

Minutes of the Open Meeting of the New York City Conflicts of Interest Board

Date: September 22, 2020

Present:

Board Members: Chair Jeffrey D. Friedlander and Members Fernando A. Bohorquez, Jr., Anthony W. Crowell, Wayne G. Hawley, and Nisha Agarwal

Board Staff: Ethan Carrier, Chad Gholizadeh, Ana Gross, Christopher Hammer, Gavin Kendall, Julia Lee, Carolyn Miller, Katherine Miller, Ari Mulgay, Yasong Niu, Jeffrey Tremblay, Clare Wiseman, and Juliya Ziskina.

Guests: None

The Board and Staff participated by videoconference pursuant to Executive Order No. 202 issued on March 7, 2020. The meeting was called to order by the Chair at approximately 9:32 a.m. The Chair stated that the meeting was being conducted pursuant to the New York State Open Meetings Law to discuss proposed amendments to Board Rules §§ 4-01 to 4-03, 1-04, and 1-01(i) to (m).

Board Rules §§ 4-01 to 4-03

After a brief introduction, the Chair asked for any comments by the Board or Staff. There were no comments. The Board unanimously agreed to adopt the proposed Notice of Adoption of Final Rule.

Board Rules § 1-04

After an introduction by Staff and discussion with the Board, the following change was agreed to by Board and Staff:

- § 1-04(b): add phrase to clarify that individual investments in sector-based mutual funds are to be considered for “ownership interest.”

Staff will consult with the Comptroller’s Office and other resources for further insight into current investment vehicles.

The Board agreed to continue discussions at a future open meeting.

Board Rules §§ 1-01(i) to (m)

After an introduction by Staff and discussion with the Board, the following changes were agreed to by Board and Staff:

- § 1-01(i)(1)(i): revise “supervisory or managerial public servants” in consultation with DCAS to exclude low level supervisors
- § 1-01(j)(1)(i)-(vi): condense the six conditions into three
- § 1-01(k)(3): remove prohibition on gifts from superiors; incorporate in (k)(4)

The Board agreed to continue discussions at a future open meeting.

The open meeting was adjourned at approximately 10:49 a.m.

Respectfully submitted,
Julia H. Lee
Recording Secretary