

Gladys Carrión, Esq. Commissioner New York City Administration for Children's Services Office of the General CounselFLICTS OF INTEREST BD Legal Counsel Unit 150 William Street, 15th fi**2014** MAY 14 P 4:02

> Joseph Cardieri General Counsel/Deputy Commissioner

Francene Mann Associate General Counsel

BY HAND DELIVERY

Mark Davies Executive Director NYC Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, New York 10007

> Re: Disclosure of Donations May 15, 2014 Reports

Dear Mr. Davies:

In accordance with the public disclosure requirements set forth in Advisory Opinion No. 2003-4 of the NYC Conflicts of Interest Board, enclosed please find the following:

- 1. List of donations received by ACS, reflecting donations of \$5,000 or more for the reporting period October 1, 2013 to March 31, 2014; and
- 2. List of donations received by New Yorkers for Children ("NYFC"), ACS's affiliated not-for-profit, of \$5,000 or more for the reporting period October 1, 2013 to March 31, 2014.
- 3. List of not-for-profit organizations for which Commissioner Carrión sought private contributions and/or endorsed during the relevant reporting period.

These reports also include cumulative donation amounts of \$5,000 or more, within the past 24 months, for each listed individual or entity.

Further, in addition to the third report listed above, and pursuant to Advisory Opinion No. 2008-6 please be advised that ACS did not seek private contributions on behalf of any non-affiliated not-for-profit organization within the six month period ending March 31, 2014.



NEW YORK CITY CHILDREN'S SERVICES 150 William Street, 18th Floor New York, NY 10038

Gladys Carrión Commissioner

S	olicitations for Private Contributions and Endorsements* Made by ACS Commissioner Gladys Carrión
	Reporting Period: <u>October 1, 2013 – March 31, 2014</u> Preparer: <u>Suzanne C. Sousa, Director of Development & Special Programs</u> Preparer Tele./Extension/ Email: <u>212-341-2958 / suzanne.sousa@dfa.state.ny.us</u>
	Names of Not-for-Profit Entities for Whom the Head of Agency Sought Support
None	

*Per Advisory Opinion 2008-6



Steven Foo General Counsel April 28, 2014

Mr. Wayne Hawley

New York, NY 10007

Conflict of Interest Board

2 Lafayette Street, Suite 1010

2 Lafayette St. New York, NY 10007

212 442 3159 tel 212 442 1286 fax

Re: COIB Financial Disclosures under AO 2003-4 and AO 2008-6

Dear Wayne:

The following list comprises the Department for the Aging's (DFTA) disclosures required under AO 2003-4 and AO 2008-6 for the reporting period of October 1, 2013, though March 31, 2014:

- AO 2003-4 Listing of All Donations of Money, Goods or Services with a Value of \$5,000 or More:
 - o None
- AO 2008-6 Unaffiliated Not-for-Profit Entities For Whom DFTA Solicited Support:
 - Aging in New York Fund, 2 Lafayette Street, Suite 2100, New York, NY 10007
 - Council of Senior Centers & Services of NYC, Inc., 49 West 45th Street, 7th Floor, New York, NY 10036
 - Jewish Community Council of Greater Coney Island, Inc., 3001 West 37th Street, Brooklyn, NY 11224
 - Mt. Washington Presbyterian Church, 84 Vermilyea Ave, New York, NY 10034
 - New York University College of Nursing, 726 Broadway, #1022, New York, NY 10003
 - Presbyterian Senior Services, 2095 Broadway, Suite 409 New York, NY 10023
 - Public Health Solutions, 40 Worth Street, 5th Floor, New York, NY 10013
 - Services and Advocacy for GLBT Elders, 305 Seventh Avenue, 15th Floor, New York, NY 10001
 - United Neighborhood Houses, 70 West 36th Street, 5th Floor, New York, NY 10018



o VillageCare, 120 Broadway, Suite 2840, New York, NY 10271

Please feel free to call me with any questions.

Sincerely,

Steven Foo



Office of the President Borough of Manhattan The City of New York 1 Centre Street, 19th floor, New York, NY 10007 (212) 669-8300 p (212) 669-4306 f 163 West 125th Street, 5th floor, New York, NY 10027 (212) 531-1609 p (212) 531-4615 f

www.manhattanbp.nyc.gov

Gale A. Brewer, Borough President

June 3, 2014

Wayne Hawley, General Counsel New York City Conflicts of Interest Board 2 Lafayette Street, 10th Floor New York, New York 10007

Re: Report on Manhattan Borough President Fundraising for Non-affiliated Entities January 1, 2014 through March 31, 2014

Dear Mr. Hawley,

Pursuant to the Conflicts of Interest Board Advisory Opinion 2008-6, the Office of the Manhattan Borough President, Gale A. Brewer, reports the following activities relative to fundraising for non-affiliated entities between January 1, 2014 (the date on which she took office) and March 31, 2014:

Date	Туре	Entity
January 31, 2014	Benefit Appearance	Greater Harlem Chamber of Commerce – Benefitting: Manhattan School of Music Summer Camp; N.Y. NAACP Youth Programs; N.Y. Roadrunners Children's Health Program
February 3, 2014	Benefit Dinner/ Concert Committee	LaGuardia High School Alumni & Friends
February 3, 2014	Benefit Speaker	Citizens Union
February 9, 2014	Benefit Speaker	West Side Campaign Against Hunger
March 1, 2014	Journal Letter Benefit Speaker	P.S. 9 P.S. 9
March 12, 2014	Journal Letter	Inwood House

March 26, 2014	ENews Appeal &	East Harlem Building Collapse Relief Effort
March 31, 2014	Benefit co-sponsor	East Harlem Building Collapse Relief Effort
March 30, 2014	Journal Letter Appearance	Dominican Sunday, Inc.

65

Please do not hesitate to call me at 212-669-8139 if you have any questions or require further information.

Sincerely,

adele Baitlett

Adele Bartlett, Deputy General Counsel

MELINDA KATZ



(718) 286-3000 web site: www.queensbp.org e-mail: info@queensbp.org

CITY OF NEW YORK OFFICE OF THE PRESIDENT OF THE BOROUGH OF QUEENS 120-55 QUEENS BOULEVARD KEW GARDENS, NEW YORK 11424-1015

To: Wayne Hawley, General Counsel, NYC Conflicts of Interest Board
From: Elisa Velazquez, Counsel, Queens Borough President Melinda Katz's Office
Date: May 20, 2014
Re: Report on Fundraising for non-affiliated entities for period covering October 1, 2013-March 31, 2014 pursuant to Conflicts of Interest Board Advisory Opinion 2008-6

Pursuant to the above referenced Conflicts of Interest Board Advisory Opinion, the Queens Borough President's Office hereby submits the names of the following entities in connection with fundraising activities for non-affiliated entities for the period covering October 1, 2013 through March 31, 2014:

Russian American Foundation Korean American Parents Association of Greater New York Queens Botanical Garden 110th Precinct Community Council Rockaway Development and Revitalization Corp. Kiwanis Club of LaGuardia Airport Key Women of America Ozanam Hall Nursing Home and the Carmelite Sisters for the Aged and Infirm The Ambassador for Christ International Outreach Ministry Cooley's Anemia Foundation Christ for the World Chapel Springfield gardens Lions Club Laurelton Club of the National Association of Negro Business and Professional Women's Clubs Allen Community Senior Citizens Center Friends of Holy Martyrs Armenian Day School CHAZAQ Queens Interagency Council on Aging Asian American Bar Association **Gospel Assembly- Queens** Forest Hills Jewish Center Alpha Phi Alpha Senior Center Flushing Chinese Business Association **USA** Dance First United Methodist Church of Hollis

Queens Theatre YWCA of Queens National Association of Black Law Enforcement Executives Council of Senior Centers and Services Community of Jewish Émigré Community Organizations Jewish Child Care Association Flushing House Chinese-American Planning Council, Inc. The Rogosin Institute Young Israel of Hillcrest Services Now for Adult Persons, Inc. The Committee for Hispanic Children and Families Queens County St. Patrick's Day Parade and Cultural Committee Alpha Phi Alpha Senior Citizens Center NYFAC Foundation Amity Baptist Church Make the Grade National Hemophelia Foundation



City of New York President of the Borough of Staten Island

RECEIVED BY

BOROUGH HALL, STATEN HINAN, 23. 10002: 54

JAMES S. ODDO PRESIDENT

To: Wayne Hawley, General Counsel, NYC Conflicts of Interest Board, 2 Lafayette Street, Suite 1010, New York, NY 10007

From: Christopher DeCicco, Counselor, Staten Island Borough President's Oddo's Office

Date: May 20, 2014

Re: Report on Fundraising for non-affiliated entities for period covering October 1, 2013-March 31, 2014 pursuant to Conflicts of Interest Board Advisory Opinion 2008-6

Pursuant to the above referenced Conflicts of Interest Board Advisory Opinion, the Staten Island Borough President's Office hereby submits the names of the following entities in connection with fundraising activities for non-affiliated entities for the period covering October 1, 2013 through March 31, 2014:

CASC – Community Agency for Senior Citizens SI Mental Health Society Eger Foundation Grace Foundation St. George Theatre (is technically owned by a non-profit according to their website)



Stacey Cumberbatch Commissioner

1 Centre Street 17th Floor New York, NY 10007

(212) 386 0201 tel nyc.gov/dcas Mr. Mark Davies Executive Director Conflicts of Interest Board 2 Lafayette, 10th Fl. New York, NY 10007

RE: DCAS Disclosure of Donations and Fundraising Efforts for Period October 1, 2013 through March 31, 2014

Dear Mr. Davies:

Pursuant to COIB Advisory Opinions 2003-4 and 2008-6, the Department of Citywide Administrative Services ("DCAS") discloses the following donations and fundraising efforts for the above-stated reporting period:

Donations

Donor: Type: Amount: Total in past 24m: Recipient: Purpose:	American Express Corporate Philanthropy Money Category A (\$5,000 - \$20,000) \$20,000 Institute for Public Service (affiliated not-for-profit) Support for the Urban Fellows annual inter-governmental learning event in Washington, D.C.
Donor: Type: Amount: Total in past 24m: Recipient: Purpose:	Navistar, Inc.; Navistar-Model Electric Vehicle Alliance LLC Goods Category D (\$100,000 - \$249,999) \$150,000 DCAS Donation of ten 2012 model year NMEVA E-Star vehicles for use by the City of New York.
Donor: Type: Amount: Total in past 24m: Recipient: Purpose:	The Roy Lichtenstein Foundation Goods Category E (\$250,000 - \$500,000) \$250,000 DCAS Donation of the sculpture "Element E" to the City of New York, to be located at the Tweed Courthouse.

May 20, 2014

Mr. Mark Davies May 20, 2014 Page 2.

Fundraising/Endorsements of Unaffiliated Not-for-Profits

New York Blood Center (through the citywide blood donation program promoting blood donations by City employees)

If you have any questions, please do not hesitate to contact me at (212) 386-0201 or DCAS's COIB legal liaison, Latrisha Desrosiers at (212) 386-6273.

Sincerely,

6 Stacey Cumberbatch

c: Suzanne M. Lynn, General Counsel, DCAS David Nida, Deputy General Counsel of Human Capital, DCAS

Amber Gonzalez

From:	Plat, Katie (DCA) <kplat@dca.nyc.gov></kplat@dca.nyc.gov>
Sent:	Tuesday, May 20, 2014 12:58 PM
То:	Amber Gonzalez; Wayne Hawley
Cc:	Lindsay, Tamara (DCA); Tepper, Marla (DCA)
Subject:	RE: Reminder: Disclosure of Donations and of Fundraising Efforts Due May 20th, 2014

Hi Amber-

DCA has nothing to report for either AO 2003-4 or AO 2008-6.

Thank you, and please let me know if you have any questions or need any additional information.

Katie

Katie Plat ~ NYC DCA/OFE 212-436-0214

From: Amber Gonzalez [mailto:Gonzalez@coib.nyc.gov]
Sent: Wednesday, April 09, 2014 2:32 PM
To: Wayne Hawley
Cc: Amber Gonzalez
Subject: Reminder: Disclosure of Donations and of Fundraising Efforts Due May 20th, 2014

The reports for the period October 1, 2013, though March 31, 2014, for donations to City agencies and for official fundraising for unaffiliated not-for-profits, required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively, **are due to the Board by May 20, 2014.**

AO 2003-4 (attached, see especially pages 22-23) requires a listing of all donations of money, goods, or services with a value of \$5000 or more.

AO 2008-6 (also attached, see especially pages 12-13) requires a listing of the names of all not-for-profit entities for which City agencies, based on a determination by their agency head, solicited support and/or endorsed.

Please note that these reports, in whatever format you choose to submit, as well as all previously submitted reports, will soon be posted on the Board's website.

Please be advised that:

- 1) The two above-referenced Advisory Opinions contain **different** disclosure requirements.
- 2) For any disclosure for which your agency requests that the donor remains anonymous, that is, where you request a waiver of the requirement to disclose the identity of the donor, you must include a separate document stating the following: a) the identity of those staff of your agency who know the identity of the donor, b) that your agency has received a statement from the donor that the donor, the donor's family, and any firm in which the donor has a controlling interest or has a high executive position are not engaged in business dealings with your agency, or, if that is not the case, that the donor is not involved in such business dealings, and c) that your agency will maintain on file the donor's statement and that to the best of your agency's knowledge that statement is accurate.



NEW YORK CITY DEPARTMENT OF CORRECTION Joseph Ponte, Commissioner

Nickolas J. Cuttonaro, Assistant Commissioner

Financial Services Bulova Corporate Center 75-20 Astoria Boulevard, Suite 160 East Elmhurst, NY 11370

> Office: 718 546-0660 Fax: 718 278-6201/6222

May 7, 2014

Amber Gonzalez Assistant Counsel New York City Conflicts of Interest Board 2 Lafayette Street, 10th Floor New York, NY 10007

Re: Disclosure of donations to City agencies and for official fundraising for unaffiliated notfor-profits organization

Dear Assistant Counsel Gonzalez:

Please accept this memo as the New York City Department of Correction's report for the period October 1, 2013, though March 31, 2014 for donations to City agencies and for official fundraising for unaffiliated not-for-profits, as required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively.

During the reporting period, the Department supported fundraising efforts for the following unaffiliated not-for-profit:

AMERICAN CANCER SOCIETY BREAST CANCER WALK

If you need additional information or clarification please do not hesitate to contact me.

Sincerely,

Nickolas J. Cuttonaro Assistant Commissioner Financial Services

c: Ari Wax, Senior Deputy Commissioner Thomas Bergdall, General Counsel File



Emily Lloyd Commissioner

John Rousakis General Counsel

Belina Anderson Assistant Counsel Bureau of Legal Affairs

59-17 Junction Blvd. Flushing, NY 11373

Tel. (718) 595-4259 Fax (718) 595-6543 banderson@dep.nyc.gov Via email to gonzalez@coib.nyc.gov

Amber Gonzalez New York City Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, NY 10007

Re: Semi-Annual Report for October 1, 2013, though March 31, 2014: Fundraising, Donations and Affiliates New York City Department of Environmental Protection New York City Water Board

Dear Ms. Gonzalez:

I am writing pursuant to New York City Conflict of Interest Board's Advisory Opinions 2003-4 and 2008-6.

Please be advised that the New York City Department of Environmental Protection ("DEP") received a donation of real property from New York Commercial Associates, the principal of which is Ralph Zurlo. The property (Block 3708, Lots 35 and 43 on the Tax Map for the Borough of Staten Island) is 2.15 acres in size and immediately adjacent to another wetland parcel, the owner of which has agreed to a negotiated sale to the City. These wetlands preserve the East Branch in the New Creek Bluebelt system, which is managed by DEP for stormwater management purposes. The previous private owner of the property had constructed a wetland on the site, as mitigation for wetland impacts caused by an adjacent housing development. As such, the site's development potential had been exhausted, which is the reason for the donation. The donor did not provide an appraised value of this property.

Please be further advised that DEP receives requests from producers for film shoots on DEP-managed property. DEP does not charge location fees, but informs the producers that they may make donations to the Mayor's Fund to Advance New York City ("Fund"), which is a 501(c)(3) not-for-profit organization ("NFP"). DEP may use money from the Fund for certain expenditures.

May 20, 2014

DEP does not have any "affiliated" NFP entities for which it engages in fundraising activities. As for unaffiliated NFPs, Water for People is an international NFP with the mission of advancing the goals of providing safe drinking water and sanitation in developing countries. DEP endorses WFP and engages in activities seeking private support for WFP.

The NYC Water Board has confirmed that it does not have any donations or activities to disclose for this reporting period.

If any additional information is required, please do not hesitate to contact me at (718) 595-4259.

Very truly yours, Inde

Belina Anderson Assistant Counsel

c: Emily Lloyd, Commissioner Steven W. Lawitts, Executive Director of the Water Board Nancy Hirschmann, Deputy General Counsel

Amber Gonzalez

From:	Edley, Adrienne <aedley@fisa.nyc.gov></aedley@fisa.nyc.gov>
Sent:	Monday, May 05, 2014 3:29 PM
То:	Amber Gonzalez; Wayne Hawley
Cc:	Myers, Rose-Ellen; Fitzpatrick, Edward; Reddy, Peter; Edley, Adrienne; Pillai, Velu
Subject:	RE: Reminder: Disclosure of Donations and of Fundraising Efforts Due May 20th, 2014

In response to your email below, I have checked with the executives at FISA and, based upon everyone's information and belief, FISA has not received any donations or participated in fundraising during the period October 1, 2013, through March 31, 2014.

Thank you.

From: Amber Gonzalez [mailto:Gonzalez@coib.nyc.gov]
Sent: Wednesday, April 09, 2014 2:32 PM
To: Wayne Hawley
Cc: Amber Gonzalez
Subject: Reminder: Disclosure of Donations and of Fundraising Efforts Due May 20th, 2014

The reports for the period October 1, 2013, though March 31, 2014, for donations to City agencies and for official fundraising for unaffiliated not-for-profits, required by the Board's Advisory Opinion Nos. 2003-4 and 2008-6, respectively, **are due to the Board by May 20, 2014**.

AO 2003-4 (attached, see especially pages 22-23) requires a listing of all donations of money, goods, or services with a value of \$5000 or more.

AO 2008-6 (also attached, see especially pages 12-13) requires a listing of the names of all not-for-profit entities for which City agencies, based on a determination by their agency head, solicited support and/or endorsed.

Please note that these reports, **in whatever format you choose to submit**, as well as all previously submitted reports, will soon be posted on the Board's website.

Please be advised that:

- 1) The two above-referenced Advisory Opinions contain **different** disclosure requirements.
- 2) For any disclosure for which your agency requests that the donor remains anonymous, that is, where you request a waiver of the requirement to disclose the identity of the donor, you must include a separate document stating the following: a) the identity of those staff of your agency who know the identity of the donor, b) that your agency has received a statement from the donor that the donor, the donor's family, and any firm in which the donor has a controlling interest or has a high executive position are not engaged in business dealings with your agency, or, if that is not the case, that the donor is not involved in such business dealings, and c) that your agency will maintain on file the donor's statement and that to the best of your agency's knowledge that statement is accurate.
- 3) If another individual at your agency can better respond to these disclosure requirements, please provide me with his or her name and contact information.

If you have any questions about these reports, you may contact me. My contact information is below.

Thank you.



FIRE DEPARTMENT

9 METROTECH CENTER

BROOKLYN, N.Y. 11201-3857

JULIAN BAZEL Counsel to the Department (718) 999-0294 Fax: (718) 999-2235 Room 4W-10 CONFLICTS OF INTEREST BD 2014 MAY 23 P 12: 54

May 15, 2014

Mark Davies Executive Director New York City Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, NY 10007

> Re: Gifts Received by the New York City Fire Department Reporting Period: October 1, 2013 - March 31, 2014

Dear Mr. Davies:

Enclosed please find the Fire Department's Donation Disclosure Form for the above reporting period. It is compiled from information that the Fire Department has gathered from its various units, bureaus and commands through its gift reporting and acceptance procedure.

The Fire Department has not identified any not-for-profit organizations not affiliated with the Fire Department for which the Fire Commissioner or high level Fire Department officials have sought support for the reporting period.

Please contact me should you require any additional information.

Yours truly,

Julian Bazel Counsel to the Department

Enc.

cc: Daniel Shacknai, First Deputy Commissioner



http:/nyc.gov/nycha

SHOLA OLATOYE CHAIR & CHIEF EXECUTIVE OFFICER

May 20, 2014

Wayne G. Hawley, Esq. General Counsel The New York City Conflict of Interest Board 2 Lafayette Street, Suite 1010 New York, New York 10007

Re: Disclosure of Donations

Dear Mr. Hawley:

In accordance with the disclosure requirements set forth in Advisory Opinion No. 2003-4 and Advisory Opinion No. 2008-6, please see the attached lists of donations received by the New York City Housing Authority reflecting donations totaling \$5,000 or more for the period October 1, 2013 through March 31, 2014, and lists of fundraising/donations for affiliated not-for-profit organizations for the same period.

Feel free to contact me at 212 776-5058 if you have any questions.

Very truly yours,

low Beenle

Marni Blank Agency Attorney

cc: File

NEW YORK CITY HOUSING AUTHORITY FUNDRAISING EFFORTS DISCLOSURE FORM

Reporting Period --October 1, 2013, through March 31, 2014

NYCHA Department Doing Fundraising	Non-NYCHA Entity NYCHA is Assisting in Fundraising Efforts	Amount Raised	Category (see email)
Bronx Community Operations	American Cancer Society	\$2,371.00	G
	r		

NEW YORK CITY HOUSING AUTHORITY FUNDRAISING EFFORTS DISCLOSURE FORM

Reporting Period --October 1, 2013, through March 31, 2014

NYCHA Department Doing Fundraising	Non-NYCHA Entity NYCHA is Assisting in Fundraising Efforts	Amount Raised	Category (see email)
	Robin Hood Foundation is the grantor, Brooklyn Workforce		
Resident Economic Empowerment &	Innovations and City Tech are the direct recipients of this		
Sustainability	fundraising	\$750,000	с
Resident Economic Empowerment &	JP Morgan Foundation is the grantor - this grant is also cited by		
Sustainability/ Office of Public Private	the Office of Public Private Partnerships in their fundraising		
Partnerships	disclosure	\$200,000	D
14			

Letters of Support Disclosure for October 1, 2013 - March 31, 2014				
Requesting Organization	RFP Name	Status	Date Completed	
Project Hospitality	NYS Homeless Housing and Assistance program (HHAP)	Completed	10/22/13	
The Ansob Center for Refugees	Refugee Social Service Program	Completed	12/05/13	
CAMBA	Refugee Social Service Program	Completed	12/05/13	
CMP	Refugee Social Service Program	Completed	12/05/13	
St. Nicks Alliance	Career Pathways II Program	Completed	01/17/14	
САМВА	Career Pathways II Program	Completed	02/10/14	
Ridgewood Bushwick Senior Citizens Council, Inc.	Career Pathways II Program	Completed	02/13/14	
EBOFB	Career Pathways II Program	Completed	02/10/14	
Womens Prison Association	Career Pathways II Program	Completed	02/11/14	
Stanley M. Isaacs Neighborhood Center	Career Pathways II Program	Completed	02/10/14	
PHIPPSNY	Career Pathways II Program	Completed	02/14/14	
СМР	Career Pathways II Program	Completed	02/12/14	
Central Queens YM & YWHA	Career Pathways II Program	Completed	02/05/14	
SoBro	Career Pathways II Program	Completed	02/10/14	
NMIC	Career Pathways II Program	Completed	02/03/14	
Obtjobs	Career Pathways II Program	Completed	02/11/14	
PHI	Career Pathways II Program	Completed	02/05/14	
Seedco	Career Pathways II Program	Completed	02/14/12	
The Hope Program	Career Pathways II Program	Completed	02/10/14	
Highbridge Community Life Center	Career Pathways II Program	Completed	02/06/14	
NADAP	Career Pathways II Program	Completed	02/12/14	
JCCGCI	Career Pathways II Program	Completed	02/12/14	
Sanctuary For Families	Career Pathways II Program	Completed	02/12/14	
Carl Marks JCH	Career Pathways II Program	Completed	02/14/14	
STRIVE	Career Pathways II Program	Completed	02/14/14	
Fedcap	Career Pathways II Program	Completed	02/13/14	

Amber Gonzalez

From:	Herzfeld, Paul <pherzfeld@doitt.nyc.gov></pherzfeld@doitt.nyc.gov>
Sent:	Monday, May 05, 2014 9:30 AM
То:	Amber Gonzalez
Cc:	Wayne Hawley
Subject:	Disclosure of Donations and official fundraising October 2013 to March 2014

Amber and Wayne:

For the six month period from October 1, 2013 to March 31, 2014, DoITT did not engage in any official fundraising for non-profits or receive any donations subject to disclosure under the Board's Advisory Opinion Nos. 2003-4 and 2008-6.

Please let me know if you need any additional information.

Sincerely,

Paul L. Herzfeld Senior Counsel NYC Department of Information Technology & Telecommunications pherzfeld@doitt.nyc.gov (646) 769-2325

Please note that to contact me on matters concerning the NYC Technology Development Corporation, where I serve as Treasurer, you may use the following address: <u>pherzfeld@nyctdc.org</u>

This e-mail communication is attorney-client privileged and confidential. If you are not the intended recipient, please immediately notify the sender and delete the communication.



THE CITY OF NEW YORK OFFICE OF THE MAYOR NEW YORK, N.Y. 10007

TO:	Wayne Hawley Deputy Executive Director / General Counsel Conflicts of Interest Board
FROM:	Rosemonde Pierre-Louis, Commissioner (MA) Mayor's Office to Combat Domestic Violence
DATE:	May 20, 2014
RE:	In-Kind Donations Received

Attached please find the semi-annual Disclosure Form indicating that the Mayor's Office to Combat Domestic Violence received no in-kind donations valued at \$5,000 or more during the period October 1, 2013 through April 30, 2014. As you know, all monetary gifts donated to my office are deposited to the Mayor's Fund to Advance New York City, and the Disclosure Form for those monetary gifts for the same time period is provided to you directly by the Mayor's Fund to Advance New York City.

OCDV issued two letters of support during this reporting period; they are provided herewith.

If you have any questions, please do not hesitate to call.

cc: Deputy Mayor Lilliam Barrios-Paoli Colicia Hercules Gabrielle Fialkoff Darren Bloch

Attachments

COIB DISCLOSURE FORM (In-kind)*

MUST BE FILED WITH CONFLICTS OF INTEREST BOARD TWICE A YEAR File by November 15 for period April 1– September 30 File by May 20 for period October 1-March 31 MAYOR'S OFFICE TO COMBAT DOMESTIC VIOLENCE

Date: May 20, 2014

Name of Donor	Type of Donation	Purpose of Donation	Estimated Value of Donor's Donation for the Reporting Period (or description)	Cumulative Value (in-kind)* of Donor's Donations in the Past 24 Months
n/a	<u>n/a</u>	n/a	n/a	n/a

*Categories for Reporting Values

A=	5,000-19,000	B=	20,000-59,000	C=	60,000-99,000	D=100,000-249,999	
E=	250,000-499,999	F=	500,000-1,000,000 or more			100,000 249,999	

NOTE: Monetary Gifts to the Mayor's Office to Combat Domestic Violence are reported directly to COIB by the Mayor's Fund to Advance NYC



Rose Plana-Losis Commissioner Office to Combat Domestic Volence

100 Gold Street, 2nd Floor New York, NY 10008

212 788 3166 14 212 312 0851 1ex

rpiarre-rouisidicityhall.nvn.gov www.nvc.gov/domesticx/dence

March 28; 2014

Henry Thompson, FACHE Chief Executive Officer Community health Center of Richmond 235 Port Richmond Avenue Staten Island, NY 10302

Dear Mr. Thompson:

The Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's support to your organization's application to the U.S. Health Resources and Services Administration (# HRSA-14-110) for funding to establish a Mental Health Services Expansion – Behavioral Health Integration (BHI) program to increase behavioral health provider capacity to serve the unmet need of mental health services in Staten Island.

OCDV formulates policies and programs, monitors the citywide delivery of domestic violence services, and works with diverse communities to increase awareness of domestic violence. OCDV aims to make it easier for domestic violence victims and their children to get the help that they need regardless of the language they speak or their immigration status.

The Community Health Center of Richmond (CHCR) is a partner of OCDV's Domestic Violence Response Team (DVRT), a program aimed to provide comprehensive services to high-risk victims in Staten Island. CHCR refers victims of domestic violence to the DVRT program for coordinated services and CHCR is a valuable partner helping DVRT partners in crisis obtain needed medical services.

We recognize the need for additional mental health service providers in Staten Island and strongly support your application. We look forward to assisting your project in any way that we can.

Regards,

)scannot

Rose Pierre-Louis Commissioner



THE CITY OF NEW YORK OFFICE OF THE MAYOR New York, N.Y. 10007

YOLANDA B. JIMENEZ Commissioner Office to Combat Domestic Violence

(212) 788-3156

January 9, 2014

Henry Thompson, FACHE Chief Executive Officer Community Health Center of Richmond 235 Post Richmond Avenue Staten Island, NY 10302

Dear Mr. Thompson,

The Mayor's Office to Combat Domestic Violence (OCDV) is pleased to offer OCDV's strong support to your organization's application to the U.S. Health Resources and Services Administration (# HRSA-14-020) for funding to establish a Community-based Health Start program in order to improve perinatal health outcomes and reduce racial and ethnic disparities in perinatal health outcomes for women and families in Staten Island.

OCDV formulates policies and programs, monitors the citywide delivery of domestic violence services, and works with diverse communities to increase awareness of domestic violence. OCDV aims to make it as easy as possible for domestic violence victims and their children to get the help that they need regardless of the language they speak or their immigration status.

The Community Health Center of Richmond County (CHCR) is a partner of OCDV's Domestic Violence Response Team (DVRT), a program aimed to provide comprehensive services to highrisk victims in Staten Island. CHCR refers victims of domestic violence to the DVRT program for coordinated services and CHCR is a valuable partner helping DVRT clients in crisis obtain needed medical services.

We recognize the need for a Community-based Health Start program in Staten Island and strongly support your application. We look forward to assisting your project in any way that we can.

Sincerely,

Sanda B. Jimenez



POLICE DEPARTMENT

NEW YORK, N.Y. 10038

DOUGLASS B. MAYNARD DEPUTY COMMISSIONER, LEGAL MATTERS RECEIVED BY CONFLICTS OF INTEREST BD

2014 MAY 21 P 1:07

May 21, 2014

Amber Gonzalez, Assistant Counsel NYC Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, New York 10007

Dear Ms. Gonzalez:

Enclosed please find the New York City Police Department's disclosures as required by Advisory Opinion Nos. 2008-6 and 2003-4 for the period of October 1, 2013 to March 31, 2014. Should you have any questions regarding the submission, please contact Executive Agency Counsel Stephanie L. Zimberg at (646) 610-8404.

Very truly yours,

Douglass B. Maynard Deputy Commissioner, Legal Matters

DBM:lkm Encl.

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CONFLICTS OF INTEREST BOARD REPORT.

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ADVISORY OPINION #2008-6

POLICE CONMISSIONER RAYMOND W. KELLY

REPORT PERIOD: OCTOBER 1. 2013 THROUGH DECEMBER 31. 2013

Police Commissioner Raymond W. Kelly participated in events involving the following organizations:

Lincoln Center Coalition of Latin American Consuls The Economist Concordia College **Bloomberg** Philanthropies Young Presidents Organization Avenue Magazine Association for a Better New York City Lab Council on Foreign Relations Metropolitan Museum B.E.N.S. Diocese of Brooklyn The Daily Beast New York Historical Society Battery Park Police Memorial Boy Scouts of America Columbus Citizens Foundation Petrosino Association Conference of Presidents of Major American Jewish Organizations Museum of Modem Art Elton John AIDS Foundation University Club Voices of September 11 Alfred E. Smith Dinner Boro Park Jewish Community Council Kingsbridge National Ice Center International Association of Chiefs of Police Grandmothers' LOV Organization Urban Assembly School for Emergency Management ING NYC Marathon Business Council of Westchester

National Council on Alcoholism and Drug Dependence New York Police and Fire Widows' and Children's Benefit Fund F.I.T Interior Design Relief Project New York City Police Foundation Brown University Paul Robert Carey Foundation Woodrow Wilson Awards Dinner Police Athletic League Federal Drug Agents Foundation Library Lions Gala Cardinal's Inner City Scholarship Fund Ronald McDonald House Nicholas Scoppetta Children's Center Friends of the Vietnam Veterans Plaza Steiner Studios Police and Fire Line of Duty Widows of NYC USS New York NASDAO The East Harlem School at Exodus House Glamour Magazine NY Cares Coat Drive Goldman Sachs Veteran Employment Symposium 4 World Trade Center Robin Hood Heroes Awards Global Medical Relief Fund Living Landmarks Gala National Law Enforcement Museum American Association of Order of Malta Manhattan Institute Federal Law Enforcement Foundation NYC Rescue Mission Lupus Foundation Federal Bar Council God's Love We Deliver National Law Enforcement & Firefighters Children's Foundation Bowery Mission **UJA** Federation Salvation Army Law Enforcement Exploring NY Board of Rabbis **Tishman Speyer** Rockefeller Center St. John's Law School Committee of French Speaking Societies Partnership for NYC Apollo Theater

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Organization of Chinese Americans Hunter College NYC and Company Marist College John Jay College Staten Island Family Justice Center National Law Enforcement Association Tunnel to Towers Foundation Tribeca Institute Hundred Year Association Harlem Chamber of Commerce Mutual of America

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<u>Police Commissioner Raymond W. Kelly has written a Journal letter of</u> <u>support for the following organizations</u>:

Federal Law Enforcement Foundation

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CONFLICTS OF INTEREST BOARD REPORT

ADVISORY OPINION #2008-6

POLICE COMMISSIONER WILLIAM J. BRATTON

REPORT PERIOD: JANUARY 1, 2014 THROUGH MARCH 31, 2014

Police Commissioner William J. Bratton participated in events involving the following organizations:

NYC Police Foundation Anti-Defamation League's Glass Leadership Institute LISC "Safe Streets, Strong Communities" Symposium **Regency Hotel** Harvard University Real Estate Board of New York Police Athletic League New York Police and Fire Widows' and Children's Benefit Fund Alfalfa Dinner Grandmothers' LOV Organization National Football League New York Daily News Madison Square Boys and Girls Club Police Athletic League Bank of America Law Enforcement Explorer Program Partnership for New York City Major Cities Chiefs Citizens Crime Commission John Jay College Consul General of France St. Patrick's Day Parade Committee Citizens Committee for New York City J.P. Morgan Chase Semper Fi Fund New York Law School World Read Aloud Day Federal Law Enforcement Foundation New York Community Trust Brooklyn Police Clergy Coalition Columbia University Irish America Magazine

Bowling Green Association The Ireland Chamber of Commerce Federal Law Enforcement Foundation Boston Police Foundation The Advancement Project Bank of America Harvard Club The Broad Superintendents Academy East Harlem Children's Aid Society

<u>Police Commissioner William J. Bratton has written a Journal letter of support for the</u> <u>following organizations:</u>

Jewish Children's Museum Rockaway Development and Revitalization Corporation Girls Educational and Mentoring Services (GEMS)



PUBLIC ADVOCATE FOR THE CITY OF NEW YORK

Letitia James

Non-Affiliated Not For Profit Report**

Public Disclosures on Unaffiliated Not for Profit Entities that are supported/endorsed for the Period 10/1/2013 – 3/31/14) [Pursuant to COIB AO 2008-6].

NONE

**Please note, that our Office does not have all relevant documents or information from the prior Administration, to affirmatively state or represent what occurred during the period of October 2013 to January 2, 2014. While efforts were made to review the prior Administration activities, there are no records located that reflect any transactions that are to be reported during this time.



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Bureau of Legal Affairs 125 Worth Street, Room 710 New York, N.Y. 10013

Ellen Cooper Associate Counsel T. 646.885.4989 F. 212.788.3876 ecooper@dsny.nyc.gov

April 16, 2014

Chairman Richard Briffaul Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, New York 10007

Re: City Fundraising Financial Disclosure

Dear Mr. Briffaul:

Pursuant to the financial disclosure requirements set forth in Advisory Opinion 2003-4 and 2008-6, the New York City Department of Sanitation has researched the records of all donations to the agency and agency affiliated not-for-profit entities. For the six-month period ending March 31, 2014, the agency and agency affiliated not-for-profit entities did not receive any donations of \$5,000 or more. Therefore, the Department is not submitting a financial disclosure form. Additionally, the Department did not solicit support for any unaffiliated notfor-profit entities.

Please do not hesitate to contact me should you need any further information regarding this matter.

Sincerely yours,

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Ellen Cooper Associate Counsel

cc. Commissioner Kathryn Garcia Robert Orlin



Taxi & Limousine Commission

Meera Joshi Commissioner/Chair

Office of Legal Affairs 33 Beaver Street, 22rid Floor New York, NY 10004

+1 212 676 1135 tel +1 212 676 1102 fax May 19, 2014

Wayne Hawley General Counsel Conflicts of Interest Board 2 Lafayette Street, Suite 1010 New York, New York 10007

RE: Donations Report for October 1, 2013 through March 31, 2014

Dear Mr. Hawley:

Pursuant to Board Opinions 2003-4 and 2008-6, the Taxi and Limousine Commission ("TLC") hereby reports that no reportable donations were received during this past biannual reporting period. The TLC also did not solicit any donations for any unaffiliated not-for-profits during the same period.

Please let us know if there is any additional information we can provide. As always, we thank you for your attention to this matter.

Regards,

Alm

Ira Goldapper Assistant General Counsel

cc: Meera Joshi, Commissioner/Chair, TLC

* Type: M = Money

G = Goods

S = Services