



NEW YORK CITY

CHARTER REVISION COMMISSION

2 Lafayette Street, 14th Floor, New York, New York 10007 Fax (212) 676-2069 Tel. (212) 676-2060

www.nyc.gov/charter

Frank J. Macchiarola
Chair

Cecilia Norat, *Vice Chair*
Patricia L. Gatling, *Secretary*
Jerry E. Garcia
Mohammad Khalid, D.D.M.
William Lynch, Jr.
Steven Newman
Father Joseph A. O'Hare, S.J.
Katheryn C. Patterson
Fred Siegel
Veronica Y. Tsang

2003 ABSTRACTS

NEW YORK CITY CHARTER REVISION COMMISSION

Question 3: City Elections

Abstract

This proposal would make changes to the way the City conducts its elections for the offices of Mayor, Public Advocate, Comptroller, Borough President, and City Council Member. The proposal would provide for a single September primary election open to all eligible voters and candidates, and the top two vote getters in that election would compete in the November general election. The changes would take effect after the 2005 citywide election and apply to all elections, including special elections to fill vacancies in office.

How it Works—Currently, candidates who are running for elected office compete against each other in party primary elections (followed in certain instances by runoff primaries). In these elections, which are held in September, each party's members cast ballots to decide the general election nominee of that party. Candidates may also be nominated for the general election through an independent nominating petition process. Under the proposed system, all candidates for an office would run against each other in the September primary election. Voters would be eligible to vote for any candidate, including a candidate who belongs to a different political party or is registered as an independent. Only the top two finishers in the primary election, regardless of their party or independent registration status, would compete in the November general election.

Who is Eligible to Vote—Currently, only registered voters who are enrolled in political parties may vote in primary elections. Voters registered as independents cannot participate until the general election. Under the proposed system, all voters, including independent voters not enrolled in any party, would be eligible to participate in the primary election.

Alan Gartner
Executive Director

Anthony W. Crowell
Chief Counsel

Ballot Access—Currently, registered voters who carry or sign a petition to place a candidate on the ballot generally must be enrolled in the same party as the candidate petitioning to get on the ballot. Under the proposed system, all eligible registered voters, regardless of their party enrollment or independent status, would be permitted to carry and sign a petition to place a candidate on the ballot. In addition, the maximum number of petition signatures required would generally be the same maximum number that is currently provided for candidates to be placed on the primary election ballot. The new signature requirements would also apply to candidates in special elections to fill vacancies.

The Ballot—Currently, the ballot lists a candidate’s party affiliation. Under the proposed system, candidates would be permitted, but not required, to list their party registration or independent status on the ballot.

Political Party Campaign Contributions—Currently, all candidates, including those who participate in the City’s voluntary campaign finance program, which provides money from the City treasury to help fund candidates’ campaigns, are permitted to accept campaign contributions from political parties, up to specified limits, but candidates may not accept contributions from corporations or unregistered political committees. Under the proposed system, candidates who participate in the campaign finance program would also be prohibited from accepting campaign contributions from political parties. In addition, the Campaign Finance Board would have the authority to address party expenditures in relation to candidates participating in the City’s campaign finance program in order to protect the contribution and spending limits of the program under the new system of elections.

Voter Guide—Currently, all candidates may place biographical summaries, campaign information, and a picture of themselves in the printed Voter Guide. This proposal would create a video Voter Guide that broadcasts candidates making brief statements on a municipal cable television channel.

Vacancies in Nominations—Currently, if a candidate dies or a nomination otherwise becomes vacant, the candidate’s committee to fill vacancies generally chooses a successor to run in his or her place, if time allows. Under the new system, the next eligible top vote getter in the primary election could fill the vacancy and advance to the general election accordingly. If the vacancy could not be filled in that manner, then a committee to fill vacancies would fill it.

Question 4: City Purchasing

Abstract

Chapter 13 of the Charter establishes many of the rules by which the City may purchase (or procure) goods and services. The proposal would make changes to Chapter 13 and to several related sections.

Administrative flexibility—Currently, the Charter contains provisions governing a variety of purchasing methods. The proposal would delete a number of these provisions and would require the Procurement Policy Board (“PPB”) to issue rules on most of the deleted topics. In addition, the proposal would authorize the PPB to issue rules for all alternative purchasing methods.

Currently, the Charter generally requires that purchases of goods be made by the Department of Citywide Administrative Services (“DCAS”). This proposal would allow the commissioner of DCAS to delegate a specific purchase of a specific good to another agency, for direct purchase by that agency, provided that the DCAS commissioner could not make this delegation for goods to be generally used by City agencies.

Currently, the Charter authorizes the Mayor to designate DCAS to perform specified administrative functions for certain specified City agencies. This proposal would permit the Mayor to designate additional agencies for which DCAS could perform procurement services.

Currently, the Charter requires proposed contractors and subcontractors for contracts above a certain monetary value to submit reports on workplace employment issues. This proposal would eliminate Charter provisions governing the content and review of these employment reports, and would require the commissioner of the Department of Small Business Services (“DSBS”) to issue rules on these topics.

Qualifications for City purchasing officials—Currently, the Charter contains no provisions governing the training or qualifications of City purchasing officials. This proposal would require the PPB to issue rules setting forth training and professional standards for these purchasing officers, taking into account the volume and complexity of agency contracting activities.

Small businesses and minority and women-owned businesses—Currently, the Charter requires the Department of Small Business Services (“DSBS”) to take steps relating to the promotion of equal opportunities for minority and women-owned businesses. This proposal would require citywide agency coordination by the Mayor to enhance opportunities for vendors.

Security-related contracts—Currently, the Charter generally requires public notice and hearing of contracts for the purchase of goods and services. This proposal would provide an exception to this requirement where the Mayor determines that the notice or hearing would disclose sensitive information that, if made public, could be detrimental to the security of the City or its citizens.

Timeliness of contracting and payment—Currently, the Charter requires that the PPB issue rules for the prompt payment of vouchers, including rules for the payment of interest to vendors whose vouchers are not paid on time. This requirement would be modified to require uniform interest for all vendors. In addition, this proposal would require the PPB to issue rules: mandating timetables for the completion of purchasing steps and remedies for failure to meet such timetables; providing for expedited renewal or extension of existing human services contracts in certain circumstances; and requiring the development of annual contract plans by the City's human services agencies. This proposal would also establish an annual mayoral procurement report, which would include not only procurement activity indicators (as currently required to be included in the Mayor's management report) but also indicators on agency performance relative to the timeliness of agencies' procurement actions.

Financial audits—Currently, the Charter does not provide for financial audits of vendors by agencies. This proposal would require the PPB to issue rules governing City agency requirements for annual financial audits of vendors, including rules providing for consolidated audits across multiple contracts held by vendors with one or multiple agencies.

VENDEX—Currently, the Charter does not contain any provisions regarding VENDEX, the City's computerized vendor integrity data system, which was created pursuant to the City's Administrative Code. This proposal would require the Mayor and Comptroller to jointly issue rules necessary to maintain the VENDEX system. The proposal would not limit the power of the Council to legislate with respect to the VENDEX system.

Effective Date—The proposal would generally take effect immediately after approval by the voters. However, the employment report and VENDEX provisions would take effect nine months after such approval.

Question 5: Government Administration

Abstract

The proposal would make the following changes to the Charter in areas related to government administration and accountability.

The City's Administrative Justice System—Currently, Administrative Law Judges and Hearings Officers who preside over cases in the City's administrative tribunals are generally not subject to a uniform code of professional conduct. This proposal authorizes the Mayor to issue rules governing the professional conduct and training and development of the City's Administrative Law Judges and Hearing Officers.

In addition, these Administrative Law Judges and Hearing Officers currently conduct thousands of administrative hearings annually in a variety of specialized tribunals, many of which are housed at specific agencies. There is no centralized mechanism to coordinate the operational policies and management practices of these tribunals. The proposal would create the new position of Coordinator of Administrative Justice, in the Office of the Mayor, to coordinate such policies and practices.

Finally, the proposal would expand the authority of the Department of Consumer Affairs (DCA) to hear more cases in its own tribunal. Currently, DCA cannot adjudicate many of the violations it issues in that tribunal. Instead, DCA has to take legal action in the State Courts. The proposal would authorize the Department to: (1) conduct administrative hearings to adjudicate violations of any law within the Department's jurisdiction; and (2) impose civil penalties of up to \$500 per violation (except to the extent that penalties are otherwise provided).

Penalties for Violations of the City's Ethics Laws—The City's ethics law, known as the Conflicts of Interest Law, is enforced by the Conflicts of Interest Board (COIB). The proposal raises the maximum penalty allowed from \$10,000 to \$25,000. COIB would continue to have discretion in determining the appropriate penalty. In addition, the proposal authorizes COIB to commence a civil forfeiture action to recover moneys from those who have profited from a violation of the law.

Voter Assistance Commission—The Voter Assistance Commission (VAC) facilitates voter registration. Currently, VAC is comprised of sixteen members: the Public Advocate, the Budget Director, the Corporation Counsel, the President of the Board of Education, the Chair of the Campaign Finance Board, the First Deputy or another Deputy Mayor; the Executive Director of the Board of Elections; six members of the public appointed by the Council and three

appointed by the Mayor. The chair is elected by the members from the membership. Appointed members serve for a term of three years. On recommendation of the Mayor, VAC appoints the Coordinator of Voter Assistance.

The proposal reduces VAC from sixteen to seven members. Of the seven members, five (one from each borough) would be appointed by the Mayor with the advice and consent of the Council, one would be appointed by the Council Speaker in consultation with the Mayor, and the Public Advocate would also serve. One of the appointed members would be designated to serve as chair by the Mayor, in consultation with the Speaker of the Council. Appointed members would serve for four-year terms. The Coordinator of Voter Assistance would be appointed by the Mayor with the advice and consent of the Council.

The Preliminary Mayor's Management Report—The Preliminary Mayor's Management Report (PMMR) reports on the performance of City government in the first four months of each fiscal year. It is followed by a final Mayor's Management Report (MMR) that evaluates the full fiscal year. The proposal eliminates the requirement that the City produce a PMMR.

Effective Date—The proposal would generally take effect immediately after approval by the voters. However, the provisions concerning VAC would take effect on April 1, 2004, after such approval.