

**CIVILIAN COMPLAINT REVIEW BOARD  
EXECUTIVE DIRECTOR'S REPORT**

Wednesday, September 09, 2015

**STATISTICAL REPORT: MONTHLY ACTIVITY**

**August 2015**

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A. DISPOSITION OF CASES

FULL INVESTIGATIONS	70
OTHER INVESTIGATIONS	120
MEDIATIONS	17
MEDIATION ATTEMPTED	0
<b>TOTAL</b>	<b>207</b>

B. INTAKE

CIVILIAN COMPLAINT REVIEW BOARD	434
CHIEF OF DEPARTMENT'S OFFICE (OCD)	449
OTHERS	93
<b>TOTAL</b>	<b>976</b>

C. DOCKET

TOTAL CASELOAD AS OF AUGUST 31, 2015	1288
TOTAL CASELOAD AS OF JULY 31, 2015	1157
<b>INCREASE IN TOTAL CASELOAD</b>	<b>131</b>

## CCRB OPEN DOCKET ANALYSIS, AUGUST 2015

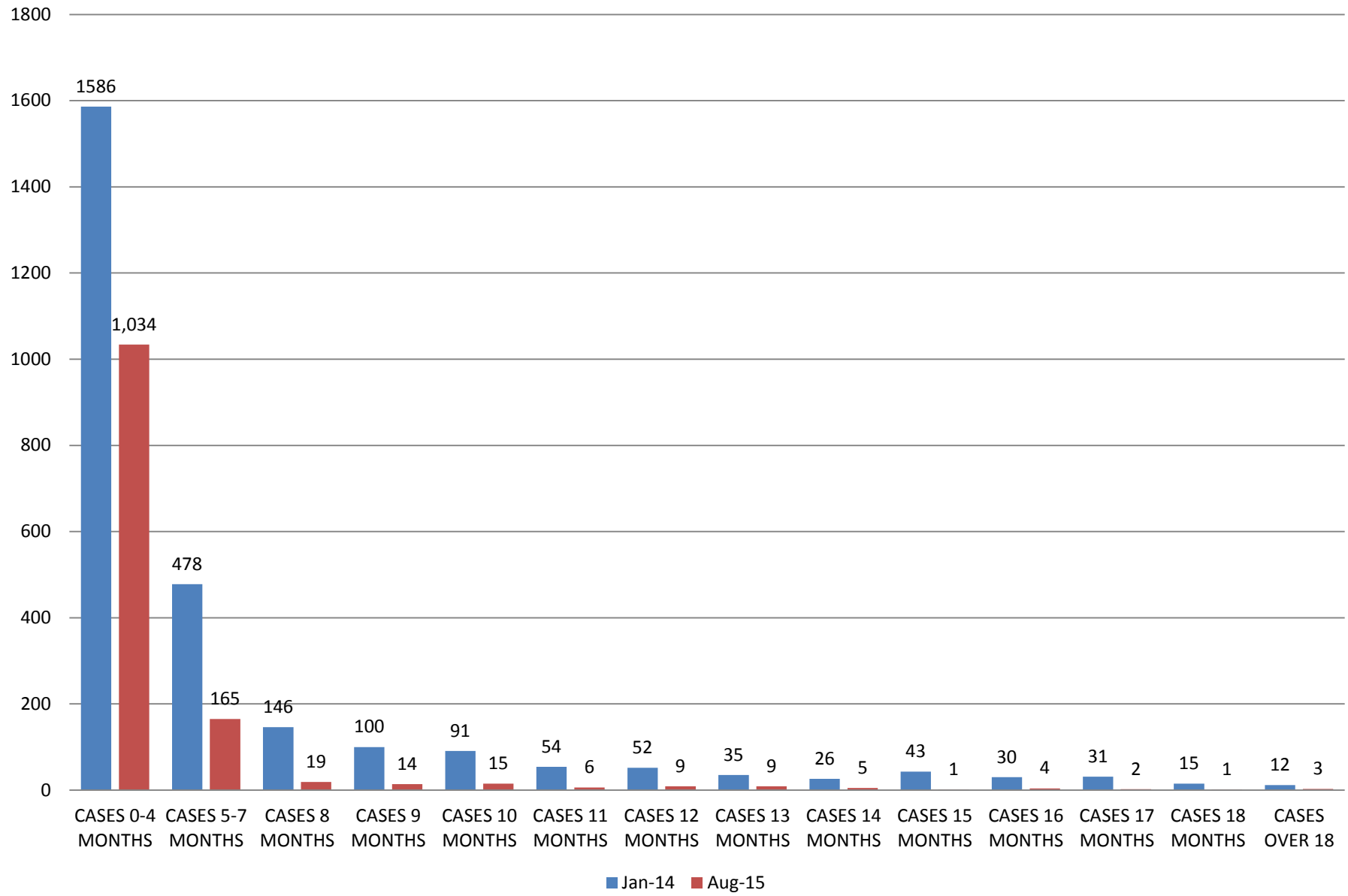
	NUMBER	% OF TOTAL
INVESTIGATIONS DIVISION	658	50.7%
MEDIATION UNIT	148	11.4%
PENDING BOARD REVIEW	482	37.1%
<b>SUBTOTAL</b>	<b>1,288</b>	<b>99%</b>
	NUMBER	% OF TOTAL
CASES ON DA HOLD	10	0.8%
<b>TOTAL</b>	<b>1,298</b>	<b>101%</b>

## CCRB OPEN DOCKET ANALYSIS, AUGUST 2015

### AGE OF CCRB CASES BASED ON DATE OF OCCURRENCE

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. Vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	1,034	80.3%	949	82.0%	85	9.0%
CASES 5-7 MONTHS	165	12.8%	106	9.2%	59	55.7%
CASES 8 MONTHS	19	1.5%	18	1.6%	1	5.6%
CASES 9 MONTHS	14	1.1%	27	2.3%	-13	-48.1%
CASES 10 MONTHS	15	1.2%	12	1.0%	3	25.0%
CASES 11 MONTHS	6	0.5%	7	0.6%	-1	-14.3%
CASES 12 MONTHS	9	0.7%	13	1.1%	-4	-30.8%
CASES 13 MONTHS	9	0.7%	4	0.3%	5	125.0%
CASES 14 MONTHS	5	0.4%	5	0.4%	0	0.0%
CASES 15 MONTHS	1	0.1%	5	0.4%	-4	-80.0%
CASES 16 MONTHS	4	0.3%	3	0.3%	1	33.3%
CASES 17 MONTHS	2	0.2%	2	0.2%	0	0.0%
CASES 18 MONTHS	1	0.1%	0	0.0%	1	#DIV/0!
CASES OVER 18	3	0.2%	2	0.2%	1	50.0%
UNDETERMINED	1	0.1%	4	0.3%	-3	-75.0%
<b>TOTAL</b>	<b>1,288</b>	<b>100.0%</b>	<b>1,157</b>	<b>100.0%</b>	<b>131</b>	<b>11.3%</b>

### CCRB Open Docket Jan 2014 vs August 2015



## CCRB OPEN DOCKET ANALYSIS, AUGUST 2015

### AGE OF CCRB CASES BASED ON DATE OF REPORT

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. Vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	1116	86.6%	1010	87.3%	106	10.5%
CASES 5-7 MONTHS	124	9.6%	81	7.0%	43	53.1%
CASES 8 MONTHS	14	1.1%	19	1.6%	-5	-26.3%
CASES 9 MONTHS	12	0.9%	19	1.6%	-7	-36.8%
CASES 10 MONTHS	9	0.7%	11	1.0%	-2	-18.2%
CASES 11 MONTHS	5	0.4%	3	0.3%	2	66.7%
CASES 12 MONTHS	2	0.2%	6	0.5%	-4	-66.7%
CASES 13 MONTHS	2	0.2%	0	0.0%	2	#DIV/0!
CASES 14 MONTHS	0	0.0%	3	0.3%	-3	-100.0%
CASES 15 MONTHS	1	0.1%	3	0.3%	-2	-66.7%
CASES 16 MONTHS	1	0.1%	0	0.0%	1	#DIV/0!
CASES 17 MONTHS	0	0.0%	0	0.0%	0	#DIV/0!
CASES 18 MONTHS	0	0.0%	0	0.0%	0	#DIV/0!
CASES OVER 18	2	0.2%	2	0.2%	0	0.0%
<b>TOTAL</b>	<b>1,288</b>	<b>100.0%</b>	<b>1,157</b>	<b>100.0%</b>	<b>131</b>	<b>11.3%</b>

**List of cases 18 months and older, by Status, as of August 31, 2015**

<b>Number</b>	<b>Date of incident</b>	<b>Date received</b>	<b>Difference between received and incident (days)</b>	<b>SOL Month</b>	<b>Status</b>
1	5/22/2010	5/27/2010	6	Nov-11	Case was on DA Hold (SOL crime exception)
2	3/9/2013	3/20/2013	11	Sep-14	Case was on DA Hold (SOL crime exception)

\* Civil Service Law - Section 75. Notwithstanding any other provision of law, no removal or disciplinary proceeding shall be commenced more than eighteen months after the occurrence of the alleged incompetency or misconduct complained of and described in the charges or, in the case of a state employee who is designated managerial or confidential under article fourteen of this chapter, more than one year after the occurrence of the alleged incompetency or misconduct complained of and described in the charges, provided, however, that such limitations shall not apply where the incompetency or misconduct complained of and described in the charges would, if proved in a court of appropriate jurisdiction, constitute a crime.

## INVESTIGATIONS DIVISION OPEN DOCKET ANALYSIS, AUGUST 2015

### AGE OF CCRB CASES BASED ON DATE OF OCCURRENCE

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. Vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	587	89.2%	536	94.5%	51	9.5%
CASES 5-7 MONTHS	40	6.1%	19	3.4%	21	110.5%
CASES 8 MONTHS	5	0.8%	3	0.5%	2	66.7%
CASES 9 MONTHS	1	0.2%	2	0.4%	-1	-50.0%
CASES 10 MONTHS	1	0.2%	1	0.2%	0	0.0%
CASES 11 MONTHS	3	0.5%	0	0.0%	3	#DIV/0!
CASES 12 MONTHS	7	1.1%	2	0.4%	5	250.0%
CASES 13 MONTHS	6	0.9%	0	0.0%	6	#DIV/0!
CASES 14 MONTHS	1	0.2%	0	0.0%	1	#DIV/0!
CASES 15 MONTHS	0	0.0%	2	0.4%	-2	-100.0%
CASES 16 MONTHS	3	0.5%	0	0.0%	3	#DIV/0!
CASES 17 MONTHS	1	0.2%	0	0.0%	1	#DIV/0!
CASES 18 MONTHS	0	0.0%	0	0.0%	0	#DIV/0!
CASES OVER 18	3	0.5%	2	0.4%	1	50.0%
<b>TOTAL</b>	<b>658</b>	<b>100.0%</b>	<b>567</b>	<b>100.0%</b>	<b>91</b>	<b>16.0%</b>

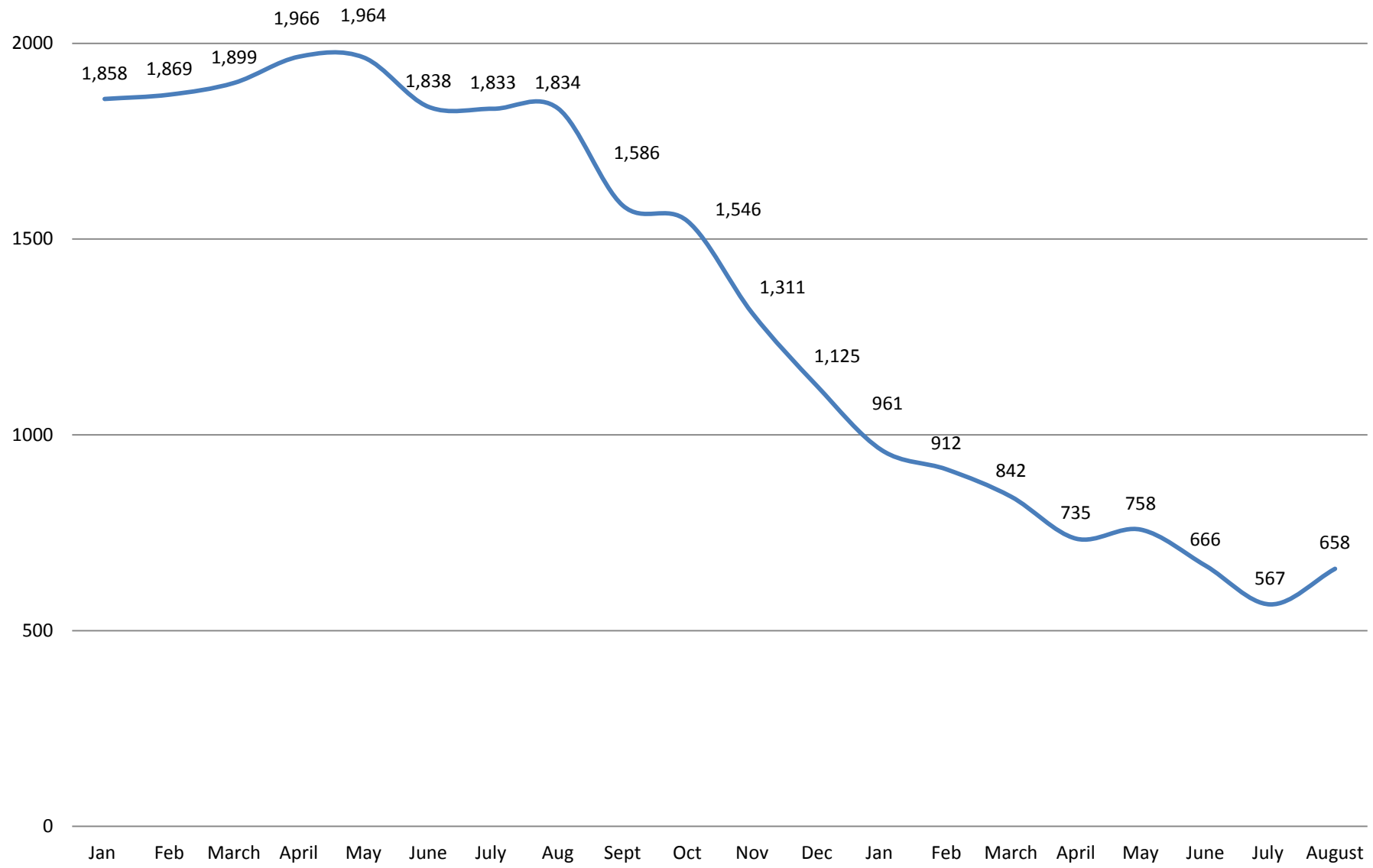
## OPEN DOCKET CASES ON DA HOLD, AUGUST 2015

### AGE OF CCRB CASES ON DA HOLD BASED ON DATE OF OCCURRENCE AND DATE RECEIVED

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. Vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	2	20.0%	2	64.6%	0	0.0%
CASES 5-7 MONTHS	2	20.0%	3	17.9%	-1	-33.3%
CASES 8 MONTHS	1	10.0%	1	4.3%	0	0.0%
CASES 9 MONTHS	1	10.0%	0	4.0%	1	0.0%
CASES 10 MONTHS	0	0.0%	0	1.5%	0	#DIV/0!
CASES 11 MONTHS	0	0.0%	0	0.8%	0	0.0%
CASES 12 MONTHS	0	0.0%	2	1.0%	-2	-100.0%
CASES 13 MONTHS	2	20.0%	0	0.9%	2	#DIV/0!
CASES 14 MONTHS	0	0.0%	0	1.7%	0	#DIV/0!
CASES 15 MONTHS	0	0.0%	1	1.2%	-1	0.0%
CASES 16 MONTHS	1	10.0%	0	1.0%	1	0.0%
CASES 17 MONTHS	0	0.0%	0	0.8%	0	0.0%
CASES 18 MONTHS	1	10.0%	0	0.0%	1	0.0%
CASES OVER 18	0	0.0%	0	0.3%	0	#DIV/0!
<b>TOTAL</b>	<b>10</b>	<b>100.0%</b>	<b>9</b>	<b>100.0%</b>	<b>1</b>	<b>11.1%</b>



### Open Docket of the Investigations Division Jan 2014 vs August 2015



**Total Allegations Received this Month  
August 2014 v. August 2015**

	Aug-14		Aug-15		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	316	28.3%	366	31.6%	50	15.8%
Abuse of Authority (A)	569	51.0%	609	52.6%	40	7.0%
Discourtesy (D)	188	16.8%	158	13.6%	-30	-16.0%
Offensive Language (O)	43	3.9%	25	2.2%	-18	-41.9%
<b>Total Allegations</b>	<b>1,116</b>	<b>100.0%</b>	<b>1,158</b>	<b>100.0%</b>	<b>42</b>	<b>3.8%</b>
<b>Total Complaints</b>	<b>386</b>		<b>434</b>		<b>48</b>	<b>12.4%</b>
<b>Total Intake</b>	<b>1,146</b>		<b>976</b>		<b>-170</b>	<b>-14.8%</b>

**Total Allegations Received Year-to-Date  
January-August 2014 v. January-August 2015**

	YTD 2014		YTD 2015		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	3,160	31.3%	2564	30.5%	-596	-18.9%
Abuse of Authority (A)	4,878	48.3%	4336	51.5%	-542	-11.1%
Discourtesy (D)	1,720	17.0%	1248	14.8%	-472	-27.4%
Offensive Language (O)	334	3.3%	266	3.2%	-68	-20.4%
<b>Total Allegations</b>	<b>10,092</b>	<b>100.0%</b>	<b>8,414</b>	<b>100.0%</b>	<b>-1,678</b>	<b>-16.6%</b>
<b>Total Complaints</b>	<b>3,512</b>		<b>2,889</b>		<b>-623</b>	<b>-17.7%</b>
<b>Total Intake</b>	<b>8,804</b>		<b>7,234</b>		<b>-1,570</b>	<b>-17.8%</b>

**Types of Allegations in Complaints Received  
January-August 2014 v. January-August 2015**

	YTD 2014		YTD 2015		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	1,806	51.4%	1,409	48.8%	-397	-22.0%
Abuse of Authority (A)	2,125	60.5%	1,739	60.2%	-386	-18.2%
Discourtesy (D)	1,330	37.9%	969	33.5%	-361	-27.1%
Offensive Language (O)	292	8.3%	219	7.6%	-73	-25.0%
<b>Total Complaints</b>	<b>3,512</b>		<b>2,889</b>		<b>-623</b>	<b>-17.7%</b>

**Updated July and Jan-July 2015 Complaint Statistics**

	Initially Reported July 2015	Updated June 2015 Complaint Filings	Change in number of complaints	Percent difference
<b>Total Complaints</b>	368	367	-1	-0.3%
	Initially Reported Jan-July 2015	Updated Jan-June 2015 Complaint Filings	Change in number of complaints	Percent difference
<b>Total Complaints</b>	2,456	2,455	-1	0.0%

**Updated Statistical Comparison  
Total Allegations Received this Month  
July 2014 v. July 2015**

	Jul-14		Jul-15		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	428	34.0%	372	31.5%	-56	-13.1%
Abuse of Authority (A)	563	44.7%	574	48.6%	11	2.0%
Discourtesy (D)	229	18.2%	177	15.0%	-52	-22.7%
Offensive Language (O)	39	3.1%	57	4.8%	18	46.2%
<b>Total Allegations</b>	<b>1,259</b>	<b>100.0%</b>	<b>1,180</b>	<b>100.0%</b>	<b>-79</b>	<b>-6.3%</b>
<b>Total Complaints</b>	<b>428</b>		<b>367</b>		<b>-61</b>	<b>-14.3%</b>
<b>Total Intake</b>	<b>1,232</b>		<b>937</b>		<b>-295</b>	<b>-23.9%</b>

**Total Allegations Received Year-to-Date  
January-July 2014 v. January-July 2015**

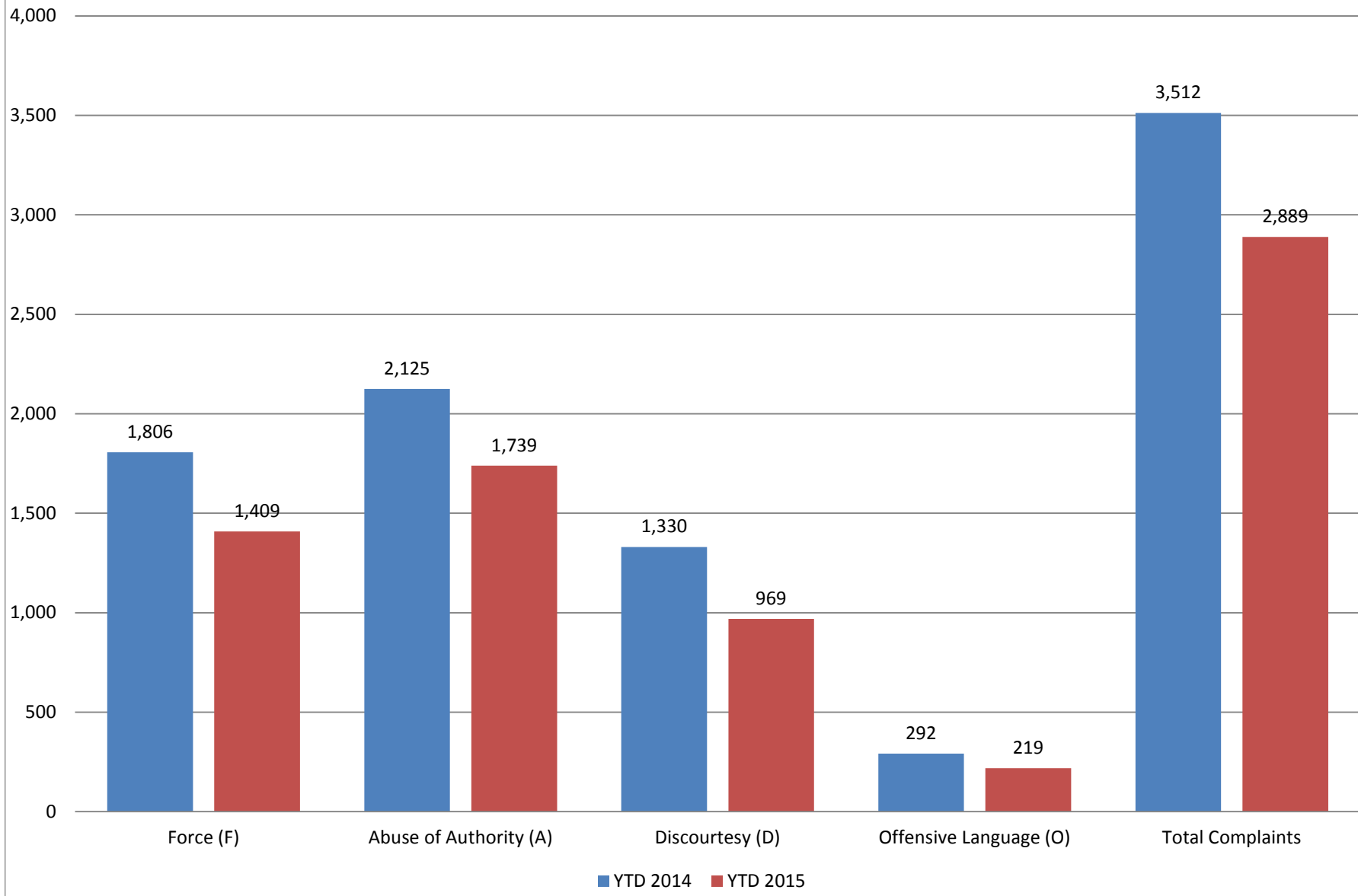
	YTD 2014		YTD 2015		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	2,844	31.7%	2,198	30.3%	-646	-22.7%
Abuse of Authority (A)	4,309	48.0%	3,727	51.4%	-582	-13.5%
Discourtesy (D)	1,532	17.1%	1,090	15.0%	-442	-28.9%
Offensive Language (O)	291	3.2%	241	3.3%	-50	-17.2%
<b>Total Allegations</b>	<b>8,976</b>	<b>100.0%</b>	<b>7,256</b>	<b>100.0%</b>	<b>-1,720</b>	<b>-19.2%</b>
<b>Total Complaints</b>	<b>3,126</b>		<b>2,455</b>		<b>-671</b>	<b>-21.5%</b>
<b>Total Intake</b>	<b>7,658</b>		<b>6,251</b>		<b>-1,407</b>	<b>-18.4%</b>

**Types of Allegations in Complaints Received\*  
January-July 2014 v. January-July 2015**

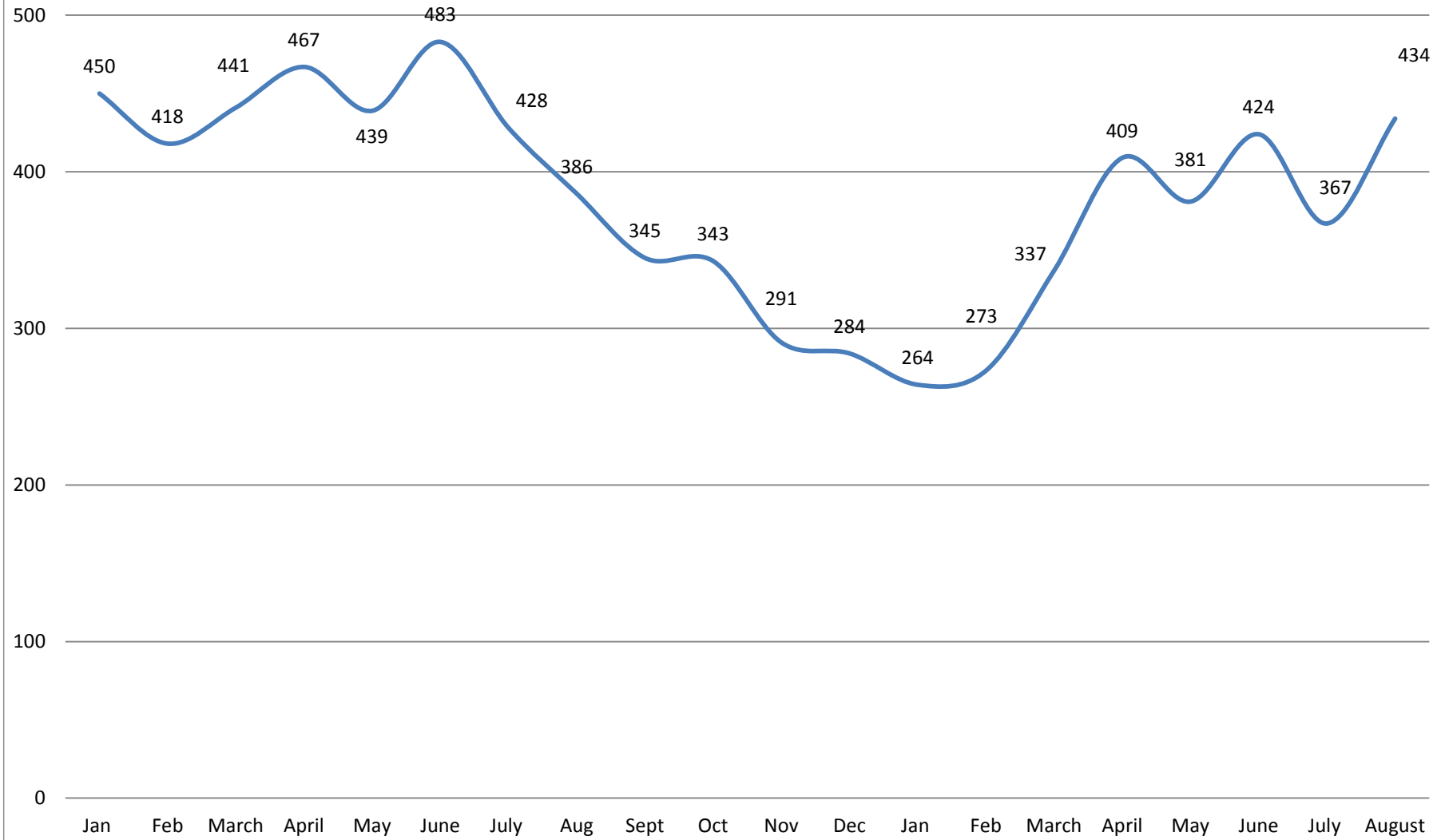
	YTD 2014		YTD 2015		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	1,624	52.0%	1,186	48.3%	-438	-27.0%
Abuse of Authority (A)	1,873	59.9%	1,500	61.1%	-373	-19.9%
Discourtesy (D)	1,183	37.8%	839	34.2%	-344	-29.1%
Offensive Language (O)	256	8.2%	199	8.1%	-57	-22.3%
<b>Total Complaints</b>	<b>3,126</b>		<b>2,455</b>		<b>-671</b>	<b>-21.5%</b>

\*Cases that include one or more of a specific FADO allegation type. For example, 52% of cases received YTD 2014 included one or more allegations of force.

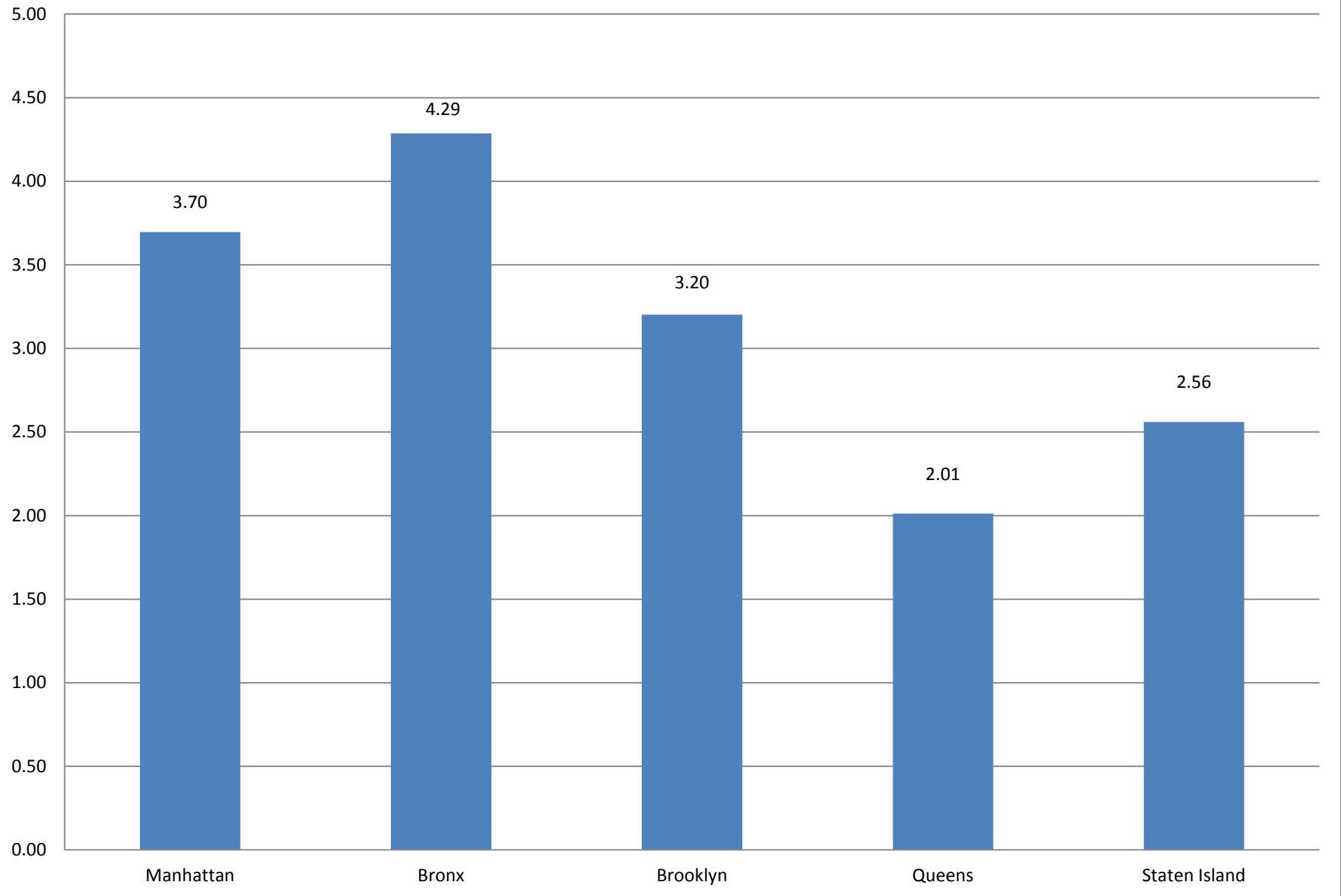
### Complaints and FADO Allegations Jan-August 2014 vs Jan-August 2015



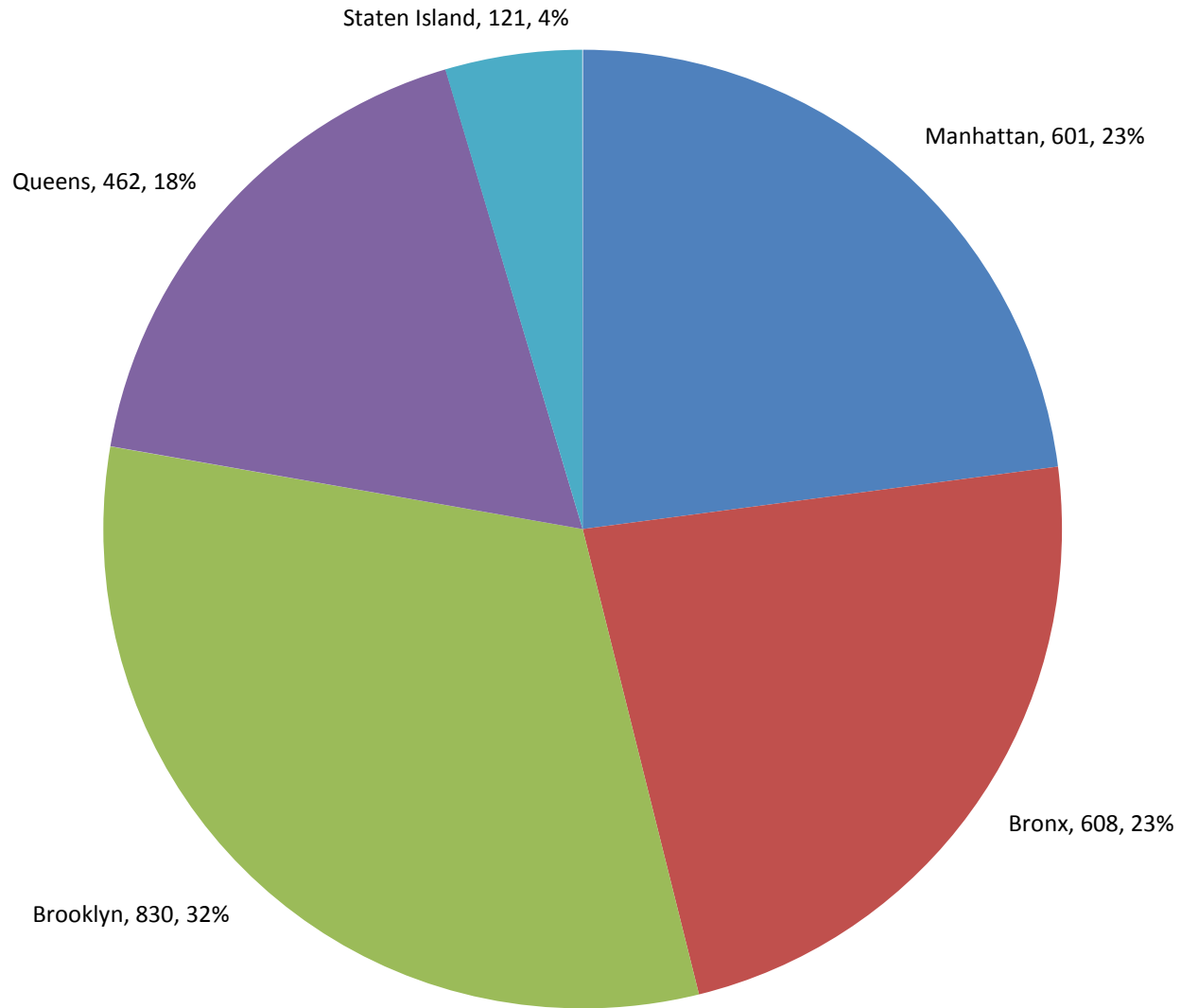
### Complaints per Month Jan 2014 - August 2015



**Complaints per 10,000 Residents, By Borough  
Jan 2015 - August 2015**



**Complaints by Borough  
Jan 2015 - August 2015**



## Case disposition

### Percents Below are Percentages of all Cases Closed after Full Investigation

Aug-15

Jan-August 2015

<b>Full Investigations</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>
One or more allegations substantiated	13	18.6%	312	21.8%
Allegations exonerated, unfounded, and/or unsubstantiated	53	75.7%	1,006	70.4%
Department employee unidentified	4	5.7%	101	7.1%
Refer to IAB	0	0.0%	0	0.0%
Miscellaneous	0	0.0%	10	0.7%
<b>Total - Full Investigations</b>	<b>70</b>	<b>100%</b>	<b>1,429</b>	<b>100%</b>

Aug-15

Jan-August 2015

<b>Mediation Closures</b>	<b>Number</b>	<b>Percent</b>	<b>Number</b>	<b>Percent</b>
Mediated	17	100.0%	119	50.6%
Mediation attempted	0	0.0%	116	49.4%
<b>Total - ADR Closures</b>	<b>17</b>	<b>100%</b>	<b>235</b>	<b>100%</b>

<b>Case Resolution Rate</b>	<b>87</b>	<b>42.0%</b>	<b>1,664</b>	<b>49.4%</b>
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<b>Other Case Dispositions</b>	<b>Aug-15</b>	<b>Jan-August 2015</b>
Complaint withdrawn	12	6.4%
Complainant/victim/witness uncooperative	68	31.9%
Complainant/victim/witness unavailable	25	12.1%
Victim unidentified	1	0.4%

Administratively closed cases	14	6.8%	112	3.3%
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<b>Total Closed Cases</b>	<b>207</b>		<b>3,369</b>	
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\* In cases that consist of more than one allegation, the final disposition depends on the\* In cases that consist of more than one allegation, the final



**Disposition of Substantiated Cases**

<b>Substantiated Cases</b>	<b>Percents Below are Percentages of all Substantiated Cases</b>			
	<b>Aug-15</b>		<b>Jan-August 2015</b>	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
Substantiated - Charges	2	15.4%	77	24.7%
Substantiated - Command Discipline	6	46.2%	138	44.2%
Substantiated - Instructions	5	38.5%	95	30.4%
Substantiated - No Recommendation	0	0.0%	2	0.6%
<b>Total</b>	<b>13</b>	<b>100%</b>	<b>312</b>	<b>100%</b>

\* In cases that consist of more than one allegation, the final disposition depends on the outcome of the individual

**Disposition of Complaint Withdrawn Cases**

<b>Complaint Withdrawn</b>	<b>Percents Below are Percentages of all Withdrawn Cases</b>			
	<b>Aug-15</b>		<b>Jan-Aug 2015</b>	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
Upon advice of counsel	0	0.0%	18	8.4%
Complainant had no desire to follow through	7	58.3%	124	57.9%
Complainant did not want to take time for interview	0	0.0%	22	10.3%
Complainant just wanted to report complaint	1	8.3%	5	2.3%
Complainant feared retaliation	0	0.0%	12	5.6%
Complainant provided no reason	0	0.0%	2	0.9%
Complainant other reasons	4	33.3%	31	14.5%
<b>Total</b>	<b>12</b>	<b>100%</b>	<b>214</b>	<b>100%</b>

**Percents Below are Percentages of all Allegations Closed after Full Investigation**

	Aug-15		Jan-August 2015	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
<b>Full Investigations</b>				
Substantiated - Charges	7	2.2%	223	3.7%
Substantiated - Command discipline	9	2.9%	342	5.7%
Substantiated - Instructions	8	2.6%	179	3.0%
Substantiated - No recommendations	0	0.0%	2	0.0%
<b>Subtotal - Substantiated Allegations</b>	<b>24</b>	<b>7.7%</b>	<b>746</b>	<b>12.4%</b>
Unfounded	27	8.7%	484	8.0%
Employee exonerated	90	28.8%	1,258	20.8%
<b>Subtotal - Findings on the Merits</b>	<b>141</b>	<b>45.2%</b>	<b>2,488</b>	<b>41.2%</b>
Unsubstantiated	130	41.7%	2,686	44.5%
Department employee unidentified	35	11.2%	814	13.5%
Miscellaneous	6	1.9%	50	0.8%
Refer to IAB	0	0.0%	1	0.0%
<b>Total</b>	<b>312</b>	<b>100%</b>	<b>6,039</b>	<b>100%</b>

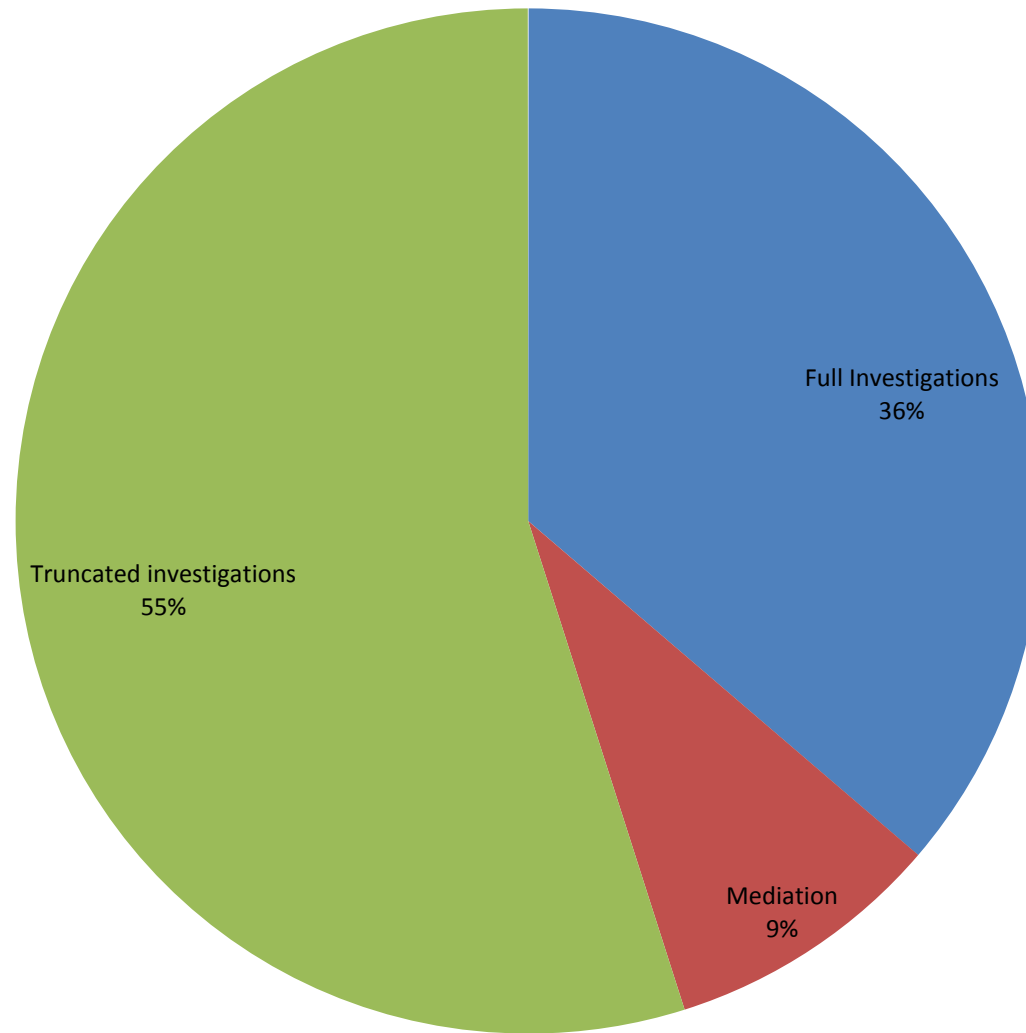
**Percents Below are Percentages of all Mediation Allegations**

	Aug-15		Jan-August 2015	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
<b>Mediation Closures</b>				
Mediated	27	100.0%	224	49.7%
Mediation attempted	0	0.0%	227	50.3%
<b>Total</b>	<b>27</b>	<b>100%</b>	<b>451</b>	<b>100%</b>

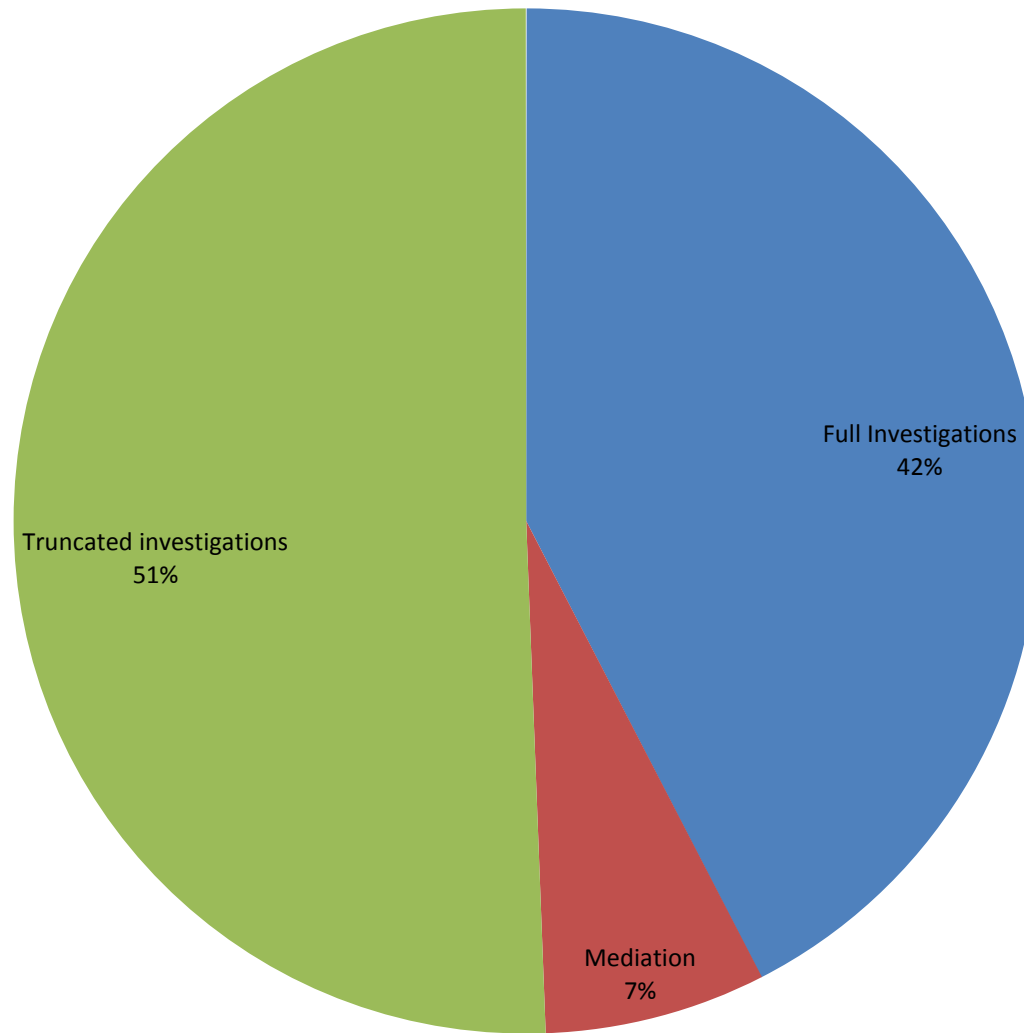
**Percents Below are Percentages of all Closed Allegations**

	Aug-15		Jan-August 2015	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
<b>Other Dispositions</b>				
Complaint withdrawn	28	4.6%	484	4.6%
Complainant/victim/witness uncooperative	159	26.0%	2,575	24.7%
Complainant/victim/witness unavailable	53	8.7%	500	4.8%
Victim unidentified	5	0.8%	40	0.4%
Administratively closed cases	28	4.6%	326	3.1%
<b>Total Closed Allegations</b>	<b>612</b>		<b>10,415</b>	

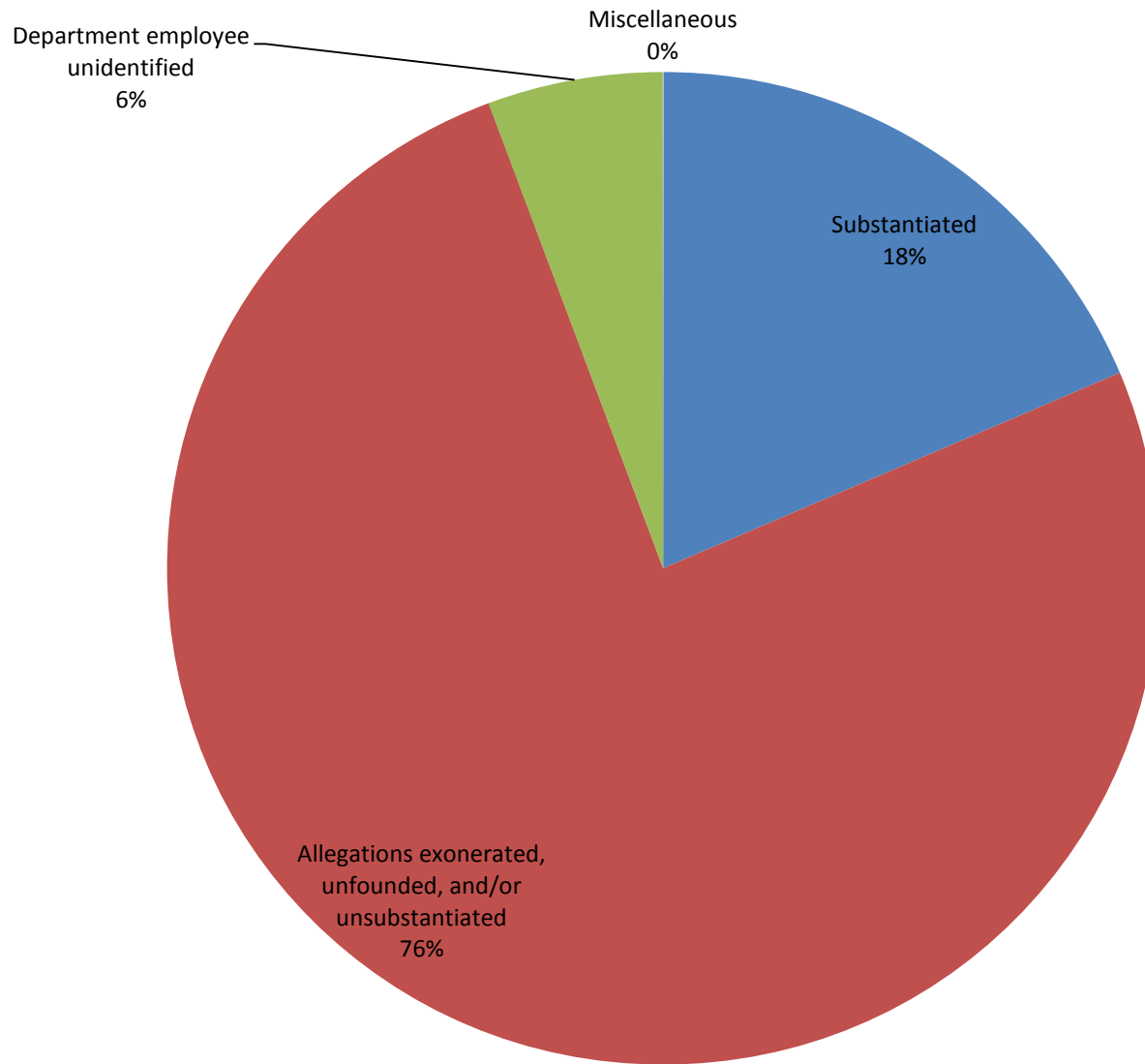
**Chart 7a: Case Dispositions, August 2015**



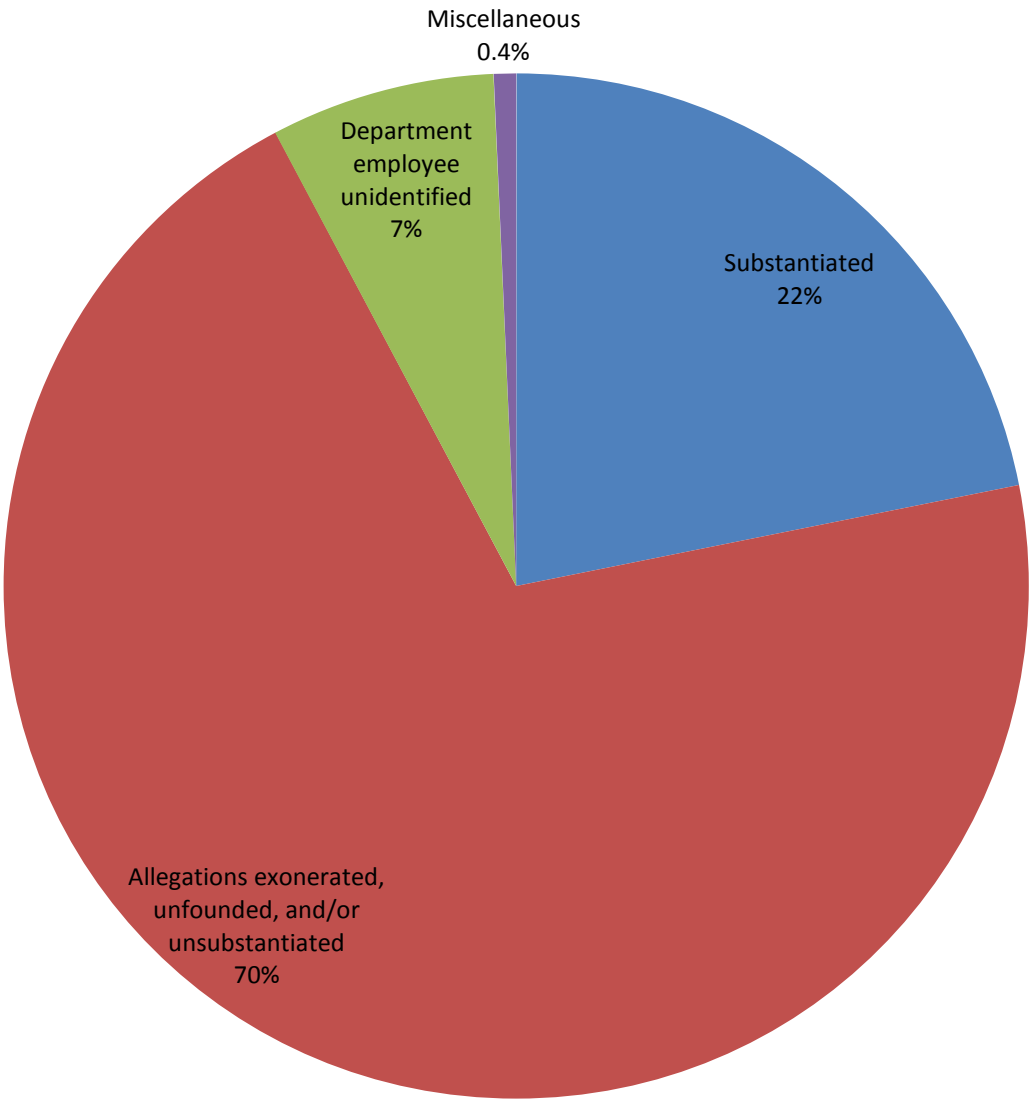
**Chart 7a: Case Dispositions, YTD 2015**



### Chart 8a: Full Investigations, August 2015



**Chart 8b: Full Investigations, YTD 2015**



Disposition of Force Allegations, YTD 2015

Type of Force Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Gun fired	0	0.0%	8	61.5%	3	23.1%	2	15.4%	0	0.0%	0	0.0%
Gun pointed	2	1.3%	83	52.5%	48	30.4%	9	5.7%	16	10.1%	0	0.0%
Nightstick as club (incl asp & baton)	7	11.1%	23	36.5%	19	30.2%	7	11.1%	7	11.1%	0	0.0%
Gun as club	1	12.5%	0	0.0%	5	62.5%	0	0.0%	2	25.0%	0	0.0%
Police shield	0	0.0%	1	25.0%	3	75.0%	0	0.0%	0	0.0%	0	0.0%
Vehicle	0	0.0%	0	0.0%	4	66.7%	1	16.7%	1	16.7%	0	0.0%
Other blunt instrument as a club	4	14.3%	1	3.6%	8	28.6%	8	28.6%	7	25.0%	0	0.0%
Hit against inanimate object	3	6.0%	9	18.0%	22	44.0%	9	18.0%	7	14.0%	0	0.0%
Chokehold	10	7.5%	0	0.0%	68	51.1%	34	25.6%	20	15.0%	1	0.8%
Pepper spray	7	10.6%	34	51.5%	12	18.2%	4	6.1%	8	12.1%	1	1.5%
Physical force	66	6.0%	325	29.7%	433	39.6%	130	11.9%	133	12.2%	7	0.6%
Radio as club	1	14.3%	0	0.0%	2	28.6%	3	42.9%	1	14.3%	0	0.0%
Flashlight as club	0	0.0%	0	0.0%	1	50.0%	1	50.0%	0	0.0%	0	0.0%
Handcuffs too tight	0	0.0%	1	3.4%	21	72.4%	4	13.8%	3	10.3%	0	0.0%
Nonlethal restraining device	1	5.3%	13	68.4%	4	21.1%	1	5.3%	0	0.0%	0	0.0%
Animal	0	0.0%	0	0.0%	1	100.0%	0	0.0%	0	0.0%	0	0.0%
Other	3	3.8%	3	3.8%	38	47.5%	20	25.0%	15	18.8%	1	1.3%
<b>Total</b>	<b>105</b>	<b>6.0%</b>	<b>501</b>	<b>28.4%</b>	<b>692</b>	<b>39.3%</b>	<b>233</b>	<b>13.2%</b>	<b>220</b>	<b>12.5%</b>	<b>10</b>	<b>0.6%</b>

\* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.



Disposition of Abuse of Authority Allegations, YTD 2015

Type of Abuse of Authority Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Question	10	12.2%	36	43.9%	21	25.6%	2	2.4%	13	15.9%	0	0.0%
Stop	75	19.7%	149	39.2%	107	28.2%	1	0.3%	44	11.6%	4	1.1%
Search	50	18.3%	25	9.2%	132	48.4%	7	2.6%	54	19.8%	5	1.8%
Frisk	89	31.9%	43	15.4%	94	33.7%	6	2.2%	44	15.8%	3	1.1%
Vehicle search	55	23.1%	63	26.5%	84	35.3%	1	0.4%	31	13.0%	4	1.7%
Vehicle stop	30	22.6%	41	30.8%	46	34.6%	2	1.5%	13	9.8%	1	0.8%
Premises entered and/or searched	74	17.8%	224	53.8%	90	21.6%	5	1.2%	20	4.8%	3	0.7%
strip-searched	14	11.3%	19	15.3%	65	52.4%	13	10.5%	11	8.9%	2	1.6%
Gun Drawn	2	5.0%	9	22.5%	15	37.5%	11	27.5%	3	7.5%	0	0.0%
Seizure of property	3	15.0%	6	30.0%	9	45.0%	0	0.0%	2	10.0%	0	0.0%
Property damaged	12	11.5%	21	20.2%	41	39.4%	10	9.6%	20	19.2%	0	0.0%
Threat to notify ACS	3	25.0%	3	25.0%	6	50.0%	0	0.0%	0	0.0%	0	0.0%
Threat of force	6	3.1%	12	6.3%	116	60.7%	25	13.1%	29	15.2%	3	1.6%
Threat to damage/seize property	5	15.6%	5	15.6%	17	53.1%	1	3.1%	4	12.5%	0	0.0%
Threat of arrest	14	6.7%	54	26.0%	96	46.2%	10	4.8%	30	14.4%	4	1.9%
Threat of summons	2	18.2%	3	27.3%	5	45.5%	1	9.1%	0	0.0%	0	0.0%
Retaliatory arrest	9	60.0%	2	13.3%	4	26.7%	0	0.0%	0	0.0%	0	0.0%
Retaliatory summons	19	67.9%	3	10.7%	6	21.4%	0	0.0%	0	0.0%	0	0.0%
Refusal to process civilian complaint	8	25.0%	0	0.0%	14	43.8%	1	3.1%	9	28.1%	0	0.0%
Refusal to provide name/shield number	28	9.5%	1	0.3%	200	67.6%	17	5.7%	48	16.2%	2	0.7%
Refusal to obtain medical treatment	6	6.7%	0	0.0%	53	58.9%	14	15.6%	17	18.9%	0	0.0%
Failure to show search warrant	4	11.8%	1	2.9%	24	70.6%	2	5.9%	2	5.9%	1	2.9%
Improper dissemination of medical info	0	0.0%	0	0.0%	2	100.0%	0	0.0%	0	0.0%	0	0.0%
Other	28	39.4%	12	16.9%	23	32.4%	6	8.5%	2	2.8%	0	0.0%
<b>Total</b>	<b>546</b>	<b>17.6%</b>	<b>732</b>	<b>23.5%</b>	<b>1270</b>	<b>40.8%</b>	<b>135</b>	<b>4.3%</b>	<b>396</b>	<b>12.7%</b>	<b>32</b>	<b>1.0%</b>

Disposition of Discourtesy Allegations, YTD 2015

Type of Discourtesy Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Word	79	8.8%	24	2.7%	543	60.3%	87	9.7%	161	17.9%	6	0.7%
Gesture	0	0.0%	0	0.0%	3	60.0%	1	20.0%	1	20.0%	0	0.0%
Demeanor/tone	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Action	11	14.5%	1	1.3%	51	67.1%	7	9.2%	6	7.9%	0	0.0%
Other	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
<b>Total</b>	<b>90</b>	<b>9.2%</b>	<b>25</b>	<b>2.5%</b>	<b>597</b>	<b>60.9%</b>	<b>95</b>	<b>9.7%</b>	<b>168</b>	<b>17.1%</b>	<b>6</b>	<b>0.6%</b>

Disposition of Offensive Language Allegations, YTD 2015

Type of Offensive Language Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Race	1	1.1%	0	0.0%	58	66.7%	12	13.8%	15	17.2%	1	1.1%
Ethnicity	1	3.8%	0	0.0%	19	73.1%	3	11.5%	3	11.5%	0	0.0%
Religion	1	20.0%	0	0.0%	4	80.0%	0	0.0%	0	0.0%	0	0.0%
Sex	0	0.0%	0	0.0%	24	70.6%	4	11.8%	6	17.6%	0	0.0%
Sexual Orientation	1	8.3%	0	0.0%	8	66.7%	1	8.3%	2	16.7%	0	0.0%
Physical Disability	0	0.0%	0	0.0%	2	100.0%	0	0.0%	0	0.0%	0	0.0%
Other	1	5.3%	0	0.0%	12	63.2%	1	5.3%	4	21.1%	1	5.3%
<b>Total</b>	<b>5</b>	<b>2.7%</b>	<b>0</b>	<b>0.0%</b>	<b>127</b>	<b>68.6%</b>	<b>21</b>	<b>11.4%</b>	<b>30</b>	<b>16.2%</b>	<b>2</b>	<b>1.1%</b>

Disposition of Force Allegations, YTD 2015

Type of Force Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Gun fired	0	0.0%	1	100.0%	0	0.0%	0	0.0%
Gun pointed	4	5.6%	63	88.7%	4	5.6%	0	0.0%
Nightstick as club (incl asp & baton)	2	4.5%	33	75.0%	9	20.5%	0	0.0%
Gun as club	0	0.0%	2	66.7%	1	33.3%	0	0.0%
Police shield	0	0.0%	1	100.0%	0	0.0%	0	0.0%
Vehicle	0	0.0%	5	71.4%	2	28.6%	0	0.0%
Other blunt instrument as a club	1	33.3%	1	33.3%	1	33.3%	0	0.0%
Hit against inanimate object	4	13.8%	20	69.0%	5	17.2%	0	0.0%
Chokehold	5	10.0%	40	80.0%	5	10.0%	0	0.0%
Pepper spray	5	11.1%	33	73.3%	6	13.3%	1	2.2%
Physical force	95	11.0%	551	63.6%	207	23.9%	13	1.5%
Radio as club	1	25.0%	3	75.0%	0	0.0%	0	0.0%
Flashlight as club	0	0.0%	1	100.0%	0	0.0%	0	0.0%
Handcuffs too tight	2	22.2%	4	44.4%	3	33.3%	0	0.0%
Nonlethal restraining device	2	4.3%	36	78.3%	8	17.4%	0	0.0%
Animal	0	0.0%	1	100.0%	0	0.0%	0	0.0%
Other	2	11.1%	10	55.6%	6	33.3%	0	0.0%
<b>Total</b>	<b>123</b>	<b>10.3%</b>	<b>805</b>	<b>67.1%</b>	<b>257</b>	<b>21.4%</b>	<b>14</b>	<b>1.2%</b>

\* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.

Disposition of Abuse of Authority Allegations, YTD 2015

Type of Abuse of Authority Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Question	6	10.7%	44	78.6%	6	10.7%	0	0.0%
Stop	34	14.2%	177	74.1%	23	9.6%	5	2.1%
Search	26	13.5%	149	77.2%	15	7.8%	3	1.6%
Frisk	9	11.1%	62	76.5%	8	9.9%	2	2.5%
Vehicle search	17	17.0%	78	78.0%	5	5.0%	0	0.0%
Vehicle stop	18	18.2%	74	74.7%	6	6.1%	1	1.0%
Premises entered and/or searched	29	15.8%	141	77.0%	13	7.1%	0	0.0%
strip-searched	2	4.3%	43	91.5%	2	4.3%	0	0.0%
Gun Drawn	2	5.9%	26	76.5%	6	17.6%	0	0.0%
Seizure of property	1	7.7%	10	76.9%	2	15.4%	0	0.0%
Property damaged	10	14.3%	54	77.1%	6	8.6%	0	0.0%
Threat to notify ACS	0	0.0%	9	90.0%	1	10.0%	0	0.0%
Threat of force	12	12.4%	69	71.1%	14	14.4%	2	2.1%
Threat to damage/seize property	3	37.5%	4	50.0%	1	12.5%	0	0.0%
Threat of arrest	26	19.5%	95	71.4%	10	7.5%	2	1.5%
Threat of summons	3	30.0%	7	70.0%	0	0.0%	0	0.0%
Retaliatory arrest	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Retaliatory summons	0	0.0%	3	100.0%	0	0.0%	0	0.0%
Refusal to process civilian complaint	9	36.0%	16	64.0%	0	0.0%	0	0.0%
Refusal to provide name/shield number	47	19.3%	167	68.7%	28	11.5%	1	0.4%
Refusal to obtain medical treatment	5	19.2%	20	76.9%	1	3.8%	0	0.0%
Failure to show search warrant	2	13.3%	12	80.0%	1	6.7%	0	0.0%
Improper dissemination of medical info	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	2	13.3%	10	66.7%	3	20.0%	0	0.0%
<b>Total</b>	<b>263</b>	<b>15.5%</b>	<b>1270</b>	<b>74.7%</b>	<b>151</b>	<b>8.9%</b>	<b>16</b>	<b>0.9%</b>

### Disposition of Discourtesy Allegations, YTD 2015

Type of Discourtesy Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Word	69	13.7%	361	71.9%	63	12.5%	9	1.8%
Gesture	0	0.0%	2	50.0%	2	50.0%	0	0.0%
Demeanor/tone	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Action	9	13.4%	51	76.1%	7	10.4%	0	0.0%
Other	1	100.0%	0	0.0%	0	0.0%	0	0.0%
<b>Total</b>	<b>79</b>	<b>13.8%</b>	<b>414</b>	<b>72.1%</b>	<b>72</b>	<b>12.5%</b>	<b>9</b>	<b>1.6%</b>

### Disposition of Offensive Language Allegations, YTD 2015

Type of Offensive Language Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Race	8	13.1%	44	72.1%	8	13.1%	1	1.6%
Ethnicity	2	13.3%	9	60.0%	4	26.7%	0	0.0%
Religion	2	40.0%	2	40.0%	1	20.0%	0	0.0%
Sex	1	4.8%	19	90.5%	1	4.8%	0	0.0%
Sexual Orientation	5	25.0%	9	45.0%	6	30.0%	0	0.0%
Physical Disability	0	0.0%	2	100.0%	0	0.0%	0	0.0%
Other	1	50.0%	1	50.0%	0	0.0%	0	0.0%
<b>Total</b>	<b>19</b>	<b>15.1%</b>	<b>86</b>	<b>68.3%</b>	<b>20</b>	<b>15.9%</b>	<b>1</b>	<b>0.8%</b>

## Disposition of Force Allegations, YTD 2015

Type of Force Allegation	Mediated		Mediation Attempted	
	Number	Rate	Number	Rate
Gun fired	0	0.0%	0	0.0%
Gun pointed	0	0.0%	0	0.0%
Nightstick as club (incl asp & baton)	0	0.0%	0	0.0%
Gun as club	0	0.0%	0	0.0%
Police shield	0	0.0%	0	0.0%
Vehicle	0	0.0%	1	100.0%
Other blunt instrument as a club	0	0.0%	0	0.0%
Hit against inanimate object	1	100.0%	0	0.0%
Chokehold	0	0.0%	0	0.0%
Pepper spray	0	0.0%	0	0.0%
Physical force	11	36.7%	19	63.3%
Radio as club	0	0.0%	0	0.0%
Flashlight as club	0	0.0%	0	0.0%
Handcuffs too tight	0	0.0%	0	0.0%
Nonlethal restraining device	0	0.0%	0	0.0%
Animal	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
<b>Total</b>	<b>12</b>	<b>37.5%</b>	<b>20</b>	<b>62.5%</b>

\* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.

## Disposition of Abuse of Authority Allegations, YTD 2015

Type of Abuse of Authority Allegation	Mediated		Mediation Attempted	
	Number	Rate	Number	Rate
Question	6	66.7%	3	33.3%
Stop	16	55.2%	13	44.8%
Search	15	57.7%	11	42.3%
Frisk	5	45.5%	6	54.5%
Vehicle search	7	50.0%	7	50.0%
Vehicle stop	6	66.7%	3	33.3%
Premises entered and/or searched	7	28.0%	18	72.0%
strip-searched	0	0.0%	0	0.0%
Gun Drawn	2	40.0%	3	60.0%
Seizure of property	1	50.0%	1	50.0%
Property damaged	0	0.0%	0	0.0%
Threat to notify ACS	1	50.0%	1	50.0%
Threat of force	4	44.4%	5	55.6%
Threat to damage/seize property	5	100.0%	0	0.0%
Threat of arrest	30	52.6%	27	47.4%
Threat of summons	4	100.0%	0	0.0%
Retaliatory arrest	0	0.0%	0	0.0%
Retaliatory summons	0	0.0%	1	100.0%
Refusal to process civilian complaint	2	40.0%	3	60.0%
Refusal to provide name/shield number	25	52.1%	23	47.9%
Refusal to obtain medical treatment	0	0.0%	1	100.0%
Failure to show search warrant	0	0.0%	0	0.0%
Improper dissemination of medical info	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
<b>Total</b>	<b>136</b>	<b>51.9%</b>	<b>126</b>	<b>48.1%</b>

### Disposition of Discourtesy Allegations, YTD 2015

Type of Discourtesy Allegation	Mediated		Mediation Attempted	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Word	46	44.2%	58	55.8%
Gesture	1	33.3%	2	66.7%
Demeanor/tone	1	100.0%	0	0.0%
Action	16	59.3%	11	40.7%
Other	0	0.0%	0	0.0%
<b>Total</b>	<b>64</b>	<b>47.4%</b>	<b>71</b>	<b>52.6%</b>

### Disposition of Offensive Language Allegations, YTD 2015

Type of Offensive Language Allegation	Mediated		Mediation Attempted	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Race	5	45.5%	6	54.5%
Ethnicity	4	57.1%	3	42.9%
Religion	0	0.0%	0	0.0%
Sex	2	66.7%	1	33.3%
Sexual Orientation	1	100.0%	0	0.0%
Physical Disability	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
<b>Total</b>	<b>12</b>	<b>54.5%</b>	<b>10</b>	<b>45.5%</b>



**Police Department Dispositions of Substantiated Cases by Year of NYPD Closure\***

<b>Police Department Disposition</b>	<b>July 2015</b>	<b>YTD 2015</b>
Guilty after trial	0	0
Pleaded guilty		
To charges and specifications	0	0
To negotiated as command discipline	0	0
Command discipline	6	44
Instructions/Formalized Training	11	71
<b>Subtotal: Disciplinary Action</b>	<b>17</b>	<b>115</b>
Not guilty after trial	0	1
Dismissed	0	0
Department Declined to Prosecute	1	13
Statute of limitations expired	0	0
Department employee unidentified	0	0
<b>Subtotal: No Disciplinary Action</b>	<b>1</b>	<b>14</b>
Filed**	0	0
<b>Total Closed Cases</b>	<b>18</b>	<b>129</b>
<b>Discipline rate</b>	<b>94.4%</b>	<b>89.1%</b>
<b>DUP rate</b>	<b>5.6%</b>	<b>10.1%</b>

\* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

\*\* "Filed" is a term used by the Police Department when charges are served but not adjudicated before the respondent leaves the NYPD. The charges become part of the respondent's permanent record and will be adjudicated if the respondent returns to the NYPD.

**Police Department Disciplinary Penalties Imposed by Year of NYPD Closure\***

<b>Penalty</b>	<b>July 2015</b>	<b>YTD 2015</b>
Terminated	0	0
Suspension for or loss of vacation time of 31 or more days and/or 1-year of probation	0	0
Suspension for or loss vacation time of 21 to 30 days and/or 1-year of probation	0	0
Suspension for or loss of vacation time of 11 to 20 days	0	0
Suspension for or loss of vacation time of 1 to 10 days	0	0
Command discipline A	4	28
Command discipline B	2	16
Formalized Training	7	48
Instructions	4	23
<b>Total</b>	<b>17</b>	<b>115</b>

\* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

**Administrative Prosecution Unit Open Docket as of August 31, 2015**

<b>Stage</b>	<b>Cases</b>	<b>Percent</b>
Trial commenced but not completed	1	0%
Trial scheduled	55	20%
Plea agreed, paperwork pending	24	9%
Calendared for court appearance	19	7%
Charges served, awaiting initial appearance	104	38%
Charges filed, awaiting service	52	19%
Awaiting filing of charges	19	7%
<b>Total</b>	<b>274</b>	<b>100%</b>

**Administrative Prosecution Unit Cases Awaiting Final Disposition as of August 31, 2015**

<b>Stage</b>	<b>Cases</b>	<b>Percent</b>
Disposition modified by Police Commissioner, awaiting final disposition	1	1%
Plea entered, awaiting approval by Police Commissioner	30	29%
Trial verdict rendered, Fogel response due	19	18%
Trial verdict rendered, awaiting approval by Police Commissioner	28	27%
Trial completed, awaiting verdict	26	25%
<b>Total</b>	<b>104</b>	<b>100%</b>

**APU Cases Closed**

Type	Number of Officers	
	August 2015	Year to Date
Guilty after trial	0	28
Not guilty after trial	0	36
Not guilty after trial but officer disciplined	0	4
Resolved by plea	1	21
Plea set aside, charges dismissed, Command Discipline B imposed	0	0
Plea set aside, charges dismissed, Command Discipline A imposed	0	0
Plea set aside, charges dismissed, Formalized training imposed	0	0
Plea set aside, charges dismissed, Instructions imposed	0	3
Plea set aside, charges dismissed, without disciplinary action	0	0
Previously adjudicated with disciplinary action	0	0
Previously adjudicated without disciplinary action	0	0
Retained by NYPD with disciplinary action	0	1
Retained by NYPD without disciplinary action	0	0
Charges dismissed Pre-Trial by APU	0	8
Charges not filed	0	0
Charges Dismissed after Board Reconsideration	0	1
Charges filed - Officer Retired	0	2
Statute of limitations expired	0	0
<b>Total</b>	<b>1</b>	<b>104</b>
<b>Discipline rate (excluding officer retired)</b>	<b>100.0%</b>	<b>55.9%</b>

**Police Department Disciplinary Penalties for APU & Retained Cases by Date of NYPD Closure**

Penalty*	Number of Officers	
	August 2015	Year to Date
Terminated	0	0
Suspension for or loss of vacation time of 31 or more days and/or Dismissal Probation	0	0
Suspension for or loss of vacation time of 21 to 30 days and/or Dismissal Probation	0	0
Suspension for or loss of vacation time of 11 to 20 days	0	1
Suspension for or loss of vacation time of 1 to 10 days	0	38
Command Discipline B	0	2
Command Discipline A	1	1
Formalized Training†	0	6
Instructions††	0	8
Warned & admonished/Reprimanded	0	2
<b>Total</b>	<b>1</b>	<b>58</b>

\*Where the respondent is found guilty of charges, and the penalty imposed would fall into more than one of the above listed categories, it is reported under the more

† Formalized training is conducted by the Police Academy, the NYPD Legal Bureau, or other NYPD unit.

†† Instructions are conducted at the command level.