

# Inspection Checklist: Bail Bonds

*Are you a bail bond agent?*

*Does your business sell or offer to sell bail bonds for a fee?*

Use this checklist to learn what our inspectors look for and help avoid violations. All businesses also must comply with the **General Retail Inspection Checklist**, which is included at the end for easy reference.

For your convenience, each Requirement includes the relevant section of law and/or rule, so you can refer to it for more information. The **KEY** below describes the legal citations and symbols used in this checklist.

<b>KEY</b>
<b>NYC Code:</b> NYC Administrative Code
<b>RCNY:</b> Rules of the City of New York
<b>§:</b> Section

Requirement	Do you meet this requirement?
<b>Signage</b>	
<p><b>1</b> The Bail Bond Fees sign must be posted at each counter, desk, or other location where transactions are executed and where all consumers can see it.</p> <p>Download the <a href="#">sign</a> from the DCA website <a href="http://nyc.gov/dca">nyc.gov/dca</a>.</p> <p><b>Tip:</b> The sign must be at least 17 inches by 28 inches.</p> <p><b>NYC Code §20-832; 6 RCNY §5-273(d)</b></p>	<input type="checkbox"/> Yes
<p><b>2</b> The Bail Bond Agent Disclosures sign must be posted where transactions are executed and where consumers can see it. The sign must include the following information:</p> <ul style="list-style-type: none"> <li>• Bail bond agent’s name as registered with the New York State Department of Financial Services (DFS)</li> <li>• Agent’s DFS license number</li> <li>• All addresses and phone numbers registered under DFS license</li> <li>• Names of all sublicensees registered under DFS license</li> </ul> <p><b>Tip:</b> The sign must be at least 8.5 inches by 11 inches.</p> <p><b>Tip:</b> Download the <a href="#">template sign</a> from the DCA website <a href="http://nyc.gov/dca">nyc.gov/dca</a>.</p> <p><b>Tip:</b> You must also give consumers a flyer at least 8.5 inches by 11 inches with this same information before entering into a contract. You can use the same template for the flyer.</p> <p><b>NYC Code §§20-831(b) and (c); 6 RCNY §§5-273(a) and (b)</b></p>	<input type="checkbox"/> Yes

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Requirement	Do you meet this requirement?
<b>Consumer Bill of Rights</b>	
<p><b>3</b> You must provide every consumer with the Bail Bond Consumer Bill of Rights before entering into a contract.</p> <p>Download the <a href="#">Bail Bond Consumer Bill of Rights</a> from the DCA website <a href="#">nyc.gov/dca</a>.</p> <p><b>Tip:</b> The consumer must sign and date the Bill of Rights.</p> <p><b>Tip:</b> You must keep copies of the signed Bill of Rights for five years and have them available for inspection.</p> <p><b>Tip:</b> The Bill of Rights must be provided in English and, if the consumer speaks a language other than English, you must also provide it in the consumer's language if the translation is available on the DCA <a href="#">website</a>.</p> <p><b>Tip:</b> The Bill of Rights must be at least 8.5 inches by 11 inches.</p> <p><b>NYC Code §§20-831(c) and (f); 6 RCNY §5-273(c)</b></p>	<p><input type="checkbox"/> Yes</p>
<b>Contracts and Receipts</b>	
<p><b>4</b> All contracts and receipts given to consumers must include the following information:</p> <ul style="list-style-type: none"> <li>• Bail bond agent's:               <ul style="list-style-type: none"> <li>– Name</li> <li>– Address</li> <li>– DFS license number</li> <li>– Phone number</li> </ul> </li> <li>• Amount of the bond, when it will be paid, and name of bail bond issuer</li> <li>• Description of consumer's collateral, when it will be returned, and under what conditions it will be kept</li> <li>• Bail bond agent's premium or compensation</li> <li>• A statement of any money paid to a third party by the consumer and the reason for the payment</li> </ul> <p><b>Tip:</b> You must keep copies of contracts and receipts for five years and have them available for inspection.</p> <p><b>6 RCNY §§20-831(d) and (f)</b></p>	<p><input type="checkbox"/> Yes</p>



# Inspection Checklist: General Retail

Does your business sell goods or services?

Use this checklist to learn what our inspectors look for and help avoid violations. For your convenience, each Requirement includes the relevant section of law and/or rule, so you can refer to it for more information. The KEY below describes the legal citations and symbols used in this checklist.

<b>KEY</b>
<p><b>NYC Code:</b> NYC Administrative Code  <b>RCNY:</b> Rules of the City of New York  <b>NY GBL:</b> NY General Business Law  <b>§:</b> Section</p>

Requirement	Do you meet this requirement?
<b>Price Lists for Services</b>	
<p><b>1</b> A price list must be displayed and include the following:</p> <ul style="list-style-type: none"> <li>List of the type of services</li> <li>Minimum price for each service</li> <li>Conditions or variations of service that change the minimum price</li> <li>Range of additional charges caused by conditions or variations (e.g., \$10 pants, \$15 pants with pleats)</li> <li>(if the price is based on an hourly labor rate) Rate as dollars per hour</li> </ul> <p><b>Tip:</b> If the price list states a price “and up” or “from” — e.g., “\$5 and up” or “from \$5” — it must also state the reason(s) for the different prices and include the range of prices.</p> <p><b>6 RCNY §5-70(a)</b></p>	<input type="checkbox"/> Yes
<p><b>2</b> The price list must be clearly posted or clearly displayed at the place(s) where payment is made (e.g., near the cash register) and/or where orders are placed.</p> <p><b>6 RCNY §5-70(a)</b></p>	<input type="checkbox"/> Yes
<p><b>3</b> If there is a sale or promotion, the pre-sale prices must also be posted.</p> <p><b>NYC Code §20-750(b)</b></p>	<input type="checkbox"/> Yes
<p><b>4</b> Prices for services cannot be based on gender.</p> <p><b>Tip:</b> Words like “men’s,” “women’s,” and “ladies” cannot be used to describe the price.</p> <p><b>NYC Code §20-750(c)</b></p>	<input type="checkbox"/> Yes
<b>Pricing for Goods</b>	
<p><b>5</b> All items offered for sale must show a price, exclusive of tax, as follows:</p> <ul style="list-style-type: none"> <li>The price must be attached to the item by a stamp, tag, or label. OR</li> <li>The price must be stated on a sign that is plainly visible where the item is displayed.</li> </ul> <p><b>NYC Code §20-708, NYC Code §20-708.1</b></p>	<input type="checkbox"/> Yes

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Requirement	Do you meet this requirement?
<p><b>6</b> If your store’s annual revenue is more than \$2 million or you are a chain store, you must individually price each item, including food products, paper products, detergents, soaps, nonprescription drugs, and health and beauty aids.</p> <p><i>Exceptions:</i> The following items must be individually priced unless shelf prices and a price look-up function are provided:</p> <ul style="list-style-type: none"> <li>• Milk</li> <li>• Items that are under 3 cubic inches in size, weigh less than 3 ounces, and cost under 1 dollar</li> <li>• Eggs</li> <li>• Fresh produce not packaged for retail sale</li> <li>• Products sold through a vending machine</li> <li>• Food sold for consumption on the premises</li> <li>• Snack foods offered for sale in single packages that weigh 5 ounces or less, e.g., cakes, gum, candies, chips, and nuts</li> <li>• Cigarettes, cigars, tobacco, and tobacco products</li> <li>• Food offered for sale in bulk</li> <li>• Frozen juice</li> <li>• Ice cream</li> <li>• Frozen foods packaged for final retail sale in plastic bags</li> <li>• Items on sale for 1 week or less, but only if they are located in a segregated display at the end of the aisle and the sale period, name of the product, and the advertised price are clearly posted on a sign at the point of display</li> <li>• Jars of baby food</li> </ul> <p><b>NYC Code §20-708.1(b), NYC Code §20-708.1(c)</b></p>	<input type="checkbox"/> Yes
<b>Signs</b>	
<p><b>7</b> Sale signs that advertise a price range or percent discount—example: “Savings of 20 to 50%” or “20% to 50% off”—must state the minimum and maximum percentages in equal size.</p> <div style="display: flex; justify-content: center; gap: 20px; margin: 10px 0;"> <div style="border: 2px solid green; padding: 10px; text-align: center;"> <p><b>20-50%</b>  <b>OFF</b></p> </div> <div style="border: 2px solid red; padding: 10px; text-align: center;"> <p><del><b>20-50%</b></del> <del><b>OFF</b></del></p> </div> </div> <p><b>6 RCNY §5-94(a)(1)</b></p>	<input type="checkbox"/> Yes

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Requirement	Do you meet this requirement?
<p><b>8</b> Advertising, including sale signs, cannot contain any of the following phrases:</p> <ul style="list-style-type: none"> <li>• “Our list price”</li> <li>• Below “manufacturer’s wholesale cost”</li> <li>• “Manufacturer’s cost”</li> </ul> <p><b>6 RCNY §5-101</b></p>	<input type="checkbox"/> Yes
<p><b>9</b> Businesses that sell goods and services must post a refund policy sign.</p> <p><b>Tip:</b> A refund policy sign must be posted at each register, point of sale, or entrance, or attached to each item.</p> <p><b>Tip:</b> Even if the policy is not to give refunds, a sign must be posted stating “No Refunds.”</p> <p><b>Tip:</b> The sign must state that a written copy of the store’s refund policy is available on request.</p> <p><b>6 RCNY §5-37; NY GBL §218-a</b></p>	
<p><b>10</b> The refund policy sign must state any and all conditions or limitations to getting a refund. For example, it must state:</p> <ul style="list-style-type: none"> <li>• Any fees charged for refunds, such as “restocking fees”</li> <li>• If a refund will not be provided for “as is” or “sale” items</li> <li>• Whether the refund will be in cash, credit, or store credit only</li> <li>• If proof of purchase is required for a refund</li> <li>• If a refund will be provided at any time or within a specific period of time</li> </ul> <p><b>6 RCNY §5-37; NY GBL §218-a</b></p>	<input type="checkbox"/> Yes
<p><b>11</b> If there are limitations on using credit cards, such as minimum purchase amounts, the policy must be clearly posted at or near each entrance and in all advertising that indicates credit cards are accepted.</p> <p><b>6 RCNY §5-24(b)</b></p>	
<b>Receipts</b>	
<p><b>12</b> Receipts must be given to customers for purchases over \$20 and upon request for purchases between \$5 and \$20.</p> <p><b>Tip:</b> This does not apply to food and drink that is meant to be consumed on the premises.</p> <p><b>6 RCNY §5-32</b></p>	<input type="checkbox"/> Yes
<p><b>13</b> The receipt must include each of the following:</p> <ul style="list-style-type: none"> <li>• Date of purchase</li> <li>• Amount paid for each item</li> <li>• Total amount paid</li> <li>• Separate statement of tax</li> <li>• Business name and address</li> </ul> <p><b>6 RCNY §5-32(c)</b></p>	

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Requirement		Do you meet this requirement?
14	Receipts for audio, photographic, and video equipment that cost more than \$100 must also include the make and model number of the item.	<input type="checkbox"/> Yes
	<b>6 RCNY §5-32(c)(5)</b>	
<b>Price Accuracy</b>		
15	The price charged for an item at checkout, including scanned items, must not exceed the item's tagged, stamped, or marked price, shelf price, sale price, or advertised price.	<input type="checkbox"/> Yes
	<b>NYC Code §20-708.1(d), NYC Code §20-708.1(e)</b>	
16	Tax cannot be charged on tax-exempt items.  <b>Tip:</b> Examples of tax-exempt items include but are not limited to: feminine hygiene products, canned vegetables, baby food, clothing under \$110, diapers, nonprescription or over-the-counter drugs, contraceptives.  <b>Tip:</b> Check with the <a href="#">New York State Department of Taxation and Finance</a> for a complete list of which items are exempt.	<input type="checkbox"/> Yes
	<b>6 RCNY §5-41</b>	
<b>Layaway Plans</b>		
17	A "layaway plan" means a purchase over \$50 where consumers agree to pay for the purchase in 4 or more installments before getting the merchandise. If a layaway plan is offered, each of the following written disclosures must be provided to consumers prior to accepting any payments: <ul style="list-style-type: none"> <li>• Description of the item, including name of manufacturer, brand name, color, size, style, or model number</li> <li>• Total cost of the item, including tax, installation, delivery, or freight charges</li> <li>• Any charge to use layaway, including any service charge, carrying charge, or cancellation fee</li> <li>• Duration of the layaway plan</li> <li>• Payment schedule and any consequences of missed payments</li> <li>• Refund policy regarding the payments</li> <li>• Notice of where the item is being stored, if other than the place of purchase, or if the item will not be removed from inventory until additional payments are made:</li> </ul> <p><i>Example 1:</i> NOTICE: NO MERCHANDISE WILL BE REMOVED FROM INVENTORY UNTIL X% OF THE PURCHASE PRICE HAS BEEN PAID.</p> <p><i>Example 2:</i> ATTENTION: YOUR SELECTION OF MERCHANDISE WILL NOT BE ORDERED UNTIL YOU HAVE MADE YOUR NEXT TO FINAL PAYMENT.</p>	<input type="checkbox"/> Yes
	<b>6 RCNY §5-23; NY GBL §396-t</b>	

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Requirement	Do you meet this requirement?
<b>Expired Over-the-counter Medication</b>	
<b>18</b> It is illegal to sell over-the-counter medication after the expiration date on the label. <b>NYC Code §20-822(a)</b>	<input type="checkbox"/> Yes
<b>Cash Payments</b>	
<b>19</b> Your store cannot refuse to accept cash payments from consumers. <i>Exceptions:</i> <ul style="list-style-type: none"> <li>• Your store may refuse bills above \$20.</li> <li>• Your store may refuse cash payments for telephone, mail, or internet-based transactions, unless the transaction takes place in the store.</li> <li>• Your store may accept prepaid cards from an on-site device that converts cash into prepaid cards, but only if:               <ul style="list-style-type: none"> <li>– The device does not charge a fee for the prepaid card or require a minimum deposit above \$1.</li> <li>– Upon request, the device provides the consumer with a receipt stating the amount of cash the consumer deposited onto the prepaid card.</li> <li>– Cash deposits onto the prepaid card do not expire.</li> <li>– There is no limit on the number of transactions a consumer can complete with the prepaid card.</li> </ul> </li> </ul> <p>You must clearly post a sign on or immediately next to the device when it is not working that states your store is required to accept cash payments and consumers may report violations by calling 311.</p> <b>NYC Code §20-840</b>	<input type="checkbox"/> Yes
<b>20</b> Your store cannot charge a consumer who pays in cash a higher price for the same “consumer commodity” than a consumer who pays by credit card or other cashless transaction.  <b>Tip:</b> “Consumer commodity” means any article, good, merchandise, product, or commodity of any kind that is produced, distributed, or offered for retail sale.  <b>NYC Code §20-840(c)</b>	<input type="checkbox"/> Yes