

Polycymaker List 2011

Administration for Children’s Services	1
Office of the Actuary	2
Board of Education Retirement System	3
Business Integrity Commission	5
Board of Correction	6
Bronx Borough President’s Office	7
Bronx District Attorney’s Office	8
Brooklyn Borough President’s Office	9
Board of Standards and Appeals	10
Department of Buildings	11
Civilian Complaint Review Board	12
Campaign Finance Board	13
Office of the City Clerk	14
Department of City Planning	15
Comptroller’s Office	17
Department of Consumer Affairs	19
Department of Correction	20
City Council	21
Department of Cultural Affairs	22
Dept. of Citywide Administrative Services	23
Department of Design and Construction	25
Department of Environmental Protection	27
Department for the Aging	30

Department of Homeless Services	31
Department of Juvenile Justice (ACS)	33
Department of Health and Mental Hygiene	34
Department of Investigation	40
Department of Information Technology & Telecommunication	41
Department of Transportation	43
Department of Youth and Community Development	47
Economic Development Corporation	48
Department of Education	49
Equal Employment Practices Commission	52
Board of Elections	53
Fire Department	54
Department of Finance	56
Financial Information Services Agency	58
Housing Development Corporation	59
Health and Hospitals Corporation	60
Dept. of Housing Preservation & Development	61
Human Resources Administration	62
Human Rights Commission	64
Independent Budget Office	65
Landmarks Preservation Commission	66
Law Department	68

Manhattan Borough President	69
Manhattan District Attorney's Office	70
New York City Employee Retirement System	71
New York City Housing Authority	73
Office of Collective Bargaining	78
Office of Emergency Management	80
Office of Management and Budget	82
Parks Department	83
Police Department	84
Police Pension Fund	87
Department of Probation	88
Public Advocate's Office	89
Queens Borough President	90
Dept. of Records and Information Services	91
Rent Guidelines Board	92
Sanitation Department	93
Small Business Services	95
School Construction Authority	97
Staten Island Borough President's Office	99
Staten Island District Attorney's Office	100
Office of Administrative Tax Appeals	101
Taxi and Limousine Commission	102
Teachers' Retirement System	103

Administration for Children's Services



List of ACS Staff with Substantial Policy Discretion - 2011

John B. Mattingly
Commissioner

Julie A. Friesen
Deputy Commissioner

Janet D. Subrizi
Assistant Commissioner

Office of Personnel Services
150 William Street
16th Floor
New York, New York 10038

212-341-2501 tel
212-341-2574 fax

1. John Mattingly, Commissioner
2. Joseph Cardieri, Deputy Commissioner / General Counsel
3. Jan Flory, Deputy Commissioner
4. Belinda Conway, Executive Deputy Commissioner
5. Susan Morley, Special Advisor for Special Investigations
6. Laurence Busching, Executive Deputy Commissioner
7. Melanie Hartzog, Deputy Commissioner
8. Susan Nuccio, Deputy Commissioner
9. Charles Barrios, Deputy Commissioner
10. Lorraine Stephens, Deputy Commissioner
11. Gilbert Taylor, Deputy Commissioner
12. Oliver Pu-Folkes, Associate Commissioner
13. Julie Friesen, Deputy Commissioner
14. Nancy Martin, Associate Commissioner
15. Dawn Saffayeh, Deputy Commissioner
16. Maria Benejan, Associate Commissioner
17. Peggy Ellis, Associate Commissioner
18. Angel Mendoza, MD., Assistant Commissioner
19. Michael Fagan, Communications Director
20. Iris Kaplan, Assistant Commissioner
21. Nancy Thomson, Associate Commissioner
22. Amy Baker, Chief of Staff
23. Susan Fojas, Associate Commissioner
24. Ervine Kimerling, Executive Director
25. Diane Connolly, Assistant Commissioner
26. Martin Baron, Deputy General Counsel
27. Beth Leatherman, Assistant Commissioner
28. Marie Philippeaux, Associate Commissioner
29. Richard Beck, Assistant Commissioner
30. Jose Mercado, Assistant Commissioner
31. Andrew Martin, Executive Director
32. Sara Vecchiotti, Assistant Commissioner
33. Ronald Kuch, Executive Director
34. Pamela Lee, Acting Assistant Commissioner

Brandon Stradford,
Director, Employment Services / COIB Liaison
February 28, 2011

Office of the Actuary

MEMORANDUM

TO: Mark Davies
Executive Director
NYC Conflicts of Interest Board

FROM: Robert C. North, Jr.
Chief Actuary

SUBJ: Staff Having Substantial Policy
Discretion

DATE: August 9, 2011

Pursuant to Chapter 68, §2604(b)(12) and §2604(b)(15) of the New York City Charter, the following is the list of employees in the Office of the Actuary who are charged with substantial policy discretion in determining important agency matters:

Susan M. Flaschenberg	Director of Administration
John R. Gibney, Jr.	Assistant Deputy Chief Actuary
Robert C. North, Jr.	Chief Actuary
Sam Rumley	Assistant Deputy Chief Actuary

These employees have been notified in writing of the restrictions on political activity, as specified in the New York City Charter.

cc: S.M. Flaschenberg
ADM/sf:my documents/Financial Disclosure-Ethics:2132M



**BOARD OF EDUCATION RETIREMENT SYSTEM
OF THE CITY OF NEW YORK
65 COURT STREET
BROOKLYN, NEW YORK 11201- 4965**

CHRISTINE BAILEY,
EXECUTIVE DIRECTOR

718-935-5400
OUTSIDE NEW YORK STATE
1-800-843-5575

February 7, 2011

Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflict of Interest Board
2 Lafayette Street, Room 1010
New York, NY 10007

Dear Mr. Hawley:

The following employees in our agency have substantial policy discretion:

Christine Bailey, Executive Director
John Cahalin, Director of Operations
Harvey A. Gordon, Executive Agency Counsel
Carl Esposito, Computer Systems Manager
Noro Healy, Administrative Education Analyst
Patrick Hederman, Administrative Accountant
Daniel Miller, Administrative Retirement Benefits Specialist
Kathleen Grimm, Chancellor's Designee to Board of Trustees

Our Board of Trustees is composed of the following members:

Philip A. Berry
Cathleen P. Black
Linda Lausell Bryant
Joe Chan
Joan Correale
Joseph D'Amico
Dmytro Fedkowskyi
Tino Hernandez
Monica Major
Tomás D. Morales
Lisette Nieves
Gbubemi Okotieuro
Gitte Peng
Robert Reffkin
Milagros Rodriguez
Patrick Sullivan

Board of Education Retirement System p.2

Shirley Miller, Alternate Employee Member

Robert Troeller, Alternate Employee Member

Business Integrity Commission



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 676-6219 · Fax (212) 676-6204

Michael J. Mansfield
Commissioner/Chair

February 3, 2011

Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Mr. Davies:

Please find enclosed a list of the Business Integrity Commission employees who have substantial policy making discretion. The Commission has provided each of these employees with a copy of the “Notice to New York City Public Servants Having Substantial Policy Discretion.”

Michael Mansfield	Chair
Hope Kennedy	Chief of Staff
Ronald Cohen	Deputy Commissioner for Investigations
Russell Bixler	Assistant Commissioner for Intelligence
Steve Verrelli	Chief Investigator
Eric Dorsch	General Counsel
Ilene Chin	Deputy Commissioner for Operations
John Curry	Assistant Commissioner for Legal Affairs
Hector Serrano	Assistant Commissioner for Licensing

Let me know if you need any further information.

Sincerely,

Eric Dorsch

Board of Correction

NYC Board of Correction
51 Chambers Street, Room 923
New York, NY 10007

Public servants with substantial policy discretion (2011)

Hildy J. Simmons, Chair
Michael J. Regan, Vice Chair

Members:
Catherine M. Abate, Esq.
Pamela S. Brier
Robert L. Cohen, M.D.
Stanley Kreitman
Rosemarie Maldonado, Esq.
Alexander Rovt, PhD.
Milton L. Williams, Esq.

Richard T. Wolf, Esq., Executive Director
Cathy E. Potler, Deputy Executive Director

Bronx Borough President's Office

Bronx Borough President's Office

Persons with Substantial Policy Discretion

As of February 28, 2011

<u>Name</u>	<u>Title</u>
Aurelia Greene	Deputy Borough President
Paul Del Duca	Chief of Staff
Al Rodriguez	Counsel
John Desio	Communications Director

Bronx District Attorney's Office

Conflicts Board Policymakers: Bronx District Attorney's Office

February 28, 2011

Robert T. Johnson, District Attorney

(Legal):

Odalys Alonso, Chief Assistant District Attorney

Anthony Girese, Counsel to the District Attorney

Anthony Schepis, Exec. Assistant District Attorney

Robert Dreher, Exec. Assistant District Attorney

Maria Rivero, Administrative Assistant District Attorney

Thomas Leahy, Chief, Investigations Division

(Support):

Lisa Payne Wansley, Administrative Chief

Chris Standora, Chief Financial Officer

Brooklyn Borough President's Office

February 28, 2011

Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, New York 10007

RE: Public servants charged with substantial policy discretion

Dear Mr. Hawley:

As per your request, listed below are employees of the Office of the Brooklyn Borough President who have substantial policy discretion:

Marty Markowitz	Borough President
Yvonne J. Graham	Deputy Borough President
Carlo A. Scissura	Chief of Staff
Jason A. Otaño	Counsel to Borough President
Melody Ruiz	Director of Administration
Richard Bearak	Director of Planning and Development
Jon Paul Lupo	Director of Communications
Carolyn Greer	Director of Public Events/Special Projects

Please feel free to contact me at (718) 802-3862 should you require additional information.
Thank you for your attention to this matter.

Sincerely,

Jason A. Otaño
Counsel to the Brooklyn Borough President

Board of Standards and Appeals
Board of Standards and Appeals
Substantial Policy Discretion List - 2011

EMPLOYEE NAMES	TITLE
Meenakshi Srinivasan	Chair/Commissioner
Christopher Collins	Vice-Chair/Commissioner
Dara Ottley-Brown	Commissioner
Susan Hinkson	Commissioner
Eileen Montanez	Commissioner
Jeffrey Mulligan	Executive Director
Amy Rebecca Kelly	General Counsel

Department of Buildings

EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION		
2010 Department of Buildings		
LAST NAME	FIRST NAME	TITLE
ALACHA	MICHAEL	ASSISTANT COMMISSIONER, ENGINEERING AND EMERGENCY OPERATIONS
AMER	FATMA	FIRST DEPUTY COMMISSIONER
COLGATE	JAMES	ASSISTANT COMMISSIONER, TECHNICAL AFFAIRS
CORCORAN	EUGENE	DEPUTY COMMISSIONER, REGULATORY POLICY AND ENFORCEMENT
DEFOE	WERNER	DEPUTY BOROUGH COMMISSIONER
FARIELLO	THOMAS	BOROUGH COMMISSIONER
FISHER	ALEXANDRA	SENIOR POLICY ADVISOR
GALLAGHER	JOHN	DEPUTY BOROUGH COMMISSIONER
GLUCKMAN	IRA	BOROUGH COMMISSIONER
GORDON	KANDA	ASSOCIATE COMMISSIONER, BOROUGH OPERATIONS
GRIPPO	VINCENT	CHIEF OF STAFF
KAMINER	MARSHAL	BOROUGH COMMISSIONER
KHEDEKAR	DILEEP	DEPUTY BOROUGH COMMISSIONER (until 10/4/10)
KING FESTA	MARILYN	DEPUTY COMMISSIONER (resigned 5/31/10)
KRAMER	STEPHEN	SENIOR COUNSEL (resigned 7/2/10)
LEE	DEREK	BOROUGH COMMISSIONER
LIMANDRI	ROBERT	COMMISSIONER
MACK-HENRY	DIANA	DEPUTY BOROUGH COMMISSIONER
POGODA	SUSAN	DEPUTY COMMISSIONER, LEGAL AFFAIRS
PLUMEY	RAYMOND	DEPUTY BOROUGH COMMISSIONER
REBHOLTZ	MARTIN	DEPUTY BOROUGH COMMISSIONER, MANHATTAN (start date 1/31/11)
SANTULLI	CHRISTOPHER	ACTING ASSISTANT COMMISSIONER ENGINEERING AND SAFETY OPERATIONS
SEHGAL	MONA	GENERAL COUNSEL
VILENCHIK	KAZIMIR	DEPUTY BOROUGH COMMISSIONER
WINTER	BRYAN	DEPUTY BOROUGH COMMISSIONER (resigned December 2010)

Civilian Complaint Review Board



CIVILIAN COMPLAINT REVIEW BOARD
40 RECTOR STREET, 2ND FLOOR
NEW YORK, NEW YORK 10006 ♦ TELEPHONE (212) 442-8833
www.nyc.gov/ccrb

MICHAEL R. BLOOMBERG
MAYOR

JOAN M. THOMPSON
EXECUTIVE DIRECTOR

To: Conflicts of Interest Board

From: Joan M. Thompson, Executive Director
Civilian Complaint Review Board

Date: July 27, 2011

RE: SUBSTANTIAL POLICY DISCRETION

As requested, the following is a list of board members and employees who have substantial policy discretion.

Board Member/Chair: Daniel D. Chu, Esq.

Board Members:

James Donlon, Esq.

Alphonzo A. Grant, Esq.

Dr. Mohammad Khalid

David G. Liston, Esq.

Jules A. Martin, Esq.

Mary E. Mulligan, Esq.

Bishop Mitchell G. Taylor

Tosano Simonetti

Youngik Yoon, Esq.

Executive Director: Joan M. Thompson

First Deputy Executive Director: Meera Joshi

Deputy Executive Director for Administration: Brian Connell

If you have any questions, please call me at 212-442-8731

Campaign Finance Board



New York City Campaign Finance Board

40 Rector Street, New York, NY 10006
tel. 212.306.7100
fax 212.306.7143
www.nyccfb.info

Joseph P. Parkes, S. J.
Chairman

Art Chang
Richard J. Davis
Courtney C. Hall
Mark S. Piazza
Members

Amy M. Loprest
Executive Director

Shauna Tarshis Denkensohn
Deputy Executive Director

Sue Ellen Dodell
General Counsel

TO: Mark Davies
Executive Director, Conflicts of Interest Board

FROM: Amy M. Loprest

A handwritten signature in black ink, appearing to be 'L2'.

DATE: February 4, 2011

SUBJECT: Agency Obligations under Substantial Policy Discretion Rule of the
Conflicts of Interest Board

As defined by Conflicts of Interest Board Rule 1-02, the following public servants exercise substantial policy discretion in performing their work at the Campaign Finance Board:

NAME	TITLE
Joseph P. Parkes, S.J.	Chair
Art Chang	Board Member
Richard J. Davis	Board Member
Courtney C. Hall	Board Member
Mark S. Piazza	Board Member
Amy M. Loprest	Executive Director
Shauna Tarshis Denkensohn	Deputy Executive Director
Sue Ellen Dodell	General Counsel

Office of the City Clerk

BY EMAIL

February 28, 2011

City of New York
Conflicts of Interest Board
2 Lafayette Street
Suite 1010
New York, NY 10007

Re: Public servants charged with substantial policy discretion

Dear Sir/Madam:

Listed below are the public servants charged with substantial policy discretion in the Office of the City Clerk:

- (a) Michael McSweeney;
- (b) Alisa Fuentes;
- (c) Emmanuel Michalos;
- (c) Patrick L. Synmoie;
- (d) Jose Gonzalez; and
- (e) Acela Velazquez.

Please direct any additional questions to me at 212-669-2610.

Sincerely,

Patrick L. Synmoie
Counsel to the City Clerk

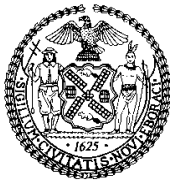
Department of City Planning

City Planning 2011 Policymakers

LAST NAME	FIRST NAME	CIVIL SERVICE TITLE
BARTH	RICHARD	EXECUTIVE DIRECTOR
BATTAGLIA	ANGELA	COMMISSIONER
BESSER	RAYANN	COMMISSIONER
BURDEN	AMANDA	CHAIRMAN
CAMPO	NICOLE	CITY PLANNER
CANTOR	IRWIN	COMMISSIONER
CERULLO III	ALFRED	COMMISSIONER
CHEN	BETTY	COMMISSIONER
DEL TORO	MARIA	COMMISSIONER
DINERSTEIN	BARRY	CITY PLANNER
DOBRUSKIN	ROBERT	ADMINISTRATIVE CITY PLANNER
EADDY	RICHARD	COMMISSIONER
GARCIA-DURAN	LEONARD	ADMINISTRATIVE CITY PLANNER
GOLDWYN	SARAH	ADMINISTRATIVE CITY PLANNER
GROSSMAN	CAROLYN	EXECUTIVE ASSISTANT FOR PLANNING
HARRIS	JACQUELY	ADMINISTRATIVE CITY PLANNER
HSU-CHEN	EDITH	ADMINISTRATIVE CITY PLANNER
KAPUR	PURNIMA	ADMINISTRATIVE CITY PLANNER
KARNOVSKY	DAVID	COUNSEL
KELLY	ANNE	ADMINISTRATIVE STAFF ANALYST
KNUCKLES	KENNETH	COMMISSIONER DESIGNATED AS VICE CHAIRMAN
KOBER	ERIC	ADMINISTRATIVE CITY PLANNER
LEVENTHAL	NATHAN	COMMISSIONER
LEVIN	ANNA	COMMISSIONER
LOPEZ	RUDY	ADMINISTRATIVE CITY PLANNER
LUBIN	JULIE	EXECUTIVE AGENCY COUNSEL
MARRELLA	MICHAEL	ADMINISTRATIVE CITY PLANNER
MCRAE	SHIRLEY	COMMISSIONER
MERANI	JAMES	ADMINISTRATIVE CITY PLANNER
OWENS	BRIAN	COMPUTER OPERATIONS MANAGER
PHILLIPS	KAREN	COMMISSIONER
PRICE, III	GLEN	ADMINISTRATIVE CITY PLANNER

Department of City Planning

RAYNOFF	RACHAELE	DIRECTOR OF PUBLIC INFORMATION
SALVO	JOSEPH	ADMINISTRATIVE CITY PLANNER
SAMOL	CAROL	ADMINISTRATIVE CITY PLANNER
SCHMIDT JR	JACOB	ADMINISTRATIVE CITY PLANNER
SLATKIN	HOWARD	ADMINISTRATIVE CITY PLANNER
ULON	SHAHEEN	ADMINISTRATIVE STAFF ANALYST
VOYAGES	SOTIREOS	ADMINISTRATIVE CITY PLANNER
WARGO	THOMAS	ADMINISTRATIVE CITY PLANNER
WASHBURN	ALEXANDROS	PRINCIPAL PLANNING CONSULTANT
YOUNG	JOHN	ADMINISTRATIVE CITY PLANNER



Amedeo D'Angelo
ASSISTANT COMPTROLLER

Office of the Comptroller

CITY OF NEW YORK
OFFICE OF THE COMPTROLLER
JOHN C. LIU

BUREAU OF ADMINISTRATION

MUNICIPAL BUILDING
ONE CENTRE STREET, ROOM 710
NEW YORK, N.Y. 10007-2341

TEL: (212) 669-2223
FAX: (212) 669-7092
ADANGEL@COMPTROLLER.NYC.GOV

To: Ricardo Morales
Deputy Comptroller/General Counsel

From: Amedeo D'Angelo

Date: February 25, 2011

Subject: **2011-Employees with Substantial Policy Discretion**

The following Office of the Comptroller employees have been identified as having substantial policy discretion as defined by Conflicts of Interest Board Rule § 1-02:

Michael Bott	Assistant Comptroller, Information Systems
Valerie Budzik	First Deputy General Counsel
Karen Cohen	Assistant Comptroller, Law & Adjustment
Amedeo D'Angelo	Assistant Comptroller, Administration
Eric Eve	First Deputy Comptroller
Jeffrey Elmer	Assistant Comptroller, Labor Law
Simcha Felder	Deputy Comptroller, Accountancy & Budget
Martin Gantz	Head, Fixed Assets, Asset Management
Michael Garland	Executive Director, Pension Policy, Budget & Policy
Seema Hingorani	Head Public Equities, Asset Management
Aaron Hoffnung	Assistant Comptroller, Budget & Policy
David Jeter	Assistant Comptroller, Asset Management
Mark Kim	Assistant Comptroller, Public Finance
H. Tina Kim	Deputy Comptroller for Audits
Carol Kostik	Deputy Comptroller, Public Finance
William Lipski	Chief Engineer, Bureau of Engineering

Office of the Comptroller

Substantial Policy Discretion List -2011

Page 2

Thaddeus McTigue	Executive Director, Pensions
Barry Miller	Head of Private Equity, Asset Management
Ricardo Morales	Deputy Comptroller for Legal Affairs/ General Counsel
Yvonne Nelson	Executive Director, Real Estate, Asset Management
Lawrence M. Schloss	Deputy Comptroller/Chief Investment Officer, Asset Management
Kenneth Sylvester	Assistant Comptroller, Pension Policy
Patrick Toner	Chief Accountant, Accountancy
Geneith Turnbull	Deputy Comptroller/Contracts & Procurement, Contract Admin.
Alan van Capelle	Deputy Comptroller, Public Affairs

Thank you.

Cc: Eric Eve

Memo

To: Wayne G. Hawley, Deputy Executive Director and General Counsel
From: Marla Tepper, Deputy Commissioner for Legal Affairs / General Counsel
Cc: Dorlene Cotton, Director of Human Resources
Manny Menjivar, Assistant Director of Human Resources/
COIB Coordinator
Date: February 8, 2011
Re: 2011 List of Public Servants charged with Substantial Policy Discretion

<u>NAME</u>	<u>TITLE</u>
1) Jonathan Mintz	Commissioner
2) Alba Pico	First Deputy Commissioner
3) Frances Freedman	Deputy Commissioner for External Affairs
4) Marla Tepper	Deputy Commissioner for Legal Affairs / General Counsel
5) George Pape	Assistant Commissioner for Finance, Management, Policy Analysis / Chief of Staff
6) Cathie Mahon	Deputy Commissioner for Financial Empowerment
7) Sanford Cohen	Deputy General Counsel
8) Katherine Sarlin	Assistant Commissioner for Communications
9) Mitchell Kent	Director of Legislative Policy and Special Counsel
10) Christopher Montgomery	Chief Info. Officer / A/C for Technology Systems Integration
11) Nancy Schindler	Assistant Commissioner of Legal Divisions
12) Chris McGowan	Chief Financial Officer
13) Meenakshi Bector	Assistant Commissioner for Operations

Department of Corrections



NEW YORK CITY DEPARTMENT OF CORRECTION

Dora B. Schriro, Commissioner

Alan Vengersky, Deputy Commissioner

Human Resources, Labor Relations and Training

2 Washington Street

New York, NY 10004

212 • 487 • 6594

Fax 212 • 487 • 6442

February 10, 2011

Mr. Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Mr. Davies:

Pursuant to your request, the following is a listing of employees with substantial policy discretion:

<u>Name</u>	<u>Position</u>
Dora B. Schriro	Commissioner
Lewis S. Finkelman	First Deputy Commissioner
Florence Finkle	Deputy Commissioner, Office of Excellence
Robert Maruca Jr.	Deputy Commissioner, Budget and Finance
Sharman Stein	Deputy Commissioner, Public Information/Community Affairs
Alan Vengersky	Deputy Commissioner, Human Resources, Labor Relations and Training
Jean Yaremchuk	Deputy Commissioner, Information Technology/Chief Information Officer
Larry Davis	Chief of Department
Michael Hourihane	Deputy Chief of Department
Erik Berliner	Associate Commissioner, Health, Data Management, Forensic Services and Nutritional Services
Archana Jayaram	Associate Commissioner, Program Services and Discharge Planning

Sincerely,

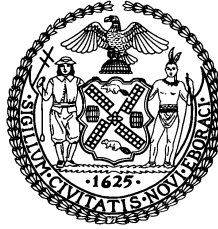
Alan Vengersky

AV:ra

C: Lewis S. Finkelman, First Deputy Commissioner
Nadene Pinnock, Acting General Counsel
File

(c:\msoffice\my document\DOI-FD\letter-m-davies-COIB 2011)

City Council



THE COUNCIL
OF
THE CITY OF NEW YORK
OFFICE OF THE GENERAL COUNSEL
250 Broadway, 15th Floor
New York, N.Y. 10007

February 18, 2011

Memorandum

To: Wayne Hawley, Deputy Director & General Counsel
New York City Conflicts of Interest Board

From: Nadir Joshua, Ethics & Employment Counsel
New York City Council

Re: New York City Council Employees with Substantial Policy Discretion

Below are the employees designated by the New York City Council as having substantial policy discretion.

Gail Benjamin
Danielle DeCerbo
Elizabeth Fine
Raymond Majewski
Ramon Martinez
Steven Matteo
Charles Meara
Philip Monaco
Margaret Nelson
Robert Newman
Preston Niblack

Department of Cultural Affairs

August 9, 2011

Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Ste. 1010
New York, NY 10007

Re: DCLA Employees with Substantial Policy Discretion

Dear Mr. Davies:

The following is a list of the employees at the Department of Cultural Affairs who are deemed to have “substantial policy discretion” in determining important agency matters. These employees have been given a copy of the Notice to New York City Public Servants Having Substantial Policy Discretion.

<u>Name</u>	<u>Position</u>
Kate D. Levin	Commissioner
Margaret Morton	Deputy Commissioner
Tracey Knuckles	General Counsel
Kristin Sakoda	Deputy General Counsel
Timothy Thayer	Assistant Commissioner, Cultural Institutions
Susan Chin	Assistant Commissioner, Capital Projects
Kathleen Hughes	Assistant Commissioner, Program Services
Danai Pointer	Director of External Affairs
Daniel Contafio	Director of Information Technology
Phillippa Shao	Director of Finance and Strategic Initiatives
Harriet Taub	Director of Materials for the Arts
Perian Carson	Director of Community Arts Development Program
Sara Reisman	Director of Percent for Art

If you require additional information, please call me at (212) 513-9321.

Sincerely,

Margaret Morton
Deputy Commissioner

cc: Wayne Hawley/COIB
Tracey Knuckles
Cynthia Ingram

Dept. of Citywide Administrative Services/Bd. of Standards and Appeals

NAME	DIVISION	TITLE
BADILLO, RICHARD	DFMO	ASSISTANT COMMISSIONER, DFMO
BARTH, STUART	DEM	DIRECTOR OF PROCUREMENT & BUDGET
BLOCK, LEIGH	DMSS	ASSISTANT COMMISSIONER
BOYER, SHAMEKA	DAS	ASSISTANT COMMISSIONER, ADMINISTRATION
BROSEN, DONALD	DFMO	DEPUTY COMMISSIONER, DFMO
BRUNET, ANDREW	DCPS	ASSISTANT COMMISSIONER, DCPS
CAMPBELL, CORINNE	OGC	ACCO/ASSISTANT COMMISSIONER
CASTELLANETA, JOHN	DAS	DEPUTY COMMISSIONER, DAS
CHANG, JENNIFER	DMSS	PURCHASE DIRECTOR, DMSS
COHEN, SUSAN	DEM	EXECUTIVE DIRECTOR, OEC
COLLINS, CHRISTOPHER	BSA	VICE-CHAIR/COMMISSIONER
CROTHERS, DIANE	DCEEO	DEPUTY COMMISSIONER, CEEO
DALY, MARK	EXEC	DIRECTOR OF COMMUNICATIONS
DIMITRI, DAVID	DFMC	ACTING ASSISTANT COMMISSIONER
DIPAOLA, MARIA	DCPS	ASSOCIATE COMMISSIONER, CSA
DIPPLE, MIKE	DEM	DIRECTOR OF OPERATIONS & MAINTENANCE
FONG, RANDAL	DRES	FIRST ASSISTANT COMMISSIONER, DRES
FORTE, MICHAEL	DCPS	ASSISTANT COMMISSIONER, PERSONNEL DEVELOPMENT
FRIEDMAN, RICHARD	DRES	ASSISTANT COMMISSIONER, FINANCIAL SERVICES
GARAUFIS, MICHAEL	DRES	SR. PORTFOLIO MANAGER
GENDELMAN, BARRY	DRES	ASSISTANT COMMISSIONER, PROP MGMT & LEASING
GIPSON, MITCH	EXEC	CHIEF OF STAFF
GOLDMAN, SHELLEY	DRES	DEPUTY ASSISTANT COMMISSIONER, DRES
GREEN, CAROL	DMSS	ASSISTANT COMMISSIONER
HEBERT, AMY	DAS	DIRECTOR, HR & TRAINING
HERSZDORFER, PAUL	EXEC	DIRECTOR, TRANSPORTATION SERVICES
HICKS, ERIC	DAS	DEP DIR, DISCIPLINARY PROCEEDINGS
HINKSON, SUSAN	BSA	COMMISSIONER
IMPOLLONIA, PAT	DEM	DIRECTOR OF ENERGY CONSERVATION PLANNING AND PROGRAMS
KAYE, LAURIE	OGC	DEPUTY GENERAL COUNSEL, LEGAL SERVICES
KELLY, AMY REBECCA	BSA	GENERAL COUNSEL
KERNER, SUSAN	DFMO	DIRECTOR, CITYSTORE
KIM, LANA	DFMC	DIRECTOR OF STRATEGIC PLANNING & SPECIAL PROJECTS
KLIMOWICZ, WILLIAM	DCPS	ASSISTANT COMMISSIONER, EXAMS
KONDRAT, JEFFREY	DRES	ASSISTANT COMMISSIONER, ACQUISITIONS & CONSTRUCTION SVCS
KOTTER, NINA	DRES	SENIOR PORTFOLIO MANAGER
LAPAIX, MARIO	EXEC	SPECIAL ADVISOR TO THE COMMISSIONER
LEDESMA-GARRIDO, RAMONA	DMSS	EXECUTIVE, DMSS
LEES, ILENE	OGC	GENERAL COUNSEL

Dept. of Citywide Administrative Services/Bd. of Standards and Appeals

NAME	DIVISION	TITLE
LEIBOWITZ, KENNETH	OGC	RECORDS ACCESS OFFICER
MARON, ARIELLA	DEM	DEPUTY COMMISSIONER
MARTIN, NORMA	EXEC	EEO OFFICER
MONTANEZ, EILEEN	BSA	COMMISSIONER
MULLIGAN, JEFF	BSA	EXECUTIVE DIRECTOR
NAKANISHI, LISA	DCEEO	EXECUTIVE DIRECTOR
OTTLEY-BROWN, DARA	BSA	COMMISSIONER
PANEQUE, SERGIO	DMSS	CHIEF ACQUISITIONS & RE-ENGINEERING OFFICER
PITTS, RONALD	DFMO	ASSISTANT COMMISSIONER, OMIS
PRESTON, MARTIN	EXEC	ASSISTANT COMMISSIONER, SPECIAL EVENTS
PRYCE, SYLVIA	OGC	DIRECTOR, COSH
PYMENTO, GLENN	DRES	DIRECTOR, ENGINEERING SERVICES
RICCELLI, ROBERT	DMSS	EXECUTIVE DIRECTOR, DMSS
RUSTIN, WAYNE	DCPS	CHIEF HUMAN CAPITAL OFFICER
RYAN, ELLEN	DEM	DIRECTOR OF AGENCY SUPPORT AND SPECIAL PROJECTS
SEIBERT, LANCE	DFMC	ASSISTANT COMMISSIONER, DFMC
SLOBODYAN, VAL	DEM	DIRECTOR OF PERFORMANCE TRACKING AND ANALYSIS
SRINIVASAN, MEENAKSHI	BSA	CHAIR/COMMISSIONER
STIX, MARGARET	BSA	GENERAL COUNSEL
TELFORD, ANSON	DMSS	PURCHASE DIRECTOR, DMSS
THOM, RICHARD	DFMO	DEPUTY ASSISTANT COMMISSIONER, DFMO
TOBIN, ROBERT	DFMO	DIRECTOR, CAPITAL BUDGET
WAGNER, JOSEPH	DFMC	DEPUTY COMMISSIONER, DFMC
WARD, THERESA	DRES	CHIEF ASSET MANAGEMENT OFFICER
WEIR, STEVE	DMSS	DIRECTOR, AUTO CONTRACT SERVICES
WELLS HANDY, EDNA	EXEC	COMMISSIONER
ZIEHA, ANN	DRES	DIRECTOR, ARCHITECTURAL SERVICES

Department of Design and Construction



NEW YORK CITY DEPARTMENT OF
DESIGN + CONSTRUCTION

DAVID J. BURNEY, FAIA
Commissioner

TO: Mark Davies
Executive Director, Conflicts of Interest Board

FROM: David J. Burney, FAIA
Commissioner

DATE: August 12, 2011

SUBJECT: List of Employees with Substantial Policy Discretion at the Department of Design and Construction

LAST NAME	FIRST NAME	TITLE
Burney	David	Commissioner
Barrio	Ana	Chief of Staff
Bonné	Jeff	Deputy Commissioner /Administration
Boorstyn	Eric	Associate Commissioner, Architecture & Engineering /Public Buildings
Canabal	Raúl	Assistant Commissioner, Information Technology Services
Canu	Mark	Associate Commissioner/Safety & Site Support
Clough	Rebecca	Assistant Commissioner, Courts/Corrections/ Health/Public Buildings
D'Arpino	Frank	Associate Commissioner, Client Programs/Public Buildings
Devgan	Vinod	Assistant Commissioner, Police & Fire/ Public Buildings
DiAgostino	Carol	Agency Chief Contracting Officer
Doran	John	Assistant Commissioner, Technical Services/Public Buildings
Foley	Thomas	Assistant Commissioner, Construction Management 3/Infrastructure
Granger	Donald	Assistant Commissioner, Construction Management 2/Infrastructure
Harrison	Dalela	Agency Equal Employment Opportunity Officer
Holley	Lorraine	Deputy ACCO, Competitive Sealed Bid Contracts
Igweatu	Chris	Engineering Audit Officer
Litera	Lisa	Deputy General Counsel, Law
MacFarlane	Eric	Deputy Commissioner/Infrastructure
Mallick	Ali	Deputy Assistant Commissioner, Design 2/ Infrastructure
Matti	Robert	Director, Internal Audit
McClain	Dennis	Assistant Commissioner, Performance Metrics & Analysis
Monahan	Matthew	Assistant Commissioner, Public Information/ Intergovernmental Affairs
Ng	Yun Poy	Associate Commissioner, Design/ Infrastructure
Nnabugwu	Veronica	Deputy ACCO, Professional Contracts
Pasagiannis	Eftihia	Director, Office of Disciplinary Proceedings





Department of Design and Construction

Pestka	Bogdan	Assistant Commissioner, Cultural Institutions & Transportation/ Public Buildings
Rebarber	Sergiu	Deputy Assistant Commissioner, Design 1/ Infrastructure
Resnick	David	Deputy Commissioner/Public Buildings
Rodriguez	Ramon	Deputy ACCO, Contract Administration
Saini	Gurdip	Assistant Commissioner, Design 1/ Infrastructure
Savarese	John	Deputy Assistant Commissioner/Safety & Site Support
Silveira	Sergio	Assistant Commissioner, Libraries & Human Services/Public Buildings
Stroughter	Janice	Assistant Commissioner, Human Resources/Administration
Van de Walle	Carla	Assistant Commissioner, Budget and Finance/Administration
Varoli	David	General Counsel, Law
Venugopalan	Narayana	Assistant Commissioner / Program Administration/ Infrastructure
Voisich	Edward	Assistant Commissioner, Operations/Administration
Wynne	Thomas	Assistant Commissioner, Construction Management 1/ Infrastructure
Zargarelahi	Mohsen	Assistant Commissioner, Design 2/Infrastructure
Zetterlund	Richard	Associate Commissioner, Construction/ Infrastructure

EM/H:\ List of Employees Policy Discretion 11.doc

cc: J. Bonné
J. Stroughter



Department of Environmental Protection

C.O.I.B. - Substantial Policy Discretion

Caswell F. Holloway
Commissioner, DEP

Steven Lawitts
Chief Financial Officer

Vacant
Asst. Commissioner for Human Resources Management

Aristides Georgelis
Engineering Audit Officer

Mary Pazan
Asst. Commissioner / Agency Chief Contracting Officer

Lynn Cole
Chief of Staff

Kathryn Garcia
Deputy Commissioner for Operations

Michael Gilsenan
Asst. Commissioner for Environmental Compliance

Vincent Sapienza
Deputy Commissioner for Wastewater Treatment

John Petito
Asst. Commissioner for Wastewater Treatment

Robin Levine
General Counsel

Elissa Stein Cushman
Deputy General Counsel

Marcella Eckels
Deputy General Counsel

Mathilde McLean
Treasurer, NYC Water Board

James J. Roberts
Deputy Commissioner for Water & Sewer Operations

Mark Lanaghan
Asst. Commissioner for Intergovernmental Relations

C.O.I.B. - Substantial Policy Discretion

Matthew Mahoney
Associate Commissioner for Intergovernmental Affairs

Farrell Sklerov
Director of Communications

Kevin McBride
Deputy Commissioner for Police and Security

Carter Strickland
Deputy Commissioner for Sustainability

Magdi Farag
Asst. Commissioner for Green Infrastructure

Sergej Mahnovski
Senior Advisor to the Commissioner

Angela Licata
Deputy Commissioner for Environmental Planning

Esther Siskind
Asst. Commissioner for Environmental Planning

Kathryn Mallon
Deputy Commissioner for Engineering Design & Construction

Persis Luke
Asst. Commissioner for Environmental Health & Safety

Cecil McMaster
Asst. Commissioner for Information Technology

Michael Saucier
Press Secretary

Zoe Ann Campbell
Deputy Commissioner for Human Resources & Administration

Paul Rush
Deputy Commissioner for Water Supply

David Warne
Asst. Commissioner for Water Supply

Mark Ritze
Asst. Commissioner for Management Analysis, Planning & Auditing

C.O.I.B. - Substantial Policy Discretion

Joseph F. Singleton, Jr.
Deputy Commissioner for Customer Services

Joseph Murin
Asst. Commissioner for Budget

Peter Fusco
Chief of DEP Police

Michael Borsykowsky
Asst. Commissioner for Engineering Management

Kevin Donnelly
Asst. Commissioner for In-House Design & Support

Water Board:

Donald A. Capoccia
Member of the Water Board

Marcia Bystryn
Member of the Water Board

Mehul J. Patel
Member of the Water Board

Arlene Payne
Member of the Water Board

Benjamin A. Tisdell
Member of the Water Board

Alan Moss (Chair)
Member of the Water Board

*Revised:
February 25, 2011*

Department for the Aging

NYC DEPARTMENT FOR THE AGING (DFTA)		
EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION -- March 2011		
NAME	POSITION	CIVIL SERVICE TITLE
Lilliam Barrios-Paoli	Commissioner	Commissioner (M-VII)
Sally Renfro	First Deputy Commissioner	Deputy Commissioner (M-VI)
Maureen Murphy	General Counsel	Counsel (M-IV)
Caryn Resnick	Deputy Commissioner, External Affairs	Administrative Director of Social Services (M-IV)
Angeles Pai	Deputy Commissioner, Planning & Fiscal Operations	Administrative Staff Analyst (M-V)
Gabriel Oberfied	Chief of Staff	Administrative Staff Analyst (M-II)
Michael Bosnick	Assistant Commissioner Bureau of Planning & Fiscal Operations	Administrative Staff Analyst (M-V)
Betty Lee	Agency Chief Contracting Officer	Agency Chief Contracting Officer (M-III)
Marah Rhoades	Assistant Commissioner for Senior Centers	Administrative Program Officer (M-IV)
Digna Sanchez	Assistant Commissioner Equal Employment Opportunity	Administrative Staff Analyst (M-IV)
Karen Shaffer	Assistant Commissioner for Long Term Care & Active Aging	Administrative Staff Analyst (M-IV)
Linda Whitaker	Assistant Commissioner for Emergency Preparedness	Administrative Program Officer (M-IV)
Joy Wang	Assistant Commissioner, Planning & Fiscal Operation	Administrative Staff Analyst (M-IV)

Department of Homeless Services

DHS EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION

Name	Title	Division
Abad, Ronald	Assistant Commissioner	Family Services
Barro, Alejandro	Admin. Director of SS	Family Services
Bass, Stephen	Assistant Commissioner	Family Service
Brancaccio, Barbara	Deputy Commissioner for Communications	Executive
Branch, Tony	Assistant Commissioner	Administration
Bynoe-Kasden, Valerie	Deputy Commissioner	Administration
Chew, Rebecca	Executive Agency Counsel	Family Services
David, Carol	Assistant Commissioner	Family Services
Diamond, Seth	Commissioner	Executive
Gagliardi, Michael	Deputy Commissioner	Security
Gramann, Barbara	Computer Systems Manager	Executive
Hall, Mary	Assistant Commissioner	Adult Services
Hamilton, Todd	Assistant Commissioner	Facility Maintenance & Development
Harper, Deborah	Admin. Director of SS	Family Services
Heller, Elizabeth	Deputy Commissioner	Family Services
Hillman, Trinity	Assistant Commissioner	Adult Services
Howard-Cooper, Ellen	Deputy Commissioner	Prevention & Aftercare
James, Douglas	Executive Agency Counsel	Legal Services
Lockhart, Frances	Associate Commissioner	Adult Services
Lynch, Eileen	Admin. Director of SS	Policy & Planning
Marder, Dova	Agency Medical Director	Executive
Martinez, Patricia	Admin. Staff Analyst	Executive
Minelli, Danielle	Admin. Staff Analyst	Adult Services
Mitchell, Yvette	Computer Systems Manager	Executive
Moten, Julia	Associate Commissioner	Family Services

Department of Homeless Services

Nashak, George	Deputy Commissioner	Adult Services
Neal, Mark	Director of EEO HS	Executive
Ovesey, Michelle	General Counsel	Legal Affairs
Panazzolo, Glenn	Assistant Commissioner	Security
Pock, Stephen	Deputy Commissioner	Fiscal Operations
Rudin, Joann	Assistant Commissioner	Adult Services
Russo, Jim	Assistant Commissioner	Facility Maintenance & Development
Schulman, Suellen	Assistant Commissioner	Fiscal Operations
Skallerup, Robert	Deputy Commissioner	Facility Maintenance & Development
Taurisano, Michael	Assistant Commissioner	Administration
Urquhart, Lula	Assistant Commissioner	Fiscal Operations
Vanacore, Thomas	Assistant Commissioner	Facility Maintenance & Development
Villari, Erin	Assistant Commissioner	Fiscal Operations
Weissman, Joanna	Admin. Director of SS	Policy & Planning
Williams, Denita	Director of Personnel M-3	Administration
Winter, Fran	First Deputy Commissioner	Executive
Zikmund, Alyson	Admin. Director of SS	Adult Services
Zima, Kenneth	Chief Information Officer	Executive
Zuiderveen, Sara	Assistant Commissioner	Prevention & Aftercare

Department of Juvenile Justice (ACS)



DJJ (ACS) Staff with Substantial Policy Discretion - 2011

John B. Mattingly
Commissioner

Julie A. Friesen
Deputy Commissioner

Janet D. Subrizi
Assistant Commissioner

Office of Personnel Services
150 William Street
16th Floor
New York, New York 10038

212-341-2501 tel
212-341-2574 fax

1. Migdalia Aldea, Administrative Manager
2. Lorraine Anderson, Director, Office of Policy and Procedure
3. Kevin Baker, Executive Director, Transportation/ Court Services
4. Sarah Bass, Director, Health and Mental Services
5. Marva Chevalier, NSD / SEEDS
6. Sonia Galarza, Director, Program Services
7. Michael Joseph, Deputy ACCO
8. Donna Locke, Executive Director, Bridges Juvenile Center
9. Eduardo Marcial, Horizon Juvenile Center
10. Beverly McInnis, Deputy Director, Labor Relations
11. Torry Moorer, Director, Training
12. Josette Nelson-Dabo, Asst. Commissioner, Operations and Detention
13. Stephanie Prussack, Assistant Commissioner, NSD / SEEDS
14. William Soto, Director, Movement and Control
15. Russell Steinberg, Deputy General Counsel

Brandon Stradford
Director, Employment Services / COIB Liaison, ACS

Crystal Monge
Senior Personnel Analyst, DJJ
February 28, 2011

Department of Health and Mental Hygiene

THE DEPARTMENT OF HEALTH & MENTAL HYGIENE (H816) EMPLOYEES HAVING SUBSTANTIAL POLICY DISCRETION LIST FOR 2010/2011

<u>LAST NAME FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Beatrice, Sara, Ph.D	Assistant Commissioner	Disease Control
Bedell, Jane, MD	Assistant Commissioner	Health Promotion & Disease Prevention
Beiger, Elizabeth	Assistant Commissioner	Epidemiology
Boyd, Christopher	Assistant Commissioner	Environmental Health
Brown, Patricia	Assistant Commissioner	Health Care Access & Improvement
Butcher, Barbara	Chief of Staff	Office of Chief Medical Examiner
Burton, Olivette	Chair	General Counsel for Health & Mental Hygiene
Chang, Christina	Assistant Commissioner	Policy & External Affairs
Clark, Nancy L.	Assistant Commissioner	Environmental Health
Cohen, Louise	Deputy Commissioner	Health Care Access & Improvement
Cowley, Geoffrey	Associate Commissioner	Financial & Planning
Christ, Kelly	Chief of Staff	Commissioner's Office
Cresciullo, Frank	Assistant Commissioner	Environmental Health
Delaney, Richard	Director	Finance & Planning - FSM
Durrah, James	Assistant Commissioner	Administration
Edman, Robert	Assistant Commissioner	Environmental Health
English, Janice	Assistant Commissioner	Office of Chief Medical Examiner
Farfel, Mark	Director	Epidemiology

Department of Health and Mental Hygiene

(H816)

<u>LAST NAME FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Farley, Thomas MD, MPH	Commissioner	Commissioner
Gasner, Rose	Deputy General Counsel	Mental Hygiene
Goldberg, Allan	Assistant Commissioner	Environmental Health
Goodman, Andrew, MD, MPH	Deputy Commissioner	Health Promotion & Disease Prevention
Gordon, Suzette	Agency Counsel	Health Care Access & Improvement
Greene, Carolyn, MD	Deputy Commissioner	Epidemiology
Hirsch, Charles, MD	Chief Medical Examiner	Office of Chief Medical Examiner
Hayes, Roger	Assistant Commissioner	Health Promotion & Disease Prevention
Kaplan, Deborah	Assistant Commissioner	Health Promotion & Disease Prevention
Kansagra, Susan	Assistant Commissioner	Health Promotion & Disease Prevention
Kass, Daniel	Assistant Commissioner	Environmental Health
Kerker, Bonnie	Assistant Commissioner	Epidemiology
Kilanko, Isaac	Assistant Commissioner	Administration
Layton, Marcelle, MD	Assistant Commissioner	Disease Control
Lehman, Daniel	Deputy Commissioner	Finance & Plan (FSM)
Lintern, Thomas	Deputy Commissioner	Office of Chief Medical Examiner

Department of Health and Mental Hygiene

(H816)

LAST NAME FIRST NAME	<u>POSITION</u>	<u>DIVISION</u>
Lipton, Jody	Executive Agency Counsel	Office of Chief Medical Examiner
Liu, Jian	Assistant Commissioner/ Chief Technical Officer	DIIT
McIntyre, Brenda M.	Assistant Commissioner/Director	Administration
Marcus, Elliott	Associate Commissioner	Environmental Health
Marie, Jeannine	Assistant Commissioner	COO's Office
May, Linda	Assistant Commissioner	Health Care Access & Improvement
Maybank, Karen Aletha MD	Assistant Commissioner	Health Promotion & Disease Prevention
Merrill, Thomas, Esq.	General Counsel	General Counsel for Health & Mental Hygiene
Miller, Sam	Assistant Commissioner	Policy & External Affairs
Page, Jennifer	Assistant Commissioner	Office of Chief Medical Exam.
Paris, Fran	Senior Policy Advisor	Policy & External Affairs
Parsons, Amanda	Assistant Commissioner	Health Care Access & Improvement
Platt, Roger, MD	Assistant Commissioner	Health Promotion & Disease Prevention
Prinz, Mechthild	Director	Office of Chief Medical Exam.
Prud'homme, Jeanine	Assistant Commissioner	Environmental Health
Raphael, Marisa	Assistant Commissioner	Disease Control
Rozza, Assunta	Senior Director	Finance & Planning
Sampson, Barbara	Deputy Chief Medical Examiner	Office of Chief Medical Exam.

Department of Health and Mental Hygiene

(H816)

<u>LAST NAME FIRST NAME</u>	<u>POSITION</u>	<u>DIVISION</u>
Silver, Lynn, MD, MPH	Assistant Commissioner	Health Promotion & Disease Prevention
Slade, Joseph	Assistant Commissioner	Epidemiology
Stevelman, Daniel	Deputy Commissioner	Office of Chief Medical Exam.
Sweeney, Monica, MD	Assistant Commissioner	Disease Control
Tessler-Handler, Rose, Esq.	Director	General Counsel for Health & Mental Hygiene
Thomas, Patricia	Agency Chief Contracting Officer	COO's Office
Vassall, Linda Hall	Assistant Commissioner	Health Promotion & Disease Prevention
Weinstein, Joyce	Assistant Commissioner	Health Care Access & Improvement
Weisfuse, Isaac, MD, MPH	Deputy Commissioner	Emergency Preparedness
Windholz, Roslyn, Esq.	Deputy General Counsel	General Counsel for Health & Mental Hygiene
Yang, Patsy	COO/Executive Deputy Comm.	COO's Office

pdlistocoib

Department of Health and Mental Hygiene

(H816)

5.

BOARD OF HEALTH MEMBERS

1.	Thomas Farley, Chair
2.	Pamela S. Brier, M.P.H.
3.	Sixto R. Caro, M.D.
4.	Joel A. Forman, M.D. (inactive)
5.	Marlon Brewer, M.D.
6.	Susan Klitzman, DrPH
7.	Kenneth Popler, Ph.D.
8.	Lynne D. Richardson, M.D.
9.	Bruce C. Vladeck, Ph.D.
10.	Michael S. Phillips, M.D
11.	

pdlistocoib

Department of Health and Mental Hygiene

(H816)

MENTAL HYGIENE EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION LIST FOR 2010/2011

6.

<u>NAME</u>	<u>POSITION</u>
Adam Karpati, Md, MPH	Executive Deputy Commissioner for Mental Hygiene
Marie B. Casalino, M.D., MPH	Assistant Commissioner for Early Intervention Program
Janice Chisholm	Assistant Commissioner, Health Integration, Bureau of Mental Hygiene Policy, Planning & Clinical Affairs
Patricia Pate	Assistant Commissioner, Bureau of Mental Hygiene Administration & Contract Services
Myla Harrison, M.D., MPH	Assistant Commissioner, Office of Child & Adolescent Services
Gerard Cohen, M.D.	Director of Clinical Affairs
Trish Marsik	Assistant Commissioner for Mental Hygiene
Lily Tom, D.S.W.	Assistant Commissioner for Mental Hygiene Policy, Planning & Clinical Affairs
Louis Cuoco, DSW	Director, Program Initiatives, Bureau of Alcohol & Drug
Howard Grossman	Assistant Director of OPRE, Bureau of MH Policy, Planning & Clinical Affairs
William Lopez	Assistant Commissioner for the Bureau of Mental Retardation & Development Disabilities
Daliah Heller, PhD, MPH	Assistant Commissioner for Alcohol & Drug Use Prevention, Care and Treatment
Vicki Morris	Director of Contract Audits
Kathleen Kennedy	Director of CD Contracts and Community Liaison, Bureau of Alcohol and Drug Use Prevention, Care, and Treatment
Denise Arthur	Senior Director for Administrative Services, Bureau of Administration
Gloria Liu	Senior Director of DMH Office Management Information System (OMIS), Bureau of Administration and Contract Services
Linda Fraser	Acting Director of the Office of Coordinated Mental Health Services
JoAnne McLean	Director of the NYC 9/11 Benefit - Program
Bruce Schiller	Chief Administrative Officer, Early Intervention Program
Beverly Samuels	Director , Early Intervention Consumer Affairs
Prashil Govind, MD	Medical Director, Early Intervention Program
Meggan Christman Schilkie	Senior Advisor to Executive Deputy Commissioner
Robert Goldblatt	Director of Rehabilitation Program, Bureau of Mental Hygiene
Ireti Bobb	Director of Early Intervention
Robin Wunsch-Hitzig	Acting Director, Office of Mental Hygiene Quality Improvement, Bureau of Mental Hygiene Policy & Clinical Affairs
Gail Wolsk	Director of Mental Health Disaster Preparedness & Response
Pablo Sadler	Medical Director, Bureau of Mental Health
Lidiya Lednyak	Director of Policy & Quality Assurance, Bureau of Early Intervention Services
Cheryl King	Director of E-Mental Hygiene
JoAnne McLean	Director, 9/11 Mental Health & Substance Use Benefit Program, Bureau of Mental Hygiene
Nora Puffett	Director of Program Planning
Marnie Davidoff	Director of Policy

pdlistocoib

Department of Investigation

COIB FILERS LIST
DOI Filers
ANCRUM, ALBERTA
BALUKAS, PAUL
BLIT, MARNIE
BALLARD, MELISSA
CARROLL, MIKE
CONDON, RICHARD
COLEMAN, ANASTASIA
DAVIE, VICKI
GILL HEARN, ROSE
GIGANTE, ROBB
HERLICA, DEBRA
HIBSHOOSH, YUVAL
JEREMIAH, KELVIN
KANTOR, JOHN
LANDA, MARJORIE
MATHIS, CYNTHIA
PIECHOCKI, MARY
RIVERA, ABRAHAM
RUSSO, PASQUALINO
SCHWAM, KEITH
SILLER, MICHAEL
STURCKEN, KYLE
SULLIVAN, RICHARD
TIERNEY, MARGARET
VITIELLO, MICHAEL
ZANOLIN, PETER

26

Department of Information Technology & Telecommunication

Proposed Initial List of Policymakers 2011 DoITT

	<u>Name</u>	<u>Title</u>
1	Post, Carole	Commissioner/CIO
2	De los Reyes, Raquel	Chief of Staff
3	Johnson, Emily	Acting Director, EEO/Diversity
4	Robinson, Brett	Deputy Commissioner, Financial/Admin
5	Ahlbaum, Mitchel	General Counsel/Deputy Commissioner
6	Fowler, James	First Deputy Commissioner
7	Annette Heintz	Deputy Commissioner
8	Badalamenti, Fred	Associate Commissioner
9	Sweeney, Geraldine	Senior Associate Commissioner
10	Winker, John	Associate Commissioner
11	Stanley Shor	Assistant Commissioner
12	Bimonte, Michael	Deputy Commissioner
13	Hines, Evan	Associate Commissioner
14	Srebnick, Dan	Associate Commissioner
15	Flynn, Deirdre	Senior Director
16	Harte, Steven	Associate Commissioner
17	Chhugani, Girish	Executive Director
18	Perazzo, James	Assistant Commissioner
19	Stein, Ellen	Associate Commissioner
20	Lederman, Barbara	ACCO/Associate Commissioner
21	Browne, Ricardo	Assistant Deputy Commissioner
22	Bezman, Steven	Assistant Commissioner
23	Long, Chris	Assistant Commissioner
24	Porcu, Sherri	Assistant Commissioner
25	Gallagher, Joseph	Assistant Commissioner
26	Whalen, Robert	Director
27	Hecht, Yisroel	Associate Commissioner
28	Funk, Glen	Senior Public Safety Manager
29	Gaskey, Bruce	Deputy Director, OCEC
30	Maluf, Lisa	Senior Director
31	Desiderio, Dina	Director
32	Schimke, Brent	Director
33	Asher, Todd	Director/COO
34	Battista, John	Deputy Commissioner

Department of Information Technology & Telecommunication

35	Louis, Margaret	Director
36	Glickman, Jacob	Director
37	Sterne, Rachel	Chief Digital Officer
38	Lebow, Michael	Executive Director
39	Damashek, Jay	Senior Managing Attorney
40	Friedman, Arthur	Associate Commissioner
41	Rosenthal, Chad	Senior Contracts Counsel
42	O'Brien, Paul	Director
43	Nicosia, Jean Paul	Director
44	Sciabarra, Diane	Director
45	Feddema, Barry	Director
46	Pedersen, Ann	Director
47	Hutchinson, Michael	Director
48	Neuhaus, Greg	Assistant Commissioner
49	Sobkowski, Isadore	Executive Director/CIO
50	Barbarah Cohn	Policy and Legal Director
51	Blanc, Jean	Deputy ACCO
52	Morrisroe, Joseph	Executive Director
53	Chaudhry, Saadia	Call Center Director
54	Fruchter, Chenda	Assistant Commissioner
55	Liriano, Michael	CITIServ Transition Manager
56	Driscoll, Myles	Director, Labor Relations
57	Aronson, Lauren	Director, Client Solutions

Department of Transportation

Dept. of Transportation List of public servants charged with substantial policy discretion

Lucita Andres
Auditor General

Lori Ardito
First Deputy Commissioner

Keith Bray
Deputy Borough Commissioner- Brooklyn

Louis Calcagno
Assistant Deputy Commissioner, Alternative Fuels

Nancy Carolan
Assistant Commissioner, Agency Chief Contracting Officer

Nelson Castillo
Assistant Commissioner of Office of Emergency Response; Signals and Street Lighting

Thomas Cocola
Borough Commissioner -Staten Island

John Collins
Director- Ferry Engineering

Robert Collyer
Deputy Chief Engineer -Roadway Bridges

Philip Damashek
General Counsel

James DeSimone
Deputy Commissioner
Chief Operating Officer- Staten Island Ferry

Michael Diverniero
Chief Citywide Operations

Wendy Feuer
Assistant Commissioner, Urban Design and Art

Margaret Forgione
Borough Commissioner- Manhattan

Steven Galgano
Executive Director for Traffic Engineering

Department of Transportation

Dept. of Transportation List of public servants charged with substantial policy discretion

John Garvey
Director-Ferry Operations

John Giaccio
Associate Commissioner-Securities & Facilities Management

Margaret Gordon
S. I. Ferry Executive Director of Safety Security Ferry Operations

Leon Heyward
Deputy Commissioner
Sidewalk Inspection and Management

Marlene Hochstadt
Deputy Commissioner, Human Resources and Facilities Management

Russell Holcomb
Deputy Chief Engineer-Maintenance, Inspections & Operations

Keith Howard
Assistant Commissioner, Sidewalks Inspection and Management

Jay Jaber
Assistant Commissioner, Permit Management and Construction Control

Joseph Jarrin
Deputy Commissioner, Finance Contracting and Program Management

Sarah Keeville
Chief of Staff to the First Deputy Commissioner

Guillermo Leiva
Assistant Commissioner- Parking

Thomas Maguire
Assistant Commissioner- Strategic Planning

Vincent Maniscalco
Assistant Commissioner, HIQA and Street Assessment

Franklin Mark
Assistant Commissioner
Capital Program Management and Land Use

Department of Transportation

Dept. of Transportation List of public servants charged with substantial policy discretion

Michael Marsico
Assistant Commissioner
Congestion Pricing

Maura McCarthy
Borough Commissioner-Queens

Brooke McKenna
Assistant Commissioner
Coordinated Street Furniture Franchise

Catherine Messana
Assistant Commissioner
Customer Service

Constance Moran
Borough Commissioner- Bronx

Margaret Newman
Chief of Staff to the Commissioner

Jonathan Orcutt
Senior Policy Advisor

Galileo Orlando
Deputy Commissioner
Roadway Repair and Maintenance

Joseph Palmieri
Borough Commissioner- Brooklyn

Jayantilal Patel
Deputy Chief Engineer –East River and Movable Bridges

Henry Perahia
Deputy Commissioner
Chief Bridge Officer

Susan Pondish
Deputy General Counsel

Brenda Rivera
Assistant Commissioner, Special Events

Ryan Russo
Assistant Commissioner- Traffic Management

Department of Transportation

Dept. of Transportation List of public servants charged with substantial policy discretion

Janette Sadik-Khan
Commissioner, DOT

Luis Sanchez
Borough Commissioner- Lower Manhattan

Cordell Schachter
Chief Technology Officer

R. Bruce Schaller
Deputy Commissioner, Planning and Sustainability

Gerard Soffian
Deputy Commissioner-Traffic

Seth Solomonow
Assistant Commissioner, Communications/Press Secretary

Jai Therattil
Associate Commissioner-Grants Administration

Charles Ukegbu
Deputy Borough Commissioner-Bronx

Anikumar Vyas
Deputy Chief Engineer- Construction

Andy Wiley-Schwartz
Assistant Commissioner, Public Plazas

Kimberly Wiley-Schwartz
Assistant Commissioner-Education & Outreach

Ann Williams
Assistant Commissioner, EEO Officer

David Woloch
Deputy Commissioner, External Affairs

Department of Youth and Community Development

DEPARTMENT OF YOUTH AND COMMUNITY DEVELOPMENT

2011 LIST OF EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION

	<u>EMPLOYEE</u>	<u>TITLE</u>	<u>LVL</u>	<u>WORK UNIT</u>
1	ALEXANDER, KAREN	ADMIN MANAGER	3	SUPPORT SERVICES
2	ALMANZAR, KATHLEEN	ADM CONT SPEC	2	IMMIGRATION INITIATIVES
3	ASCHERL, WANDA	ADM CONT SPEC	2	AFTER SCHOOL PROGRAMS
4	BARBOT, HERIBERTO	ADM STAFF ANALYST	5	CHIEF OF STAFF'S OFFICE
5	BONILLA, MIGUEL	ADM STAFF ANALYST	2	CAPACITY BUILDING
6	BREITMAN, JULIA	ADMIN MANAGER	2	LADDERS FOR LEADERS PROGRAM
7	BRUNO, MARIO	ADM CONT SPEC	3	ADMINISTRATION
8	CATRAMBONE, JOSEPH	ADM COMM REL SPEC	2	NEIGHBORHOOD ADVISORY BOARDS
10	CAUSEY, RENEE	ADM STAFF ANALYST	2	VULNERABLE AND SPEC. NEEDS YOUTH
11	CHENG, ALAN	ADM CONT SPEC	3	SUMMER YOUTH EMP PROG
12	CHIN, MAY SUE	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
13	CHONG, TZYLAI	ADM CONT SPEC	5	YOUTH SERVICES
14	CIROLIA, JOHN	ADMIN MANAGER	3	FINANCIAL MANAGEMENT
15	COLLINS, CATHLEEN	ADM STAFF ANALYST	2	COMMISSIONER'S OFFICE
16	COLTER, CINDY	ADM STAFF ANALYST	3	COMMUNITY DEVELOPMENT
17	CORTES, CARLOS	ADM STAFF ANALYST	3	ADMINISTRATION
18	DESAI, YOGESH	ASSOC STAFF ANALYST	n/app	HUMAN RESOURCES - PAYROLL
19	DILLARD, REGINA	ADM CONT SPEC	2	OUT OF SCHOOL TIME
20	DOBA, ANDREW	ADM PUB INF SPC	2	OFFIC OF PUBLIC INFORMATION
21	DONAHUE, JOHN	ADM STAFF ANALYST	2	LEGAL AFFAIRS
22	DOVE, STANLEY	PROC. ANALYST	3	ADMINISTRATIVE SERVICES
23	FISH, RICHARD	ADM STAFF ANALYST	3	COMMUNITY DEVELOPMENT
24	FRENZEL-BERRA, ROBERT	ADM STAFF ANALYST	2	PLANNING, RESEARCH & PROG. DEV.
25	GARCIA, WENDY	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
26	GARCIA-MITCHELL, TRACY	ADM MANAGER	NM	SERVICE LEARNING
27	GULICK, LISA	ADM STAFF ANALYST	3	PLANNING, RESEARCH & PROG. DEV.
28	HARRIS, YVONNE	ADM CONT SPEC	2	COMMUNITY DEVELOPMENT
29	HASKELL, ADELAIDE	ADM STAFF ANALYST	3	VULNERABLE AND SPEC. NEEDS YOUTH
30	HUGHES, EVERETT	EXEC AGENCY COUNS	4	LEGAL AFFAIRS
31	IVEY, JOSE	COMP SYS MGR	4	INFORMATION TECHNOLOGY
32	JONES, MERYL	ADM STAFF ANALYST	2	CAPACITY BUILDING
33	KAMEN, WILLIAM	ADM STAFF ANALYST	2	LEGAL AFFAIRS
34	KATZ, YVETTE	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
35	KEENAN-BERRYMAN, MEGAN	ADM CONT SPEC	2	OUT OF SCHOOL YOUTH EMPL. PROG.
36	LOPEZ, VALENTIN	ADM CONT SPEC	2	PROCUREMENT
37	LYNN, SUZANNE	ADM STAFF ANALYST	5	COMMUNITY DEVELOPMENT
38	MAGIERA-GUY, ANDREA	ADM CONT SPEC	2	SERVICE LEARNING PROGRAM
40	MILLER, ANDREW	ADM STAFF ANALYST	2	EXTERNAL RELATIONS
41	MONTANEZ, DAPHNE	ADM STAFF ANALYST	2	LADDERS FOR LEADERS PROGRAM
43	MULLGRAV, JEANNE	COMMISSIONER	7	COMMISSIONER'S OFFICE
44	NOBLES, RUSSELL ANN	EXEC AGENCY COUNS	2	LEGAL AFFAIRS
45	OSORIO, LUIS	ADM CONT SPEC	2	CORNERSTONE
46	PANTAGES, LINDA	ASSOC. STAFF ANALYST	n/app	CONTRACT AGENCY FINANCE
47	PHANOR, JAGDEEN	ADM STAFF ANALYST	2	FINANCIAL MANAGEMENT
48	PILGRIM, DENISE	ADM STAFF ANALYST	2	WIA - EO
49	RAHMAN, FAISAL	ADM CONT SPEC	2	AFTER SCHOOL PROGRAMS
50	RAMIREZ, ANTHONY	ADM STAFF ANALYST	2	YOUTHLINE
51	RATTRAY, DARRYL	ADM CONT SPEC	2	BEACONS AND AFTER SCHOOL PROG'S
52	SALVAYON, JOSEPH	ADM CONT SPEC	2	PROCUREMENT
53	SCICERE, STEVEN	ADM MGMT AUDITOR	1	CONTRACT AGENCY AUDIT
54	OWH, MICHAEL	AGCY CHIEF CONT OFFICER	3	PROCUREMENT
55	THOMPSON, NAREEN	ADM CONT SPEC	2	COMMUNITY DEVELOPMENT
56	WATERS, PATRICIA	ADM CONT SPEC	2	OUT OF SCHOOL TIME
57	WILLIAMS, DENICE	ADM STAFF ANALYST	3	CAPACITY BUILDING
58	ZHANG, RONG	ADM STAFF ANALYST	NM	ADULT LITERACY PROGRAMS

Economic Development Corporation

New York City Economic Development Corporation

2011 list of public servants charged with substantial policy discretion

August 24, 2011

Alyssa Cobb-Konon

David Eherenberg

David Kane

David Lombino

John Cicerello

Josh Wallack

Kyle Kimball

Maria Torres

Mel Glickman

Meredith Jones

Patrick O'Sullivan

Seth Pinsky

Spencer Hobson

Steven Strauss

Thomas McKnight

Zachary Smith

Department of Education

Department of Education - Substantial Policy-Making List March 2011

Last name	First Name	Title
Anderson	Cami	District 79 Superintendent
Becker	Larry	Chief Executive, Human Resources
Behr	Timothy	Community Superintendent, District 8
Bell-Ellwanger	Jennifer	Senior Policy Advisor to the Chancellor
Best	Michael	General Counsel
Black	Cathleen	Chancellor
Brodheim	Ted	Chief Information Officer, Division of Instructional and Information Technology
Flokes- Bryant	Beverly	Acting Community Superintendent, District 28
Carvajal	Sara	Acting Community Superintendent, District 3
Claudio,	Erminia	Community Superintendent, District 31
Composto	Philip	Community Superintendent, District 30
Conforme	Veronica	Chief Financial Officer
Conyers	Donald	Community Superintendent, District 23
Cortazzo	Luz	Community Superintendent, District 4
Costantino	Karina	Community Superintendent, District 20
Cumberbatch	Ainslie	Acting Community Superintendent, District 23
DiMango	Danielle	Acting Community Superintendent, District 26
DiMola	Isabel	Community Superintendent, District 21
Druck	Lillian	Community Superintendent, District 32
Esposito	Dolores	Community Superintendent, District 9
Ferrara	Marianne	Community Superintendent, District 22
Gawkoski	Krista	Director of Policy and Operations
Gibson	Dorita	Senior Supervising Superintendent
Goldstein	Eric	Chief Executive, Office of School Support Services
Gorman	Elaine	Manhattan High School Superintendent
Greenberger	Sharon	Chief Operating Officer
Grimm	Kathleen	Deputy Chancellor, Division of Operations
Hall	Ojeda	Chief Family Engagement Officer, Office of Family Information and Action
Hederman	Judith	Executive Director, Division of Financial Operations
Horowitz	Aimee	Staten Island/Brooklyn High School Superintendent
Hurdle-Taylor	Rhonda	Community Superintendent, District 17
Kay	Diane	Community Superintendent, District 25
Keaney	Maura	Executive Director, External Affairs

Department of Education

Konstan	Elayna	Chief Executive Officer, Office of School and Youth Development
Kurshan	Debra	Chief Operating Officer, Office of Innovation
Lloyd-Bey	Michelle	Community Superintendent, District 27
Machen	James	Community Superintendent, District 13
Madera	Martha	Community Superintendent, District 6
Mendez	Juan	Queens High School Superintendent
Menendez	Sonia	Community Superintendent, District 10
Marie-Mills	Rose	Acting Superintendent, District 19
Murray	Lenon	Community Superintendent, District 29
Olds	Susan	Executive Budget Director
Papaliberios	Elena	Bronx High School Superintendent
Phillips	Daniella	Community Superintendent, District 1
Quail	James	Community Superintendent, District 14
Ravitz	Natalie	Communications Director
Reed	Jeannette	Community Superintendent, District 28
Reeves	Gale	Community Superintendent, District 5
Rigney	Daria	Community Superintendent, District 2
Rodriguez	Laura	Deputy Chancellor, Division for Students with Disabilities and English Language Learners
Rodriguez	Myrna	Community Superintendent, District 12
Ross	David	Executive Director, Contracting and Purchasing
Salavert	Roser	Community Superintendent, District 3
Sanft	Robert	Chief Executive, Office of Student Enrollment
Santiago	Evelyn	Community Superintendent, District 16
Saunders	Anita	Community Superintendent, District 26
Schultz	Margaret	Community Superintendent, District 31
Skop	Anita	Community Superintendent, District 15
Speiller	Lenny	Executive Director, Public Affairs
Sternberg	Marc	Deputy Chancellor, Division of Portfolio Planning
Suransky	Shael Polakow	Chief Academic Officer/Senior Deputy Chancellor, Division of Academics, Performance, and Support
Taub	Madeline Chan	Community Superintendent, District 24
Taveras	Santiago	Deputy Chancellor, Division of Community Engagement
Taylor-Brown	Geraldine	Manhattan/ Bronx High School Superintendent
Thomas	Joshua	Deputy Chief Academic Officer

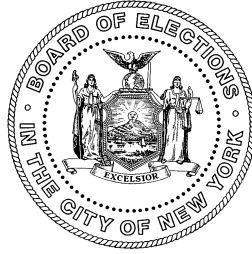
Department of Education

Torres	Yolanda	Community Superintendent, District 7
Unger	Doris	High School Superintendent
Waite	Linda	Acting Superintendent, District 22
Watts	Karen	Brooklyn High School Superintendent
Weinstein	Martin	Community Superintendent, District 19
White	Elizabeth A.	Community Superintendent, District 11
White	John	Deputy Chancellor, Division of Talent, Labor and Innovation
Wilkins	Beverly	Community Superintendent, District 18

Equal Employment Practices Commission

2011 list of EEPC Public Servants charged with Substantial Policy Discretion	
Cesar A. Perez, Esq. Chair	Chair/ Commissioner
Angela Cabrera	Commissioner
Elaine S. Reiss, Esq.	Commissioner
Malini Cadambi Daniel	Commissioner
Arva A. Rice	Commissioner
Abraham May, Jr.	Executive Director
Charise L. Hendricks	Deputy Director

Board of Elections



JUAN CARLOS "J.C." POLANCO
PRESIDENT

GREGORY C. SOUMAS
SECRETARY

JOSE MIGUEL ARAUJO
NAOMI BARRERA
JULIE DENT
NANCY MOTTOLA-SCHACHER
MICHAEL J. RYAN
J.P. SIPP
JUDITH D. STUPP
FREDERIC M. UMANE
COMMISSIONERS

BOARD OF ELECTIONS

IN
THE CITY OF NEW YORK
EXECUTIVE OFFICE, 32 BROADWAY
NEW YORK, NY 10004-1609
(212) 487-5300
FAX (212) 487-5349
www.vote.nyc.ny.us

EXECUTIVE DIRECTOR

DAWN SANDOW
DEPUTY EXECUTIVE DIRECTOR

PAMELA GREEN PERKINS
ADMINISTRATIVE MANAGER

JOHN OWENS, Jr.
ENFORCEMENT COUNSEL

ANNUAL LIST OF PERSONS WITH SUBSTANTIAL POLICY DISCRETION 2011

1. Anthony Andriulli Deputy Chief Clerk (Richmond Office)
2. Hon. Jose Miguel Araujo Commissioner
3. Hon. Naomi Barrera Commissioner
4. Barbara Conacchio Chief Clerk (Queens Office)
5. Sheila Del Giorno Chief Clerk (Richmond Office)
6. Hon. Julie Dent Commissioner
7. Steven Ferguson Director MIS
8. Timothy Gay Deputy Chief Clerk (New York Office)
9. George Gonzalez Deputy Executive Director- **report done**
10. Lucille Grimaldi Manager Electronic Voting Systems
11. Katherine James Deputy Chief Clerk (Queens Office)
12. Greg Lehman Chief Clerk (New York Office)
13. Stewart Lieberman Agency Chief Contract Officer
(Termination Report)
14. John Luisi Agency Chief Contract Officer
15. John O'Grady Chief Voting Machine Technician
16. Pamela Perkins Administrative Manager
17. Hon. Juan Carlos Polanco Commissioner (President)
18. Anthony J. Ribustello Deputy Chief Clerk (Bronx Office)
19. Steven H. Richman, Esq. General Counsel
20. Hon. Michael J. Ryan Commissioner
21. Diane Rudiano Chief Clerk (Kings Office)
22. Dawn Sandow Deputy Executive Director
23. Mary Rose Sattie Deputy Chief Clerk (Kings Office)
24. Hon. Nancy Mottola Schacher Commissioner
25. Marricka Scott-McFadden Deputy Chief Clerk (Bronx Office)
26. Hon. J.P. Sipp Commissioner
27. Hon. Gregory C. Soumas Commissioner (Secretary)
28. Hon. Judith D. Stupp Commissioner
29. Anna Torres Deputy Chief Clerk **(Termination Report)**
30. Hon. Frederic M. Umane Commissioner
31. Valerie Vazquez Director Public Relations

Fire Department

Fire Department Employees with Substantial Policy Discretion

<u>Name</u>	<u>Functional Title</u>
Salvatore Cassano	Fire Commissioner
Daniel Shacknai	First Deputy Fire Commissioner
Edward Kilduff	Chief of Department
John Benanti	Deputy Commissioner for Technology & Support
Francis Gribbon	Deputy Commissioner for Public Information
Douglas White	Deputy Commissioner for Administration
Robert Byrnes	Chief Fire Marshal
Robert Sweeney	Chief of Operations
Robert Boyce	Chief of Communications
Thomas Galvin	Chief of Training
Thomas Jensen	Chief of Fire Prevention
Abdo Nahmod	Chief of EMS Command
Kerry Kelly	Chief Medical Officer
David Prezant	Chief Medical Officer
John Freese	Chief Medical Director
James Manahan	Assistant Chief of Operations
Richard Tobin	Assistant Chief of Fire Prevention
Joseph Pfeifer	Assistant Chief-Counterterrorism/Emergency Preparedness
Jerry Gombo	Assistant Chief of EMS
John Peruggia	Chief of EMS Operations
John McFarland	Assistant Chief of EMS
James Esposito	Assistant Chief-Manhattan Boro Commander
John Sudnik	Deputy Assistant Chief-Queens Boro Commander
Kevin Butler	Deputy Assistant Chief-Bronx Boro Commander
James Leonard	Deputy Assistant Chief-Brooklyn Boro Commander
Michael Marrone	Deputy Assistant Chief-Staten Island Boro Commander
Edward Baggott	Deputy Assistant Chief-Administration
William Seelig	Deputy Assistant Chief-Special Operations Command
Ronald Spadafora	Deputy Assistant Chief -Logistics
Robert Maynes	Deputy Assistant Chief-Planning & Strategy
Stephen Raynis	Deputy Assistant Chief-Safety inspectional Services
Joseph Woznica	Deputy Assistant Chief of Fire Prevention
John Mooney	Chief of the Fire Academy
Caroline Kretz	Associate Commissioner, Intergovernmental Affairs
Michael Vecchi	Associate Commissioner, Management Initiatives
James Basile	Assistant Commissioner, Fleet/Technical Services
Kay Ellis	Assistant Commissioner
Michele Maglione	Assistant Commissioner, Recruitment & Diversity
Joseph Mastropietro	Assistant Commissioner, Facilities Management
Lyndelle Phillips	Assistant Commissioner, Equal Employment Opportunity
Donay Queenan	Assistant Commissioner, Human Resources
Stephen Rush	Assistant Commissioner, Budget & Finance
Suzanne Sebert	Assistant Commissioner, Family Assistance Unit
Donald Stanton	Assistant Commissioner, Technology Development & Systems

Fire Department

<u>Name</u>	<u>Functional Title</u>
Robert Wallace	Assistant Commissioner, Investigations & Trials
Julian Bazel	Department Counsel
Robert Scott	Agency Chief Contracting Officer
Michael Gala	Chief of Uniformed Personnel
Domenico Loccisano	Executive Director, Compliance/Internal Audit & Control

Department of Finance



Office of the Commissioner
1 Centre Street – Suite 500
New York, NY 10007
Tel. 212.669.4855
Fax 212.669.2275

DAVID M. FRANKEL
Commissioner

March 10, 2011

Wayne Hawley
2 Lafayette Street, Suite 1010
New York, New York, 10007

RE: Substantial Policy Discretion

Dear Mr. Hawley:

In response to your January 31, 2011 communication regarding “Agency Obligations Under the Substantial Discretion Rule of the Conflicts of Interest Board,” the following is a list of the names and titles (or positions) of employees within the Department of Finance who have substantial policy discretion:

<u>Employee Name</u>	<u>Title</u>
David M. Frankel	Commissioner
Elizabeth Botwin	Deputy Commissioner, Administration & Planning
Edgar Domenech	Deputy Commissioner, Sheriff Division
Beth Goldman	Deputy Commissioner, Legal Affairs
Janice Emery	Senior Investment Officer, Mayor’s Office of Pensions
Thomas Harty	Deputy Commissioner, Chief Information Officer
Michael Hyman	Deputy Commissioner, Tax, Audit, Policy & Enforcement
Andrew Salkin	Deputy Commissioner, Operations
Christopher Browne	Assistant Commissioner, Communications & Government Affairs
Galia Galansky	Assistant Commissioner, Employee Services
Mary Gotsopoulos	Chief Administrative Law Judge, Adjudication Division
Annette Hill	Assistant Commissioner, City Register
Jacqueline James	Senior Budget Director
Maurice Kellman	Acting Assistant Commissioner, Property
Elaine Kloss	Assistant Commissioner, Treasury
Sarah Knapp	Director of Special Projects, Operations
Sara Meyers Operations	Assistant Commissioner, Tax & Parking Program

Department of Finance

Ranji Nagaswami	Chief Investment Advisor, Mayor's Office of Pensions
Pamela Parker-Cortijo	Assistant Commissioner, Collection & Data Management Division
Karen Schlain	Assistant Commissioner, Tax Policy
Leslie Zimmerman	Assistant Commissioner, Payment Operations Division

If you have any questions, please feel free to contact me.

Sincerely,

David M. Frankel

Financial Information Services Agency



The City of New York *Financial Information Services Agency*

450 West 33rd Street, 4th Floor
New York, NY 10001-2603

Telephone: (212) 857-1200
Fax: (212) 857-1106
TTY: (212) 857-1780

MICHAEL R. BLOOMBERG, *Mayor*
JOHN C. LIU, *Comptroller*

ROBERT W. TOWNSEND
Executive Director

To: Mark Davies
Executive Director
Conflicts of Interest Board
(Mailed electronically only: davies@coib.nyc.gov)

From: Adrienne R. Edley
Deputy Executive Director and General Counsel

Date: February 23, 2011

Subject: Agency Obligations under the Substantial Policy Discretion Rule of
the Conflicts of Interest Board (the "Board")

Pursuant to your memorandum dated January 31, 2011, and Board Rules §1-02, below is a list of public servants at FISA who have substantial policy discretion:

1. Robert W. Townsend, Executive Director
2. Edward J. Fitzpatrick, Jr., Deputy Executive Director Administration
3. Adrienne R. Edley, Deputy Executive Director and General Counsel Legal and Business Services
4. Rose-Ellen Myers, First Deputy Executive Director HR/Payroll Systems
5. Velu Pillai, Deputy Executive Director Shared Systems
6. Peter Reddy, Deputy Executive Director Financial Systems

If you have any comments or you require additional information please contact me at 212-857-1120.

Thank you.

cc: (cc's distributed electronically only)
Robert W. Townsend, FISA
Edward J. Fitzpatrick, Jr., FISA
Sherri Roth, FISA
Wayne Hawley, COIB (hawley@coib.nyc.gov)

FISA



Housing Development Corporation

August 9, 2011

Mr. Wayne Hawley
General Counsel
City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, N.Y. 10007

Dear Mr. Hawley:

The following is an update of employees and members who are designated as having substantial policy discretion in determination of important agency matters:

Employee/Member	Title
Bacchus, Simon	Sr. V.P. Development
Bolden-Rivera, Gina L.	REMIC Member
Ciampa, Felix	Member
Duffy, Ellen	Sr. V.P. Debt Issuance
Frankel, David	Member, Ex-officio
Froehlich, Richard M.	Exec. V.P., Chief Operating Officer/G.C.
Gigliello, Teresa A	Sr. V.P. Asset Management
Jahr, Marc	President
Gould, Jr., Harry E.	Member
Manning, Thomas	REMIC Member
Mariconda, Pellegrino	Sr. V.P. Chief Info./Admin. Officer
Moerdler, Charles G.	Member
Naeris, Urmas	Chief Credit Officer
Notice-Scott, Denise	Member
O'Reilly, Eileen	Sr. V.P. Loan Servicing
Page, Mark	Member, Ex-Officio
Tally, Joan	Exec. V.P., Chief of Staff
Wambua, Mathew	Member, Ex-officio, Chair

Please contact me or Melissa Barkan, Deputy General Counsel, if you should need additional information.

Sincerely,

Mary McConnell
Vice President, Human Resources

Cc: Melissa Barkan

Health and Hospitals Corporation

New York City Health and Hospitals Corporation **Public Servants with Substantial Policy Discretion**

Michael A. Stocker, MD, Chairman of the Board of Directors
Josephine Bolus, Board Member
Marcia R. Brown, MD, Board Member
Robert Doar, Board Member
Thomas A. Farley, MD, Board Member
Linda I. Gibbs, Board Member
Christina L. Jenkins, MD, Board Member
Adam Karpati, MD, Board Member
Anna Kril, Board Member
Rev. Diane Lacey, Board Member
John R. Maese, M.D., Board Member
Bernard Rosen, Board Member
Paula Wilson, Board Member
Emily A. Youssouf, Board Member

Lynda Curtis, Senior Vice President, South Manhattan Healthcare Network
Arthur Wagner, Senior Vice President, Southern Brooklyn/Staten Island Healthcare Network
Ann Marie Sullivan, MD, Senior Vice President, Queens Health Care Network
Chris D. Constantino, Executive Director, Elmhurst Hospital Center
Julius Wool, Executive Director, Queens Hospital Center
Antonio Martin, Senior Vice President, Central Brooklyn Health Network
Iris Jimenez-Hernandez, Senior Vice President, Generations +/Northern Manhattan Health Network
John Palmer, Executive Director, Harlem Hospital Center
Meryl Weinberg, Executive Director, Metropolitan Hospital Center
William P. Walsh, Senior Vice President, North Bronx Healthcare Network
George Proctor, Senior Vice President, North Brooklyn Health Network
Arnold Saperstein, MD, Executive Director, MetroPlus Health Plan
Ann Frisch, Executive Director, HHC Health and Home Care

Housing Preservation and Development

HPD Policymaker List			
Rafael Cestero	Commissioner	Resigning 3/31/11	
Douglas Apple	First Deputy Commissioner		
Alexandra Sewell	Chief of Staff, Deputy Commissioner, Policy & External Affairs		
Moon Wha Lee	Assistant Commissioner, Housing Policy Research		
Kaye Matheny	Assistant Commissioner, Strategic Planning		
Molly Park	Deputy Commissioner, Budget, Fiscal & Performance Analysis		
Merrick Reid	Assistant Commissioner, Fiscal		
Eva Trimble	Assistant Commissioner, Budget		
Joesph Rosenberg	Special Counsel, State Legislative Affairs		
Matthew Shafit	Deputy Commissioner, Legal Affairs		
Curtis Deane	Assistant Commissioner, Procurement (ACCO)		
Kimberly Hardy	Special Counsel, Regulatory Compliance		
Holly Leicht	Deputy Commissioner, Development	Resigning 2/25/11	
Miriam Colon	Assistant Commissioner, Housing Incentives		
Ruth Visnauskas	Associate Commissioner, Preservation		
Eric Enderlin	Assistant Commissioner, Preservation Finance		
Jacqueline Alexander	Assistant Commissioner, Public Disposition & Finance		
Beatriz De La Torre	Assistant Commissioner, Planning		
Timothy O'Hanlon	Assistant Commissioner, Special Needs Housing		
Wendell Walters	Assistant Commissioner, New Construction		
Carol Clark	Assistant Commissioner, Land Use & Local Governmental Affairs		
Laurie Loprimo	Assistant Commissioner, Tenant Resources		
Shampa Chanda	Assistant Commissioner, Architecture & Engineering		
Timothy Joseph	Assistant Commissioner, Construction Services		
Joshua Cucchiaro	Assistant Commissioner, Administration		
Anthony Thomas	Associate Commissioner, Technology & Strategic Development		
Vito Mustaciuolo	Deputy Commissioner, Enforcement & Neighborhood Services		
Mario Ferrigno	Assistant Commissioner, Code Enforcement		
Rassoul Azarnejad	Assistant Commissioner, Maintenance		
Deborah Rand	Assistant Commissioner, Housing Litigation		
William Carbine	Assistant Commissioner, Neighborhood Preservation		
Anne-Marie Hendrickson	Deputy Commissioner, Asset & Property Management		
Vivian Louie	Assistant Commissioner, Property Management		
Christopher Allred	Assiant Commissioner, Asset Management		
Julie Walpert	Assistant Commissioner, Housing Supervision		

Human Resources Administration

HUMAN RESOURCES ADMINISTRATION 2010 SUBSTANTIAL POLICY DISCRETION STAFF

Bailey, Joanne	Director Policy Analysis and Research
Beirne, Barbara	Deputy ACCO for Operations
Berger, Robert	Assistant Deputy Commissioner
Berry, Jill	Deputy Commissioner
Bhuiyan, Mohammed	Director APS Contracts
Borkow, Samuel	Deputy Commissioner
Bosket, Michael	Assistant Deputy Commissioner
Brady, Marie	Director Office of Reasonable Accommodation
Brune, Matthew	Executive Deputy Commissioner
Cadogan, Marjorie	Executive Deputy Commissioner
Carcana, Candida	Deputy Commissioner
Carlson, Kathleen	Executive Deputy Commissioner
Castellanos, George	Executive Director
Colares, Ana	Executive Director
Corbett, Jane	Executive Deputy Commissioner
Coyle, Jean	Assistant Deputy Commissioner
DeMartino, Joseph	Deputy Commissioner
DePippo, Thomas	Senior Executive Deputy Commissioner
Diop, Audrey	Director Public Health Insurance Initiatives
Discala, Helen	Assistant Deputy Commissioner
Doar, Robert	Commissioner
Dudley, Jacqueline	Deputy Commissioner
Durney, Mary	Director MICSA Reporting and Analysis
Dyjak, Kathryn	Deputy Commissioner
Eisner, Michael	Deputy Commissioner Home Care Services Program
Esnard, Roy	General Counsel
Fitzpatrick, Lisa	Deputy Commissioner
Flaum, Jacquelyn	Assistant Deputy Commissioner
Fouks, Michelle	Executive Deputy Commissioner
Freeman, Dexterley	Assistant Deputy Commissioner
Garcia, Patricia	Executive Regional Manager
Gaul, Catharine	Deputy Commissioner
Gaut, Gwendolyn	Assistant Deputy Commissioner
Giove, Barbara	Deputy Commissioner
Glase, Peter	Executive Agency Counsel
Glaves-Morgan, Sandra	Executive Deputy Commissioner
Glickson, Mark	Assistant Deputy Commissioner
Gomez, Gregory	Executive Regional Manager
Gritz, Elliott, MD	Psychiatrist/Deputy Medical Director
Gunn, Regina	Deputy ACCO for Contract Review
Hacker, Linda	Assistant Deputy Commissioner
Harper, Mary	Executive Deputy Commissioner
Holm-Carela, Annette	Director Home Care Services Field Operations
James, Marcia	Assistant Deputy Commissioner
Jarvis, Ingrid	Deputy Commissioner
Jenik, Peter	Executive Deputy Commissioner
Jenkins, Gary	Assistant Deputy Commissioner
Kumar, Elizabeth	Assistant Deputy Commissioner

Human Resources Administration

HUMAN RESOURCES ADMINISTRATION 2010 SUBSTANTIAL POLICY DISCRETION STAFF

Laganis, Elizabeth	Assistant Deputy Commissioner
Lane, Karen	Deputy Commissioner
Lawler, Joseph	First Assistant Deputy Commissioner
LeMelle, Edward	Associate General Counsel
Levine, Rachel	Executive Deputy Commissioner
Lipton, Frank MD	Executive Deputy Commissioner
Maharaj, Lamaunda	Director, Office of Food Programs
Martinez, Annie	Assistant Deputy Commissioner
Mattos, Alida	Assistant Deputy Commissioner
Mittelman, Judith	Assistant Deputy Commissioner
Mo, Chi	Executive Agency Counsel
Morcos, Sameh	Assistant Deputy Commissioner
Moss, Sherry	Director MICSA Budget & Program Planning
Nakhle, Stanislava	Director Health Insurance Work Support Initiatives
Ng, Arnold	Director of Home Care Contracts
Noel, Cecile	Executive Deputy Commissioner
Oest, Denise	Deputy Commissioner
Olton, Frank	First Deputy Commissioner
Ovadia, Neil	Director Office of Contract Services
Pardus-Abbadessa, Frances	Executive Deputy Commissioner
Perez-Degado, Maria	Director of Management and Tracking
Philip, Marie	Executive Director Office of Domestic Violence
Pullo, Vincent	Chief Contracting Officer
Ramadas, Sarathi	Deputy ACCO
Ress, Connie	Director of Communications
Rosas, Milagros	Director, Emergency Services
Saberski, Lin	Deputy Commissioner
Salnave, Samuel	Assistant Deputy Commissioner
Sanchez, Sandra	Director Home Energy Assistance Program
Santino, Joseph	Deputy Commissioner
Schoonmaker, Joanne	Executive Director
Sheehan, Angela	Deputy Commissioner
Sherman, Martha	Assistant Deputy Commissioner
Siemer, Richard	Executive Deputy Commissioner
Singleton, Raymond	Assistant Deputy Commissioner
Sitro, Joseph	Inspector, Police Operations
Smith, Patricia M.	First Deputy Commissioner
Sonde, Diane	Assistant Deputy Commissioner
Soto, Ana Dr.	Medical Director
Tyler, Kathleen	Deputy Commissioner
Usselman Kearney, Amy	Assistant Deputy Commissioner
Vasile-Davila, Fern	Assistant Deputy Commissioner
Walsh, Maureen	Executive Agency Counsel
Watson, Jacqueline	Assistant Deputy Commissioner
Whelan, James	Deputy Commissioner
Williams, Adrienne	Assistant Deputy ACCO
Williams, Vivian	Director, Administration and Planning



Human Rights Commission

COMMISSION ON HUMAN RIGHTS

40 RECTOR STREET, NEW YORK, NY 10006

Dial 311 www.nyc.gov/cchr

PATRICIA L. GATLING

Commissioner and Chair

August 10, 2011

City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007
Attn: Mr. Wayne Hawley

Dear Mr. Hawley,

Listed below are the names and titles of those public servants in our agency who have substantial policy discretion:

Patricia L. Gatling	Commissioner/Chair
Cliff Mulqueen	Deputy Commissioner for Investigations/General Counsel
Carlos Velez	Executive Director, Law Enforcement Bureau
Lee Hudson	Deputy Commissioner for Community Relations
Daniel Tymus	Director of MIS

Additionally, in accordance with the Charter 2604(b)(12) and (15), which states that a public servant is deemed to have substantial policy discretion if he or she has major responsibilities and exercises independent judgment in connection with determining important agency matters, below are the names of our ten (10) lay Commissioners, who are not required to file a financial disclosure report:

Calvin Butts	Grace Lyu-Volckhausen
Eda Harris Hastick	Omar Mohammedi
William J. Hibsher	Derek Park
Renee Lobo	Joseph Potasnik
Haskel Lookstein	

If you have any further questions regarding the above information, please contact Cliff Mulqueen at 212.306.7741.

Very truly yours,

Patricia L. Gatling

Independent Budget Office

February 9, 2011

Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: Annual Identification of Public Servants with
Substantial Policy Discretion pursuant to COIB Rule § 1-02

Dear Mr. Davies:

The following staff members at the Independent Budget Office are public servants with substantial policy discretion as defined in COIB Rule § 1-02.

<u>Agency Position</u>	<u>Name</u>
Director	Ronnie Lowenstein
Deputy Director	Frank Posillico
Deputy Director	George Sweeting
General Counsel	Lisa Neary
Chief of Staff/ Communications Director	Douglas Turetsky

I have notified these staff members in writing of the restrictions imposed on them by Charter §2604(b)(12) and (b)(15). If you have any further questions or comment please call Lisa Neary at (212) 442-0226.

Sincerely,

Ronnie Lowenstein

Landmarks Preservation Commission



The New York City Landmarks Preservation Commission

1 Centre Street, 9th Floor North New York NY 10007 TEL: 212-669-7921 FAX: 212-669-7797
www.nyc.gov/landmarks



John Weiss
Deputy Counsel
jweiss@lpc.nyc.gov

August 17, 2011

Wayne Hawley
General Counsel
City of New York
Conflicts of Interest Board
2 Lafayette St., Suite 1010
New York, NY 10007

Re: LPC Public Servants with Substantial Policy Discretion

Dear Mr. Hawley:

I apologize for not submitting this information sooner. To fulfill the Landmarks Preservation Commission's obligations under the Substantial Policy Discretion Rule of the Conflicts of Interests Board, please find below a list of public servants at the Landmarks Preservation Commission who have substantial policy discretion:

Commissioners

Robert B. Tierney, Chair
Pablo E. Vengoechea, Vice-Chair
Michael Goldblum, Commissioner
Michael Devonshire, Commissioner
Diana Chapin, Commissioner
Joan Gerner, Commissioner
Christopher Moore, Commissioner
Marjorie Perlmutter, Commissioner
Fred Bland, Commissioner
Elizabeth Ryan, Commissioner
Roberta Washington, Commissioner

Please note that only the Chair of the Commission is a paid employee and as such, will be filing all necessary disclosure forms. The Vice-Chair and other Commissioners are unpaid and are not employees of the City of New York.

Landmarks Preservation Commission

Landmarks Preservation Commission Staff

Kate Daly, Executive Director
Mark Silberman, General Counsel
John Weiss, Deputy Counsel
Sarah Carroll, Director of Preservation
Mary Beth Betts, Director of Research

The Commissioners and above employees will be receiving the “Notice to New York City Public Servants Having Substantial Policy Discretion.”

If you have any questions, I can be reached at (212) 669-7921.

Yours truly,

John Weiss
Deputy Counsel

cc: Robert B. Tierney, Chair
Mark Silberman, General Counsel

Law Department

Executives and Division Chiefs

Full Name	Status	Office Title	Division
CARDOZO, MICHAEL A.	A	Corporation Counsel	Executive
FRIEDLANDER, JEFFREY D	A	First Assistant Corporation Counsel	Executive
KOERNER, LEONARD J	A	Chief Assistant Corporation Counsel	Executive
MILLS, G. FOSTER	A	Managing Attorney	Executive
KOELEVELD, CELESTE L	A	Executive Assistant Corporation Counsel for Public Safety	Executive
REPHEEN, PAUL T	A	Executive Assistant	Executive
KAHN, LAWRENCE S	A	Chief Litigating Assistant	Executive
HIGGINS, MALACHY T	A	Division Chief	Administration
TAUSSIG, GABRIEL	A	Division Chief	Administrative Law
RUBIN, GAIL	A	Division Chief	Affirmative Litigation
RUNDBAKEN, ERIC	A	Division Chief	Commercial And Real Estate Litigation
CUSHMAN, STEVEN STEIN	A	Division Chief	Contracts and Real Estate
MCSPIRITT, JAMES W	A	Division Chief	Economic Development
AMRON, SUSAN E.	A	Division Chief	Environmental Law
ALBERTUS, ANGELA M	A	Division Chief	Family Court
CRANE, THOMAS C	A	Division Chief	General Litigation
MERCES, JOSEPH	A	Chief Technology Officer	Information Technology
PESTANA, GEORGIA	A	Division Chief	Labor And Employment Law
LOUIS, STEPHEN	A	Division Chief	Legal Counsel
MONCURE, ALBERT F Jr.	A	Division Chief	Municipal Finance
VAN EYSDEN, INGA	A	Division Chief	Pensions
GOODE-TRUFANT, MURIEL	A	EEO Officer/Division Chief	Special Federal Litigation
DUMAIN, RITA D	A	Division Chief	Tax And Bankruptcy Litigation
LEOUSSIS, FAY	A	Division Chief	Tort
SWEENEY, JOHN	A	Division Chief	Workers' Compensation
Number of Records:	25		
NYC Law Dept. Policymaker List			

Manhattan Borough President's Office

Manhattan Borough President's Office Policymaker List 2011

February 9, 2011

Mark Davies, Executive Director
New York City Conflicts of Interest Board
2 Lafayette St., Suite 1010
New York, NY 10007

Re: Annual list of public servants charged with substantial policy discretion

Dear Mr. Davies:

Pursuant to your memorandum dated January 31, 2011, we are submitting to you the names and titles of employees in the Manhattan Borough President's Office having substantial policy discretion:

<u>Name</u>	<u>Title</u>
Dan Benjoya	Director of Scheduling
Audrey Gelman	Press Secretary
Brian Cook	Director of Land Use
Josh Getlin	Director of Communications
Alaina Gilligo	Chief of Staff
Nakia James-Jenkins	Director of Operations
Jessica Silver	Director of Community Affairs and Constituent Services
Cuong Nguyen	Deputy Chief of Staff
Maggi Peyton	Senior Advisor
Rosemonde Pierre-Louis	Deputy Borough President
Sascha Puritz	Director of External Affairs
Shanifah Rieara	Director of Northern Manhattan Office
David Saltonstall	Director of Policy
Scott Stringer	Borough President
Susannah Vickers	Director of Budget and Grants
Jimmy Yan	General Counsel
Shaan Khan	Senior Advisor and Director of Digital Strategies

Please feel free to contact me at (212) 669-8157 should you have any questions. Thank you.

Sincerely,

Jimmy Yan
General Counsel

Manhattan District Attorney's Office
DISTRICT ATTORNEY

OF THE
COUNTY OF NEW YORK
ONE HOGAN PLACE
New York, N. Y. 10013
(212) 335-9000



CYRUS R. VANCE, JR.
DISTRICT ATTORNEY

August 10, 2011

Mr. Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, NY 10007

Re: Persons with Substantial Policy Discretion

Dear Mr. Hawley:

In response to your memorandum of January 31, 2011, the following is a list of persons at the New York County District Attorney's Office – in addition to District Attorney Cyrus R. Vance, Jr., an elected official – who have substantial policy discretion for purposes of §§2604 (b)(12) and (15) of the New York City Charter:

Daniel R. Alonso, Chief Assistant District Attorney
Caitlin J. Halligan, General Counsel
Leroy Frazer, Jr., Executive Assistant District Attorney
Frederick J. Watts, Executive Assistant District Attorney
Chauncey G. Parker, Executive Assistant District Attorney
Hillary Hassler, Executive Assistant District Attorney and Chief of Appeals Bureau
Adam S. Kaufmann, Chief of the Investigation Division
Karen Friedman-Agnifilo, Chief of the Trial Division
Jeannette Molina, Administrative Assistant District Attorney
Erin Duggan, Director of Communications
Karen Sheehan, Fiscal Director and Director of Planning & Management

If you need further information, please let me know.

Sincerely,

Frederick J. Watts
Executive Assistant District Attorney

New York City Employee Retirement System

NYCERS Annual List of Public Servants Charged with Substantial Policy Discretion

Linda Chiariello, Deputy Executive Director of NYCERS
Diane D'Alessandro, Executive Director of NYCERS
Dominick Fallucci, Administrative Management Auditor
Andrew Feneck, Administrative Retirement Benefit Specialist
Sari Goldmeer, Agency Attorney
Michael Goldson, Administrative Staff Analyst
Karen Mazza, Executive Agency Counsel
Felita Ramsami, Administrative Manager
Claudine Rasp, Agency Attorney
Elizabeth Reyes, Administrative Staff Analyst
Sherif Soliman, Administrative Staff Analyst
Ingrid Stephen, Administrative Staff Analyst
Craig Thornton, Agency Security Director
Glenda Villareal, Agency Attorney
Tenaye Wolde, Administrative Staff Analyst

NYCERS Board of Trustees

Ranji Nagaswami
John Liu
Bill de Blasio
Scott Stringer
Marty Markowitz
Ruben Diaz
Helen Marshall
James P. Molinaro
Lillian Roberts
John Samuelson
Gregory Floyd

NYCERS Board of Trustees Alternates

Janice Emery
Bud Larson
Carolyn Wolpert
Elizabeth Botwin
Thad McTigue
Larry Schloss
Jacqueline Sherman
Dominick Williams
Jimmy Yan
Jason Otano
Albert Rodriguez
Hugh Weinberg
John Wm. Zaccone
Dennis Deahn
Debbie DeLauro

Mary J. O'Connell
Raymond Santander
Pete Foley
Norman Rosenfeld
Tony Utano
Larry Cary
Patricia Stryker
Nancy True
Walter Kane
Oliver Gray
Raymond Sarola
Lisa Chun
Omair Hassan
David Frankel
Joey Koch
Winston George

New York City Housing Authority

NYCHA Public Servants Charged With Substantial Policy Discretion as Defined by Conflicts of Interests Board Rule § 1-02

<u>Office Title</u>	<u>Name</u>
EXECUTIVE	
Chairman	John B. Rhea
Vice-Chairman	(Vacant)
Board Member	Margarita López
Secretary of the Authority	Vilma Huertas
Chief of Staff	Helen Morillo
General Manager	Michael Kelly
First Deputy General Manager	Natalie Y. Rivers
Chief Communications Officer	Florence L. Godfrey
Assistant Deputy General Manager for Development	Ilene Popkin-Make
Director, Audit Department	Brenda Keating
Director, Equal Opportunity	Celeste T. Segure
Managing Director for Mixed Finance and Asset Management	(Vacant)
Director, Public Private Partnerships	Dawn Walker
Director, Research & Management Analysis	Anne-Marie Flatley
Director, State and City Legislative Affairs	Brian Honan
Director, Strategic Planning & Change Management	(Vacant)

New York City Housing Authority

NYCHA Public Servants Charged with Substantial Policy Discretion

Page 2 of 5 – 2010 - 2011

Office Title

Name

ADMINISTRATION

Director, Facility Planning and Administration	John McCormick
Director, General Services	Bat-Sheva Horodniceanu
Director, Human Resources	Dawn M. Pinnock
Deputy Director, Personnel & Labor Relations	David Marcinek
Director, Security	Patrick O'Hagan
Director, Supply Chain Operations	Gary Smith
Director, Procedure Development and Administration	Robin Jackson

CAPITAL PROJECTS

Deputy General Manager for Capital Projects	(Vacant)
Assistant Deputy General Manager for Capital Projects Administration	Celeste Glenn
Assistant Deputy General Manager for Capital Projects Operations	Eve Michel
Director, Administration	James Scanlon
Director, Bronx/Queens	Douglas McNevin
Director, Brooklyn/Staten Island	Predrag Markovic
Director, Manhattan	Nicholas Maranzano
Director, Design	Scott Groom
Director, Technical Support	(Vacant)

New York City Housing Authority

NYCHA Public Servants Charged with Substantial Policy Discretion

Page 3 of 5 – 2010 - 2011

<u>Office Title</u>	<u>Name</u>
---------------------	-------------

COMMUNITY OPERATIONS

Deputy General Manager for Community Operations	(Vacant)
Assistant Deputy General Manager for Community Operations	Deidra Gilliard
Director, Administration	(Vacant)
Director, Bronx	Ilia Figueroa
Director, Brooklyn	Jenelle Mitchell
Director, Manhattan	Ukah Busgith
Director, Queens / Staten Island	(Vacant)
Director, Programs & Assessment	(Vacant)
Director, Resident Support Services	Richard Greene
Director, Social Services	Nora Reissig

FINANCE

Chief Financial Officer/Deputy General Manager for Finance	Felix Lam
Assistant Deputy General Manager for Financial and Strategic Investments	Andreas Spitzer
Comptroller, Accounting and Fiscal Services	Jeffrey A. Pagelson
Deputy Director, Risk Finance/Insurance	Arlene Orenstein
Deputy Director, Business and Revenue Development	Edward Velez
Director, Budget & Financial Planning	Carlos Serrano
Director, Energy	Rory Christian

New York City Housing Authority

NYCHA Public Servants Charged with Substantial Policy Discretion

Page 4 of 5 – 2010 - 2011

Office Title

Name

INFORMATION TECHNOLOGY

Chief Information Officer/ Deputy General Manager for Information Technology	Atefeh Riaz
Deputy CIO/Deputy General Manager for Business Solution Technology	Robert Marano
Deputy CIO for Enterprise Technology Management	John Saggese
Chief Technology Officer for IT Infrastructure	Rudolph Kurkjian
Senior Director, Process & Information Management	Irene Shapiro

LAW DEPARTMENT

General Counsel and Chief Ethics Officer, Law Department	Sonya Kaloyanides
Deputy General Counsel, Civil Litigation	(Vacant)
Deputy General Counsel, Corporate Affairs	Laurence Redican
Deputy General Counsel, Housing Litigation	Sam Mordi
Deputy General Counsel, Tort Litigation	Kelly MacNeal
Deputy General Counsel, Real Estate and Economic Development	(Vacant)

MANAGEMENT OPERATIONS

Deputy General Manager for Operations	Carlos Laboy-Diaz
Assistant Deputy General Manager of Operations for Support Services	Brian Clarke
Assistant Deputy General Manager of Operations for Leased Housing	Gregory Kern
Financial Manager for Operations	John Aber

New York City Housing Authority

NYCHA Public Servants Charged with Substantial Policy Discretion

Page 5 of 5 – 2010 - 2011

<u>Office Title</u>	<u>Name</u>
Director, Management & Customer Relationship Systems	Patricia Lawler
Director, Applications & Tenancy Administration	Tina Lam
Director, Bronx	Melania Allen
Director, Brooklyn	Marguerite Mann
Director, Customer Contact Center	John Devine
Director, Emergency Services	Conrad Vazquez
Director, Leased Housing	Colette Rodgers
Director, Manhattan	Robert Knapp
Director, Queens / Staten Island	Carolyn Jasper
Director, Technical Services	Luis Ponce

RESIDENT ECONOMICS

Senior Advisor for Resident Economic Empowerment & Sustainability	Michelle Pinnock
Director, Resident Economic Initiatives & Partnerships	Debra-Ellen Glickstein
Director, Resident Employment Services	(Vacant)

Office of Collective Bargaining

Office of Collective Bargaining Policymakers List 2011

August 9, 2011

Mr. Mark Davies
Executive Director
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York, 10007

Re: Agency Obligations under the Substantial Policy Discretion Rule
of the Conflicts of Interest Board

Dear Mr. Davies:

The following agency employees have substantial policy discretion and will be notified in writing of the political activity restrictions contained in the New York City Charter, §2604(b)(12), and §2604(b)(15):

<u>NAME</u>	<u>POSITION</u>
Marlene A. Gold	Chair/Director
Steven C. DeCosta	Deputy Director/General Counsel
Susan J. Panepento	Deputy Director for Disputes

In addition, the following board members will be notified of the political activity restrictions contained in Charter §2604(b)(12), and §2604(b)(15):

George Nicolau, Esq.	Impartial Member
Carol A. Wittenberg	Impartial Member
Pamela S. Silverblatt	City Member
M. David Zurndorfer, Esq.	City Member
Charles G. Moerdler, Esq.	Labor Member

Office of Collective Bargaining

Gabrielle Semel, Esq. Labor Member

The two impartial members, named above, file annual financial disclosure reports because they are entitled to compensation. However, the above-named city and labor members will not be added to the list of public servants required to file financial disclosure reports because they serve without compensation.

Very truly yours,

Marlene A. Gold
Chair/Director

c: Marlene A. Gold
 Steven C. DeCosta
 Susan J. Panepento
 George Nicolau, Esq.
 Carol A. Wittenberg
 Pamela S. Silverblatt
 M. David Zurndorfer, Esq.
 Charles G. Moerdler, Esq.
 Gabrielle Semel, Esq.
 Amy Villafane



Office of Emergency Management

New York City Office of Emergency Management

165 Cadman Plaza East
Brooklyn, New York, 11201

February 14 2011

City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, N.Y. 10007

Att: Mark Davies

Re: Agency Obligations under the Substantial
Policy Discretion Rule of the Conflicts of
Interest Board

Dear Mr. Davies:

For purposes of Charter §2604(b)(12) and §2604(b)(15), by letter herein, I am providing the Conflicts of Interest Board with the list of current New York City Office of Emergency Management employees charged with substantial policy discretion:

Commissioner	Joseph F. Bruno
First Deputy Commissioner.....	Calvin Drayton
Deputy Commissioner of Administration.....	Rachel Stein-Dickinson
Deputy Commissioner of External Affairs.....	Christina Farrell
Deputy Commissioner for Operations.....	John Scrivani
Deputy Commissioner for Legal Affairs.....	Stella Guarna
Deputy Commissioner of Technology.....	Henry Jackson
Deputy Commissioner for Preparedness.....	Kelly McKinney
Deputy Commissioner for Agency Development & Coordination	Jacob Cooper

Office of Emergency Management



New York City Office of Emergency Management

165 Cadman Plaza East
Brooklyn, New York, 11201

Page -2-

February 14, 2011

COIB - Mark Davies

Re: Annual List Substantial Policy Discretion

Assistant Commissioner for
Training & Exercise

Virginia Mewborn

Assistant Commissioner for
Strategic Data

Jim McConnell

Assistant Commissioner of
Operations

Marzio Penzi

Assistant Commissioner for
Administration & Finance ...

Stacy Rosenfeld

PLEASE NOTE, I have provided the above named with a copy of the "Notice to New York City Public Servants Having Substantial Policy Discretion" MEMO, provided to me in your January 31, 2011 e-mail attachment.

I have also provided a copy of the above list, to our agency staff responsible for administration of financial disclosure reporting.

Thank you.

Very truly yours,

STELLA GUARNA,
D.C. Legal Affairs, NYC OEM
718 422 4802
sguarna@oem.nyc.gov

SG/sg

cc: Commissioner Joseph F. Bruno

Annette Santiago, NYC OEM Director, Human Resources

Office of Management and Budget

OMB Employees Policymaking & M-4 and Above as of February 23, 2011

NAME	TITLE	POLICYMAKING	M-4 & ABOVE
Anantharam, Plachikkat	Deputy Director	Yes	Yes
Anders, Alan	Deputy Director	Yes	Yes
Anderson, Stephen	Assistant Director	Yes	Yes
Angelo, Larian	Deputy Director	Yes	Yes
Boirard, Tara	Assistant Director	Yes	Yes
Brisky, Charles	Associate Director	Yes	Yes
Cataldo, Paul	Assistant Director	Yes	Yes
Cheung, Cary	Assistant Director	Yes	Yes
Chun, Rodney	Chief Economist	Yes	Yes
Cruz, Osvaldo*	Assistant Director	Yes	Yes
Dardia, Michael	Deputy Director	Yes	Yes
Dowling, Andrew	Assistant Director	Yes	Yes
Garofalo, Jeffrey	Assistant Director	Yes	Yes
Godiner, Kenneth	Sr. Assistant Director	Yes	Yes
Grathwol, John	Assistant Director	Yes	Yes
Henning, Marjorie	General Counsel	Yes	Yes
Herrick, Patricia	Assistant Director	Yes	Yes
Higgins, James	Assistant Director	Yes	Yes
Kang, Mellanie	Assistant Director	Yes	Yes
Kausch, Edward	Assistant Director	Yes	Yes
Klein, Stuart	First Deputy Director	Yes	Yes
Larson, Burdette	Associate Director	Yes	Yes
Levine, Ellen	Assistant Director	Yes	Yes
Levine, Michele	Assistant Director	Yes	Yes
Levine, Steven	Deputy Director	Yes	Yes
Mogilanski, Roy	Assistant Director	Yes	Yes
Mulligan, Timothy	Assistant Director	Yes	Yes
Nagle, Jennie*	Assistant Director	Yes	Yes
Olson, Franklin	Assistant Director	Yes	Yes
Orlandi, Flavia	Assistant Director	Yes	Yes
Orlando, Raymond	Deputy Asst. Director	Yes	No
Page, Mark	Budget Director	Yes	Yes
Quintian, Yvonne	Assistant Director	Yes	Yes
Rahman, Yousuf	Assistant Director	Yes	Yes
Ricci, Andrew	Assistant Director	Yes	Yes
Ryan, Kristine	Assistant Director	Yes	Yes
Tymus, Paul	Assistant Director	Yes	Yes
Ulrey, Prescott	Counsel	Yes	Yes
Wasserman, Philip	Assistant Director	Yes	Yes
Woller, Jill	Assistant Director	Yes	Yes
Young Pauline	Assistant Director	Yes	Yes

* New addition to the list

Parks Department

Name	Position
Adrian Benepe	Commissioner
Liam Kavanagh	First Deputy Commissioner for Operations
Larry Scott Blackmon	Deputy Commissioner for Community Outreach
Therese Braddick	Deputy Commissioner for Capital Projects
Robert L. Garafola	Deputy Commissioner for Management & Budget
Nancy Barthold	Assistant Commissioner for Recreation & Programming
Susan Donoghue	Senior Advisor and Assistant Commissioner for Strategic Initiatives
Michael Dockett	Assistant Commissioner for Urban Park Services
Annika Holder	Assistant Commissioner for Public Programs
Keith Kerman	Assistant Commissioner for Citywide Operations
Joshua Laird	Assistant Commissioner for Planning & Natural Resources
Jack T. Linn	Assistant Commissioner for Citywide Services
Alessandro G. Olivieri	General Counsel
Betsy Smith	Assistant Commissioner for Revenue and Marketing
David Stark	Assistant Commissioner for Budget and Fiscal Management
Hector Aponte	Bronx Borough Commissioner
Kevin Jeffrey	Brooklyn Borough Commissioner
William Castro	Manhattan Borough Commissioner
Dorothy Lewandowski	Queens Borough Commissioner
Adena Long	Staten Island Borough Commissioner
John Natoli	Chief Engineer
Charlette Hamamgian-Winston	Chief of Management Services/ACCO
Parmod Tripathi	Chief of Management Services/ACCO

NYC Parks Dept. Policymaker List

Police Department

March 11, 2011

Mr. Mark Davies
Executive Director
City of New York Conflicts of Interest Board
2Lafayette Street
Suite 1010
New York, NY

Dear Director Davies:

The following is a list of managers in the New York City Police Department who fall under the Substantial Policy Discretion Rule of the Conflicts of Interest Board:

<u>NAME</u>	<u>OFFICE TITLE</u>	<u>CIVIL SERVICE TITLE</u>
Allocco, Edward J.	Dep. Comm., Management & Budget	Deputy Commissioner
Anderson, Geroge W.	C.O., Police Academy	Assistant Chief
Banks, Philip	Chief of Community Affairs	Chief of Community Affairs
Beirne, John P.	Dep. Comm., Labor Relations	Admin. Labor Relations Analyst
Bello, Frank	Asst. Comm., Contract Admin. Unit	Agency Chief Contracting Ofcr.
Brandon, Nancy	Director, Central Records Div.	Admin. Staff Analyst
Browne, Paul J.	Dep., Public Information	Deputy Commissioner
Burrus, Donald J.	Asst. Comm., Mgmt Inf. Systems Div.	Dir., Management Info. System Div.
Campisi, Charles V.	Chief of Internal Affairs	Chief of Internal Affairs
Caspersen, Sidney	Asst. Comm., Programs	Intel. Research Spec.
Chan, Thomas M.	C.O., School Safety Division	Assistant Chief
Chapman, Wilbur	Dep. Comm., Training	Director of Training
Cohen, David	Dep. Comm., Intelligence	Dep. Comm., Intelligence
Cohen, David M.	Dep. Comm., Labor Counsel	Exec. Agency Counsel
Daddario, Richard	Dep. Comm., Counterterrorism	Dep. Comm., Counterterrorism
Dale, Thomas	Chief of Personnel	Chief of Personnel
Daniels-Depeyster, Claudia	Asst. Dep. Comm., Trials	Executive Agency Counsel
Diaz, Raymond	Chief of Transit	Chief of Transit
Dimesa, Gregory	Dir. Fleet Services Div.	Director of Motor Transport
Doepfner, Thomas	Asst. Dep. Comm., Legal Bureau	Exec. Agency Counsel
Doka, Frank	Asst. Comm., Financial Mgmt. Div.	Admin. Staff Analyst
Esposito, Joseph J.	Chief of Department	Chief of Department
Farrell, Michael J.	Dep. Comm., Strategic Initiatives	Admin Staff Analyst
Fox, Joseph	C.O., Patrol Borough Brooklyn South	Assistant Chief

Police Department

Galati, Thomas P.	C.O., Intelligence Division	Assistant Chief
Gomez, Carlos	C.O., Patrol Borough Bronx	Assistant Chief
Grappone, John	Asst. Dep. Comm., Trials	Asst. Dep. Comm., Trials
Hall, James	Chief of Patrol	Chief of Patrol
Izzo, Anthony	Chief of O.C.C.B.	Chief of O.C.C.B
Jaffe, Joanne	Chief of Housing Bureau	Chief of Housing Bureau
Kammerdener, Charles	Chief of Special Operations Division	Chief of Special Operations
Karopkin, Martin	Dep. Comm.m Trials	Deputy Commissioner
Kearns, Kathleen	Asst. Comm., Dept. Advocate	Exec. Agency Counsel
Kelly, Raymond W.	Police Commissioner	Police Commissioner
Kleinman, Eli	Supervising Chief Surgeon	Supervising Chief Surgeon
Lemire, Katherine	Counsel to the Police Commissioner	Counsel to the Commissioner
Lewis, Robert A.	Asst. Comm., Exec. Communication	Admin Staff Analyst
Lodispoto, Joseph	Director, Payroll & Benefits Division	Admin. Manager
Lubin, Kevin	Asst. Comm., Criminal Justice Bureau	Exec. Agency Counsel
Martinez, Robert	Exec. Director, S.S.B.	Exec. Director, S.S.B.
McCarthy, John	Asst. Comm., DCPI	Assistant Commissioner DCPI
McGrann, Joseph	C.O., Dep. Comm. Training	Assistant Chief
McGuire, Philip G.	Asst. Comm., Program & Polices	Asst. Comm., Program & Polices
Messner, Robert	Asst. Comm., Civil Enforcement Unit	Exec. Agency Counsel
Morris, William	C.O. Patrol Borough MN	Assistant Chief
Nelson, Gerald	C.O. Patrol Borough Brooklyn North	Assistant Chief
Onalfo, Vincent	Dep. Comm., Technological Development	Computer Systems Manager
Paragallo, Stephen R.	C.O., Patrol Borough Staten Island	Assistant Chief
Petito, Susan R.	Asst. Comm., Intergovernmental Affairs	Admin. Attorney
Pineiro, Rafael	First Deputy Commissioner	First Deputy Commissioner
Pizzuti, Diana	C.O., Patrol Borough Queens North	Assistant Chief
Prasso, Thomas	Director, License Division	Executive Agency Counsel
Pulaski, Phil	Chief of Detectives	Chief of Detectives
Purtell, Thomas	C.O., Patrol Borough MS	Assistant Chief
Reznick, Joseph J.	C.O., Narcotics Division	Assistant Chief
Roberson, Carolann	Asst. Comm., Training	Admin. Manager
Ryan, Kathy E.	C.O., Domestic Violence Unit	Assistant Chief
Ryan, Marie	Asst. Comm., O.E.E.O.	Exec. Agency Counsel
Schaffer, Seth	Dep. Comm., Legal Matters	Deputy Commissioner
Schwartz, Julie	Dep. Comm., Dept. Advocate	Director, Department Advocate
Secreto, James A.	C.O., Patrol Borough Queens South	Assistant Chief
Shea, Michael E.	C.O., Police Commissioner's Office	Assistant Chief
Strebel, Gary	X.O., I.A.B.	Assistant Chief
Timlin, Patrick	Dep. Comm., Operations	Secretary of the Dept.
Tuller, James	Chief of Transportation Bureau	Chief of Transportation Bureau
Vinal, Robert	Asst. Dep. Comm., Trials	Executive Agency Counsel
Waters, James	C.O., Dep. Comm. Counterterrorism	Assistant Chief
Wechsler, Arnold S.	Asst Comm., Employee Management Div.	Director, Employee Mgmt. Div.
Wedin, Harry	C.O., Operations Division	Assistant Chief
Weisel, David	Asst. Dep. Comm., Trials	Executive Agency Counsel

Police Department

Wuensch, Joseph
Zeigler, Neldra
Zoland, Deborah

Chief of Staff
Dep. Comm., O.E.E.O.
Asst. Dep. Comm., Legal Matters

Chief of Staff
Deputy Commissioner
Admin. Attorney

The Above individuals will be notified in writing of their restrictions and obligations to file financial disclosure reports under the Substantial Policy Discretion Rule of the Conflicts of Interest Board. If I can be of any further assistance, please feel free to contact me.

Sincerely,

Arnold S. Wechsler
Assistant Commissioner

Police Pension Fund

MEMORANDUM

To: Mark Davies
New York City Conflicts of Interest Board

From: Rhonda Cavagnaro
General Counsel

Re: Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board

Date: February 1, 2011

Following is a list of public servants charged with substantial policy discretion in the New York City Police Pension Fund (NYCPPF):

Kevin Holloran	Executive Director	NYCPPF
Robert Sens- Castet	Deputy Executive Director	NYCPPF

BOARD OF TRUSTEES

Name	Title	Agency
Ranji Nagaswami	Administration's Chief- Investment Advisor	Mayor's Office- New York City
Raymond Kelly	Police Commissioner	NYC Police Department
John C. Liu	Comptroller	NYC Comptroller
David M. Frankel	Commissioner	NYC Department of Finance
Roy T. Richter	President	Captain's Endowment Association (CEA)
Thomas Sullivan	President	Lieutenant's Benevolent Association (LBA)
Edward Mullins	President	Sergeant's Benevolent Association (SBA)
Michael Palladino	President	Detective's Endowment Association (DEA)
Patrick J. Lynch	President	Patrolmen's Benevolent Association (PBA)
John Puglissi	1 st Vice President	PBA
Mubarak Abdul-Jabbar	2 nd Vice President	PBA
Joseph Anthony	Chairman, Bd. of Trustees	PBA- Lower Manhattan/Richmond

RC/fc

Department of Probation

NYC DEPARTMENT OF PROBATION (DoP) AGENCY (781)

SUBSTANTIAL POLICY DESCRETION

CONFLICT OF INTEREST BOARD (COIB) - 2011 FINANCIAL DISCLOSURE FILERS

REVISED 8.19.2011

LAST NAME	FIRST NAME	FUNCTIONAL TITLE
Armstrong	Karen	Assistant Commissioner
Bains	Rajwant	Director, Budget
Bermudez	Ana	Deputy Commissioner, Juvenile Operations
Braithwaite	Leona	Associate Commissioner, Financial
Ciralo	Basil	Director, Procurement
Coughlin	Kathleen	Deputy Commissioner, Planning, Training & Community Partnerships
Costello	Robert	Assistant Commissioner, Training & Quality Assurance
D'Ambrosio	Lisa	Assistant Commissioner, Adult Operations
DiFiore	Ralph	Associate Commissioner, Adult Operations
DiGiovanna	Margaret	Deputy General Counsel
Ferrante	Mark	Senior Policy Advisor to the Commissioner
Forte	Michael	Deputy Commissioner, Administration
Gilmore	Lorene	Assistant Commissioner, Adult Operations
Goodwin	Sharun	Assistant Commissioner, Adult Operations
Gray	Gray	Associate Commissioner, Juvenile Operations
Imbasciani	Jane	Assistant Commissioner, Adult Operations
Lacey	Clinton	Deputy Commissioner, Adult Operations
Mapp	Suzette	Assistant Commissioner, Human Resources & Labor Relations
McKenzie	Wayne	General Counsel
Ognibene	Michael	Chief of Staff
Pernetti	Vincent	Agency Chief Contracting Officer
Schiraldi	Vincent	Commissioner
Silverblatt	Sherri	Deputy General Counsel
Teo	Belinda	Director, Armorer

Public Advocate's Office

Public Advocate's Office Policymaker List 2011

Name	Title
Bill de Blasio	Public Advocate for the City of New York
James Berger	Senior Policy Advisor
Elba Feliciano	Director of Administration
Jacqueline Sherman	General Counsel
Dominic Williams	Senior Policy Advisor
Matthew Wing	Communications Director
Emilia Wolfe	Chief of Staff

Queens Borough President's Office



HELEN M. MARSHALL
PRESIDENT

(718) 286-3000
TDD (718) 286-2656
TELECOPIER (718) 286-2876

CITY OF NEW YORK
OFFICE OF THE
PRESIDENT OF THE BOROUGH OF QUEENS
120-55 QUEENS BOULEVARD
KEW GARDENS, NEW YORK 11424-1015

August 4, 2011

Mark Davies, Esq.
Executive Director
New York City Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Dear Mr. Davies:

Pursuant to Section 1-02(a) of Title 53 of the Rules of the City of New York, the purpose of this letter is to advise you that the following individuals employed by the Queens Borough President's Office have substantial policy discretion as defined by that section:

Alexandra Rosa
Chief of Staff

Barry Grodenchik
Deputy Borough President

Hugh B. Weinberg
Counsel

These individuals have all been notified in writing of the restrictions set forth in New York City Charter Sections 2604(b)(12) and (15). In addition, they have been, and will continue to, file annual financial disclosure reports with your agency. This letter hereby supersedes all prior lists that have been filed with the Conflicts of Interest Board.

Please contact my office if you have any questions regarding this matter.

Sincerely,

Helen Marshall
Queens Borough President

Department of Records and Information Services



DEPARTMENT OF RECORDS & INFORMATION SERVICES

31 Chambers Street, Room 304

New York, NY 10007 (212)788-8622 Fax (212)788-8625

Naomi Pacheco, Director Human Resources

Napacheco@records.nyc.gov

February 15, 2011

Mark Davies
Executive Director
City of New York
Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Mr. Davies,

The employees listed below are the Department of Records and Information Services staff members with Substantial Policy Discretion:

Eileen Flannelly, Deputy Commissioner
Frederic Grevin, Deputy Commissioner
Kenneth Cobb, Assistant Commissioner

These employees have received a copy of the Conflicts of Interest Board's printing of the Rules of the City of New York, Volume 12, Title 53, Chapter 1 for reference.

If there are any questions regarding this information, please call me at (212)788-8622

Sincerely,


Naomi Pacheco
Director Human Resources

CC: Wayne Hawley, General Counsel, COIB
file

Rent Guidelines Board



New York City Rent Guidelines Board

51 Chambers Street, Suite 202 • New York, NY 10007 • (212) 385-2934
Fax (212) 385-2554 • www.housingnyc.com

Chairman
Jonathan L. Kimmel

Executive Director
Andrew McLaughlin

August 9, 2011

Wayne Hawley
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

Dear Mr. Hawley:

As per your request of August 9, the following are the names and titles of public servants at the Rent Guidelines Board that have substantial policy discretion:

<u>Name:</u>	<u>Position:</u>
Jonathan Kimmel	Chair
Betty Phillips Adams	Public Board Member
Courtney Moore	Public Board Member
Ronald Scheinberg	Public Board Member
David Wenk	Public Board Member
Aдриene Holder	Tenant Board Member
Brian Cheigh	Tenant Board Member
Magda L. Cruz	Owner Board Member
Steven J. Schleider	Owner Board Member
Andrew McLaughlin	Executive Director

If you have any further questions or comments just contact me at 212-385-2934, xt. 12.

Sincerely,

Andrew McLaughlin
Executive Director

Cc: Jonathan Kimmel, RGB Chairperson
Leon Klein, RGB Office Manager and COIB Liaison

Department of Sanitation

LIST OF SANITATION DEPT. EMPLOYEES WITH SUBSTANTIAL POLICY DISCRETION.

Doherty, John	Commissioner
Sullivan, Bernard	First Deputy Commissioner
Cipollina, Lorenzo	Deputy Commissioner, Admin & Financial Mgmt.
Orlin, Robert	Deputy Commissioner, Legal Affairs
Turso, Vito	Deputy Commissioner, Public Information
DiRico, Rocco	Deputy Commissioner, Support Operations
Mucci, Michael	Director, Waste Disposal
Nucatola, John	Director of Cleaning and Collection
Milora, Thomas	Assistant to the Chief of Staff
Marino, Philip	Chief, Bureau Operations
Bellew, Michael	Chief, Bureau of Cleaning and Collection
Blendermann, Ronald	Assistant Commissioner, ACCO
Brautigam, Steven	Assistant Commissioner, Environmental Affairs
Ebert, Michael	Assistant Commissioner, Planning and Budget
Gleason, Philip	Assistant Commissioner, Waste Management
Strauss, James	Assistant Commissioner, Legal Affairs
Szarpanki, Harry	Deputy Commissioner, Long Term Export
Termini-Miller, Maria	Associate Commissioner, Community Affairs
Camacho, Fernando	Director, EEO
Binn, Joel	Director, Information Technology
Clavin, Thomas	Director, Admin./Fiscal Services, BME
Czwartacky, Walker	Director, Special Projects, Long Term Export
DiPiazza, Joseph	Director, Human Resources
Dolinar, Sarah	Director, Environmental Review
Egan, Eugene	Director, Labor Relations
Gallagher, Neil	Director, Building Management
Harbin, Stephen	Director, Safety and Training
Joyner, Ruth	Director, WEP
Lange, Robert	Director, Waste Prevention, Reuse and Recycling
Miller, Joseph	Director, Medical Division
Ferguson, Alfred	Director, Operations Mgmt Division
Schnabel, Mark	Director, Fiscal Services
Shah, Harshad	Director, Engineering Audit Services
Shrivastava, Brij	Director, Engineering Unit
Costas, Stephen	Director, PMD
Brackeen, Rita	Department Advocate/Employment Counsel
Kuznitz, Todd	Chief, Enforcement
McKeon, Peter	Chief, Collection Operations
Walsh, Delano	Chief Engineer

Department of Sanitation

Fridman, Simon
Taylor, Steven
Singer, Jeffrey
Diggins, Dennis
Lee, Edmund
Mittelmann, Barnett
Weinberger, Bernard

Deputy Chief Engineer
Assistant to the Chief Engineer
Information Technology Office-Tech Support Mgr
Deputy Director, Waste Disposal
Deputy, Information Technology
Deputy, Information Technology
Engineer

Department of Small Business Services

MEMORANDUM

TO: Mark Davies, Executive Director, COIB

FROM: Deborah Buyer, General Counsel, SBS

RE: Conflicts of Interest Board

DATE: February 24, 2011

In accordance with your request, the Department of Small Business Services has identified the following employees as having substantial policy discretion.

SBS Employees with Substantial Policy Discretion

1. Robert W. Walsh, Commissioner
2. Andrew Schwartz, First Deputy Commissioner
3. Jeremy Waldrup, Deputy Commissioner: Business Development
4. Angie Kamath, Deputy Commissioner: Workforce Development
5. Anne Rascon, Deputy Commissioner: Division of Economic and Financial Opportunity
6. Deborah Buyer, General Counsel
7. Kevin Kelly, Assistant Commissioner: NYC Business Express
8. Donald Giampietro, Assistant Commissioner: Incentives
9. Jackie Mallon, Assistant Commissioner: Business Development
10. Paul Nelson, Assistant Commissioner: District Development
11. Matthew White, Assistant Commissioner: Workforce Policy and Planning
12. Xenon Walcott, Assistant Commissioner: Workforce Quality Management and Control
13. Jennifer Tavis, Assistant Commissioner: Workforce Operations
14. Phil Weinberg, President: Workforce Investment Board
15. Gregg Bishop, Assistant Commissioner: Buyer Services
16. Colleen Galvin, Assistant Commissioner: Vendor Services
17. Sarah Krauss, Director of Communication
18. Megan Burke, Chief of Staff

Department of Small Business Services

19. Stephen Fisher, Assistant Commissioner: Technology and Chief Information Officer
20. Cedric Gaddy, Budget Director
21. Shaazad Ali, Assistant Commissioner: Finance
22. Guy Long, Assistant Commissioner: External Affairs & Special Projects
23. Katherine Oliver, Commissioner: Mayor's Office of Film, Theater & Broadcasting
24. John Battista, Deputy Commissioner: Mayor's Office of Film, Theater & Broadcasting
25. Julianne Cho, Associate Commissioner: Mayor's Office of Film, Theater & Broadcasting
26. Jacob Glickman, Director of Business Strategy: Mayor's Office of Film, Theater & Broadcasting
27. Allison Kleva, Director Of Marketing: Mayor's Office of Film, Theater & Broadcasting
28. Jennifer Lenihan, Director of Client Relation: Mayor's Office of Film, Theater & Broadcasting

The employees will be notified of applicable rules.

School Construction Authority



Mr. Mark Davies
City of New York, Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, NY 10007

February 3, 2011

Ross J. Holden
Vice President &
General Counsel

rholden@nycsca.org

Re: Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board

Dear Mr. Davies:

Pursuant to your memorandum dated January 31, 2011 enclosed is a list of New York City School Construction Authority employees who are deemed to have substantial policy discretion. These employees have been notified in writing of the restrictions contained in Charter §2604(b)(12) and (15).

If you have any questions, or require further information, please don't hesitate to call me at (718) 472-8001.

Sincerely,

Ross J. Holden
Vice President and General Counsel

Enclosures
By US Mail

School Construction Authority



First Name	Last Name	Title
Eleanor	Barrett	Vice President, Architecture and Engineering
Cathleen	Black	Chairperson
Elizabeth	Bergin	Vice President, Capital Plan Management
Vinicius	Castagnola	Vice President, Environmental and Regulatory Compliance
Craig	Collins	Vice President, Administration
Janet	Cowhey	Senior Director, Contract Administration
Barbara	DiTata	Vice President and Inspector General
Marianne	Egri	Vice President, Finance and Information Technology
Robert	Galvin	Chief Technology Officer
Lorraine	Grillo	President and Chief Executive Officer
Curtis	Harris	Trustee
Ross	Holden	Vice President and General Counsel
Asuka	Kubota	Senior Director, Capital Planning
Stephen	Maroney	Senior Director, Finance
Louise	Nicolazzi-Shapiro	Senior Director, Human Resources
George	Toma	Vice President, Construction Management
Suzanne	Veira	Senior Director, Business Development
Emily	Youssouf	Trustee

Staten Island Borough President's Office

February 17, 2011

Wayne G. Hawley
Deputy Director/General Counsel
NYC Conflicts of Interest Board
2 Lafayette Street, Suite 1010
New York, New York 10007

Re: EMPLOYEES CHARGED WITH SUBSTANTIAL POLICY DISCRETION,
STATEN ISLAND BOROUGH PRESIDENT'S OFFICE

Dear Mr. Hawley:

The following individuals with their titles are deemed by this agency to possess substantial policy discretion under the NYC Charter Sec. 2604(b)(12), (15):

James P. Molinaro, Borough President
Edward Burke, Deputy Borough President
John Wm. Zaccone, Counsel to the Borough President

Very truly yours,

John Wm. Zaccone
Counsel to the Borough President

JWZ:bav
Sent via FAX 212-442-1407 & MAIL
cc: James P. Molinaro
Edward Burke

Staten Island District Attorney's Office



OFFICE OF THE DISTRICT ATTORNEY RICHMOND COUNTY

DANIEL M. DONOVAN, JR.
DISTRICT ATTORNEY

130 STUYVESANT PLACE
STATEN ISLAND, NEW YORK 10301
TELEPHONE (718) 876-6300

MEMORANDUM

TO: Wayne G. Hawley
Deputy Executive Director and General Counsel, COIB

FROM: Ronald Carara
Chief of Administration

DATE: August 15, 2011

SUBJECT: Employees with Substantial Policy Discretion

Below is a list of all employees of the Office of the Richmond County District Attorney who have substantial policy discretion. In accordance with the New York City Charter, Chapter 68, these employees have been given a copy of the *Notice to New York City Public Servants Having Substantial Policy Discretion*.

<u>Name</u>	<u>Title</u>
1. Daniel M. Donovan, Jr.	District Attorney
2. Daniel L. Master, Jr.	Chief Assistant District Attorney
3. Ronald Carara	Chief of Administration
4. Timothy J. Koller	Executive Assistant District Attorney
5. Paul Capofari	Chief, Supreme Court Bureau
6. Yolanda L. Rudich	Chief, Sex Crimes/ Special Victims Bureau
7. Joanne Grippo	Chief, Criminal Court Bureau
8. Morrie I. Kleinbart	Chief, Appeals Bureau
9. Karen Schoenberg	Special Assistant District Attorney
10. Wanda De Oliveira	Chief Trial Counsel
11. Amy Legow	Chief, Investigations Bureau

If you have any questions, please do not hesitate to contact me at (718) 556-7070.

Office of Administrative Tax Appeals

NYC OFFICE OF ADMINISTRATIVE TAX APPEALS

Public Servants Charged with Substantial Policy Discretion

Name	Title
Glenn Newman	President
Fadil Brija	Commissioner
Robert J. Firestone	Commissioner
Susan Grossman	Commissioner
Aladar G. Gyimesi	Commissioner
Ellen E. Hoffman	Commissioner
Alice D. Olick	Commissioner
Richard Stabile	Commissioner
Kirk P. Tzanides	Commissioner
Warren P. Hauben	Chief Administrative Law Judge
Carlo Silvestri	Director of Appraisal & Hearings



Taxi and Limousine Commission

February 28, 2011

Mr. Mark Davies
Executive Director
City of New York
Conflicts of Interest Board
2 Lafayette St., Ste. 1010
New York, NY 10007

David Yassky
Commissioner
TLCCommissioner@tlc.nyc.gov

40 Rector Street, 5th Floor
New York, NY 10006

+1 212 676 1003 tel
+1 212 676 1100 fax

Dear Mr. Davies:

As required by New York City Charter Sections 2604(b)(12) and 2604(b)(15), the New York City Taxi and Limousine Commission identifies the following individuals as persons having “substantial policy discretion” within the meaning of the Conflicts of Interest Law:

- **David Yassky**, Commissioner/Chair
- **Elias Arout**, Commissioner
- **Harry Giannoulis**, Commissioner
- **Mark Gjonaj**, Commissioner
- **Edward Gonzales**, Commissioner
- **Jeffrey Kay**, Commissioner
- **Lauvienska Polanco**, Commissioner
- **Iris Weinshall**, Commissioner
- **Ashwini Chhabra**, Deputy Commissioner for Policy and Planning
- **Charles Fraser**, Deputy Commissioner for Legal Affairs & General Counsel
- **Conan Freud**, Deputy Commissioner for Financial Management & Administration
- **Allan Fromberg**, Deputy Commissioner for Public Affairs
- **Pansy Mullings**, Deputy Commissioner for Uniformed Services Bureau
- **Raymond Scanlon**, Deputy Commissioner for Adjudications
- **Gary Weiss**, Deputy Commissioner for Licensing and Standards
- **Martin Grindley**, Chief, Safety and Emissions Division
- **Georgia Steele-Radway**, Assistant Commissioner for Licensing and Standards
- **Peter Tumminelli**, Assistant Commissioner for Adjudications
- **Nicholas Venezia**, Assistant Commissioner for Administration
- **Carmena Schwecke**, Chief Administrative Law Judge
- **Emily Gallo**, Director of Policy
- **Jeffrey Grunfeld**, Chief Information Officer
- **David Klahr**, Executive Director for Financial Management
- **Deborah Murphy**, Budget Director
- **Tweeps Phillips**, Director of External Policy

Please be advised that the TLC will notify the above-referenced Commissioners and employees in writing of the restrictions contained in Charter Sections 2604(b)(12) and 2604(b)(15), and the TLC will mail them a copy of the “Notice to New York City Public Servants Having Substantial Policy Discretion” that your office forwarded to the TLC.



Teachers' Retirement System

TEACHERS' RETIREMENT SYSTEM OF THE CITY OF NEW YORK
55 Water Street, New York, NY 10041 • www.trs.nyc.ny.us • 1 (888) 8-NYC-TRS

August 31, 2011

Wayne G. Hawley
Deputy Executive Director and General Counsel
New York City Conflicts of Interest Board
2 Lafayette Street, Room 1010
New York, NY 10007

Attention: Financial Disclosure Unit

Gentlemen:

With reference to your e-mail of today, regarding Agency Obligations under the Substantial Policy Discretion Rule of the Conflicts of Interest Board please be informed of the following:

Employees in our agency having substantial policy discretion:

Nelson Serrano	Executive Director
Patricia Reilly	Deputy Executive Director
Joel Giller	Executive Agency Counsel

Board of Trustees and their alternates who sit on our board but are not employees of this agency and have substantial policy discretion:

Mel Aaronson	Retirement Board Member
Sandra March	Retirement Board Member
Mona Romain	Retirement Board Member
Ranji Nagaswami	Retirement Board Member
Larry Schloss	Retirement Board Member
Kathleen Grimm	Retirement Board Member
Lisette Nieves	Retirement Board Member
Thad McTigue	Retirement Board Member-Alternate for Larry Schloss

Carolyn Walpert	Retirement Board Member – Alternate for Ranji Nagaswami
-----------------	---

Teachers' Retirement System

Jamie Smarr

Retirement Board Member – Alternate for
Kathleen Grimm

Very truly yours,

Ileana Freeman
Human Resources
Financial Disclosure Liaison
612-5424