

**New York City Department of Correction
Quarterly Emergency Lock-In Report
CY2022 Annual (January 1st – December 31st, 2022)**

This report provides information regarding the rate of emergency lock-ins that the New York City Department of Correction (DOC or Department) is required to report pursuant to New York City Administrative Code §9-151. This reporting time frame is from January 1st – December 31st, 2022.

1. The number of department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

During this timeframe, the Department did not have a department- wide emergency lock-in.

2. The number of facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons ¹	# Lock-Ins
EMTC	Other ²	1
GRVC	Investigation-other	1
	Other	1
RNDC	TSO	2
VCBC	Escape	1

3. The number of lock-in extensions disaggregated by facility and housing area, in total and disaggregated by housing area type and reason for lock-in extension.

Facility	Housing Area	HA Type	Lock-In Reasons	# Lock-Ins
GRVC	10A	GP	Institutional Count	1
	10B	GP	Institutional Count	1
	11A	ESH Level 1	Institutional Count	1
	11BQ1	Restrictive	Institutional Count	1
	11BQ2	Restrictive	Institutional Count	1
	11BQ3	Restrictive	Institutional Count	1
	11BQ4	Restrictive	Institutional Count	1

¹ Emergency Lock-Ins are tracked using the following categories: Assault on Staff, Escape, Fight, Tension, Search, Red Alert, Slashing/Stabbing, SRG Activity, Tactical Search Operation, UOF Investigation, Investigation-Other, and Other.

² The "Other" category may include incidents such as a missing institutional razor, assault on staff, the discovery of dangerous contraband, or investigations into a fight, among other things.

	13A	ESH Level 2	Institutional Count	1
	13B	ESH Level 2	Institutional Count	1
	15A	GP	Institutional Count	1
	15B	ESH Level 1	Institutional Count	1
	17A	GP	Institutional Count	1
	17B	ESH Level 1	Institutional Count	1
	19A	GP	Institutional Count	1
	19B	GP	Institutional Count	1
	1A	GP	Institutional Count	1
	2A	GP	Institutional Count	1
	3A	GP	Institutional Count	1
	3B	GP	Institutional Count	1
	4A	GP	Institutional Count	1
	4B	GP	Institutional Count	1
	5A	GP	Institutional Count	1
	5B	GP	Institutional Count	1
	7A	GP	Institutional Count	1
	7B	GP	Institutional Count	1
	8A	GP	Institutional Count	1
	8B	GP	Institutional Count	1
	9A	GP	Institutional Count	1
	9B	GP	Institutional Count	1
	RR	Entire Facility	Institutional Count	1

4. The number of partial facility emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department.

Facility	Lock-In Reasons	# Lock-Ins
GRVC	Counts	1
	Investigation-other	3
	Other	2
	SRG Activity	10
	Slashing/Stabbing	3
	TSO	4
	UOF Investigation	4
RNDC	Slashing/Stabbing	5
	TSO	4

5. The number of housing area emergency lock-ins disaggregated by facility and housing area, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type ³	Lock-In Reasons	# Lock-Ins
AMKC	GP	Assault on Staff	1
		Investigation-other	3
		Other	5
		Search	2
		Slashing/Stabbing	70
		TSO	7
		UOF Investigation	3
EMTC	GP	Inmate Fight	1
		Investigation-other	4
		Other	2
		Slashing/Stabbing	31
		UOF Investigation	2

³ Beginning with the FY23 Q2 report, housing area type "Neither/Unknown" and "Non-Housing Area" are no longer utilized as a descriptor for "HA Type." In this annual report, lock-ins that were previously categorized in FY22 Q3, FY22 Q4, and FY23 Q1 reports as "Neither/Unknown" or "Non-Housing Area" are properly categorized as either GP or Restrictive.

GRVC	GP	Assault on Staff	3
		Death of an Inmate	2
		Investigation-other	30
		Other	13
		SRG Activity	15
		Slashing/Stabbing	163
		TSO	1
		UOF Investigation	103
	Restrictive	Investigation-other	6
		Other	2
		SRG Activity	2
		Slashing/Stabbing	29
		UOF Investigation	11
NIC	GP	Slashing/Stabbing	2
		Other	1
OBCC	GP	Inmate Fight	3
		Investigation-other	10
		Other	5
		Search	1
		Slashing/Stabbing	46
		UOF Investigation	3
RNDC	GP	Assault on Staff	5
		Inmate Assault	1
		Inmate Fight	6
		Investigation-other	60
		Other	46
		SRG Activity	2
		Search	1
		Slashing/Stabbing	117
		TSO	13
		UOF Investigation	212

VCBC	GP	Investigation-other	6
		Other	3
		Slashing/Stabbing	15
		UOF Investigation	1

6. The mean and median number of incarcerated individuals housed in areas affected by housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the housing area type.

Facility	HA Type	Population Count (Mean)	Population Count (Median)
AMKC	GP	32.61	28
EMTC	GP	30.46	31
GRVC	GP	35.75	38
	Restrictive	4.51	4
NIC	GP	10	10
OBCC	GP	26.36	27
RNDC	GP	15.21	14
VCBC	GP	42.64	43

7. (a) The mean and median duration of emergency lock-ins disaggregated by department-wide emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (b) facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (c) partial facility emergency lock-ins, in total and disaggregated by the reason for such emergency lock-in, as determined by the department; (d)and housing area emergency lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and housing area type.

(a) The mean and median duration of department-wide emergency

During this timeframe, the Department did not have a department-wide emergency lock-in.

(b) Mean and median duration of facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
EMTC	Other	2	2
GRVC	Investigation-other	2	2
	Other	7	7

RNDC	TSO	7	7
VCBC	Escape	3.25	3.25

(c) Mean and median duration of partial facility emergency lock-in

Facility	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
GRVC	Counts	6	6
	Investigation-other	6.7	7
	Other	4.54	5
	SRG Activity	3.68	3.75
	Slashing/Stabbing	5	5
	TSO	5.77	6
	UOF Investigation	3.69	2
RNDC	Slashing/Stabbing	5.07	5.75
	TSO	5.99	7

(d) Mean and median duration of housing area (HA) emergency lock-in

Facility	HA Type	Lock-In Reasons	Mean Duration (Hours)	Median Duration (Hours)
AMKC	GP	Assault on Staff	7	7
		Investigation-other	4.67	5
		Other	3.61	2.78
		Search	3.25	3.25
		Slashing/Stabbing	4.16	4.89
		TSO	5.57	6
		UOF Investigation	5.67	7
EMTC	GP	Inmate Fight	5.5	5.5
		Investigation-other	2.73	2
		Other	3.56	5
		Slashing/Stabbing	3.41	2
		UOF Investigation	4.5	4.5

GRVC	GP	Assault on Staff	4.42	5
		Death of an Inmate	2.04	2.04
		Investigation-other	4.22	2
		Other	3.26	2
		SRG Activity	4.47	5
		Slashing/Stabbing	4.41	5
		TSO	7	7
		UOF Investigation	4.53	5
	Restrictive	Investigation-other	2.83	2
		Other	3.67	2
		SRG Activity	5.4	5
		Slashing/Stabbing	4.01	5
		UOF Investigation	5.08	7
	NIC	GP	Slashing/Stabbing	4.33
Other			7	7
OBCC	GP	Inmate Fight	2.73	1.5
		Investigation-other	3.82	2
		Other	3.25	2.25
		Search	3.25	3.25
		Slashing/Stabbing	4.62	5
		UOF Investigation	3.5	3.5
RNDC	GP	Assault on Staff	4	4.5
		Inmate Assault	4.5	4.5
		Inmate Fight	5.04	5
		Investigation-other	5	5.5
		Other	5	5
		SRG Activity	2	2
		Search	2	2
		Slashing/Stabbing	4.24	5
		TSO	5.67	6
		UOF Investigation	4.57	5

VCBC	GP	Investigation-other	3.79	3.38
		Other	3.03	2
		Slashing/Stabbing	4.23	5
		UOF Investigation	2.2	2.2

8. The number of times mandated services are affected by an emergency lock-in or lock in extension, disaggregated by service type.

Mandated Service	Service Type	Mandated Count
Educational Services	Cancelled	33
	Delayed	49
Law Library	Cancelled	56
	Delayed	146
Recreation	Cancelled	114
	Delayed	333
Religious Services	Cancelled	38
	Delayed	72
Sick Call ⁴	Cancelled	10
	Delayed	43
Visits	Cancelled	86
	Delayed	196

⁴ The sick call triage hotline is always available to people during all lock out hours. An emergency lock in would not prevent access to the hotline unless access to phones had been restricted as a part of the emergency lock in measure. Nevertheless, emergency lock ins do not prevent medical services and anyone with a medical need can still access the clinic during an emergency lock-in.

9. The mean and median duration of continuous lock-ins disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.

Facility	HA Type	Lock-In Reasons	Mean Duration	Median Duration
AMKC	GP	Assault on Staff	7	7
		Investigation-other	4.67	5
		Other	3.61	2.78
		Search	3.25	3.25
		Slashing/Stabbing	4.19	5
		TSO	5.57	6
		UOF Investigation	5.67	7
EMTC	GP	Inmate Fight	5.5	5.5
		Investigation-other	2.73	2
		Other	3.56	5
		Slashing/Stabbing	3.41	2
		UOF Investigation	4.5	4.5
GRVC	GP	Assault on Staff	4.42	5
		Death of an	2.04	2.04
		Investigation-other	4.85	7
		Other	5.30	5
		Slashing/Stabbing	4.58	5
		SRG Activity	3.77	3.75
		TSO	5.76	5
		UOF Investigation	4.53	5
	GP & Restrictive	Investigation-other	4.5	4.5
		SRG Activity	3.59	2.88
	Restrictive	Investigation-other	3.5	2
		Other	4.33	5
		Slashing/Stabbing	4.12	5
		SRG Activity	4.13	4
		TSO	6.17	6.5

		UOF Investigation	4.97	5
NIC	GP	Slashing/Stabbing	4.33	4.33
		Other	7	7
OBCC	GP	Inmate Fight	2.73	1.5
		Investigation-other	3.82	2
		Other	3.25	2.25
		Search	3.25	3.25
		Slashing/Stabbing	4.62	5
		UOF Investigation	3.5	3.5
RNDC	GP	Assault on Staff	4	4.5
		Inmate Assault	4.5	4.5
		Inmate Fight	5.04	5
		Investigation-other	5	5.5
		Other	5	5
		Search	2	2
		Slashing/Stabbing	4.52	5
		SRG Activity	2	2
		TSO	6.2	7
		UOF Investigation	4.57	5
VCBC	GP	Escape	3.25	3.25
		Investigation-other	3.79	3.38
		Other	3.03	2
		Slashing/Stabbing	4.23	5
		UOF Investigation	2.2	2.2

10. The number of times that the duration of a continuous lock-in exceeds 24 hours, disaggregated by facility, in total and disaggregated by the reason for such emergency lock-in, as determined by the department, and the housing area type.⁵

Facility	HA Type	Lock-In Reasons	# Exceeds 24 Hour Lock-In
AMKC	GP	Slashing/Stabbing	1
GRVC	GP	SRG Activity	16
		Slashing/Stabbing	22
		UOF Investigation	5
	GP & Restrictive	SRG Activity	2
	Restrictive	SRG Activity	4
		UOF Investigation	4
OBCC	GP	Slashing/Stabbing	5
RNDC	GP	Other	1
		Slashing/Stabbing	5
		UOF Investigation	9
VCBC	GP	Slashing/Stabbing	1

In comparison to the previous reporting period, department-wide lockdowns stayed the same. The number of facility emergency lock-ins decreased. The number of lock-in extensions increased. The number of partial facility lock-ins increased. The number of mandated services affected by an emergency lock-in increased. The number of continuous lock-ins exceeding 24 hours increased.

To view comparison numbers, please visit:

https://www1.nyc.gov/site/doc/about/emergency_lock-in_Reports.page

⁵ Pursuant to local law 164, a continuous lock-in as used in this report refers to any period of time during which incarcerated individuals are confined to their cells or beds due to the combination of an emergency lock-in and either a scheduled lock-in or a lock-in extension, or both. For the purposes of this data metric, these 24-hour lock-in periods are continuous lock-ins, including both scheduled lock-ins and emergency-lock-in periods.