development of an 8-hour refresher course that combines the topics and performance objectives covered in both the Visitor Pat Frisk and Facilitating Successful Visits courses, but also includes additional information on working with LGBTQ+ visitors, as well as a practical application refresher of the most commonly used security devices, including the magnetometer and line-scan machines. The Department hopes to finalize this training course and begin training all staff identified as having frequent interactions with visitors before the end of FY22.

Training Compliance	Staff Involved in Intervention	
Training Compliance	Number of Staff	Percentage of Staff
Trained	17	58.62%
Not Trained ¹⁰	12	41.38%
Total ¹¹	29	100%

5. The gender of the individual subject to arrest.

Visitor's Gender	Number of Interventions	Percent of Interventions
Male	4	13.79%
Female	25	86.21%
Total	29	100%

6. Whether the individual subject to arrest was between the ages of 18-25, 26-35, 36-40, 41-60, over 60, or under 18.

Visitor's Age Range	Number of Interventions	Percent of Interventions
>18	<6	3.45%
18-25	12	41.38%
26-35	11	37.93%
36-40	<6	3.45%
41-60	<6	10.34%
>60	0	0%
Unknown	1	3.45%
Total	29	100%

¹⁰ Of the 12 members of service not trained, 4 resigned or retired and 8 members of service are pending training.

¹¹ 29 staff members were involved in the 26 incidents- many of them were involved in multiple incidents. Trained staff were present at every incident.