

**EXECUTIVE DIRECTOR'S REPORT
DECEMBER 2013**

STATISTICAL REPORT: NOVEMBER 2013

A. CASES REACHING DISPOSITION

FULL INVESTIGATIONS	135
TRUNCATED INVESTIGATIONS	214
MEDIATIONS	14
MEDIATION ATTEMPTED	0
TOTAL	363

B. INTAKE

CIVILIAN COMPLAINT REVIEW BOARD	464
REFERRALS - CHIEF OF DEPARTMENT'S OFFICE	355
OTHER REFERRALS	81

C. DOCKET

TOTAL CASELOAD AS OF NOVEMBER 30, 2013	2,819
TOTAL CASELOAD AS OF OCTOBER 31, 2013	2,678
INCREASE IN TOTAL CASELOAD	141

D. AGE OF CCRB CASES BASED ON DATE OF REPORT

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	1,832	65.0%	1,767	66.0%	65	3.7%
CASES 5-7 MONTHS	499	17.7%	493	18.4%	6	1.2%
CASES 8 MONTHS	132	4.7%	55	2.1%	77	140.0%
CASES 9 MONTHS	51	1.8%	36	1.3%	15	41.7%
CASES 10 MONTHS	33	1.2%	43	1.6%	-10	-23.3%
CASES 11 MONTHS	40	1.4%	31	1.2%	9	29.0%
CASES 12 MONTHS	26	0.9%	72	2.7%	-46	-63.9%
CASES 13 MONTHS	62	2.2%	61	2.3%	1	1.6%
CASES 14 MONTHS	51	1.8%	51	1.9%	0	0.0%
CASES 15 MONTHS	42	1.5%	44	1.6%	-2	-4.5%
CASES 16 MONTHS	36	1.3%	11	0.4%	25	227.3%
CASES 17 MONTHS	6	0.2%	7	0.3%	-1	-14.3%
CASES 18 MONTHS	3	0.1%	2	0.1%	1	50.0%
CASES OVER 18	6	0.2%	5	0.2%	1	20.0%
TOTAL	2,819	100.0%	2,678	100.0%	141	5.3%

E. AGE OF CCRB CASES BASED ON DATE OF OCCURRENCE

	# COUNT THIS MONTH	% OF TOTAL	# COUNT LAST MONTH	% OF TOTAL	THIS MTH. vs. LAST MTH.	% CHANGE
CASES 0-4 MONTHS	1,700	60.3%	1,641	61.3%	59	3.6%
CASES 5-7 MONTHS	499	17.7%	484	18.1%	15	3.1%
CASES 8 MONTHS	133	4.7%	77	2.9%	56	72.7%
CASES 9 MONTHS	75	2.7%	76	2.8%	-1	-1.3%
CASES 10 MONTHS	73	2.6%	51	1.9%	22	43.1%
CASES 11 MONTHS	48	1.7%	41	1.5%	7	17.1%
CASES 12 MONTHS	36	1.3%	77	2.9%	-41	-53.2%
CASES 13 MONTHS	65	2.3%	58	2.2%	7	12.1%
CASES 14 MONTHS	50	1.8%	67	2.5%	-17	-25.4%
CASES 15 MONTHS	57	2.0%	50	1.9%	7	14.0%
CASES 16 MONTHS	39	1.4%	21	0.8%	18	85.7%
CASES 17 MONTHS	16	0.6%	11	0.4%	5	45.5%
CASES 18 MONTHS	4	0.1%	4	0.1%	0	0.0%
CASES OVER 18	9	0.3%	8	0.3%	1	12.5%
UNDETERMINED	15	0.5%	12	0.4%	3	25.0%
TOTAL	2,819	100.0%	2,678	100.0%	141	5.3%

F. STATUS OF OPEN CASES

	NUMBER	% OF TOTAL
INVESTIGATIONS DIVISION	1,898	67.3%
MEDIATION UNIT	243	8.6%
PENDING BOARD REVIEW ¹	678	24.1%
TOTAL	2,819	100%

¹ Pending Board Review includes four categories of cases: cases assigned to panels and scheduled to meet; cases that are being processed by the Case Management Unit and have not been delivered to panels yet; truncated cases delegated by the board to the executive director for closure; and mediated attempted cases awaiting ADR committee approval.

List of Cases 18 months and older, by Status, as of November 30, 2013*

Number	Date of incident	Date of report	Difference between report and incident (days)	SOL Month	Status
1	10/20/2010	11/28/2013	1,135	Apr-12	Late Filing
2	4/30/2011	5/1/2011	1	Oct-12	Case re-opened
3	9/24/2011	9/26/2011	2	Mar-13	DA Hold (SOL crime exception)
4	10/2/2011	10/2/2011	0	Mar-13	DA Hold (SOL crime exception)
5	12/23/2011	3/11/2013	444	Jun-13	Late Filing
6	1/19/2012	5/23/2013	490	Jul-13	Late Filing
7	2/22/2012	3/12/2012	19	Aug-13	Investigator delay
8	3/15/2012	3/21/2012	7	Sep-13	DA Hold
9	4/21/2012	10/26/2013	553	Oct-13	Late Filing
10	4/20/2012	10/26/2013	554	Oct-13	Late Filing
11	5/30/2012	5/31/2012	2	Nov-13	Pending board review
12	5/30/2012	6/8/2012	9	Nov-13	Pending board review
13	5/31/2012	7/22/2013	418	Nov-13	Late Filing

* Civil Service Law - Section 75. Notwithstanding any other provision of law, no removal or disciplinary proceeding shall be commenced more than eighteen months after the occurrence of the alleged incompetency or misconduct complained of and described in the charges or, in the case of a state employee who is designated managerial or confidential under article fourteen of this chapter, more than one year after the occurrence of the alleged incompetency or misconduct complained of and described in the charges, provided, however, that such limitations shall not apply where the incompetency or misconduct complained of and described in the charges would, if proved in a court of appropriate jurisdiction, constitute a crime.

**CCRB COMPLAINT DATA
NOVEMBER 2013**

**Total Allegations, Complaints, and Intake Received For Current Month
November 2012* v. November 2013**

	Nov-12		Nov-13		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	251	35.7%	418	33.8%	167	66.5%
Abuse of Authority (A)	261	37.1%	614	49.7%	353	135.2%
Discourtesy (D)	166	23.6%	173	14.0%	7	4.2%
Offensive Language (O)	26	3.7%	31	2.5%	5	19.2%
Total Allegations	704	100.0%	1,236	100.0%	532	75.6%
Total Complaints	272		464		192	70.6%
Total Intake	495		900		405	81.8%

* Post-Hurricane Sandy agency displacement

**Total Allegations, Complaints and Intake Received Year-to-Date
YTD 2012 v. YTD 2013**

	YTD 2012		YTD 2013		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	4,460	29.3%	5,006	32.3%	546	12.2%
Abuse of Authority (A)	7,506	49.3%	7,564	48.9%	58	0.8%
Discourtesy (D)	2,790	18.3%	2,451	15.8%	-339	-12.2%
Offensive Language (O)	483	3.2%	457	3.0%	-26	-5.4%
Total Allegations	15,239	100.0%	15,478	100.0%	239	1.6%
Total Complaints	5,418		5,022		-396	-7.3%
Total Intake	14,047		10,612		-3,435	-24.5%

**Types of Allegations in Complaints Received
YTD 2012 v. YTD 2013**

	YTD 2012		YTD 2013		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	2,654	49.0%	2,682	53.4%	28	1.1%
Abuse of Authority (A)	3,348	61.8%	2,885	57.4%	-463	-13.8%
Discourtesy (D)	2,256	41.6%	1,884	37.5%	-372	-16.5%
Offensive Language (O)	431	8.0%	380	7.6%	-51	-11.8%
Total Complaints	5,418		5,022		-396	-7.3%

Updated October 2013 Complaint Statistics

	Initially Reported October 2013	Updated October 2013 Complaint Filings	Change in number of complaints	Percent difference
Total Complaints	514	502	-12	-2.3%

**Updated Statistical Comparison
Total Allegations, Complaints, and Intake Received For Current Month
October 2012 v. October 2013**

	Oct-12		Oct-13		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	531	30.3%	453	32.1%	-78	-14.7%
Abuse of Authority (A)	836	47.7%	724	51.3%	-112	-13.4%
Discourtesy (D)	333	19.0%	201	14.3%	-132	-39.6%
Offensive Language (O)	52	3.0%	32	2.3%	-20	-38.5%
Total Allegations	1,752	100.0%	1,410	100.0%	-342	-19.5%
Total Complaints	600		502		-98	-16.3%
Total Intake	1,278		1097		-181	-14.2%

**Total Allegations, Complaints and Intake Received Year-to-Date
YTD 2012 v. YTD 2013**

	YTD 2012		YTD 2013		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
	0					
Force (F)	4,209	29.0%	4,589	32.2%	380	9.0%
Abuse of Authority (A)	7,245	49.8%	6,950	48.8%	-295	-4.1%
Discourtesy (D)	2,624	18.1%	2,278	16.0%	-346	-13.2%
Offensive Language (O)	457	3.1%	426	3.0%	-31	-6.8%
Total Allegations	14,535	100.0%	14,243	100.0%	-292	-2.0%
Total Complaints	5,146		4,558		-588	-11.4%
Total Intake	13,552		9,712		-3,840	-28.3%

**Types of Allegations in Complaints Received
YTD 2012 v. YTD 2013**

	YTD 2012		YTD 2013		CHANGE	
	Number	Percent of total	Number	Percent of total	Number difference	Percent difference
Force (F)	2,499	48.6%	2,448	53.7%	-51	-2.0%
Abuse of Authority (A)	3,211	62.4%	2,606	57.2%	-605	-18.8%
Discourtesy (D)	2,124	41.3%	1,736	38.1%	-388	-18.3%
Offensive Language (O)	408	7.9%	355	7.8%	-53	-13.0%
Total Complaints	5,146		4,558		-588	-11.4%

**CCRB DISPOSITION DATA
NOVEMBER 2013**

CCRB Disposition of Cases

Percents Below are Percentages of all Cases Closed after Full Investigation

	Nov-13		YTD 2013	
Full Investigations - Dispositions	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
One or more allegations substantiated	22	16.3%	276	14.9%
Allegations exonerated, unfounded, and/or Department employee unidentified	102	75.6%	1,451	78.5%
Miscellaneous	0	0.0%	0	0.0%
Refer to IAB	0	0.0%	14	0.8%
Total - Full Investigations	135	100.0%	1,848	100.0%

Percents Below are Percentages of all Closed Cases

	Nov-13		YTD 2013	
Alternative Dispute Resolution Closures	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
Mediated	14	3.9%	123	2.0%
Mediation attempted	0	0.0%	205	3.3%
Total - ADR Closures	14	3.9%	328	5.3%

Complaint Withdrawn	Nov-13		YTD 2013	
Complaint withdrawn	0	0.0%	599	9.7%

Truncated Investigations	Nov-13		YTD 2013	
Complainant/victim/witness uncooperative	139	38.3%	2,235	36.1%
Complainant/victim/witness unavailable	73	20.1%	1,130	18.3%
Victim unidentified	2	0.6%	49	0.8%
Total - Truncated Investigations	214	59.0%	3,414	55.2%

Total Closed Cases	363		6,189	
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CCRB Disposition of all Allegations

	Percents Below are Percentages of all Allegations Closed after Full Investigation			
	Nov-13		YTD 2013	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
Full Investigations - Dispositions and Disciplinary Recommendations				
Substantiated - Charges	20	4.2%	445	6.6%
Substantiated - Command discipline	15	3.2%	116	1.7%
Substantiated - Instructions	3	0.6%	35	0.5%
Substantiated - No recommendations	0	0.0%	14	0.2%
Substantiated - Department employee unknown	0	0.0%	0	0.0%
Subtotal - Substantiated Allegations	38	8.1%	610	9.0%
Unfounded	24	5.1%	430	6.4%
Employee exonerated	90	19.1%	1,620	24.0%
Subtotal - Findings on the Merits	152	32.2%	2,660	39.4%
Unsubstantiated	261	55.3%	3,235	47.9%
Department employee unidentified	54	11.4%	756	11.2%
Miscellaneous	5	1.1%	100	1.5%
Refer to IAB	0	0.0%	0	0.0%
Total - Full Investigations	472	100.0%	6,751	100%

	Percents Below are Percentages of all Closed Allegations			
	Nov-13		YTD 2013	
	<i>Number</i>	<i>Percent</i>	<i>Number</i>	<i>Percent</i>
Alternative Dispute Resolution Closures				
Mediated	35	3.5%	270	1.6%
Mediation attempted	0	0.0%	462	2.8%
Total - Alternate Dispute Resolution Closures	35	3.5%	732	4.4%

	Nov-13		YTD 2013	
Complaint Withdrawn				
Complaint withdrawn	0	0.0%	1,169	7.1%

	Nov-13		YTD 2013	
Truncated Investigations				
Complainant/victim/witness uncooperative	357	36.1%	5,588	33.8%
Complainant/victim/witness unavailable	120	12.1%	2,139	12.9%
Victim unidentified	5	0.5%	145	0.9%
Total - Truncated Investigations	482	48.7%	7,872	47.6%

Total Closed Allegations	989		16,524	
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Disposition of Force Allegations, Year-to-Date 2013

Type of Force Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Gun fired	0	0.0%	14	93.3%	0	0.0%	0	0.0%	1	6.7%	0	0.0%
Gun pointed	2	1.3%	96	64.0%	34	22.7%	5	3.3%	10	6.7%	3	2.0%
Nightstick as club (incl asp & baton)	1	1.0%	41	39.0%	35	33.3%	13	12.4%	14	13.3%	1	1.0%
Gun as club	0	0.0%	1	8.3%	6	50.0%	5	41.7%	0	0.0%	0	0.0%
Police shield	0	0.0%	3	50.0%	3	50.0%	0	0.0%	0	0.0%	0	0.0%
Vehicle	0	0.0%	0	0.0%	4	66.7%	2	33.3%	0	0.0%	0	0.0%
Other blunt instrument as a club	1	2.8%	0	0.0%	18	50.0%	6	16.7%	10	27.8%	1	2.8%
Hit against inanimate object	1	1.9%	8	15.1%	31	58.5%	4	7.5%	8	15.1%	1	1.9%
Chokehold	2	2.7%	0	0.0%	47	62.7%	10	13.3%	13	17.3%	3	4.0%
Pepper spray	0	0.0%	63	63.6%	19	19.2%	5	5.1%	11	11.1%	1	1.0%
Physical force	29	2.2%	565	42.3%	474	35.5%	134	10.0%	115	8.6%	19	1.4%
Radio as club	0	0.0%	0	0.0%	3	37.5%	4	50.0%	1	12.5%	0	0.0%
Flashlight as club	0	0.0%	0	0.0%	1	25.0%	2	50.0%	1	25.0%	0	0.0%
Handcuffs too tight	0	0.0%	1	4.2%	14	58.3%	5	20.8%	4	16.7%	0	0.0%
Nonlethal restraining device	0	0.0%	9	90.0%	0	0.0%	1	10.0%	0	0.0%	0	0.0%
Animal	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	1	11.1%	3	33.3%	1	11.1%	3	33.3%	1	11.1%	0	0.0%
Total	37	1.9%	804	41.3%	690	35.4%	199	10.2%	189	9.7%	29	1.5%

* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.

Disposition of Abuse of Authority Allegations, Year-to-Date 2013

Type of Abuse of Authority Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Question	16	21.3%	39	52.0%	16	21.3%	0	0.0%	4	5.3%	0	0.0%
Stop	131	26.0%	152	30.2%	162	32.2%	3	0.6%	51	10.1%	4	0.8%
Search	67	18.1%	32	8.6%	205	55.4%	7	1.9%	52	14.1%	7	1.9%
Frisk	107	33.2%	54	16.8%	118	36.6%	4	1.2%	35	10.9%	4	1.2%
Vehicle search	47	22.6%	50	24.0%	93	44.7%	3	1.4%	12	5.8%	3	1.4%
Vehicle stop	22	15.0%	41	27.9%	70	47.6%	0	0.0%	12	8.2%	2	1.4%
Premises entered and/or searched	47	11.7%	235	58.6%	94	23.4%	5	1.2%	15	3.7%	5	1.2%
strip-searched	5	4.2%	25	21.0%	78	65.5%	2	1.7%	8	6.7%	1	0.8%
Gun Drawn	0	0.0%	27	54.0%	12	24.0%	6	12.0%	5	10.0%	0	0.0%
Seizure of property	1	7.7%	5	38.5%	1	7.7%	2	15.4%	3	23.1%	1	7.7%
Property damaged	1	1.1%	13	14.9%	50	57.5%	4	4.6%	19	21.8%	0	0.0%
Threat to notify ACS	1	7.7%	4	30.8%	7	53.8%	1	7.7%	0	0.0%	0	0.0%
Threat of force	5	2.2%	14	6.1%	152	66.4%	15	6.6%	38	16.6%	5	2.2%
Threat to damage/seize property	1	5.0%	4	20.0%	11	55.0%	0	0.0%	4	20.0%	0	0.0%
Threat of arrest	7	3.0%	63	27.4%	130	56.5%	5	2.2%	20	8.7%	5	2.2%
Threat of summons	1	6.3%	3	18.8%	12	75.0%	0	0.0%	0	0.0%	0	0.0%
Retaliatory arrest	5	71.4%	1	14.3%	1	14.3%	0	0.0%	0	0.0%	0	0.0%
Retaliatory summons	13	56.5%	3	13.0%	7	30.4%	0	0.0%	0	0.0%	0	0.0%
Refusal to process civilian complaint	3	7.7%	4	10.3%	19	48.7%	4	10.3%	7	17.9%	2	5.1%
Refusal to provide name/shield number	27	7.6%	1	0.3%	242	67.8%	37	10.4%	47	13.2%	3	0.8%
Refusal to obtain medical treatment	1	1.1%	0	0.0%	67	74.4%	7	7.8%	10	11.1%	5	5.6%
Failure to show search warrant	44	57.9%	0	0.0%	24	31.6%	2	2.6%	6	7.9%	0	0.0%
Improper dissemination of medical info	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	5	16.7%	4	13.3%	14	46.7%	2	6.7%	5	16.7%	0	0.0%
Total	557	16.3%	774	22.6%	1585	46.3%	109	3.2%	353	10.3%	47	1.4%

Disposition of Discourtesy Allegations, Year-to-Date 2013

Type of Discourtesy Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Word	50	4.4%	40	3.5%	764	67.6%	95	8.4%	161	14.2%	20	1.8%
Gesture	0	0.0%	0	0.0%	7	53.8%	1	7.7%	4	30.8%	1	7.7%
Demeanor/tone	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Action	2	3.3%	2	3.3%	45	75.0%	5	8.3%	5	8.3%	1	1.7%
Other	0	0.0%	0	0.0%	3	100.0%	0	0.0%	0	0.0%	0	0.0%
Total	52	4.3%	42	3.5%	819	67.9%	101	8.4%	170	14.1%	22	1.8%

0

Disposition of Offensive Language Allegations, Year-to-Date 2013

Type of Offensive Language Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Race	2	1.6%	0	0.0%	79	63.7%	14	11.3%	27	21.8%	2	1.6%
Ethnicity	3	11.1%	0	0.0%	17	63.0%	2	7.4%	5	18.5%	0	0.0%
Religion	1	16.7%	0	0.0%	4	66.7%	0	0.0%	1	16.7%	0	0.0%
Sex	2	7.4%	0	0.0%	20	74.1%	2	7.4%	3	11.1%	0	0.0%
Sexual Orientation	0	0.0%	0	0.0%	16	66.7%	2	8.3%	6	25.0%	0	0.0%
Physical Disability	0	0.0%	0	0.0%	3	75.0%	1	25.0%	0	0.0%	0	0.0%
Other	3	42.9%	0	0.0%	2	28.6%	0	0.0%	2	28.6%	0	0.0%
Total	11	5.0%	0	0.0%	141	64.4%	21	9.6%	44	20.1%	2	0.9%

Disposition of Force Allegations, Year-to-Date 2013

Type of Force Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Gun fired	2	20.0%	7	70.0%	1	10.0%	0	0.0%
Gun pointed	11	7.6%	103	71.0%	27	18.6%	4	2.8%
Nightstick as club (incl asp & baton)	7	5.5%	78	61.4%	39	30.7%	3	2.4%
Gun as club	1	7.1%	7	50.0%	6	42.9%	0	0.0%
Police shield	0	0.0%	4	100.0%	0	0.0%	0	0.0%
Vehicle	3	16.7%	12	66.7%	3	16.7%	0	0.0%
Other blunt instrument as a club	3	9.7%	21	67.7%	6	19.4%	1	3.2%
Hit against inanimate object	13	11.8%	59	53.6%	37	33.6%	1	0.9%
Chokehold	7	7.3%	63	65.6%	25	26.0%	1	1.0%
Pepper spray	17	12.2%	84	60.4%	33	23.7%	5	3.6%
Physical force	256	10.4%	1373	55.8%	799	32.5%	34	1.4%
Radio as club	2	14.3%	11	78.6%	1	7.1%	0	0.0%
Flashlight as club	0	0.0%	7	77.8%	2	22.2%	0	0.0%
Handcuffs too tight	5	22.7%	12	54.5%	5	22.7%	0	0.0%
Nonlethal restraining device	3	9.4%	17	53.1%	12	37.5%	0	0.0%
Animal	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	3	10.0%	17	56.7%	10	33.3%	0	0.0%
Total	333	10.2%	1875	57.5%	1006	30.8%	49	1.5%

* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.

Disposition of Abuse of Authority Allegations, Year-to-Date 2013

Type of Abuse of Authority Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Question	15	19.0%	37	46.8%	23	29.1%	4	5.1%
Stop	89	12.9%	424	61.6%	155	22.5%	20	2.9%
Search	70	13.9%	338	67.1%	84	16.7%	12	2.4%
Frisk	36	13.4%	175	65.1%	47	17.5%	11	4.1%
Vehicle search	19	10.0%	140	73.7%	28	14.7%	3	1.6%
Vehicle stop	19	9.8%	146	75.3%	27	13.9%	2	1.0%
Premises entered and/or searched	41	11.0%	273	73.0%	60	16.0%	0	0.0%
strip-searched	6	5.0%	86	71.7%	25	20.8%	3	2.5%
Gun Drawn	11	14.5%	49	64.5%	15	19.7%	1	1.3%
Seizure of property	2	7.7%	19	73.1%	5	19.2%	0	0.0%
Property damaged	7	6.1%	76	66.1%	32	27.8%	0	0.0%
Threat to notify ACS	1	6.3%	14	87.5%	1	6.3%	0	0.0%
Threat of force	35	13.5%	171	66.0%	46	17.8%	7	2.7%
Threat to damage/seize property	3	12.0%	18	72.0%	3	12.0%	1	4.0%
Threat of arrest	72	17.8%	254	62.7%	73	18.0%	6	1.5%
Threat of summons	4	16.7%	17	70.8%	3	12.5%	0	0.0%
Retaliatory arrest	0	0.0%	1	100.0%	0	0.0%	0	0.0%
Retaliatory summons	2	28.6%	3	42.9%	2	28.6%	0	0.0%
Refusal to process civilian complaint	4	12.5%	25	78.1%	3	9.4%	0	0.0%
Refusal to provide name/shield number	65	18.3%	246	69.1%	42	11.8%	3	0.8%
Refusal to obtain medical treatment	12	18.5%	39	60.0%	14	21.5%	0	0.0%
Failure to show search warrant	83	74.1%	23	20.5%	6	5.4%	0	0.0%
Improper dissemination of medical info	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Other	2	22.2%	6	66.7%	1	11.1%	0	0.0%
Total	598	15.2%	2580	65.4%	695	17.6%	73	1.8%

Disposition of Discourtesy Allegations, Year-to-Date 2013

Type of Discourtesy Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Word	245	16.2%	908	59.9%	346	22.8%	18	1.2%
Gesture	4	36.4%	6	54.5%	1	9.1%	0	0.0%
Demeanor/tone	0	0.0%	2	100.0%	0	0.0%	0	0.0%
Action	13	14.4%	56	62.2%	20	22.2%	1	1.1%
Other	0	0.0%	3	75.0%	1	25.0%	0	0.0%
Total	262	16.1%	975	60.0%	368	22.7%	19	1.2%

Disposition of Offensive Language Allegations, Year-to-Date 2013

Type of Offensive Language Allegation	Withdrawn		Uncooperative		Unavailable		civilian unidentified	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Race	30	19.6%	84	54.9%	36	23.5%	3	2.0%
Ethnicity	7	23.3%	18	60.0%	5	16.7%	0	0.0%
Religion	3	60.0%	1	20.0%	1	20.0%	0	0.0%
Sex	9	22.5%	24	60.0%	7	17.5%	0	0.0%
Sexual Orientation	5	11.9%	21	50.0%	15	35.7%	1	2.4%
Physical Disability	1	9.1%	6	54.5%	4	36.4%	0	0.0%
Other	18	72.0%	5	20.0%	2	8.0%	0	0.0%
Total	73	23.9%	159	52.0%	70	22.9%	4	1.3%

Disposition of Force Allegations, Year-to-Date 2013

Type of Force Allegation	Mediated		Mediation Attempted	
	Number	Rate	Number	Rate
Gun fired	0	0.0%	0	0.0%
Gun pointed	0	0.0%	0	0.0%
Nightstick as club (incl asp & baton)	0	0.0%	0	0.0%
Gun as club	0	0.0%	0	0.0%
Police shield	0	0.0%	0	0.0%
Vehicle	0	0.0%	0	0.0%
Other blunt instrument as a club	0	0.0%	0	0.0%
Hit against inanimate object	0	0.0%	0	0.0%
Chokehold	0	0.0%	0	0.0%
Pepper spray	0	0.0%	0	0.0%
Physical force	23	37.7%	38	62.3%
Radio as club	0	0.0%	0	0.0%
Flashlight as club	0	0.0%	0	0.0%
Handcuffs too tight	1	100.0%	0	0.0%
Nonlethal restraining device	0	0.0%	0	0.0%
Animal	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
Total	24	38.7%	38	61.3%

* "Physical force" includes: dragged/pulled, pushed/shoved/threw, punch/kicked/kneed, slapped and bit.

Disposition of Abuse of Authority Allegations, Year-to-Date 2013

Type of Abuse of Authority Allegation	Mediated		Mediation Attempted	
	Number	Rate	Number	Rate
Question	7	41.2%	10	58.8%
Stop	32	36.0%	57	64.0%
Search	19	31.7%	41	68.3%
Frisk	19	38.8%	30	61.2%
Vehicle search	6	27.3%	16	72.7%
Vehicle stop	16	59.3%	11	40.7%
Premises entered and/or searched	3	30.0%	7	70.0%
strip-searched	1	100.0%	0	0.0%
Gun Drawn	1	33.3%	2	66.7%
Seizure of property	0	0.0%	0	0.0%
Property damaged	0	0.0%	0	0.0%
Threat to notify ACS	0	0.0%	0	0.0%
Threat of force	10	52.6%	9	47.4%
Threat to damage/seize property	0	0.0%	5	100.0%
Threat of arrest	24	32.4%	50	67.6%
Threat of summons	2	50.0%	2	50.0%
Retaliatory arrest	0	0.0%	0	0.0%
Retaliatory summons	0	0.0%	0	0.0%
Refusal to process civilian complaint	0	0.0%	3	100.0%
Refusal to provide name/shield number	17	30.4%	39	69.6%
Refusal to obtain medical treatment	0	0.0%	0	0.0%
Failure to show search warrant	17	100.0%	0	0.0%
Improper dissemination of medical info	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
Total	174	38.2%	282	61.8%

Disposition of Discourtesy Allegations, Year-to-Date 2013

Type of Discourtesy Allegation	Mediated		Mediation Attempted	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Word	67	37.6%	111	62.4%
Gesture	2	66.7%	1	33.3%
Demeanor/tone	0	0.0%	1	100.0%
Action	10	55.6%	8	44.4%
Other	0	0.0%	0	0.0%
Total	79	39.5%	121	60.5%

Disposition of Offensive Language Allegations, Year-to-Date 2013

Type of Offensive Language Allegation	Mediated		Mediation Attempted	
	<i>Number</i>	<i>Rate</i>	<i>Number</i>	<i>Rate</i>
Race	0	0.0%	0	0.0%
Ethnicity	0	0.0%	0	0.0%
Religion	0	0.0%	0	0.0%
Sex	0	0.0%	0	0.0%
Sexual Orientation	0	0.0%	0	0.0%
Physical Disability	0	0.0%	0	0.0%
Other	0	0.0%	0	0.0%
Total	0	0.0%	0	0.0%

**OFFICERS AGAINST WHOM THE CCRB SUBSTANTIATED ALLEGATIONS
November 2013**

From November 1, 2013 through November 30, 2013, the board 22 cases involving 38 identified subject officers.

Case number	Officer ID	Command	Board Recommendation	Allegation
20131101	2013110101	NARCBBS	Charges	A - Stop
20131101	2013110101	NARCBBS	Charges	A - Frisk
20131101	2013110101	NARCBBS	Charges	F - Gun Pointed
20131101	2013110101	NARCBBS	Charges	A - Frisk
20131102	2013110201	PSA 6	Command Discipline	A - Refusal to provide name/shield number
20131102	2013110201	PSA 6	Command Discipline	D - Word
20131103	2013110301	024 PCT	Command Discipline	A - Premises entered and/or searched
20131103	2013110302	024 PCT	Command Discipline	A - Premises entered and/or searched
20131104	2013110401	TB DT03	Charges	D - Word
20131105	2013110501	PSA 3	Charges	A - Stop
20131105	2013110501	PSA 3	Charges	F - Physical force
20131105	2013110502	PSA 3	Charges	A - Stop
20131106	2013110601	NARCB BX	Charges	F - Physical force
20131107	2013110701	GANG SI	Charges	A - Vehicle search
20131108	2013110801	076 PCT	Charges	F - Nightstick as club
20131109	2013110901	AUTO CD	Charges	A - Premises entered and/or searched
20131110	2013111001	090 PCT	Charges	A - Frisk

**OFFICERS AGAINST WHOM THE CCRB SUBSTANTIATED ALLEGATIONS
November 2013**

Case number	Officer ID	Command	Board Recommendation	Allegation
20131112	2013111201	081 PCT	Charges	A - Strip-searched
20131113	2013111301	DB BXS	Instructions	D - Word
20131114	2013111401	075 PCT	Command Discipline	A - Search of person
20131114	2013111401	075 PCT	Command Discipline	A - Stop
20131114	2013111402	075 PCT	Command Discipline	A - Search of person
20131114	2013111402	075 PCT	Command Discipline	A - Stop
20131114	2013111402	075 PCT	Command Discipline	A - Frisk
20131115	2013111501	Transit Bureau	Charges	A - Stop
20131115	2013111502	Transit Bureau	Charges	A - Search of person
20131115	2013111502	Transit Bureau	Charges	A - Stop
20131115	2013111502	Transit Bureau	Charges	A - Frisk
20131116	2013111601	060 PCT	Command Discipline	A - Question
20131116	2013111601	060 PCT	Command Discipline	A - Frisk
20131117	2013111701	009 PCT	Command Discipline	A - Retaliatory summons
20131118	2013111801	Transit Bureau	Command Discipline	A - Search of person
20131118	2013111801	Transit Bureau	Command Discipline	A - Stop
20131119	2013111901	075 PCT	Charges	A - Stop
20131120	2013112001	108 PCT	Instructions	A - Threat of arrest
20131121	2013112101	028 PCT	Charges	F - Physical force
20131122	2013112201	001 PCT	Instructions	A - Refusal to provide name/shield number

**POLICE DEPARTMENT DISPOSITIONS
OF SUBSTANTIATED CASES
OCTOBER 2013**

Police Department Dispositions of Substantiated Cases by Year of NYPD Closure*

Police Department Disposition	Number of Officers	
	October 2013	2013
Guilty after trial	0	6
Pleaded guilty		
To charges and specifications	0	11
negotiated as command discipline	0	0
To command discipline	0	31
Instructions	3	91
Subtotal: Disciplinary Action	3	139
Not guilty after trial	0	4
Dismissed	0	0
Department Declined to Prosecute	1	70
Statute of limitations expired	0	29
Department employee unidentified	0	0
Subtotal: No Disciplinary Action	1	103
Filed**	0	3
Total Closed Cases	4	245
Discipline rate	75.0%	57.4%
DUP rate	25.0%	28.6%

* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

** "Filed" is a term used when the police department is not required to take action against the subject officer because the officer has resigned or retired from the department, or has been terminated.

Police Department Disciplinary Penalties Imposed by Year of NYPD Closure*

Penalty	Number of Officers	
	October 2013	2013
Terminated	0	1
Suspension for or loss of vacation time of 31 or more days and/or 1-year of probation	0	5
Suspension for or loss vacation time of 21 to 30 days and/or 1-year of probation	0	4
Suspension for or loss of vacation time of 11 to 20 days	0	2
Suspension for or loss of vacation time of 1 to 10 days	0	5
Command discipline A	0	0
Command discipline B	0	31
Instructions	3	91
Warned and admonished	0	0
Total	3	139

* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

CCRB SUBSTANTIATED CASES DISPOSITIONED BY THE POLICE DEPARTMENT

October 2013

From October 1, 2013 through October 31, 2013, the Police Department closed 4 substantiated CCRB cases involving 4 identified subject officers.

Case number	Officer ID	Command	Board Recommendation	PD Disposition	FADO
20131001	2013100101	MTN PCT	Charges	Instructions	A - Vehicle search
20131001	2013100101	MTN PCT	Charges	Department declined to prosecute	A - Refusal to provide name/shield number
20131002	2013100201	Transit Bureau	Instructions	Instructions	D - Word
20131003	2013100301	067 PCT	Charges	Instructions	A - Retaliatory summons
20131003	2013100301	067 PCT	Charges	Instructions	D - Word
20131004	2013100401	115 PCT	Command Discipline	Department declined to prosecute	A - Vehicle search

**CCRB CASES CLOSED AS MEDIATED
AND MEDIATION ATTEMPTED
NOVEMBER 2013**

SUBJECT OFFICERS WHOSE CASES WERE CLOSED AS MEDIATED AND MEDIATION ATTEMPTED

November 2013

From November 1, 2013 through November 30, 2013, the CCRB mediated and attempted to mediate 14 cases involving 20 identified subject officers.

Case number	Officer ID	Command	Allegation	Disposition	Mediation Action Date
20131101	2013110101	078 PCT	A - Stop	Mediated	11/1/2013
20131101	2013110101	078 PCT	A - Threat of arrest	Mediated	11/1/2013
20131102	2013110201	TB DT11	D - Word	Mediated	11/6/2013
20131102	2013110201	TB DT11	F - Physical force	Mediated	11/6/2013
20131103	2013110301	071 PCT	A - Vehicle stop	Mediated	11/7/2013
20131103	2013110302	071 PCT	A - Vehicle stop	Mediated	11/7/2013
20131103	2013110302	071 PCT	A - Refusal to provide name/shield number	Mediated	11/7/2013
20131103	2013110302	071 PCT	D - Action	Mediated	11/7/2013
20131103	2013110302	071 PCT	D - Word	Mediated	11/7/2013
20131104	2013110401	PSA 1	A - Stop	Mediated	11/7/2013
20131104	2013110402	PSA 1	A - Frisk	Mediated	11/7/2013
20131104	2013110403	PSA 1	A - Frisk	Mediated	11/7/2013
20131104	2013110403	PSA 1	A - Search (of person)	Mediated	11/7/2013
20131105	2013110501	PBQ/N	E - Ethnicity	Mediated	11/7/2013
20131105	2013110501	PBQ/N	A - Threat of summons	Mediated	11/7/2013
20131106	2013110601	083 PCT	D - Word	Mediated	11/12/2013
20131106	2013110601	083 PCT	E - Race	Mediated	11/12/2013
20131107	2013110701	077 PCT	A - Frisk	Mediated	11/15/2013
20131107	2013110701	077 PCT	A - Stop	Mediated	11/15/2013
20131108	2013110801	047 PCT	D - Word	Mediated	11/18/2013
20131109	2013110901	020 PCT	A - Threat of arrest	Mediated	11/18/2013

SUBJECT OFFICERS WHOSE CASES WERE CLOSED AS MEDIATED AND MEDIATION ATTEMPTED

November 2013

20131110	2013111001	034 PCT	A - Refusal to provide name/shield number	Mediated	11/20/2013
20131111	2013111101	110 PCT	A - Premises entered and/or searched	Mediated	11/20/2013
20131111	2013111102	110 PCT	A - Premises entered and/or searched	Mediated	11/20/2013
20131112	2013111201	103 PCT	A - Vehicle stop	Mediated	11/21/2013
20131112	2013111202	103 PCT	A - Vehicle stop	Mediated	11/21/2013
20131112	2013111202	103 PCT	A - Vehicle search	Mediated	11/21/2013
20131112	2013111202	103 PCT	A - Search (of person)	Mediated	11/21/2013
20131112	2013111202	103 PCT	A - Frisk	Mediated	11/21/2013
20131112	2013111203	103 PCT	A - Vehicle search	Mediated	11/21/2013
20131112	2013111203	103 PCT	A - Vehicle stop	Mediated	11/21/2013
20131113	2013111301	005 PCT	A - Threat of arrest	Mediated	11/25/2013
20131114	2013111401	047 PCT	A - Threat of arrest	Mediated	11/29/2013
20131114	2013111401	047 PCT	D - Gesture	Mediated	11/29/2013
20131114	2013111401	047 PCT	E - Race	Mediated	11/29/2013