

Helen Arteaga
Chief Executive Officer
Executive Administration
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March 11, 2022

Ari Goldman
Associate Council
Legal Affairs
50 Water St
New York, NY 10004

Dear Mr. Goldman:

Pursuant to Rule 1-13 (e)(1) of the Rules of the Conflicts of Interest Board (COIB), I, Helen Arteaga Landaverde, CEO, NYC Health + Hospitals/Elmhurst, has designated Matthew Conn, Assistant Director of Nursing, Trauma Program & Service, NYC Health+ Hospitals Elmhurst, to perform work on behalf of The New York State (NYS) Division of the American Trauma Society (ATS) using City time and City resources. He will not be involved in the The New York State (NYS) Division of the American Trauma Society (ATS)'s business dealings with Health + Hospitals or the City.

Sincerely,



Helen Arteaga

January 17th, 2022

To whom it may concern:

I am Matthew Conn, currently an Assistant Director of Nursing for Perioperative Services and the Trauma Program Director at NYC H+H/Elmhurst. My H+H position requires me to work 40 hours weekly, directing and managing all administrative and operational aspects of the Trauma Center here at Elmhurst, in collaboration with the Trauma Medical Director, George Agriantonis, MD FACS. This encompasses ensuring all people, policies, processes, systems, supplies, and equipment are in place and readily available to evaluate, manage, and care for trauma patients brought to us. This position is not only a leadership role within the Perioperative Nursing Division of NYC H+H/Elmhurst, but is also considered a hospital liaison and leadership role, ensuring American College of Surgeons Committee on Trauma (ACS COT) Trauma Center verification standards are achieved and maintained, in collaboration with all medical, surgical, subspecialty, and ancillary services.

The New York State (NYS) Division of the American Trauma Society (ATS) is registered as a 501(c)(3) organization, as a Division of the American Trauma Society (<https://www.amtrauma.org>). It consists of trauma center leaders and staff from trauma centers all over NYS. The main functions are: creating public awareness of trauma; injury prevention networking and activities; community and legislative advocacy; and, providing support for survivors of trauma.

My current role with the New York State (NYS) Division of the American Trauma Society (ATS) is President. I have been in this role since January Of 2020, and will relinquish the responsibilities at the first NYS ATS meeting of Calendar Year 2022 (CY2022), tentatively scheduled for February 8th, 2022. As President of the NYS ATS, I am responsible for all administrative functions and the conduct of business meetings for the ATS. These meetings are held just prior to the NYS Department of Health (DOH) Bureau of Emergency Medical Services and Trauma Systems (BEMSaTS) State Trauma Advisory Committee (STAC) meetings. Once these responsibilities are relinquished, I will remain on the NYS ATS Executive Board as the Immediate Past President. The following are the responsibilities of the President and Immediate Past President, respectively, according to the NYS ATS Bylaws:

1. President. The President shall preside at all meetings of the Board. The President shall have authority over divisional activities and affairs. The President shall be a member, ex officio, of all committees and shall perform all duties incident to the office or as properly required by the Board of Directors or by these Bylaws.
2. Immediate Past-President. Acts as a consultant to the executive board to ensure seamless transition of the executive committee and chairs the Nominating committee.

The NYS ATS does have business dealings with not only NYC H+H/Elmhurst, but other NYC H+H facilities as well. Several H+H facilities are paid organizational members of the National ATS (Elmhurst being one of them). This allows the organization to host on-site courses (when able to), as well as have access to injury prevention, outreach, and education resources at no additional cost. It also allows members to become Trauma Survivor Network (TSN) sites, which provides support group structure for survivors of trauma. Elmhurst and other H+H facilities have been organizational members of the ATS since before I was hired into my current role here. As far as my City dealings with the organization, I would be listed as the primary contact person for Elmhurst for communication. However, I would not have any direct responsibility related to the membership dues. As it currently stands, Ms. Josangela Medina

(medinaj12@nychhc.org), who reports to Ms. Grace-Ann Lautato-Weick (lautatog@nychhc.org), receives the invoices, and submits them for payment.

If NYC allows me to continue my work with the NYS ATS in these capacities, there are several benefits. The main benefit is that, as an ACS COT verified, NYS-designated Trauma Center, we need to demonstrate that Trauma Center Leadership (myself and Dr. Agriantonis) are involved and actively participate in local, state, and regional trauma systems and organizations. Allowing me to continue in these roles helps to satisfy these requirements of Level 1 Trauma Center verification standards.

Thank you for your attention to this matter. Please let me know if any additional information is needed, or, if you have any further questions, comments, or concerns. Point of contact is the undersigned at the following:

- 1) Matthew.Conn@nychhc.org (work email)
- 2) connmg@me.com (personal email)
- 3) 646-615-5891 (office phone)
- 4) 917-985-0934 (work mobile)
- 5) 202-431-9995 (personal mobile)

Regards,


Matthew Conn
MSN RN CCRN-K TCRN

To: Mitchell Katz, MD
President and CEO/ NYC Health + Hospitals

From: Andrea G. Cohen
SVP and General Counsel/ NYC Health + Hospitals, Office of Legal Affairs

Date: June 30, 2022

Subject: Preethi Swamy Designation pursuant to COIB Rules 1-13 (e) (1)

Preethi Swamy is a Senior Counsel within NYC Health + Hospitals/Office of Legal Affairs. Preethi Swamy has been invited to join the New York State (NYS) Bar Association's Task Force on Mental Health and Trauma Impacted Representation. Her work will be an extension of her work with Health + Hospitals where her practice area is focused on medical legal issues. As a member of the taskforce Preethi will be contributing to drafting a report with recommendations for legislation and policy changes regarding the intersection of mental health and the civil and criminal justice systems. Further, Preethi's work with the NYS Bar Association is aligned with H+H's mission to address the health needs of New York City's diverse populations.

Preethi will not take any part in the NYS Bar Association's business dealings with the City either at NYS Bar Association or at Health + Hospitals. Because her work with the NYS Bar Association is an extension of her H+H duties she should be permitted to complete work for the NYS Bar Association during her H+H work hours and use H+H resources to perform her duties for the NYS Bar Association.

Pursuant to COIB Rules 1-13 (e)(1) An agency head may designate a public servant to perform work on behalf of a not-for-profit corporation, association, or other such entity that operates on a not-for-profit basis, including serving as a board member or other position with fiduciary responsibilities provided that:

- (i) there is a demonstrated nexus between the proposed work, the public servant's City job, and the mission of the public servant's agency;
- (ii) such work furthers the agency's mission and is not undertaken primarily for the benefit or interests of the not-for-profit;
- (iii) the designated public servant takes no part in the entity's business dealings with the City at the entity or at his or her agency, except that Council Members may sponsor and vote on discretionary funding for the entity; and

(iv) within 30 days the written designation is disclosed to the Conflicts of Interest Board.

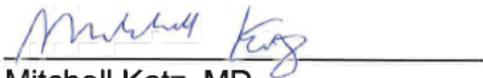
Designating Preethi to perform work on behalf of the NYS Bar Association pursuant to COIB Board Rules 1-13 (e) (1) would permit Preethi to complete work for the NYS bar association during her H+H work hours and use H+H resources to perform her NYS Bar Association duties.

Please sign below if you approve of this designation. The COIB will also be advised of this arrangement.

APPROVED:



Andrea G. Cohen
SVP and General Counsel



Mitchell Katz, MD
President and CEO

Preethi Swamy Esq.

Ethan Carrier, General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

June 28, 2022

Re: Request for Approval under Rules of the Board Conflict of Interest §1-13 (e)

Dear Mr. Carrier:

Please accept this correspondence as a request for approval from the NYC Conflicts of Interest Board to participate as a member of the New York State Bar Association's Task Force on Mental Health and Trauma Impacted Representation as an extension of my duties in my current role at NYC Health + Hospitals (NYC H+H).

I am currently employed by NYC H+H in the role of Senior Counsel. My practice area is focused on Medical Legal issues. I provide legal guidance to administration and clinicians across the system in areas including health care operations, patient care, and privacy. I serve as the primary legal contact to NYC H+H's Office of Behavioral Health (OBH) providing guidance regarding matters arising under NYS Mental Hygiene Law (MHL). I work directly with OBH in reviewing the legal framework of collaborative initiatives with other NYC agencies to better serve individuals with behavioral health needs. Such initiatives include the B-HEARD program with FDNY. I also manage outside counsel handling NYC H+H's proceedings under the MHL pertaining to involuntary commitment, treatment over objection, and Assisted Outpatient Treatment proceedings. I also address issues raised by the NYS Mental Hygiene Legal Service who provide representation to patients admitted to NYC H+H's psychiatric facilities.

The mission of the Task Force is as follows:

"The Task Force on Mental Health and Trauma Impacted Representation is created to explore, study, and evaluate the intersection between the mental health crisis and our civil and criminal justice systems. There is a well-documented crisis of mental health care in the United States that has failed to meet the needs of people with mental health challenges and/or histories of trauma. People living with mental health challenges or trauma histories are increasingly incarcerated, homeless, or boarded in hospital emergency rooms. The Task Force will focus on the need for the Bar to better serve individuals with mental health challenges and/or trauma histories, both adults and children, through trauma-informed practice, such as informing attorneys and the judiciary of available resources to assist in the representation of clients, and by recommending education on best practices in the representation of these clients. Criminal diversion and civil processes will be examined to ensure that people living with mental health challenges and/or trauma histories are able to fully participate in legal proceedings that impact their liberty and well-being. State policy and budget priorities will be examined and appropriate recommendations made."

The Task Force organizer is the New York State Bar Association (NYSBA) and Sheila Shea, Esq. is the Chair of the Task Force. The Task Force members includes individual attorneys from the private sector, non-profit sector and government. Such organizations and governmental entities include: NYS Office of Indigent Legal Services; Suffolk County District Attorney's Office; New York County Defenders; Legal Aid Society; NYS Defenders Association; New York State Bar Association; NYCLU; The Legal Aid Society Of Westchester County; Office of the New York State Attorney General; Disability Law Center, Inc.; NYS Office of Court Administration; Disability Rights New York; Mental Hygiene Legal Service; NYS Office For Justice Initiatives; NYS Office of Indigent Legal Services; and Brooklyn Mental Health Court.

The Task Force is anticipated to meet virtually no more than twice monthly from July 2022 to April 2023. The meetings will be held during the work-day and will be approximately 1 hour in duration. The Task Force's goal is to complete its work during the one-year term of the current NYSBA president. My anticipated role on the Task Force will include contributing to drafting a report with recommendations for legislation and policy changes. The role will not be compensable. Task Force members will likely serve on a sub-committee aligned with their professional interests and contribute to the section of the report related to such interests. The time devoted to the sub-committee is anticipated to be no more than 8 to 10 hours per month.

As an anticipated member of the taskforce, I will use the following City resources: City time; my City title; my NYC Health + Hospitals email address; technology resources including internet, computer equipment, telecommunications equipment, scanning equipment, and computer software; legal research resources such as Lexis Nexis; and office supplies.

NYC Health + Hospital's mission includes collaborating with communities enabling each of its institutions to promote and protect health. The Task Force will examine criminal diversion and civil processes to ensure that people living with mental health challenges can fully participate in legal proceedings that impact their liberty and well-being. Access to appropriate legal services and supports may be viewed as a social determinant of health. An individual's pending legal proceeding may place significant stressors on the individual exacerbating an underlying mental health condition. Such decompensation may make it difficult for the individual to seek and receive needed mental health services. The Task Force's mission to assess solutions to best meet the legal needs of such individuals will have the impact of improving the individual's overall well-being and make it more likely that the individual will be willing to connect with appropriate mental health services. NYC Health + Hospitals has a significant role in providing New Yorkers with behavioral health services. The work of the Task Force will positively impact both New Yorkers and NYC Health + Hospital's behavioral health patient population. Alleviating legal stressors in patients, will allow NYC Health + Hospitals to better engage their patients in care resulting in an increase in overall wellbeing in the community.

Thank you for your time and consideration in this request.

Sincerely,



Preethi Swamy

cc: Andrea G. Cohen, Senior Vice President and General Counsel, NYC Health + Hospitals
Mitchell Katz, MD, President and CEO, NYC Health + Hospitals



Ari Goldman
Associate Counsel
Legal Affairs
125 Worth Street, Suite 527
New York, NY 10013
Ari.Goldman@nychhc.org
646-815-4086

TO: Mitchell Katz, M.D.
President and CEO

FROM: Ari Goldman

Date: August 25, 2022

SUBJECT: Volunteer Waiver Board Rules §1-13(e)(1)/Eric Wei, MD

Attached is a request to Dr. Katz for Eric Wei, MD. Dr. Wei seeks a waiver in connection with his faculty work with the GNYHA/UHF Clinical Quality Fellowship program. Pursuant to discussion with the COIB and the advice of the COIB, we are now requesting that Dr. Wei may serve in such position pursuant to Board Rules §1-13(e)(1). This designation will permit Dr. Wei to be designated to perform work on behalf of this position and this request appears to be in the furtherance of the purposes and interests of the City of New York. Granting this request will enable Dr. Wei to use City time and City resources to perform work in connection with the GNYHA/UHF Clinical Quality Fellowship program.

As faculty and advisory committee member, Dr. Wei will help shape the curriculum for each cohort, review applications and vote on acceptances, give 2-3 lectures during the 2-retreats and dinner meetings throughout the fellowship, and mentor 1 fellow. He will use his City tile and email in connection with this work. As a member of GNYHA, H+H staff are eligible to apply for the fellowship, which is at no cost to the fellows. This is the same for all GNYHA hospital members. Some of H+H quality and patient safety leaders serve as faculty for the program (Dr. Wei, Hillary Jalon, Nichola Davis, Komal Bajaj, Theresa Madeleine). The fellowship is focused on Quality Improvement and Clinical Leadership and Dr. Wei will use examples from H+H in his lectures and as a mentor in the program. Dr. Wei is not involved in business dealings between H+H and GNYHA and UHF. In addition, Dr. Wei has never received any faculty honorarium personally as it goes directly to H+H a part of a donation to the H+H Q&S Wellness Committee.

To satisfy the rules of the COIB, I am now requesting your signature, confirming that this arrangement would not conflict with the purposes and interests of the City/NYC Health + Hospitals and to confirm that this request is in the furtherance of the purposes and interests of the City of New York.

APPROVED:

A handwritten signature in blue ink, appearing to read "Mitchell Katz", is written over a horizontal line.

Mitchell Katz, M.D.
President & CEO