



NEW YORK CITY

Civilian Complaint Review Board

STATUS REPORT JANUARY—DECEMBER 2003



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Preface

This is the twentieth status report on the general operations of the New York City Civilian Complaint Review Board (CCRB), as reorganized pursuant to Local Law No. 1 of 1993, effective July 5, 1993.

*This report covers the period of January 2003 through December 2003
(Volume XI, No. 2).*

Publication Date: June 2004

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Board Mission and Values

The New York City Civilian Complaint Review Board (CCRB) is an independent and non-police mayoral agency. It is empowered to receive, investigate, hear, make findings and recommend action on complaints against New York City police officers which allege the use of excessive or unnecessary force, abuse of authority, discourtesy, or the use of offensive language. Investigations are conducted in an impartial fashion by the board's investigative staff, which is composed entirely of civilian employees. Complaints may be made by any person whether or not that person is a victim of, or a witness to, an incident. Dispositions by the board on complaints are forwarded to the police commissioner. As determined by the board, dispositions may be accompanied by recommendations regarding disciplinary measures.

In fulfillment of this mission, the board has pledged:

- To encourage members of the community to file complaints when they feel they have been victims of police misconduct.
- To encourage all parties involved in a complaint to come forward and present whatever evidence they may have and to investigate each allegation thoroughly and impartially.
- To examine carefully each investigative report and to ensure that all possible efforts have been made to resolve the complaint.
- To make objective determinations on the merits of each case.
- To recommend disciplinary actions that are fair and appropriate, if and when the investigative findings show that misconduct occurred.
- To respect the rights of both the complainants and the subject officers.
- To engage in community outreach throughout the city of New York to educate the general public concerning the agency's purpose and the services provided and to respond to the comments and questions of the public concerning issues relevant to the agency's operation.
- To report to the police commissioner patterns of misconduct uncovered during the course of investigations and review of complaints.
- To report to the police commissioner relevant issues and policy matters coming to the board's attention.

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Members of the Board

Mayoral Designees

Hector Gonzalez, Esq., Chair	2005*
Carol Liebman, Esq.	2006
Victor Olds, Esq.	2005
Franklin H. Stone, Esq.	2004

- Vacant -

City Council Designees

Dennis deLeon, Esq.	2006 (Manhattan)
William F. Kuntz II, Esq.	2006 (Brooklyn)
Singee L. Lam	1999 (Queens)
Youngik Yoon, Esq.	2006 (Bronx)

- Vacant - (Staten Island)

Police Commissioner Designees

Lawrence Loesch, Esq.	2003
Jules A. Martin, Esq.	2003
Tosano Simonetti	1999

* Each board member's term expires on July 4th of the year indicated.

Members of the Board

Hector Gonzalez, Esq., Chair



A partner at Mayer, Brown, Rowe & Maw, Mr. Gonzalez concentrates his practice in the areas of complex commercial litigation and white-collar criminal defense. He began his legal career at Rogers & Wells in 1988. In 1990 Mr. Gonzalez accepted a position as an assistant district attorney in New York County. He remained there until 1993, when he returned to Rogers & Wells. In 1994, he became an assistant United States attorney in the Southern District of New York, and was deputy chief, then chief, of the Narcotics Unit from 1997 until 1999. Mr. Gonzalez was a Fulbright scholar in Guatemala in 1998 and a visiting lecturer of trial advocacy at the University of San Carlos, Guatemala City. He is a member of the Association of the Bar of the City of New York, the Federal Bar Council and the Hispanic National Bar Association. Mr. Gonzalez also sits on the New York State Federal Judicial Screening Committee and is a member of the board of the Puerto Rican Legal Defense and Education Fund. Mr. Gonzalez, a mayoral designee, has been on the board since June 2000, and has served as chair of the CCRB since April 2002.

J.D., 1988, University of Pennsylvania Law School; M.A., 1995, John Jay College of Criminal Justice, City University of New York; B.S., 1985, Manhattan College

Dennis deLeon, Esq.

Mr. deLeon has worked as a law clerk for the California Court of Appeals, an associate at Los Angeles' Kadison, Pfaelzer, Woodward, Quinn & Rossi, a trial attorney for the United States Department of Justice in Washington, D.C., and regional counsel to California Rural Legal Assistance. Mr. deLeon began his New York career at the Office of the Corporation Counsel, where as a senior assistant corporation counsel he focused on civil rights cases and supervised police misconduct actions. In 1986 he was appointed director of the Mayor's Commission on Latino Concerns. In 1988 he became deputy Manhattan borough president and, in 1990, Mayor David Dinkins appointed Mr. deLeon chair of the New York City Commission on Human Rights. He returned to private practice in early 1994 and since September 1994 has served as president of the Latino Commission on AIDS. Currently a member of the New York State Bar Association and the Association of the Bar of the City of New York, Mr. deLeon is the city council designee from Manhattan and has been a board member since October 2003.



J.D., 1974, Stanford Law School; B.A., 1970, Occidental College

William F. Kuntz II, Esq.



Mr. Kuntz is a partner at Torgs LLP with extensive experience in mergers and acquisitions, securities, banking, bankruptcy, and real estate litigation at the trial and appellate levels. He was previously a partner at Seward and Kissel, and before that he was a partner at Milgrim Thomajan & Lee P.C. In addition to his practice, Mr. Kuntz has been an associate professor at Brooklyn Law School, and is a member of the Executive Committee of the Association of the Bar of the City of New York and a member of the Advisory Committee on Civil Practice in the State of New York. Formerly he was a board member at Legal Services for New York City and the secretary of the Federal Bar Foundation for the Second Circuit. Mr. Kuntz was appointed to the CCRB as one of the first public members while it was part of the New York City Police Department in 1987, and served until 1992. Mr. Kuntz has been the New York City Council's designee from Kings County to the external CCRB since October 1993.

Ph.D., 1979, Harvard Graduate School of Arts & Sciences; J.D., 1977, Harvard Law School; M.A., 1974, Harvard Graduate School of Arts & Sciences; B.A., 1972, magna cum laude, Harvard College

Singee L. Lam

Ms. Lam has been the director of multicultural and international admissions at St. John's University since 1994. Before this, she was the director of multicultural student recruitment and the assistant director of institutional research, supervising activities on and off campus to recruit domestic minority and international students. She was born in Fuzhou City, China, arriving in the United States at age 13, and is fluent in three Chinese dialects. She serves on the board of Chinese Immigrant Services in Queens where she provides help to newcomers. Ms. Lam has been a city council designee from Queens County since September 1995.

M.B.A., 1988, St. John's University; B.S., 1984, St. John's University



Carol B. Liebman, Esq.



Since 1992 Ms. Liebman has been a clinical professor at Columbia Law School where she is director of the school's Mediation Clinic and Negotiation Workshop. Her principal areas of expertise include mediation, negotiation, and professional ethics. Ms. Liebman began her legal career in 1975, working in private practice in Boston. Between 1976 and 1979 she served as an attorney with the Massachusetts Department of Correction and from 1979 to 1991, Ms. Liebman worked as a clinical professor at Boston College Law School. She is an internationally recognized speaker and trainer in conflict resolution, having taught about mediation in Israel, Brazil, Vietnam, and China. In the United States, Ms. Liebman has designed and presented mediation training for such groups as Montefiore Hospital's Certificate Program in Bioethics and Medical Humanities; New York's First Department, Appellate Division, Attorney Disciplinary Committee; and the Association of the Bar of the City of New York. Ms. Liebman, a mayoral designee, has been a board member since October 2003.

J.D., 1975, Boston University School of Law; M.A., 1963, Rutgers University; B.A., 1962, Wellesley College

Lawrence Loesch, Esq.

Mr. Loesch is a distinguished 30-year veteran of the New York City Police Department, retiring from the New York City Police Department in 1998 as deputy chief and the commanding officer of the Queens Detective Bureau. Mr. Loesch currently is the vice president and general manager in the New York City region of Allied Security, the nation's largest independently held contract services security company. In addition to his professional responsibilities, Mr. Loesch was the president of the American Academy of Professional Law Enforcement before becoming a member of its board of directors and, from 1994 to 1998, he was the vice president of the Police Management Institute Alumni Association. He is the current program chairman for the NYC Chapter of the American Society for Industrial Security. Mr. Loesch, a police commissioner designee, has been a board member since September 2002.

J.D., 1982, St. John's University School of Law; B.A., 1977, John Jay College of Criminal Justice, City University of New York; A.S., 1975, John Jay College of Criminal Justice, City University of New York



Jules A. Martin, Esq.



Mr. Martin is assistant vice-president for Protection Services at New York University. Before joining NYU, he served as chief of the Housing Bureau of the New York City Police Department from 1997 to 1998. Mr. Martin joined the police department in 1969, and held a number of positions prior to becoming the executive officer of the 113th Precinct in 1989. He was assigned to the Intelligence Division as head of the Municipal Security Section in 1990. Mr. Martin is a member of the International Chiefs of Police, the National Association of Black Law Enforcement Executives, International Association of Campus Law Enforcement Administrators, the New York State Bar Association, the United States Supreme Court Bar, the Committee on Character and Fitness of the New York Appellate Division, First Department and was a member of the 1997 White House fellowship panel. He attended the Police Management Institute at Columbia University in 1991. He served in the U.S. Navy from 1965-69. Mr. Martin, a police commissioner designee, has been a board member since March 1999.

J.D., 1984, Brooklyn Law School; M.P.A., 1979, C.W. Post, Long Island University; B.A., 1976, John Jay College of Criminal Justice, City University of New York.

Victor Olds, Esq.

Mr. Olds is a vice president in the law division at Morgan Stanley D.W., Inc. Prior to that, he was a litigation partner at Holland & Knight LLP. He was an assistant United States attorney in the Southern District of New York and worked in both the criminal and civil divisions from 1988 to 2000. From 1980 to 1988, he was the assistant attorney general in charge of the New York State Department of Law's Harlem Regional Office. A trial advocacy instructor for the National Institute for Trial Advocacy and currently an adjunct professor of appellate advocacy at Brooklyn Law School, Mr. Olds has also been an appellate advocacy instructor at the U.S. Department of Justice Advocacy Institute. He served on the Second Circuit Task Force on Gender, Racial and Ethnic Fairness and was a Harvard Law School Wasserstein Public Interest Law fellow, lecturing at Harvard Law School on careers in public service. Mr. Olds is a board member of the Metropolitan Black Bar Association, and, as a mayoral designee, has been a board member since June 2002.



J.D., 1977, Brooklyn Law School; B.A., 1973, New York University

Tosano Simonetti



Mr. Simonetti began his law enforcement career in 1957 patrolling the streets of Manhattan's Midtown South Precinct. During his career, he commanded the 9th, 120th, Midtown North and Midtown South Precincts, as well as Patrol Boroughs Staten Island and Brooklyn South. He was appointed first deputy police commissioner by Commissioner Howard Safir in 1996. After retiring from the police department, Mr. Simonetti became the security director for MacAndrew and Forbes, a holding company. Mr. Simonetti, a police commissioner designee, has been a board member since April 1997.

M.A., 1975, John Jay College of Criminal Justice, City University of New York; B.A., 1965, Baruch College, City University of New York

Franklin H. Stone, Esq.

A former partner at Hunton & Williams, where she was a member of its litigation-antitrust and alternative dispute resolution teams, Ms. Stone's current legal practice involves a range of commercial litigation matters including commercial disputes, insurance defense, products liability, bankruptcy, loan and real estate workouts, and lender liability litigation. Ms. Stone also lectures on litigation techniques and substantive areas of commercial law. She was an associate at Patterson, Belknap, Webb & Tyler in New York City from 1977 to 1982. From 1983 to 1987, she was an assistant United States attorney in the Southern District of New York. Ms. Stone, a mayoral designee, has been a board member since December 1998.

J.D., 1977, University of Virginia School of Law; B.A., 1974, Hollins College



Youngik Yoon, Esq.



Mr. Yoon is a partner at Yoon & Hong, a general practice law firm in Queens. His areas of practice include immigration, matrimonial, real estate and business closings, and criminal defense. Mr. Yoon, a native speaker of Korean, has provided legal services to the diverse communities of Queens for almost ten years. He is a member of the Association of the Bar of the City of New York, Bronx County Bar Association, Queens County Bar Association, the Puerto Rican Bar Association, and the Korean American Lawyers Association of Greater New York. Mr. Yoon has been a city council designee from Bronx County since December 2003.

J.D., 1994, Albany Law School; B.A., 1991, City College, City University of New York

Executive Staff

Florence L. Finkle, Esq.
Executive Director



Ms. Finkle was named executive director of the CCRB in June 2002, having been its acting executive director since January 2002 and, before that, its deputy executive director for investigations since 1996. Prior to working at the CCRB, Ms. Finkle worked in the New York County District Attorney's Office for nine years as an assistant district attorney, two of them with its Official Corruption Unit. There she helped to build the case against officers of the 30th Precinct, an investigation and prosecution that led to the conviction of thirty police officers on various charges of corruption. Ms. Finkle herself won convictions following separate jury trials of three officers who had committed perjury to cover up their illegal searches and seizures.

J.D., 1987, New York University School of Law; B.A., 1984, summa cum laude, Tufts University

Richard Buckheit, Esq.
Deputy Executive Director, Investigations

Richard Buckheit was selected to be the deputy executive director of investigations in November 2002, after having worked at the CCRB as the assistant deputy executive director of investigations since August 2001. From 1991 to 2001, Mr. Buckheit was an assistant district attorney in the New York County District Attorney's Office. There, he worked initially in the Trial Division, where he prosecuted street crimes. Subsequently, Mr. Buckheit worked in the Special Prosecutions Unit, and then in the Frauds Unit, where he prosecuted white collar crime such as embezzlement and securities fraud.

J.D., 1991, Queens College Law School, City University of New York; B.A., 1980, State University of New York at Stony Brook



Brian K. Connell
Deputy Executive Director, Administration



Brian K. Connell became the deputy executive director of administration in June 2002. Mr. Connell worked from 1999 to 2002 as the deputy administrator for the Office of Budget Administration at the Human Resources Administration of New York City. He supervised a staff of 40 and oversaw an annual budget of approximately \$5.7 billion and a \$50 million capital budget. From 1995 to 1998, Mr. Connell was unit head for the Health and Mental Health Task Force at the Office of Management and Budget.

B.A., 1987, State University of New York at Stony Brook

Executive and Senior Staff

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Executive Director

Richard Buckheit, Esq.

Deputy Executive Director, Investigations

Brian K. Connell

Deputy Executive Director, Administration

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Supervisor, Complaint Response Unit

Kathy Huang, Esq.

Agency Counsel

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Director of Communications & Dispute Resolution

Sheshe Segar

Deputy Director of Operations

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Coordinator of Statistics

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Director of Personnel

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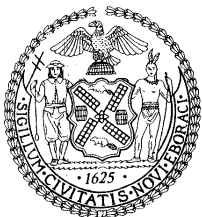
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MICHAEL R. BLOOMBERG
MAYOR

HECTOR GONZALEZ
CHAIR

May 2004

To Members of the Public:

I am proud to present the New York City Civilian Complaint Review Board's January – December 2003 Status Report. As the report describes, during 2003 civilians filed 21% more complaints with the CCRB than in 2002. It was the third year in a row that the number of complaints went up and the largest percentage increase in that time. Like other city agencies, the CCRB has been asked to do more with less. With great effort, the board actually closed more cases in 2003 than in 2002, even though it had fewer investigators on staff. Still, we could not keep pace with the substantial increase in complaints, and our open docket rose as a result. Rising investigator caseloads present a challenge to the accomplishment of our core mission: investigating every complaint fairly, thoroughly, and expeditiously.

To date, we lack firm evidence of the reasons for the increase in complaints, but one contributing factor is clear: a large number of our complaints have resulted from telephone calls to the city's newly instituted 311 system. Between March 9, 2003, when the 311 system became operational, and the end of the year, 311 transferred 1,870 callers to the CCRB. In comparison to 2002, complaints made by telephone to the CCRB and the NYPD last year increased by 36% and 16%, respectively. Currently, we cannot definitively attribute the rise in complaints to 311. We did not track how many complaints stemmed from 311 calls and we have no way of knowing whether individuals who called 311 would have filed a complaint if 311 did not exist. It is clear, though, that New Yorkers are using the 311 system to reach the CCRB. In March 2004 we programmed our complaint database to capture whether 311 transferred a caller to the CCRB, so by the next status report we will be better able to identify the impact 311 is having on complaint filings.

That the CCRB has thus far absorbed dramatic complaint increases as well as it has is a testament to the skill and efforts of its investigative staff, the quality of investigator training programs, and the efficiency of its investigative process. But impartially investigating complaints is not our sole responsibility. As a body of citizens reviewing disciplinary complaints, we recognize that complaints contain important information for both the public and police executives and managers. Three of our key jobs, consequently, are to inform the public about the agency, to provide an open and accessible complaint filing process, and to record and publish data involving complaints and the findings we make on these complaints. Evidence gathered during the investigative process at times raises issues beyond that of individual officers' actions. Effective civilian review means using this type of information to make recommendations to the police commissioner that can affect policing policy. And since complaints like those that stem from a misunderstanding may not be ideally suited to the investigative process, the CCRB has established a mediation program. Speaking face-to-face before a neutral mediator frequently results in greater satisfaction for both the civilian and police officer than an investigation. By promoting mutual understanding between the officer and civilian, mediation can help improve police-community relations.

While the CCRB worked hard to maintain the quality and timeliness of its investigations in the face of double-digit complaint increases, we also strove to improve our effectiveness as a citizen oversight body by focusing on the non-investigative responsibilities outlined above. In 2003, we expanded our mediation program, broadened our efforts to educate the public about the agency, continued to make improvements to our public reports, and perhaps most importantly, issued three policy recommendations to the police department, the most we have issued in any calendar year.

Last year we successfully mediated 91 cases, the most in the agency's history. To increase the number of cases we mediate, we created new mediation brochures for both civilians and officers and conducted special training sessions with investigators to help them more clearly explain mediation to civilians. As a result, 58% of the civil-

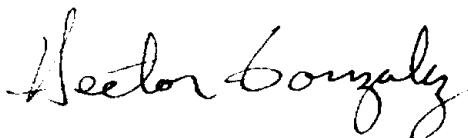
ians and 67% of the officers who were offered the opportunity to mediate their complaints in 2003 accepted—rates that are the highest ever. At the same time, it took an average of 4.6 months to mediate a case, a reduction from the 6.3 months it took in 2002.

In 2003 CCRB outreach staff conducted 92 presentations before social service groups, high school classes, community boards, and other organizations: the most since 2000. To all our reports we added tables depicting the rates at which the board makes specific findings (substantiated, exonerated, unfounded, unsubstantiated, etc.) with respect to each allegation the CCRB captures. On April 29, 2003, the CCRB launched its completely redesigned website. Arranged in a user-friendly manner, the site includes information regarding the agency’s history, descriptions of the investigative, mediation and police disciplinary processes, and biographies of board members and agency executives. The website makes available for viewing or downloading transcripts of public board meetings, monthly executive director’s statistical reports, and board studies and recommendations. Civilians can also contact the CCRB or file complaints through the website.

In 2003, we made three policy recommendations to the department and the department adopted all three. In January of 2003, the board recommended that the NYPD create a department-wide database to track a broad range of information on search warrant executions. On May 27 the department announced its willingness to create such a database, and on July 1 implemented that database. In May of 2003, we issued a report regarding the allegation that an officer refused to provide his or her shield number to a civilian upon request. We conducted a study of this allegation, found that the board substantiated it at a rate twice as high as the average, and recommended that the department consider clarifying the Patrol Guide procedure governing an officer’s obligation to identify him or herself. In response, the department revised the Patrol Guide to require all officers to “[c]ourteously and clearly state your name, rank, shield number and command, or otherwise provide them, to anyone who requests you to do so.” On November 20, 2003, we made our final policy recommendation of the year in a letter to the police commissioner. We recommended that the department require officers to show no-knock search warrants to occupants upon request after premises are secured. The state criminal procedure law does not require that officers do so, and the department had no procedures addressing the issue. On February 13, 2004, the department issued an interim order requiring that officers show a copy of all search warrants, when able to do so safely, to any of the occupants of the premises.

As the board looks to the next year, rising complaint rates pose its greatest operational challenge. Through the first third of 2004, complaints rose 18% in comparison to the same period in 2003. While complaints have now risen by 39% since January 1, 2003, the number of investigators the CCRB is authorized to hire has remained static. Complaint increases of this magnitude demand commensurate increases in resources so that the CCRB can continue to properly investigate complaints. An effective CCRB—one that ably fulfills its investigative and non-investigative responsibilities—is integral to strong and fair complaint and police disciplinary systems, systems in which the public can have confidence. Over time, we have demonstrated our ability and willingness to effectively perform investigative and non-investigative responsibilities. But as demand for our services increases, the CCRB needs adequate resources to maintain its effectiveness as a citizen oversight agency.

Sincerely,

A handwritten signature in black ink that reads "Hector Gonzalez". The signature is written in a cursive, flowing style.

Hector Gonzalez
Chair

EXECUTIVE SUMMARY

Complaint Activity

- The Civilian Complaint Review Board received 5,568 complaints in 2003, up from 4,612 in 2002. This represents the largest single-year increase in the history of the independent CCRB, both in terms of percentage (21%) and number (962). More complaints were received in 2003 than in any of the last ten years, except for 1996.
- While there is no single explanation that accounts for the total rise in complaints, at least one contributing factor is evident. Complaints filed by telephone constitute the bulk of the increase. The CCRB received 2,241 complaints by telephone in 2003, up 36% from 1,653 in 2002, while the number of complaints filed in person and by letter did not change significantly. The agency is currently trying to determine whether the 1,870 calls transferred through the city's new 311 system from March to December 2003 helped fuel the complaint increase.
- Complaints filed against officers assigned to commands under the Chief of Transportation (comprising the Transit Bureau and the Traffic Control Division) increased more, on a percentage basis, than in any other bureau. These officers received 328 complaints in 2003, up from 237 in 2002, an increase of 38%. While more complaints were lodged against the much larger Patrol Services Bureau, the percentage increase in commands under the Chief of Transportation was more than double the 17% increase in the Patrol Services Bureau.
- Even though complaints rose overall, some bureaus experienced a decline in complaints in 2003. Most notable was the Organized Crime Control Bureau (OCCB). From 1999 to 2002, OCCB was second only to the much larger Patrol Services Bureau in terms of total number of complaints. However, in 2003 it received 34% fewer complaints than it had in 2002, placing it behind the Transit and Detective Bureaus for the first time.

- Young Black males continue to make up a disproportionate share of alleged victims in all complaints. While the 2000 Census shows that New York City is only 25% Black, Blacks comprised more than half of the alleged victims in CCRB complaints. Additionally, even though 32% of New York's population is between the ages of 15 and 34, 57% of the alleged victims in complaints fell within this bracket. Furthermore, 67% of the alleged victims in 2003 were male, compared to only 47% of the city's population. The demographic breakdowns of victims involved in substantiated allegations show similar disparities.

Agency Performance

- The agency's docket has grown at an even faster rate than the rise in complaints. While the CCRB closed more cases in 2003 than in 2002 with fewer investigators on staff, the increase in complaints drove the total number of cases on the agency's docket up by 31%. The average investigator caseload rose from 17 to 23, a 35% increase. With investigator caseloads rising, the agency faces a severe challenge to its mission to investigate each allegation in every complaint in a thorough and timely manner.
- The CCRB's determined effort to improve its mediation program has begun to pay off. The agency successfully mediated 91 complaints in 2003, nearly a quarter more than the 73 it mediated in 2002, and almost three times as many as the 32 mediated in 2001. At the same time, the average number of days it took the agency to close a successfully mediated case dropped to 140 days (4.6 months) from the 193 days (6.3 months) it took in 2002, a decrease of 38%.

Board Dispositions

- As the number of complaints rose, the frequency with which the board substantiated cases rose as well. The CCRB substantiated one or more allegations in 294 cases in 2003, 14% of all

full investigations. This represents the largest number of substantiated cases and the highest substantiation rate in the last five years.

- The increase in substantiated allegations concerned officers chiefly assigned to the Patrol Services Bureau, and more particularly to precincts, as opposed to specialized units. In 2003, the board substantiated 48% more allegations against officers working out of precincts than in 2002, substantially higher than the overall 34% increase in officers whom the CCRB found committed misconduct. Of particular note are the precincts within the Strategic and Tactical Command (S.A.T.COM) (Brooklyn North); the board substantiated more than twice as many allegations against officers in these precincts in 2003 than it did the year before.

Operations

- Four board members left the CCRB in 2003: mayoral designee Debra Livingston, who served since 1994; city council designee Charles M. Greinsky (Staten Island), who served since 1993; city council designee Earl Ward (Manhattan), who served since 1997; and Tai Park, mayoral designee who served since July of 2001. On October 16, Mayor Bloomberg appointed Dennis deLeon, president of the Latino Commission on AIDS, and Carol Liebman, a Columbia University Law School professor, to the CCRB for terms lasting through July 5, 2006.

- On April 29, 2003, the CCRB activated its redesigned website at www.nyc.gov/ccrb. The website now provides comprehensive information arranged in a more user-friendly manner than previously. New developments at the agency are updated on the site, and readers can learn about the agency's history and board members. Board reports, executive director reports, transcripts of board meetings, and policy recommendations are available for review or downloading, along with information on how to file a complaint and an online complaint form.

Recommendations and Special Studies

- The CCRB made three recommendations to the police department in 2003, all of which the department acted upon. In January of 2003 the CCRB recommended that the NYPD create a database to track information regarding search warrant executions; the department agreed and implemented the database in July. In May the agency published a short study in its semiannual status report showing it substantiated the allegation that officers failed to provide their name or badge number at a higher rate than other allegations; the agency recommended the department clarify an officer's obligation in this regard. In June the department issued an interim order that did just that. And in November the agency recommended the department require that officers show no-knock search warrants upon request. The department complied with the recommendation in February 2004.

- This report also contains a special study on the 70 complaints the CCRB received stemming from events surrounding the February 15, 2003 anti-war demonstration at the United Nations. The study contains three recommendations. First, the CCRB recommends that the NYPD provide complete and unedited video footage that it shoots at demonstrations when the CCRB requests it. Second, the agency recommends that mounted officers' identifying information be clearly visible from street level. Third, the agency recommends that the NYPD put in place measures by which the assignment and location of officers called to a mobilization can be traced.

AGENCY OPERATIONS

History

In 1953, the New York City Police Department established the Civilian Complaint Review Board to investigate civilian complaints against New York City police officers. Forty years later the board became an all-civilian agency independent of the New York City Police Department.

The original review board consisted of three deputy police commissioners who were charged with the responsibility of reviewing investigative reports prepared by police department staff; the board then reported its findings and recommendations directly to the police commissioner. From 1955 to 1965 only minor administrative changes were made to the board's operation. One deputy commissioner was appointed to chair the board and the board's offices were moved from a recognized police facility to a more neutral site, a move intended to create a more comfortable environment for civilians making complaints and giving testimony.

In 1966, Mayor John Lindsay sought to alter the board's structure when he appointed four private citizens to serve on it. This triggered strong opposition from the Patrolmen's Benevolent Association, which called for an electoral referendum to abolish the "mixed" board. In November 1966, the voters approved the referendum eliminating the "mixed" board. As a result, the board was once again made up solely of police executives (non-uniformed members of the department) appointed by the police commissioner. Its investigative staff, which was responsible for conducting the investigations of civilian complaints, was composed of New York City police officers. While the number of police department executives serving on the board increased, the board's organizational structure did not change until 1987.

In that year, during the term of Mayor Edward Koch and in accordance with legislation passed in 1986 by the New York City Council, the board was again restructured as a mixed board on which

both private citizens and non-uniformed police executives served. The 1986 law changed the number of Civilian Complaint Review Board members to twelve, one of whom served as the chair. The mayor, with the advice and consent of the city council, appointed six members who were private citizens, one from each borough and one at large. From his non-uniformed executive staff, the police commissioner selected and appointed the other six members. By statute, the board members' terms were limited to two years and the mayoral designees were compensated on a per diem basis for their service. In 1987, the board's investigative unit, known as the Civilian Complaint Investigative Bureau, also began hiring a limited number of civilian investigators to complement its staff of police officer investigators. The board, however, remained a unit within the police department.

1993 Enabling Statute

It is in the interest of the people of the city of New York and the New York City police department that the investigation of complaints concerning misconduct by officers of the department be complete, thorough and impartial. These inquiries must be conducted fairly and independently, and in a manner in which the public and the police department have confidence. An independent civilian complaint review board is hereby established as a body comprised solely of members of the public with the authority to investigate allegations of police misconduct.

-New York City Charter Chapter 18-A, §440(a)

CCRB Jurisdiction

Force refers to the use of unnecessary or excessive force, up to and including deadly force.

Abuse of Authority refers to abuse of police powers to intimidate or otherwise mistreat a civilian and can include improper street stops, frisks, searches, the issuance of retaliatory summonses, and unwarranted threats of arrest.

Discourtesy refers to inappropriate behavioral or verbal conduct by the subject officer, including rude or obscene gestures, vulgar words and curses.

Offensive Language refers to slurs, derogatory remarks, and/or gestures based up on a person's sexual orientation, race, ethnicity, religion, gender or disability.

After a well-publicized political debate and with the support of Mayor David Dinkins, the city council modified the city charter in January 1993 to create the first police oversight agency in New York City independent of the police department. On July 5, 1993, the independent CCRB became a functioning agency, and the first meeting of the new board was held the following month. Since that time, the board members and staff have been private citizens. New York's Civilian Complaint Review Board is now the largest independent civilian oversight agency in the United States.

The CCRB has jurisdiction over complaints of police misconduct involving force, abuse of authority, discourtesy, and offensive language (FADO). If the type of police misconduct alleged in a complaint does not fall under its jurisdiction, the CCRB will refer the case to the appropriate agency or department, such as the NYPD's Office of the Chief of Department (OCD). All allegations of corruption are referred to the Internal Affairs Bureau (IAB).

Agency Structure

The CCRB consists of a board of thirteen members of the public and a civilian staff that receives, investigates, and makes recommendations on complaints in addition to fulfilling all other necessary duties. The mayor appoints all thirteen members of the board, who must be residents of New York City and "shall reflect the diversity of the city's population."¹ The city council designates (or nominates) five members of the board, one from each of the city's five boroughs; the police commissioner designates (or nominates) three members of the board who must have experience as law enforcement professionals; and the mayor designates the remaining five board members, including the chair. Aside from the three members designated by the police commissioner, no other member may have prior law enforcement experience or be former employees of the New York City Police Department. (Under the city charter, experience as an attorney in a prosecutorial agency does not constitute experience as a law enforcement professional.) No members of the board, who serve for overlapping three-year terms, shall hold any other public office or employment.² All board members are eligible for compensation for their work on a per diem basis.

The board generally meets at 10 a.m. on the second Wednesday of every month. These meetings are open to members of the public, who are given the opportunity to comment. During the monthly meetings, board members discuss policy issues and the executive director reports on complaint activity, case closures, and the agency's docket. Board committees, such as the Operations Committee, the Alternative Dispute Resolution Committee, the Public Outreach and Education Committee, the MIS Committee, and the Reports and Recommendations Committee, also issue reports and may submit recommendations for policy changes to the full board for approval. Following the public meeting, the board retires to a non-public executive session, where it votes on particular cases or discusses personnel matters.

The board hires the executive director, who in turn hires and supervises the agency's all-civilian staff. There are two deputy executive directors, one responsible for administration and one for investigations. The administrative division is responsible for all non-investigative agency func-

¹ New York City Charter §440(b)(1).

² New York City Charter §440(b)(1-3).

tions and duties. In addition to performing fundamental administrative functions like budgeting, purchasing, facilities management, and secretarial responsibilities, the administrative division includes the personnel department, management information services (MIS), the mediation and outreach units, and the research and statistics unit. It also includes the intake unit, which receives complaints and prepares them for investigative teams, and the case management unit, which organizes completed investigative files for board panel review and oversees the inventory of closed CCRB cases.

The deputy executive director for investigations supervises the entire investigative staff, which is responsible for receiving, reviewing, and investigating complaints, as well as processing complaints that do not lead to full investigations. Assigned to eight investigative teams, CCRB investigators are supervised by team managers with at least 15 years of law enforcement or investigative experience gained through work in organizations such as the Internal Revenue Service Criminal Investigative Division, the Drug Enforcement Agency, the Immigration and Naturalization Service, the Federal Defender Service, the Chicago Police Department, and the United States Probation Department. Each team manager works closely with team supervisors and assistant supervisors to monitor the work of approximately 13 investigators. Investigators are hired through a rigorous process that invests considerable autonomy in the managers and supervisors of each team. Together, managers and supervisors review resumes, conduct extensive interviews, and evaluate candidates before presenting their evaluations and recommendations to the executive staff for final review.

The Complaint Process

Complaints of police misconduct may be reported directly to the CCRB by telephone, letter, e-mail, in person, or via the CCRB website. They can also be filed in person at police precincts or other police department facilities. Complainants can access the CCRB through the city's 311 service twenty-four hours a day, seven days a week. Outside of New York, the 311 service can be reached via 212-NEW-YORK; for the hearing impaired, the CCRB can be reached on a TTY/TDD line at 212-504-4115.

When a complaint is received, the CCRB makes a distinction between a “complainant” (the

person who files the complaint) and an “alleged victim” (the person who had the primary encounter with the police). If the complainant is the alleged victim, he or she is referred to as the “complainant/victim.” The preceding terms will be used according to the definitions above throughout this report.

Intake Unit

The Intake Unit receives and inputs all complaints, then forwards them to investigative teams. Team managers and supervisors review the complaints to determine whether the allegations fall within the CCRB's jurisdiction. If the complaint does not fall within the CCRB's jurisdiction, it is sent to the appropriate agency.

Full Investigations

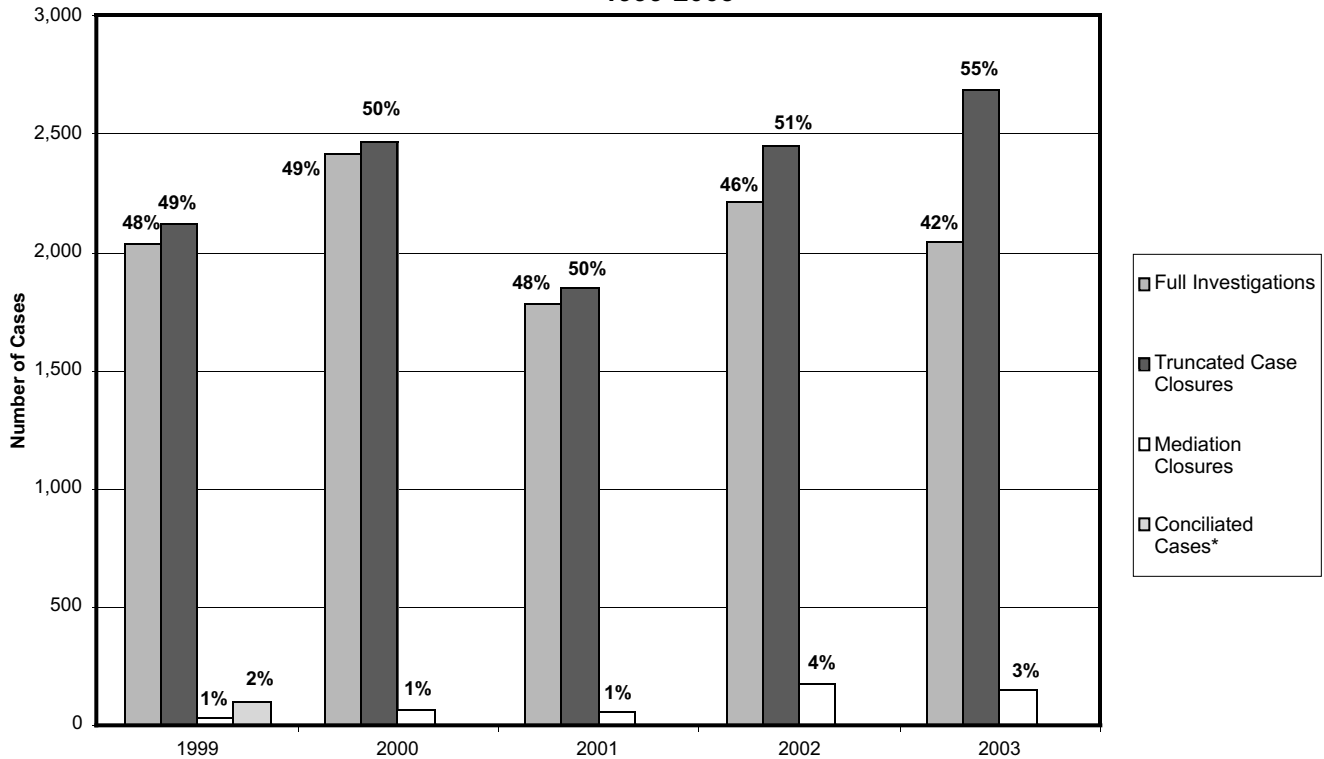
Team managers and supervisors receive a case from the Intake Unit and assign it to an investigator, who must attempt to contact the complainant within 24 hours of receipt of the complaint.

The investigator is responsible for locating and interviewing the complainant, alleged victims (if different from the complainant), and civilian witnesses. The investigator also interviews any officers who are the subjects of the allegations or who witnessed the incident at issue. Interviews with both civilians and police officers are tape-recorded and summarized in writing.

In addition, the investigator is required to obtain all relevant documentary evidence, including court-related records and police department records (e.g., accident reports, summonses, stop and frisk reports, arrest reports, and recordings of both police radio communications and 911 calls). If relevant, the investigator also subpoenas medical records in order to verify whether civilians or police officers sustained injuries associated with the incident under investigation. Pursuant to Patrol Guide procedure 211-14, an officer is required to appear at the CCRB when summoned for an interview and must answer all relevant questions to the best of his or her knowledge. An officer cannot invoke the Fifth Amendment, since the questioning is conducted pursuant to a grant of use immunity.

The team manager, supervisor, and assistant supervisor oversee the investigator throughout the course of the investigation. Cases are subject to a time-triggered review process—a manager or other supervisor reviews a case and instructs an

**Figure 1: Full Investigations, Truncated Case Closures, Mediation Cases, and Conciliated Cases
1999-2003**



* Conciliation was discontinued in 1999.

investigator on what steps should be taken after the investigator has interviewed the complainant and again four, seven, ten and twelve months after the complaint was filed. Cases over a year old and reassigned cases are reviewed monthly. When the investigation is complete, the investigator writes a closing report, which includes a summary and analysis of the evidence and recommended dispositions for each allegation raised by the complaint. Team management reviews the completed closing report before the case is forwarded to the Case Management Unit, which assigns the case to a board panel.

If a case proceeds through the entire process outlined above, it is called a “full investigation.” Cases can be closed without being fully investigated for one of two reasons: either they are truncated or they are settled by mediation. Truncated cases still must be forwarded to a board panel before being closed.

Truncated Case Closures

Truncated case closures are those in which an investigation is terminated before the investigative process outlined above is complete. A case is

truncated for one of three reasons: either the complainant and/or the alleged victim(s) withdraws the complaint (categorized as “complaint withdrawn”), the complainant and/or alleged victim(s) is never located (categorized as “complainant/victim unavailable”), or the complainant or alleged victim(s) is unwilling to give a formal statement (categorized as “complainant/victim uncooperative.”)

In order to close a case as “complaint withdrawn,” an investigator must obtain a statement that the complainant (or in some instances the alleged victim) wishes to withdraw the complaint. The investigator tape-records the statement and sends a withdrawal form to be completed and signed. If the withdrawal form is returned, the case will be forwarded to a board panel to be closed as withdrawn. If the withdrawal form is not returned, the team manager must listen to the tape-recorded statement to confirm that the complaint was withdrawn willingly before it is submitted to the board panel.

In order to close a case as “complainant/victim unavailable,” an investigator must send at least two letters (mailed at least one week apart) and make at minimum five phone calls (spaced out at

CCRB Dispositions

Findings on the Merits

Substantiated: There is a sufficient credible evidence to believe that the subject officer committed the act charged in the allegation and committed misconduct. The board can recommend to the police commissioner appropriate disciplinary action.

Exonerated: The subject officer was found to have committed the act alleged, but the subject officer's actions were determined to be lawful and proper.

Unfounded: There is sufficient credible evidence to believe that the subject officer did not commit the alleged act of misconduct.

Other Findings

Unsubstantiated: The weight of available evidence is insufficient to substantiate, exonerate or unfound the allegation.

Officer(s) Unidentified: The agency was unable to identify the subject(s) of the alleged misconduct.

Miscellaneous: The subject of the allegation is no longer a member of the New York City Police Department.

different times of day over a period of at least two weeks) to the best known contact location for the complainant and/or the alleged victim(s). Should this process lead to a new address or phone number, the investigator must begin the process again with the up-to-date information. Ten days after the final contact attempt has been made without response, the investigator may send the case to a board panel to be truncated.

A complaint can be closed as "complainant/victim uncooperative" for one of two reasons: either the complainant or alleged victim(s) has refused to cooperate after being contacted by the CCRB, or the complainant or alleged victim(s) has not responded to CCRB contact, even though the address and phone number the CCRB is using is deemed accurate. Should the complainant or alleged victim(s) contact the agency after the case has been truncated, the case may be re-opened for full investigation.

Alternative Dispute Resolution

When team management, in reviewing a case, finds that a complaint is eligible for mediation, it will instruct the investigator to offer mediation as an alternative to the complainant. Complaints eligible for mediation include all those involving allegations of discourtesy and offensive language, use of minor physical force without injury, threat of arrest or summons, threat of force, and stop and question incidents that do not result in an arrest. Both the complainant and the subject officer must voluntarily agree to mediation. What occurs during the mediation session is confidential and cannot be used in any future judicial or administrative proceeding. If the mediation is not successful for any reason, the complainant has the right to request that his or her complaint be investigated.

The goal of mediation is to have the complainant and the subject officer meet in the presence of a trained, neutral mediator to address the issues raised by the complaint. Mediators are not judges, so they cannot rule on the merits of a complaint. Their task is to help disputing parties resolve the issues between them.

Subject officers who have lengthy records of CCRB complaints cannot participate in mediation. In addition, an officer may not participate in mediation more than once every nine months. Cases are closed as "mediation attempted" when the complainant and the police officer agreed to mediate but the former either failed to appear for

the scheduled mediation twice without good cause, or failed to respond to phone calls and letters to set up such a session.

Since July 2001, the CCRB has enhanced investigators' mediation training and instructional materials, and has made new requirements of investigative staff regarding mediation; they must offer the complainant the opportunity to mediate in all suitable cases, and refer all cases in which the complainant has agreed to mediate to the Mediation Unit. Since the mediation program was initiated in 1997, it has grown steadily, and is now by far the largest program of its kind nationwide.

Board Panels

Cases that have been fully investigated or truncated are forwarded to the Case Management Unit (CMU). Each month, CMU assigns these cases to board panels, made up of three board members. Panels consist of one board member designated by the mayor, one city council

designee, and one police commissioner designee. Panel members discuss each case forwarded for review and vote on a disposition for every allegation. They may substantiate any allegation of misconduct within a complaint by a two-to-one vote. If a panel substantiates any allegation in a case, the case is sent to the police commissioner. If the panel cannot come to a decision on one or more allegations, it may forward the case to the full board for a vote. Board panels review both truncated and fully investigated cases. The Alternative Dispute Resolution Committee reviews cases proposed for mediation and cases the Mediation Unit has referred for closure.

CCRB Findings

In determining the finding for an allegation, the board uses the preponderance of the evidence as its standard of proof. This standard, the same one used at administrative disciplinary hearings and in civil court cases, requires the board to adopt the disposition favored by the weight of the evidence. In compliance with section 440 of the city charter, the board may not make any finding or recommendation “based solely on an unsworn complaint or statement” or use as a basis for recommendation “prior unsubstantiated, unfounded or withdrawn complaints.”³ The board notifies the parties to a complaint of its findings and recommendations by letter.

Substantiated, exonerated, or unfounded dispositions are considered “findings on the merits” because they reflect the CCRB’s decision on the validity of the complaint. Unsubstantiated outcomes, cases where the police officer was never identified, and miscellaneous closures (usually when the officer is no longer a member of the New York City Police Department) do not constitute findings on the merits, since the allegations remain unresolved. The rate at which the board makes findings on the merits of allegations after conducting a full investigation is the clearest quantitative measure of the effectiveness of investigations carried out by the CCRB staff, because the board can make such findings only if sufficient evidence has been gathered to allow a factual conclusion to be reached.

The board can make different findings on different allegations within the same complaint. For example, if a complainant alleges that an officer used excessive force to effect a retaliatory arrest (an arrest made without probable cause and in

bad faith), the board may find that the arrest was legal, but that the force was nevertheless excessive. The allegation of excessive force would then be substantiated, while the claim of retaliatory arrest would be exonerated; the case would be counted as a substantiated case, since an allegation was substantiated. The CCRB reports both on the case dispositions (Table 24A, Appendix C) and the dispositions of all allegations following full investigations (Table 24B, Appendix C).

The board may also determine to recommend that misconduct other than a FADO allegation was uncovered during the investigation of a complaint; this misconduct generally consists of an officer either intentionally making a false statement to the CCRB or failing to file proper paperwork. In these instances, board panels may refer their determinations of other misconduct not only to the police commissioner but also to various other law enforcement entities. Of particular note are cases where the board determines to recommend that an officer intentionally made a false official statement to the CCRB. A CCRB interview is considered an administrative proceeding and according to Patrol Guide procedure 203-08, at such a proceeding “the making of false statements will result in dismissal from this department, absent exceptional circumstances.”

CCRB Disciplinary Recommendations

Under New York State Civil Service Law, officers who are subjects of CCRB investigations must be disciplined or served with disciplinary charges within 18 months of the date of the incident. The only exception to the statute of limitations occurs when the alleged misconduct committed by the officer constitutes a crime.⁴ While only the police commissioner is authorized to mete out punishment for misconduct, the board can make one of three recommendations when forwarding a substantiated case to him.

Instructions

“Instructions” involve a subject officer’s commanding officer instructing him or her on the proper procedures with respect to the substantiated allegations. They can also involve an officer being sent for in-service training or Police Academy presentations. Instructions are considered the least punitive disciplinary measure

³ New York Charter §440(c)(1).

⁴ New York Civil Service Law §75(4) (McKinney 1999).

because they do not result in formal proceedings, though the recommendation is noted in the officer's CCRB history.

Command Discipline

A "command discipline" is imposed directly by the subject officer's commanding officer and may vary based on the seriousness of the misconduct, the officer's disciplinary history, and the officer's performance record. The penalties associated with command discipline range from an oral warning and admonishment to a forfeiture of up to 10 days of vacation or accrued time.

Charges and Specifications

The most serious disciplinary measure is "charges and specifications." This involves lodging formal administrative charges against the subject officer who, as a result, may face loss of vacation time, suspension, or termination from the police department.

New York Police Department Disciplinary Process

When the board substantiates one or more allegations raised by a complaint, it forwards the case to the police commissioner for his consideration and final decision. Responsibility for imposing discipline within the police department rests solely with the police commissioner, who can still make new findings of law and fact even after the CCRB and an administrative law judge determine the police officer committed misconduct. In such cases, the police commissioner must explain his findings in writing. A police officer can appeal the final adverse decisions of the police commissioner to New York State Supreme Court.

Cases in which charges are served against an officer are filed with the department's deputy commissioner for trials (DCT). The deputy commissioner for trials and his assistants, who are administrative law judges employed by the police department, preside over case conferences, negotiations, and hearings. Until January 2003, some substantiated cases were calendared at the Office of Administrative Trials and Hearings (OATH), a city tribunal. Following the First Department Appellate Division's decision in Lynch v. Giuliani,⁵ discussed at length in the final section of this chapter, all CCRB substantiated cases are

now filed with the department's deputy commissioner for trials.

Because the police commissioner is responsible for deciding whether to impose discipline against individuals, the police department considers each subject officer the CCRB found committed misconduct to be a single case. Therefore, a single CCRB case may be reflected as two or more cases after it has been forwarded to the police commissioner, resulting in more total cases at the police department than the CCRB forwarded. The police department reports to the CCRB on the final disposition of cases resolved by the commissioner's office during the prior month.

If a case contains no substantiated allegations but the board determines to recommend that other misconduct occurred, the CCRB also forwards the case to the police department. In these instances, the police department has not notified the CCRB of the action it takes, if any, against officers whom the board determined to recommend engaged in misconduct.

Policy Recommendations and Police Department Response

The CCRB's mission statement provides that the agency will "report to the police commissioner relevant issues and policy matters coming to the board's attention." In furtherance of this goal, the CCRB has paid special attention to trends over the past year and made three recommendations to the police department stemming from issues uncovered during investigations. To date, the police department has acted on all of these recommendations.

Search Warrant Database

On January 27, 2003, the CCRB recommended that the police department develop a comprehensive database to track information regarding search warrants from the time the warrant was issued through the warrant's execution. On May 27, 2003, the department agreed to implement such a database and on July 1, 2003, the department issued an interim order announcing that it had created the database. The order requires officers to notify and provide relevant information to the Intelligence Division upon receipt of a search warrant and upon execution or expiration of the warrant. The database, maintained by the Intelligence Division, tracks key aspects of the

⁵ Lynch v. Giuliani, 755 N.Y.S.2d 6 (N.Y. App. Div. 1st Dept. 2003).

search warrant process, including the name of the supervising officer, the prosecutor assigned to the case, the judge who issued the warrant, and the results of the executed warrant.

Obligation to Provide Name and Shield Number

In its January – December 2002 Status Report, released May 22, 2003, the CCRB presented a special study on complaints received in the first six months of 2002 where civilians alleged that an officer refused to provide identifying information upon request. The study showed that civilians were making this allegation in a growing number of cases and that the board substantiated this allegation at a higher rate than others; the report recommended that the police department clarify an officer's obligation to provide his or her name and shield number. Acting upon this recommendation on June 27, the police department issued an interim order revising Patrol Guide procedure 203-09. The Patrol Guide now states, "Courteously and clearly state your rank, name, shield number and command, or otherwise provide them, to anyone who requests you to do so. Allow the person ample time to note this information."

No-knock Search Warrants

On November 23, 2003, the board recommended to New York City Police Department Commissioner Raymond Kelly that the department require its officers to show all search warrants upon the request of an occupant. New York Criminal Procedure Law explicitly requires officers, if asked, to show a search warrant when they must identify themselves to the occupant of premises before entering. However, this requirement does not apply to "no-knock" search warrants—those that permit officers to enter premises without giving notice of their purpose or authority. In the board's view, broadening the requirement to include all search warrants serves both members of the public and the department, providing officers with clear guidelines and citizens with explanations when their homes or businesses are searched.

On February 13, 2004, the department issued an interim order requiring that officers show a copy of all search warrants, when able to do so safely, to any of the premises' occupants.

Outreach

The city charter states that the CCRB "shall have the responsibility of informing the public about the board and its duties, and shall develop and administer an on-going program for the education of the public regarding the provisions of its chapter."⁶ In compliance, the agency's outreach unit conducts public presentations and workshops throughout the city. Outreach unit staff members make presentations before a variety of organizations, including community boards, public service organizations, community and political groups, youth groups and high school classes.

In 2003, the outreach unit conducted 92 public meetings, representing an increase for the second year in a row, up from 56 in 2001 and 76 in 2002. Moreover, with the addition of a Spanish-speaking member to the unit, the agency was able to conduct a number of outreach meetings in Spanish. Of the 92 meetings, the CCRB made 31 (37%) to youth groups, 13 (16%) to Spanish-speaking audiences, 4 (5%) to elderly organizations, and 8 (10%) to community boards. The remaining 27 (33%) the CCRB conducted before a variety of other types of organizations.

In addition, senior staff and board members continued to educate members of the public about the CCRB through the media. Board chair Hector Gonzalez was interviewed in Spanish by radio station WADO 1280 AM on July 23, and again on August 4. Executive Director Florence Finkle was interviewed for a documentary that appeared on the Sundance Cable TV channel over the summer.

The CCRB also broadened its outreach programs in the city's public schools, participating in after-school programs supported by The After-School Company (TASC). TASC is a New York City organization that supports targeted after-school programs in the public schools. The CCRB visited schools participating in law and criminal justice programs, where students are exposed to a broad range of guest lecturers on legal issues. With the school and youth group meetings, staff members use role-play activities to educate teenagers on legal principles applicable to police-civilian street encounters. At all outreach meetings with youth, CCRB staff distribute wallet-sized brochures entitled "What to Do If the Police Stop You" and speak with students on how best to act in order to ensure their safety in police encounters.

⁶ New York City Charter §440(c)(7).

CCRB INVESTIGATION:

Officer Improperly Discharged Pepper Spray at Crowd

On June 4, 2002, two women planning to attend a meeting in lower Manhattan were unable to proceed south on Broadway because of a teachers' rally taking place in City Hall Park. Police had set up barricades to control the flow of pedestrian and vehicular traffic and the women found themselves at the front of one such barricade, attempting to attract the attention of a female police officer in order to ask permission to cross the street. While the women were pressed against the barricade, the crowd began to surge. One of the women was pushed to the ground; according to both women, the female officer, in trying to control the crowd yelled, "Get the fuck back!" This same officer, the women asserted, fired pepper spray into the crowd. Hit with the pepper spray, both women sought medical treatment for burning to their eyes and ears at NYU Downtown Hospital. Medical records obtained by the CCRB documented their complaints and corresponding treatment.

The investigator was able to identify the subject officer by examining photographs of female officers listed on the rally's detail roster and comparing those photographs with the description of the subject officer provided by the women. When interviewed, the officer stated that she did not recall whether she had used the word "fuck" in trying to keep back the crowd yet admitted discharging her pepper spray, claiming that she directed the pepper spray against a single individual who was pushing others. It was not clear whether one of the two women was the "unruly" individual described by the officer.

On March 31, 2003, after comparing the officer's actions with the Patrol Guide procedure governing the use of pepper spray, the CCRB determined that the officer's discharge of pepper spray constituted the use of excessive force since the Patrol Guide instructs officers to "[a]void using pepper spray indiscriminately over a large area for disorder control." In further violation of the Patrol Guide, the officer failed to note the use of pepper spray in her memobook, failed to prepare an aided report, and did not seek medical attention for those hit by the spray. For these violations the board determined to recommend to the department that the officer committed other misconduct. However, the CCRB exonerated the officer's use of an obscenity in attempting to control the crowd based upon administrative judicial decisions that permit officers to employ obscenity in stressful apprehension situations and to gain compliance with an order or directive.

Though the board recommended that the nine-year veteran officer be served with charges and specifications, in September 2003 the department imposed a command discipline level A against her.

Budget and Headcount

Along with many city agencies, the CCRB experienced substantial cuts to its fiscal year 2003 budget. The final budget for fiscal year 2003, as of June 30, 2003, was \$9,000,535—representing a reduction of \$1,216,417 from the fiscal year 2003 budget contemplated when the city released its financial plan in November 2002. The fiscal year 2004 adopted budget restored \$988,000 to the CCRB's personal services budget and \$12,000 to the other than personal services budget, resulting in a total budget of \$10,566,636. However, in the financial plan released in November 2003, the Office of Management and Budget eliminated \$380,548 from the adopted budget, money that would have funded an administrative prosecution unit during the first six months of 2004. As of December

31, 2003, the total agency budget for fiscal year 2004 stood at \$10,186,088.

The fiscal year 2003 budget supported an authorized headcount of 185 (122 positions in the investigations division, 42 in the administrative division, and 21 in the administrative prosecution unit). However, litigation (as described below) has made creation of the administrative prosecution unit unnecessary; the CCRB's actual full-time headcount as of June 30, 2003 was 166: 124 positions in the investigative division and 42 in the administrative division. On December 31, 2003, the agency's full-time headcount again was 166, representing 128 positions in the investigative division and 38 in the administrative division.

Board Membership

Four board members left the CCRB this year. Mayoral designee Debra Livingston, a professor

at Columbia University School of Law, resigned in May after serving nearly nine years. Charles M. Greinsky, city council designee from Staten Island and an active member of many civic organizations in that borough, resigned in June after serving on the CCRB since it became independent of the police department in 1993. Earl Ward, a Manhattan city council designee and a criminal defense attorney and civil rights litigator, resigned from the board in September, after serving since 1997. Tai Park, a mayoral designee and a partner at Shearman & Sterling, resigned in December. He had served as a board member since July of 2001.

On October 16, Mayor Bloomberg appointed Dennis deLeon, president of the Latino Commission on AIDS, and Carol Liebman, a Columbia University Law School professor, to the CCRB for terms lasting through July 5, 2006.

Prior to overseeing the Latino Commission on AIDS, Mr. deLeon was the chair of the New York City Commission on Human Rights, which enforces anti-discrimination laws. He is a city council designee from Manhattan.

Professor Liebman directs the Mediation Clinic at Columbia University Law School and teaches profession of law, the required third-year ethics course. She has spoken worldwide on alternative dispute resolution and has spent more than twenty years resolving disputes, including the 1996 student takeover of Hamilton Hall at Columbia University. She will concentrate on developing and strengthening the CCRB's mediation program as a member of the board's Alternative Dispute Resolution Committee.

Mayor Bloomberg also re-appointed William F. Kuntz II, who has served on the board for over a decade. Mr. Kuntz is a partner at Torys LLP, with extensive experience in mergers and acquisitions, securities, banking, bankruptcy, and real estate litigation. He was appointed to the CCRB in 1987 as one of its first public members when it was part of the police department.

On December 22, Mayor Bloomberg appointed Youngik Yoon to serve on the board. Mr. Yoon, who had been designated to be the city council representative from the Bronx, is a partner at Yoon & Hong, a general practice law firm in Queens. His areas of practice include immigration, matrimonial, real estate and business closings, and criminal defense.

Management Information Systems Accomplishments

On April 29, 2003, the CCRB activated its redesigned website at www.nyc.gov/ccrb. The website provides comprehensive information, arranged in a user-friendly manner. New developments at the agency are updated on the site, and readers can access board reports, executive director reports, transcripts of board meetings, and the board's policy recommendations, along with information on how to file a complaint, an online complaint form, and board member biographies.

During the first six months of 2003, the agency added an inventory tracking system to its computerized complaint tracking system that identifies the location of each closed case file in the agency's storage facilities. The agency can now electronically track where closed cases are physically stored, making it easier to locate them for future research, court cases, or other purposes. Computerization allows case files to be stored as they are closed (in non-sequential order) and still be easily found, saving dramatically on storage space and costs. The new system also records when any case file is removed, allowing the files to circulate with less risk of loss.

Status of Administrative Prosecution Unit Status

In 2001, former Mayor Rudolph Giuliani and former Police Commissioner Bernard Kerik proposed that the CCRB be given the authority to prosecute its own substantiated cases. After the department and the CCRB entered into a memorandum of understanding outlining the transfer of administrative prosecutorial power planned for June 25, 2001, the police unions sued the city, the department, and the CCRB to prevent implementation. The New York State Supreme Court sided largely with the city, and the unions appealed. In January 2003, the Appellate Division, First Department, upheld that aspect of the amendment to the New York City's rules that granted the CCRB the authority to administratively prosecute police officers it determined committed misconduct. At the same time, the court ruled that all disciplinary charges stemming from substantiated cases must be tried before the NYPD's deputy commissioner of trials rather than the Office of Administrative Trials and Hearings (OATH), as

contemplated by the memorandum of understanding. As a result of the Appellate Division's decision, the police department no longer files disciplinary charges against its officers with OATH. All disciplinary cases are now filed with and heard by the department's deputy commissioner for trials or his assistants. The CCRB is currently waiting to see whether the city or the police unions will request that the New York Court of Appeals review the Appellate Division's decision.

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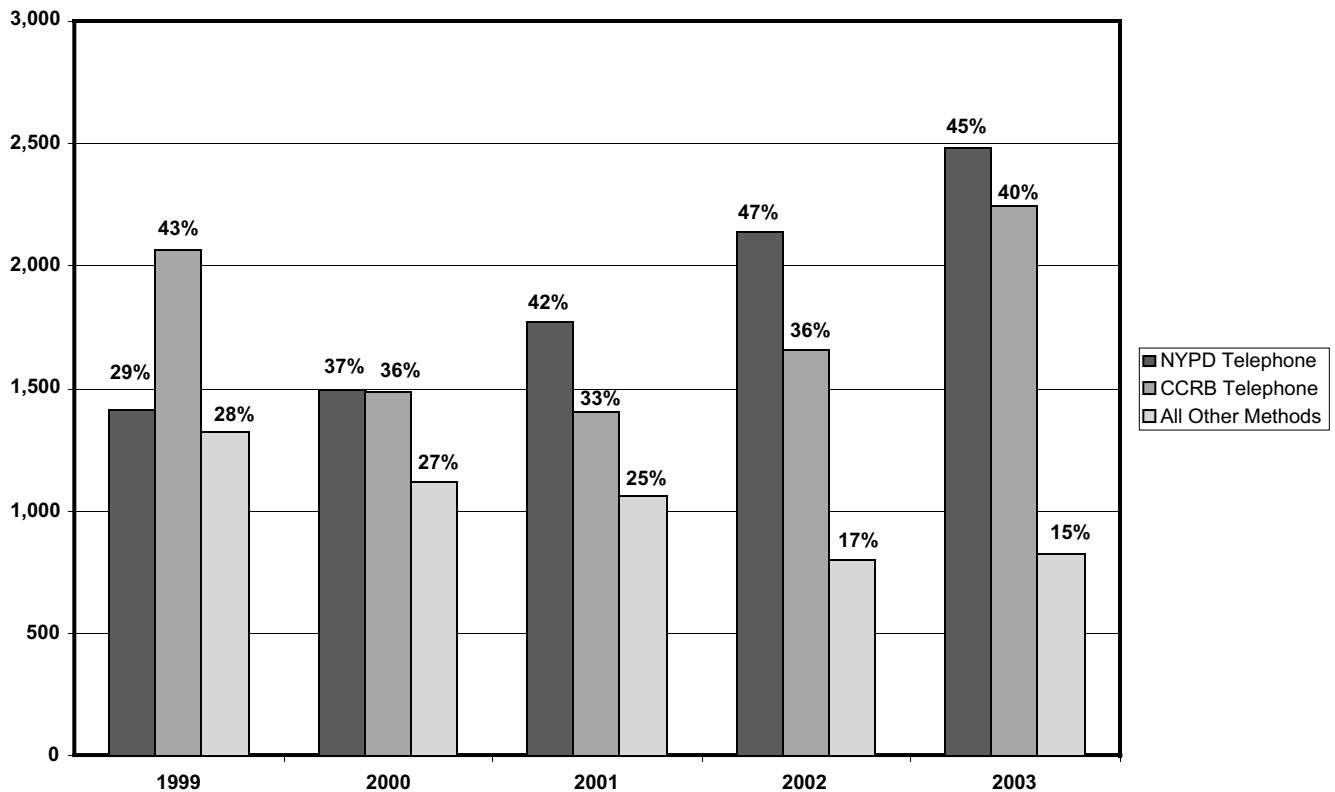
COMPLAINT ACTIVITY

Number of Complaints and Allegations

The CCRB received 5,568 complaints in 2003, up from the 4,612 complaints filed in 2002. (See Table 1A, Appendix A.) This single-year increase is the largest, both as a percentage (21%) and number (962) since the agency became independent of the NYPD. In fact, the total number of complaints received in 2003 is the highest the CCRB has received in any year other than 1996. Complaints have been rising for three years; since 2000, the complaint rate has risen by 35%. Even in 2001, when the agency was closed for six weeks following the September 11 attack, the total number of complaints rose from 4,116 to 4,251, a rise of 3%. The 5,568 complaints filed in 2003 encompassed 16,241 allegations of misconduct. (See Table 1A, Appendix A.)

The rise in complaints is almost wholly attributable to complaints filed by telephone. The CCRB received 2,241 complaints by telephone in 2003, up 36% from 1,653 in 2002; the NYPD received 2,483 telephone complaints that it eventually forwarded to the CCRB, up 16% from the 2,136 calls it received in 2002. (See Figure 2 and Table 6A-C, Appendix A.) On March 9, 2003, the city's 311 system began functioning and the CCRB is currently investigating whether the increase can be partially attributed to improved access to the agency through 311. From the time the system became operational through the end of 2003, 311 transferred 1,870 calls to the CCRB. However, the agency did not have any method to track how many of those calls resulted in a complaint, and does not have any information on whether telephone complaints filed with the NYPD came through the 311 system. Beginning in March 2004, the CCRB began tracking how

Figure 2: Complaints Filed with the CCRB and NYPD by Telephone and All Other Methods Combined, 1999-2003



many complaints originated through calls to the 311 system, and a clearer picture should be available soon.

Characteristics of Allegations

Since 1999, as the number of complaints has risen, the total number of allegations raised by these complaints has gone up as well. While civilians made a total of 12,056 allegations of police misconduct in 1999, they filed 16,241 in 2003, an increase of 35%. As the number of allegations has increased, the relative proportion of these allegations in the force, abuse of authority, discourtesy and offensive language categories has remained fairly constant. The 16,241 allegations lodged in 2003 represent a 21% increase from the 13,379 allegations filed in 2002. (See Table 1A, Appendix A.)

In 2003, 46% of the allegations the CCRB received were abuse of authority allegations (7,488 total allegations). This category was followed by excessive or unnecessary force allegations at 31% (5,052 total), discourtesy at 20% (3,207 total) and offensive language at 3% (494 total). These ratios have been relatively consistent over the past five years; no category deviated by more than three percentage points from the five-year averages of 44% for abuse of authority, 33% for force, 20% for discourtesy, and 3% for offensive language. (See Table 1A, Appendix A.)

The proliferation of total allegations filed resulted largely from increases in some of the allegations lodged most frequently with the agency. Over the course of the past five years, for example, complaints of physical force comprised 71% of all allegations in the force category (14,635 out of a total of 20,665 force allegations). From 2002 to 2003, the number of physical force allegations filed rose by 18% (from 2,444 to 3,631), accounting for most of the increase in the force category, since force allegations as a whole rose only 13% (from 4,465 to 5,052). (See Table 2, Appendix A.) The allegation that an officer used rude or discourteous words, the second most frequently lodged allegation, also rose by 18%, somewhat less than the 21% overall increase.

Though allegations of physical force and discourteous words both increased at rates slightly lower than the average for all allegations, their sheer volume contributed significantly. Together these two allegations were lodged 937 more times in 2003 than they had been in 2002,

accounting for 33% of the total increase in allegations. (See Tables 1A, 2, and 3, Appendix A.)

A number of abuse of authority allegations increased dramatically enough to contribute to a significant portion of the rise in total allegations. Allegations that officers improperly questioned or stopped civilians rose 53% from 2002 to 2003 (from 671 to 1,027), and allegations that an officer refused to provide a name or shield number rose by 28%, from 632 to 813. Allegations that an officer improperly frisked or searched a civilian or threatened to arrest a civilian, the most frequently filed abuse of authority allegation, both rose over 25% (from 841 to 1,068 and from 842 to 1,057 respectively). (See Tables 2-5, Appendix A.)

Some allegations, while not filed often enough to have a significant impact on the increase in allegations filed, were filed far more often in 2003 than four years ago. In the force category there were two notable examples. First, civilians lodged 156 allegations of improper use of pepper spray in 1999; they made more every year until 2002, when 249 allegations were filed. In 2003, when complaints as a whole rose, pepper spray allegations declined slightly to 245, resulting in a total increase of 57% over five years. (See Table 2, Appendix A.) Seventeen allegations of the use of unjustified force with an animal were filed in 2003, more than quadruple the previous high of four filed in 2002; most of these allegations stemmed from the February 15 anti-war demonstration. (See Table 2, Appendix A, and special study, page 37.)

Abuse of authority allegations as a whole have been rising faster than the rate for all complaints and allegations. In 1999, civilians filed 5,125 allegations of abuse of authority. This dropped to 4,214 in 2000 but rose each year thereafter, reaching 7,488 in 2003. (See Table 3, Appendix A.) This represents a 46% increase since 1999, substantially higher than the 35% increase in all allegations. The one-year increase since 2002 of 26% (from 5,953 to 7,488) also outpaces the agency-wide rise in complaints of 21%. (See Table 3, Appendix A.)

The use of obscene or nasty words comprised 79% of the discourtesy allegations filed in 2003; as detailed above, this allegation is the second most frequently lodged. The next most commonly filed discourtesy allegation was the use of a rude demeanor or tone (13%). (See Table 4, Appendix A.)

CCRB INVESTIGATION:

Detective threatened a young man, threw him against a police vehicle, and illegally frisked and searched him

A 22-year old man was hanging out with friends who were sitting on top of a car in their neighborhood during the evening of November 14, 2001. Plainclothes officers from a Staten Island narcotics unit drove up to the group in a van and asked a few of them what they were doing and where they lived. The young man told an inquiring detective that he lived down the block. When the detective asked the man if he had anything on him, the man said, “No, not me, Jack.” The officer responded by leaving the van and threatening the man, “If you call me Jack again, I’ll wrap your lip around your head.” The detective threw the man against and on top of the van’s hood. The man admitted to grabbing hold of the detective’s hand until another officer assisted the detective, who repeatedly forced the man’s head against the hood of the vehicle. The detective then frisked the man and searched his pockets without finding any contraband. He took the man’s wallet and prepared a stop and frisk report. Though he was not arrested or issued any summonses, the man filed a complaint the next day.

The investigator interviewed four of the man’s friends, who largely corroborated the man’s account. The detective told the investigator, however, that he saw the man put his hands in his waistband and take a couple of steps back. His two partners agreed that the detective, who was driving the van, mentioned the man’s hands before stopping the van. The detective and his partners claimed that no conversation took place before the detective forcibly stopped and frisked the man. The detective denied searching the man. The stop and frisk report the detective prepared, obtained by the investigator, indicated that the man said, “Hey what’s going on Jack,” a statement the detective said he could not recall. Additionally, the stop and frisk report indicated that the detective obtained information about the man’s identity through photographic identification, identification the man alleged was in the wallet the detective found in his pocket. At his interview, the detective informed the investigator that the man verbally relayed identifying information to him.

Applying principles of search and seizure law, the board determined that even if the detective saw the man put his hands in his waistband and take a step back, the detective was not entitled to forcibly stop and frisk the man for a weapon. Before an officer can frisk an individual, an officer must reasonably believe that the individual possesses a weapon (or has committed, is in the process of committing, or is about to commit a crime). In evaluating the evidence—particularly the information contained in the stop and frisk report—the board concluded that the detective threatened the man, threw him on the car, frisked him and searched him because the detective took umbrage at being referred to as “Jack.” On September 27, 2002 the board substantiated these allegations and recommended that the department file charges and specifications. In January 2003, the department issued the detective a level B command discipline.

During 2003, 78% of offensive language allegations involved race or ethnicity. (See Table 5A, Appendix A.) Since 2000, the majority of race-related offensive language complaints have consistently been Black slurs. However, in 2003, the percentage of race-related allegations involving Black slurs rose conspicuously, from 57% in 2002 to 74% in 2003. (See Table 5B, Appendix A.)

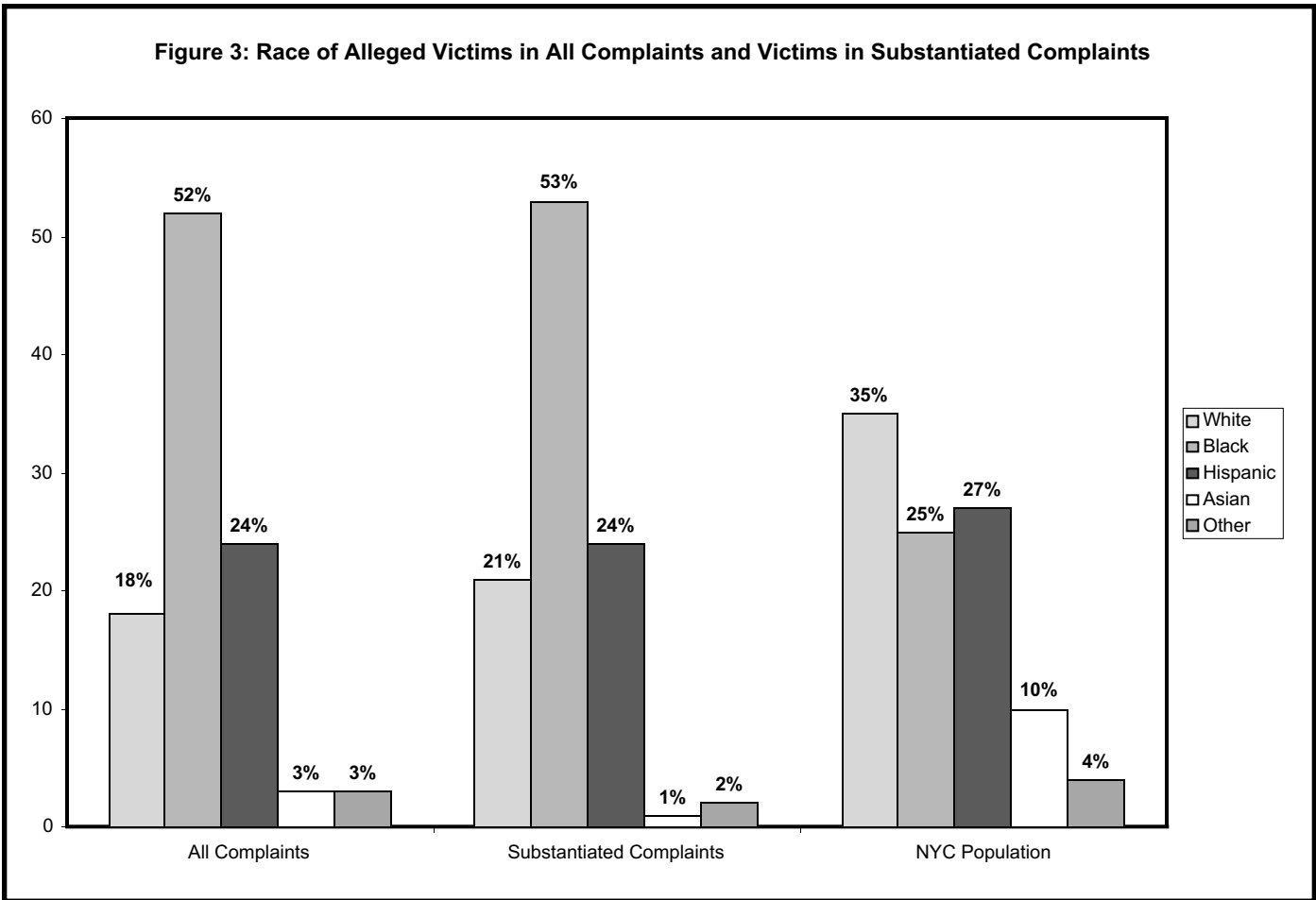
Location of Incidents Resulting in Complaints

Tables 13A through 13E in Appendix A show the number of incidents in each precinct in the city that led to a complaint over the last five

years. Of course, it does not necessarily follow that each incident that took place within the borders of a precinct involved officers assigned to that precinct. Officers assigned to specialized commands, for example, operate within the confines of multiple precincts. In order to track complaint locations, the city is broken down first into the five boroughs, then into the eight patrol boroughs (where Manhattan, Brooklyn, and Queens are each divided in half), and within the patrol boroughs into individual precincts.

In 2003, the largest share of complaints (32%) were filed about incidents in Brooklyn (1,756 total complaints). Manhattan was second with 27% (1,485 total), followed by the Bronx at 20% (1,113), Queens at 16% (873), and Staten Island

Figure 3: Race of Alleged Victims in All Complaints and Victims in Substantiated Complaints



at 4% (223). None of these ratios is more than a percentage point different from ratios over the last five years. (See Tables 13A-E, Appendix C.)

When broken down by patrol borough, however, certain locations in the city begin to stand out. As stated above, since hitting a low in 2000 complaints have risen by 35% (from 4,116 to 5,568). However, within two patrol boroughs, the percentage has been substantially higher. In Manhattan South complaints rose by 63% from 2000 to 2003 (from 491 to 798), and in S.A.T.COM (covering the geographic area of northern Brooklyn) they rose by 51% (from 665 to 1,003). The increases in these patrol boroughs had an inordinate effect on the overall complaint increase. (See Tables 13A and 13C, Appendix A.)

While the number of complaints filed stemming from events within the confines of any individual precinct did not have a significant effect on the total complaint increase, certain locations consistently experience a higher number of complaints than others. In 2003, each of five precincts was the location for over 160 incidents leading to a complaint: Manhattan's Midtown South (176), the 75th in Brooklyn (169), the 44th in the Bronx (161), and the 73rd and 79th in Brooklyn (160

each). Each of these locations accounted for 3% of all complaints received in 2003, and each has consistently been among the locations with the highest number of complaints in the city. (See Tables 13A-E, Appendix A.)

Some precincts that were not the setting for a large number of complaints relative to other locations in the city still saw notable increases from 2002 to 2003. Chief among these is the 17th Precinct in Manhattan, where complaints more than tripled, from 31 in 2002 to 104 in 2003. However, 43 of the complaints stemming from the February 15th protest occurred within the 17th Precinct, accounting for much of the increase. The next largest increase in percentage terms was within the 94th Precinct in Patrol Borough Brooklyn South, where the number of complaints nearly tripled, from 11 in 2002 to 28 in 2003). (See Tables 13A and 13C, Appendix A.)

Arrests and Summonses

An analysis of complaints received during 2003 shows that 28% involved an arrest and 19% involved a summons. Fifty-two percent of the complaints filed did not involve an arrest or sum-

mons. The percentage of all complaints filed in 2003 that stemmed from an arrest or issuance of a summons (48%) is similar to that in 2002 (47%). (See *Civilian Complaint Review Board Status Report January – December 2002*, p. 21.)

Characteristics of Alleged Victims in Complaints Filed

Race

Race information is not available for almost a quarter of the alleged victims in complaints filed in 2003. Either the alleged victims failed to identify their race or the CCRB was not able to contact them. Of those alleged victims whose race is known, Blacks constituted the largest percentage: 52%. (See Figure 3 and Table 7, Appendix A.) This figure is disproportionately high, given that Blacks constitute only 25% of the New York City population as reflected in the 2000 U.S. Census. Latinos made up 24% of alleged victims, and 27% of the city's population. Whites represented 19% of alleged police misconduct victims, considerably lower than the percentage of Whites in the New York City population (35%). Three percent of alleged victims were Asian, while Asians make up 10% of the population. Those who classified themselves as "other" constituted 3% of alleged victims and 4% of the New York City population. The percentages for 2003 mirror almost exactly the data for the five-year reporting period, which depict an alleged victim population that was 52% Black, 25% Latino, 18% White, 2% Asian, and 3% classified as "other."

Gender

While males make up 47% of the New York City population, men comprised 67% of alleged victims in complaints filed in 2003. The percentage of CCRB alleged victims who are male has ranged between 65% and 69% over the past five years, always much higher than the male proportion of New York City. (See Table 10, Appendix A.)

Age

CCRB alleged victims are also younger than the average New Yorker. During 2003, the average age of an alleged victim was 32, and persons between 15 and 24 represented 31% of alleged victims in CCRB complaints, substantially high-

er than the 14% of the city population they make up. Over the five-year period, more than half (57%) of all alleged victims have been between 15 and 34, while this group constitutes only 32% of the city population. (See Table 12, Appendix A.)

Characteristics of Subject Officers

Race

Subject officers whose race is known in complaints filed in 2003 were 65% White, 21% Latino, 13% Black, 2% Asian and 0.2% other. (See Table 8, Appendix A.) This racial breakdown has remained consistent over the last five years, and corresponds to that of the police department as a whole, which is 62% White, 21% Latino, 15% Black, 2% Asian, and 0.2% of another race.

Gender

Civilians filed an overwhelming majority of complaints against male officers, not surprising in a police department that is overwhelmingly male. In 2003, 85% of subject officers were male, as are 83% of all NYPD officers. The gender breakdown of officers is the same for each of the past five years. (See Table 11, Appendix A.)

Assignments of Subject Officers

Table 14, Appendix A depicts the number of officers against whom a complaint was filed based on the subject officers' command assignments. If a complaint names multiple subject officers assigned to different commands, each command is credited with that complaint. Therefore, the total number of officers listed in Table 14 (6,368 in 2003) is higher than the total number of 2003 complaints (5,568). By the end of 2003, the CCRB had identified 3,935 of these officers, allowing the agency to attribute the complaint to a command assignment. The table breaks down the police department by bureau, and further divides the Patrol Service Bureau into the patrol boroughs (which contain the numbered precincts) and its other divisions.

Patrol Services Bureau

During 2003, 75% of the officers named as subjects in CCRB complaints whose command

was identified by the CCRB were assigned to the Patrol Services Bureau (2,955 out of 3,935 total subject officers). Within the Patrol Services Bureau, 21% of the officers were assigned to the Strategic and Tactical Command (S.A.T. COM) (617 total complaints). S.A.T.COM is a command encompassing Brooklyn North that includes not only the usual patrol borough precincts, but also the detective and specialized units. S.A.T.COM was followed by the Bronx with 20% (579) and Patrol Borough Brooklyn South with 14% (416). (See Table 14, Appendix A.) These three patrol boroughs have had the highest number of subject officers in each of the past five years, together always accounting for the majority of subject officers assigned to the Patrol Services Bureau.

There were several individual precincts that deserve mention for the high number of complaints against officers assigned to the command. The 79th precinct, in S.A.T.COM, accounted for 3% of all Patrol Services Bureau officers with complaints lodged against them (82 complaints). Other precincts with 70 or more complaints lodged against their officers in 2003 include the following: the 67th in Brooklyn South (78 officers), the 46th and 43rd in the Bronx (71 and 70 officers, respectively), and Midtown South in Manhattan and the 75th Precinct in S.A.T.COM with 70 each. Each of these six precincts accounted for between two and three percent of the officers with complaints against them in the Patrol Services Bureau. (See Tables 15A-E, Appendix A.)

Other Bureaus

While the total number of complaints rose, the number of officers working in bureaus other the Patrol Services Bureau who received complaints actually declined by 5%, from 1,007 to 961. These other bureaus are composed of the Chief of Transportation (itself made up of the Transit Bureau and Traffic Control Division), the Organized Crime Control Bureau, the Housing Bureau, the Detective Bureau, and other bureaus made up of smaller, specialized units. Within these other bureaus, officers assigned to the Chief of Transportation received the most complaints, 328, accounting for 34% of the officers outside the Patrol Services Bureau. The number of Chief of Transportation officers who received a complaint rose by 34%, the highest of any bureau. Detective Bureau officers received 239 complaints in 2003, accounting for 25% of the offi-

cers outside the Patrol Services Bureau. From 1999 to 2002, officers assigned to the Organized Crime Control Bureau had received the most complaints in this category, but from 2002 to 2003 they received 34% fewer (dropping from 330 to 218), putting the bureau third behind the Detective Bureau and Chief of Transportation for the first time. (See Table 14, Appendix A.)

Command Rankings

The CCRB ranks the complaint activity of precincts and other relatively small commands according to a measurement called complaints per uniformed officer. This measurement is calculated by dividing the total number of complaints filed against officers in a single command by the total number of uniformed officers assigned to that command, thereby compensating for the difference in size between various commands.

With ten officers and five complaints, Manhattan North Narcotics East had the highest complaints per uniformed officer ratio in 2003, at 0.5. Patrol Borough Bronx North Anti-Crime Unit (0.48), Staten Island Gang Unit (0.41) and the 79th Precinct (0.33) ranked second, third, and fourth. (See Table 16B, Appendix A.) Several units topped the list in both 2002 and 2003: Patrol Borough Brooklyn North Anti-Crime Unit, the 79th Precinct, and the Narcotics Division Brooklyn South Initiative. (See Tables 16A-16B, Appendix A.)

Measuring the number of complaints a command receives against the number of officers assigned to it helps to contextualize the number of complaints some large commands receive. For example, while the 70 complaints received by officers assigned to Midtown South was the most for any Manhattan precinct in 2003 (see Table 15A, Appendix A), with 384 officers the precinct had only 0.1823 complaints per uniformed officer, placing it 64th. At the same time, the 70 complaints received by the 43rd Precinct in the Bronx, when divided by the 294 officers assigned there, ranks it as 21st, fairly high in a city with hundreds of command assignments. (See Table 16A, Appendix A.)

AGENCY PRODUCTIVITY

Effect of Complaint Increase on Investigative Division

Investigative Caseloads

Over the past few years, productivity measures such as more rigorous training, better supervision, and time-triggered reviews have helped investigators close their cases in a more timely manner, thereby decreasing the size of their individual caseloads. For example, the average investigator caseload in 1997 was 29 cases and in 1998 25, but by 2002 the agency had reduced the average to 17. But the rise in complaints in 2003, coupled with the agency's inability to increase the number of investigators, pushed the average caseload up to 23, an increase of more than 35%. With six additional complaints apiece, investigators have far less time to devote to each case. Although the staff remains determined to investigate all complaints thoroughly and in a timely manner, the ballooning docket has only just begun to affect the CCRB's performance. Unless the agency receives additional resources to combat the swelling docket, the impact on productivity and timeliness will become more pronounced.

Size and Age of Docket

The rise in complaints, combined with a decline in the average number of investigators from 125 in 2002 to 121 in 2003, contributed to a docket that grew substantially larger and somewhat older in 2003. While the growing size of the docket is currently the more critical concern, the growing age of cases may present serious problems in the near future if investigative staffing levels remain static.

Despite the fact that a smaller staff of investigators closed a larger number of cases in 2003, the CCRB docket as a whole grew from 2,149 cases on December 31, 2002, to 2,816 on December 31, 2003, a 31% increase. The agency closed 4,877 cases in 2003, 52 more than in 2002

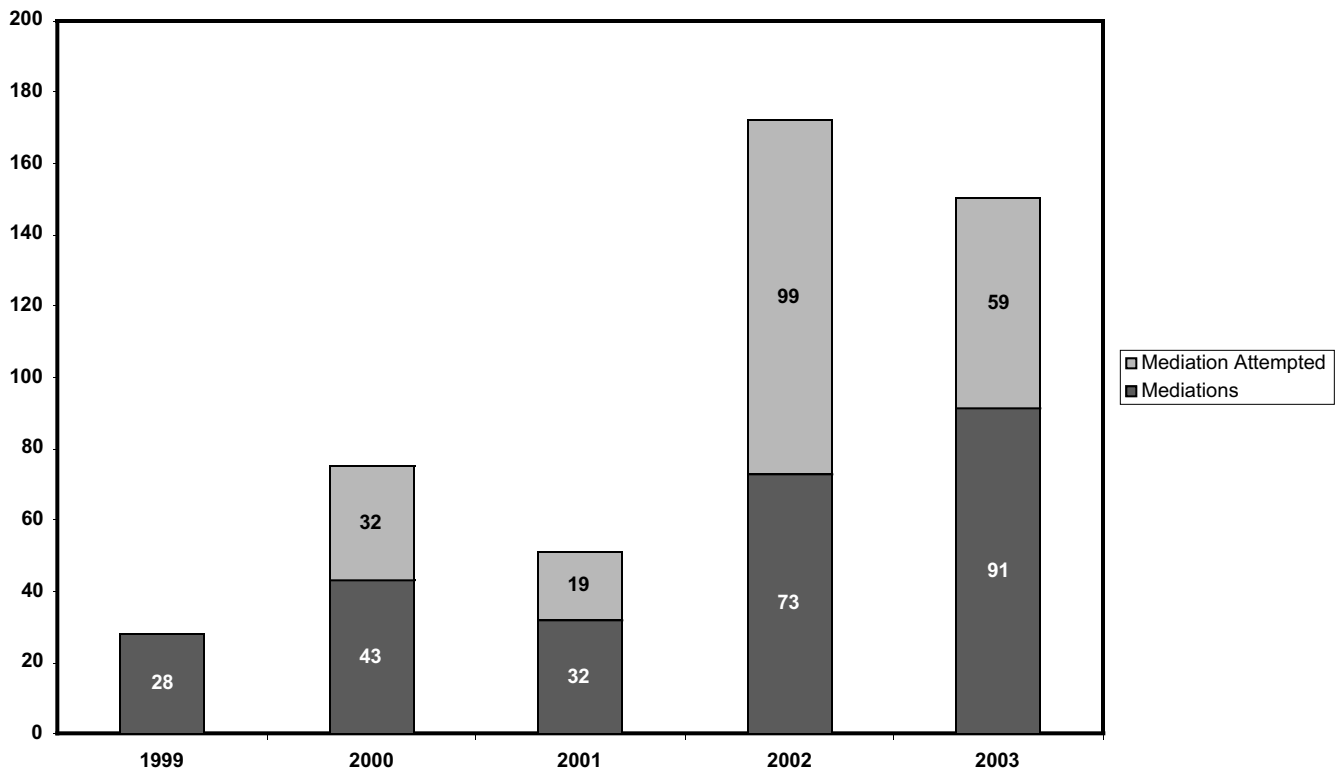
and but for the 4,948 closed in 2000, the highest number of cases in the last five years. Still, the size of the docket at year's end was the largest in the last five years, and 5% higher than it was in December 1999. The docket increase in 2003 was the largest in terms of number of cases (667) and second-highest in terms of percentage increase (31%) in the last five years. (See Table 20, Appendix B.)

The age of the docket rose in 2003 as well. From 1999 to 2002, the percentage of cases under nine months old (based on the date of report) rose from 77% to 90% as the agency implemented strategies to successfully close investigations before they aged excessively. By December 2003, however, these cases represented only 86% of the docket, the first drop in three years. (See Table 21, Appendix B.) By the end of 2002 only 146 cases were older than nine months and younger than 12, representing 7% of the docket. In one year the number of cases in this age range nearly doubled, to 290, and by December 2003 the cases in this age range constituted 10% of all open cases. Cases over one year old at the end of 2003 (113) made up only 4% of the agency's docket, just slightly more than the 3% of the docket they represented at the end of 2002 (74). (See Table 21, Appendix B.) While the proportional change in the number of cases over a year old is small, combined with the aging of the docket on the whole it presents a challenge for the upcoming year.

Full Investigations

The expanded docket and increased investigative caseloads began to measurably affect agency productivity in 2003, with consequences that could grow more serious if complaints continue to rise while agency resources remain fixed. Last year, the agency's ability to fully investigate cases began to show the stress of larger caseloads and increased complaints. The CCRB closed 2,040 cases after conducting a full investigation in 2003, an 8% drop from the 2,213 cases closed

**Figure 4: Mediations and Mediations Attempted
1999-2003**



following a full investigation in 2002. (See Table 24A, Appendix C.)

While the rise in complaints and the resulting increase in docket size remain a concern for the future, in the past year the agency was still able to conduct high-quality investigations in a timely manner. The rate at which the agency made findings on the merits in full investigations remained high, at 64%. (See Table 19, Appendix B.) The rate at which the board comes to a finding on the merits of the allegation is one measure of the quality of investigations, since such a finding can only be made in cases where the investigation uncovers enough evidence to make a conclusive determination on the facts and law of the complaint. This represents the fourth consecutive year that the rate has been over 60%.

The average number of days it took to close a full investigation in 2003 dropped slightly to 257 days from the 267 days it took in 2002. (See Table 18, Appendix B.) However, when the average time to complete a full investigation is calculated for each of the last three six-month periods, a different picture arises. For the last half of 2002 and the first half of 2003 a full investigation took on average 243 days (eight months). In the sec-

ond half of 2003 it took 272 days (nine months), an 11% increase. The increase in the number of cases the board substantiated after the 18-month statute of limitations (based on the date of the incident) expired also illustrates the difficulty the agency is experiencing in its efforts to close cases expeditiously. In 2002 there were only four such cases, while in 2003 there were 11.

Truncated Case Closures

The CCRB closed 2,687 cases after a truncated investigation in 2003, representing 55% of all case closures. (See Table 24A, Appendix B.) The percentage of all cases that were closed as truncated remained close to 50% from 1999 to 2002, but rose by almost 5% in 2003 compared to 2002. The majority of the increase comes from complaints that were closed as “complainant/victim/witness uncooperative,” meaning that the complainant, alleged victim, or witness did not respond to telephone calls, letters, or emails, refused to provide a statement to the CCRB, or did not show up for multiple scheduled interviews. Part of the increase might be attributable to the static staffing levels the agency has experi-

enced while complaints have risen. This increase (as described above) has resulted in each investigator carrying more cases at any given time, and necessarily means that an investigator has less time to devote to each case. While in the past investigators regularly went beyond the standards they must follow in order to track down complainants who are reluctant to come to the CCRB for an interview, the agency's ability to expend such extra resources in 2003 was limited.

Mediated Cases

The CCRB successfully mediated 91 complaints in 2003, nearly a quarter more than the 73 that were mediated in 2002, and almost three times as many as the 32 that were mediated in 2001. (See Figure 4 and Table 24A, Appendix C.) The number of cases closed as "mediation attempted," in which a complainant agrees to mediate but fails to appear for two mediation sessions without an excuse, or withdraws the complaint when staff tries to schedule mediation, fell from 99 in 2002 to 59 in 2003, a drop of 40%. At the same time, the average number of days it took the agency to close a successfully mediated case

dropped to 140 days (4.6 months) from the 193 days (6.3 months) it took in 2002, a decrease of 38%. (See Table 18, Appendix B.) The CCRB has made a determined effort to educate the public and investigators about the benefits of mediation, and to encourage mediation in appropriate cases. In 2003, supervisory and senior staff conducted additional training with investigators, and Executive Director Florence L. Finkle met with investigators to discuss the best methods of offering and discussing mediation with complainants. As a result, in 2003 not only was mediation offered in more cases (1,077 compared to 742 in 2002), but a higher percentage of those complainants offered mediation accepted it (561, or 58%, compared to 302, or 44%, accepting mediation in 2002). Officers also accepted mediation at a higher rate this year—67% of officers accepted mediation in 2003, compared with 58% in 2002. The Mediation Unit accepted 455 cases in 2003—an increase of 36% over the 335 accepted in 2002. A rise in cases accepted by the unit ultimately means more successful mediations, resulting in satisfactory outcomes for more complainants and police officers.

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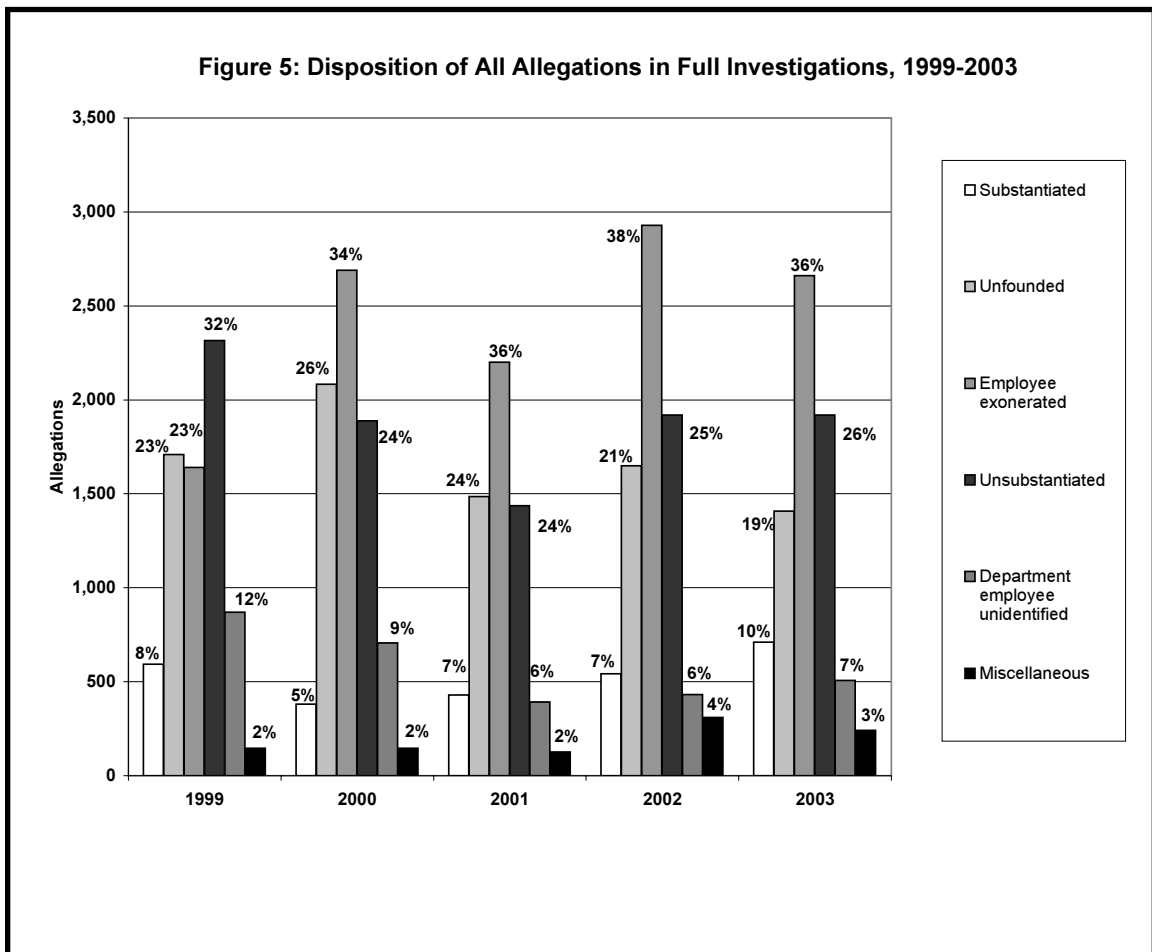
COMPLAINT DISPOSITIONS

Dispositions of Cases

A case is considered substantiated if the board substantiated any allegation following a full investigation. Cases in which no allegation is substantiated are not classified by a single disposition, since the individual allegations can each have different dispositions. In addition, since some cases have multiple substantiated allegations but are counted as a single substantiated case, the total number of substantiated cases will be smaller than the total number of substantiated allegations. In 2003, the CCRB substantiated one or more allegations in 294 cases, representing

14% of all cases closed after full investigation. This figure represents the highest rate in the five-year reporting period, much higher than the 10% rate in 2002 or the 11% average over the past five years. (See Table 24A, Appendix C.)

In 2003, the board closed 2,040 full investigations. Of the 1,746 full investigations resolved where the board did not substantiate any allegations, it closed 120 without identifying any of the subject officers, referred two to the Internal Affairs Bureau, and closed 34 as “miscellaneous,” because the police department no longer employed the subject officer. With respect to the remaining 1,590 full investigations, the agency



CCRB INVESTIGATION:

Officer Arrests Woman for Calling 911 About Man in Police Custody

Near midnight on April 4, 2001, a transit police officer observed a 53-year old man drinking in the Chambers Street A/C/E subway station in Manhattan. Upon conducting a warrant check the officer learned that the man, who wore prosthetic legs, had numerous outstanding transit system summonses. When the officer notified the man that he would have to place him under arrest, the man cried and threw himself on the ground. The officer's partner assisted in handcuffing and seating the man, now bleeding from his nose.

Within minutes, four women entered the station and saw the two officers with the disabled man. To the women, the man appeared to be either ill or intoxicated. One woman approached the man and asked after his condition. She told the officers that he appeared too ill to be handcuffed and volunteered to call 911; the subject officer told her that he and his partner knew when medical treatment was required and threatened to arrest the woman if she didn't leave. When she asked for the officer's shield number, he allegedly refused to provide it. As she walked off, the woman used the word "fuck" and made derogatory comments about the police department. The officer pursued the group and threatened to arrest the women if they didn't leave.

The women went down to a subway platform until they realized they needed to move to another to get the right train. They subsequently heard two other individuals discussing the man in police custody and vowed to call for an ambulance. The same woman who had exchanged words with the officer called 911 from a payphone about ten to fifteen feet from the officers and the man. The subject officer asked the woman what she was doing and she told him. She refused to hang up when he ordered her to do so and as a result the officer pulled the woman away from the phone and arrested her, for, he said, obstructing governmental administration. He also threatened to arrest one of her friends who had begun taking pictures of the incident. Ultimately, the arrested woman was released from a Transit Bureau facility with a summons for breaching the peace, which was subsequently dismissed.

The investigator interviewed all four women, a transit employee assigned to the station the evening of the incident, the two officers and two of their supervisors. The investigator also obtained a recording of the 911 call and tracked down the 53-year old man through arrest records, though he refused to provide a statement to the CCRB. After analyzing the elements of the crime of obstructing governmental administration, which requires physical rather than verbal interference with a law enforcement officer, the board concluded on December 20, 2001, that the officer lacked probable cause to arrest the woman and did so in bad faith. Additionally, it found that the officer used excessive force against her when he pulled her away from the phone and lacked probable cause to threaten to arrest the woman taking photographs. The board unsubstantiated the claim that the officer refused to provide his shield number. In agreement with the board, the department filed charges and specifications against the officer, who in January 2003 pleaded guilty and forfeited ten vacation days.

closed the allegations raised in these cases generally with outcomes of exonerated, unfounded, and/or unsubstantiated. This latter category of closures represents 78% of all full investigations, down from 81% in 2002. While this number is also below the 80% average for the five-year period, it is higher than the 75% figure for 1999, when the rate by which officers remained unidentified or had left the department was higher. (See Table 24A, Appendix C.)

Disposition of All Allegations

Because the case substantiation rate includes any fully investigated complaint with at least one substantiated allegation, it will necessarily be higher than the allegation substantiation rate. In

2003, the CCRB substantiated 710 allegations of misconduct, representing 10% of all allegations closed after full investigation. This is much higher than the average for the five-year period and the 2002 allegation substantiation rate, both of which stood at 7%. In fact, both as an absolute number and as a percentage, the 2003 substantiated allegations represent a high for the five-year period. (See Figure 5, page 25, and Table 24B, Appendix C.)

The other allegation disposition rates did not change as dramatically as the substantiation rate. In 2003, following a full investigation the CCRB exonerated 36% of all allegations, unsubstantiated 26%, and unfounded 19%. These figures are fairly similar to the rates in 2002, when the exoneration rate was 38%, the unsubstantiated rate

25%, and the unfounded rate 21%. In fact, these rates have all been relatively constant for the past three years. From 1999 to 2000 the unsubstantiated rate dropped from 32% to 24% and the exonerated rate rose from 23% to 34%, but both have remained within four percentage points of the latter values for the past three years. (See Table 24B, Appendix C.)

In 2003, the CCRB closed 508 allegations after a full investigation without identifying a subject officer, for a rate of 7%. While this represents an increase from the 6% rate in 2002, it is still shy of the five-year average of 8%. Since 1999, when 12% of all allegations in full investigations were closed without identifying a subject officer, the CCRB has made significant progress in keeping this percentage low.

Dispositions of Specific Allegations

In the January – June 2003 Status Report, the CCRB started reporting the disposition rates for each type of allegation. Tables 25 through 29 in Appendix C contain this information for the last five years. Breaking dispositions down by specific allegation allows one to see which allegations are substantiated, exonerated, unfounded, or unsubstantiated more or less frequently than average disposition rates.

Certain abuse of authority allegations were substantiated at rates much higher than the 10% substantiation rate for all allegations in 2003. Taking only allegations that were fully investigated at least 100 times, two stand out for substantiation rates of double the average. The CCRB substantiated the allegation that an officer refused to give his or her name or shield number when requested 80 of the 374 times it was lodged for a 21% rate, and also substantiated 89 of the 452 allegations of an unjustified frisk and/or search, for a 20% rate. (See January 2004 Executive Director's Report). Two other allegations, of retaliatory arrests and retaliatory summonses, were substantiated at a rate over 20% after full investigation in 2003, though the agency closed only 52 and 76 of these allegations respectively.

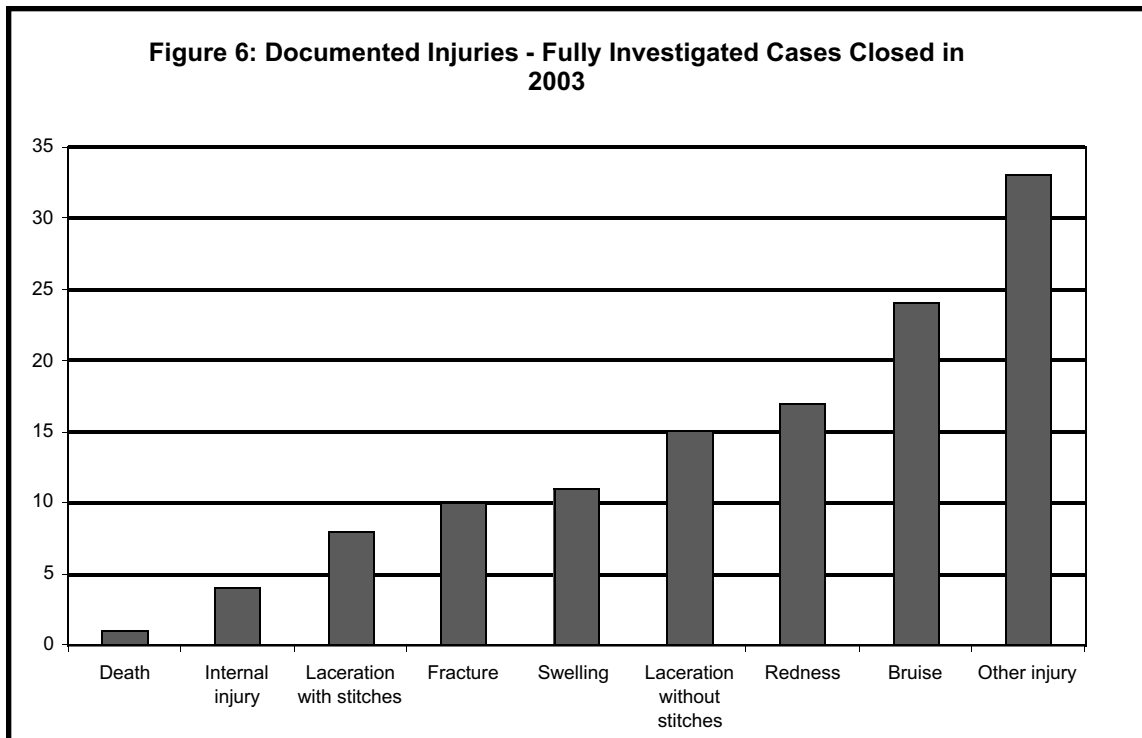
The CCRB also substantiated the above allegations at rates higher than the average over the five-year reporting period, though not always at rates as high as the 2003 rates. From 1999 through 2003, for example, the agency substantiated allegations of refusals to provide name or

shield number at a 16% rate (191 out of 1,197 allegations) and frisks and/or searches at a 14% rate (322 out of 2,336), both much higher than the 7% substantiation rate for all allegations. (See Table 26, Appendix C.) The board also substantiated allegations of retaliatory arrests and summonses at higher rates than the average for all allegations, though once again they were resolved far less frequently.

The CCRB has consistently substantiated more allegations of police officers using discourteous words than any other allegation. In 2003, this allegation was substantiated 110 times, once again placing it first in this category. Given the 1,112 times it was fully investigated, however, the allegation yields a 10% substantiation rate, exactly the average for all 2003 allegations. (See January 2004 Executive Director's Report.) This is consistent for the five-year period, where the agency substantiated 425 out of 5,703 allegations of discourteous word, for a rate of 8%, just higher than the 7% rate for all allegations. (See Table 27, Appendix C.)

The substantiation rates for force allegations, on the other hand, are consistently lower than the average for all allegations. Complainants alleged that officers used excessive or unnecessary physical force more often than they made any other allegation; in 2003, for example, the allegation was made 3,631 times. Out of the 1,715 times an allegation of physical force was fully investigated in 2003, the board substantiated it 84 times—a substantiation rate of 5%—half that of all allegations in 2003. The same allegation was exonerated 833 times, yielding an exoneration rate of 49%, substantially higher than the 36% cited above for all allegations. (See January 2004 Executive Director's Report.) Over the past five years, the board substantiated the use of physical force 4% of the time and exonerated it at a rate of 44%, again a substantiation rate far below and an exoneration rate far above the five-year averages. (See Table 25, Appendix C.)

Allegations of discourtesy and offensive language, meanwhile, are more frequently unsubstantiated than other allegations. In 2003, the CCRB substantiated 130 out of 1,319 discourtesy allegations and 20 out of 206 offensive language allegations, yielding a substantiation rate of 10% in both instances, equivalent to the average for all allegations. However, the board closed 43% of all discourtesy allegations (569 out of 1,319) and 42% of offensive language allegations (86 out of 206) as unsubstantiated in 2003, rates that are



consistent with historical figures. Over the past five years while the average unsubstantiated rate for all allegations was 26%, discourtesy and offensive language allegations (which by their nature usually involve little or no hard evidence) were unsubstantiated at rates of 41% and 40%, respectively. (See Tables 24B, 27, and 28, Appendix C.)

Documented Injuries

The CCRB subpoenas medical records and other documents in order to confirm injuries that complainants and victims allege. Of the 1,096 fully investigated cases containing at least one force allegation in 2003, the CCRB documented a total of 113 injuries that resulted from police encounters. Thus, the agency was only able to document an injury in 10% of the cases containing an allegation of unnecessary or excessive force. While this percentage may seem low, not all allegations of force involve allegations of injury, and documentation of injury is not necessary in order to substantiate a force allegation.

Figure 6 shows the types of injuries documented by the CCRB in 2003. The most serious injury documented in a fully investigated case during 2003 was the death of a civilian, which was documented once. CCRB investigators also documented four internal injuries; 10 fractures; eight lacerations with stitches; 15 lacerations without stitches; 24 documented bruises; 11 instances of

swelling; seven instances of redness; and 33 other injuries. (See Figure 6.)

Characteristics of Substantiated Cases

Location of Incident Leading to a Substantiated Complaint

The CCRB tracks the locations of incidents that led to substantiated complaints using the geographic confines of the eight patrol boroughs (Manhattan, Queens, and Brooklyn each contain two patrol boroughs, while the Bronx and Staten Island are each one). It is important to keep in mind that simply because an incident took place within the location of a precinct or patrol borough, it does not follow that the subject officer worked out of that precinct or patrol borough. Tracking the location of these incidents does, however, provide a picture of where incidents that lead to substantiated complaints occur and are rising faster than the general rate of increase in substantiated complaints.

In 2003, the largest share of substantiated complaints (23%) stemmed from incidents that took place within the confines of S.A.T.COM (Brooklyn North), followed by the Bronx, where 58 incidents, or 20% of the total, took place. The percentage of substantiated complaints stemming from incidents that took place in the Bronx

matches its 21% for the five-year total, but the 2003 ratio within the confines of S.A.T.COM is higher than its 18% average for the past five years. Of particular note regarding incidents that took place within S.A.T.COM is the fact that from 2002 to 2003, the number of substantiated complaints rose from 34 to 67, a rise of 97%, far more than the 31% rise in all substantiated complaints. (See Tables 44 A-E, Appendix C.)

The CCRB also tracks the locations of incidents leading to a substantiated complaint on the precinct level. In 2003, 14 complaints were substantiated stemming from incidents in the 77th Precinct (within S.A.T.COM), more than any other. The 75th Precinct was second with 12 substantiated complaints; seven precincts followed with nine substantiated complaints. While the 75th Precinct (in S.A.T.COM), as a location, has always had a high number of substantiated complaints (it has 40 over the five-year period, second only to the 41 in the 120th Precinct on Staten Island), the high number for the 77th Precinct is exceptional. In fact, the number of complaints substantiated stemming from incidents that took place within the 77th Precinct doubled between 2002 and 2003; this is the first year in which the 77th Precinct has led this category. (See Tables 44A-E, Appendix C.)

Attributes of Victims in Substantiated Cases

In 2003, the CCRB substantiated cases involving a total of 507 victims. The racial breakdown of police misconduct victims has remained relatively consistent over the last five years, with Blacks consistently over-represented and Whites and Asians consistently under-represented in comparison to the city's population. While Whites constitute 35% of the New York City population, only 21% of police misconduct victims were White in 2003. At the same time, Blacks represent 25% of the New York City population, but made up more than double that—53%—of police misconduct victims. Latinos comprised 24% of misconduct victims and 27% of the city's population. Asians represented 10% of the New York City population, but only 1% of misconduct victims. Two percent of victims were classified as "other race," while the proportion of the New York population categorized as "other race" was 4%. (See Table 35, Appendix C.)

Over the last five years, the majority of victims of substantiated police misconduct have been

male. In 2003, for instance, 68% of victims were male, compared to a city population that is 47% male. Thirty-two percent of victims were female, while females represent 53% of the city population. During the last three years, however, the percentage of male victims has declined slightly from a five-year high of 76% in 2001, while the percentage of females increased from a low of 24% in 2001. (See Table 37, Appendix C.)

Victims in substantiated CCRB complaints also tend to be younger than the city population as a whole. In 2003, individuals between the ages of 15 and 34 constituted 50% of police misconduct victims but only 31% of the New York City population. (See Table 39, Appendix C.) Over the past five years, however, the age of police misconduct victims has gradually risen. In 2003, 29% of the victims of substantiated CCRB complaints were between 35 and 44; this figure had never been over 22% in the four previous years.

Attributes of Officers against Whom One or More Allegations Were Substantiated

Race, Gender, and Education

The racial distribution of the 394 subject officers against whom the CCRB substantiated allegations in 2003 roughly mirrors the racial demographics of the NYPD. In 2003, 66% of these officers were White, while 62% of the NYPD was White. Sixteen percent of those officers against whom the board substantiated allegations were Black and Blacks constituted 15% of all officers in the NYPD. Latino officers made up 16% of the officers against whom an allegation was substantiated, slightly less than their 21% representation in the NYPD. Asian officers made up 2% of those in substantiated complaints, and likewise constituted 2% of the NYPD. Officers categorized in the "other race" category constituted 0.2% of the police department, and constituted 0.3% of officers with substantiated complaints. (See Table 36, Appendix C.)

Gender and education level of officers against whom the agency substantiated allegations also hews closely to the department's demographics. In 2003, for example, 90% of the officers whom the board determined committed misconduct were male, while 84% of the NYPD was male. (See Table 38, Appendix C.) Regarding education, while 23% of the officers against whom the CCRB substantiated allegations in 2003 had no

education beyond high school, this mirrors the 24% of the members of the NYPD with only a high school or GED degree. The ratios for other education levels show consistency between officers in substantiated cases and the department as a whole. (See Table 40, Appendix C.)

Residence, Rank, and Year of Assignment

While there has been some public debate as to whether officers who reside in New York City are more sensitive to the communities they patrol than officers who live outside the city, comparing the residence of officers in substantiated complaints to the department as a whole reveals little disparity. In 2003, 50% of officers involved in substantiated cases lived in New York City, while the other 50% lived outside the city. These ratios are quite comparable to the membership of the NYPD, 53% of which resides in the city. In fact, in four of the past five years, officers who live in the city made up a higher percentage of officers in substantiated cases than their representation in the department as a whole. (See Table 41, Appendix C)

As regards rank, sergeants and detectives were over-represented in substantiated complaints in comparison to their NYPD population. In 2003, sergeants comprised 20% of officers in substantiated complaints, but only 13% of the NYPD population. Detectives have also been over-represented, but the discrepancy is narrowing—while they made up 17% of the police department for each of the past five years, they comprised 28% of the officers in substantiated complaints in 2001, 23% in 2002, and only 20% last year. Consequently, the rank of police officer is consistently under-represented; in 2003, for example, these officers made up 62% of the NYPD but only 56% of the officers against whom allegations were substantiated. (See Table 42, Appendix C.)

The greatest discrepancy between officers in substantiated complaints and the department as a whole comes from examining officers' year of appointment. Officers appointed between 1992 and 1994 consistently make up a disproportionate percentage of officers against whom the CCRB substantiates allegations. In 2003 these officers represented 25% of all officers against whom complaints were substantiated, but only 20% of the police department as a whole. These officers consistently comprise between 19% and 20% of the NYPD, but from 1999 through 2003, they made up 32%, 28%, 20%, 26% and 25% of offi-

cers against whom the CCRB substantiated allegations of misconduct, higher than their proportion of the NYPD in four of five years. (See Table 43, Appendix C.)

The stricter educational requirements the police department subsequently implemented for its incoming classes may be responsible for later classes receiving fewer complaints. In June 1996, the police department began requiring that new recruits have a minimum of 60 college credits, and in May 1998 that requirement was tightened, compelling recruits to also have a grade point average of at least 2.0. Consequently, the number of officers who received no education beyond high school declined. For example, in 1999 34% of officers possessed only a high school diploma or GED; that percentage dropped to 24% by 2003. (See Table 40, Appendix C.)

Command Assignment and Complaints per Uniformed Officer

Between 2002 and 2003, the number of officers against whom the CCRB substantiated one or more allegations rose from 295 to 394, a 34% increase; however, this increase was not distributed evenly throughout the department. On the broadest scale, the increase was concentrated among officers who worked out of the Patrol Services Bureau. While 192 officers working out of this bureau had allegations substantiated in 2002, 274 did in 2003, a gain of 43%. Of particular note are officers within this bureau assigned to precincts rather than specialized commands. While the board found that 154 of these officers committed misconduct in 2002, it substantiated allegations against 228 of them in 2003, an increase of 48%. (See Tables 46 A-H, Appendix C.)

Analyzing specific patrol boroughs within the Patrol Service Bureau helps clarify this picture. The largest number of officers against whom the CCRB substantiated allegations were assigned to S.A.T.COM, which unlike the other patrol boroughs outside Staten Island contains police service area commands, detective squads, and narcotics units. Eighty-six officers who had allegations substantiated against them, or 31% of all those assigned to the Patrol Service Bureau with substantiated allegations, worked in S.A.T.COM. However, two other patrol boroughs, Manhattan South and Queens North, experienced increases that were greater relative to 2002 than S.A.T.COM's. While in 2002 only seven and eight officers assigned to Manhattan South and

Queens North had allegations substantiated against them respectively, in 2003, Manhattan South had 15 and Queens North had 21, more than double in each instance.

On the individual unit level, there are a few precincts and units that stand out for their large increases in substantiated complaints. Midtown South in Manhattan, which had no officers in 2002 with substantiated complaints against them, had eight in 2003. Police Service Area (PSA) 2, a housing unit within S.A.T.COM, had seven officers with substantiated complaints in 2003, up from only one the previous year. Finally, the 77th Precinct, cited earlier for the high number of complaints substantiated within its geographic area, had 19 separate officers against whom one or more allegations were substantiated, more than triple the six officers in 2002.

Outside of the Patrol Services Bureau, the increase in the number of officers with allegations substantiated against them from 2002 to 2003 was below the department-wide average. In 2002 there were 103 officers outside the Patrol Services Bureau against whom the CCRB substantiated an allegation; in 2003 there were 120, a rise of 17%, barely more than half the general increase of 31%. Fifty-five of these officers work out of the Organized Crime Control Bureau (OCCB), more than were assigned to any other. The increase from 2002, when the CCRB substantiated allegations against 52 OCCB officers, was slight.

Taken together, bureaus other than the Patrol Services Bureau experienced a smaller increase than the department as a whole, but increases in specific units were dramatic. The Housing Bureau, in particular, had 13 officers with substantiated complaints, up from only three in 2002. More than half of this increase comes from the six officers in PSA 7, which patrols housing projects in the Bronx. This represents a large change from 2002, when no allegations were substantiated against any officer in PSA 7. (See Table 46M, Appendix C.)

Comparing commands using the number of officers against whom an allegation was substantiated alone does not, however, account for the differing numbers of officers assigned to each command. Therefore, the CCRB also ranks the complaint activity of precincts and commands by measuring the complaints per uniformed officer. By dividing the number of substantiated com-

plaints in a command by the total number of officers assigned to it, the CCRB is able to compare substantiated complaint levels among commands of varying size. Tables 47A and 47B, Appendix C, show the rankings of individual commands in terms of substantiated complaints per uniformed officer in 2002 and 2003.

Using this measure, the 2003 data show that three of the five highest-ranking commands are anti-crime units, those operating in Queens North (1st), Queens South (3rd), and S.A.T.COM (5th).⁷ In addition, eight of the top twenty units were detective units and seven were narcotics units. Only one of the top twenty commands was a precinct, the 77th (with 0.0749 substantiated complaint per uniformed officer). Several units ranked high in both 2003 and 2002, particularly narcotics and anti-crime units. There was also only one precinct in the top twenty units in 2002, in this case the 113th.

CCRB Recommendations and NYPD Dispositions 1999-2003

When the board substantiates one or more allegations in a complaint, that complaint is forwarded to the police commissioner. While only the police commissioner is authorized to mete out punishment for misconduct, the board can make disciplinary recommendations against officers it finds committed misconduct. The police commissioner can adopt the CCRB's recommendation, impose a punishment other than the CCRB recommendation, or choose not to impose punishment at all, although he is required to explain the latter in writing.

Figures A - E describe the extent to which the police department has adopted the CCRB's disciplinary recommendations for substantiated cases over the past five years. The figures compare the agency's recommendations with the NYPD's ultimate dispositions for the 1,531 officers against whom the CCRB substantiated allegations between 1999 and 2003. These figures are organized by the year in which the CCRB reviewed and substantiated the cases.

When calculating the percentage of officers who have received discipline, the CCRB excludes officers whose charges the department has filed (i.e., officers who have left the department) and officers whose cases the department has not yet resolved. As of December 31, 2003, a

⁷ This measurement also has drawbacks because some units are small. The Patrol Borough Queens North Anti-Crime Unit, for instance, was ranked first in the number of substantiated complaints per officer in 2003, with two out of the 13 officers in the unit receiving a substantiated complaint. (Table 47B, Appendix C.)

Figure A: Officers With Complaints Substantiated in 1999 (365)			
	Instructions	Command Discipline	Charges
Guilty after trial	2	10	34
Pleaded guilty			
To charges and specifications	0	6	18
To command discipline	26	53	38
Instructions	14	21	14
Total Disciplinary Action	42	90	104
Not guilty after trial	2	20	69
Dismissed	1	7	8
Department unable to prosecute	0	1	0
Statute of limitations expired	0	0	6
No Disciplinary Action Total	3	28	83
Cases Completed by NYPD	45	118	187
Percent of Officers Disciplined in Completed NYPD Cases	93%	76%	56%
No action (pending)	0	1	0
Filed	0	3	11
Disciplinary Action Undetermined	0	4	11
Percent of Cases Still Pending at NYPD	0%	0.8%	0%
Total Number of Subject Officers	45	122	198

total of 823 officers, or 73% of those officers against whom the CCRB substantiated allegations in the past five years, were disciplined. (See Table 34, Appendix C.) Due to the improved quality and timeliness of the CCRB's investigations, the police department has imposed discipline in an increasing number of cases in recent years.

Figure A shows what happened to the substantiated cases the CCRB referred to the NYPD in 1999. The NYPD has resolved cases against all but one officer that the CCRB substantiated complaints against in 1999. The overall disciplinary rate for officers with cases referred to the police department in 1999 is 67% (236 out of 350).

Of the 45 officers for whom the CCRB recommended instructions, 42 received some discipline. Of the 122 officers for which the CCRB recommended command discipline, 90 received some discipline, 28 received no discipline, and one case is still pending. Of the 198 officers for whom the CCRB recommended charges and specifications, 104, or 53% received discipline, while 47% did not.

Figure B shows what happened to the substantiated cases the CCRB referred to the NYPD in 2000. The CCRB recommended instructions for 33 officers and of these cases the NYPD has imposed discipline against 28 of them. The

CCRB recommended command discipline for 77 officers and of these, the NYPD has imposed discipline against 55 of them. Of the 125 officers for which the CCRB recommended charges and specifications, 84 received some discipline. The overall disciplinary rate for officers with cases referred to the police department in 2000 is 75% (171 out of

228).

Figure C shows what happened to the substantiated cases the CCRB referred to the NYPD in 2001. The overall disciplinary rate for officers with cases referred to the police department in 2001 is 77% (166 out of 216). The CCRB recommended instructions for seven officers and of these cases the NYPD has imposed discipline against six of them. Of the 60 officers for which the CCRB recommended command discipline, 53 received some discipline. Of the 166 officers for which the CCRB recommended charges and specifications, 107 received some discipline, 14 officers have left the department and three cases are still pending.

Figure D (page 34) shows what happened to the substantiated cases the CCRB referred to the NYPD in 2002. The NYPD has resolved 76% of the cases involving officers against whom the CCRB substantiated complaints in 2002. The overall disciplinary rate for officers with cases referred to the police department in 2002 is 69% (143 out of 206).

The CCRB recommended instructions for 24 officers, and the NYPD imposed some discipline against 18 of these officers. Of the 46 officers for whom the CCRB recommended command discipline, 26 received some discipline, seven received no discipline, and 19 cases are still

Figure B: Officers With Complaints Substantiated in 2000 (244)				
	Instructions	Command Discipline	Charges	No Recommendation
Guilty after trial	2	3	20	0
Pleaded guilty				
To charges and specifications	2	3	11	0
To command discipline	9	28	36	0
Instructions	15	21	17	4
Total Disciplinary Action	28	55	84	4
Not guilty after trial	2	5	23	0
Dismissed	0	7	5	0
Department unable to prosecute	1	4	3	0
Statute of limitations expired	0	2	5	0
Department employee unidentified	0	0	1	5
No Disciplinary Action Total	3	18	37	5
Cases Completed By NYPD	31	73	121	9
Percent of Officers Disciplined in Completed NYPD Cases	90%	75%	69%	44%
No action (pending)	0	0	0	0
Filed	2	4	4	0
Disciplinary Action Undetermined	2	4	4	0
Percent of Cases Still Pending at PD	0%	0%	0%	0%
Total Number of Subject Officers	33	77	125	9

pending. Of the 225 officers for whom the CCRB recommended charges and specifications, 99, or 66% received discipline, while 34% did not.

Figure E (page 35) shows what happened to the substantiated cases the CCRB referred to the NYPD in 2003. The NYPD has acted on only 31% of the cases referred to the police department in 2003. The CCRB recommend-

ed instructions for 45 officers and of these cases the NYPD has imposed discipline against 13 of them, and one is pending. Of the 64 officers for which the CCRB recommended command discipline, 21 received some discipline, one officer left the police department and 42 cases are still pending. Of the 316 officers for which the CCRB recommended charges and specifications, 73 received some discipline, eight officers

Figure C: Officers With Complaints Substantiated in 2001 (233)			
	Instructions	Command Discipline	Charges
Guilty after trial	0	3	23
Pleaded guilty			
To charges and specifications	0	2	12
To command discipline	1	36	46
Instructions	5	12	26
Disciplinary Action Total	6	53	107
Not guilty after trial	0	2	23
Dismissed	0	3	11
Department unable to prosecute	1	2	4
Statute of limitations expired	0	0	4
No Disciplinary Action Total	1	7	42
Cases Completed by NYPD	7	60	149
Percent of Officers Disciplined in Completed NYPD Cases	86%	88%	72%
No action (pending)	0	0	3
Filed	0	0	14
Disciplinary Action Undetermined	0	0	17
Percent of Cases Still Pending at PD	0%	0%	1.8%
Total Number of Subject Officers	7	60	166

have left the department and 227 cases are still pending.

Although the NYPD has made progress in decreasing the time it takes to resolve substantiated CCRB cases, it still has not acted on the majority of the cases the CCRB closed in 2003. (See Table 34, Appendix C.) Of even greater concern are the 72 cases still pending at the police department that the CCRB closed in 2002, the three pending cases closed in 2001 and the one pending case from 1999.

Of the cases that the NYPD has resolved, it took an average of 350 days, or 11 months, to do so during 2003, a significant improvement from previous years. For example, in 1999 it took an average of 542 days, or nearly 18 months, to act on a substantiated CCRB case. (See Table 48, Appendix C.) The CCRB, in contrast, took an average of 257 days, or approximately eight and a half months to complete a full investigation in 2003.

If, during the course of an investigation, a CCRB investigator uncovers misconduct that does not fall under the CCRB's jurisdiction, but which is nevertheless prohibited by the Patrol

Guide, the board may determine to recommend that other misconduct occurred. Instances of such misconduct include failure to fill out proper paperwork, such as a stop and frisk report, or intentionally making false statements to the CCRB investigators. Such cases are forwarded to the police department. In past cases where the board determined to recommend that an officer engaged in other misconduct, but which did not contain any substantiated CCRB allegations, the police department has not notified the CCRB of the action it takes with respect to the officer.

Table 33, Appendix C shows the breakdown of cases in which the board determined to recommend other misconduct. During 2003, the board determined to recommend a total of ten false statements, 50 failures to prepare stop and frisk reports and 20 other types of misconduct. Over the last five years, the board determined to recommend a total of 134 false statements, 180 failures to prepare stop and frisk reports, four failures to prepare proper memo book entries, and 48 other types of misconduct. (See Table 33, Appendix C.)

Figure D: Officers With Complaints Substantiated in 2002 (295)			
	Instructions	Command Discipline	Charges
Guilty after trial	0	3	15
Pleaded guilty			
To charges and specifications	1	4	20
To command discipline	7	16	42
Instructions	10	3	22
Total Disciplinary Action	18	26	99
Not guilty after trial	1	2	26
Dismissed	2	5	21
Department unable to prosecute	0	0	4
Statute of limitations expired	1	0	1
No Disciplinary Action Total	4	7	52
Cases Completed by NYPD	22	33	151
Percent of Officers Disciplined in Completed NYPD Cases	82%	79%	66%
No action (pending)	0	10	62
Filed	2	3	12
Disciplinary Action Undetermined	2	13	74
Percent of Cases Still Pending at NYPD	0%	22%	28%
Total Number of Subject Officers	24	46	225

Figure E: Officers With Complaints Substantiated in 2003 (394)			
	Instructions	Command Discipline	Charges
Guilty after trial	0	0	0
Pleaded guilty			
To charges and specifications	0	0	2
To command discipline	0	17	45
Instructions	13	4	26
Total Disciplinary Action	13	21	73
Not guilty after trial	0	0	2
Dismissed	0	0	3
Department unable to prosecute	0	0	1
Statute of limitations expired	0	0	2
No Disciplinary Action Total	0	0	8
Cases Completed by NYPD	13	21	81
Percent of Officers Disciplined in Completed NYPD Cases	100%	100%	90%
Disposition Pending	1	42	227
Filed	0	1	8
Disciplinary Action Undetermined	1	43	235
Percent of Cases Still Pending at PD	2%	68%	74%
Total Number of Subject Officers	45	64	316

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SPECIAL STUDY: FEBRUARY 15, 2003 ANTI-WAR PROTEST COMPLAINTS

Overview

On February 15, 2003, a coalition of organizations operating under the umbrella group United for Peace and Justice staged a demonstration at Dag Hammarskjöld Plaza outside the United Nations. In preparation for the event, the police department assigned officers to the area and set up a series of pens (enclosures made of waist-high interlinked metal fencing) along First Avenue. The pens were designed, according to the department, to contain and channel the flow of demonstrators. Press accounts described numerous confrontations between the police and demonstrators, reported to number between 100,000 and 400,000 people. The CCRB received 70 complaints stemming from incidents involving the demonstration, of which 54, containing 114 allegations of misconduct, fell within the agency's jurisdiction. (See Figure S.1.)

Figure S.2 (page 38) plots the locations of incidents that led to a complaint. Though the bulk of incidents took place near the demonstration, including 19 on Third Avenue between 51st and 53rd Streets (17th Precinct), peripheral incidents were reported as far north as 70th Street and as far southwest as Times Square. (Four occurred in the 19th Precinct and one in the Midtown South Precinct.)

The CCRB designated one team to investigate all of the cases stemming from the protest and convened a single board panel to review them. Centralization of the investigative and board review processes allowed investigators and board members to have a global picture of the complaints while investigating the individual allegations raised in each. As of March 26, 2004, the board had closed a majority of the complaints (83%, or 45); investigations remain ongoing in nine cases.

Complaint Information

Civilians lodged allegations of unauthorized force at a higher rate in complaints stemming from the protest than in 2003 complaints as a whole. In addition, a higher percentage of alleged victims in the protest complaints were White and female than in all 2003 CCRB complaints, and the average age of the alleged victims was higher.

The majority (79 of 114, or 69%) of the allegations filed in connection with the February 15 protest involved unnecessary force. This ratio is much higher than the 31% of all CCRB allegations that force allegations comprised in 2003. (See Table 1A, Appendix A.) Fifteen percent of the allegations involved abuse of authority, and 16% involved discourtesy; civilians did not lodge any offensive language allegations. Both of these rates are lower than the corresponding percentages in all complaints received in 2003. Most notably, abuse of authority allegations stemming from the protest comprised only a third of their corresponding proportion of allegations in all 2003 complaints. (See Table 1A, Appendix A.)

Figure S.1: Allegations Lodged in Complaints Stemming from the February 15th Protest	Number	Percent
Force-Animal	13	11.4%
Force-Nightstick	2	1.8%
Force-Other Blunt Instrument	1	0.9%
Force-Pepper Spray	6	5.3%
Force-Physical Force	57	50.0%
Force Total	79	69.3%
Abuse-Frisk and/or Search	1	0.9%
Abuse-Property Damage	5	4.4%
Abuse-Refusal to Provide Name or Shield	2	1.8%
Abuse-Seizure of Property	1	0.9%
Abuse-Threat of Arrest	1	0.9%
Abuse-Threat of Force	3	2.6%
Abuse-Other	4	3.5%
Abuse of Authority Total	17	14.9%
Discourtesy-Tone	5	4.4%
Discourtesy-Word	13	11.4%
Discourtesy Total	18	15.8%
Total	114	

Only 17% of the complaints that stemmed from incidents related to the February 15 protest involved an arrest or summons. In all 2003 CCRB complaints, 48% of the alleged victims had been arrested or ticketed. (See pages 18-19.)

Whites comprised 92% of alleged victims in the protest complaints; Latinos 4%; and 4% were classified in the “other” category. This is a dramatically greater representation of Whites than in all complaints received in 2003, where Whites constituted only 19% of alleged victims. (See Table 7, Appendix A.)

In contrast to all 2003 complaints, in which 33% of the alleged victims were female, women constituted 48% of the alleged victims in the protest complaints. (See Table 10, Appendix A.) The average age of alleged victims in the protest sample was 45, older than the average age of 31 in CCRB cases received in 2003.

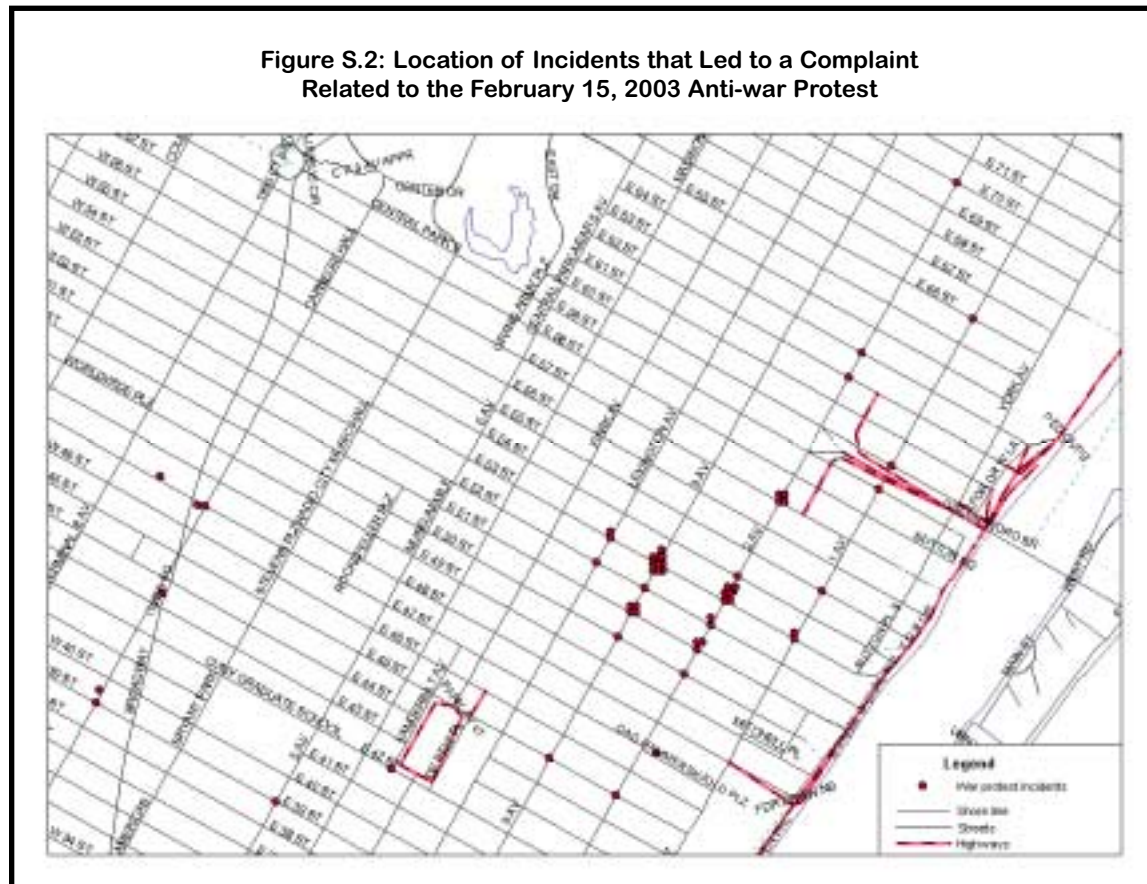
Completed Cases

The CCRB truncated 33% (18) of the cases stemming from the protest, lower than the agency’s 2003 rate of 42%. (See Table 24B,

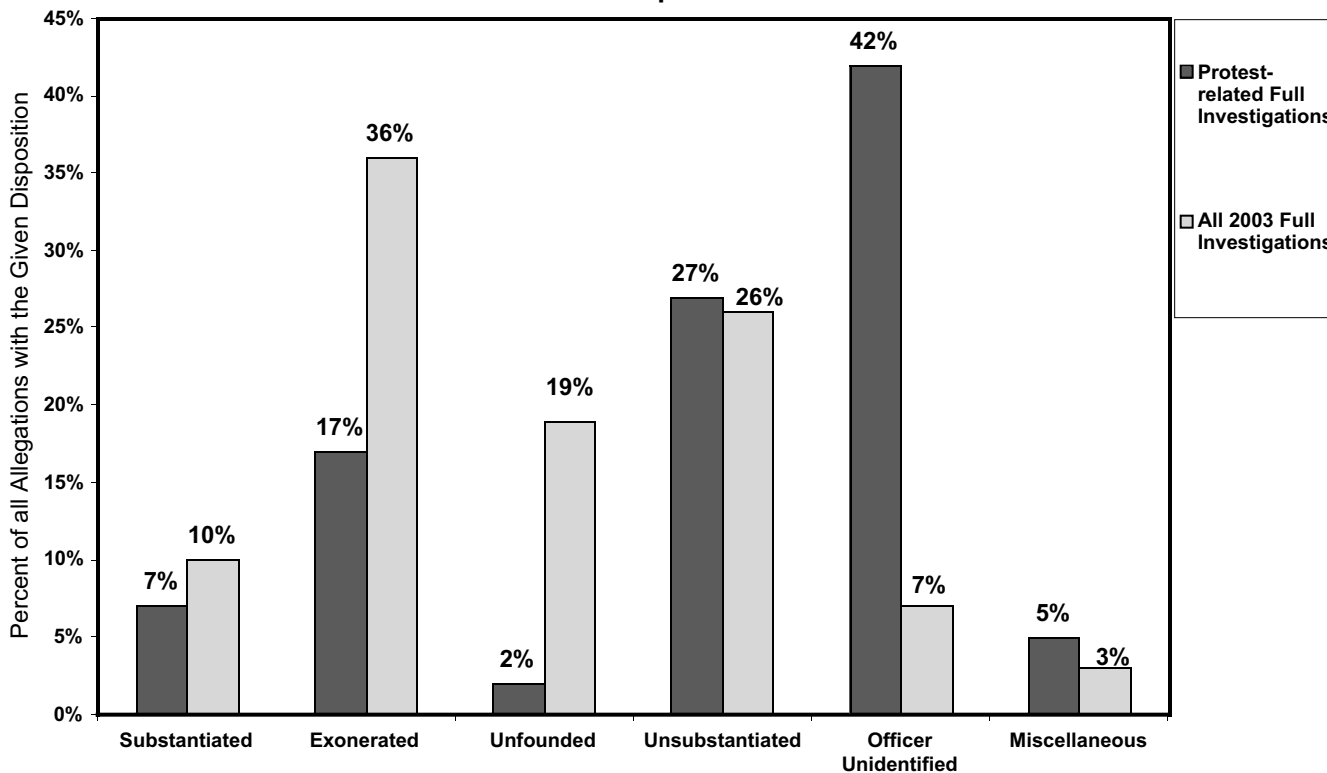
Appendix C.) The nine open cases are all being fully investigated. The 27 completed fully investigated cases contained 46 allegations of misconduct. Of these, the board substantiated 7% of the allegations (3), exonerated 11% (5), unfounded 7% (3), and unsubstantiated 26% (12). The CCRB did not mediate any of the complaints stemming from the protest. In general, the board exonerated and unfounded allegations raised by protest complaints at rates lower than in all 2003 case closures, where the board exonerated 36% and unfounded 26%. However, with a sample as small as the protest cases, relatively small changes in the number of allegations can have an inordinate effect on the percentages.

The board closed 46% of all the allegations in fully investigated protest complaints without identifying the subject officers (21), six times the overall 2003 rate of 7%. This difference is substantial even given the small sample. (See Table 24B, Appendix C.) (See Figure S.3.) The CCRB had trouble identifying officers for four principle reasons: 1) the nature of the event, in which a large number of officers came into contact with a large number of civilians in a chaotic setting; 2)

Figure S.2: Location of Incidents that Led to a Complaint Related to the February 15, 2003 Anti-war Protest



**Figure S.3: Allegation Outcomes in Full Investigations:
Protest-related Complaints vs. 2003 Total**



the high number of allegations against mounted officers; 3) the lack of documentation regarding the location of officers who responded to the level four mobilization; and 4) inadequate videotape provided by the police department. These latter three issues will be discussed in turn.

Mounted Units

Many of the complaints stemming from the February 15 demonstration alleged inappropriate use of force by mounted units. Complainants alleged that officers cleared the streets with horses, physically pushing people away. The practice of dispersing a crowd with horses is consistent with department policy as outlined in the mounted unit’s training manual. The manual provides a description of a “wedge” formation, a “gradual shape [which] permits the clearing of the roadway with an added degree of safety for all concerned.”

Complainants alleged that thirteen subject officers used unnecessary force with their horses during the demonstration. Of these, the CCRB closed four without a full investigation and is still investigating three. In the seven complete full investigations of mounted unit officers, the CCRB was able to identify only one officer.

Identification proved challenging not only because of the number of mounted officers present, but also because civilians had difficulty providing even partial names or badge numbers of the officers. While all police officers display their name and badge number on their left chest, and mounted officers have a number on their helmet, civilians can have difficulty reading these numbers at the distance they normally find themselves from mounted officers.

Absence of Documentation Regarding Officers Responding to a Mobilization

Police department documents provided the location of all officers initially assigned to the demonstration on a block-by-block basis. These detail rosters and post maps allowed the agency to identify subject officers who remained at the station to which they were assigned. The CCRB normally uses such documentation to identify officers for whom no name or badge information is available, and in many cases compares descriptions of officers to officers listed in records. However, when the department called a level four mobilization at 1:40 p.m., hundreds of additional officers arrived whose ultimate assignment and location the department did not document.

A level 4 mobilization, which cannot be called by an officer of lower rank than captain, is the largest mobilization available to the department. Hundreds of officers responded to the mobilization on February 15th to conduct crowd control. The NYPD provided the CCRB with lists of these officers, who reported to the Javits Center on the west side of Manhattan. From that point on, however, the department did not document their assignment or with whom they were assigned. With no specific documentation of the mobilized officers' assignment or location, it was impossible to identify subject officers in many instances.

Video Footage

A number of civilians and news organizations videotaped many of the events of February 15. In addition, the police department's Technical Assistance Response Unit (TARU) had a number of officers videotaping the demonstration. The CCRB obtained hours of footage from civilians, news organizations, and civil liberties organizations, some of which showed TARU officers recording events.

The CCRB requested complete, unedited video footage from the police department. The department responded by requiring that the CCRB specify exact times and locations for which it received complaints. The CCRB complied with this request; the agency received heavily edited video tape in response, which did not sufficiently aid the agency in identifying officers or making determinations on the merits of the complaints in question.

The complete footage would have been valuable to the CCRB investigations for a number of reasons. First, a complete tape would have been more likely to help the CCRB identify officers (by capturing information over a long period of time such as rank, precinct assignment, or even an officer's name or shield number). Second, unedited video would have provided valuable context to many incidents, allowing the board to make determinations as to whether the actions officers took was justified. Third, uncompromised footage would probably have depicted officers not filmed in the edited version; the CCRB could have interviewed these other officers. Fourth, unedited tape would have ensured

that there were no encounters cut from the footage which might have been useful to CCRB investigations.

Recommendations

In light of the investigations of the complaints stemming from the February 15 demonstration, the CCRB is making three recommendations to the police department.

- **First**, the CCRB recommends that the NYPD comply with the agency's future requests to provide unedited video footage made by the TARU at demonstrations. This is of particular importance should the agency receive any complaints stemming from the upcoming Republican National Convention, during which a large number of people are preparing to demonstrate. It is essential that, in the event the CCRB receives any complaints of police misconduct at these demonstrations, the NYPD provide full, unedited copies of TARU footage.
- **Second**, the agency recommends that mounted officers be required to display identification that is clearly visible from street level; such identification could be affixed to a blanket on the horse or otherwise provided at eye level.
- **Third**, the agency recommends that the NYPD put in place measures whereby officers responding to a mobilization can be traced for the course of the mobilization. In this way, the CCRB and the NYPD can better identify officers should investigations of civilian complaints be required.

Conclusion

A large number of complaints resulted from the February 15 demonstration, presenting the CCRB with a unique series of challenges. While the CCRB worked, as always, to resolve these complaints quickly and effectively, certain issues, particularly relating to officer identification, presented themselves as unique to the environment of a demonstration. If the police department adopts the CCRB's recommendations regarding mobilizations, mounted units, and video footage, the agency will be able to handle complaints that arise from future demonstrations in a manner more satisfying to the public and the department.

GUIDE TO TABLES

This status report covers the period from January of 2003 through the end of December 2003. Most tables contain comparative data dating from 1999. Table 49, Appendix C details the police department action on every case substantiated by the CCRB since 1999.

The tables in this report do not compare exactly with those published in reports prior to the January - December 2001 status report. CCRB complaint data was originally stored in a database on the police department mainframe computer. The complaint tracking system (CTS), developed specifically for the CCRB and instituted in 2000, has allowed the agency to track information in a more sophisticated manner than in the past; therefore, some tables previously published have been replaced with tables presenting information provided by the CTS.

Information on every complaint that the CCRB receives is entered into the complaint tracking system. The data reflect the information entered by the Complaint Response Unit and the Investigations Division on each case. The CTS databases were frozen on February 4, 2004. The agency waited to freeze the data in order to assure its accuracy; in the course of investigating a complaint, an investigator may discover information that changes how the complaint is listed in this report. For example, a witness may claim in the course of an interview that an officer who was not previously a subject officer cursed at the witness. As a result, a new discourtesy allegation would be added to the initial complaint. Information on cases changes most quickly in the first month the case is open (during that time, for example, the case may be found not to be in the CCRB's jurisdiction). While waiting to freeze the databases ensures that the data are as accurate as possible, slight changes can always occur after freezing the data, particularly in ongoing investigations.

In certain tables, information is compared to data from outside sources. For example, some tables compare the racial breakdown of CCRB

alleged victims to the racial breakdown of the population of New York City, and the racial breakdown of subject officers to the racial breakdown of the New York City Police Department. In all cases where information is given on the population of New York City, the data come from the 2000 United States Census Bureau. In all cases where information is provided regarding the police department, including information on police department dispositions of CCRB complaints, the data come from the department itself.

The age of cases is captured by two different methods. The CCRB tracks the age of the case as measured from the date the agency receives it (that is, how long the CCRB actually took to investigate the case). However, the statute of limitations (18 months) that governs complaints against police officers is calculated from the date of the incident. Since many complaints arise from incidents that significantly predate the filing date (for example, someone who files a complaint only after being released from a jail sentence, or who hears of the CCRB months after the incident), the age of cases measured from the date of incident will always be greater than when measured from the age of report.

Changes instituted in the January - December 2001 Status Report are retained in this report. First, in cases in which a complaint is filed against multiple subject officers assigned to different commands, one complaint is assigned to each command. For example, if someone files a complaint against a narcotics officer and a complaint against the desk sergeant at the precinct where he was later brought, both the narcotics division and the precinct are assigned a complaint. Therefore, in tables where complaints are attributed to commands, the total number of commands cited with a complaint is higher than the total number of complaints received by the CCRB. This method has been adopted because it more accurately reports the ratio of complaint activity from one command to another.

Also, the CCRB no longer reports on "primary allegations." Instead, the agency reports on "total

allegations.” In the past, if an officer had two or more allegations in the same FADO category, only the one highest in the hierarchical list of allegations would be reported here, even though all the allegations were recorded in the computer database. For example, if an officer was alleged to have pushed a complainant to the ground and then kicked him repeatedly, only the latter allegation would have been included in the status report table as a primary allegation. As it is now reported, both allegations are recorded and reported as part of the total allegations, though they are contained within a single complaint.

**Appendix A:
Complaint Statistics
1999 - 2003**

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**Table 1A: Total Allegations and Total Complaints Received
1999 - 2003**

	1999		2000		2001		2002		2003	
	<i>Number</i>	<i>Percent of Total</i>	<i>Number</i>	<i>Percent of Total</i>	<i>Number</i>	<i>Percent of Total</i>	<i>Number</i>	<i>Percent of Total</i>	<i>Number</i>	<i>Percent of Total</i>
Force (F)	3,726	30.9%	3,547	33.7%	3,875	34.1%	4,465	33.4%	5,052	31.1%
Abuse of Authority (A)	5,125	42.5%	4,485	42.6%	4,874	42.8%	5,953	44.5%	7,488	46.1%
Discourtesy (D)	2,811	23.3%	2,104	20.0%	2,244	19.7%	2,599	19.4%	3,207	19.7%
Offensive Language (O)	394	3.3%	389	3.7%	385	3.4%	362	2.7%	494	3.0%
Total Allegations	12,056	100.0%	10,525	100.0%	11,378	100.0%	13,379	100.0%	16,241	100.0%
Total Complaints	4,812		4,116		4,251		4,612		5,568	

**Table 1B: Types of Allegations in Complaints Received*
1999 - 2003**

	1999		2000		2001		2002		2003	
	<i>Number</i>	<i>Percentage of Total Complaints</i>	<i>Number</i>	<i>Percentage of Total Complaints</i>	<i>Number</i>	<i>Percentage of Total Complaints</i>	<i>Number</i>	<i>Percentage of Total Complaints</i>	<i>Number</i>	<i>Percentage of Total Complaints</i>
Force (F)	2,065	27.1%	2,043	31.6%	2,161	31.6%	2,336	30.7%	2,767	30.1%
Abuse of Authority (A)	2,942	38.6%	2,400	37.1%	2,511	36.7%	2,897	38.1%	3,433	37.4%
Discourtesy (D)	2,274	29.8%	1,703	26.3%	1,830	26.7%	2,058	27.0%	2,551	27.8%
Offensive Language (O)	345	4.5%	325	5.0%	341	5.0%	320	4.2%	435	4.7%
Total Allegations	7,626	100.0%	6,471	100.0%	6,843	100.0%	7,611	100.0%	9,186	100.0%
Total Complaints	4,812		4,116		4,251		4,612		5,568	

* This table presents the number of complaints containing one or more allegations in each FADO allegation. For example, 2,767 of the 5,568 complaints received between January and December 2003 contained one or more force allegations, while 3,433 contained one or more abuse of authority allegations

**Table 2: Distribution of Force Allegations
1999 - 2003**

Type of Force Allegation	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
Gun fired	19	0.5%	15	0.4%	21	0.5%	13	0.3%	27	0.5%
Gun pointed*	364	9.8%	378	9.9%	297	7.4%	358	8.0%	321	6.4%
Nightstick as club	63	1.7%	80	2.1%	69	1.7%	83	1.9%	98	1.9%
Gun as club	38	1.0%	31	0.8%	29	0.7%	41	0.9%	35	0.7%
Police shield**	0	0.0%	1	0.0%	3	0.1%	11	0.2%	9	0.2%
Vehicle**	10	0.3%	16	0.4%	24	0.6%	30	0.7%	28	0.6%
Other blunt instrument as club	15	0.4%	42	1.1%	31	0.8%	41	0.9%	28	0.6%
Hit against inanimate object	62	1.7%	74	1.9%	137	3.4%	176	3.9%	193	3.8%
Chokehold**	34	0.9%	65	1.7%	89	2.2%	102	2.3%	117	2.3%
Pepper spray	156	4.2%	168	4.4%	201	5.0%	249	5.6%	245	4.8%
Physical force***	2,444	65.6%	2,621	68.6%	2,862	71.6%	3,077	68.9%	3,631	71.9%
Radio as club	33	0.9%	40	1.0%	40	1.0%	52	1.2%	42	0.8%
Flashlight as club	24	0.6%	26	0.7%	32	0.8%	25	0.6%	30	0.6%
Handcuffs too tight**	27	0.7%	58	1.5%	57	1.4%	102	2.3%	121	2.4%
Nonlethal restraining device**	2	0.1%	4	0.1%	2	0.1%	3	0.1%	5	0.1%
Animal	1	0.0%	0	0.0%	2	0.1%	4	0.1%	17	0.3%
Other	434	11.6%	199	5.2%	99	2.5%	98	2.2%	105	2.1%
Total	3,726	100.0%	3,818	100.0%	3,995	100.0%	4,465	100.0%	5,052	100.0%

* "Gun pointed" was moved from the force category to the abuse of authority category in January of 2000, and back to the force category as of July 1, 2001.

** The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time. Thus, the apparent increases over the course of five years are artificially high.

*** "Physical force" includes: dragged/pulled, pushed/shoved/threw, punched/kicked/knead, slapped and bit.

**Table 3: Distribution of Abuse of Authority Allegations
1999 - 2003**

Type of Abuse of Authority Allegation	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
Frisk and/or search	820	16.0%	782	18.6%	739	15.5%	842	14.1%	1,057	14.1%
Vehicle search	182	3.6%	162	3.8%	211	4.4%	195	3.3%	244	3.3%
Question and/or stop	426	8.3%	353	8.4%	399	8.4%	671	11.3%	1,027	13.7%
Strip search*	58	1.1%	67	1.6%	93	2.0%	103	1.7%	155	2.1%
Vehicle stop*	65	1.3%	112	2.7%	153	3.2%	165	2.8%	286	3.8%
Gun drawn	42	0.8%	6	0.1%	90	1.9%	153	2.6%	124	1.7%
Premises entered or searched	499	9.7%	529	12.6%	595	12.5%	716	12.0%	656	8.8%
Threat to notify ACS*	23	0.4%	37	0.9%	44	0.9%	77	1.3%	58	0.8%
Threat of force	488	9.5%	447	10.6%	448	9.4%	532	8.9%	515	6.9%
Property seized	75	1.5%	27	0.6%	47	1.0%	65	1.1%	102	1.4%
Threat to damage/seize property	103	2.0%	55	1.3%	58	1.2%	64	1.1%	113	1.5%
Threat of arrest	842	16.4%	634	15.0%	688	14.5%	841	14.1%	1,068	14.3%
Threat of summons	91	1.8%	62	1.5%	44	0.9%	67	1.1%	92	1.2%
Property damaged	204	4.0%	168	4.0%	220	4.6%	245	4.1%	292	3.9%
Refusal to process complaint*	69	1.3%	48	1.1%	51	1.1%	58	1.0%	103	1.4%
Refusal to give name/shield number*	231	4.5%	349	8.3%	468	9.8%	632	10.6%	813	10.9%
Retaliatory arrest	92	1.8%	38	0.9%	60	1.3%	65	1.1%	112	1.5%
Retaliatory summons	43	0.8%	73	1.7%	95	2.0%	104	1.7%	171	2.3%
Refusal to obtain medical treatment	68	1.3%	79	1.9%	85	1.8%	120	2.0%	174	2.3%
Improper dissemination of medical info	1	0.0%	0	0.0%	1	0.0%	4	0.1%	0	0.0%
Other	703	13.7%	186	4.4%	166	3.5%	234	3.9%	326	4.4%
Total	5,125	100.0%	4,214	100.0%	4,755	100.0%	5,953	100.0%	7,488	100.0%

* The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time. Thus, the apparent increases over the course of five years are artificially high.

**Table 4: Distribution of Discourtesy Allegations
1999 - 2003**

Type of Discourtesy Allegation	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
	Word	2,176	77.4%	1,757	83.5%	1,744	77.7%	2,130	82.0%	2,513
Gesture	152	5.4%	43	2.0%	46	2.0%	34	1.3%	49	1.5%
Demeanor/tone*	101	3.6%	160	7.6%	272	12.1%	243	9.3%	411	12.8%
Action*	58	2.1%	69	3.3%	112	5.0%	133	5.1%	187	5.8%
Other	324	11.5%	75	3.6%	70	3.1%	59	2.3%	47	1.5%
Total	2,811	100.0%	2,104	100.0%	2,244	100.0%	2,599	100.0%	3,207	100.0%

**Table 5A: Distribution of Offensive Language Allegations
1999 - 2003**

Type of Offensive Language Allegation	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
	Race	214	54.3%	197	50.6%	215	55.8%	223	61.6%	272
Ethnicity	56	14.2%	91	23.4%	86	22.3%	74	20.4%	115	23.3%
Religion	4	1.0%	9	2.3%	10	2.6%	14	3.9%	16	3.2%
Sex	8	2.0%	23	5.9%	17	4.4%	19	5.2%	20	4.0%
Physical disability*	2	0.5%	5	1.3%	1	0.3%	3	0.8%	4	0.8%
Sexual orientation	25	6.3%	44	11.3%	36	9.4%	17	4.7%	44	8.9%
Other	85	21.6%	20	5.1%	20	5.2%	12	3.3%	23	4.7%
Total	394	100.0%	389	100.0%	385	100.0%	362	100.0%	494	100.0%

* The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time. Thus, the apparent increases over the course of five years are artificially high.

**Table 5B: Distribution of Race-related
Offensive Language Allegations
1999 - 2003**

Type of Race-related Offensive Language Allegation	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
White	1	0.5%	10	5.1%	13	6.0%	13	5.8%	6	2.2%
Black	68	31.8%	121	61.4%	130	60.5%	128	57.4%	206	75.7%
Latino	9	4.2%	22	11.2%	37	17.2%	32	14.3%	22	8.1%
Asian	2	0.9%	4	2.0%	7	3.3%	4	1.8%	5	1.8%
Other	0	0.0%	1	0.5%	0	0.0%	6	2.7%	6	2.2%
Unrecorded	134	62.6%	39	19.8%	28	13.0%	40	17.9%	27	9.9%
Total	214	100.0%	197	100.0%	215	100.0%	223	100.0%	272	100.0%

**Table 6A: Where Civilian Complaints Were Reported
1999 - 2003**

Where Civilian Complaints Were Reported	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
CCRB	2,431	50.5%	1,722	41.8%	1,722	40.5%	2,024	43.9%	2,773	49.8%
NYPD	2,369	49.2%	2,369	57.6%	2,502	58.9%	2,562	55.6%	2,773	49.8%
Other	12	0.2%	25	0.6%	27	0.6%	26	0.6%	22	0.4%
Total	4,812	100.0%	4,116	100.0%	4,251	100.0%	4,612	100.0%	5,568	100.0%

**Table 6B: How Complaints Filed at the CCRB Were Reported
1999 - 2003**

How Complaints Filed with the CCRB Were Reported	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
In person	217	8.9%	144	8.4%	161	9.3%	182	9.0%	181	6.5%
By telephone	2,068	85.1%	1,480	85.9%	1,401	81.4%	1,653	81.7%	2,241	80.8%
By letter	140	5.8%	80	4.6%	113	6.6%	124	6.1%	165	6.0%
By e-mail/internet/fax	6	0.2%	18	1.0%	47	2.7%	65	3.2%	186	6.7%
Total	2,431	100.0%	1,722	100.0%	1,722	100.0%	2,024	100.0%	2,773	100.0%

**Table 6C: How Complaints Filed with the NYPD Were Reported
1999 - 2003**

How Complaints Filed with the NYPD Were Reported	1999		2000		2001		2002		2003	
	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total	Number	Percent of Total
In person	646	27.3%	550	23.2%	513	20.5%	372	14.5%	249	9.0%
By telephone	1,413	59.6%	1,494	63.1%	1,766	70.6%	2,136	83.4%	2,483	89.5%
By letter	309	13.0%	318	13.4%	199	8.0%	23	0.9%	22	0.8%
By e-mail/internet/fax	1	0.0%	7	0.3%	24	1.0%	31	1.2%	19	0.7%
Total	2,369	100.0%	2,369	100.0%	2,502	100.0%	2,562	100.0%	2,773	100.0%

Table 7: Race of Alleged Victims Compared to New York City Demographics 1999 - 2003

Race	1999		2000		2001		2002		2003		5-year Total		New York City Population (2000 Census)
	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	
White	862	20.9%	727	17.9%	769	17.3%	765	15.9%	1,009	18.5%	4,132	18.0%	35.0%
Black	2,179	52.7%	2,094	51.6%	2,282	51.2%	2,585	53.6%	2,865	52.4%	12,005	52.3%	24.5%
Latino	952	23.0%	1,057	26.0%	1,195	26.8%	1,260	26.1%	1,292	23.6%	5,756	25.1%	27.0%
Asian	35	0.8%	67	1.7%	99	2.2%	101	2.1%	149	2.7%	451	2.0%	9.8%
Others	104	2.5%	113	2.8%	110	2.5%	114	2.4%	150	2.7%	591	2.6%	3.7%
Subtotal	4,132	100.0%	4,058	100.0%	4,455	100.0%	4,825	100.0%	5,465	100.0%	22,935	100.0%	100.0%
Unknown	1,605		1,058		941		1,406		1,897		6,907		
Total	5,737		5,116		5,396		6,231		7,362		29,842		

Table 8: Race of Subject Officers Compared to New York City Police Department Demographics 1999 - 2003

Race	1999			2000			2001		
	Number	Percent of Subtotal	NYPD Population 1999	Number	Percent of Subtotal	NYPD Population 2000	Number	Percent of Subtotal	NYPD Population 2001
White	3,270	67.7%	67.2%	2,903	68.5%	67.9%	3,169	68.2%	64.8%
Black	658	13.6%	13.4%	532	12.5%	13.5%	583	12.6%	14.0%
Latino	825	17.1%	17.7%	740	17.5%	17.1%	806	17.4%	19.2%
Asian	32	0.7%	1.6%	56	1.3%	1.4%	76	1.6%	1.9%
Others	46	1.0%	0.1%	9	0.2%	0.1%	10	0.2%	0.1%
Subtotal Officer	4,831	100.0%	100.0%	4,240	100.0%	100.0%	4,644	100.0%	100.0%
Officer unidentified	2,298			1,914			1,835		
Total	7,129			6,154			6,479		

Race	2002			2003		
	Number	Percent of Subtotal	NYPD Population 2002	Number	Percent of Subtotal	NYPD Population 2003
White	3,354	65.0%	62.8%	3,863	64.9%	61.6%
Black	733	14.2%	14.6%	781	13.1%	15.0%
Latino	961	18.6%	20.2%	1,192	20.0%	20.8%
Asian	103	2.0%	2.2%	105	1.8%	2.4%
Others	7	0.1%	0.2%	13	0.2%	0.2%
Subtotal Officer	5,158	100.0%	100.0%	5,954	100.0%	100.0%
Officer unidentified	2,116			2,311		
Total	7,274			8,265		

**Table 9: Race of Subject Officers Compared to
Race of Alleged Victims
1999 - 2003**

White Alleged Victim	1999		2000		2001		2002		2003	
	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>
Subject Officer										
White	635	72.1%	685	79.7%	689	74.2%	751	71.9%	1,011	72.2%
Black	107	12.1%	66	7.7%	96	10.3%	101	9.7%	149	10.6%
Latino	124	14.1%	103	12.0%	123	13.2%	148	14.2%	207	14.8%
Asian	14	1.6%	5	0.6%	18	1.9%	40	3.8%	32	2.3%
Others	1	0.1%	1	0.1%	3	0.3%	5	0.5%	1	0.1%
Subtotal	881	100.0%	860	100.0%	929	100.0%	1,045	100.0%	1,400	100.0%
Officer unidentified or race unknown	346		271		275		262		409	
Total	1,227		1,131		1,204		1,307		1,809	

Black Alleged Victim	1999		2000		2001		2002		2003	
	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>Number</i>	<i>Percent of Subtotal</i>
Subject Officer										
White	1,843	67.7%	1,814	68.7%	2,246	69.8%	2,478	65.2%	3,657	65.2%
Black	419	15.4%	370	14.0%	428	13.3%	560	14.7%	765	13.6%
Latino	420	15.4%	432	16.4%	495	15.4%	683	18.0%	1,067	19.0%
Asian	29	1.1%	22	0.8%	42	1.3%	76	2.0%	108	1.9%
Others	10	0.4%	1	0.0%	5	0.2%	5	0.1%	14	0.2%
Subtotal	2,721	100.0%	2,639	100.0%	3,216	100.0%	3,802	100.0%	5,611	100.0%
Officer unidentified or race unknown	1,077		1,034		1,085		1,307		1,613	
Total	3,798		3,673		4,301		5,109		7,224	

**Table 9: Race of Subject Officers Compared to
Race of Alleged Victims
1999 - 2003**

Latino Alleged Victim	1999		2000		2001		2002		2003	
	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal
Subject Officer										
White	760	64.7%	875	65.6%	1,023	64.3%	1,223	64.4%	1,446	63.1%
Black	118	10.1%	114	8.5%	174	10.9%	219	11.5%	209	9.1%
Latino	279	23.8%	327	24.5%	360	22.6%	407	21.4%	596	26.0%
Asian	15	1.3%	17	1.3%	29	1.8%	43	2.3%	35	1.5%
Others	2	0.2%	1	0.1%	4	0.3%	7	0.4%	4	0.2%
Subtotal	1,174	100.0%	1,334	100.0%	1,590	100.0%	1,899	100.0%	2,290	100.0%
Officer unidentified or race unknown	442		512		516		688		717	
Total	1,616		1,846		2,106		2,587		3,007	

Asian Alleged Victim	1999		2000		2001		2002		2003	
	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal
Subject Officer										
White	20	83.3%	53	61.6%	79	71.8%	82	64.6%	149	73.0%
Black	0	0.0%	10	11.6%	13	11.8%	23	18.1%	18	8.8%
Latino	3	12.5%	17	19.8%	11	10.0%	17	13.4%	29	14.2%
Asian	0	0.0%	5	5.8%	7	6.4%	5	3.9%	7	3.4%
Others	1	4.2%	1	1.2%	0	0.0%	0	0.0%	1	0.5%
Subtotal	24	100.0%	86	100.0%	110	100.0%	127	100.0%	204	100.0%
Officer unidentified or race unknown	15		20		30		21		58	
Total	39		106		140		148		262	

**Table 10: Gender of Alleged Victims Compared to
New York City Demographics
1999 - 2003**

Gender	1999		2000		2001		2002		2003		5-year Total		New York City Population (2000 Census)
	<i>Percent of</i>		<i>Percent of</i>		<i>Percent of</i>		<i>Percent of</i>		<i>Percent of</i>		<i>Percent of</i>		
	<i>Number</i>	<i>Subtotal</i>	<i>Number</i>	<i>Subtotal</i>	<i>Number</i>	<i>Subtotal</i>	<i>Number</i>	<i>Subtotal</i>	<i>Number</i>	<i>Subtotal</i>	<i>Number</i>	<i>Subtotal</i>	
Male	3,238	64.6%	3,320	68.2%	3,563	68.6%	3,975	66.9%	4,755	66.8%	18,851	67.0%	47.4%
Female	1,771	35.4%	1,545	31.8%	1,628	31.4%	1,968	33.1%	2,360	33.2%	9,272	33.0%	52.6%
Subtotal	5,009	100.0%	4,865	100.0%	5,191	100.0%	5,943	100.0%	7,115	100.0%	28,123	100.0%	100.0%
Unknown	728		251		205		288		247		1,719		
Total	5,737		5,116		5,396		6,231		7,362		29,842		

Table 11: Gender of Subject Officers Compared to New York City Police Department Demographics 1999 - 2003

	1999			2000			2001		
	<i>Number</i>	<i>Percent of Subtotal</i>	<i>NYPD Population</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>NYPD Population</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>NYPD Population</i>
Gender									
Male	3,447	90.2%	84.7%	3,001	91.5%	84.9%	3,290	91.3%	84.0%
Female	373	9.8%	15.3%	279	8.5%	15.1%	315	8.7%	16.0%
Subtotal	3,820	100.0%	100.0%	3,280	100.0%	100.0%	3,605	100.0%	100.0%
Officer unidentified	3,309			2,874			2,874		
Total	7,129			6,154			6,479		

	2002			2003		
	<i>Number</i>	<i>Percent of Subtotal</i>	<i>NYPD Population</i>	<i>Number</i>	<i>Percent of Subtotal</i>	<i>NYPD Population</i>
Gender						
Male	3,928	91.5%	83.6%	4,758	90.8%	83.4%
Female	363	8.5%	16.4%	481	9.2%	16.6%
Subtotal	4,291	100.0%	100.0%	5,239	100.0%	100.0%
Officer unidentified	2,983			3,026		
Total	7,274			8,265		

**Table 12: Age of Alleged Victims Compared to
New York City Demographics
1999 - 2003**

Age	1999		2000		2001		2002		2003		5-year Total		New York City Population (2000 Census)
	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	Number	Percent of Subtotal	
14 and Under	173	3.2%	174	4.6%	174	4.2%	231	5.0%	218	4.2%	970	4.2%	19.3%
15-24	1,388	25.3%	1,137	30.4%	1,302	31.4%	1,513	32.4%	1,596	30.6%	6,936	29.8%	14.3%
25-34	1,652	30.1%	1,007	26.9%	1,025	24.7%	1,160	24.9%	1,384	26.5%	6,228	26.8%	17.4%
35-44	1,375	25.1%	884	23.6%	966	23.3%	990	21.2%	1,138	21.8%	5,353	23.0%	15.3%
45-54	543	9.9%	341	9.1%	451	10.9%	514	11.0%	601	11.5%	2,450	10.5%	10.6%
55-64	215	3.9%	135	3.6%	169	4.1%	168	3.6%	203	3.9%	890	3.8%	8.8%
65 and Over	136	2.5%	65	1.7%	60	1.4%	87	1.9%	84	1.6%	432	1.9%	14.3%
Subtotal	5,482	100.0%	3,743	100.0%	4,147	100.0%	4,663	100.0%	5,224	100.0%	23,259	100.0%	100.0%
Unknown	255		1,373		1,249		1,568		2,138		6,583		
Total	5,737		5,116		5,396		6,231		7,362		29,842		

**Table 13A: Where Incidents that Led to a Complaint Took Place by Precinct - Manhattan
1999 - 2003**

Manhattan South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total Complaints
1st Precinct	50	46	45	50	52	243
5th Precinct	43	45	40	48	49	225
6th Precinct	66	52	43	53	83	297
7th Precinct	26	18	30	21	33	128
9th Precinct	52	48	45	48	64	257
10th Precinct	31	38	25	30	57	181
13th Precinct	52	41	40	36	64	233
Midtown South	100	107	124	130	176	637
17th Precinct	22	26	37	31	104	220
Midtown North	75	70	74	95	116	430
Manhattan South Total	517	491	503	542	798	2,851
Manhattan North						
19th Precinct	58	36	30	49	66	239
20th Precinct	33	32	43	28	30	166
23rd Precinct	65	66	67	82	99	379
24th Precinct	33	33	43	43	50	202
25th Precinct	77	63	63	90	81	374
26th Precinct	42	28	32	39	25	166
Central Park	9	4	2	7	3	25
28th Precinct	80	44	42	56	81	303
30th Precinct	94	85	84	91	64	418
32nd Precinct	64	59	78	74	66	341
33rd Precinct	61	51	52	60	58	282
34th Precinct	61	54	51	52	64	282
Manhattan North Total	677	555	587	671	687	3,177
Manhattan Total	1,194	1,046	1,090	1,213	1,485	6,028

**Table 13B: Where Incidents that Led to a Complaint Took Place by Precinct - Bronx
1999 - 2003**

Bronx	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total Complaints
40th Precinct	94	78	70	86	134	462
41st Precinct	40	42	63	42	55	242
42nd Precinct	59	61	57	55	74	306
43rd Precinct	116	99	93	115	124	547
44th Precinct	80	103	109	119	161	572
45th Precinct	38	38	29	46	42	193
46th Precinct	98	85	98	92	111	484
47th Precinct	65	63	73	80	111	392
48th Precinct	66	76	65	68	87	362
49th Precinct	45	32	30	57	55	219
50th Precinct	34	27	25	37	41	164
52nd Precinct	74	82	80	95	118	449
Bronx Total	809	786	792	892	1,113	4,392

**Table 13C: Where Incidents that Led to a Complaint Took Place by Precinct - Brooklyn
1999 - 2003**

Brooklyn South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total Complaints
60th Precinct	73	41	54	62	52	282
61st Precinct	46	38	44	43	61	232
62nd Precinct	39	47	37	38	57	218
63rd Precinct	37	32	53	50	49	221
66th Precinct	30	22	28	28	22	130
67th Precinct	104	82	109	117	130	542
68th Precinct	50	48	29	39	42	208
69th Precinct	50	30	52	35	45	212
70th Precinct	69	55	78	92	90	384
71st Precinct	52	66	69	58	69	314
72nd Precinct	42	37	53	46	57	235
76th Precinct	22	18	22	25	43	130
78th Precinct	29	25	32	38	36	160
Brooklyn South Total	643	541	660	671	753	3,268
Brooklyn North						
73rd Precinct	103	92	88	122	160	565
75th Precinct	152	121	172	172	169	786
77th Precinct	92	85	85	104	117	483
79th Precinct	99	86	87	134	160	566
81st Precinct	67	55	66	78	83	349
83rd Precinct	63	66	77	65	90	361
84th Precinct	60	42	56	46	79	283
88th Precinct	55	40	42	37	59	233
90th Precinct	42	53	51	39	58	243
94th Precinct	20	25	20	11	28	104
Brooklyn North Total	753	665	744	808	1,003	3,973
Brooklyn Total	1,396	1,206	1,404	1,479	1,756	7,241

**Table 13D: Where Incidents that Led to a Complaint Took Place by Precinct - Queens
1999 - 2003**

Queens South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total Complaints
100th Precinct	42	17	23	29	41	152
101st Precinct	34	37	55	51	49	226
102nd Precinct	51	40	53	45	54	243
103rd Precinct	83	69	71	79	100	402
105th Precinct	54	52	52	54	83	295
106th Precinct	47	33	36	41	31	188
107th Precinct	38	38	27	36	37	176
113th Precinct	102	61	45	72	97	377
Queens South Total	451	347	362	407	492	2,059
Queens North						
104th Precinct	30	35	34	36	40	175
108th Precinct	28	38	31	31	33	161
109th Precinct	55	37	45	51	54	242
110th Precinct	45	58	63	56	53	275
111th Precinct	31	13	25	21	26	116
112th Precinct	23	24	21	22	24	114
114th Precinct	76	71	62	75	93	377
115th Precinct	61	40	43	53	58	255
Queens North Total	349	316	324	345	381	1,715
Queens Total	800	663	686	752	873	3,774

**Table 13E: Where Incidents that Led to a Complaint Took Place by Precinct - Staten Island
1999 - 2003**

Staten Island	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total Complaints
120th Precinct	141	133	153	133	135	695
122nd Precinct	83	60	57	56	59	315
123rd Precinct	19	13	24	19	29	104
Staten Island Total	243	206	234	208	223	1,114

**Table 14: Attribution of Complaints by Subject Officer's Command Assignment*
1999 - 2003**

Patrol Services Bureau	1999	2000	2001	2002	2003	Total
Patrol Borough Manhattan South	231	217	192	203	308	1,151
Patrol Borough Manhattan North	367	299	301	324	353	1,644
Patrol Borough Bronx	411	391	420	480	579	2,281
Patrol Borough Brooklyn South	359	281	366	371	416	1,793
S.A.T. COM (Brooklyn North)	531	464	504	529	617	2,645
Patrol Borough Queens South	263	181	200	231	293	1,168
Patrol Borough Queens North	194	154	175	178	189	890
Patrol Borough Staten Island	133	112	133	138	141	657
Special Operations Division	30	19	40	57	58	204
Other Patrol Services Bureau Commands	2	1	1	0	1	5
Subtotal - Patrol Services Bureau	2,521	2,119	2,332	2,511	2,955	12,438
Other Bureaus						
Chief of Transportation						
<i>Transit Bureau</i>	243	160	200	175	232	1,010
<i>Traffic Control Division</i>	92	65	69	62	96	384
Housing Bureau	120	90	116	149	146	621
Organized Crime Control Bureau	303	332	310	330	218	1,493
Detective Bureau	176	211	260	268	239	1,154
Other Bureaus	28	27	27	23	30	135
Subtotal - Other Bureaus	962	885	982	1,007	961	4,797
Other Commands						
Deputy Commissioners and Misc. Units	21	24	45	34	19	143
Undetermined	2,120	1,645	1,578	1,835	2,433	9,611
Total	5,624	4,673	4,937	5,387	6,368	26,989

* Since complaints with allegations against subject officers assigned to more than one command are assigned to each of the commands with a subject officer, the total number of complaints appears higher than the yearly totals listed in Table 1. See the Guide to Tables for more details.

**Table 15A: Attribution of Complaints to Patrol Borough
Manhattan South
1999 - 2003**

Manhattan South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
1st Precinct	15	13	12	12	15	67
5th Precinct	18	15	16	21	22	92
6th Precinct	32	26	20	25	24	127
7th Precinct	17	4	7	5	19	52
9th Precinct	21	25	20	15	29	110
10th Precinct	14	19	13	12	18	76
13th Precinct	27	19	8	16	29	99
Midtown South	34	35	33	33	70	205
17th Precinct	11	10	12	18	23	74
Midtown North	26	30	31	28	41	156
Precincts Total	215	196	172	185	290	1,058
Task Force	10	13	12	10	7	52
Borough HQ	6	4	4	6	8	28
Anti-Crime Unit*	0	4	4	2	3	13
Patrol Borough Manhattan South Total	231	217	192	203	308	1,151

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15B: Attribution of Complaints to Patrol Borough
Manhattan North
1999 - 2003**

Manhattan North	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
19th Precinct	26	24	14	26	37	127
20th Precinct	21	13	21	13	12	80
23rd Precinct	21	29	33	33	37	153
24th Precinct	15	14	22	22	24	97
25th Precinct	38	21	24	39	34	156
26th Precinct	20	23	18	20	12	93
Central Park	15	5	4	1	4	29
28th Precinct	44	26	23	27	43	163
30th Precinct	42	33	32	37	31	175
32nd Precinct	30	30	22	32	31	145
33rd Precinct	41	28	32	38	35	174
34th Precinct	35	33	30	26	31	155
Precincts Total	348	279	275	314	331	1,547
Task Force	14	8	8	4	7	41
Borough HQ	4	7	8	1	12	32
Anti-Crime Unit*	1	5	10	5	3	24
Patrol Borough Manhattan North Total	367	299	301	324	353	1,644

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15C: Attribution of Complaints to Patrol Borough Bronx
1999 - 2003**

Bronx	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
40th Precinct	39	36	27	35	50	187
41st Precinct	19	19	30	22	23	113
42nd Precinct	24	26	30	24	39	143
43rd Precinct	59	44	43	58	70	274
44th Precinct	29	40	44	59	69	241
45th Precinct	16	19	18	17	17	87
46th Precinct	50	47	51	51	71	270
47th Precinct	42	29	41	46	61	219
48th Precinct	22	35	35	37	40	169
49th Precinct	19	8	23	33	38	121
50th Precinct	23	19	11	22	24	99
52nd Precinct	44	37	38	51	56	226
Precincts Total	386	359	391	455	558	2,149
Task Force	13	11	18	6	2	50
Borough HQ	4	10	7	12	16	49
Anti-Crime Unit*	8	11	4	7	3	33
Patrol Borough Bronx Total	411	391	420	480	579	2,281

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15D: Attribution of Complaints to Patrol Borough
Brooklyn South
1999 - 2003**

Brooklyn South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
60th Precinct	24	14	20	21	17	96
61st Precinct	27	15	17	18	34	111
62nd Precinct	21	29	21	27	37	135
63rd Precinct	23	20	33	37	26	139
66th Precinct	20	17	11	18	14	80
67th Precinct	51	40	62	65	78	296
68th Precinct	31	28	21	24	26	130
69th Precinct	25	20	29	14	18	106
70th Precinct	39	25	47	46	48	205
71st Precinct	29	26	45	30	35	165
72nd Precinct	20	17	21	24	28	110
76th Precinct	8	8	9	14	18	57
78th Precinct	18	12	20	20	23	93
Precincts Total	336	271	356	358	402	1,723
Task Force	16	8	7	6	6	43
Borough HQ	5	1	1	5	7	19
Anti-Crime Unit*	2	1	2	2	1	8
Patrol Borough Brooklyn South Total	359	281	366	371	416	1,793

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15E: Attribution of Complaints to Strategic and Tactical Command (Brooklyn North)*
1999 - 2003**

Strategic & Tactical Command (Brooklyn North)	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
73rd Precinct	40	45	35	41	60	221
75th Precinct	60	47	68	77	70	322
77th Precinct	56	45	48	55	60	264
79th Precinct	49	41	36	67	82	275
81st Precinct	38	21	37	32	45	173
83rd Precinct	29	28	32	27	38	154
84th Precinct	20	14	15	13	37	99
88th Precinct	21	19	19	14	16	89
90th Precinct	21	17	17	9	21	85
94th Precinct	10	17	9	6	15	57
Precincts Total	344	294	316	341	444	1,739
Task Force	15	5	8	10	9	47
Borough Headquarters	6	0	1	4	1	12
Anti-Crime Unit**	9	5	9	14	13	50
Strategic & Tactical CMD B/N	0	0	1	1	0	2
SAT Narc Ops B/N	29	33	38	32	16	148
Brooklyn Narcotics District	20	20	15	13	6	74
Narcotics Boro Brklyn North	34	41	37	20	17	149
SAT Hous Ops B/N	1	0	2	1	0	4
PSA 2	17	13	22	29	41	122
PSA 3	25	20	27	33	37	142
SAT Pat Ops B/N	0	0	0	0	0	0
SAT Det Ops B/N	0	1	1	0	1	3
73rd Precinct Det Squad	5	3	2	4	3	17
75th Precinct Det Squad	1	2	4	4	1	12
77th Precinct Det Squad	3	0	5	7	2	17
79th Precinct Det Squad	5	6	6	3	4	24
81st Precinct Det Squad	6	4	3	6	4	23
83rd Precinct Det Squad	0	1	0	1	2	4
84th Precinct Det Squad	1	2	0	0	5	8
88th Precinct Det Squad	0	4	0	1	0	5
90th Precinct Det Squad	7	6	3	1	5	22
94th Precinct Det Squad	1	0	0	3	3	7
Brooklyn North Homicide	0	1	1	0	1	3
Brooklyn North Vice	2	3	3	1	2	11
S.A.T. COM Total	531	464	504	529	617	2,645

* The Brooklyn North Patrol Borough is called Strategic and Tactical Command (S.A.T.COM) and combines the usual patrol borough commands with two police service area commands, the detective squads, and the narcotics units.

** Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15F: Attribution of Complaints to Patrol Borough
Queens South
1999 - 2003**

Queens South	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
100th Precinct	21	11	10	17	26	85
101st Precinct	19	18	38	24	33	132
102nd Precinct	27	24	24	28	30	133
103rd Precinct	40	21	29	36	52	178
105th Precinct	36	38	28	34	51	187
106th Precinct	21	16	22	21	15	95
107th Precinct	15	13	13	22	18	81
113th Precinct	60	33	29	40	57	219
Precincts Total	239	174	193	222	282	1,110
Task Force	7	1	3	3	3	17
Borough HQ	6	2	2	4	7	21
Anti-Crime Unit*	11	4	2	2	1	20
Patrol Borough Queens South Total	263	181	200	231	293	1,168

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15G: Attribution of Complaints to Patrol Borough
Queens North
1999 - 2003**

Queens North	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
104th Precinct	21	27	18	24	23	113
108th Precinct	16	15	13	16	21	81
109th Precinct	28	13	27	31	26	125
110th Precinct	25	24	36	22	19	126
111th Precinct	18	6	16	12	17	69
112th Precinct	11	13	5	14	14	57
114th Precinct	25	22	26	30	28	131
115th Precinct	34	21	21	17	27	120
Precincts Total	178	141	162	166	175	822
Task Force	6	3	5	5	6	25
Borough HQ	5	7	6	5	5	28
Anti-Crime Unit*	5	3	2	2	3	15
Patrol Borough Queens North Total	194	154	175	178	189	890

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15H: Attribution of Complaints to Patrol Borough Staten Island
1999 - 2003**

Staten Island	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
120th Precinct	37	41	51	61	54	244
122nd Precinct	30	22	26	22	22	122
123rd Precinct	10	5	14	12	18	59
Precincts Total	77	68	91	95	94	425
Task Force	12	12	9	7	12	52
120th Detective	4	1	3	4	5	17
122nd Detective	2	4	4	5	2	17
123rd Detective	1	1	1	2	1	6
Patrol Borough SI Det. Operations	4	4	4	3	2	17
Borough HQ	7	5	1	2	5	20
Crimes against Property	0	0	0	0	0	0
Emergency Service	3	2	2	4	1	12
Highway Patrol	5	5	5	4	5	24
District Attorney Person	0	0	0	0	0	0
Anti-Crime Unit*	6	3	3	2	3	17
Housing	10	6	10	9	8	43
Warrants	1	0	0	0	0	1
Court	1	1	0	1	3	6
Patrol Borough Staten Island Total	133	112	133	138	141	657

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 15I: Attribution of Complaints to Special Operations Division
1999 - 2003**

Special Operations	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Emergency Service	23	11	34	55	47	170
Harbor Unit	2	0	1	0	1	4
Aviation Unit	2	0	0	1	0	3
Taxi Unit	3	4	2	1	3	13
Canine Unit	0	1	2	0	5	8
Headquarters	0	3	1	0	2	6
Special Operations Division Total	30	19	40	57	58	204

**Table 15J: Attribution of Complaints to Other
Patrol Services Bureau Commands
1999 - 2003**

Other Patrol Services Bureau Commands	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
School Safety Division	1	0	1	0	0	1
Chief's Office	1	1	0	0	1	1
Other Patrol Services Bureau Commands Total	2	1	1	0	1	2

**Table 15K: Attribution of Complaints to
the Transit Bureau
1999 - 2003**

Transit Bureau	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Transit Bureau Headquarters	1	0	1	0	0	2
TB Liaison	0	0	0	0	0	0
TB Inspections	0	0	0	0	0	0
TB Special Investigations	0	0	0	0	1	1
TB Crime Analysis	0	0	0	0	0	0
TB Operations	0	0	0	0	0	0
TB Manhattan	0	0	0	2	0	2
TB Bronx	0	0	0	0	0	0
TB Queens	2	0	0	0	1	3
TB Brooklyn	0	1	0	0	0	1
TB DT 01	27	15	28	18	23	111
TB DT 02	28	24	17	12	19	100
TB DT 03	11	8	17	25	16	77
TB DT 04	23	15	17	11	22	88
TB DT 11	13	7	11	9	12	52
TB DT 12	8	4	10	4	12	38
TB DT 20	11	7	4	6	12	40
TB DT 23	2	2	3	1	2	10
TB DT 30	26	13	15	12	15	81
TB DT 32	11	6	13	12	9	51
TB DT 33	25	15	17	18	29	104
TB DT 34	15	7	11	12	15	60
TB Manhattan/TF	15	9	11	3	12	50
TB Bronx/TF	7	6	5	4	10	32
TB Queens/TF	6	1	5	6	4	22
TB Brooklyn/TF	6	13	7	10	13	49
TB Homeless	0	0	1	4	1	6
TB Canine	0	0	0	0	0	0
TB Vandal	2	0	2	0	0	4
TB Special Operations Unit	2	7	5	6	4	24
TB Other	0	0	0	0	0	0
Homeless	2	0	0	0	0	2
Transit Bureau Total	243	160	200	175	232	1,010

**Table 15L: Attribution of Complaints to Traffic Control Division
1999 - 2003**

Traffic Control Division	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Headquarters Command	0	0	2	0	0	2
Manhattan Task Force	17	11	20	27	41	116
Brooklyn Task Force	0	0	0	0	0	0
Bronx Task Force	1	0	0	0	0	1
Queens Task Force	1	0	0	0	0	1
Surface Transportation Enforcement Division	8	6	4	6	2	26
Bus	7	4	10	3	0	24
Parking Enforcement District	0	0	0	0	0	0
Tow Units	0	0	0	0	0	0
Summons Enforcement	0	0	0	0	0	0
Intelligence	0	0	0	0	0	0
Highway District	0	2	0	1	1	4
Highway 1	22	10	12	8	11	63
Highway 2	12	15	7	6	17	57
Highway 3	16	13	8	6	13	56
Highway 4	4	4	2	1	2	13
Highway Safety	0	0	0	0	0	0
Highway/SEU	0	0	0	0	0	0
Mounted Unit	2	0	2	4	8	16
Movie and Television	2	0	2	0	1	5
Traffic Control Division Total	92	65	69	62	96	384

**Table 15M: Attribution of Complaints to the Housing Bureau
1999 - 2003**

Housing Bureau	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Housing Bureau (Command Center)	4	0	1	4	2	11
PSA 1	13	11	12	20	15	71
PSA 4	15	13	13	16	13	70
PSA 5	19	7	18	26	24	94
PSA 6	13	15	20	17	19	84
PSA 7	21	18	21	27	24	111
PSA 8	12	12	13	20	20	77
PSA 9	16	13	15	16	21	81
HB Detectives	0	0	0	0	0	0
HB Brooklyn/Staten Island	0	0	1	0	0	1
HB Manhattan	3	1	2	2	2	10
HB Bronx/Queens	3	0	0	0	5	8
HB Investigation	0	0	0	0	0	0
HB Vandalism	1	0	0	1	1	3
HB Other	0	0	0	0	0	0
Housing Bureau Total	120	90	116	149	146	621

**Table 15N: Attribution of Complaints to the
Organized Crime Control Bureau
1999 - 2003**

Organized Crime Control Bureau	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Queens Narcotics	61	62	52	65	51	291
Manhattan Narcotics	89	88	73	78	45	373
Bronx Narcotics	65	87	64	90	55	361
Staten Island Narcotics	31	30	34	16	13	124
Brooklyn South Narcotics	40	50	71	58	37	256
Narcotics	4	2	1	6	4	17
Auto Crime	4	2	0	2	4	12
Vice Enforcement	5	6	9	7	4	31
Drug Enforcement	0	0	1	3	1	5
Organized Crime HQ	4	5	5	5	4	23
Organized Crime Control Bureau Total	303	332	310	330	218	1,493

**Table 15O: Attribution of Complaints to the
Detective Bureau
1999 - 2003**

Detective Bureau	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Manhattan Units	33	32	39	48	43	195
Bronx Units	24	29	30	39	37	159
Brooklyn South Units	25	23	37	44	49	178
Queens Units	30	42	42	46	41	201
Central Robbery	0	0	1	2	0	3
Special Investigations	1	1	0	0	1	3
Career Criminals	2	0	0	0	1	3
Missing Person	1	0	1	1	1	4
Special Victims	4	3	1	2	2	12
Scientific Research	0	0	0	0	1	1
Crime Scene	0	0	0	0	0	0
Warrant Division	32	51	77	61	37	258
Juvenile Crime	3	3	3	4	1	14
Cold Cases	2	1	0	0	0	3
Fugitive Enforcement	0	0	1	1	0	2
Detective Headquarters	0	1	1	1	0	3
Gang Units	19	25	27	19	25	115
Detective Bureau Total	176	211	260	268	239	1,154

**Table 15P: Attribution of Complaints to Other Bureaus
1999 - 2003**

Other Bureaus	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
Internal Affairs Bureau						
Internal Affairs	5	8	5	6	7	31
Criminal Justice Bureau						
Court Division	14	14	16	9	18	71
Criminal Justice HQ	0	0	0	0	0	0
Support Services Bureau						
Property Clerk	3	2	1	2	1	9
Fleet Services	1	1	0	1	0	3
Central Record Division	0	0	0	0	0	0
Personnel Bureau						
Applicant Processing	1	1	0	1	1	4
Health Services	3	0	1	1	1	6
Personnel Bureau HQ	1	1	4	3	2	11
Other Bureaus Total	28	27	27	23	30	135

**Table 15Q: Attribution of Complaints to Deputy Commissioners and Miscellaneous Commands
1999 - 2003**

Deputy Commissioners and Miscellaneous Commands	1999 Complaints	2000 Complaints	2001 Complaints	2002 Complaints	2003 Complaints	Total
DC Legal Matters - License Division	0	0	1	0	0	1
DC Legal Matters - Legal Bureau	0	1	1	2	0	4
DC Training - Police Academy	2	0	1	0	1	4
DC Training - Police Academy Training	4	7	9	2	1	23
DC Training - In-service Training Section	0	1	4	1	1	7
DC Management and Budget	2	2	3	2	0	9
PC Office	0	2	1	1	1	5
Community Affairs	0	2	5	0	2	9
Office of Equal Employment	1	0	0	0	0	1
DC Operations	1	0	1	2	0	4
DC Intelligence	2	7	17	21	10	57
Chief of Department	6	2	2	1	3	14
Department Advocate	0	0	0	0	0	0
DC Public Information	3	0	0	1	0	4
Crime Prevention	0	0	0	0	0	0
First Deputy Commissioner	0	0	0	0	0	0
DC Strategic Initiatives	0	0	0	0	0	0
Office of Management, Analysis, and Planning	0	0	0	1	0	1
Quality Assurance Division	0	0	0	0	0	0
DC Counterterrorism	0	0	0	0	0	0
Deputy Commissioners and Miscellaneous Commands Total	21	24	45	34	19	143

**Table 16A: Command Rankings
Complaints per Uniformed Officer
2002**

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
1	Anti-Crime Init. Brooklyn North	14	21	0.6667
2	Queens South Narcotics District	13	33	0.3939
3	105 DET	9	24	0.3750
4	Brooklyn South West Narc. Dist.	14	38	0.3684
5	Bronx South Narcotics District	20	62	0.3226
6	Narcotics Div. Staten Island Init.	13	41	0.3171
7	Brooklyn North Narcotics Dist.	13	42	0.3095
8	Narcotics Div Brooklyn South Init.	13	47	0.2766
9	79 PCT	67	245	0.2735
10	63 PCT	37	136	0.2721
11	Narcotics Div. East Harlem Init.	15	56	0.2679
12	Narcotics Div. Queens North Init.	19	72	0.2639
13	67 DET	11	42	0.2619
14	67 PCT	65	255	0.2549
15	Brooklyn South East Narc. Dist.	22	89	0.2472
16	Midtown North DET	7	29	0.2414
17	122 DET	5	21	0.2381
18	Narc. Operations Brooklyn North	32	135	0.2370
19	GANG Staten Island	4	17	0.2353
20	77 PCT	55	235	0.2340
21	10 DET	3	13	0.2308
21	81 DET	6	26	0.2308
21	Manhattan North Narcotics East	3	13	0.2308
24	120 PCT	61	269	0.2268
25	Narcotics Div. Bronx Central Init.	26	116	0.2241
26	47 DET	6	27	0.2222
27	Narcotics Boro Brooklyn South	9	41	0.2195
28	77 DET	7	32	0.2188
28	Emergency Services 07	7	32	0.2188
30	Narc. Div. Southeast Queens Init.	23	106	0.2170
31	52 PCT	51	241	0.2116
32	75 PCT	77	366	0.2104
33	43 PCT	58	276	0.2101
34	Narcotics Div. Bronx South Init.	29	139	0.2086
35	47 PCT	46	222	0.2072
36	Narc. Div. Central Harlem Init.	18	87	0.2069
37	71 DET	7	34	0.2059
38	113 PCT	40	197	0.2030

**Table 16A: Command Rankings
Complaints per Uniformed Officer
2002**

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
39	60 DET	5	25	0.2000
39	108 DET	4	20	0.2000
39	Emergency Services 09	5	25	0.2000
42	70 PCT	46	233	0.1974
43	Downtown Manhattan Narc. Dist.	10	51	0.1961
44	Narcotics Boro Bronx	9	46	0.1957
45	44 PCT	59	303	0.1947
46	Anti-Crimi Init. Bronx	7	36	0.1944
46	Narcotics Boro Queens North	7	36	0.1944
48	Midtown South DET	6	31	0.1935
49	114 DET	5	26	0.1923
50	49 DET	4	21	0.1905
51	25 PCT	39	205	0.1902
52	49 PCT	33	175	0.1886
53	33 PCT	38	205	0.1854
54	Emergency Services 04	5	27	0.1852
55	PSA 3	33	181	0.1823
56	DB Brooklyn South Homicide TF	2	11	0.1818
56	123 DET	2	11	0.1818
56	TB Manhattan	2	11	0.1818
59	46 PCT	51	283	0.1802
60	62 PCT	27	150	0.1800
61	GANG Brooklyn North	5	28	0.1786
62	73 PCT	41	230	0.1783
63	30 PCT	37	209	0.1770
64	25 DET	3	17	0.1765
65	102 DET	4	23	0.1739
65	106 DET	4	23	0.1739
67	23 PCT	33	190	0.1737
68	48 PCT	37	214	0.1729
69	70 DET	5	29	0.1724
70	Traffic STED	6	35	0.1714
71	81 PCT	32	192	0.1667
71	Anti-Crimi Init. Queens North	2	12	0.1667
71	34 DET	4	24	0.1667
71	45 DET	3	18	0.1667
71	94 DET	3	18	0.1667
71	110 DET	4	24	0.1667
71	DC Legal Matters - Legal Bureau	2	12	0.1667
78	PSA 5	26	158	0.1646
79	GANG Brooklyn South	6	37	0.1622
80	Anti-Crime Init. Manhattan North	5	31	0.1613

Table 16A: Command Rankings Complaints per Uniformed Officer 2002

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
81	Manhattan South Special Victims	4	25	0.1600
81	115 DET	4	25	0.1600
81	120 DET	4	25	0.1600
84	Narcotics Boro Brooklyn North	20	126	0.1587
85	69 DET	3	19	0.1579
85	Narcotics Boro Staten Island	3	19	0.1579
87	103 PCT	36	230	0.1565
88	44 DET	5	32	0.1563
88	Emergency Services 02	5	32	0.1563
90	32 PCT	32	205	0.1561
91	PSA 2	29	186	0.1559
92	68 PCT	24	154	0.1558
93	71 PCT	30	195	0.1538
93	Juvenile Crime Section	4	26	0.1538
95	111 DET	3	20	0.1500
95	Bronx North Narcotics District	6	40	0.1500
97	Emergency Services Unit	21	141	0.1489
98	107 PCT	22	149	0.1477
99	PSA 7	27	184	0.1467
100	109 PCT	31	213	0.1455
101	40 PCT	35	241	0.1452
102	102 PCT	28	193	0.1451
103	TB DT03	25	174	0.1437
104	52 DET	6	42	0.1429
104	Emergency Services 05	4	28	0.1429
104	Manhattan North Narcotics West	2	14	0.1429
107	105 PCT	34	243	0.1399
108	104 PCT	24	175	0.1371
109	66 PCT	18	132	0.1364
109	Queens North Narcotics District	3	22	0.1364
111	28 PCT	27	199	0.1357
112	PSA 8	20	148	0.1351
113	72 PCT	24	178	0.1348
114	114 PCT	30	223	0.1345
115	42 PCT	24	180	0.1333
115	Vice Enforcement Division	2	15	0.1333
117	24 PCT	22	167	0.1317
118	PSA 1	20	152	0.1316
119	6 PCT	25	191	0.1309
120	83 PCT	27	207	0.1304
120	30 DET	3	23	0.1304
122	50 PCT	22	169	0.1302
123	34 PCT	26	201	0.1294

**Table 16B: Command Rankings
Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
1	Manhattan North Narcotics East	5	10	0.5000
2	Anti-Crime Init. Brooklyn North	13	27	0.4815
3	GANG Staten Island	7	17	0.4118
4	79 PCT	82	245	0.3347
5	84 DET	5	15	0.3333
5	Narc. Div. Brooklyn South Init.	6	18	0.3333
7	32 DET	6	19	0.3158
7	Brooklyn South East Narc. Dist.	18	57	0.3158
9	69 DET	6	20	0.3000
10	67 PCT	78	278	0.2806
11	Narcotics Boro Staten Island	7	25	0.2800
12	47 PCT	61	218	0.2798
13	113 PCT	57	207	0.2754
14	52 DET	9	33	0.2727
15	62 PCT	37	145	0.2552
16	20 DET	4	16	0.2500
16	30 DET	5	20	0.2500
18	77 PCT	60	247	0.2429
19	Queens South Narcotics District	7	29	0.2414
20	46 PCT	71	297	0.2391
21	43 PCT	70	294	0.2381
21	81 PCT	45	189	0.2381
23	73 PCT	60	256	0.2344
24	Anti-Crime Init. Queens North	3	13	0.2308
24	94 DET	3	13	0.2308
26	44 PCT	69	303	0.2277
27	28 PCT	43	189	0.2275
28	Queens North Narcotics District	5	22	0.2273
29	49 PCT	38	168	0.2262
30	Emergency Services 04	6	27	0.2222
30	Narcotics Div. Staten Island Init.	6	27	0.2222
32	HWY 02	17	77	0.2208
33	103 PCT	52	238	0.2185
34	102 DET	5	23	0.2174
35	7 DET	3	14	0.2143
36	42 PCT	39	185	0.2108
37	49 DET	4	19	0.2105
38	61 PCT	34	163	0.2086
39	90 DET	5	24	0.2083
40	63 PCT	26	126	0.2063
41	105 PCT	51	248	0.2056
42	23 PCT	37	181	0.2044
43	52 PCT	56	274	0.2044
44	40 PCT	50	245	0.2041
45	100 PCT	26	128	0.2031
46	PSA 2	41	204	0.2010
47	62 DET	4	20	0.2000
47	71 DET	6	30	0.2000
47	114 DET	5	25	0.2000
50	PSA 3	37	187	0.1979
51	120 PCT	54	274	0.1971
52	Narc. Div. Southeast Queen Init.	18	93	0.1935

**Table 16B: Command Rankings
Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
53	48 PCT	40	207	0.1932
54	67 DET	8	42	0.1905
55	75 PCT	70	372	0.1882
56	68 DET	3	16	0.1875
56	PBSI ACI	3	16	0.1875
56	GANG BX	6	32	0.1875
59	105 DET	5	27	0.1852
59	Emergency Services 09	5	27	0.1852
61	101 PCT	33	180	0.1833
61	Bronx South Narcotics District	11	60	0.1833
63	83 PCT	38	208	0.1827
64	Midtown South PCT	70	384	0.1823
65	120 DET	5	28	0.1786
65	GANG M	5	28	0.1786
67	72 PCT	28	159	0.1761
68	68 PCT	26	148	0.1757
69	25 PCT	34	195	0.1744
70	Brooklyn South West Narc. Dist.	5	29	0.1724
71	Narcotics Div. Bronx South Init.	21	122	0.1721
72	70 PCT	48	280	0.1714
72	PB Staten Island Task Force	12	70	0.1714
72	Brooklyn North Narcotics Dist.	6	35	0.1714
75	033 PCT	35	207	0.1691
76	34 PCT	31	186	0.1667
76	Special Oper. Div. Taxi Unit	3	18	0.1667
76	Emergency Services 07	5	30	0.1667
79	78 PCT	23	141	0.1631
80	TB DT33	29	179	0.1620
81	Narcotics Div. Queens North Init.	11	68	0.1618
82	47 DET	4	25	0.1600
82	103 DET	4	25	0.1600
84	9 PCT	29	182	0.1593
85	30 PCT	31	195	0.1590
86	PSA 5	24	151	0.1589
87	102 PCT	30	189	0.1587
87	Narcotics Boro Queens North	10	63	0.1587
89	9 DET	3	19	0.1579

**Table 16B: Command Rankings
Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Complaints	Number of Officers	Complaints per Uniformed Officer
90	PSA 9	21	134	0.1567
91	HWY 03	13	83	0.1566
92	PSA 8	20	128	0.1563
93	60 DET	4	26	0.1538
94	PSA 6	19	125	0.1520
95	Midtown North PCT	41	270	0.1519
96	32 PCT	31	206	0.1505
97	76 PCT	18	120	0.1500
97	106 DET	3	20	0.1500
97	Downtown Manhattan Narc. Dist.	6	40	0.1500
100	71 PCT	35	234	0.1496
101	13 PCT	29	194	0.1495
102	48 DET	4	27	0.1481
102	81 DET	4	27	0.1481
104	24 PCT	24	164	0.1463
105	19 PCT	37	254	0.1457
105	84 PCT	37	254	0.1457
107	123 PCT	18	125	0.1440
108	45 DET	2	14	0.1429
108	Housing Elevator Vandalism Unit	1	7	0.1429
110	50 PCT	24	169	0.1420
111	108 PCT	21	149	0.1409
112	Manhattan South Narcotics Dist.	9	64	0.1406
113	7 PCT	19	136	0.1397
114	79 DET	4	29	0.1379
115	5 PCT	22	161	0.1366
116	104 PCT	23	170	0.1353
117	Narc. Operations Brooklyn North	16	119	0.1345
118	69 PCT	18	134	0.1343
119	Midtown South DET	4	30	0.1333
119	24 DET	2	15	0.1333
119	Emergency Services 03	4	30	0.1333
122	122 PCT	22	167	0.1317
123	Bronx North Narcotics District	5	38	0.1316

**Table 17: Reasons for Police-Civilian Encounters
that Led to a Complaint
2001 - 2003**

Type of Encounter	2001		2002		2003	
	Number	Percentage	Number	Percentage	Number	Percentage
Aided case	24	0.6%	8	0.2%	21	0.4%
Assisting Administration for Children Services	7	0.2%	3	0.1%	1	0.0%
Complainant or victim at precinct to file complaint of crime	24	0.6%	39	0.8%	34	0.6%
Complainant or victim at precinct to obtain information	40	0.9%	39	0.8%	41	0.7%
Complainant or victim observed encounter with third party	65	1.5%	64	1.4%	84	1.5%
Complainant or victim requested information from officer	34	0.8%	21	0.5%	29	0.5%
Complainant or victim requested investigation of crime	57	1.3%	62	1.3%	66	1.2%
Complainant or victim telephoned precinct	47	1.1%	176	3.8%	137	2.5%
Demonstration or protest	3	0.1%	10	0.2%	66	1.2%
Emotionally disturbed person aided case	21	0.5%	16	0.3%	17	0.3%
Execution of arrest or bench warrant	123	2.9%	77	1.7%	67	1.2%
Execution of search warrant	130	3.1%	161	3.5%	88	1.6%
Moving violation	230	5.4%	191	4.1%	263	4.7%
Other violation of Vehicle and Traffic Law	114	2.7%	105	2.3%	132	2.4%
Parking violation	170	4.0%	158	3.4%	191	3.4%
Police auto checkpoint	18	0.4%	5	0.1%	10	0.2%
Police suspected complainant or victim of crime/auto	109	2.6%	94	2.0%	85	1.5%
Police suspected complainant or victim of crime/bldg	167	3.9%	125	2.7%	136	2.4%
Police suspected complainant or victim of crime/street	571	13.4%	442	9.6%	465	8.4%
Report of dispute	258	6.1%	277	6.0%	306	5.5%
Report of domestic dispute	149	3.5%	142	3.1%	119	2.1%
Report of gun possession or shots fired	61	1.4%	46	1.0%	42	0.8%
Report of noise or disturbance	88	2.1%	49	1.1%	48	0.9%
Report of possession or sale of narcotics	79	1.9%	100	2.2%	53	1.0%
Report of other crime	186	4.4%	137	3.0%	131	2.4%
Traffic accident	43	1.0%	45	1.0%	103	1.8%
Data unavailable or unknown	264	6.2%	29	0.6%	287	5.2%
Other	1,169	27.5%	1,991	43.2%	2,546	45.7%
Total	4,251	100.0%	4,612	100.0%	5,568	100.0%

**Appendix B:
Agency Efficiency Measures
1999 - 2003**

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**Table 18: Average Age of Closed Cases, in Days
1999 - 2003**

	FADO Category				Average (All Allegations)
	F	A	D	O	
Full Investigations					
1999	281	226	184	177	251
2000	343	298	266	217	316
2001	283	232	186	186	254
2002	292	245	200	221	267
2003	285	231	188	179	257
Truncated Investigations					
1999	112	110	110	102	111
2000	118	122	122	113	120
2001	97	92	90	93	94
2002	111	108	105	110	109
2003	107	104	104	105	105
Mediations					
1999	119	148	162	N/A	154
2000	125	133	155	179	146
2001	139	140	134	0	138
2002	221	201	173	246	193
2003	130	152	124	N/A	140
Mediation Attempted					
1999	N/A	N/A	N/A	N/A	N/A
2000	N/A	282	210	N/A	250
2001	282	261	261	244	263
2002	296	287	300	185	293
2003	222	238	213	121	225
All Cases					
1999	202	166	134	129	178
2000	234	212	174	183	217
2001	191	164	127	127	173
2002	202	181	147	141	187
2003	189	163	128	127	171

Table 19: Rate at Which the CCRB Made Findings on the Merits* 1999 - 2003

	1999	2000	2001	2002	2003
Findings on the Merits	3,943	5,153	4,115	5,121	4,779
No Findings on the Merits	3,332	2,742	1,960	2,667	2,672
Total Allegations Closed After Full Investigation	7,275	7,895	6,075	7,788	7,451
Rate at Which the CCRB Made Findings on the Merits	54.2%	65.3%	67.7%	65.8%	64.1%

* Findings on the merits include “substantiated,” “employee exonerated,” and “unfounded”—those findings where the board was able to come to a definite conclusion about the validity of the allegation after conducting a full investigation.

**Table 20: Age of Docket* Measured from the Date of Incident
1999 - 2003**

Age of Case in Months	1999		2000		2001		2002		2003	
	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket
0 - 4 months	1,162	43.2%	1,053	58.6%	1,132	47.8%	1,358	63.2%	1,711	60.8%
5 - 7 months	645	24.0%	324	18.0%	628	26.5%	421	19.6%	491	17.4%
8 months	146	5.4%	87	4.8%	123	5.2%	78	3.6%	121	4.3%
9 months	130	4.8%	74	4.1%	139	5.9%	78	3.6%	128	4.5%
10 months	139	5.2%	76	4.2%	96	4.1%	52	2.4%	92	3.3%
11 months	103	3.8%	56	3.1%	75	3.2%	50	2.3%	81	2.9%
12 months	107	4.0%	39	2.2%	54	2.3%	35	1.6%	55	2.0%
13 months	50	1.9%	36	2.0%	37	1.6%	16	0.7%	29	1.0%
14 months	46	1.7%	12	0.7%	17	0.7%	16	0.7%	27	1.0%
15 months	55	2.0%	12	0.7%	19	0.8%	13	0.6%	24	0.9%
16 or older	105	3.9%	28	1.6%	46	1.9%	32	1.5%	57	2.0%
Total Docket	2,688	100.0%	1,797	100.0%	2,366	100.0%	2,149	100.0%	2,816	100.0%

* The age of the docket is measured by the number of open cases at the end of each reporting period.

**Table 21: Age of Docket* Measured from the Date of Report
1999 - 2003**

Age of Case in Months	1999		2000		2001		2002		2003	
	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket
0 - 4 months	1,291	48.0%	1,228	68.3%	1,232	52.1%	1,483	69.0%	1,834	65.1%
5 - 7 months	641	23.8%	271	15.1%	610	25.8%	379	17.6%	469	16.7%
8 months	137	5.1%	87	4.8%	132	5.6%	67	3.1%	110	3.9%
9 months	125	4.7%	39	2.2%	128	5.4%	73	3.4%	115	4.1%
10 months	149	5.5%	51	2.8%	89	3.8%	42	2.0%	101	3.6%
11 months	112	4.2%	41	2.3%	58	2.5%	31	1.4%	74	2.6%
12 months	64	2.4%	24	1.3%	34	1.4%	25	1.2%	24	0.9%
13 months	45	1.7%	22	1.2%	26	1.1%	13	0.6%	25	0.9%
14 months	34	1.3%	7	0.4%	16	0.7%	11	0.5%	20	0.7%
15 months	33	1.2%	8	0.4%	11	0.5%	3	0.1%	17	0.6%
16 or older	57	2.1%	19	1.1%	30	1.3%	22	1.0%	27	1.0%
Total Docket	2,688	100.0%	1,797	100.0%	2,366	100.0%	2,149	100.0%	2,816	100.0%

* The age of the docket is measured by the number of open cases at the end of each reporting period.

**Table 22: Age of Substantiated Cases Measured from the Date of Incident
1999 - 2003**

Age of Case in Months	1999		2000		2001		2002		2003	
	<i>Number of Cases</i>	<i>Percent of Docket</i>	<i>Number of Cases</i>	<i>Percent of Docket</i>	<i>Number of Cases</i>	<i>Percent of Docket</i>	<i>Number of Cases</i>	<i>Percent of Docket</i>	<i>Number of Cases</i>	<i>Percent of Docket</i>
15 or older	16	5.8%	42	22.2%	11	6.3%	23	10.3%	30	10.2%
14 months	6	2.2%	19	10.1%	9	5.1%	11	4.9%	12	4.1%
13 months	12	4.4%	17	9.0%	11	6.3%	21	9.4%	18	6.1%
12 months	19	6.9%	17	9.0%	15	8.6%	13	5.8%	25	8.5%
11 months	22	8.0%	12	6.3%	7	4.0%	19	8.5%	17	5.8%
10 months	39	14.2%	11	5.8%	22	12.6%	21	9.4%	24	8.2%
9 months	32	11.7%	13	6.9%	14	8.0%	24	10.7%	36	12.2%
8 months	29	10.6%	14	7.4%	22	12.6%	16	7.1%	26	8.8%
7 months	29	10.6%	9	4.8%	12	6.9%	16	7.1%	21	7.1%
6 months	26	9.5%	11	5.8%	11	6.3%	17	7.6%	27	9.2%
5 months	22	8.0%	14	7.4%	16	9.1%	17	7.6%	23	7.8%
4 months	15	5.5%	6	3.2%	15	8.6%	10	4.5%	17	5.8%
3 or younger	7	2.6%	4	2.1%	10	5.7%	16	7.1%	18	6.1%
Total Substantiated Cases	274	100.0%	189	100.0%	175	100.0%	224	100.0%	294	100.0%

**Table 23: Age of Substantiated Cases Measured from the Date of Report
1999 - 2003**

Age of Case in Months	1999		2000		2001		2002		2003	
	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket	Number of Cases	Percent of Docket
15 or older	13	4.7%	30	15.9%	10	5.7%	17	7.6%	24	8.2%
14 months	7	2.6%	17	9.0%	3	1.7%	13	5.8%	10	3.4%
13 months	10	3.6%	19	10.1%	13	7.4%	19	8.5%	15	5.1%
12 months	17	6.2%	19	10.1%	10	5.7%	12	5.4%	25	8.5%
11 months	18	6.6%	13	6.9%	7	4.0%	21	9.4%	14	4.8%
10 months	39	14.2%	19	10.1%	21	12.0%	15	6.7%	27	9.2%
9 months	33	12.0%	11	5.8%	19	10.9%	29	12.9%	31	10.5%
8 months	27	9.9%	15	7.9%	18	10.3%	16	7.1%	36	12.2%
7 months	31	11.3%	8	4.2%	14	8.0%	15	6.7%	17	5.8%
6 months	28	10.2%	12	6.3%	15	8.6%	19	8.5%	31	10.5%
5 months	24	8.8%	15	7.9%	15	8.6%	19	8.5%	23	7.8%
4 months	15	5.5%	7	3.7%	18	10.3%	12	5.4%	19	6.5%
3 or younger	12	4.4%	4	2.1%	12	6.9%	17	7.6%	22	7.5%
Total Substantiated Cases	274	100.0%	189	100.0%	175	100.0%	224	100.0%	294	100.0%

**Appendix C:
Disposition Information
1999 - 2003**

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**Table 24A: Disposition of Cases
1999 - 2003**

Full Investigations - Dispositions	Percents Below are Percentages of All Cases Closed after Full Investigations										Five-year Total	
	1999		2000		2001		2002		2003			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
One or more allegations substantiated	274	13.4%	189	7.8%	175	9.8%	224	10.1%	294	14.4%	1,156	11.0%
Allegations exonerated, unfounded, and/or unsubstantiated	1,537	75.4%	2,001	82.8%	1,467	82.3%	1,796	81.2%	1,590	77.9%	8,391	80.0%
Department employee unidentified	149	7.3%	144	6.0%	80	4.5%	99	4.5%	120	5.9%	592	5.6%
Refer to IAB	1	0.0%	1	0.0%	2	0.1%	2	0.1%	2	0.1%	8	0.1%
Miscellaneous	77	3.8%	81	3.4%	59	3.3%	92	4.2%	34	1.7%	343	3.3%
Total - Full Investigations	2,038	100.0%	2,416	100.0%	1,783	100.0%	2,213	100.0%	2,040	100.0%	10,490	100.0%

Alternative Dispute Resolution Closures	Percents Below are Percentages of All Closed Cases										Five-year Total	
	1999		2000		2001		2002		2003			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Mediated	29	0.7%	43	0.9%	32	0.9%	73	1.5%	91	1.9%	268	1.2%
Mediation attempted	0	0.0%	20	0.4%	19	0.5%	99	2.0%	59	1.2%	197	0.9%
Conciliated *	100	2.3%	1	0.0%	0	0.0%	0	0.0%	0	0.0%	101	0.4%
Total - ADR Closures	129	3.0%	64	1.3%	51	1.4%	172	3.6%	150	3.1%	566	2.5%

Truncated Investigations												
Complaint withdrawn	485	11.3%	484	9.8%	481	13.1%	615	12.7%	717	14.7%	2,782	12.3%
Complainant/victim/witness uncooperative	1,147	26.8%	1,410	28.5%	974	26.4%	1,249	25.8%	1,387	28.4%	6,167	27.3%
Complainant/victim/witness unavailable	488	11.4%	574	11.6%	394	10.7%	581	12.0%	576	11.8%	2,613	11.5%
Victim unidentified	0	0.0%	0	0.0%	0	0.0%	2	0.0%	7	0.1%	9	0.0%
Total - Truncated Investigations	2,120	49.5%	2,468	49.9%	1,849	50.2%	2,447	50.6%	2,687	55.1%	11,571	51.1%

Total Closed Cases	4,287	4,948	3,683	4,832	4,877	22,627
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* The CCRB discontinued conciliation in May 1999 to expand the mediation program.

**Table 24B: Disposition of all Allegations
1999 - 2003**

	Percents Below are Percentages of All Allegations Closed after Full Investigation										Five-year Total Number	Total Percent
	1999		2000		2001		2002		2003			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent		
Full Investigations - Dispositions and Disciplinary Recommendations												
Substantiated - Charges	360	4.9%	219	2.8%	333	5.5%	445	5.7%	618	8.3%	1,975	5.4%
Substantiated - Command discipline	179	2.5%	108	1.4%	90	1.5%	69	0.9%	78	1.0%	524	1.4%
Substantiated - Instructions	55	0.8%	40	0.5%	7	0.1%	29	0.4%	14	0.2%	145	0.4%
Substantiated - Department employee unknown	0	0.0%	13	0.2%	0	0.0%	0	0.0%	0	0.0%	13	0.0%
Subtotal - Substantiated Allegations	594	8.2%	380	4.8%	430	7.1%	543	7.0%	710	9.5%	2,657	7.3%
Unfounded	1,709	23.5%	2,084	26.4%	1,485	24.4%	1,650	21.2%	1,408	18.9%	8,336	22.8%
Employee exonerated	1,640	22.5%	2,689	34.1%	2,200	36.2%	2,928	37.6%	2,661	35.7%	12,118	33.2%
Subtotal - Findings on the Merits	3,943	54.2%	5,153	65.3%	4,115	67.7%	5,121	65.8%	4,779	64.1%	23,111	63.3%
Unsubstantiated	2,316	31.8%	1,888	23.9%	1,437	23.7%	1,919	24.6%	1,919	25.8%	9,479	26.0%
Department employee unidentified	869	11.9%	706	8.9%	391	6.4%	432	5.5%	508	6.8%	2,906	8.0%
Refer to IAB	1	0.0%	1	0.0%	5	0.1%	6	0.1%	3	0.0%	16	0.0%
Miscellaneous	146	2.0%	147	1.9%	127	2.1%	310	4.0%	242	3.2%	972	2.7%
Total - Full Investigations	7,275	100.0%	7,895	100.0%	6,075	100.0%	7,788	100.0%	7,451	100.0%	36,484	100.0%
	Percents Below are Percentages of All Closed Allegations											
	1999		2000		2001		2002		2003			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Alternative Dispute Resolution Closures												
Mediated	44	0.4%	63	0.5%	50	0.5%	116	0.9%	168	1.3%	441	0.7%
Mediation attempted	0	0.0%	34	0.3%	33	0.3%	171	1.3%	112	0.8%	350	0.6%
Conciliated*	149	1.3%	1	0.0%	0	0.0%	0	0.0%	0	0.0%	150	0.2%
Total - Alternate Dispute Resolution Closures	193	1.7%	98	0.8%	83	0.8%	287	2.2%	280	2.1%	941	1.6%
Truncated Investigations												
Complaint withdrawn	832	7.3%	864	6.9%	888	9.0%	1,175	8.9%	1,257	9.5%	5,016	8.3%
Complainant/victim uncooperative	2,253	19.8%	2,793	22.2%	2,150	21.9%	2,871	21.8%	3,259	24.5%	13,326	22.1%
Complainant/victim unavailable	805	7.1%	918	7.3%	640	6.5%	1,050	8.0%	1,026	7.7%	4,439	7.4%
Victim unidentified	0	0.0%	0	0.0%	0	0.0%	4	0.0%	13	0.1%	17	0.0%
Total - Truncated Investigations	3,890	34.2%	4,575	36.4%	3,678	37.4%	5,100	38.7%	5,555	41.8%	22,798	37.9%
Total Closed Allegations	11,358		12,568		9,836		13,175		13,286		60,223	

* The CCRB discontinued conciliation in May 1999 to expand the mediation program.

**Table 25: Disposition of Force Allegations
1999 - 2003**

Type of Force Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Gun fired	2	2.4%	58	69.9%	5	6.0%	4	4.8%	0	0.0%	14	16.9%
Gun pointed*	24	2.6%	498	54.8%	138	15.2%	150	16.5%	76	8.4%	22	2.4%
Nightstick as club	12	5.7%	51	24.4%	40	19.1%	80	38.3%	22	10.5%	4	1.9%
Gun as club	4	3.5%	15	13.3%	23	20.4%	59	52.2%	11	9.7%	1	0.9%
Police shield**	0	0.0%	8	53.3%	5	33.3%	2	13.3%	0	0.0%	0	0.0%
Vehicle**	3	7.0%	6	14.0%	13	30.2%	20	46.5%	1	2.3%	0	0.0%
Other blunt instrument as club**	9	8.7%	12	11.7%	22	21.4%	49	47.6%	10	9.7%	1	1.0%
Hit against inanimate object**	18	6.6%	65	23.9%	72	26.5%	100	36.8%	12	4.4%	5	1.8%
Chokehold**	14	6.9%	1	0.5%	59	29.1%	116	57.1%	8	3.9%	5	2.5%
Pepper spray	19	3.2%	423	71.0%	57	9.6%	61	10.2%	22	3.7%	14	2.3%
Physical force***	364	4.3%	3,788	44.3%	1,749	20.5%	1,845	21.6%	597	7.0%	205	2.4%
Radio as club	15	10.6%	15	10.6%	40	28.2%	61	43.0%	8	5.6%	3	2.1%
Flashlight as club	4	4.8%	16	19.0%	23	27.4%	28	33.3%	12	14.3%	1	1.2%
Handcuffs too tight**	6	3.8%	17	10.9%	35	22.4%	88	56.4%	7	4.5%	3	1.9%
Nonlethal restraining device**	0	0.0%	9	81.8%	0	0.0%	2	18.2%	0	0.0%	0	0.0%
Animal	0	0.0%	4	30.8%	2	15.4%	3	23.1%	3	23.1%	1	7.7%
Other	43	5.8%	193	26.2%	200	27.2%	218	29.6%	63	8.6%	19	2.6%
Total	537	4.4%	5,179	42.3%	2,483	20.3%	2,886	23.6%	852	7.0%	298	2.4%

* “Gun pointed” was moved from the force category to the abuse of authority category in January of 2000, and back to the force category as of July 1, 2001.

** The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time.

*** “Physical force” includes: dragged/pulled, pushed/shoved/threw, beat, punched/kicked/kneed, slapped, fought, and bit.

**Table 26: Disposition of Abuse of Authority Allegations
1999 - 2003**

Type of Abuse of Authority Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Frisk and/or search	322	13.8%	942	40.3%	505	21.6%	204	8.7%	318	13.6%	45	1.9%
Vehicle search	79	13.3%	227	38.3%	136	23.0%	81	13.7%	54	9.1%	15	2.5%
Question and/or stop	185	12.4%	958	64.5%	144	9.7%	59	4.0%	120	8.1%	20	1.3%
Strip search*	31	11.9%	82	31.4%	65	24.9%	67	25.7%	12	4.6%	4	1.5%
Vehicle stop*	38	9.8%	267	69.2%	38	9.8%	10	2.6%	22	5.7%	11	2.8%
Gun drawn	6	2.6%	129	55.4%	36	15.5%	43	18.5%	12	5.2%	7	3.0%
Premises entered or searched	71	3.8%	1,334	71.1%	224	11.9%	134	7.1%	64	3.4%	50	2.7%
Threat to notify ACS*	2	1.6%	56	43.8%	33	25.8%	28	21.9%	5	3.9%	4	3.1%
Threat of force	109	7.3%	145	9.7%	503	33.6%	514	34.3%	173	11.5%	54	3.6%
Property seized	14	8.1%	101	58.4%	25	14.5%	20	11.6%	8	4.6%	5	2.9%
Threat to damage/seize property	14	6.5%	69	31.9%	66	30.6%	48	22.2%	14	6.5%	5	2.3%
Threat of arrest	103	4.8%	909	42.3%	578	26.9%	344	16.0%	149	6.9%	64	3.0%
Threat of summons	16	10.5%	49	32.2%	51	33.6%	27	17.8%	4	2.6%	5	3.3%
Property damaged	16	2.4%	231	34.9%	137	20.7%	197	29.8%	61	9.2%	20	3.0%
Refusal to process complaint	21	11.6%	9	5.0%	53	29.3%	66	36.5%	24	13.3%	8	4.4%
Refusal to give name/shield number*	191	16.0%	27	2.3%	473	39.5%	373	31.2%	100	8.4%	33	2.8%
Retaliatory arrest	44	16.3%	131	48.5%	55	20.4%	28	10.4%	2	0.7%	10	3.7%
Retaliatory summons	40	13.9%	128	44.6%	73	25.4%	39	13.6%	1	0.3%	6	2.1%
Refusal to obtain medical treatment*	23	7.8%	18	6.1%	67	22.8%	167	56.8%	11	3.7%	8	2.7%
Improper dissemination of medical info*	1	20.0%	0	0.0%	2	40.0%	0	0.0%	2	40.0%	0	0.0%
Other	204	13.9%	395	27.0%	381	26.0%	350	23.9%	99	6.8%	36	2.5%
Total	1,530	9.7%	6,207	39.2%	3,645	23.0%	2,799	17.7%	1,255	7.9%	410	2.6%

* The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time.

**Table 27: Disposition of Discourtesy Allegations
1999 - 2003**

Type of Discourtesy Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Word	425	7.5%	443	7.8%	2,374	41.6%	1,705	29.9%	577	10.1%	179	3.1%
Gesture	12	6.3%	11	5.8%	82	43.2%	61	32.1%	16	8.4%	8	4.2%
Demeanor/tone*	25	5.6%	71	15.8%	173	38.6%	145	32.4%	20	4.5%	14	3.1%
Action	33	13.3%	14	5.6%	88	35.3%	88	35.3%	16	6.4%	10	4.0%
Other	10	11.4%	6	6.8%	31	35.2%	27	30.7%	7	8.0%	7	8.0%
Total	505	7.6%	545	8.2%	2,748	41.2%	2,026	30.3%	636	9.5%	218	3.3%

* The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time.

**Table 28: Disposition of Offensive Language Allegations
1999 - 2003**

Type of Offensive Language Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
Race	30	4.6%	1	0.2%	236	36.0%	306	46.7%	59	9.0%	23	3.5%
Ethnicity	16	6.4%	0	0.0%	113	45.0%	97	38.6%	21	8.4%	4	1.6%
Religion	2	6.9%	0	0.0%	14	48.3%	8	27.6%	2	6.9%	3	10.3%
Sex	4	11.4%	0	0.0%	16	45.7%	11	31.4%	3	8.6%	1	2.9%
Physical disability*	1	8.3%	0	0.0%	6	50.0%	5	41.7%	0	0.0%	0	0.0%
Sexual orientation	5	6.2%	1	1.2%	30	37.0%	35	43.2%	8	9.9%	2	2.5%
Other	15	4.4%	23	6.8%	142	41.9%	116	34.2%	37	10.9%	6	1.8%
Total	73	5.2%	25	1.8%	557	39.7%	578	41.2%	130	9.3%	39	2.8%

* The CCRB changed its system of capturing allegations in 1999 and 2000. The asterisked allegations were not fully captured prior to this time.

**Table 29: Disposition of Specific Race-related Offensive Language Allegations
1999 - 2003**

Type of Race-related Offensive Language Allegation	Substantiated		Exonerated		Unsubstantiated		Unfounded		Officer Unidentified		Miscellaneous	
	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate	Number	Rate
	White	1	4.5%	0	0.0%	12	54.5%	6	27.3%	2	9.1%	1
Black	12	3.8%	0	0.0%	103	32.6%	172	54.4%	17	5.4%	12	3.8%
Latino	2	2.9%	1	1.4%	25	36.2%	31	44.9%	8	11.6%	2	2.9%
Asian	1	11.1%	0	0.0%	2	22.2%	5	55.6%	1	11.1%	0	0.0%
Other	1	33.3%	0	0.0%	1	33.3%	1	33.3%	0	0.0%	0	0.0%
Unrecorded	13	5.5%	0	0.0%	93	39.4%	91	38.6%	31	13.1%	8	3.4%
Total	30	4.6%	1	0.2%	236	36.0%	306	46.7%	59	9.0%	23	3.5%

**Table 30: CCRB Disciplinary Recommendations for Officers against Whom the CCRB Substantiated Allegations
1999 - 2003**

Recommendation	Number of Officers				
	1999	2000	2001	2002	2003
No recommendation	0	9	0	0	0
Charges	198	125	166	225	316
Command discipline	122	77	60	46	64
Instructions	45	33	7	24	14
Total Number of Subject Officers	365	244	233	295	394

**Table 31: Police Department Dispositions for Officers against Whom the CCRB Substantiated Allegations*
1999 - 2003**

Disposition	Number of Officers				
	1999	2000	2001	2002	2003
Guilty after trial	36	75	56	16	40
Pleaded guilty					
To charges and specifications	36	44	14	12	19
To charges and specifications negotiated as command discipline	0	0	0	2	12
To command discipline	189	143	80	71	109
Instructions	36	72	53	33	62
Subtotal - Disciplinary Action	297	334	203	134	242
Not guilty after trial	29	130	92	30	50
Dismissed	104	54	16	16	39
Statute of limitations expired	9	4	9	0	10
Department unable to prosecute	25	4	8	8	3
Department employee unidentified	0	6	0	0	0
Mediated	1	0	0	0	0
Subtotal: No Disciplinary Action	168	198	125	54	102
Filed**	22	24	20	14	23
Total Closed Cases	487	556	348	202	367

* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

** "Filed" is a term used when the police department is not required to take action against the subject officer because the officer has resigned or retired from the department, or has been terminated.

**Table 32: Police Department Disciplinary Penalties Imposed*
1999 - 2003**

Penalty**	Number of Officers				
	1999	2000	2001	2002	2003
Terminated	4	3	1	0	0
31 day or longer suspension/vacation and 1 year probation	1	0	1	0	3
21 to 30 day suspension/vacation and 1 year probation	15	24	14	6	7
11 to 20 day suspension/vacation	19	37	17	10	14
1 to 10 day suspension/vacation	31	53	37	9	23
Command discipline A	75	65	44	37	88
Command discipline B	75	78	36	36	33
Command discipline	39	0	0	0	0
Instructions	38	74	53	36	68
Warned and admonished	0	0	0	0	3
Total	297	334	203	134	239**

* Cases resolved by the police department in a particular year often stem from CCRB referrals from earlier years.

** The number of officers (242) who were the subject of disciplinary action from January to December 2003 shown on Table 31 is not the same as the number of officers (239) who received penalties. This is because one officer was found guilty after trial but given no penalty, and two officers, each the subject of two separate cases, received a single penalty for both cases.

**Table 33: Determinations to Recommend Other Misconduct*
1999 - 2003**

Category	Number of Officers					
	1999		2000		2001	
	With Subbed FADO Allegation	Without Subbed FADO Allegation	With Subbed FADO Allegation	Without Subbed FADO Allegation	With Subbed FADO Allegation	Without Subbed FADO Allegation
False statement	53	17	12	6	13	5
No stop, question and frisk report	16	11	17	11	25	12
No memo book entry	1	2	1	0	0	0
Other	5	6	0	2	2	2
Total	75	36	30	19	40	19

Category	Number of Officers				Total
	2002		2003		
	With Subbed FADO Allegation	Without Subbed FADO Allegation	With Subbed FADO Allegation	Without Subbed FADO Allegation	
False statement	15	3	3	7	134
No stop, question and frisk report	28	10	35	15	180
No memo book entry	0	0	0	0	4
Other	8	3	16	4	48
Total	51	16	54	26	366

* When a determination to recommend other misconduct occurs in a case in which an allegation of force, abuse of authority, discourtesy, or offensive language (FADO) was substantiated, it is categorized as "with subbed FADO allegation." When such an allegation is not substantiated, the determination to recommend other misconduct is categorized as "without subbed FADO allegation."

Table 34: Police Department Action on Substantiated Cases by Year of CCRB Referral 1999 - 2003

Disciplinary Actions	1999	2000	2001	2002	2003
Guilty after trial	46	25	26	18	0
Pleaded guilty					
To charges and specifications	24	15	10	18	2
To charges and specifications negotiated as command discipline	0	1	4	7	0
To command discipline	117	73	83	65	62
Instructions	49	57	43	35	43
Disciplinary Actions Total	236	171	166	143	107
Not guilty after trial	91	30	25	29	2
Dismissed	16	12	14	28	3
Department unable to prosecute	1	8	7	4	1
Statute of limitations expired	6	7	4	2	2
Department employee unidentified	0	6	0	0	0
No Disciplinary Action Total	114	63	50	63	8
Cases Completed by NYPD	350	234	216	206	115
Percent of Officers Disciplined in Completed NYPD Cases	67.4%	73.1%	76.9%	69.4%	93.0%
No action (pending)	1	0	3	72	270
Filed*	14	10	14	17	9
Disciplinary Action Undetermined	15	10	17	89	279
Percent of Cases Still Pending at PD	0.3%	0.0%	1.3%	24.4%	68.5%
Total Number of Subject Officers	365	244	233	295	394

* "Filed" is a term used when the police department is not required to take action against the subject officer because the officer has resigned or retired from the department, or has been terminated.

**Table 35: Race of Victims Whose Allegations Were Substantiated
1999 - 2003**

Race	1999		2000		2001	
	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>
White	42	16.6%	45	20.6%	58	21.5%
Black	138	54.5%	119	54.6%	116	43.0%
Latino	62	24.5%	49	22.5%	85	31.5%
Asian	2	0.8%	2	0.9%	7	2.6%
Other	9	3.6%	3	1.4%	4	1.5%
Subtotal	253	100.0%	218	100.0%	270	100.0%
Unknown	86		38		7	
Total	339		256		277	

Race	2002		2003		New York City Population (2000 Census)
	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	
White	59	18.1%	97	20.5%	35.0%
Black	164	50.3%	249	52.6%	24.5%
Latino	83	25.5%	113	23.9%	27.0%
Asian	9	2.8%	6	1.3%	9.8%
Other	11	3.4%	8	1.7%	3.7%
Subtotal	326	100.0%	473	100.0%	100.0%
Unknown	15		34		
Total	341		507		

**Table 36: Race of Officers against Whom Allegations
Were Substantiated
1999 - 2003**

Race	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
White	254	69.6%	67.2%	160	67.2%	67.9%	156	67.2%	64.8%
Black	50	13.7%	13.4%	37	15.5%	13.5%	27	11.6%	14.0%
Latino	56	15.3%	17.7%	37	15.5%	17.1%	45	19.4%	19.2%
Asian	5	1.4%	1.6%	2	0.8%	1.4%	4	1.7%	1.9%
Others	0	0.0%	0.1%	2	0.8%	0.1%	0	0.0%	0.1%
Subtotal	365	100.0%	100.0%	238	100.0%	100.0%	232	100.0%	100.0%
Unknown	0			6			1		
Total	365			244			233		

Race	2002			2003		
	Number of Officers	Percent of Subtotal	NYPD Population 2002	Number of Officers	Percent of Subtotal	NYPD Population 2003
White	186	63.1%	62.8%	261	66.4%	61.6%
Black	43	14.6%	14.6%	62	15.8%	15.0%
Latino	60	20.3%	20.2%	63	16.0%	20.8%
Asian	6	2.0%	2.2%	6	1.5%	2.4%
Others	0	0.0%	0.2%	1	0.3%	0.2%
Subtotal	295	100.0%	100.0%	393	100.0%	100.0%
Unknown	0			1		
Total	295			394		

**Table 37: Gender of Victims Whose Allegations Were Substantiated
1999 - 2003**

Gender	1999		2000		2001	
	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>
Male	216	69.0%	171	74.3%	207	75.5%
Female	97	31.0%	59	25.7%	67	24.5%
Subtotal	313	100.0%	230	100.0%	274	100.0%
Unknown	26		26		3	
Total	339		256		277	

Gender	2002		2003		New York City Population (2000 Census)
	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	<i>Number of Victims</i>	<i>Percent of Subtotal</i>	
Male	237	70.1%	340	67.9%	47.4%
Female	101	29.9%	161	32.1%	52.6%
Subtotal	338	100.0%	501	100.0%	100.0%
Unknown	3		6		
Total	341		507		

**Table 38: Gender of Officers Against Whom Allegations Were Substantiated
1999 - 2003**

Gender	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
Male	337	92.3%	84.7%	216	90.8%	84.9%	213	91.8%	84.0%
Female	28	7.7%	15.3%	22	9.2%	15.1%	19	8.2%	16.0%
Subtotal	365	100.0%	100.0%	238	100.0%	100.0%	232	100.0%	100.0%
Unknown	0			6			1		
Total	365			244			233		

Gender	2002			2003		
	Number of Officers	Percent of Subtotal	NYPD Population 2002	Number of Officers	Percent of Subtotal	NYPD Population 2003
Male	270	91.5%	83.6%	352	89.6%	83.4%
Female	25	8.5%	16.4%	41	10.4%	16.6%
Subtotal	295	100.0%	100.0%	393	100.0%	100.0%
Unknown	0			1		
Total	295			394		

**Table 39: Age of Victims Whose Allegations Were Substantiated
1999 - 2003**

Age	1999		2000		2001	
	Number of Victims	Percent of Subtotal	Number of Victims	Percent of Subtotal	Number of Victims	Percent of Subtotal
14 and under	12	3.5%	8	3.6%	7	2.7%
15 - 24	96	28.3%	58	25.9%	88	34.2%
25 - 34	102	30.1%	69	30.8%	65	25.3%
35 - 44	73	21.5%	48	21.4%	57	22.2%
45 - 54	34	10.0%	24	10.7%	24	9.3%
55 - 64	16	4.7%	7	3.1%	11	4.3%
65 and over	6	1.8%	10	4.5%	5	1.9%
Subtotal	339	100.0%	224	100.0%	257	100.0%
Unknown	0		32		20	
Total	339		256		277	

Age	2002		2003		New York City Population (2000 Census)
	Number of Victims	Percent of Subtotal	Number of Victims	Percent of Subtotal	
14 and under	6	1.9%	17	3.7%	20.4%
15 - 24	91	29.1%	129	28.1%	13.9%
25 - 34	99	31.6%	100	21.8%	17.1%
35 - 44	70	22.4%	131	28.5%	15.7%
45 - 54	36	11.5%	54	11.8%	12.6%
55 - 64	7	2.2%	18	3.9%	8.5%
65 and over	4	1.3%	10	2.2%	11.8%
Subtotal	313	100.0%	459	100.0%	100.0%
Unknown	28		48		
Total	341		507		

Table 40: Education of Subject Officers against Whom Allegations Were Substantiated 1999 - 2003

Education Level	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
HS diploma/GED	123	34.7%	34.1%	80	33.9%	33.1%	80	34.8%	30.2%
College - no degree	159	44.9%	36.5%	96	40.7%	36.4%	104	45.2%	38.8%
Associate degree	27	7.6%	9.8%	17	7.2%	10.4%	16	7.0%	10.9%
Undergraduate degree	43	12.1%	17.3%	40	16.9%	17.8%	25	10.9%	18.1%
Post-graduate work	1	0.3%	0.8%	2	0.8%	0.8%	4	1.7%	0.6%
Master's degree	1	0.3%	1.1%	1	0.4%	1.1%	1	0.4%	1.0%
Doctorate work	0	0.0%	0.1%	0	0.0%	0.1%	0	0.0%	0.1%
Doctorate degree/JD	0	0.0%	0.3%	0	0.0%	0.3%	0	0.0%	0.3%
Subtotal	354	100.0%	100.0%	236	100.0%	100.0%	230	100.0%	100.0%
Unknown	11			8			3		
Total	365			244			233		

Education Level	2002			2003		
	Number of Officers	Percent of Subtotal	NYPD Population 2002	Number of Officers	Percent of Subtotal	NYPD Population 2003
HS diploma/GED	113	38.4%	23.8%	94	23.9%	22.5%
College - no degree	116	39.5%	40.2%	166	42.2%	40.8%
Associate degree	21	7.1%	12.6%	50	12.7%	12.7%
Undergraduate degree	41	13.9%	20.7%	74	18.8%	21.4%
Post-graduate work	1	0.3%	0.7%	2	0.5%	0.6%
Master's degree	1	0.3%	1.5%	7	1.8%	1.5%
Doctorate work	0	0.0%	0.1%	0	0.0%	0.1%
Doctorate degree/JD	1	0.3%	0.4%	0	0.0%	0.4%
Subtotal	294	100.0%	100.0%	393	100.0%	100.0%
Unknown	1			1		
Total	295			394		

**Table 41: Residence of Subject Officers against Whom Allegations Were Substantiated
1999 - 2003**

Residence	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
Bronx	33	9.3%	8.9%	24	10.2%	9.0%	23	10.0%	9.2%
Brooklyn	35	9.8%	12.4%	23	9.7%	12.4%	24	10.4%	11.9%
Manhattan	9	2.5%	3.7%	6	2.5%	3.9%	8	3.5%	3.8%
Queens	55	15.4%	15.9%	33	14.0%	15.9%	29	12.6%	15.4%
Staten Island	50	14.0%	12.2%	24	10.2%	11.9%	26	11.3%	12.0%
NYC Resident Total	182	51.1%	53.1%	110	46.6%	53.1%	110	47.8%	52.3%
Nassau	47	13.2%	16.7%	49	20.8%	16.7%	41	17.8%	16.4%
Orange	25	7.0%	4.4%	11	4.7%	4.4%	10	4.3%	4.9%
Putnam	11	3.1%	1.6%	4	1.7%	1.6%	3	1.3%	1.6%
Rockland	18	5.1%	4.4%	9	3.8%	4.4%	9	3.9%	4.5%
Suffolk	58	16.3%	15.3%	39	16.5%	15.3%	47	20.4%	15.9%
Westchester	15	4.2%	4.5%	14	5.9%	4.5%	10	4.3%	4.4%
Non-NYC Resident	174	48.9%	46.9%	126	53.4%	46.9%	120	52.2%	47.7%
Subtotal	356	100.0%	100.0%	236	100.0%	100.0%	230	100.0%	100.0%
Officer unidentified	9			8			3		
Total	365			244			233		

Residence	2002			2003		
	Number of Officers	Percent of Subtotal	NYPD Population 2002	Number of Officers	Percent of Subtotal	NYPD Population 2003
Bronx	24	8.2%	9.3%	21	5.3%	9.4%
Brooklyn	37	12.6%	12.0%	42	10.7%	11.9%
Manhattan	13	4.4%	4.0%	28	7.1%	4.0%
Queens	36	12.2%	15.3%	50	12.7%	15.3%
Staten Island	52	17.7%	12.0%	55	14.0%	12.0%
NYC Resident Total	162	55.1%	52.6%	196	49.9%	52.6%
Nassau	36	12.2%	15.9%	68	17.3%	15.8%
Orange	18	6.1%	5.2%	22	5.6%	5.6%
Putnam	3	1.0%	1.6%	10	2.5%	1.6%
Rockland	10	3.4%	4.4%	23	5.9%	4.2%
Suffolk	49	16.7%	15.9%	63	16.0%	15.8%
Westchester	16	5.4%	4.4%	11	2.8%	4.4%
Non-NYC Resident	132	44.9%	47.4%	197	50.1%	47.4%
Subtotal	294	100.0%	100.0%	393	100.0%	100.0%
Officer unidentified	1			1		
Total	295			394		

**Table 42: Rank of Subject Officers against Whom Allegations Were Substantiated
1999 - 2003**

Rank	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
Police officer	168	46.0%	64.0%	118	49.6%	64.9%	117	50.4%	63.3%
Detective 3	83	22.7%	13.0%	48	20.2%	13.0%	54	23.3%	12.7%
Detective 2	8	2.2%	1.7%	0	0.0%	1.6%	4	1.7%	2.0%
Detective 1	2	0.5%	0.4%	0	0.0%	0.4%	0	0.0%	0.6%
Detective specialist	7	1.9%	1.8%	3	1.3%	1.8%	8	3.4%	1.4%
Sergeant	69	18.9%	12.8%	45	18.9%	12.3%	37	15.9%	13.6%
Lieutenant	24	6.6%	4.2%	18	7.6%	3.9%	10	4.3%	4.0%
Lieutenant commander detective	0	0.0%	0.2%	1	0.4%	0.2%	1	0.4%	0.1%
Captain	3	0.8%	1.2%	3	1.3%	1.2%	1	0.4%	1.5%
Inspector	1	0.3%	0.5%	2	0.8%	0.5%	0	0.0%	0.5%
Other ranks	0	0.0%	0.2%	0	0.0%	0.2%	0	0.0%	0.3%
Subtotal	365	100.0%	100.0%	238	100.0%	100.0%	232	100.0%	100.0%
Officer unidentified	0			6			1		
Total	365			244			233		

Rank	2002			2003		
	Number of Officers	Percent of Subtotal	NYPD Population 2002	Number of Officers	Percent of Subtotal	NYPD Population 2003
Police officer	155	52.5%	63.3%	220	56.0%	62.2%
Detective 3	59	20.0%	12.7%	70	17.8%	13.0%
Detective 2	3	1.0%	1.9%	1	0.3%	2.0%
Detective 1	1	0.3%	0.6%	0	0.0%	0.6%
Detective specialist	4	1.4%	1.8%	6	1.5%	1.6%
Sergeant	56	19.0%	13.2%	71	18.1%	13.7%
Lieutenant	14	4.7%	4.2%	22	5.6%	4.6%
Lieutenant commander detective	0	0.0%	0.2%	0	0.0%	0.2%
Captain	3	1.0%	1.3%	3	0.8%	1.3%
Inspector	0	0.0%	0.6%	0	0.0%	0.6%
Other ranks	0	0.0%	0.2%	0	0.0%	0.2%
Subtotal	295	100.0%	100.0%	393	100.0%	100.0%
Officer unidentified	0			1		
Total	295			394		

**Table 43: Year of Appointment of Officers against Whom Allegations Were Substantiated
1999 - 2003**

Year of Appointment	1999			2000			2001		
	Number of Officers	Percent of Subtotal	NYPD Population 1999	Number of Officers	Percent of Subtotal	NYPD Population 2000	Number of Officers	Percent of Subtotal	NYPD Population 2001
1979 or before	4	1.1%	4.0%	8	3.4%	3.7%	1	0.4%	2.0%
1980 - 1982	23	6.5%	10.4%	17	7.2%	10.0%	6	2.6%	6.8%
1983 - 1985	50	14.0%	18.0%	43	18.2%	17.3%	33	14.3%	17.1%
1986 - 1988	56	15.7%	14.1%	31	13.1%	13.6%	44	19.0%	13.6%
1989 - 1991	61	17.1%	12.1%	34	14.4%	11.7%	45	19.5%	11.7%
1992 - 1994	112	31.5%	19.7%	65	27.5%	19.1%	47	20.3%	19.2%
1995 - 1997	50	14.0%	13.1%	30	12.7%	12.6%	42	18.2%	12.2%
1998 - 2001	0	0.0%	8.5%	8	3.4%	11.9%	13	5.6%	17.4%
2001 or later	0	0.0%	0.0%	0	0.0%	0.0%	0	0.0%	0.0%
Subtotal	356	100.0%	100.0%	236	100.0%	100.0%	231	100.0%	100.0%
Officer unidentified	9			8			2		
Total	365			244			233		

Year of Appointment	2002		NYPD	2003		NYPD
	Number of Officers	Percent of Subtotal	Population 2002	Number of Officers	Percent of Subtotal	Population 2003
1979 or before	2	0.7%	1.2%	1	0.3%	1.1%
1980 - 1982	4	1.4%	2.3%	4	1.0%	2.0%
1983 - 1985	48	16.3%	16.8%	55	14.0%	13.6%
1986 - 1988	44	14.9%	13.8%	47	12.0%	13.9%
1989 - 1991	48	16.3%	11.8%	54	13.7%	12.0%
1992 - 1994	76	25.8%	19.4%	98	24.9%	19.6%
1995 - 1997	40	13.6%	12.1%	61	15.5%	12.4%
1998 - 2001	33	11.2%	16.4%	69	17.6%	12.5%
2001 or later	0	0.0%	6.2%	4	1.0%	13.0%
Subtotal	295	100.0%	100.0%	393	100.0%	100.0%
Officer unidentified	0			1		
Total	295			394		

**Table 44A: Where Incidents that Led to a Substantiated Complaint Took Place - Manhattan
1999 - 2003**

Manhattan South	1999	2000	2001	2002	2003	Total
1st Precinct	1	2	2	1	3	9
5th Precinct	2	3	3	0	2	10
6th Precinct	2	5	0	2	2	11
7th Precinct	7	4	1	1	0	13
9th Precinct	1	0	3	1	3	8
10th Precinct	2	1	1	0	0	4
13th Precinct	3	4	2	1	3	13
Midtown South	7	4	8	7	9	35
17th Precinct	1	0	2	2	1	6
Midtown North	3	6	2	6	7	24
Manhattan South Total	29	29	24	21	30	133
Manhattan North	1999	2000	2001	2002	2003	Total
19th Precinct	3	4	1	2	2	12
20th Precinct	4	0	1	1	4	10
23rd Precinct	2	4	3	3	9	21
24th Precinct	2	0	1	4	2	9
25th Precinct	3	3	3	2	4	15
26th Precinct	4	1	4	3	2	14
Central Park	0	0	0	0	2	2
28th Precinct	7	2	1	0	4	14
30th Precinct	8	7	5	4	9	33
32nd Precinct	2	3	2	4	4	15
33rd Precinct	6	5	2	2	3	18
34th Precinct	3	3	3	2	2	13
Manhattan North Total	44	32	26	27	47	176
Manhattan Total	73	61	50	48	77	309

**Table 44B: Where Incidents that Led to a Substantiated Complaint Took Place - Bronx
1999 - 2003**

Bronx	1999	2000	2001	2002	2003
40th Precinct	12	4	3	6	7
41st Precinct	2	3	2	1	1
42nd Precinct	5	3	3	4	5
43rd Precinct	6	1	6	3	7
44th Precinct	6	4	2	9	8
45th Precinct	1	3	0	3	4
46th Precinct	4	6	4	8	1
47th Precinct	1	1	5	7	8
48th Precinct	1	4	5	10	6
49th Precinct	4	1	1	1	3
50th Precinct	3	0	0	2	1
52nd Precinct	5	5	3	3	7
Bronx Total	50	35	34	57	58

**Table 44C: Where Incidents that Led to a Substantiated Complaint Took Place - Brooklyn
1999 - 2003**

Brooklyn South	1999	2000	2001	2002	2003
60th Precinct	3	1	0	2	5
61st Precinct	2	1	0	2	0
62nd Precinct	3	1	1	2	3
63rd Precinct	0	2	1	1	4
66th Precinct	1	1	1	4	2
67th Precinct	5	10	4	5	7
68th Precinct	6	2	2	3	4
69th Precinct	4	0	3	0	2
70th Precinct	2	1	2	10	3
71st Precinct	7	3	3	5	2
72nd Precinct	2	4	1	3	0
76th Precinct	1	0	1	2	1
78th Precinct	3	0	1	4	2
Brooklyn South Total	39	26	20	43	35
Brooklyn North	1999	2000	2001	2002	2003
73rd Precinct	4	5	8	2	9
75th Precinct	6	5	8	9	12
77th Precinct	6	3	6	7	14
79th Precinct	7	4	3	5	9
81st Precinct	7	2	1	3	9
83rd Precinct	2	1	4	2	5
84th Precinct	5	0	1	2	6
88th Precinct	3	0	0	2	2
90th Precinct	0	3	1	2	1
94th Precinct	1	3	2	0	0
Brooklyn North Total	41	26	34	34	67
Brooklyn Total	80	52	54	77	102

**Table 44D: Where Incidents that Led to a Substantiated Complaint Took Place - Queens
1999 - 2003**

Queens South	1999	2000	2001	2002	2003
100th Precinct	1	1	1	1	1
101st Precinct	3	1	0	3	3
102nd Precinct	1	2	4	0	2
103rd Precinct	4	3	6	5	1
105th Precinct	1	1	1	1	2
106th Precinct	3	0	0	2	0
107th Precinct	6	0	1	0	2
113th Precinct	5	6	0	6	6
Queens South Total	24	14	13	18	17
Queens North	1999	2000	2001	2002	2003
104th Precinct	0	2	0	1	2
108th Precinct	1	1	0	1	3
109th Precinct	3	2	2	0	3
110th Precinct	0	2	4	1	4
111th Precinct	3	1	0	3	1
112th Precinct	1	0	1	1	2
114th Precinct	5	1	1	2	6
115th Precinct	4	4	4	1	4
Queens North Total	17	13	12	10	25
Queens Total	41	27	25	28	42

**Table 44E: Where Incidents that Led to a Substantiated Complaint Took Place - Staten Island
1999 - 2003**

Staten Island	1999	2000	2001	2002	2003	Total
120th Precinct	11	5	8	8	9	41
122nd Precinct	0	3	2	6	5	16
123rd Precinct	0	0	0	0	1	1
Staten Island Total	11	8	10	14	15	58

**Table 45: Assignment of Officers against Whom
Allegations Were Substantiated
1999 - 2003**

Patrol Services Bureau	1999	2000	2001	2002	2003	Total
Patrol Borough Manhattan South	15	19	13	7	15	69
Patrol Borough Manhattan North	38	21	21	23	35	138
Patrol Borough Bronx	45	31	26	45	50	197
Patrol Borough Brooklyn South	35	24	17	33	35	144
S.A.T.COM (Brooklyn North)	53	33	43	50	86	265
Patrol Borough Queens South	18	14	11	18	16	77
Patrol Borough Queens North	13	15	9	8	21	66
Patrol Borough Staten Island	8	2	5	8	16	39
Special Operations Division	1	1	3	0	0	5
Other Patrol Services Bureau Commands	2	0	0	0	0	2
Subtotal - Patrol Services Bureau	228	160	148	192	274	1,002
Other Bureaus						
Chief of Transportation						
<i>Transit Bureau</i>	20	9	8	17	11	65
<i>Traffic Control Division</i>	10	8	3	5	2	28
Housing Bureau	13	4	9	3	13	42
Organized Crime Control Bureau	62	31	37	52	55	237
Detective Bureau	24	17	22	25	29	117
Other Bureaus	1	3	3	1	4	12
Subtotal - Other Bureaus	130	72	82	103	114	501
Other Commands						
Deputy Commissioners and Misc. Units	2	2	1	0	5	10
Undetermined	5	10	2	0	1	18
Total	365	244	233	295	394	1,531

**Table 46A: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Manhattan South
1999 - 2003**

Manhattan South	1999	2000	2001	2002	2003	Total
1st Precinct	1	0	1	0	0	2
5th Precinct	1	1	1	0	0	3
6th Precinct	1	2	0	2	2	7
7th Precinct	4	5	0	1	0	10
9th Precinct	1	0	3	0	1	5
10th Precinct	1	2	2	0	0	5
13th Precinct	1	5	2	0	2	10
Midtown South	4	0	2	0	8	14
17th Precinct	0	0	0	0	1	1
Midtown North	1	1	1	2	0	5
Precincts Total	15	16	12	5	14	62
Task Force	0	2	0	2	1	5
Borough Headquarters	0	0	0	0	0	0
Anti-Crime Unit*	0	1	1	0	0	2
Patrol Borough Manhattan South Total	15	19	13	7	15	69

**Table 46B: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Manhattan North
1999 - 2003**

Manhattan North	1999	2000	2001	2002	2003	Total
19th Precinct	1	3	1	0	3	8
20th Precinct	2	0	1	0	4	7
23rd Precinct	0	0	3	5	5	13
24th Precinct	2	0	0	6	1	9
25th Precinct	5	3	1	0	3	12
26th Precinct	1	0	4	2	3	10
Central Park	1	0	0	0	1	2
28th Precinct	4	1	1	0	3	9
30th Precinct	7	6	3	3	5	24
32nd Precinct	3	0	1	0	3	7
33rd Precinct	8	5	2	2	3	20
34th Precinct	3	3	3	1	1	11
Precincts Total	37	21	20	19	35	132
Task Force	1	0	0	0	0	1
Borough Headquarters	0	0	0	0	0	0
Anti-Crime Unit*	0	0	1	4	0	5
Patrol Borough Manhattan North Total	38	21	21	23	35	138

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 46C: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Bronx
1999 - 2003**

Bronx	1999	2000	2001	2002	2003	Total
40th Precinct	9	1	0	5	3	18
41st Precinct	0	3	1	0	0	4
42nd Precinct	2	3	1	5	5	16
43rd Precinct	5	4	4	3	8	24
44th Precinct	2	2	1	4	7	16
45th Precinct	0	3	0	2	1	6
46th Precinct	3	4	6	9	1	23
47th Precinct	4	1	5	5	9	24
48th Precinct	0	2	4	6	4	16
49th Precinct	3	1	0	1	3	8
50th Precinct	5	1	0	1	2	9
52nd Precinct	5	4	2	2	4	17
Precincts Total	38	29	24	43	47	181
Task Force	1	1	0	1	2	5
Borough Headquarters	3	0	1	1	0	5
Anti-Crime Unit*	3	1	1	0	1	6
Patrol Borough Bronx Total	45	31	26	45	50	197

**Table 46D: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Brooklyn South
1999 - 2003**

Brooklyn South	1999	2000	2001	2002	2003	Total
60th Precinct	2	1	0	2	1	6
61st Precinct	1	1	0	1	0	3
62nd Precinct	1	0	1	1	4	7
63rd Precinct	0	0	0	1	5	6
66th Precinct	0	0	1	3	0	4
67th Precinct	7	8	2	6	9	32
68th Precinct	7	2	2	2	3	16
69th Precinct	2	0	3	0	0	5
70th Precinct	3	2	3	4	2	14
71st Precinct	2	3	1	5	2	13
72nd Precinct	2	2	0	2	1	7
76th Precinct	1	0	1	1	3	6
78th Precinct	4	0	2	5	5	16
Precincts Total	32	19	16	33	35	135
Task Force	0	3	1	0	0	4
Borough Headquarters	0	0	0	0	0	0
Anti-Crime Unit*	3	2	0	0	0	5
Patrol Borough Brooklyn South Total	35	24	17	33	35	144

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 46E: Assignment of Officers against Whom Allegations Were Substantiated - Strategic and Tactical Command (Brooklyn North)*
1999 - 2003**

Strategic & Tactical Command (Brooklyn North)	1999	2000	2001	2002	2003	Total
73rd Precinct	1	2	5	2	7	17
75th Precinct	9	6	5	5	5	30
77th Precinct	11	3	1	6	19	40
79th Precinct	5	2	4	4	5	20
81st Precinct	4	3	0	3	7	17
83rd Precinct	4	1	3	3	4	15
84th Precinct	2	0	1	0	3	6
88th Precinct	6	0	0	1	0	7
90th Precinct	0	2	0	0	1	3
94th Precinct	0	4	3	1	0	8
Precincts Total	42	23	22	25	51	163
Task Force	0	1	0	0	5	6
Borough Headquarters	0	0	0	0	0	0
Anti-Crime Unit**	0	1	0	1	3	5
Strategic & Tactical CMD B/N	0	0	0	0	1	1
SAT Narc Ops B/N	0	0	8	7	6	21
Brooklyn Narcotics District	2	2	0	1	1	6
Narcotics Boro Brklyn North	3	1	7	4	1	16
SAT Hous Ops B/N	0	0	0	0	0	0
PSA 2	2	2	1	1	7	13
PSA 3	0	2	1	7	7	17
SAT Pat Ops B/N	0	0	0	0	0	0
SAT Det Ops B/N	0	0	0	0	0	0
73rd Precinct Det Squad	0	0	0	0	0	0
75th Precinct Det Squad	1	0	0	2	0	3
77th Precinct Det Squad	0	0	0	0	2	2
79th Precinct Det Squad	1	0	1	2	1	5
81st Precinct Det Squad	0	0	0	0	0	0
83rd Precinct Det Squad	0	0	1	0	0	1
84th Precinct Det Squad	1	0	0	0	1	2
88th Precinct Det Squad	1	0	0	0	0	1
90th Precinct Det Squad	0	1	0	0	0	1
94th Precinct Det Squad	0	0	0	0	0	0
Brooklyn North Homicide	0	0	0	0	0	0
Brooklyn North Vice	0	0	2	0	0	2
S.A.T. COM Total	53	33	43	50	86	265

* The Brooklyn North Patrol Borough is called S.A.T. COM (Strategic and Tactical Command) and it combines the usual patrol borough commands with two police service area commands, the detective squads, and the narcotics units.

** Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 46F: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Queens South
1999 - 2003**

Queens South	1999	2000	2001	2002	2003	Total
100th Precinct	0	2	1	1	1	5
101st Precinct	3	2	0	3	3	11
102nd Precinct	2	2	3	0	1	8
103rd Precinct	1	1	2	1	2	7
105th Precinct	0	0	0	0	1	1
106th Precinct	1	0	0	2	0	3
107th Precinct	4	0	0	0	3	7
113th Precinct	3	4	0	10	3	20
Precincts Total	14	11	6	17	14	62
Task Force	1	0	0	0	0	1
Borough Headquarters	0	2	0	1	0	3
Anti-Crime Unit*	3	1	5	0	2	11
Patrol Borough Queens South Total	18	14	11	18	16	77

**Table 46G: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Queens North
1999 - 2003**

Queens North	1999	2000	2001	2002	2003	Total
104th Precinct	2	1	0	1	3	7
108th Precinct	0	0	0	0	2	2
109th Precinct	1	3	0	0	2	6
110th Precinct	0	1	5	1	2	9
111th Precinct	1	1	0	2	1	5
112th Precinct	1	0	2	1	3	7
114th Precinct	4	2	0	2	5	13
115th Precinct	0	5	2	1	1	9
Precincts Total	9	13	9	8	19	58
Task Force	0	0	0	0	0	0
Borough Headquarters	0	0	0	0	0	0
Anti-Crime Unit*	4	2	0	0	2	8
Patrol Borough Queens North Total	13	15	9	8	21	66

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 46H: Assignment of Officers against Whom Allegations Were Substantiated - Patrol Borough Staten Island
1999 - 2003**

Staten Island	1999	2000	2001	2002	2003	Total
120th Precinct	1	0	2	4	7	14
122nd Precinct	0	0	1	0	4	5
123rd Precinct	1	0	0	0	2	3
Precincts Total	2	0	3	4	13	22
Task Force	0	1	0	0	0	1
120th Detective	2	0	0	0	1	3
122nd Detective	0	0	0	1	0	1
123rd Detective	0	0	0	0	0	0
Patrol Borough SI Operations	1	0	1	1	0	3
Borough Headquarters	1	0	0	0	2	3
Crimes against Property	0	0	0	0	0	0
Emergency Service	0	0	0	0	0	0
Highway Patrol	0	0	0	0	0	0
District Attorney	0	0	0	0	0	0
Crimes Against Person	0	0	0	0	0	0
Anti-Crime Unit*	2	0	1	2	0	5
Housing	0	0	0	0	0	0
Warrants	0	0	0	0	0	0
Court	0	1	0	0	0	1
Patrol Borough Staten Island Total	8	2	5	8	16	39

* Prior to April 2002, the patrol borough anti-crime units were called the street crime units.

**Table 46I: Assignment of Officers against Whom
Allegations Were Substantiated - Special Operations
Division
1999 - 2003**

Special Operations	1999	2000	2001	2002	2003	Total
Emergency Service	1	0	0	0	0	1
Harbor Unit	0	0	0	0	0	0
Aviation Unit	0	0	0	0	0	0
Taxi Unit	0	0	3	0	0	3
Canine Unit	0	0	0	0	0	0
Headquarters	0	1	0	0	0	1
Special Operations Division Total	1	1	3	0	0	5

**Table 46J: Assignment of Officers against Whom
Allegations Were Substantiated - Other Patrol Services
Bureau Commands
1999 - 2003**

Other Patrol Services Bureau Commands	1999	2000	2001	2002	2003	Total
School Safety Division	1	0	0	0	0	1
Headquarters	1	0	0	0	0	1
Other Patrol Services Bureau Commands	2	0	0	0	0	2

Table 46K: Assignment of Officers against Whom Allegations Were Substantiated - Transit Bureau 1999 - 2003

Transit Bureau	1999	2000	2001	2002	2003	Total
Transit Bureau Headquarters	0	0	0	0	0	0
TB Liaison	0	0	0	0	0	0
TB Inspections	0	0	0	0	0	0
TB Special Investigations	0	0	0	0	0	0
TB Crime Analysis	0	0	0	0	0	0
TB Operations	0	0	0	0	0	0
TB Manhattan	0	0	0	0	0	0
TB Bronx	0	0	0	0	0	0
TB Queens	0	0	0	0	0	0
TB Brooklyn	0	0	0	0	0	0
TB DT 01	3	1	1	7	3	15
TB DT 02	0	2	2	3	0	7
TB DT 03	1	0	0	0	1	2
TB DT 04	0	0	0	2	1	3
TB DT 11	2	0	0	1	0	3
TB DT 12	2	0	0	0	0	2
TB DT 20	0	0	0	0	2	2
TB DT 23	0	0	0	0	0	0
TB DT 30	0	0	0	0	1	1
TB DT 32	1	4	0	3	0	8
TB DT 33	2	2	0	0	1	5
TB DT 34	0	0	1	1	0	2
TB Manhattan/TF	6	0	2	0	0	8
TB Bronx/TF	1	0	1	0	0	2
TB Queens/TF	1	0	0	0	0	1
TB Brooklyn/TF	1	0	1	0	1	3
TB Homeless	0	0	0	0	1	1
TB Canine	0	0	0	0	0	0
TB Vandal	0	0	0	0	0	0
TB Special Operations Unit	0	0	0	0	0	0
Homeless	0	0	0	0	0	0
TB Other	0	0	0	0	0	0
Transit Bureau Total	20	9	8	17	11	65

Table 46L: Assignment of Officers against Whom Allegations Were Substantiated - Traffic Control Division 1999 - 2003

Traffic Control Division	1999	2000	2001	2002	2003	Total
Command Headquarters	0	0	0	0	0	0
Manhattan Task Force	4	3	0	0	0	7
Brooklyn Task Force	0	0	0	2	0	2
Bronx Task Force	0	0	0	0	0	0
Queens Task Force	0	0	0	0	0	0
Surface Transportation Enforcement Division	1	1	1	0	0	3
Bus	0	0	0	2	0	2
Parking Enforcement District	0	0	0	0	0	0
Tow Units	0	0	0	0	0	0
Summons Enforcement	0	0	0	0	0	0
Intelligence	0	0	0	0	0	0
Highway District	0	0	0	0	0	0
Highway 1	0	1	1	1	0	3
Highway 2	2	2	0	0	1	5
Highway 3	2	0	1	0	0	3
Highway 4	1	0	0	0	1	2
Highway Safety	0	0	0	0	0	0
Highway/ SEU	0	0	0	0	0	0
Mounted Unit	0	1	0	0	0	1
Movie and Television Unit	0	0	0	0	0	0
Traffic Control Division Total	10	8	3	5	2	28

**Table 46M: Assignment of Officers against Whom Allegations Were Substantiated - Housing Bureau
1999 - 2003**

Housing Bureau	1999	2000	2001	2002	2003	Total
Housing Bureau (Command Center)	0	0	0	0	0	0
PSA 1	2	0	2	2	0	6
PSA 4	3	1	1	0	3	8
PSA 5	3	1	1	0	1	6
PSA 6	0	0	3	0	0	3
PSA 7	2	2	1	1	6	12
PSA 8	2	0	1	0	3	6
PSA 9	1	0	0	0	0	1
HB Detectives	0	0	0	0	0	0
HB Brooklyn/Staten Island	0	0	0	0	0	0
HB Manhattan	0	0	0	0	0	0
HB Bronx/Queens	0	0	0	0	0	0
HB Investigation	0	0	0	0	0	0
HB Vandalism	0	0	0	0	0	0
HB Other	0	0	0	0	0	0
Housing Bureau Total	13	4	9	3	13	42

**Table 46N: Assignment of Officers against Whom Allegations Were Substantiated - Organized Crime Control Bureau
1999 - 2003**

Organized Crime Control Bureau	1999	2000	2001	2002	2003	Total
Queens Narcotics	8	2	10	9	12	41
Manhattan Narcotics	11	12	8	10	18	59
Bronx Narcotics	24	5	9	6	14	58
Staten Island Narcotics	12	7	5	8	4	36
Brooklyn South Narcotics	3	5	4	19	6	37
Narcotics	0	0	0	0	0	0
Auto Crime	4	0	0	0	0	4
Vice Enforcement	0	0	1	0	1	2
Drug Enforcement	0	0	0	0	0	0
Organized Crime HQ	0	0	0	0	0	0
Organized Crime Control Bureau Total	62	31	37	52	55	237

**Table 46O: Assignment of Officers against Whom Allegations Were Substantiated - Detective Bureau
1999 - 2003**

Detective Bureau	1999	2000	2001	2002	2003	Total
Manhattan Units	4	2	4	5	3	18
Bronx Units	0	5	1	5	5	16
Brooklyn South Units	7	0	1	0	6	14
Queens Units	3	3	4	4	4	18
Central Robbery	0	0	0	0	0	0
Special Investigations	0	0	0	1	0	1
Career Criminals	0	0	0	0	0	0
Missing Person	1	0	0	0	1	2
Special Victims	2	0	0	0	0	2
Scientific Research	0	0	0	0	0	0
Crime Scene	0	0	0	0	0	0
Warrant Division	7	3	7	6	5	28
Juvenile Crime	0	0	0	0	0	0
Cold Cases	0	1	0	0	0	1
Fugitive Enforcement	0	0	0	0	0	0
Detective Headquarters	0	0	1	0	0	1
Gang Units	0	3	4	4	5	16
Detective Bureau Total	24	17	22	25	29	117

**Table 46P: Assignment of Officers against Whom Allegations Were Substantiated - Other Bureaus
1999 - 2003**

Other Bureaus	1999	2000	2001	2002	2003	Total
Internal Affairs Bureau						
Internal Affairs	1	2	0	0	0	3
Criminal Justice Bureau						
Court Division	0	0	2	1	1	4
Criminal Justice HQ	0	0	0	0	0	0
Support Services Bureau						
Property Clerk	0	0	1	0	0	1
Fleet Services	0	0	0	0	0	0
Central Record Division	0	0	0	0	0	0
Personnel Bureau						
Applicant Processing	0	0	0	0	1	1
Health Services	0	1	0	0	1	2
Personnel Bureau HQ	0	0	0	0	1	1
Other Bureaus Total	1	3	3	1	4	12

**Table 46Q: Assignment of Officers against Whom
Allegations Were Substantiated - Deputy Commissioners
and Miscellaneous Commands
1999 - 2003**

Deputy Commissioners and Miscellaneous Commands	1999	2000	2001	2002	2003	Total
DC Legal Matters - License Division	0	0	0	0	0	0
DC Legal Matters - Legal Bureau	0	0	0	0	0	0
DC Training - Police Academy	0	0	1	0	0	1
DC Training - Academy Training Section	0	0	0	0	1	1
DC Management and Budget	0	0	0	0	0	0
PC Office	0	0	0	0	0	0
Community Affairs	0	0	0	0	0	0
Office of Equal Employment	0	0	0	0	0	0
DC Operations	0	0	0	0	0	0
DC Intelligence	2	1	0	0	3	6
Chief of Department	0	1	0	0	0	1
Department Advocate	0	0	0	0	0	0
DC Public Information	0	0	0	0	0	0
Crime Prevention	0	0	0	0	0	0
First Deputy Commissioner	0	0	0	0	0	0
DC Strategic Initiatives	0	0	0	0	0	0
Office of Management, Analysis, and Planning	0	0	0	0	1	1
Quality Assurance Division	0	0	0	0	0	0
DC Counterterrorism	0	0	0	0	0	0
Deputy Commissioners and Miscellaneous Commands Total	2	2	1	0	5	10

**Table 47A: Command Rankings
Substantiated Complaints per Uniformed Officer
2002**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
1	Joint Bank Robberies TF	6	1	0.1667
2	Narc. Div. Staten Island Init.	41	6	0.1463
3	Brooklyn South East Narc. Dist.	89	12	0.1348
4	Anti-Crime Init. Manhattan North	31	4	0.1290
5	GANG Staten Island	17	2	0.1176
6	Brooklyn South West Narc. Dist.	38	4	0.1053
6	Narcotics Boro Staten Island	19	2	0.1053
6	Anti-Crime Init. Staten Island	19	2	0.1053
9	102 DET	23	2	0.0870
10	DB Manhattan North Homicide	15	1	0.0667
11	Narc.Div. Brooklyn South Init.	47	3	0.0638
12	79 DET	33	2	0.0606
12	Queens South Narcotics District	33	2	0.0606
14	Narc. Div. East Harlem Init.	56	3	0.0536
15	Narc. Operations Brooklyn North	135	7	0.0519
16	Bus Unit	39	2	0.0513
17	113 PCT	197	10	0.0508
18	75 DET	40	2	0.0500
18	108 DET	20	1	0.0500
20	23 DET	21	1	0.0476
20	122 DET	21	1	0.0476
20	DB Manhattan South Homicide	21	1	0.0476
20	Anti-Crime Init. Brooklyn North	21	1	0.0476
24	32 DET	23	1	0.0435
25	34 DET	24	1	0.0417
25	113 DET	24	1	0.0417
27	TB DT01	171	7	0.0409
28	DB Bronx Homicide TF	25	1	0.0400
29	Downtown Manhattan Narc. Dist.	51	2	0.0392
30	PSA 3	181	7	0.0387
31	48 DET	26	1	0.0385
32	Narc. Div. Southeast Queens Init.	106	4	0.0377
33	24 PCT	167	6	0.0359
34	GANG Brooklyn North	28	1	0.0357
35	40 DET	31	1	0.0323
35	78 PCT	155	5	0.0323
37	46 PCT	283	9	0.0318
38	Narcotics Boro Brooklyn North	126	4	0.0317
39	44 DET	32	1	0.0313
39	GANG Bronx	32	1	0.0313
41	48 PCT	214	6	0.0280
42	42 PCT	180	5	0.0278
42	Narcotics Boro Queens North	36	1	0.0278
42	Narcotics Div. Queens North Init.	72	2	0.0278
45	23 PCT	190	5	0.0263
45	Narc. Div. Manhattan North Init.	152	4	0.0263
47	Narc. Div. Bronx Central Init.	116	3	0.0259
48	71 PCT	195	5	0.0256

**Table 47A: Command Rankings
Substantiated Complaints per Uniformed Officer
2002**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
49	77 PCT	235	6	0.0255
50	Bronx North Narcotics District	40	1	0.0250
51	52 DET	42	1	0.0238
51	Brooklyn North Narcotics District	42	1	0.0238
53	67 PCT	255	6	0.0235
54	66 PCT	132	3	0.0227
55	47 PCT	222	5	0.0225
56	40 PCT	241	5	0.0207
57	TB DT32	148	3	0.0203
58	Staten Island Det. Operations	53	1	0.0189
59	70 PCT	233	4	0.0172
60	TB DT02	176	3	0.0170
61	79 PCT	245	4	0.0163
62	81 PCT	192	3	0.0156
63	101 PCT	195	3	0.0154
64	120 PCT	269	4	0.0149
65	83 PCT	207	3	0.0145
66	Narc. Div. Bronx South Init.	139	2	0.0144
67	30 PCT	209	3	0.0144
68	111 PCT	142	2	0.0141
69	75 PCT	366	5	0.0137
70	44 PCT	303	4	0.0132
71	PSA 1	152	2	0.0132
72	68 PCT	154	2	0.0130
73	26 PCT	158	2	0.0127
74	45 PCT	169	2	0.0118
75	Narc. Div. Central Harlem Init.	87	1	0.0115
76	106 PCT	177	2	0.0113
77	72 PCT	178	2	0.0112
78	43 PCT	276	3	0.0109
79	HWY 01	93	1	0.0108
80	TB DT04	188	2	0.0106
81	6 PCT	191	2	0.0105
82	60 PCT	201	2	0.0100
83	33 PCT	205	2	0.0098
84	Warrant Section	640	6	0.0094
85	114 PCT	223	2	0.0090
86	PBMS TF	229	2	0.0087
87	73 PCT	230	2	0.0087
88	52 PCT	241	2	0.0083
89	PBBX TF	121	1	0.0083
90	76 PCT	123	1	0.0081
91	7 PCT	133	1	0.0075
92	94 PCT	135	1	0.0074
93	63 PCT	136	1	0.0074
94	Midtown North PCT	275	2	0.0073
95	100 PCT	139	1	0.0072
95	TB DT34	139	1	0.0072
97	TB DT11	141	1	0.0071
98	PB QS	149	1	0.0067

**Table 47A: Command Rankings
Substantiated Complaints per Uniformed Officer
2002**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
99	62 PCT	150	1	0.0067
100	112 PCT	156	1	0.0064
101	Brooklyn Court	157	1	0.0064
102	61 PCT	163	1	0.0061
103	50 PCT	169	1	0.0059
104	88 PCT	171	1	0.0058
105	49 PCT	175	1	0.0057
105	104 PCT	175	1	0.0057
107	Traffic Manhattan TF	365	2	0.0055
108	PSA 7	184	1	0.0054
109	PSA 2	186	1	0.0054
110	110 PCT	187	1	0.0053
111	115 PCT	194	1	0.0052
112	34 PCT	201	1	0.0050
113	103 PCT	230	1	0.0043

**Table 47B: Command Rankings
Substantiated Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
1	Anti-Crime Init. Queens North	13	2	0.1538
2	Narc. Div. Staten Island Init.	27	4	0.1481
3	Anti-Crime Init. Queens South	16	2	0.1250
4	GANG Staten Island	17	2	0.1176
5	Anti-Crime Init. Brooklyn North	27	3	0.1111
6	Brooklyn South West Narc. Dist.	29	3	0.1034
7	Narc. Div. Bronx Central Init.	109	11	0.1009
8	62 DET	20	2	0.1000
8	Manhattan North Narcotics East	10	1	0.1000
8	Narcotics Boro Manhattan South	40	4	0.1000
11	47 DET	25	2	0.0800
12	77 PCT	247	19	0.0769
13	77 DET	28	2	0.0714
13	Manhattan North Narcotics West	14	1	0.0714
15	Queens South Narcotics District	29	2	0.0690
16	84 DET	15	1	0.0667
17	GANG Brooklyn North	31	2	0.0645
18	20 DET	16	1	0.0625
18	26 DET	16	1	0.0625
18	68 DET	16	1	0.0625
18	111 DET	16	1	0.0625
22	Narc. Div. East Harlem Init.	49	3	0.0612
23	52 DET	33	2	0.0606
24	Narc. Div. Queens North Init.	68	4	0.0588
25	Narcotics Div Brooklyn South Init.	18	1	0.0556
26	Narc. Operations Brooklyn North	119	6	0.0504
27	106 DET	20	1	0.0500
27	PBBN TF	100	5	0.0500
27	Vice Enf.Div Brooklyn South & SI	20	1	0.0500
30	Narcotics Boro Queens North	63	3	0.0476
31	Queens North Narcotics District	22	1	0.0455
32	HWY 04	23	1	0.0435
33	47 PCT	218	9	0.0413
34	63 PCT	126	5	0.0397
35	60 DET	26	1	0.0385
35	Narc. Div. North Manhattan Init.	130	5	0.0385
37	PSA 3	187	7	0.0374
38	81 PCT	189	7	0.0370
38	105 DET	27	1	0.0370
38	115 DET	27	1	0.0370
41	120 DET	28	1	0.0357
42	78 PCT	141	5	0.0355
43	Brooklyn South East Narc. Dist.	57	2	0.0351
44	43 DET	29	1	0.0345
44	79 DET	29	1	0.0345
44	GANG Brooklyn South	29	1	0.0345
47	PSA 2	204	7	0.0343
48	67 PCT	278	9	0.0324

**Table 47B: Command Rankings
Substantiated Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
49	Missing Persons Squad	31	1	0.0323
50	Anti-Crime Init. Bronx	33	1	0.0303
50	PSA 7	198	6	0.0303
52	SAT Command Brooklyn North	34	1	0.0294
53	Brooklyn North Narcotics Dist.	35	1	0.0286
54	23 PCT	181	5	0.0276
55	62 PCT	145	4	0.0276
56	73 PCT	256	7	0.0273
57	43 PCT	294	8	0.0272
58	42 PCT	185	5	0.0270
59	20 PCT	154	4	0.0260
60	30 PCT	195	5	0.0256
60	Det. Bureau Brooklyn	39	1	0.0256
62	120 PCT	274	7	0.0255
63	76 PCT	120	3	0.0250
63	Downtown Manhattan Narc. Dist.	40	1	0.0250
63	Narc. Div Central Harlem Init.	80	2	0.0250
66	Patrol Boro Staten Island	82	2	0.0244
67	122 PCT	167	4	0.0240
68	67 DET	42	1	0.0238
69	PSA 4	127	3	0.0236
70	114 PCT	213	5	0.0235
71	PSA 8	128	3	0.0234
72	44 PCT	303	7	0.0231
73	Narc. Div. Southeast Queens Init.	93	2	0.0215
74	Det. Bureau Manhattan	48	1	0.0208
74	Midtown South PCT	384	8	0.0208
76	79 PCT	245	5	0.0204
77	68 PCT	148	3	0.0203
78	26 PCT	149	3	0.0201
79	112 PCT	152	3	0.0197
80	48 PCT	207	4	0.0193
81	83 PCT	208	4	0.0192
81	Patrol Boro Bronx Task Force	104	2	0.0192
83	107 PCT	161	3	0.0186
84	49 PCT	168	3	0.0179
85	104 PCT	170	3	0.0176
86	TB DT01	179	3	0.0168
87	101 PCT	180	3	0.0167
87	Bronx South Narc. Dist.	60	1	0.0167
89	Narc. Div. Bronx South Init.	122	2	0.0164
90	123 PCT	125	2	0.0160
91	28 PCT	189	3	0.0159
92	Manhattan South Narcotics Dist.	64	1	0.0156
93	25 PCT	195	3	0.0154
94	Employee Management Div.	66	1	0.0152
95	52 PCT	274	4	0.0146
96	32 PCT	206	3	0.0146
97	33 PCT	207	3	0.0145
97	113 PCT	207	3	0.0145
99	75 PCT	372	5	0.0134

**Table 47B: Command Rankings
Substantiated Complaints per Uniformed Officer
2003**

Ranking	Precinct/Command	Number of Officers	Officers with Substantiated Complaints	Substantiated Officers per Uniformed Officer
100	108 PCT	149	2	0.0134
101	HWY 02	77	1	0.0130
102	O M A P	79	1	0.0127
103	40 PCT	245	3	0.0122
104	50 PCT	169	2	0.0118
105	19 PCT	254	3	0.0118
105	84 PCT	254	3	0.0118
107	110 PCT	174	2	0.0115
108	In-Service Training Section	88	1	0.0114
109	TB Homeless Outreach Unit	90	1	0.0111
110	Criminal Intelligence Section	274	3	0.0109
111	6 PCT	186	2	0.0108
112	TB DT20	190	2	0.0105
113	13 PCT	194	2	0.0103
114	TB BKTF	104	1	0.0096
115	109 PCT	216	2	0.0093
116	Central Park PCT	112	1	0.0089
117	71 PCT	234	2	0.0085
118	Warrant Section	590	5	0.0085
119	103 PCT	238	2	0.0084
120	100 PCT	128	1	0.0078
121	Narcotics Boro BN	132	1	0.0076
122	111 PCT	137	1	0.0073
122	Manhattan Court	137	1	0.0073
124	70 PCT	280	2	0.0071
125	PSA 5	151	1	0.0066
126	Medical Division	158	1	0.0063
127	72 PCT	159	1	0.0063
128	24 PCT	164	1	0.0061
129	45 PCT	165	1	0.0061
130	TB DT30	170	1	0.0059
131	17 PCT	179	1	0.0056
131	TB DT33	179	1	0.0056
133	9 PCT	182	1	0.0055
134	60 PCT	183	1	0.0055
135	34 PCT	186	1	0.0054
136	102 PCT	189	1	0.0053
136	Applicant Processing Division	189	1	0.0053
138	TB DT04	191	1	0.0052
139	TB DT03	192	1	0.0052
140	90 PCT	203	1	0.0049
141	Patrol Boro Manhattan South TF	208	1	0.0048
142	115 PCT	236	1	0.0042
143	105 PCT	248	1	0.0040
144	46 PCT	297	1	0.0034

**Table 48: Average Days for the Police Department to Close Substantiated CCRB Cases*
1999 - 2003**

CCRB Recommendation	1999		2000		2001		2002		2003	
	Cases	Average Days to Close	Cases	Average Days to Close	Cases	Average Days to Close	Cases	Average Days to Close	Cases	Average Days to Close
Charges	221	579	328	615	224	619	130	457	286	367
Command Discipline Instructions	176	451	164	453	98	475	54	518	57	318
No Recommendation Instructions	60	404	53	360	24	276	18	294	24	224
	29	1,161	11	19	2	1,168	0	0	0	0
	1	717	0	0	0	0	0	0	0	0
Total	487	542	556	534	348	558	202	459	367	350

*The time it takes the NYPD to resolve substantiated cases is measured from the date that the CCRB physically transferred the case file to the department until the last day of the month in which the department closed the case. The department does not inform the CCRB of its actual disposition date—just the month in which it closed the case. In addition, when the Department Advocate's Office refers a case to a commanding officer for the imposition of a command discipline, the NYPD considers the case closed and reports that closure to the CCRB. It is subsequent to this closure date that the commanding officer decides upon a penalty consistent with the level of command discipline proscribed by the Department Advocate's Office. For cases that proceeded to administrative hearings, the time it takes for judges to render written decisions is included in calculating the department's closure time.

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number*	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition**	NYPD Closure Date
1	26 Precinct	Charges	F - Physical force	1/20/99	OATH Trial - Not Guilty	2/28/01
2	40 Precinct	Charges	F - Physical force; A - Threat of arrest, Refusal to give name/shield number	1/20/99	OATH Trial - Not Guilty	1/31/00
3	20 Precinct	Instructions	A - Unauthorized closing of taxi driver's trip sheet	1/20/99	Instructions	4/30/00
4	Special Victims Division	Command Discipline	D - Word, Other	1/20/99	Filed - Retired	4/30/02
5	TB DT11	Charges	F - Physical force; A - Frisk and/or search, Threat of force, Refusal to obtain medical treatment	1/20/99	OATH Negotiation Guilty - 10 vacation days	12/31/99
6	Auto Crime Division	Charges	A - Threat of force	1/20/99	DCT Trial - Not Guilty	9/30/00
6	Auto Crime Division	Charges	A - Threat of force	1/20/99	DCT Trial - Not Guilty	9/30/00
6	Auto Crime Division	Charges	F - Gun drawn, Physical force; A - Left victims in RMP for a long time; D - Word	1/20/99	DCT Trial Guilty - 10 vacation days	9/30/00
6	Auto Crime Division	Charges	F - Gun as club, Gun drawn, Physical force; A - Threat of arrest; D - Word	1/20/99	DCT Trial Guilty - 20 vacation days	9/30/00
7	Highway Unit #3	Charges	A - Threat of force; D - Word; O - Ethnicity	1/20/99	OATH Negotiation Guilty - 20 vacation days	4/30/00
8	78 Precinct	Command Discipline	A - Premises entered and/or searched	1/21/99	Instructions	9/30/99
8	78 Precinct	Command Discipline	A - Premises entered and/or searched, Property damaged	1/21/99	Instructions	9/30/99
9	PSA 2	Command Discipline	O - Ethnicity	1/21/99	OATH Trial Guilty - 15 suspension days	3/31/01
10	114 Precinct	Charges	F - Physical force	1/21/99	OATH Trial - Not Guilty	9/30/00
10	114 Precinct	Charges	F - Physical force	1/21/99	OATH Trial - Not Guilty	9/30/00
10	114 Precinct	Charges	F - Physical force; A - Retaliatory arrest; D - Word	1/21/99	OATH Trial Guilty - 20 suspension days	9/30/00
11	10 Precinct	Command Discipline	F - Physical force	1/21/99	OATH Trial - Not Guilty	10/31/00
12	Patrol Boro SI HQ	Command Discipline	D - Word	1/21/99	Command Discipline 'B'	10/31/99
13	Manhattan Narcotics	Command Discipline	F - Physical force	1/21/99	DCT Trial - Not Guilty	8/31/01

* A repeated sequence number indicates that the CCRB substantiated allegations against more than one officer based on a single complaint.

** OATH is the Office of Administrative Trials and Hearings; DCT is the NYPD's Deputy Commissioner for Trials. See Glossary.

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
14	88 Precinct	Command Discipline	A - Threat to property, Refusal to show search warrant; D - Word	1/21/99	OATH Trial - Not Guilty	2/28/01
14	88 Precinct	Command Discipline	A - Threat to property, Refusal to show search warrant; D - Word	1/21/99	OATH Trial Guilty - 3 suspension days	2/28/01
15	24 Precinct	Command Discipline	F - Bump; A - Threat of arrest	1/21/99	DCT Trial - Not Guilty	4/30/01
16	67 Precinct	Charges	F - Physical force	1/22/99	Statute of Limitations expired	8/31/99
16	67 Precinct	Charges	F - Physical force	1/22/99	Statute of Limitations expired	8/31/99
16	67 Precinct	Charges	F - Physical force	1/22/99	Statute of Limitations expired	8/31/99
17	50 Precinct	Command Discipline	A - Question and/or stop, Retaliatory arrest, Threat of force; D - Word	1/22/99	Command Discipline 'B'	2/29/00
18	52 Precinct	Charges	D - Issued summons with offensive drawing on it	1/22/99	OATH Negotiation Guilty - 10 vacation days	5/31/00
18	52 Precinct	Charges	D - Issued summons with offensive drawing on it	1/22/99	OATH Negotiation Guilty - 10 vacation days	5/31/00
19	Brooklyn South Narcotics	Charges	F - Chokehold, Physical force; A - Threat of force	2/9/99	Statute of Limitations expired	10/31/03
19	SI Narcotics	Charges	F - Physical force	2/9/99	Statute of Limitations expired	10/31/03
20	Highway Unit #4	Charges	F - Physical force	2/9/99	OATH Negotiation Guilty - 10 vacation days	12/31/99
21	Patrol Boro QS TF	Charges	A - Property loss	2/9/99	Filed - Retired	6/30/99
22	PSA 5	Charges	F - Pepper spray, Nightstick, Physical force; A - Threat of arrest; D - Word	2/9/99	OATH Negotiation Guilty - 25 vacation days	6/30/00
23	30 Precinct	Charges	D - Action	2/9/99	Command Discipline 'B'	8/31/00
23	30 Precinct	Charges	D - Word	2/9/99	OATH Trial - Not Guilty	11/30/00
24	PSA 5	Command Discipline	A - Threat of force; D - Word	2/9/99	OATH Negotiation Guilty - 25 vacation days	6/30/00
25	102 Precinct	Charges	F - Physical force	2/9/99	OATH Trial - Not Guilty	3/31/01
25	102 Precinct	Charges	F - Physical force	2/9/99	OATH Trial - Not Guilty	3/31/01
26	TB DT12	Command Discipline	O - Sexual orientation	2/9/99	OATH Negotiation Guilty - 10 vacation days	10/31/00

**Table 49A: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 1999**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
27	PSA 1	Command Discipline	A - Question and/or stop, Frisk and/or search	2/10/99	Command Discipline 'B'	6/30/99
28	25 Precinct	Instructions	D - Gesture	2/23/99	Instructions	9/30/99
29	Brooklyn South Narcotics	Command Discipline	A - Question and/or stop, Frisk and/or search	2/23/99	DCT Trial - Not Guilty	8/31/01
30	84 Precinct	Command Discipline	D - Word	2/23/99	Command Discipline 'A'	7/31/99
31	75 Precinct	Charges	F - Physical force; A - Retaliatory arrest	2/23/99	DCT - Charges Dismissed	11/30/02
31	75 Precinct	Charges	F - Physical force; A - Threat of force	2/23/99	DCT - Charges Dismissed	11/30/02
32	112 Precinct	Charges	F - Physical force	2/25/99	OATH Trial Guilty - 10 suspension days	1/31/01
33	Patrol Boro Bronx ACI	Charges	F - Physical force	2/25/99	DCT Trial Guilty - 10 vacation days	12/31/01
33	Patrol Boro Bronx ACI	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search	2/25/99	DCT Trial Guilty - 5 vacation days	12/31/01
34	33 Precinct	Charges	F - Gun drawn	2/25/99	OATH Negotiation Guilty- 15 vacation days	4/30/00
35	Detective Bureau Brooklyn South Units	Charges	A - Premises entered and/or searched	2/25/99	Command Discipline 'B'	7/31/99
36	69 Precinct	Charges	F - Nightstick as club	2/25/99	OATH Negotiation Guilty - 10 vacation days	8/31/00
37	72 Precinct	Command Discipline	F - Physical force; A - Question and/or stop	2/25/99	OATH Trial - Not Guilty	4/30/00
38	44 Precinct	Charges	D - Word	2/25/99	Command Discipline 'A'	10/31/00
38	52 Precinct	Charges	F - Physical force, Hit against inanimate object; O - Ethnicity	2/25/99	Filed - Resigned	10/31/00
38	52 Precinct	Charges	F - Physical force	2/25/99	OATH Trial - Not Guilty	7/31/01
39	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number; D - Word; O - Ethnicity	2/25/99	DCT Trial - Not Guilty	1/31/00
40	123 Precinct	Charges	F - Physical force	2/26/99	Command Discipline 'A'	10/31/00
41	25 Precinct	Command Discipline	A - Refusal to give name/shield number	2/26/99	Instructions	3/31/00
42	TB DT33	Charges	F - Physical force; D - Word	2/26/99	Command Discipline 'B'	3/31/00
43	33 Precinct	Command Discipline	A - Improper instructions	3/4/99	DCT - Charges Dismissed	10/31/99

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
44	107 Precinct	Command Discipline	A - Retaliatory summonses	3/5/99	DCT - Charges Dismissed	5/31/00
45	20 Precinct	Charges	A - Threat of force, Threat of arrest; D - Word; O - Ethnicity	3/5/99	OATH Trial Guilty - 10 suspension days	1/31/00
46	79th Precinct Detective Squad	Command Discipline	A - Refusal to show search warrant	3/10/99	Command Discipline 'A'	9/30/99
47	67 Precinct	Command Discipline	A - Threat of force; D - Word	3/22/99	DCT Trial Guilty - Instructions	5/31/02
48	Queens Narcotics	Command Discipline	D - Word, Other	3/22/99	Command Discipline 'A'	8/31/99
49	TB Manhattan TF	Charges	F - Flashlight as club	3/25/99	DCT Trial - Not Guilty	8/31/01
50	9 Precinct	Command Discipline	F - Pepper spray	3/25/99	Command Discipline 'B'	5/31/00
51	75th Precinct Detective Squad	Charges	F - Physical force; A - Threat of force	3/25/99	DCT Trial - Not Guilty	10/31/00
52	70 Precinct	Instructions	D - Word	3/25/99	Command Discipline 'A'	11/30/99
52	70 Precinct	Instructions	D - Word	3/25/99	Command Discipline 'A'	11/30/99
53	40 Precinct	Charges	A - Question and/or stop	3/25/99	OATH Trial - Not Guilty	11/30/00
53	40 Precinct	Charges	A - Question and/or stop, Frisk and/or search	3/25/99	OATH Trial - Not Guilty	11/30/00
54	120th Precinct Detective Squad	Command Discipline	F - Hit with notepad	3/25/99	DCT Trial - Not Guilty	5/31/00
55	Manhattan Narcotics	Charges	F - Physical force	3/25/99	DCT Trial - Not Guilty	1/31/01
55	Manhattan Narcotics	Charges	F - Physical force; D - Word	3/25/99	DCT Trial - Not Guilty	1/31/01
55	Manhattan Narcotics	Charges	F - Ripped wallet hanging from neck	3/25/99	DCT Trial - Not Guilty	1/31/01
56	Midtown South Precinct	Charges	F - Physical force; A - Threat of arrest; D - Word	3/25/99	OATH Trial - Not Guilty	1/31/01
57	107 Precinct	Command Discipline	F - Hit against inanimate object	3/25/99	Command Discipline 'B'	8/31/01
58	Detective Bureau Manhattan Units	Command Discipline	A - Threat of force	3/25/99	Command Discipline 'A'	8/31/99
59	Bronx Narcotics	Charges	F - Gun drawn, Physical force, Chokehold, Pulled handcuffs; A - Threat of arrest; D - Word	3/25/99	DCT Trial - Not Guilty	4/30/02
60	30 Precinct	Command Discipline	F - Physical force	3/25/99	Command Discipline 'B'	4/30/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
61	81 Precinct	Command Discipline	D - Word	3/25/99	Command Discipline 'A'	10/31/00
62	62 Precinct	Charges	F - Physical force	3/25/99	OATH Trial Guilty - 20 suspension days	7/31/01
63	60 Precinct	Charges	F - Flashlight as club	3/25/99	OATH Trial - Not Guilty	5/31/01
64	Manhattan Traffic TF	Charges	A - Retaliatory summons	3/25/99	Command Discipline 'B'	2/29/00
65	Patrol Boro Bronx ACI	Charges	A - Question and/or stop, Frisk and/or search, Property damaged	4/26/99	DCT Trial - Not Guilty	3/31/02
66	43 Precinct	Charges	A - Vehicle search	4/26/99	Command Discipline 'B'	6/30/00
67	Queens Narcotics	Charges	F - Physical force	4/26/99	DCT - Charges Dismissed	5/31/00
68	Warrant Section (Division)	Charges	A - Property seized	4/26/99	DCT Trial - Not Guilty	1/31/01
68	Warrant Section (Division)	Charges	A - Property seized	4/26/99	DCT Trial - Not Guilty	1/31/01
68	Warrant Section (Division)	Charges	A - Property seized	4/26/99	DCT Trial - Not Guilty	1/31/01
69	Undetermined	Command Discipline	A - Refusal to give name/shield number	4/26/99	Command Discipline 'B'	10/31/99
70	40 Precinct	Charges	F - Physical force	4/26/99	OATH Trial - Not Guilty	3/31/01
71	43 Precinct	Charges	F - Lifted by the chain of handcuffs	4/26/99	DCT Trial - Not Guilty	12/31/01
72	84th Precinct Detective Squad	Instructions	A - Threat of arrest	4/26/99	Command Discipline 'A'	10/31/99
73	111 Precinct	Charges	F - Physical force	4/26/99	Filed - Retired	2/29/00
74	PSA 7	Charges	F - Physical force	4/26/99	DCT Negotiation Guilty - 15 vacation days	5/31/00
75	TB Bronx TF	Charges	F - Physical force; A - Frisk and/or search, Threat of force, Threat of arrest	4/26/99	Filed - Terminated	9/30/99
76	Queens Narcotics	Command Discipline	A - Strip search	4/28/99	DCT Negotiation Guilty - 5 vacation days	7/31/00
77	SI Narcotics	Command Discipline	A - Threat of arrest, Question and/or stop, Frisk and/or search	4/28/99	DCT Trial Guilty - 25 vacation days	3/31/01
78	Midtown North Precinct	Instructions	F - Physical force; A - Improper ejection	4/28/99	OATH Trial - Not Guilty	9/30/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
79	Narcotics Boro Brooklyn North	Command Discipline	O - Ethnicity	4/28/99	DCT Negotiation Guilty - 7 vacation days	10/31/00
80	7 Precinct	Command Discipline	A - Frisk and/or search	4/28/99	Instructions	9/30/02
80	7 Precinct	Instructions	A - Frisk and/or search	4/28/99	Instructions	12/31/99
81	84 Precinct	Instructions	A - Refusal to give name/shield number	5/12/99	Command Discipline 'B'	10/31/99
82	33 Precinct	Charges	A - Vehicle stop	5/12/99	DCT Trial Guilty - 30 vacation days	12/31/01
83	81 Precinct	Command Discipline	A - Premises entered and/or searched	5/12/99	Command Discipline 'B'	10/31/99
84	Narcotics Boro Brooklyn North	Charges	A - Premises entered and/or searched, Refusal to give name/shield number, Coercion	5/12/99	DCT - Charges Dismissed	11/30/00
84	Narcotics Boro Brooklyn North	Charges	A - Premises entered and/or searched, Refusal to give name/shield number, Coercion; D - Word	5/12/99	DCT Trial - Not Guilty	11/30/00
85	Detective Bureau Brooklyn South Units	Instructions	A - Premises entered and/or searched, Retaliatory arrest	5/12/99	Command Discipline 'A'	2/29/00
85	Detective Bureau Brooklyn South Units	Instructions	A - Premises entered and/or searched, Retaliatory arrest	5/12/99	Command Discipline 'A'	2/29/00
85	Detective Bureau Brooklyn South Units	Instructions	A - Premises entered and/or searched, Retaliatory arrest	5/12/99	Command Discipline 'A'	2/29/00
86	Manhattan Traffic TF	Command Discipline	A - Refusal to give name/shield number, D - Word	5/12/99	Command Discipline 'B'	10/31/99
87	81 Precinct	Command Discipline	A - Vehicle search	5/12/99	Command Discipline 'A'	4/30/00
88	25 Precinct	Command Discipline	A - Threat of arrest; D - Word, Gesture	5/12/99	Command Discipline 'B'	10/31/00
89	TB DT03	Command Discipline	D - Word, Demeanor/tone; O - Ethnicity	5/12/99	DCT Trial - Not Guilty	6/30/00
90	TB Brooklyn TF	Command Discipline	A - Retaliatory ticket	5/12/99	Instructions	8/31/01
91	Patrol Boro Bronx TF	Command Discipline	D - Word; O - Ethnicity	5/12/99	OATH Trial Guilty - Instructions	8/31/00
92	PSA 9	Instructions	A - Premises entered and/or searched, Frisk and/or search	5/12/99	Command Discipline 'B'	10/31/99
93	40 Precinct	Charges	F - Gun as club	5/20/99	OATH Trial - Not Guilty	8/31/00
94	Bronx Narcotics	Charges	A - Premises entered and/or searched	5/20/99	DCT - Charges Dismissed	9/30/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
95	43 Precinct	Charges	A - Strip search	5/20/99	DCT Trial - Not Guilty	12/31/00
95	Bronx Narcotics	Charges	A - Failure to secure property	5/20/99	DCT Trial - Not Guilty	12/31/00
95	Bronx Narcotics	Charges	A - Strip search	5/20/99	DCT Trial - Not Guilty	12/31/00
95	Bronx Narcotics	Charges	F - Raised handcuffed arms; A - Strip search	5/20/99	DCT Trial - Not Guilty	12/31/00
95	Bronx Narcotics	Charges	F - Raised handcuffed arms; A - Strip search	5/20/99	DCT Trial - Not Guilty	12/31/00
96	Special Victims Division	Charges	A - Threat of force	5/20/99	DCT Trial Guilty - 10 vacation days	8/31/01
97	TB DT32	Charges	F - Physical force	5/20/99	OATH Trial - Not Guilty	8/31/00
98	Brooklyn Narc. District	Command Discipline	A - Frisk and/or search	5/20/99	Instructions	3/31/00
99	Bronx Narcotics	Instructions	A - Refusal to give name/shield number	5/20/99	DCT Trial - Not Guilty	4/30/02
100	TB Manhattan TF	Command Discipline	A - Vehicle stop and/or search	5/20/99	Command Discipline 'B'	4/30/00
101	Highway Unit #3	Command Discipline	A - Frisk and/or search	5/20/99	Command Discipline 'B'	8/31/01
102	47 Precinct	Command Discipline	A - Refusal to process complaint	5/20/99	DCT - Charges Dismissed	10/31/00
103	60 Precinct	Command Discipline	F - Physical force	5/20/99	Command Discipline 'A'	12/31/00
104	Warrant Section (Division)	Instructions	A - Threat of property loss	5/20/99	Instructions	11/30/99
105	40 Precinct	Charges	A - Threat of force	5/20/99	OATH Trial - Not Guilty	10/31/00
106	PSA 1	Charges	F - Physical force; D - Word	5/20/99	OATH Negotiation Guilty - 5 vacation days	6/30/00
107	Emergency Services Unit and Squads 1-10	Instructions	D - Word	5/20/99	Command Discipline 'A'	4/30/00
108	Intelligence Division	Instructions	D - Word	5/20/99	Command Discipline 'A'	4/30/00
109	70 Precinct	Instructions	D - Word	5/20/99	Command Discipline 'A'	8/31/99

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	Filed - Retired	12/31/00
110	Bronx Narcotics	Charges	F - Physical force	5/28/99	DCT Trial - Not Guilty	11/30/01
111	103 Precinct	Command Discipline	F - Handcuffs too tight	5/28/99	OATH Trial - Not Guilty	9/30/00
112	68 Precinct	Charges	A - Threat of force	5/28/99	Instructions	11/30/99
112	68 Precinct	Charges	A - Threat of force	5/28/99	Instructions	11/30/99
113	33 Precinct	Command Discipline	A - Threat of arrest, Refusal to give name/shield number; D - Word	5/28/99	OATH Trial Guilty - 10 suspension days	11/30/00
113	33 Precinct	Command Discipline	D - Word	5/28/99	OATH Trial Guilty - 5 suspension days	11/30/00
114	71 Precinct	Charges	F - Physical force; A - Threat to property; D - Word	5/28/99	DCT Trial Guilty - 30 vacation days	12/31/01
115	77 Precinct	Instructions	A - Threat of force	6/11/99	Instructions	11/30/99
116	68 Precinct	Instructions	A - Retaliatory arrest	6/11/99	OATH Trial Guilty - 15 suspension days	2/29/00
117	7 Precinct	Command Discipline	A - Threat to property, Retaliatory summonses; D - Word	6/11/99	Command Discipline 'A'	10/31/00
118	83 Precinct	Command Discipline	D - Word	6/11/99	Command Discipline 'A'	2/29/00
118	83 Precinct	Command Discipline	D - Threat of force	6/11/99	Command Discipline 'A'	2/29/00
119	TB Manhattan TF	Command Discipline	A - Premises entered and/or searched	6/11/99	Command Discipline 'B'	11/30/99
120	40 Precinct	Charges	F - Pepper spray; A - Refusal to give name/shield number; D - Word	6/11/99	OATH Trial Guilty - 20 suspension days	8/31/00
121	47 Precinct	Command Discipline	A - Question and/or stop	6/11/99	DCT Trial - Not Guilty	10/31/00
121	47 Precinct	Command Discipline	A - Question and/or stop; D - Word	6/11/99	DCT Trial - Not Guilty	10/31/00
122	77 Precinct	Instructions	A - Question and/or stop, Frisk and/or search	6/11/99	Instructions	8/31/01
123	68 Precinct	Charges	A - Question and/or stop	6/18/99	DCT Trial Guilty - 15 vacation days	12/31/01

**Table 49A: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 1999**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
124	46 Precinct	Command Discipline	A - Vehicle stop	6/18/99	DCT - Charges Dismissed	3/31/01
125	Undetermined	Charges	F - Physical force, Gun drawn	6/18/99	OATH Trial Guilty - 25 suspension days	6/30/01
126	88 Precinct	Command Discipline	A - Threat of force, Gun drawn; D - Word	6/18/99	Command Discipline 'B'	10/31/00
127	Patrol Boro MN TF	Command Discipline	D - Word	6/18/99	Command Discipline 'A'	10/31/99
128	6 Precinct	Charges	A - Question and/or stop, Property search, Retaliatory arrest	6/18/99	DCT Trial Guilty - 5 vacation days	5/31/01
129	49 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search, Threat of arrest	6/18/99	Command Discipline 'B'	2/29/00
130	25 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search, Threat of force	6/18/99	Command Discipline 'A'	10/31/00
131	Midtown South Precinct	Charges	D - Word, Demeanor/tone	6/18/99	Command Discipline 'A'	8/31/01
132	Patrol Boro Brooklyn South ACI	Command Discipline	A - Frisk and/or search	6/18/99	Instructions	2/29/00
132	Patrol Boro Brooklyn South ACI	Command Discipline	A - Frisk and/or search	6/18/99	Instructions	2/29/00
133	Midtown South Precinct	Instructions	D - Word	6/28/99	DCT - Charges Dismissed	2/29/00
134	Manhattan Narcotics	Charges	F - Physical force; A - Threat of arrest	6/29/99	OATH Trial - Not Guilty	10/31/00
135	SI Narcotics	Charges	D - Word	6/29/99	Command Discipline 'A'	8/31/00
135	SI Narcotics	Charges	F - Radio as club, Physical force; A - Threat of force; D - Word; O - Ethnicity	6/29/99	DCT Trial Guilty - 20 vacation days	8/31/01
136	49 Precinct	Charges	F - Physical force; A - Threat of arrest	6/29/99	OATH Trial Guilty - 30 vacation days	11/30/00
137	79 Precinct	Command Discipline	A - Property seized; D - Gesture	6/29/99	Command Discipline 'A'	12/31/99
138	Bronx Narcotics	Command Discipline	A - Property damaged	6/29/99	Instructions	3/31/00
139	Patrol Boro SI ACI	Charges	A - Retaliatory summonses	6/29/99	Command Discipline 'A'	2/29/00
139	Patrol Boro SI ACI	Charges	A - Omission of name in complaint report	6/29/99	Command Discipline 'B'	3/31/00
140	SI Narcotics	Charges	A - Threat of arrest, Strip search authorization	6/29/99	DCT Trial Guilty - 10 vacation days	2/28/01
140	SI Narcotics	Charges	A - Threat of arrest, Strip search authorization	6/29/99	Filed - Retired	2/29/00
141	34 Precinct	Instructions	D - Gesture	6/29/99	Instructions	12/31/99

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
142	104 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	6/29/99	Instructions	2/29/00
143	28 Precinct	Instructions	D - Word	7/9/99	Command Discipline 'A'	10/31/00
143	32 Precinct	Instructions	D - Word	7/9/99	Command Discipline 'A'	10/31/00
144	Bronx Narcotics	Charges	F - Physical force	7/16/99	DCT Trial - Not Guilty	11/30/01
145	30 Precinct	Instructions	D - Word	7/16/99	Command Discipline 'A'	11/30/99
146	7 Precinct	Charges	F - Physical force; A - Threat of force	7/16/99	OATH Trial - Not Guilty	5/31/00
147	Queens Narcotics	Instructions	A - Frisk and/or search	7/16/99	Command Discipline 'B'	2/29/00
148	Queens Narcotics	Charges	F - Radio as club, Physical force	7/16/99	DCT Trial - Not Guilty	6/30/01
148	School Safety units	Charges	F - Physical force	7/16/99	DCT Trial - Not Guilty	6/30/01
149	TB Queens TF	Charges	F - Physical force	7/16/99	OATH Trial Guilty - 30 suspension days	12/31/00
150	Detective Bureau Queens Units	Charges	F - Hit against inanimate object	7/20/99	Filed - Retired	10/31/99
151	P.S.B. HQ	Charges	F - Physical force; A - Property damaged, Failure to provide name & shield	7/20/99	DCT - Charges Dismissed	4/30/00
152	107 Precinct	Command Discipline	A - Threat of arrest; D - Word	7/20/99	Command Discipline 'A'	10/31/99
153	44 Precinct	Charges	A - Retaliatory arrest, Threat of force, Attempt to coerce; D - Word	7/20/99	OATH Trial Guilty - 20 suspension days	8/31/00
154	TB DT01	Charges	A - Retaliatory summons	7/20/99	Command Discipline 'A'	11/30/99
155	Patrol Boro Queens North ACI	Charges	A - Vehicle stop, Vehicle search, Frisk and/or search	7/20/99	Instructions	2/29/00
155	Patrol Boro Queens North ACI	Charges	A - Frisk and/or search	7/20/99	Instructions	2/29/00
156	68 Precinct	Charges	F - Radio as club	7/20/99	OATH Trial Guilty - 10 suspension days	10/31/00
157	Bronx Narcotics	Command Discipline	F - Physical force; A - Retaliatory summons; D - Word	7/20/99	DCT Trial Guilty - 15 vacation days	1/31/01
158	Street Crime Unit	Charges	F - Physical force	7/20/99	DCT - Charges Dismissed	10/31/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
159	Patrol Boro Queens South ACI	Charges	A - Question and/or stop	7/20/99	DCT Trial - Not Guilty	6/30/01
159	Patrol Boro Queens South ACI	Charges	A - Question and/or stop, Frisk and/or search	7/20/99	DCT Trial - Not Guilty	6/30/01
160	101 Precinct	Charges	A - Property seized, Question and/or stop	7/20/99	Command Discipline 'A'	12/31/00
161	67 Precinct	Charges	A - Premise search, Frisk and/or search	7/28/99	OATH Trial - Not Guilty	9/30/00
161	67 Precinct	Charges	A - Premise search, Frisk and/or search	7/28/99	OATH Trial - Not Guilty	9/30/00
162	Bronx Narcotics	Charges	A - Premises entered and/or searched	7/28/99	DCT Trial - Not Guilty	8/31/01
162	Bronx Narcotics	Charges	A - Premises entered and/or searched	7/28/99	DCT Trial - Not Guilty	8/31/01
163	33 Precinct	Charges	F - Gun drawn; A - Retaliatory arrest	7/28/99	OATH Trial Guilty - 7 suspension days	10/31/00
164	77 Precinct	Command Discipline	D - Word	7/28/99	Command Discipline 'A'	11/30/99
164	77 Precinct	Command Discipline	D - Word	7/28/99	Command Discipline 'A'	11/30/99
165	28 Precinct	Command Discipline	F - Physical force; A - Question and/or stop, Frisk and/or search	7/28/99	Command Discipline 'B'	4/30/00
166	Highway Unit #2	Instructions	A - Refusal to give name/shield number	7/28/99	Command Discipline 'B'	11/30/99
167	Manhattan Narcotics	Instructions	A - Strip search	8/26/99	Command Discipline 'B'	10/31/99
168	42 Precinct	Charges	A - Vehicle search, Retaliatory arrest	8/26/99	OATH Negotiation Guilty - 10 vacation days	8/31/00
168	42 Precinct	Command Discipline	A - Vehicle stop, Frisk and/or search, Threat of arrest	8/26/99	OATH Negotiation Guilty - 10 vacation days	8/31/00
169	Central Park Precinct	Command Discipline	A - Threat of arrest	8/26/99	Command Discipline 'A'	3/31/00
169	Detective Bureau Manhattan Units	Charges	A - Question and/or stop, Frisk and/or search	8/26/99	Command Discipline 'B'	3/31/00
170	TB DT11	Charges	F - Physical force	8/26/99	OATH Trial - Not Guilty	2/28/01
171	43 Precinct	Charges	A - Refusal to give name/shield number, Threat of summons	8/26/99	Command Discipline 'B'	5/31/00
172	PSA 4	Command Discipline	A - Question and/or stop, Frisk and/or search	8/26/99	Instructions	2/29/00
173	PSA 5	Command Discipline	F - Physical Force; A - Threat of force	8/26/99	Command Discipline 'B'	2/29/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
174	68 Precinct	Charges	O - Ethnicity	8/26/99	Command Discipline 'A'	8/31/00
175	25 Precinct	Instructions	A - Property search	8/26/99	Command Discipline 'A'	2/29/00
176	83 Precinct	Charges	F - Physical force; A - Threat of force; D - Word	8/30/99	DCT Trial - Not Guilty	4/30/02
177	46 Precinct	Charges	F - Physical force	8/30/99	DCT - Charges Dismissed	4/30/02
178	24 Precinct	Charges	A - Refusal to process complaint	8/30/99	Command Discipline 'B'	10/31/99
179	46 Precinct	Charges	F - Physical force	8/30/99	Command Discipline 'A'	3/31/00
180	75 Precinct	Charges	F - Physical force	8/30/99	DCT Trial - Not Guilty	8/31/01
180	75 Precinct	Charges	F - Physical force	8/30/99	DCT Trial - Not Guilty	8/31/01
181	PSA 2	Command Discipline	A - Threat of force; D - Word	8/30/99	Command Discipline 'A'	12/31/99
182	81 Precinct	Charges	F - Pepper spray	8/30/99	OATH Trial - Not Guilty	5/31/00
183	32 Precinct	Charges	F - Physical force	8/30/99	Command Discipline 'B'	11/30/99
184	Surface Transportation Enf. Div. (STED)	Charges	A - Retaliatory summonses	8/30/99	Command Discipline 'A'	11/30/99
185	77 Precinct	Charges	A - Premises entered and/or searched	8/30/99	Instructions	10/31/99
186	PSA 4	Charges	F - Physical force	8/30/99	DCT Trial - Not Guilty	1/31/01
186	PSA 4	Charges	F - Physical force	8/30/99	DCT Trial - Not Guilty	1/31/01
187	114 Precinct	Instructions	D - Word	8/30/99	Command Discipline 'A'	2/29/00
188	77 Precinct	Command Discipline	F - Physical force	8/30/99	Command Discipline 'A'	3/31/00
188	IAB	Command Discipline	F - Physical force	8/30/99	Command Discipline 'A'	8/31/01
189	106 Precinct	Charges	A - Retaliatory summons	8/30/99	Filed - Retired	2/29/00
190	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number	8/30/99	DCT Trial - Not Guilty	10/31/00
191	77 Precinct	Charges	A - Question and/or stop, Frisk and/or search	8/30/99	Instructions	8/31/01
191	77 Precinct	Charges	A - Question and/or stop, Frisk and/or search	8/30/99	Instructions	8/31/01
191	77 Precinct	Charges	A - Question and/or stop, Frisk and/or search	8/30/99	Instructions	8/31/01
192	40 Precinct	Charges	A - Question and/or stop	8/30/99	Command Discipline 'B'	11/30/99

**Table 49A: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 1999**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
193	47 Precinct	Charges	F - Gun drawn; D - Word; O - Ethnicity	8/30/99	DCT Trial - Not Guilty	1/31/01
194	113 Precinct	Command Discipline	D - Word	9/2/99	Command Discipline 'A'	10/31/99
195	33 Precinct	Command Discipline	A - Question and/or stop	9/2/99	DCT Trial - Not Guilty	10/31/00
195	33 Precinct	Charges	A - Frisk and/or search; D - Action	9/2/99	DCT Trial Guilty - 5 vacation days	10/31/00
196	Intelligence Division	Charges	A - Falsified criminal complaint	9/2/99	Statute of Limitations expired	1/31/01
197	113 Precinct	Command Discipline	F - Physical force	9/2/99	Command Discipline 'A'	11/30/99
198	19 Precinct	Command Discipline	A - Threat of arrest; D - Word	9/2/99	Command Discipline 'B'	2/29/00
199	88th Precinct Detective Squad	Charges	A - Threat of force	9/23/99	Instructions	2/29/00
200	30 Precinct	Charges	A - Frisk and/or search	9/23/99	Command Discipline 'B'	4/30/00
200	30 Precinct	Charges	A - Vehicle search; D - Word	9/23/99	Command Discipline 'B'	4/30/00
201	Warrant Section (Division)	Charges	A - Premises entered and/or searched	9/23/99	Instructions	6/30/00
201	Warrant Section (Division)	Charges	A - Premises entered and/or searched	9/23/99	Instructions	6/30/00
202	71 Precinct	Charges	D - Word	9/23/99	Instructions	12/31/99
203	79 Precinct	Charges	F - Forcibly transported complainant	9/23/99	Command Discipline 'B'	1/31/00
203	79 Precinct	Charges	F - Forcibly transported complainant	9/23/99	Command Discipline 'B'	1/31/00
204	Queens Narcotics	Charges	F - Physical force, Radio as club; A - Frisk and/or search, Refusal to give name/shield number	9/24/99	DCT Trial Guilty - 20 vacation days	6/30/01
205	Warrant Section (Division)	Command Discipline	D - Word	9/24/99	Command Discipline 'A'	3/31/00
206	Undetermined	Command Discipline	A - Frisk and/or search	9/24/99	Command Discipline 'B'	3/31/00
207	Detective Bureau Brooklyn South Units	Command Discipline	A - Premises entered and/or searched	9/24/99	DCT Trial - Not Guilty	8/31/01
207	Detective Bureau Brooklyn South Units	Command Discipline	A - Premises entered and/or searched	9/24/99	DCT Trial - Not Guilty	8/31/01
207	Patrol Boro Brooklyn South ACI	Command Discipline	A - Threat to property; D - Word	9/24/99	Command Discipline 'B'	2/29/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
208	40 Precinct	Charges	F - Physical force; A - Frisk and/or search	9/24/99	OATH Trial Guilty - 30 suspension days	2/28/01
209	120th Precinct Detective Squad	Command Discipline	D - Word	9/24/99	Command Discipline 'A'	11/30/99
209	Patrol Boro SI Detective Ops	Command Discipline	A - Threat of force	9/24/99	Command Discipline 'A'	11/30/99
210	88 Precinct	Instructions	F - Physical force	9/24/99	Instructions	2/29/00
211	76 Precinct	Command Discipline	A - Refusal to obtain medical treatment	9/30/99	DCT - Charges Dismissed	11/30/01
211	79 Precinct	Command Discipline	A - Refusal to obtain medical treatment	9/30/99	DCT Trial - Not Guilty	12/31/01
211	Bronx Narcotics	Command Discipline	A - Refusal to obtain medical treatment	9/30/99	DCT - Charges Dismissed	11/30/01
212	Detective Bureau Manhattan Units	Command Discipline	A - Refusal to give name/shield number	9/30/99	Filed - Retired	5/31/01
212	Detective Bureau Manhattan Units	Command Discipline	A - Refusal to give name/shield number	9/30/99	Filed - Retired	7/31/01
213	Highway Unit #2	Command Discipline	A - Threat of force	9/30/99	DCT Negotiation Guilty - Command Discipline 'B'	1/31/04
214	Bronx Narcotics	Command Discipline	A - Threat of arrest	9/30/99	Instructions	5/31/00
215	Detective Bureau Brooklyn South Units	Instructions	A - Question and/or stop, Frisk and/or search	9/30/99	DCT Trial Guilty - 5 vacation days	11/30/00
216	52 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	9/30/99	Department Unable to Prosecute	5/31/00
217	104 Precinct	Charges	F - Vehicle as weapon	9/30/99	Command Discipline 'A'	12/31/00
218	Brooklyn Narc. District	Charges	F - Physical force; D - Threat of arrest; O - Ethnicity	9/30/99	DCT Trial Guilty - 20 vacation days	7/31/01
219	TB DT33	Command Discipline	D - Word	9/30/99	Command Discipline 'A'	2/29/00
220	PSA 7	Instructions	D - Word	9/30/99	Command Discipline 'A'	2/29/00
221	Patrol Boro Queens North ACI	Charges	A - Question and/or stop, Frisk and/or search	10/22/99	DCT Negotiation Guilty - 15 vacation days	7/31/01
221	Patrol Boro Queens North ACI	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search	10/22/99	DCT Trial Guilty - 5 vacation days	7/31/01

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
222	Brooklyn South Narcotics	Command Discipline	D - Word	10/22/99	DCT Negotiation Guilty - 10 vacation days	6/28/02
223	78 Precinct	Charges	A - Threat of force; D - Word; O - Ethnicity	10/22/99	OATH Trial Guilty - 25 vacation days	3/31/01
224	SI Narcotics	Charges	F - Physical force; A - Threat of force	10/26/99	DCT Trial Guilty - 25 vacation days	3/31/01
225	33 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	10/26/99	OATH Trial Guilty - 2 suspension days	12/31/00
226	50 Precinct	Command Discipline	A - Threat of force; D - Word	10/26/99	Instructions	2/29/00
226	50 Precinct	Command Discipline	D - Word	10/26/99	Instructions	2/29/00
227	Bronx Narcotics	Charges	F - Physical force	10/26/99	DCT Trial - Not Guilty	9/30/02
228	5 Precinct	Instructions	D - Word	10/26/99	Command Discipline 'A'	2/29/00
229	Manhattan Narcotics	Charges	A - Question and/or stop	10/27/99	Command Discipline 'B'	2/29/00
229	Manhattan Narcotics	Charges	A - Question and/or stop	10/27/99	Filed - Resigned	3/31/00
230	TB DT12	Charges	F - Physical force, Hit against inanimate object	10/27/99	OATH Trial Guilty - 10 suspension days	8/31/00
231	TB DT01	Charges	F - Physical force	10/27/99	Command Discipline 'B'	6/30/00
232	TB Manhattan TF	Charges	A - Retaliatory arrest	10/27/99	Instructions	2/29/00
233	Bronx Narcotics	Charges	A - Property damaged	10/27/99	Instructions	12/31/99
234	34 Precinct	Charges	A - Tried to use PD status to void summons	10/27/99	OATH Negotiation Guilty - 10 vacation days	10/31/00
235	1 Precinct	Charges	A - Threat of arrest; D - Word	10/27/99	Command Discipline 'B'	2/29/00
236	SI Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	11/10/99	Command Discipline 'B'	5/31/00
236	SI Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	11/10/99	DCT Negotiation Guilty - 15 vacation days	10/31/00
236	SI Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	11/10/99	DCT Trial - Not Guilty	12/31/01

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
237	75 Precinct	Instructions	A - Premises entered and/or searched	11/16/99	Instructions	4/30/00
237	75 Precinct	Instructions	A - Premises entered and/or searched	11/16/99	Instructions	4/30/00
237	75 Precinct	Instructions	A - Premises entered and/or searched	11/16/99	Instructions	4/30/00
237	75 Precinct	Instructions	A - Premises entered and/or searched	11/16/99	Instructions	4/30/00
237	75 Precinct	Instructions	A - Premises entered and/or searched	11/16/99	Instructions	4/30/00
238	50 Precinct	Command Discipline	A - Vehicle search	11/16/99	Command Discipline 'A'	3/31/00
238	50 Precinct	Command Discipline	A - Frisk and/or search	11/16/99	Instructions	2/29/00
239	32 Precinct	Charges	A - Threat of force; D - Word	11/16/99	DCT Negotiation Guilty - 15 vacation days	11/30/00
240	72 Precinct	Command Discipline	D - Word	11/30/99	Command Discipline 'B'	8/31/00
241	34 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	11/30/99	Command Discipline 'B'	2/29/00
242	113 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	11/30/99	DCT - Charges Dismissed	10/31/00
243	TB DT01	Command Discipline	O - Ethnicity	11/30/99	DCT Trial - Not Guilty	3/31/02
244	77 Precinct	Command Discipline	F - Flashlight as club; A - Threat of summons; D - Word	11/30/99	OATH Trial - Not Guilty	8/31/00
245	68 Precinct	Command Discipline	F - Physical force	11/30/99	OATH Trial Guilty - 15 suspension days	3/31/01
246	Manhattan Traffic TF	Command Discipline	A - Retaliatory summons; D - Word	11/30/99	Instructions	2/29/00
247	13 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	11/30/99	Instructions	2/29/00
248	Bronx Narcotics	Command Discipline	A - Question and/or stop, Frisk and/or search	12/2/99	Instructions	2/29/00
248	Manhattan Narcotics	Command Discipline	A - Question and/or stop, Frisk and/or search	12/2/99	Command Discipline 'B'	3/31/00
249	SI Narcotics	Charges	F - Physical force; A - Refusal to obtain medical treatment; D - Word	12/2/99	DCT Negotiation Guilty - 15 vacation days	10/31/00
249	SI Narcotics	Charges	F - Physical force; A - Indecent exposure of complainant, Refusal to obtain medical treatment; D - Word	12/2/99	DCT Trial - Not Guilty	12/31/01
250	88 Precinct	Charges	A - Question and/or stop, Frisk and/or search	12/2/99	Command Discipline 'A'	3/31/00
250	88 Precinct	Charges	A - Question and/or stop, Frisk and/or search	12/2/99	Command Discipline 'A'	3/31/00

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
251	109 Precinct	Charges	A - Question and/or stop	12/21/99	OATH Trial - Not Guilty	8/31/00
252	Patrol Boro Bronx HQ	Charges	A - Question and/or stop, Frisk and/or search	12/21/99	Command Discipline 'B'	4/30/00
252	Patrol Boro Bronx HQ	Charges	A - Question and/or stop, Frisk and/or search	12/21/99	Command Discipline 'B'	4/30/00
252	Patrol Boro Bronx HQ	Charges	A - Vehicle stop & search	12/21/99	Command Discipline 'B'	4/30/00
253	61 Precinct	Charges	A - Improper eviction from apartment	12/21/99	Command Discipline 'A'	2/29/00
254	78 Precinct	Command Discipline	F - Pepper spray	12/29/99	Command Discipline 'A'	4/30/00
255	28 Precinct	Instructions	A - Vehicle search	12/29/99	Command Discipline 'B'	3/31/01
255	28 Precinct	Instructions	A - Vehicle search	12/29/99	Command Discipline 'B'	3/31/01
256	67 Precinct	Charges	F - Handcuffs as club	12/29/99	OATH Trial Guilty - Terminated	1/31/01
257	PSA 8	Charges	F - Physical force; A - Frisk and/or search, Refusal to obtain medical treatment, Retaliatory summonses	12/29/99	DCT Trial - Not Guilty	4/30/02
258	Manhattan Narcotics	Command Discipline	A - Question and/or stop	12/29/99	Instructions	3/31/00
258	Manhattan Narcotics	Command Discipline	A - Question and/or stop	12/29/99	Instructions	3/31/00
259	77 Precinct	Command Discipline	D - Word	12/29/99	Command Discipline 'A'	8/31/01
260	Missing Persons Squad	Instructions	D - Word, Demeanor/tone	12/29/99	Instructions	6/30/00
261	30 Precinct	Charges	A - False statement, Providing false name & shield	12/30/99	DCT Negotiation Guilty - 10 vacation days	8/31/00
261	PSA 8	Charges	F - Physical force, Squeezed genitals; A - Frisk and/or search, Improper statement, Provided wrong name & shield; D - Word, Demeanor/tone	12/30/99	OATH Trial Guilty - 20 suspension days	8/31/01
262	Manhattan Traffic TF	Command Discipline	A - Question and/or stop	12/30/99	Instructions	2/29/00
263	120 Precinct	Charges	F - Physical force	12/30/99	Filed - Terminated	2/29/00
264	107 Precinct	Charges	A - Strip search	12/30/99	DCT Trial - Not Guilty	2/28/01
264	Queens Narcotics	Charges	A - Threat of force, Retaliatory arrest; D - Word	12/30/99	DCT Trial Guilty - 5 vacation days	2/28/01
264	Queens Narcotics	Charges	F - Vehicle, Gun Drawn; A - Threat of force, Retaliatory arrest; D - Word	12/30/99	DCT Trial Guilty - 5 vacation days	2/28/01

Table 49A: Police Department Discipline and Punishment on CCRB Cases Substantiated in 1999

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
265	79 Precinct	Charges	A - Frisk and/or search	12/30/99	Command Discipline 'B'	3/31/00
266	101 Precinct	Charges	F - Radio as club; D - Word	12/30/99	OATH Trial - Not Guilty	2/28/01
266	101 Precinct	Charges	F - Physical force	12/30/99	OATH Trial - Not Guilty	2/28/01
267	Patrol Boro Queens South ACI	Charges	F - Physical force; A - Premise search	12/30/99	DCT Trial - Not Guilty	4/30/02
268	69 Precinct	Instructions	A - Refusal to give name/shield number	12/30/99	Command Discipline 'B'	5/31/00
269	73 Precinct	Charges	A - Gun drawn	12/30/99	Command Discipline 'B'	5/31/00
270	43 Precinct	Command Discipline	A - Retaliatory summons; D - Word, Gesture	12/30/99	Command Discipline 'B'	3/31/00
271	83 Precinct	Charges	A - Vehicle search	12/30/99	Filed - Retired	3/31/00
272	49 Precinct	Command Discipline	A - Threat of arrest; D - Word	12/30/99	OATH Trial - Not Guilty	10/31/00
273	TB Manhattan TF	Instructions	D - Demeanor/tone	12/30/99	Command Discipline 'A'	5/31/00
273	TB Manhattan TF	Instructions	D - Demeanor/tone	12/30/99	Command Discipline 'A'	5/31/00
274	Midtown South Precinct	Charges	A - Gun drawn	12/30/99	OATH Negotiation Guilty - 10 vacation days	10/31/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number*	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition**	NYPD Closure Date
1	68 Precinct	Command Discipline	A - Indecent exposure of complainant, Refusal to obtain medical treatment	1/11/00	Command Discipline 'B'	4/30/01
2	Manhattan Narcotics	Command Discipline	A - Failure to safeguard property	1/11/00	Command Discipline 'A'	3/31/00
3	33 Precinct	Charges	F - Flashlight as club, Physical force	1/11/00	OATH Trial Guilty - 20 suspension days	12/31/00
4	Patrol Boro Manhattan South Task Force	Instructions	A - Property damaged	1/11/00	Command Discipline 'A'	3/31/00
5	49 Precinct	Charges	F - Hit against inanimate object; A - Threat of force; D - Word	1/11/00	OATH Trial Guilty - 5 vacation days	6/30/01
6	48 Precinct	Command Discipline	A - Threat of force	1/11/00	Instructions	6/30/02
7	115 Precinct	Command Discipline	A - Removal of complainant from precinct; D - Word	1/11/00	Command Discipline 'B'	7/31/00
8	Detective Bureau Manhattan Units	Charges	A - Threat of force; D - Word, Gesture; O - Sex	1/11/00	DCT Trial - Not Guilty	12/31/01
9	94 Precinct	Charges	F - Physical force	1/11/00	OATH Trial - Not Guilty	8/31/01
10	TB DT32	Instructions	A - Frisk and/or search	1/11/00	Command Discipline 'B'	5/31/00
11	7 Precinct	Command Discipline	A - Threat to property; D - Word	1/11/00	Command Discipline 'A'	8/31/00
12	PSA 3	Command Discipline	A - Premises entered and/or searched	1/21/00	Instructions	6/30/00
12	PSA 3	Command Discipline	A - Premises entered and/or searched	1/21/00	Instructions	6/30/00
13	Detective Bureau Queens Units	Instructions	D - Word	1/21/00	Filed	3/31/00
14	77 Precinct	Command Discipline	F - Physical force; A - Threat of force; D - Word	1/21/00	OATH Trial Guilty - 18 suspension days	10/31/00
15	41 Precinct	Charges	A - Refusal to process complaint	2/22/00	Command Discipline 'A'	5/31/00
16	102 Precinct	Charges	A - Failure to identify self	2/22/00	OATH Trial Guilty - 2 suspension days	4/30/01
17	28 Precinct	Charges	D - Word	2/22/00	Command Discipline 'A'	6/30/00

* A repeated sequence number indicates that the CCRB substantiated allegations against more than one officer based on a single complaint.

** OATH is the Office of Administrative Trials and Hearings; DCT is the NYPD's Deputy Commissioner for Trials. See Glossary.

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
18	Patrol Boro Manhattan South ACI	Charges	A - Threat to property; D - Word	2/25/00	Command Discipline 'A'	3/31/00
19	TB DT32	Command Discipline	D - Word	2/25/00	Instructions	4/30/00
20	52 Precinct	Charges	F - Physical force; D - Word, Gesture	2/25/00	OATH Negotiation Guilty - 5 vacation days	10/31/00
21	90 Precinct	Charges	A - Retaliatory summons	2/25/00	Instructions	6/30/00
22	94 Precinct	Charges	F - Physical force; A - Refusal to give name/shield number; D - Word	2/25/00	OATH Trial - Not Guilty	1/31/01
23	SI Narcotics	Instructions	A - Refusal to give name/shield number	2/28/00	Command Discipline 'B'	6/30/00
23	SI Narcotics	Instructions	A - Refusal to give name/shield number	2/28/00	DCT Trial - Not Guilty	4/30/01
24	60 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Vehicle search, Threat of force	2/28/00	OATH Trial - Not Guilty	7/31/01
25	94 Precinct	Charges	F - Physical force, Radio as club	2/28/00	OATH Trial - Not Guilty	1/31/01
26	Manhattan Narcotics	Command Discipline	A - Premises entered and/or searched	2/28/00	DCT - Charges Dismissed	3/31/02
26	Manhattan Narcotics	Command Discipline	A - Premises entered and/or searched	2/28/00	Department Unable to Prosecute	1/31/01
27	13 Precinct	Charges	F - Physical force	3/20/00	DCT Trial Guilty - 20 vacation days	6/30/01
28	Gang Units	Charges	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	3/20/00	DCT Trial - Not Guilty	4/30/02
28	Gang Units	Charges	A - Providing false name & shield, Frisk and/or search	3/20/00	DCT Trial Guilty - 20 vacation days	4/30/02
29	IAB	Charges	F - Chokehold; A - Question and/or stop	3/20/00	DCT Trial Guilty - 15 vacation days	5/31/02
29	67 Precinct	Charges	F - Chokehold, Physical force; A - Question and/or stop	3/20/00	DCT Trial Guilty - 25 vacation days	5/31/02
30	SI Court Section	Command Discipline	F - Physical force; D - Word	3/20/00	OATH Trial Guilty - 12 suspension days	11/30/00
31	Manhattan Narcotics	Command Discipline	A - Refusal to give name/shield number	3/20/00	DCT Negotiation Guilty - 5 vacation days	2/28/01
32	75 Precinct	Charges	A - Vehicle search	3/20/00	Instructions	4/30/00
33	Gang Units	Instructions	A - Frisk and/or search	3/28/00	DCT Trial Guilty - 5 vacation days	12/31/01
33	Patrol Boro Bronx ACI	Instructions	F - Physical force; A - Frisk and/or search	3/28/00	DCT Trial Guilty - 5 vacation days	12/31/01

**Table 49B: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2000**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
34	Cold Case Apprehension Squad	Instructions	D - Word	3/28/00	Department Unable to Prosecute	6/30/00
35	33 Precinct	Charges	A - Frisk and/or search	3/28/00	DCT Trial - Not Guilty	2/28/01
35	33 Precinct	Charges	A - Frisk and/or search	3/28/00	Instructions	4/30/02
36	75 Precinct	Charges	A - Frisk and/or search	3/28/00	Command Discipline 'A'	7/31/00
36	Patrol Boro Brooklyn North ACI	Charges	D - Word	3/28/00	Command Discipline 'A'	7/31/00
37	61 Precinct	Command Discipline	F - Hit against inanimate object; A - Refusal to obtain medical treatment	3/28/00	Command Discipline 'B'	12/31/00
38	Manhattan Narcotics	Command Discipline	A - Question and/or stop	3/28/00	Instructions	6/30/00
38	Manhattan Narcotics	Command Discipline	A - Question and/or stop	3/28/00	Instructions	6/30/00
39	SI Narcotics	Command Discipline	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	3/28/00	DCT - Charges Dismissed	4/30/02
39	SI Narcotics	Command Discipline	A - Question and/or stop, Frisk and/or search	3/28/00	Department Unable to Prosecute	10/31/02
40	81 Precinct	Charges	F - Physical force	3/28/00	DCT Trial - Not Guilty	7/31/02
41	103 Precinct	Command Discipline	F - Gun fired	3/28/00	Filed	2/28/01
42	TB DT02	Charges	F - Physical force; A - Refusal to give name/shield number; D - Word	3/28/00	DCT Trial - Not Guilty	8/31/00
43	10 Precinct	Instructions	D - Word	3/28/00	Command Discipline 'B'	1/31/01
43	10 Precinct	Instructions	F - Physical force, Radio as club; D - Word	3/28/00	OATH Negotiation Guilty - 5 vacation days	10/31/00
44	Undetermined	No Recommendation	F - Physical force, Nightstick as club	3/31/00	Department Employee Unidentified	4/20/00
45	Undetermined	No Recommendation	F - Physical force, Animal	3/31/00	Department Employee Unidentified	4/20/00
46	Undetermined	No Recommendation	A - Question and/or stop	3/31/00	Department Employee Unidentified	4/20/00
46	Patrol Boro Bronx Task Force	No Recommendation	A - Question and/or stop	3/31/00	Instructions	6/30/00
46	Patrol Boro Bronx Task Force	No Recommendation	A - Question and/or stop	3/31/00	Instructions	6/30/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
47	44 Precinct	Command Discipline	D - Word	3/31/00	Command Discipline 'A'	9/30/00
48	Chief of Department	Charges	F - Physical force; A - Retaliatory arrest	3/31/00	Instructions	5/31/00
49	Undetermined	No Recommendation	F - Physical force, Nightstick as club	3/31/00	Department Employee Unidentified	4/20/00
50	Undetermined	No Recommendation	A - Question and/or stop	3/31/00	Department Employee Unidentified	4/20/00
50	Patrol Boro Bronx Task Force	No Recommendation	A - Question and/or stop	3/31/00	Instructions	4/20/00
50	Patrol Boro Brooklyn South Task Force	No Recommendation	A - Question and/or stop	3/31/00	Instructions	4/20/00
51	40 Precinct	Charges	F - Physical force	3/31/00	OATH Trial - Not Guilty	12/31/00
52	Brooklyn South Narcotics	Charges	F - Gun as club, Physical force; A - Threat of arrest	4/12/00	DCT Negotiation Guilty- 30 vacation days	12/31/01
52	IAB	Charges	A - Refusal to process complaint	4/12/00	Statute of Limitations expired	2/28/01
53	Warrant Section (Division)	Charges	A - Property damaged, Refusal to call 911; D - Word	4/12/00	Statute of Limitations expired	3/31/01
54	Patrol Boro Brooklyn South Task Force	Charges	A - Coercion	4/12/00	Instructions	6/30/00
54	Patrol Boro Brooklyn South Task Force	Charges	A - Retaliatory summons	4/12/00	Instructions	6/30/00
55	113 Precinct	Charges	A - Threat of force; D - Word	4/12/00	Command Discipline 'B'	1/31/01
56	Patrol Boro Manhattan South Task Force	Charges	A - Question and/or stop	4/12/00	Department Employee Unidentified	4/20/00
57	43 Precinct	Charges	A - Vehicle stop	4/12/00	DCT Trial Guilty - 10 vacation days	5/31/02
57	43 Precinct	Charges	A - Vehicle stop	4/12/00	DCT Trial Guilty - 5 vacation days	5/31/02
57	43 Precinct	Charges	A - Vehicle stop	4/12/00	DCT Trial Guilty - 5 vacation days	5/31/02
58	13 Precinct	Charges	D - Word	4/12/00	DCT Trial - Not Guilty	12/31/01
58	13 Precinct	Charges	D - Word	4/12/00	DCT Trial Guilty - 5 vacation days	12/31/01

**Table 49B: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2000**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
59	100 Precinct	Command Discipline	O - Ethnicity	4/12/00	Instructions	5/31/00
60	Brooklyn South Narcotics	Charges	F - Physical force; D - Word	4/27/00	DCT Trial - Not Guilty	5/31/02
61	7 Precinct	Command Discipline	A - Frisk and/or search	4/27/00	Instructions	6/30/00
61	7 Precinct	Command Discipline	A - Frisk and/or search	4/27/00	Instructions	6/30/00
61	7 Precinct	Command Discipline	A - Frisk and/or search	4/27/00	Instructions	6/30/00
62	33 Precinct	Charges	F - Physical force; A - Retaliatory arrest	4/27/00	OATH Negotiation Guilty - 5 vacation days	2/28/01
63	PSA 7	Instructions	A - Frisk and/or search	4/27/00	Instructions	6/30/00
64	TB DT32	Instructions	D - Word	4/27/00	DCT Negotiation Guilty - 15 vacation days	11/30/00
65	79 Precinct	Command Discipline	A - Premise search	4/27/00	DCT Trial - Not Guilty	4/30/01
66	PSA 7	Charges	A - Frisk and/or search	5/4/00	Instructions	6/30/00
67	7 Precinct	Instructions	D - Caused asthma attack by smoking cigar	5/22/00	Command Discipline 'A'	9/30/00
68	75 Precinct	Charges	F - Physical force	5/22/00	OATH Negotiation Guilty - 10 vacation days	2/28/01
69	45 Precinct	Command Discipline	D - Word	5/22/00	Command Discipline 'B'	8/31/00
70	25 Precinct	Charges	A - Question and/or stop	5/25/00	Instructions	7/31/00
71	Intelligence Division	Command Discipline	A - Threat of arrest, Refusal to give name/shield number	5/25/00	Command Discipline 'B'	8/31/00
72	Patrol Boro Queens South Task Force	Charges	A - Question and/or stop, Frisk and/or search	5/25/00	Command Discipline 'B'	1/31/03
72	Patrol Boro Queens South Task Force	Charges	A - Question and/or stop, Frisk and/or search	5/25/00	OATH Negotiation Guilty - Command Discipline 'B'	9/30/00
73	67 Precinct	Command Discipline	O - Ethnicity	5/25/00	OATH Trial - Not Guilty	3/31/01
74	71 Precinct	Command Discipline	A - Vehicle search	5/25/00	Instructions	7/31/00
75	72 Precinct	Charges	F - Pepper spray, Nightstick as club	5/25/00	OATH Trial - Not Guilty	7/31/01
76	52 Precinct	Command Discipline	A - Question and/or stop	5/25/00	Instructions	2/28/01
77	Highway Unit #1	Charges	A - Threat to property; D - Word	5/25/00	Command Discipline 'A'	7/31/00
78	77 Precinct	Command Discipline	F - Physical force	5/25/00	Instructions	9/30/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
79	114 Precinct	Command Discipline	F - Physical force; A - Threat of force	5/25/00	OATH Negotiation Guilty - 5 vacation days	3/31/01
79	114 Precinct	Command Discipline	F - Physical force; A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	5/25/00	OATH Negotiation Guilty - 5 vacation days	3/31/01
80	Mounted Unit	Command Discipline	F - Physical force; D - Word	5/30/00	OATH Trial Guilty - 10 vacation days	8/31/01
81	Brooklyn South Narcotics	Instructions	A - Frisk and/or search	5/30/00	Instructions	6/30/02
82	Patrol Boro Brooklyn South Task Force	Charges	F - Struck with car door; A - Threat of arrest	5/30/00	OATH Negotiation Guilty - 10 vacation days	4/30/01
83	Bronx Narcotics	Command Discipline	A - Failure to identify self	6/20/00	Statute of Limitations expired	7/31/00
84	PSA 2	Command Discipline	A - Question and/or stop, Retaliatory arrest	6/20/00	Instructions	7/31/00
85	Manhattan Traffic TF	Instructions	D - Word	6/20/00	Instructions	8/31/00
86	90 Precinct	Command Discipline	A - Premise search, Threat to property, Threat of arrest, Threat to seize property	6/20/00	Command Discipline 'A'	10/31/00
87	Patrol Boro Brooklyn North Task Force	Command Discipline	A - Retaliatory arrest	6/26/00	DCT - Charges Dismissed	1/31/01
87	75 Precinct	Instructions	A - Strip search	6/26/00	DCT Trial - Not Guilty	6/30/01
87	83 Precinct	Command Discipline	A - Strip search	6/26/00	DCT Trial - Not Guilty	6/30/01
88	30 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	6/26/00	DCT - Charges Dismissed	12/31/01
89	Warrant Section (Division)	Instructions	A - Threat to property	6/26/00	Filed - Retired	1/31/01
90	SI Narcotics	Charges	A - Question and/or stop	6/30/00	DCT Trial - Not Guilty	4/30/02
90	SI Narcotics	Charges	D - Word	6/30/00	DCT Trial - Not Guilty	4/30/02
90	SI Narcotics	Charges	F - Physical force; A - Refusal to give name/shield number	6/30/00	Filed - Retired	12/31/01
91	Manhattan Narcotics	Charges	A - Gun pointed, Frisk and/or search; D - Word	6/30/00	Command Discipline 'B'	9/30/00
91	Manhattan Narcotics	Charges	A - Gun pointed, Vehicle search	6/30/00	Command Discipline 'B'	9/30/00
91	Manhattan Narcotics	Charges	A - Vehicle stop, Frisk and/or search	6/30/00	Command Discipline 'B'	9/30/00

**Table 49B: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2000**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
92	50 Precinct	Charges	F - Physical force, Nightstick as club; A - Threat of force; D - Word	7/11/00	DCT Trial Guilty - 20 vacation days	4/30/02
93	Patrol Boro Queens South ACI	Instructions	A - Vehicle search	7/11/00	Instructions	8/31/00
94	67 Precinct	Command Discipline	D - Word	7/11/00	Command Discipline 'A'	11/30/00
95	30 Precinct	Instructions	A - Premise search	7/11/00	Command Discipline 'A'	1/31/01
96	68 Precinct	Command Discipline	D - Demeanor/tone, Action	7/11/00	Command Discipline 'A'	1/31/01
97	TB DT01	Command Discipline	D - Other	7/11/00	Command Discipline 'A'	2/28/01
98	48 Precinct	Command Discipline	A - Refusal to process complaint	7/11/00	Command Discipline 'A'	5/31/01
99	34 Precinct	Command Discipline	A - Other	7/19/00	Instructions	6/30/02
100	34 Precinct	Charges	O - Sex	7/26/00	Statute of Limitations expired	12/31/01
101	34 Precinct	Charges	A - Premises entered and/or searched	7/26/00	Statute of Limitations expired	4/30/01
102	110 Precinct	Charges	A - Property damaged	7/26/00	Filed	8/31/00
103	PSA 5	Charges	A - Frisk and/or search	7/27/00	DCT - Charges Dismissed	6/30/01
104	25 Precinct	Charges	F - Physical force	7/27/00	DCT Trial - Not Guilty	4/30/02
105	111 Precinct	Charges	A - Other	7/27/00	OATH Negotiation Guilty - 10 vacation days	1/31/01
106	Surface Transportation Enf. Div. (STED)	Charges	D - Word, Action	7/27/00	Command Discipline 'B'	10/31/00
107	Patrol Boro Queens North ACI	Charges	A - Frisk and/or search; D - Word	7/27/00	DCT Trial - Not Guilty	10/31/02
107	Patrol Boro Queens North ACI	Charges	A - Frisk and/or search, Vehicle search	7/27/00	DCT Trial Guilty - Instructions	10/31/02
108	13 Precinct	Command Discipline	A - Question and/or stop, Other	7/27/00	Command Discipline 'A'	9/30/00
109	100 Precinct	Command Discipline	A - Threat of summons	7/27/00	Statute of Limitations expired	12/31/01
110	Patrol Boro Manhattan South Task Force	Charges	A - Threat of force; D - Word, Action	7/27/00	Command Discipline 'B'	1/31/01
111	104 Precinct	Charges	D - Demeanor/tone	7/27/00	Command Discipline 'A'	10/31/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
112	30 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Vehicle search; D - Word	7/27/00	Instructions	11/30/00
113	46 Precinct	Charges	F - Vehicle	7/27/00	DCT Negotiation Guilty - 5 vacation days	4/30/01
114	109 Precinct	Command Discipline	D - Word	7/27/00	Command Discipline 'B'	11/30/00
115	52 Precinct	Charges	F - Physical force	8/21/00	Command Discipline 'B'	2/28/01
116	113 Precinct	Charges	A - Other	8/21/00	DCT Trial - Not Guilty	12/31/01
116	113 Precinct	Charges	F - Pepper spray; A - Other	8/21/00	DCT Trial Guilty - 10 vacation days	12/31/01
117	33 Precinct	Charges	F - Physical force	8/21/00	DCT - Charges Dismissed	4/30/02
118	79 Precinct	Instructions	A - Property damaged	8/21/00	Instructions	11/30/00
119	Patrol Boro Brooklyn South ACI	Instructions	A - Frisk and/or search	8/21/00	Instructions	11/30/00
119	Patrol Boro Brooklyn South ACI	Instructions	A - Frisk and/or search	8/21/00	Instructions	11/30/00
120	75 Precinct	Charges	A - Frisk and/or search, Refusal to give name/shield number	8/21/00	Command Discipline 'B'	2/28/01
120	75 Precinct	Charges	A - Frisk and/or search, Refusal to give name/shield number	8/21/00	Command Discipline 'B'	2/28/01
121	6 Precinct	Command Discipline	D - Other	8/21/00	Command Discipline 'A'	2/28/01
122	71 Precinct	Command Discipline	A - Frisk and/or search	8/21/00	Command Discipline 'A'	12/31/00
122	71 Precinct	Command Discipline	A - Question and/or stop	8/21/00	Command Discipline 'A'	12/31/00
123	73 Precinct	Charges	A - Threat of arrest, Other	8/21/00	DCT - Charges Dismissed	7/31/01
124	Manhattan Traffic TF	Charges	A - Gun pointed/gun drawn	8/21/00	Department Unable to Prosecute	6/30/01
125	46 Precinct	Charges	A - Premises entered and/or searched; D - Demeanor tone	8/21/00	DCT Trial - Not Guilty	12/31/01
125	Detective Bureau Bronx Units	Charges	A - Premises entered and/or searched	8/21/00	DCT Trial - Not Guilty	12/31/01
126	67 Precinct	Command Discipline	A - Question and/or stop	8/21/00	Instructions	11/30/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
127	101 Precinct	Command Discipline	F - Physical force	8/21/00	OATH - Charges Dismissed	1/31/03
127	101 Precinct	Command Discipline	F - Physical force; A - Question and/or stop, Frisk and/or search	8/21/00	OATH - Charges Dismissed	1/31/03
128	77 Precinct	Instructions	A - Frisk and/or search	8/21/00	Instructions	11/30/00
129	Detective Bureau Queens Units	Command Discipline	D - Word	8/21/00	Instructions	6/30/01
129	Detective Bureau Queens Units	Command Discipline	D - Word	8/21/00	Instructions	6/30/01
130	67 Precinct	Command Discipline	D - Word	8/25/00	Command Discipline 'B'	12/31/01
131	Brooklyn Narc. District	Command Discipline	A - Question and/or stop	8/25/00	Instructions	11/30/00
132	44 Precinct	Instructions	D - Word	8/25/00	Command Discipline 'A'	2/28/01
133	Queens Narcotics	Charges	A - Frisk and/or search, Vehicle search, Gun pointed/gun drawn, Threat of force	9/28/00	DCT Trial Guilty - 10 vacation days	12/31/01
133	Queens Narcotics	Charges	F - Other; A - Vehicle search; D - Word	9/28/00	Filed - Retired	12/31/00
134	Warrant Section (Division)	Command Discipline	F - Physical force	9/28/00	Command Discipline 'B'	5/31/01
135	TB DT02	Instructions	A - Threat of arrest	9/28/00	Instructions	1/31/01
136	109 Precinct	Instructions	A - Vehicle search	9/28/00	Instructions	3/31/01
136	109 Precinct	Instructions	A - Vehicle search; D - Word	9/28/00	Instructions	3/31/01
137	13 Precinct	Command Discipline	F - Physical force; A - Threat of arrest	9/28/00	Command Discipline 'B'	4/30/01
138	67 Precinct	Command Discipline	F - Physical force	9/28/00	Filed - Resigned	3/31/01
139	Manhattan Narcotics	Charges	F - Chokehold	9/29/00	DCT Trial - Not Guilty	6/28/02
140	Detective Bureau Bronx Units	Charges	A - Frisk and/or search	9/29/00	Command Discipline 'B'	4/30/01
141	45 Precinct	Charges	A - Other	9/29/00	Command Discipline 'A'	2/28/01
142	TB DT33	Command Discipline	A - Refusal to give name/shield number	9/29/00	Command Discipline 'B'	4/30/01
142	TB DT33	Command Discipline	A - Refusal to give name/shield number	9/29/00	DCT - Charges Dismissed	8/30/02
143	Brooklyn Narc. District	Charges	A - Frisk and/or search	9/29/00	Command Discipline 'A'	12/31/00
143	Narcotics Boro Brooklyn North	Charges	A - Frisk and/or search	9/29/00	Command Discipline 'A'	12/31/00

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
144	72 Precinct	Charges	A - Threat of arrest; D - Word	9/29/00	Command Discipline 'A'	3/31/01
145	115 Precinct	Charges	A - Frisk and/or search	10/19/00	Command Discipline 'A'	12/31/00
146	Detective Bureau Manhattan Units	Instructions	D - Action	10/19/00	Instructions	1/31/01
147	Manhattan Traffic TF	Charges	A - Other	10/19/00	Filed - Retired	7/31/02
148	30 Precinct	Charges	A - Frisk and/or search	10/19/00	Instructions	12/31/00
148	30 Precinct	Charges	A - Frisk and/or search	10/19/00	Instructions	12/31/00
149	30 Precinct	Charges	F - Physical force; A - Threat of arrest	10/19/00	OATH Trial - Not Guilty	7/31/02
150	S.O.D. HQ	Charges	A - Frisk and/or search	10/19/00	Command Discipline 'B'	1/31/01
151	Manhattan Narcotics	Charges	F - Radio as club	10/19/00	DCT Trial - Not Guilty	7/31/01
152	5 Precinct	Charges	F - Physical force; A - Threat of arrest, Threat of force; D - Word	10/19/00	Command Discipline 'B'	4/30/01
153	81 Precinct	Charges	A - Vehicle stop	10/25/00	DCT Trial Guilty - 10 vacation days	5/31/03
153	81 Precinct	Charges	F - Physical force	10/25/00	OATH Negotiation Guilty - 15 vacation days	4/30/02
154	90th Precinct Detective Squad	Charges	D - Word	10/31/00	Command Discipline 'B'	5/31/01
155	Health Services	Charges	F - Physical force, Other blunt instrument as a club; D - Word	11/8/00	Statute of Limitations expired	2/28/01
156	113 Precinct	Command Discipline	F - Physical force	11/8/00	OATH Trial - Not Guilty	12/31/01
157	Bronx Narcotics	Command Discipline	A - Premises entered and/or searched, Other	11/8/00	Department Unable to Prosecute	4/30/01
158	41 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search; O - Word	11/8/00	Command Discipline 'B'	2/28/01
158	41 Precinct	Command Discipline	A - Question and/or stop, Threat of arrest	11/8/00	Command Discipline 'B'	2/28/01
159	TB DT32	Charges	D - Word	11/8/00	Command Discipline 'A'	1/31/01
160	Manhattan Narcotics	Instructions	A - Frisk and/or search	11/8/00	Command Discipline 'A'	6/30/01

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
161	42 Precinct	Charges	F - Physical force; A - Frisk and/or search, Vehicle search	11/13/00	DCT - Charges Dismissed	6/30/01
161	42 Precinct	Charges	A - Property seized	11/13/00	DCT Trial Guilty - 10 vacation days	4/30/02
161	42 Precinct	Charges	A - Property seized	11/13/00	DCT Trial Guilty - 20 vacation days	4/30/02
161	Undetermined	Command Discipline	D - Word	11/13/00	Department Unable to Prosecute	10/31/02
162	115 Precinct	Charges	A - Premises entered and/or searched	11/13/00	Command Discipline 'A'	5/31/01
162	115 Precinct	Charges	A - Premises entered and/or searched	11/13/00	Command Discipline 'A'	5/31/01
162	115 Precinct	Charges	A - Premises entered and/or searched	11/13/00	Command Discipline 'A'	5/31/01
163	67 Precinct	Charges	A - Frisk and/or search	11/13/00	Instructions	1/31/01
164	46 Precinct	Instructions	A - Refusal to give name/shield number	11/27/00	Command Discipline 'B'	1/31/01
165	73 Precinct	Instructions	A - Other	11/27/00	Instructions	1/31/01
166	Brooklyn South Narcotics	Instructions	A - Question and/or stop	11/27/00	Instructions	6/30/01
167	19 Precinct	Charges	D - Word	11/27/00	Command Discipline 'A'	5/31/01
167	19 Precinct	Charges	D - Word	11/27/00	Command Discipline 'A'	5/31/01
168	Detective Bureau Bronx Units	Command Discipline	A - Premises entered and/or searched	11/29/00	Command Discipline 'A'	4/30/01
168	Detective Bureau Bronx Units	Command Discipline	A - Premises entered and/or searched	11/29/00	Command Discipline 'A'	4/30/01
168	Detective Bureau Bronx Units	Command Discipline	A - Premises entered and/or searched	11/29/00	Filed - Retired	1/31/01
169	52 Precinct	Command Discipline	O - Ethnicity	11/29/00	OATH Trial - Not Guilty	4/30/02
170	Patrol Boro SI TF	Command Discipline	D - Word	11/29/00	Instructions	3/31/01
171	46 Precinct	Instructions	D - Word	11/29/00	Instructions	3/31/01
172	Midtown North Precinct	Command Discipline	A - Threat of force; D - Word	11/29/00	Command Discipline 'A'	1/31/02
173	Bronx Narcotics	Charges	A - Premises entered and/or searched	11/30/00	Department Unable to Prosecute	4/30/01
173	Bronx Narcotics	Charges	A - Premises entered and/or searched	11/30/00	Department Unable to Prosecute	4/30/01

Table 49B: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2000

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
174	25 Precinct	Charges	F - Physical force, Frisk and/or search	11/30/00	OATH Negotiation Guilty - 9 vacation days	11/30/01
175	PSA 4	Charges	A - Property seized; D - Action	11/30/00	Instructions	3/31/01
176	45 Precinct	Charges	A - Other	11/30/00	Command Discipline 'A'	11/30/01
177	102 Precinct	Charges	F - Physical force; A - Other	11/30/00	OATH Trial Guilty - 15 vacation days	12/31/01
178	6 Precinct	Command Discipline	D - Word	11/30/00	Instructions	3/31/01
179	94 Precinct	Charges	F - Physical force; A - Question and/or stop, Threat to damage/seize property, Refusal to give name/shield number; D - Word	12/20/00	Command Discipline 'B'	4/30/01
180	Highway Unit #2	Charges	A - Vehicle stop	12/20/00	Instructions	3/31/01
180	Highway Unit #2	Charges	A - Vehicle stop	12/20/00	Instructions	3/31/01
181	Undetermined	Charges	D - Word; O - Ethnicity	12/20/00	OATH Trial Guilty - 15 suspension days	6/28/02
182	PSA 2	Charges	A - Refusal to give name/shield number	12/20/00	Command Discipline 'B'	7/31/01
183	70 Precinct	Charges	A - Threat of force, Other	12/20/00	Instructions	10/31/01
184	70 Precinct	Charges	D - Word	12/27/00	DCT - Charges Dismissed	4/30/03
185	19 Precinct	Command Discipline	F - Physical force	12/27/00	Command Discipline 'A'	4/30/01
186	47 Precinct	Charges	A - Retaliatory arrest	12/27/00	Instructions	6/30/01
187	Brooklyn South Narcotics	Command Discipline	A - Frisk and/or search	12/27/00	Filed - Retired	7/31/01
188	67 Precinct	Charges	F - Hit against inanimate object; D - Word	12/27/00	DCT Negotiation Guilty - 20 vacation days	9/30/02
189	Bronx Narcotics	Charges	A - Frisk and/or search	12/27/00	Command Discipline 'A'	12/31/01

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number*	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition**	NYPD Closure Date
1	68 Precinct	Charges	F - Physical force, Handcuffs too tight; A - Threat of force; D - Word	1/10/01	Department Unable to Prosecute	11/30/01
2	110 Precinct	Command Discipline	A - Retaliatory summons	1/19/01	Instructions	4/30/01
3	79 Precinct	Command Discipline	A - Frisk and/or search, Refusal to give name/shield number	1/22/01	Command Discipline 'A'	6/30/01
3	79 Precinct	Command Discipline	A - Refusal to give name/shield number	1/22/01	Command Discipline 'A'	6/30/01
3	79 Precinct	Command Discipline	A - Refusal to give name/shield number, Frisk and/or search	1/22/01	Command Discipline 'A'	6/30/01
4	Queens Narcotics	Command Discipline	A - Frisk and/or search, Vehicle stop & search	1/22/01	Instructions	5/31/01
4	Queens Narcotics	Command Discipline	A - Frisk and/or search, Vehicle stop & search	1/22/01	Instructions	5/31/01
5	Detective Bureau Manhattan Units	Command Discipline	D - Word	1/22/01	Command Discipline 'A'	10/31/01
6	Bronx Narcotics	Charges	A - Strip search	1/22/01	DCT - Charges Dismissed	4/30/02
7	9 Precinct	Command Discipline	A - Threat of arrest	1/22/01	Command Discipline 'B'	11/30/01
8	62 Precinct	Charges	D - Word	1/22/01	Command Discipline 'A'	11/30/01
9	Detective Bureau Bronx Units	Charges	A - Threat of force; D - Word	1/25/01	Filed - Retired	1/31/03
10	Warrant Section (Division)	Charges	A - Retaliatory arrest	1/25/01	Department Unable to Prosecute	11/30/03
11	Midtown North Precinct	Charges	D - Word	1/25/01	Command Discipline 'A'	10/31/01
12	100 Precinct	Charges	O - Race	1/25/01	OATH Trial Guilty - 10 vacation days	11/30/01
13	Bronx Narcotics	Charges	D - Word, Action	1/25/01	Instructions	4/30/02
14	115 Precinct	Charges	A - Frisk and/or search	2/13/01	Instructions	5/31/01
15	Gang Units	Charges	A - Vehicle stop	2/13/01	Instructions	6/30/01
16	79th Precinct Detective Squad	Charges	D - Demeanor/tone	2/13/01	Command Discipline 'A'	5/31/01
17	TB Brooklyn TF	Command Discipline	D - Word	2/13/01	Command Discipline 'B'	11/30/01

* A repeated sequence number indicates that the CCRB substantiated allegations against more than one officer based on a single complaint.

** OATH is the Office of Administrative Trials and Hearings; DCT is the NYPD's Deputy Commissioner for Trials. See Glossary.

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
18	33 Precinct	Command Discipline	D - Word	2/13/01	Command Discipline 'A'	11/30/01
19	Patrol Boro Manhattan North ACI	Command Discipline	D - Word	2/13/01	Instructions	10/31/01
20	47 Precinct	Charges	F - Physical force	2/13/01	OATH - Charges Dismissed	2/28/03
20	47 Precinct	Charges	F - Physical force; A - Question and/or stop; D - Word	2/13/01	OATH - Charges Dismissed	2/28/03
21	33 Precinct	Command Discipline	A - Threat of force; D - Word	2/13/01	Command Discipline 'B'	12/31/01
22	PSA 8	Charges	F - Physical force, Nightstick as club; D - Word	2/13/01	DCT Trial - Not Guilty	2/28/03
23	46 Precinct	Charges	A - Frisk and/or search	2/13/01	Command Discipline 'A'	1/31/02
23	46 Precinct	Charges	A - Retaliatory summons, Property seized	2/13/01	Command Discipline 'A'	1/31/02
24	78 Precinct	Charges	A - Frisk and/or search	2/22/01	Command Discipline 'A'	6/30/01
25	Surface Transportation Enf. Div. (STED)	Charges	O - Physical disability	2/22/01	Command Discipline 'A'	11/30/01
26	34 Precinct	Charges	D - Word	2/22/01	Instructions	12/31/01
27	84 Precinct	Charges	F - Physical force	2/22/01	Command Discipline 'B'	1/31/02
28	30 Precinct	Charges	A - Threat of arrest; D - Word	2/22/01	Instructions	11/30/01
28	30 Precinct	Charges	O - Race	2/22/01	Instructions	11/30/01
29	44 Precinct	Charges	A - Refusal to give name/shield number, Vehicle stop, Threat to damage/seize property, Retaliatory summons; D - Demeanor/tone	2/22/01	Filed - Retired	4/30/02
30	Highway Unit #1	Command Discipline	D - Word	2/28/01	Command Discipline 'A'	10/31/01
31	Detective Bureau Manhattan Units	Charges	A - Other	2/28/01	Instructions	11/30/01
31	Detective Bureau Manhattan Units	Charges	A - Other	2/28/01	Instructions	11/30/01
32	112 Precinct	Command Discipline	A - Refusal to obtain medical treatment	2/28/01	Instructions	12/31/01
32	112 Precinct	Command Discipline	A - Refusal to obtain medical treatment	2/28/01	Instructions	12/31/01

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
33	Queens Narcotics	Charges	F - Physical force	2/28/01	DCT Trial - Not Guilty	4/30/03
34	Manhattan Narcotics	Command Discipline	A - Refusal to obtain medical treatment	3/21/01	Department Unable to Prosecute	5/31/02
35	67 Precinct	Charges	A - Gun pointed/drawn, Threat of force; D - Gesture, Word	3/23/01	DCT Trial - Not Guilty	1/31/03
36	PSA 4	Instructions	F - Physical force	3/23/01	Instructions	11/30/01
37	110 Precinct	Instructions	D - Other	3/23/01	Instructions	12/31/01
38	SI Narcotics	Charges	A - Frisk and/or search, Vehicle search, Retaliatory arrest	3/28/01	DCT Trial Guilty - Instructions	7/31/03
38	SI Narcotics	Charges	A - Retaliatory arrest	3/28/01	DCT - Charges Dismissed	5/31/02
39	TB Bronx TF	Charges	F - Physical force, Other; A - Threat of force	3/28/01	Command Discipline 'A'	8/31/01
40	TB DT02	Charges	D - Word, Action	3/28/01	Command Discipline 'B'	10/31/01
41	79 Precinct	Charges	F - Physical force; D - Word; O - Sexual orientation	3/28/01	DCT Trial Guilty - Warned & Admonished	10/31/03
42	73 Precinct	Charges	A - Premises entered and/or searched	3/28/01	Instructions	10/31/01
43	103 Precinct	Command Discipline	A - Frisk and/or search, Vehicle search	3/28/01	Command Discipline 'A'	12/31/01
44	94 Precinct	Charges	F - Physical force; A - Frisk and/or search	3/28/01	Instructions	12/31/01
45	48 Precinct	Instructions	D - Word	3/28/01	Instructions	6/28/02
46	47 Precinct	Charges	F - Hit against inanimate object	3/30/01	OATH Trial - Not Guilty	4/30/02
47	73 Precinct	Charges	F - Physical force	3/30/01	DCT Negotiation Guilty - 20 vacation days	9/30/02
47	73 Precinct	Charges	F - Physical force	3/30/01	DCT Negotiation Guilty - 25 vacation days	9/30/02
48	Narcotics Boro Brooklyn North	Charges	A - Premises entered and/or searched	3/30/01	Instructions	10/31/01
49	Brooklyn South Narcotics	Charges	A - Question and/or stop, Frisk and/or search	3/30/01	DCT Trial Guilty - Instructions	7/31/03
49	Brooklyn South Narcotics	Charges	A - Question and/or stop, Frisk and/or search	3/30/01	DCT Trial Guilty - Instructions	7/31/03
50	43 Precinct	Charges	A - Frisk and/or search, Retaliatory summons; D - Word	4/6/01	Instructions	10/31/01

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
51	Narcotics Boro Brooklyn North	Command Discipline	A - Frisk and/or search	4/6/01	Command Discipline 'B'	11/30/01
51	Narcotics Boro Brooklyn North	Command Discipline	F - Physical force; A - Frisk and/or search, Threat of force	4/6/01	DCT Trial - Not Guilty	6/30/03
52	Detective Bureau Brooklyn South Units	Charges	A - Premises entered and/or searched, Question and/or stop	4/6/01	DCT Trial Guilty - 10 vacation days	2/28/03
53	120 Precinct	Instructions	A - Vehicle search	4/6/01	Department Unable to Prosecute	7/31/01
54	TB DT01	Charges	F - Physical force; D - Word	4/6/01	DCT Trial Guilty - 30 vacation days	1/31/04
55	46 Precinct	Charges	F - Physical force; A - Frisk and/or search	4/19/01	Filed - Retired	8/30/02
56	SAT Narc Ops Brooklyn North	Charges	A - Frisk and/or search	4/19/01	Command Discipline 'A'	10/31/01
57	26 Precinct	Charges	F - Handcuffs too tight, Pepper spray; D - Word	4/19/01	OATH Trial Guilty - 15 suspension days	8/30/02
58	PSA 1	Charges	A - Retaliatory arrest, Question and/or stop; D - Word	4/19/01	Command Discipline 'A'	4/30/02
58	PSA 1	Charges	F - Physical force; A - Retaliatory arrest, Question and/or stop	4/19/01	Command Discipline 'A'	4/30/02
59	69 Precinct	Charges	A - Frisk and/or search; D - Word	4/19/01	DCT Trial Guilty - Instructions	4/30/03
60	5 Precinct	Charges	F - Chokehold; D - Word	4/20/01	OATH Negotiation Guilty - 8 vacation days	6/28/02
61	78 Precinct	Command Discipline	A - Refusal to give name/shield number	4/20/01	Command Discipline 'A'	1/31/02
62	47 Precinct	Charges	O - Race	5/9/01	Command Discipline 'B'	10/31/01
63	PSA 2	Command Discipline	A - Question and/or stop	5/9/01	Command Discipline 'A'	1/31/02
63	73 Precinct	Command Discipline	A - Question and/or stop, Frisk and/or search	5/9/01	Command Discipline 'A'	1/31/02
64	Brooklyn South Narcotics	Charges	F - Physical force	5/9/01	DCT Negotiation Guilty - 10 vacation days	6/28/02
65	Bronx Narcotics	Charges	F - Physical force	5/25/01	DCT Trial - Not Guilty	8/30/02
65	Bronx Narcotics	Charges	F - Physical force	5/25/01	DCT Trial - Not Guilty	8/30/02
65	Bronx Narcotics	Charges	F - Physical force	5/25/01	DCT Trial - Not Guilty	8/30/02

**Table 49C: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2001**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
66	Midtown South Precinct	Charges	F - Physical force; A - Threat of force	5/25/01	Filed	12/31/01
67	69 Precinct	Command Discipline	A - Premises entered and/or searched	5/25/01	Instructions	6/30/02
67	69 Precinct	Command Discipline	A - Premises entered and/or searched	5/25/01	Instructions	6/30/02
68	66 Precinct	Command Discipline	F - Physical force; A - Threat of force; D - Other	5/25/01	Instructions	4/30/02
69	Bronx Narcotics	Charges	F - Physical force; A - Threat of force	5/31/01	DCT Trial - Not Guilty	9/30/02
70	Vice Enf. Div Brooklyn North SAT-COM	Charges	A - Frisk and/or search	5/31/01	Command Discipline 'A'	11/30/01
70	Vice Enf. Div Brooklyn North SAT-COM	Charges	F - Physical force; A - Frisk and/or search, Other; D - Action; O - Sexual orientation	5/31/01	DCT Trial Guilty - 5 vacation days	5/31/03
71	41 Precinct	Charges	F - Pepper spray, Physical force; D - Word	5/31/01	Filed	11/30/01
72	122 Precinct	Charges	A - Premises entered and/or searched	5/31/01	Instructions	11/30/01
73	120 Precinct	Charges	F - Pepper spray, Physical force; A - Threat of force; D - Word	5/31/01	DCT Trial - Not Guilty	6/30/03
74	Warrant Section (Division)	Charges	A - Premises entered and/or searched, Refusal to give name/shield number	5/31/01	DCT Trial - Not Guilty	10/31/02
74	Warrant Section (Division)	Charges	A - Refusal to give name/shield number	5/31/01	Filed - Retired	12/31/01
74	Warrant Section (Division)	Charges	F - Physical force; A - Refusal to give name/shield number	5/31/01	DCT - Charges Dismissed	9/30/02
75	Patrol Boro Manhattan South ACI	Charges	F - Flashlight as club	5/31/01	DCT Trial Guilty - 25 vacation days	6/30/03
76	71 Precinct	Charges	D - Word	5/31/01	Instructions	12/31/01
76	Gang Units	Charges	F - Hit against inanimate object; A - Retaliatory arrest	5/31/01	Instructions	12/31/01
77	102 Precinct	Charges	F - Physical force; A - Frisk and/or search, Refusal to give name/shield number; D - Word	5/31/01	OATH Trial Guilty - 20 vacation days	2/28/03
78	Patrol Boro SI ACI	Charges	F - Physical force	5/31/01	DCT Trial Guilty - Warned & Admonished	4/30/03
79	Patrol Boro Bronx HQ	Charges	A - Gun pointed/drawn	5/31/01	Filed - Resigned	6/30/01
80	48 Precinct	Charges	D - Word	5/31/01	Command Discipline 'A'	4/30/02

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
81	47 Precinct	Charges	A - Retaliatory summons; D - Word	5/31/01	Instructions	1/31/02
82	10 Precinct	Charges	A - Vehicle stop; D - Word	5/31/01	Instructions	12/31/01
82	10 Precinct	Charges	F - Physical force; A - Vehicle stop; D - Word	5/31/01	Instructions	12/31/01
83	Patrol Boro Bronx ACI	Charges	A - Frisk and/or search	5/31/01	Instructions	1/31/02
84	75 Precinct	Charges	A - Premises entered and/or searched	5/31/01	Instructions	4/30/02
84	75 Precinct	Charges	A - Strip search	5/31/01	Instructions	4/30/02
85	46 Precinct	Charges	A - Gun pointed/gun drawn	5/31/01	Filed - Terminated	4/30/02
86	76 Precinct	Charges	D - Word	5/31/01	Command Discipline 'A'	6/28/02
87	Queens Narcotics	Charges	A - Frisk and/or search	5/31/01	Instructions	4/30/02
88	23 Precinct	Command Discipline	A - Refusal to give name/shield number	5/31/01	DCT Negotiation Guilty - Command Discipline 'A'	2/28/03
88	23 Precinct	Command Discipline	A - Refusal to give name/shield number	5/31/01	DCT Negotiation Guilty - Command Discipline 'A'	2/28/03
89	Bronx Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Other	5/31/01	DCT Trial Guilty - Instructions	6/30/03
89	Bronx Narcotics	Charges	A - Question and/or stop, Vehicle search	5/31/01	DCT Trial - Not Guilty	6/30/03
90	Vice	Charges	A - Frisk and/or search, Gun pointed/drawn; D - Word	6/20/01	DCT Trial Guilty - 90 vacation days	6/30/03
91	67 Precinct	Charges	F - Vehicle; A - Refusal to obtain medical treatment	6/20/01	DCT Trial Guilty - 15 vacation days	11/30/03
92	26 Precinct	Command Discipline	F - Pepper spray; D - Word	6/20/01	Department Unable to Prosecute	10/31/01
93	48 Precinct	Instructions	D - Demeanor/tone	6/20/01	Instructions	11/30/01
94	Special Ops. Div. Taxi Unit	Command Discipline	A - Frisk and/or search, Threat of arrest, Threat of force; D - Word	6/20/01	DCT - Charges Dismissed	8/30/02
94	Special Ops. Div. Taxi Unit	Command Discipline	A - Vehicle search	6/20/01	DCT - Charges Dismissed	8/30/02
94	Special Ops. Div. Taxi Unit	Command Discipline	F - Physical force; A - Frisk and/or search; D - Word	6/20/01	DCT - Charges Dismissed	8/30/02
95	25 Precinct	Command Discipline	F - Hit against inanimate object	6/20/01	OATH Trial - Not Guilty	5/31/02
96	Manhattan Narcotics	Command Discipline	D - Word	6/20/01	Instructions	10/31/01
97	Detective Bureau HQ	Command Discipline	D - Word	6/20/01	DCT Trial Guilty - 10 vacation days	11/30/02
98	TB DT32	Charges	F - Physical force	6/20/01	Filed - Retired	12/31/01
99	Brooklyn South Narcotics	Charges	A - Frisk and/or search, Vehicle search, Refusal to give name/shield number	6/20/01	Command Discipline 'B'	4/30/02

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
100	46 Precinct	Command Discipline	A - Frisk and/or search	6/20/01	Command Discipline 'A'	6/28/02
100	46 Precinct	Command Discipline	A - Frisk and/or search, Vehicle search	6/20/01	Command Discipline 'A'	6/28/02
101	28 Precinct	Charges	F - Physical force; A - Retaliatory arrest	6/20/01	DCT Negotiation Guilty - 40 vacation days	12/31/01
102	SAT Narc Ops Brooklyn North	Command Discipline	A - Question and/or stop, Frisk and/or search	6/20/01	Command Discipline 'B'	7/31/02
102	SAT Narc Ops Brooklyn North	Command Discipline	A - Question and/or stop, Frisk and/or search	6/20/01	Command Discipline 'B'	7/31/02
103	43 Precinct	Charges	A - Other	6/26/01	OATH Trial - Not Guilty	1/31/03
103	43 Precinct	Charges	A - Strip search	6/26/01	Command Discipline 'B'	11/30/01
104	Manhattan Narcotics	Charges	A - Frisk and/or search, Other	6/26/01	Command Discipline 'B'	11/30/01
104	Manhattan Narcotics	Charges	A - Frisk and/or search, Threat of arrest, Other	6/26/01	Command Discipline 'B'	11/30/01
105	83 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number	6/26/01	Command Discipline 'B'	1/31/02
105	83 Precinct	Charges	A - Question and/or stop, Refusal to give name/shield number	6/26/01	Command Discipline 'B'	1/31/02
105	83 Precinct	Charges	A - Question and/or stop, Refusal to give name/shield number	6/26/01	Command Discipline 'B'	2/28/02
106	75 Precinct	Charges	A - Other	6/26/01	Instructions	9/30/02
107	9 Precinct	Charges	A - Refusal to give name/shield number; D - Gesture	6/26/01	DCT Negotiation Guilty - 5 vacation days	6/30/03
108	52 Precinct	Charges	F - Other; A - Threat of force	6/26/01	Command Discipline 'A'	6/28/02
109	43 Precinct	Charges	A - Refusal to give name/shield number; D - Word	6/26/01	Command Discipline 'B'	9/30/03
110	Queens Narcotics	Charges	F - Physical force; A - Threat of force, Retaliatory summons; D - Word	6/26/01	DCT Negotiation Guilty - 5 vacation days	3/31/02
111	34 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Vehicle search	6/26/01	Command Discipline 'B'	8/30/02
112	23 Precinct	Command Discipline	A - Premises entered and/or searched	6/26/01	Command Discipline 'A'	7/31/02
113	Manhattan Narcotics	Charges	F - Gun as club	6/27/01	Filed	7/31/01
114	TB Manhattan TF	Command Discipline	D - Gesture, Word, Action	6/27/01	Command Discipline 'B'	10/31/01

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
115	Court Division	Charges	F - Physical force	6/27/01	DCT Trial Guilty - 20 vacation days	5/31/02
116	Warrant Section (Division)	Command Discipline	A - Premises entered and/or searched	6/27/01	Instructions	11/30/01
116	Warrant Section (Division)	Command Discipline	A - Premises entered and/or searched	6/27/01	Instructions	11/30/01
117	83rd Precinct Detective Squad	Charges	F - Physical force; D - Word	6/27/01	DCT Negotiation Guilty - 30 vacation days	10/31/02
118	9 Precinct	Charges	A - Threat of force; D - Word, Demeanor/tone	6/27/01	Pending	
119	Highway Unit #3	Charges	D - Action	6/27/01	Command Discipline 'A'	7/31/02
120	Warrant Section (Division)	Command Discipline	A - Premises entered and/or searched	6/27/01	Command Discipline 'A'	7/31/02
121	Narcotics Boro Brooklyn North	Charges	A - Other	6/28/01	Command Discipline 'B'	7/31/01
122	Manhattan Narcotics	Charges	D - Word	6/28/01	Filed - Retired	9/30/02
123	32 Precinct	Charges	A - Strip search	6/28/01	Command Discipline 'B'	7/31/02
124	Pol Academy	Charges	A - Premises entered and/or searched; D - Word	6/28/01	DCT Trial Guilty - 30 vacation days	8/31/03
125	75 Precinct	Command Discipline	A - Frisk and/or search; D - Word	7/19/01	Command Discipline 'A'	1/31/02
126	30 Precinct	Instructions	A - Other	7/19/01	Instructions	6/28/02
127	Queens Narcotics	Charges	F - Pepper spray; A - Threat of force; D - Word	7/19/01	DCT Trial Guilty - 30 vacation days	9/30/03
128	Bronx Narcotics	Charges	F - Physical force	7/26/01	DCT - Charges Dismissed	3/31/03
129	PSA 6	Charges	A - Refusal to give name/shield number	7/26/01	Command Discipline 'A'	6/28/02
130	SAT Narc Ops Brooklyn North	Command Discipline	A - Threat of arrest	7/26/01	Command Discipline 'A'	7/31/02
131	102 Precinct	Charges	A - Question and/or stop	7/26/01	Instructions	12/31/01
131	102 Precinct	Charges	A - Question and/or stop, Frisk and/or search	7/26/01	Instructions	12/31/01
132	TB DT02	Charges	A - Threat of arrest	7/26/01	Command Discipline 'A'	7/31/02
133	TB DT34	Charges	O - Sexist remark	7/26/01	Command Discipline 'A'	10/31/02

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
134	Patrol Boro Queens South ACI	Command Discipline	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	10/31/01
134	Patrol Boro Queens South ACI	Command Discipline	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	10/31/01
134	Patrol Boro Queens South ACI	Command Discipline	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	10/31/01
134	Patrol Boro Queens South ACI	Command Discipline	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	10/31/01
134	Patrol Boro Queens South ACI	Command Discipline	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	10/31/01
135	PSA 3	Command Discipline	D - Action	8/20/01	Command Discipline 'A'	7/31/02
136	42 Precinct	Instructions	A - Refusal to give name/shield number	8/20/01	Command Discipline 'A'	6/28/02
137	115 Precinct	Charges	A - Premises entered and/or searched	8/23/01	Department Unable to Prosecute	1/31/02
137	Detective Bureau Queens Units	Charges	A - Premises entered and/or searched	8/23/01	Department Unable to Prosecute	1/31/02
138	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop	8/23/01	Command Discipline 'B'	1/31/02
138	Narcotics Boro Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search, Threat of arrest, Refusal to give name/shield number	8/23/01	Command Discipline 'B'	1/31/02
139	48 Precinct	Charges	F - Physical force; A - Refusal to give name/shield number, Threat of force, Frisk and/or search	8/23/01	Command Discipline 'A'	5/31/02
140	Patrol Boro SI Detective Opers	Charges	F - Other blunt instrument as club A - Refusal to give name/shield number; D - Word, Action	8/23/01	DCT - Charges Dismissed	3/31/03
141	73 Precinct	Charges	A - Frisk and/or search, Vehicle search	8/23/01	Command Discipline 'B'	7/31/02
142	103 Precinct	Charges	A - Frisk and/or search	8/23/01	Command Discipline 'B'	9/30/02
143	SI Narcotics	Charges	A - Frisk and/or search	9/10/01	DCT Trial - Not Guilty	2/28/03
143	SI Narcotics	Charges	A - Frisk and/or search, Vehicle search	9/10/01	Filed - Terminated	7/31/02
144	68 Precinct	Charges	F - Physical force	9/10/01	DCT - Charges Dismissed	3/31/03

Table 48C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001
Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
145	77 Precinct	Charges	A - Question and/or stop	9/10/01	Command Discipline 'B'	7/31/02
146	Patrol Boro BS TF	Charges	A - Question and/or stop, Refusal to give name/shield number	9/10/01	Command Discipline 'A'	12/31/01
147	20 Precinct	Charges	A - Question and/or stop, Frisk and/or search	9/10/01	Command Discipline 'B'	10/31/02
148	PSA 7	Charges	F - Physical force	10/23/01	DCT Trial - Not Guilty	7/31/03
149	SI Narcotics	Charges	F - Chokehold, Other blunt instrument	10/23/01	DCT Trial - Not Guilty	7/31/03
150	52 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Threat of arrest, Threat of force	10/23/01	Pending	
151	Property Clerk Div	Charges	A - Refusal to give name/shield number; D - Word	11/29/01	DCT Trial - Not Guilty	8/31/03
152	PSA 6	Charges	A - Question and/or stop, Frisk and/or search	11/29/01	Command Discipline 'B'	6/28/02
152	PSA 6	Charges	A - Question and/or stop, Frisk and/or search, Threat of force; D - Word	11/29/01	Command Discipline 'B'	6/28/02
153	Court Division	Charges	A - Gun pointed/gun drawn, Question and/or stop, Frisk and/or search	11/29/01	DCT Trial Guilty - 30 vacation days	7/31/02
154	SAT Narc Ops Brooklyn North	Charges	A - Strip search	11/29/01	DCT - Charges Dismissed	3/31/03
154	SAT Narc Ops Brooklyn North	Charges	A - Strip search	11/29/01	DCT - Charges Dismissed	3/31/03
155	13 Precinct	Charges	A - Threat of arrest	11/29/01	Command Discipline 'A'	9/30/02
156	34 Precinct	Charges	F - Physical force; A - Retaliatory arrest, Threat of arrest	11/30/01	DCT Trial Guilty - 30 vacation days	1/31/03
157	7 Precinct	Command Discipline	A - Threat of arrest	11/30/01	Command Discipline 'A'	7/31/02
157	70 Precinct	Command Discipline	A - Threat of arrest	11/30/01	Command Discipline 'A'	7/31/02
157	70 Precinct	Command Discipline	A - Threat of arrest	11/30/01	Command Discipline 'B'	7/31/02
158	26 Precinct	Charges	A - Frisk and/or search	11/30/01	Command Discipline 'A'	9/30/02
158	26 Precinct	Charges	A - Vehicle search, Frisk and/or search	11/30/01	DCT Trial - Not Guilty	9/30/03
159	1 Precinct	Command Discipline	A - Refusal to give name/shield number	11/30/01	Command Discipline 'B'	8/30/02

**Table 49C: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2001**

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
160	Queens Narcotics	Charges	A - Threat of force	12/19/01	Statute of Limitations Expired	5/31/03
160	Queens Narcotics	Charges	F - Physical force; D - Word	12/19/01	Statute of Limitations Expired	5/31/03
160	Queens Narcotics	Charges	F - Physical force; D - Word, Action; O - Ethnicity	12/19/01	Statute of Limitations Expired	5/31/03
161	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number	12/19/01	Command Discipline 'B'	4/30/02
161	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number	12/19/01	Command Discipline 'B'	4/30/02
161	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number	12/19/01	Command Discipline 'B'	4/30/02
162	Manhattan Narcotics	Charges	A - Vehicle stop	12/19/01	Instructions	10/31/02
162	Manhattan Narcotics	Charges	F - Physical force	12/19/01	DCT Trial - Not Guilty	6/30/03
163	Midtown South Precinct	Command Discipline	D - Word	12/19/01	DCT Trial Guilty - 5 vacation days	7/31/03
164	Queens Narcotics	Charges	A - Vehicle stop, Frisk and/or search	12/19/01	DCT Negotiation Guilty - Command Discipline 'A'	4/30/03
164	Queens Narcotics	Charges	A - Vehicle stop, Vehicle search, Frisk and/or search	12/19/01	DCT Negotiation Guilty - Command Discipline 'A'	4/30/03
165	PSA 5	Charges	A - Question and/or stop, Frisk and/or search; D - Word	12/20/01	Statute of Limitations Expired	3/31/03
166	13 Precinct	Command Discipline	A - Retaliatory summons	12/20/01	DCT Trial Guilty - Warned & Admonished	11/30/03
167	Narcotics Boro Brooklyn North	Charges	A - Frisk and/or search	12/20/01	DCT - Charges Dismissed	12/31/02
168	19 Precinct	Charges	D - Word	12/20/01	Filed - Retired	8/30/02
169	Gang Units	Charges	A - Vehicle stop	12/20/01	Command Discipline 'A'	7/31/02
169	Gang Units	Charges	F - Physical force	12/20/01	DCT Trial - Not Guilty	9/30/03
170	75 Precinct	Charges	A - Property damaged; D - Word	12/20/01	DCT Negotiation Guilty - Command Discipline 'B'	10/31/02

Table 49C: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2001

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
171	Narcotics Boro Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search	12/20/01	DCT Trial - Not Guilty	10/31/03
171	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search	12/20/01	DCT Trial - Not Guilty	10/31/03
172	TB Manhattan TF	Charges	F - Physical force; A - Retaliatory arrest, Threat of arrest	12/20/01	OATH Negotiation Guilty - 10 vacation days	1/31/03
173	Detective Bureau Manhattan Units	Charges	F - Physical force; D - Word, Action	12/27/01	DCT Trial - Not Guilty	3/31/03
174	94 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Retaliatory summons	12/27/01	DCT Trial Guilty - 20 vacation days	8/31/03
174	94 Precinct	Charges	F - Hit against inanimate object; A - Question and/or stop, Retaliatory summons	12/27/01	DCT Trial Guilty - 20 vacation days	8/31/03
175	110 Precinct	Charges	D - Word	12/27/01	Filed - Retired	11/30/02
175	110 Precinct	Charges	F - Chokehold; D - Word	12/27/01	DCT Trial - Not Guilty	9/30/03
175	110 Precinct	Charges	F - Physical force; A - Threat of force, Retaliatory summons	12/27/01	DCT Trial Guilty - 5 vacation days	9/30/03

**Table 49D: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2002**

Sequence Number*	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition**	NYPD Closure Date
1	40 Precinct	Charges	F - Physical force	1/11/02	DCT Trial Guilty - 10 vacation days	10/31/03
2	Bronx Narcotics	Charges	F - Nightstick	1/11/02	DCT Trial - Not Guilty	12/31/03
3	Narcotics Boro Brooklyn North	Charges	F - Physical force; A - Frisk and/or search	1/11/02	DCT Trial Guilty - 5 vacation days	6/30/03
4	SI Narcotics	Charges	F - Physical force; A - Refusal to obtain medical treatment	1/18/02	DCT Trial - Not Guilty	6/30/03
4	SI Narcotics	Instructions	A - Strip search	1/18/02	Instructions	3/31/02
5	Patrol Boro MS TF	Instructions	A - Refusal to give name/shield number	1/18/02	Command Discipline 'B'	10/31/02
6	63 Precinct	Command Discipline	A - Gun pointed	1/18/02	DCT Trial Guilty - 30 vacation days	8/31/03
7	Warrant Section (Division)	Instructions	A - Vehicle stop; D: Word	1/24/02	Instructions	10/31/02
8	23 Precinct	Command Discipline	A - Refusal to give name/shield number	2/5/02	OATH Negotiation Guilty - Instructions	12/31/02
8	23 Precinct	Command Discipline	A - Refusal to give name/shield number	2/5/02	DCT Negotiation Guilty - Command Discipline 'B'	6/30/03
9	PSA 7	Charges	F - Physical force; A: Refusal to obtain medical treatment	2/5/02	DCT - Charges Dismissed	12/31/02
10	Warrant Section (Division)	Charges	A - Premises entered and/or searched	2/5/02	DCT Trial Guilty - 10 vacation days	7/31/03
10	Warrant Section (Division)	Charges	A - Premises entered and/or searched, Threat to notify ACS	2/5/02	DCT Trial Guilty - 15 vacation days	7/31/03
11	47 Precinct	Command Discipline	D - Word; O - Ethnicity	2/5/02	DCT - Charges Dismissed	7/31/03
12	PSA 1	Charges	D - Demeanor/tone	2/5/02	Command Discipline 'A'	10/31/02
12	PSA 1	Charges	A - Strip search	2/5/02	Pending	
13	Queens Narcotics	Charges	A - Question and/or stop	2/8/02	DCT - Charges Dismissed	12/31/02
13	Queens Narcotics	Charges	A - Question and/or stop, Strip search, Retaliatory summons	2/8/02	DCT Trial Guilty - 30 vacation days	9/30/03
14	Bus Unit	Charges	A - Refusal to give name/shield number; D - Word, Gesture, Action	2/8/02	Pending	
15	TB DT04	Charges	O - Sexist remark	2/8/02	DCT Negotiation Guilty - Command Discipline 'A'	6/30/03

* A repeated sequence number indicates that the CCCB substantiated allegations against more than one officer based on a single complaint.

** OATH is the Office of Administrative Trials and Hearings; DCT is the NYPD's Deputy Commissioner for Trials. See Glossary.

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
16	42 Precinct	Charges	F - Pepper spray, Physical force; A - Refusal to give name/shield number, Retaliatory arrest	3/7/02	Pending	
17	PSA 3	Charges	F - Physical force	3/7/02	DCT Trial - Not Guilty	4/30/03
17	PSA 3	Charges	F - Physical force	3/7/02	DCT Trial - Not Guilty	4/30/03
17	PSA 3	Charges	F - Physical force	3/7/02	DCT Trial - Not Guilty	4/30/03
17	PSA 3	Charges	F - Physical force	3/7/02	DCT Trial - Not Guilty	4/30/03
17	PSA 3	Charges	F - Physical force	3/7/02	Filed - Retired	3/31/03
18	Brooklyn South Narcotics	Charges	A - Retaliatory arrest	3/7/02	DCT Negotiation Guilty - 10 vacation days	2/28/04
18	Brooklyn South Narcotics	Charges	F - Physical force	3/7/02	DCT Negotiation Guilty - 10 vacation days	2/28/04
18	Brooklyn South Narcotics	Charges	F - Physical force; A - Threat of summons, Retaliatory arrest, Threat of arrest, Other	3/7/02	DCT Negotiation Guilty - 10 vacation days	2/28/04
19	Brooklyn South Narcotics	Charges	A - Gun pointed/gun drawn, Vehicle stop, Frisk and/or search	3/7/02	Command Discipline 'A'	6/28/02
19	Brooklyn South Narcotics	Charges	A - Gun pointed/gun drawn, Vehicle stop, Vehicle search	3/7/02	Command Discipline 'A'	6/28/02
19	Brooklyn South Narcotics	Charges	A - Vehicle search	3/7/02	Command Discipline 'A'	6/28/02
20	30 Precinct	Charges	F - Radio as club	3/7/02	DCT Trial - Not Guilty	9/30/03
21	Bronx Narcotics	Charges	A - Strip search	3/7/02	Command Discipline 'B'	7/31/02
22	Patrol Boro SI ACI	Charges	A - Refusal to give name/shield number	3/7/02	Command Discipline 'B'	7/31/02
22	Patrol Boro SI ACI	Charges	A - Refusal to give name/shield number	3/7/02	Filed - Terminated	7/31/02
23	42 Precinct	Charges	F - Physical force	3/7/02	DCT Trial - Not Guilty	9/30/03
24	115 Precinct	Command Discipline	D - Word	3/7/02	Command Discipline 'A'	10/31/02
25	61 Precinct	Charges	A - Refusal to give name/shield number; O - Ethnicity	3/7/02	DCT Negotiation Guilty - 15 vacation days	5/31/03

**Table 49D: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2002**

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
26	71 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Other	3/7/02	Department Unable to Prosecute	10/31/02
26	71 Precinct	Charges	A - Question and/or stop, Vehicle search, Frisk and/or search, Other	3/7/02	Department Unable to Prosecute	10/31/02
27	44 Precinct	Charges	F - Pepper spray	3/7/02	Instructions	6/28/02
28	111 Precinct	Command Discipline	D - Word	3/7/02	Command Discipline 'A'	3/31/03
29	113 Precinct	Charges	D - Demeanor/tone	3/7/02	Command Discipline 'A'	3/31/03
29	113 Precinct	Charges	A - Refusal to give name/shield number; D - Demeanor/tone	3/7/02	Command Discipline 'B'	1/31/03
30	47 Precinct	Charges	F - Physical force	3/7/02	DCT Negotiation Guilty - Command Discipline 'A'	6/30/03
31	67 Precinct	Charges	F - Physical force; A - Premises entered and/or searched	3/7/02	DCT Negotiation Guilty - 20 vacation days	3/31/03
32	50 Precinct	Charges	F - Physical force	3/13/02	DCT Trial - Not Guilty	9/30/03
33	Gang Units	Charges	A - Refusal to give name/shield number	3/13/02	OATH Negotiation Guilty - Command Discipline 'B'	6/30/03
34	72 Precinct	Charges	F - Physical force; D - Word	3/14/02	DCT Negotiation Guilty - 10 vacation days	9/30/03
35	46 Precinct	Charges	F - Physical force; D - Word	3/14/02	DCT Negotiation Guilty - 20 vacation days	2/28/04
36	Detective Bureau HQ	Charges	A - Gun pointed/gun drawn	3/14/02	DCT Trial - Not Guilty	3/31/03
37	SAT Narc Ops Brooklyn North	Command Discipline	A - Question and/or stop, Frisk and/or search; D - Word	3/14/02	DCT Trial Guilty - Warned & Admonished	1/31/04
38	SI Narcotics	Charges	A - Strip search	3/27/02	Command Discipline 'B'	5/31/02
39	46 Precinct	Charges	F - Physical force; A - Question and/or stop, Threat of force; D - Word	3/27/02	DCT Negotiation Guilty - 20 vacation days	3/31/03
40	Bronx Narcotics	Charges	F - Physical force; A - Frisk and/or search	3/27/02	DCT Trial - Not Guilty	1/31/03
41	43 Precinct	Instructions	D - Word	3/27/02	DCT - Charges Dismissed	7/31/03
42	Brooklyn South Narcotics	Charges	F - Radio as club	3/27/02	DCT Negotiation Guilty - 10 vacation days	2/28/04
42	Brooklyn South Narcotics	Charges	F - Nightstick as club	3/27/02	Pending	

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
43	101 Precinct	Charges	A - Retaliatory arrest, Refusal to give name/shield number	3/27/02	DCT Trial - Not Guilty	7/31/03
43	101 Precinct	Charges	A - Vehicle stop, Retaliatory arrest, Refusal to give name/shield number	3/27/02	DCT Trial - Not Guilty	7/31/03
44	42 Precinct	Command Discipline	D - Word	3/28/02	Instructions	4/30/02
45	Detective Bureau Bronx Units	Command Discipline	D - Word; O - Sexual orientation	3/28/02	DCT Trial - Not Guilty	9/30/03
46	Patrol Boro Manhattan North ACI	Charges	A - Frisk and/or search, Vehicle search, Retaliatory summons	3/28/02	Instructions	6/28/02
46	Patrol Boro Manhattan North ACI	Charges	A - Frisk and/or search, Vehicle search; D - Word	3/28/02	Instructions	6/28/02
46	Patrol Boro Manhattan North ACI	Charges	A - Vehicle stop	3/28/02	Instructions	6/28/02
47	Queens Narcotics	Charges	A - Question and/or stop	3/28/02	DCT Trial - Not Guilty	9/30/03
47	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search	3/28/02	DCT Trial - Not Guilty	9/30/03
48	60 Precinct	Instructions	A - Refusal to give name/shield number	3/28/02	Command Discipline 'B'	10/31/02
49	Patrol Boro Bronx TF	Instructions	A - Refusal to give name/shield number	3/28/02	Filed - Retired	10/31/02
50	Manhattan Narcotics	Charges	A - Frisk and/or search, Threat of arrest	3/28/02	Command Discipline 'B'	11/30/02
51	Patrol Boro Bronx HQ	Instructions	D - Word	3/28/02	Instructions	11/30/02
52	111 Precinct	Charges	F - Hit against inanimate object; A - Other; D - Word	3/28/02	DCT Negotiation Guilty - 30 vacation days	4/30/03
53	Midtown North Precinct	Charges	F - Physical force; A - Threat of force; D - Demeanor/tone	3/28/02	DCT Trial Guilty - 30 vacation days	8/31/03
54	Manhattan Narcotics	Command Discipline	A - Frisk and/or search, Other	4/18/02	Pending	
55	67 Precinct	Instructions	A - Refusal to process complaint	4/18/02	Filed - Retired	8/30/02
56	TB DT01	Command Discipline	A - Refusal to give name/shield number; D - Demeanor/tone	4/18/02	Command Discipline 'B'	10/31/02
56	TB DT01	Command Discipline	A - Refusal to provide name/shield number; D - Word	4/18/02	Command Discipline 'B'	10/31/02
56	TB DT01	Command Discipline	A - Threat of force, Refusal to give name/shield number; D - Word	4/18/02	Command Discipline 'B'	10/31/02

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
57	Bronx Narcotics	Command Discipline	A - Strip search	4/18/02	Command Discipline 'A'	9/30/02
58	46 Precinct	Charges	A - Threat of force, Threat of arrest; D - Demeanor/tone, Word	4/18/02	DCT Trial Guilty - 10 vacation days	10/31/03
59	113 Precinct	Command Discipline	A - Vehicle search	4/18/02	DCT Trial Guilty - 5 vacation days	9/30/03
60	120 Precinct	Instructions	F - Gun fired	4/24/02	Statute of Limitations Expired	11/30/03
61	24 Precinct	Command Discipline	A - Frisk and/or search	4/24/02	DCT Trial Guilty - Instructions	9/30/03
62	83 Precinct	Charges	A - Retaliatory arrest	4/24/02	DCT Trial Guilty - 15 vacation days	11/30/03
63	TB DT02	Instructions	A - Other	4/24/02	Instructions	9/30/02
63	TB DT02	Instructions	A - Other	4/24/02	Instructions	9/30/02
64	26 Precinct	Charges	A - Gun drawn, Question and/or stop	4/24/02	DCT Trial - Not Guilty	5/31/03
64	Patrol Boro Manhattan North ACI	Charges	F - Physical force, Hit against inanimate object	4/24/02	DCT Trial - Not Guilty	5/31/03
65	Bus Unit	Command Discipline	F - Physical force; A - Threat of arrest, Threat of force, Other	4/24/02	Pending	
66	110 Precinct	Charges	F - Physical force; O - Race	4/24/02	OATH Trial - Not Guilty	2/28/03
67	23 Precinct	Command Discipline	A - Refusal to give name/shield number	4/24/02	DCT Negotiation Guilty - Command Discipline 'B'	6/30/03
68	71 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	4/24/02	DCT Negotiation Guilty - 15 vacation days	7/31/03
69	Narcotics Boro Brooklyn North	Charges	A - Strip search	4/24/02	DCT Trial - Not Guilty	9/30/03
69	Narcotics Boro Brooklyn North	Charges	A - Vehicle search, Frisk and/or search	4/24/02	DCT Trial - Not Guilty	9/30/03
70	70 Precinct	Instructions	D - Word	4/24/02	Instructions	11/30/02
71	71 Precinct	Charges	A - Threat of force; D - Word	4/24/02	DCT Negotiation Guilty - 15 vacation days	7/31/03
71	71 Precinct	Charges	F - Radio as club; A - Threat to damage/seize property	4/24/02	DCT Trial - Not Guilty	10/31/03
72	Court Division	Charges	F - Physical force, Handcuffs too tight; A - Threat of force	4/24/02	DCT Negotiation Guilty - 30 vacation days	12/31/02
73	72 Precinct	Charges	F - Physical force; A - Threat of force, Refusal to give name/shield number	4/24/02	DCT Negotiation Guilty - 30 vacation days	12/31/02

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
74	Detective Bureau Manhattan Units	Charges	F - Other; A - Retaliatory arrest	4/24/02	DCT Trial Guilty - 20 vacation days	4/30/03
75	TB DT01	Command Discipline	F - Physical force	4/24/02	OATH - Charges Dismissed	2/28/03
76	24 Precinct	Charges	A - Threat of summons, Threat of arrest; D - Word	4/24/02	DCT Trial - Not Guilty	11/30/03
77	77 Precinct	Charges	A - Vehicle stop, Threat of force; D - Demeanor/tone	4/24/02	Command Discipline 'A'	1/31/03
78	Brooklyn South Narcotics	Charges	A - Frisk and/or search	4/25/02	Instructions	9/30/02
78	Brooklyn South Narcotics	Charges	A - Vehicle search	4/25/02	Instructions	9/30/02
79	Queens Narcotics	Charges	A - Threat of arrest; D - Word	4/25/02	DCT Trial - Not Guilty	8/31/03
80	101 Precinct	Charges	F - Physical force; A - Premises entered and/or searched, Retaliatory arrest	4/25/02	DCT Trial Guilty - 5 vacation days	12/31/03
81	Manhattan Narcotics	Charges	D - Demeanor/tone	4/25/02	Command Discipline 'A'	12/31/02
82	47 Precinct	Charges	F - Physical force; A - Threat of force; D - Word	4/25/02	DCT - Charges Dismissed	7/31/03
83	6 Precinct	Command Discipline	F - Handcuffs too tight	4/25/02	Command Discipline 'A'	3/31/03
84	Manhattan Narcotics	Charges	A - Frisk and/or search	4/25/02	DCT - Charges Dismissed	11/30/03
84	Manhattan Narcotics	Charges	A - Question and/or stop	4/25/02	DCT - Charges Dismissed	11/30/03
84	Manhattan Narcotics	Charges	F - Physical force	4/25/02	DCT - Charges Dismissed	11/30/03
85	Manhattan Traffic TF	Instructions	A - Retaliatory summons; O - Religion	4/25/02	Command Discipline 'B'	10/31/02
86	122th Precinct Detective Squad	Command Discipline	D - Word	5/22/02	DCT - Charges Dismissed	1/31/03
87	70 Precinct	Command Discipline	D - Demeanor/tone	5/22/02	Command Discipline 'A'	1/31/03
88	Brooklyn Narc. District	Command Discipline	F - Physical force; A - Vehicle search, Frisk and/or search	5/22/02	Command Discipline 'B'	1/31/03
88	Narcotics Boro Brooklyn North	Command Discipline	A - Vehicle search	5/22/02	Command Discipline 'B'	1/31/03
89	81 Precinct	Command Discipline	A - Refusal to process complaint	5/24/02	DCT Negotiation Guilty - Command Discipline 'A'	1/31/04
90	30 Precinct	Charges	A - Other; D - Word	5/24/02	DCT Negotiation Guilty - 10 vacation days	4/30/03

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
91	42 Precinct	Charges	A - Refusal to obtain medical treatment	5/24/02	DCT Trial Guilty - 10 vacation days	5/31/03
92	Detective Bureau Queens Units	Command Discipline	A - Refusal to give name/shield number	5/24/02	Filed - Retired	3/31/03
93	SI Narcotics	Command Discipline	A - Question and/or stop	5/24/02	Filed - Retired	3/31/03
93	SI Narcotics	Command Discipline	A - Frisk and/or search	5/24/02	Pending	
93	SI Narcotics	Command Discipline	A - Frisk and/or search	5/24/02	Pending	
94	113 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search	5/24/02	Pending	
94	113 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search	5/24/02	Pending	
95	48 Precinct	Charges	F - Physical force; A - Refusal to give name/shield number; D - Word	5/24/02	Pending	
96	60 Precinct	Instructions	A - Other; D - Word	5/24/02	Command Discipline 'A'	5/31/03
97	79rd Precinct Detective Squad	Charges	F - Physical force; A - Threat of arrest, Other; D - Word	5/31/02	Filed - Retired	6/30/03
97	79rd Precinct Detective Squad	Charges	A - Other	5/31/02	Pending	
98	45 Precinct	Instructions	D - Demeanor/tone	5/31/02	DCT - Charges Dismissed	12/31/03
99	SAT Narc Ops Brooklyn North	Charges	A - Vehicle stop	5/31/02	Department Unable to Prosecute	10/31/02
100	52 Precinct	Instructions	D - Word	5/31/02	Instructions	10/31/02
101	114 Precinct	Command Discipline	A - Refusal to give name/shield number	5/31/02	Command Discipline 'B'	1/31/03
102	88 Precinct	Command Discipline	F - Physical force	6/7/02	DCT - Charges Dismissed	6/30/03
103	Bronx Narcotics	Charges	F - Physical force	6/7/02	Pending	
104	Brooklyn South Narcotics	Charges	A - Refusal to give name/shield number, Frisk and/or search, Retaliatory arrest	6/7/02	DCT Trial Guilty - 10 vacation days	2/28/04
104	Brooklyn South Narcotics	Charges	A - Refusal to give name/shield number, Gun drawn, Frisk and/or search, Vehicle search	6/7/02	DCT Trial Guilty - 10 vacation days	2/28/04
105	Queens Narcotics	Charges	A - Frisk and/or search	6/7/02	DCT - Charges Dismissed	9/30/03
105	Queens Narcotics	Charges	F - Physical force; A - Frisk and/or search, Refusal to give name/shield number, Retaliatory arrest	6/7/02	DCT - Charges Dismissed	9/30/03

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
106	77 Precinct	Command Discipline	A - Threat of arrest	6/7/02	Pending	
107	Brooklyn South Narcotics	Charges	A - Frisk and/or search	6/27/02	DCT Trial - Not Guilty	7/31/03
107	Brooklyn South Narcotics	Charges	A - Question and/or stop	6/27/02	DCT Trial - Not Guilty	7/31/03
108	23 Precinct	Charges	D - Word	6/27/02	Pending	
108	23 Precinct	Charges	F - Physical force; A - Retaliatory arrest	6/27/02	Pending	
109	TB DT01	Charges	F - Physical force	6/28/02	DCT Trial - Not Guilty	1/31/04
110	Warrant Section (Division)	Charges	A - Threat to damage/seize property, Other; D - Word	6/28/02	Filed - Terminated	4/30/03
111	47 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Refusal to give name/shield number, Retaliatory summons; D - Word	6/28/02	DCT - Charges Dismissed	10/31/03
112	46 Precinct	Charges	A - Vehicle search, Property damaged	7/9/02	DCT Negotiation Guilty - 12 vacation days	9/30/03
113	106 Precinct	Charges	A - Other; D - Word	7/9/02	DCT Negotiation Guilty - Command Discipline 'A'	3/31/03
114	7 Precinct	Instructions	D - Word	7/9/02	Instructions	11/30/02
115	30 Precinct	Command Discipline	A - Refusal to process complaint	7/9/02	DCT - Charges Dismissed	8/31/03
116	Bronx Narcotics	Command Discipline	A - Refusal to give name/shield number; D - Word	7/9/02	DCT Trial - Not Guilty	9/30/03
117	45 Precinct	Charges	A - Other; D - Word	7/9/02	Command Discipline 'A'	2/28/03
118	40 Precinct	Charges	A - Threat of arrest	7/9/02	Instructions	7/31/03
119	Brooklyn South Narcotics	Charges	A - Question and/or stop, Frisk and/or search	7/22/02	Command Discipline 'B'	9/30/02
119	Brooklyn South Narcotics	Charges	A - Question and/or stop, Frisk and/or search	7/22/02	Command Discipline 'B'	9/30/02
120	Detective Bureau Manhattan Units	Charges	F - Other	7/22/02	Pending	
120	Detective Bureau Manhattan Units	Charges	F - Other	7/22/02	Pending	

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
121	81 Precinct	Charges	F - Physical force	7/22/02	Pending	
121	81 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Retaliatory arrest	7/22/02	Pending	
122	6 Precinct	Command Discipline	D - Word	7/22/02	Pending	
123	Warrant Section (Division)	Charges	A - Refusal to give name/shield number	7/22/02	Command Discipline 'B'	1/31/03
124	40 Precinct	Charges	A - Question and/or stop, Frisk and/or search	7/22/02	Command Discipline 'B'	8/31/03
125	Gang Units	Command Discipline	A - Frisk and/or search	7/22/02	Pending	
126	52 Precinct	Charges	F - Physical force; A - Threat of summons, Question and/or stop, Frisk and/or search	8/28/02	Pending	
127	120 Precinct	Command Discipline	A - Refusal to give name/shield number	8/28/02	Command Discipline 'B'	2/28/03
127	120 Precinct	Command Discipline	A - Refusal to give name/shield number	8/28/02	Command Discipline 'B'	2/28/03
128	77 Precinct	Command Discipline	A - Retaliatory summons	8/28/02	Command Discipline 'A'	3/31/03
129	Highway Unit #1	Command Discipline	A - Other	8/28/02	Filed - Retired	1/31/03
130	Brooklyn South Narcotics	Charges	F - Physical force; A - Threat of arrest, Threat of force; D - Word; E - Ethnicity	9/6/02	DCT Trial Guilty - 10 vacation days	6/30/03
131	Patrol Boro SI Detective Opers	Charges	F - Nightstick as club	9/6/02	Filed - Retired	10/31/02
132	79 Precinct	Charges	F - Physical force; D - Word	9/6/02	DCT - Charges Dismissed	5/31/03
133	94 Precinct	Charges	F - Physical force; A - Gun pointed/gun drawn; D - Word	9/24/02	Statute of Limitations Expired	11/30/03
134	TB DT01	Charges	F - Physical force; A - Threat of force; D - Word	9/24/02	DCT Negotiation Guilty - 20 vacation days	10/31/03
135	TB DT04	Charges	D - Word	9/24/02	Instructions	2/28/03
136	Detective Bureau Bronx Units	Charges	F - Other; D - Word, Action; E - Ethnicity	9/24/02	Pending	
137	78 Precinct	Charges	A - Threat of force	9/24/02	Filed - Resigned	5/31/03
138	43 Precinct	Charges	A - Vehicle search	9/24/02	Instructions	5/31/03
138	43 Precinct	Charges	A - Vehicle search	9/24/02	Instructions	5/31/03
139	Detective Bureau Queens Units	Charges	A - Other; D - Word	9/24/02	Instructions	6/30/03
140	46 Precinct	Charges	A - Refusal to give name/shield number	9/24/02	Filed - Retired	3/31/03

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
141	67 Precinct	Charges	A - Frisk and/or search	9/24/02	Pending	
141	67 Precinct	Charges	A - Threat of summons, Threat of arrest, Property seized	9/24/02	Pending	
142	75 Precinct	Charges	F - Physical force; A - Frisk and/or search, Refusal to give name/shield number	9/24/02	Pending	
142	75 Precinct	Charges	F - Physical force; A - Frisk and/or search, Refusal to give name/shield number	9/24/02	Pending	
142	75 Precinct	Charges	F - Physical force; A - Frisk and/or search, Refusal to give name/shield number	9/24/02	Pending	
143	47 Precinct	Charges	A - Retaliatory summons	9/24/02	Command Discipline 'A'	7/31/03
144	46 Precinct	Charges	A - Frisk and/or search, Refusal to give name/shield number	9/24/02	Command Discipline 'A'	7/31/03
144	46 Precinct	Charges	A - Refusal to give name/shield number	9/24/02	Command Discipline 'A'	7/31/03
144	Gang Units	Charges	A - Refusal to give name/shield number	9/24/02	Command Discipline 'A'	7/31/03
145	103 Precinct	Charges	A - Threat of summons; D - Word	9/24/02	Pending	
146	TB DT02	Charges	A - Threat of arrest, Threat of force	9/24/02	DCT - Charges Dismissed	10/31/03
147	44 Precinct	Charges	A - Refusal to give name/shield number; D - Word	9/24/02	Command Discipline 'B'	10/31/03
148	48 Precinct	Charges	D - Word	9/25/02	Instructions	1/31/03
149	46 Precinct	Charges	A - Refusal to process complaint	9/25/02	Pending	
150	46 Precinct	Charges	D - Word, Action	9/25/02	Department Unable to Prosecute	10/31/03
151	100 Precinct	Charges	A - Refusal to give name/shield number; D - Word	9/25/02	Pending	
152	76 Precinct	Charges	A - Retaliatory summons; D - Word	9/25/02	Command Discipline 'B'	8/31/03
153	Detective Bureau Bronx Units	Charges	F - Physical force	9/25/02	Pending	
154	104 Precinct	Charges	A - Refusal to give name/shield number, Retaliatory summons	9/25/02	DCT - Charges Dismissed	10/31/03
155	SI Narcotics	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Threat of force	9/27/02	Command Discipline 'B'	1/31/03

**Table 49D: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2002**

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
156	78 Precinct	Charges	A - Frisk and/or search, Other	9/27/02	Command Discipline 'A'	5/31/03
156	78 Precinct	Charges	D - Word	9/27/02	Command Discipline 'A'	5/31/03
157	73 Precinct	Charges	A - Frisk and/or search, Threat of arrest; D - Action	9/27/02	Command Discipline 'A'	4/30/03
157	73 Precinct	Charges	F - Physical force; D - Other	9/27/02	Command Discipline 'A'	4/30/03
158	113 Precinct	Charges	D - Word	9/27/02	Command Discipline 'A'	6/30/03
158	113 Precinct	Charges	A - Threat to damage/seize property	9/27/02	DCT - Charges Dismissed	12/31/03
159	34 Precinct	Charges	E - Other	9/27/02	DCT - Charges Dismissed	10/31/03
160	PSA 2	Charges	A - Premises entered and/or searched	9/27/02	Instructions	6/30/03
161	Midtown North Precinct	Charges	A - Refusal to give name/shield number	9/27/02	DCT Trial Guilty - 30 vacation days	8/31/03
162	42 Precinct	Instructions	A - Refusal to give name/shield number	9/27/02	Command Discipline 'A'	9/30/03
163	113 Precinct	Charges	F - Physical force; A - Frisk and/or search	9/30/02	DCT - Charges Dismissed	7/31/03
163	113 Precinct	Charges	F - Physical force; A - Frisk and/or search, Strip search	9/30/02	DCT - Charges Dismissed	7/31/03
164	SAT Narc Ops Brooklyn North	Charges	F - Chokehold; D - Word	9/30/02	DCT Trial - Not Guilty	8/31/03
164	SAT Narc Ops Brooklyn North	Charges	F - Radio as club	9/30/02	DCT Trial Guilty - No penalty	8/31/03
165	33 Precinct	Command Discipline	D - Word	9/30/02	Command Discipline 'A'	12/31/02
166	TB DT32	Charges	F - Physical force	9/30/02	DCT - Charges Dismissed	9/30/03
166	TB DT32	Charges	A - Retaliatory arrest	9/30/02	Filed - Retired	5/31/03
166	TB DT32	Charges	F - Physical force; A - Question and/or stop, Refusal to give name/shield number	9/30/02	Filed - Retired	9/30/03
167	83 Precinct	Charges	F - Hit against inanimate object, Chokehold; A - Question and/or stop, Frisk and/or search, Threat of arrest, Refusal to give name/shield number, Refusal to obtain medical treatment, Other; D - Other	9/30/02	Pending	
167	83 Precinct	Charges	F - Physical force	9/30/02	Pending	
168	75th Precinct Detective Squad	Charges	A - Other	9/30/02	Instructions	1/31/03
168	75th Precinct Detective Squad	Charges	A - Other	9/30/02	Instructions	1/31/03

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
169	67 Precinct	Charges	A - Frisk and/or search, Premises entered and/or searched	9/30/02	Instructions	1/31/03
170	62 Precinct	Charges	F - Other blunt instrument as a club	9/30/02	Filed - Retired	3/31/03
171	78 Precinct	Charges	F - Hit against inanimate object; D - Word	9/30/02	DCT Negotiation Guilty - 10 vacation days	5/31/03
171	78 Precinct	Charges	F - Physical force; A - Threat of force	9/30/02	DCT Negotiation Guilty - 10 vacation days	5/31/03
172	44 Precinct	Command Discipline	D - Word	9/30/02	Command Discipline 'A'	4/30/03
173	Patrol Boro Brooklyn North ACI	Charges	A - Question and/or stop, Premises entered and/or searched, Threat of arrest, Other; D - Word	9/30/02	Pending	
174	Brooklyn South Narcotics	Charges	A - Frisk and/or search	9/30/02	DCT Negotiation Guilty - 10 vacation days	2/28/04
174	Brooklyn South Narcotics	Charges	A - Vehicle search, Threat of arrest, Refusal to give name/shield number	9/30/02	DCT Negotiation Guilty - 60 suspension days	5/31/03
175	106 Precinct	Charges	F - Chokehold	9/30/02	DCT Negotiation Guilty - 5 vacation days	12/31/03
176	114 Precinct	Command Discipline	D - Word	9/30/02	Instructions	3/31/03
177	77 Precinct	Charges	A - Frisk and/or search	9/30/02	Pending	
177	77 Precinct	Charges	F - Hit against inanimate object; A - Frisk and/or search	9/30/02	Pending	
178	Gang Units	Charges	D - Word	9/30/02	Command Discipline 'A'	6/30/03
179	24 Precinct	Charges	A - Threat of arrest	9/30/02	DCT - Charges Dismissed	8/31/03
179	24 Precinct	Charges	A - Threat of arrest; D - Word	9/30/02	DCT - Charges Dismissed	8/31/03
180	26 Precinct	Charges	A - Refusal to process complaint	9/30/02	Command Discipline 'A'	9/30/03
181	24 Precinct	Instructions	A - Refusal to give name/shield number	9/30/02	DCT Negotiation Guilty - Command Discipline 'B'	8/31/03
181	24 Precinct	Instructions	A - Refusal to give name/shield number	9/30/02	DCT Trial - Not Guilty	11/30/03
182	49 Precinct	Charges	F - Physical force	10/17/02	Pending	
183	113 Precinct	Charges	A - Threat of arrest; O - Race	10/17/02	DCT - Charges Dismissed	12/31/03
184	75 Precinct	Charges	F - Physical force	10/17/02	Pending	
185	TB DT34	Instructions	D - Word	10/17/02	Instructions	5/31/03

**Table 49D: Police Department Discipline and Punishment
on CCRB Cases Substantiated in 2002**

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
186	Manhattan Narcotics	Charges	A - Refusal to give name/shield number; D - Gesture	10/17/02	Pending	
187	79 Precinct	Charges	A - Threat of arrest	10/17/02	DCT - Charges Dismissed	2/28/04
187	79 Precinct	Charges	A - Threat of force; D - Word; O - Race	10/17/02	Pending	
188	SI Narcotics	Charges	F - Physical force	10/31/02	Pending	
189	Manhattan Narcotics	Charges	F - Physical force, Hit against inanimate object; A - Frisk and/or search; D - Word;	10/31/02	Pending	
189	Manhattan Narcotics	Charges	F - Physical force; A - Refusal to give name/shield number; D - Word, Gesture	10/31/02	Pending	
190	TB DT01	Instructions	A - Refusal to give name/shield number	10/31/02	Command Discipline 'A'	6/30/03
191	68 Precinct	Instructions	A - Other	10/31/02	Instructions	2/28/03
192	40 Precinct	Command Discipline	A - Other	10/31/02	Pending	
193	75 Precinct	Charges	D - Word	11/13/02	Instructions	5/31/03
194	44 Precinct	Charges	D - Demeanor/tone	11/13/02	Instructions	5/31/03
195	Warrant Section (Division)	Charges	A - Threat of summons, Threat of force; D - Word; O - Race	11/13/02	Filed - Resigned	2/28/03
196	Detective Bureau Bronx Units	Charges	F - Physical force	11/13/02	DCT Trial - Not Guilty	2/28/04
197	70 Precinct	Charges	F - Physical force; A - Frisk and/or search, Retaliatory arrest; D - Word	11/13/02	Pending	
198	Manhattan Traffic TF	Charges	F - Physical force; A - Threat of arrest; D - Word	11/13/02	Pending	
199	Patrol Boro MS TF	Charges	D - Word	11/18/02	Command Discipline 'A'	4/30/03
200	Detective Bureau Bronx Units	Charges	F - Physical force	11/18/02	DCT - Charges Dismissed	4/30/03
201	SAT Narc Ops Brooklyn North	Charges	A - Strip search	11/18/02	Pending	
202	33 Precinct	Charges	A - Frisk and/or search, Other	11/18/02	Command Discipline 'B'	6/30/03
203	68 Precinct	Charges	A - Premises entered and/or searched	11/18/02	DCT Negotiation Guilty - 10 vacation days	9/30/03
204	Detective Bureau Manhattan Units	Charges	A - Retaliatory summons; D - Word	11/18/02	Pending	
205	48 Precinct	Charges	A - Vehicle search; D - Word	11/25/02	Command Discipline 'A'	7/31/03
205	48 Precinct	Charges	A - Vehicle search; D - Word	11/25/02	Command Discipline 'A'	7/31/03

Table 49D: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2002

Sequence Number	Precinct / Command	Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
206	Queens Narcotics	Charges	A - Strip search	11/25/02	Filed - Retired	1/31/04
206	Queens Narcotics	Charges	A - Property damaged; D - Word	11/25/02	Pending	
207	77 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Vehicle stop, Refusal to give name/shield number	11/25/02	Pending	
208	66 Precinct	Charges	F - Physical force; Vehicle search; D - Word, Action; O - Ethnicity	11/25/02	Pending	
209	70 Precinct	Instructions	A - Refusal to process complaint	11/25/02	Command Discipline 'B'	11/30/03
210	Manhattan Narcotics	Charges	F - Radio as club; A - Retaliatory arrest	12/13/02	Pending	
211	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search	12/13/02	Filed - Retired	3/31/03
212	66 Precinct	Charges	D - Other	12/13/02	Pending	
212	66 Precinct	Charges	O - Ethnicity	12/13/02	Pending	
213	Patrol Boro QS HQ	Charges	A - Refusal to give name/shield number; O - Ethnicity	12/23/02	DCT Trial Guilty - 10 vacation days	10/31/03
214	48 Precinct	Charges	D - Word	12/23/02	Instructions	6/30/03
214	48 Precinct	Charges	D - Word	12/23/02	Instructions	6/30/03
215	PSA 3	Charges	D - Word	12/23/02	Pending	
215	PSA 3	Charges	F - Physical force	12/23/02	Pending	
216	TB DT11	Charges	F - Physical force; A - Refusal to give name/shield number; D - Word	12/30/02	Command Discipline 'B'	6/30/03
217	Queens Narcotics	Charges	A - Premises entered and/or searched	12/30/02	Instructions	5/31/03
218	67 Precinct	Charges	A - Property seized	12/30/02	Command Discipline 'B'	9/30/03
219	79 Precinct	Charges	A - Refusal to process complaint	12/30/02	Command Discipline 'B'	10/31/03
220	120 Precinct	Charges	A - Refusal to give name/shield number	12/30/02	Command Discipline 'A'	9/30/03
221	40 Precinct	Charges	D - Word	12/30/02	Instructions	9/30/03
222	Detective Bureau Manhattan Units	Charges	D - Word	12/30/02	Command Discipline 'A'	9/30/03
223	112 Precinct	Command Discipline	A - Threat of arrest	12/30/02	Instructions	9/30/03
224	Detective Bureau Queens Units	Charges	A - Premises entered and/or searched	12/30/02	Command Discipline 'B'	8/31/03
224	Detective Bureau Queens Units	Charges	A - Premises entered and/or searched	12/30/02	Command Discipline 'B'	8/31/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number*	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition**	NYPD Closure Date
1	Bronx Narcotics	Charges	A - Question and/or stop, Frisk and/or search	1/16/03	Command Discipline 'B'	5/31/03
1	Bronx Narcotics	Charges	A - Question and/or stop, Frisk and/or search	1/16/03	Pending	
2	Patrol Boro Queens South ACI	Charges	A - Question and/or stop, Frisk and/or search	1/16/03	Command Discipline 'A'	6/30/03
2	Patrol Boro Queens South ACI	Charges	A - Question and/or stop, Frisk and/or search	1/16/03	Command Discipline 'A'	6/30/03
3	67 Precinct	Charges	A - Refusal to process complaint	1/16/03	Pending	
3	67 Precinct	Charges	F - Other blunt instrument as a club, Physical force; A - Retaliatory arrest	1/16/03	Pending	
4	47 Precinct	Charges	D - Word	1/16/03	Command Discipline 'A'	9/30/03
5	84 Precinct	Charges	F - Physical force; A - Question and/or stop, Threat of arrest, Threat of force, Refusal to provide name/shield; D - Word	1/16/03	Pending	
6	26 Precinct	Charges	A - Refusal to give name/shield number; O - Race	1/16/03	Pending	
7	26 Precinct	Charges	A - Property seized	1/16/03	Command Discipline 'A'	1/31/04
8	Highway Unit #4	Instructions	A - Refusal to give name/shield number	1/16/03	Instructions	8/31/03
9	Queens Narcotics	Charges	F - Physical force	1/22/03	DCT Trial - Not Guilty	5/31/03
10	Manhattan Narcotics	Charges	A - Vehicle search	1/22/03	DCT - Charges Dismissed	4/30/03
10	Manhattan Narcotics	Charges	A - Vehicle search	1/22/03	DCT - Charges Dismissed	4/30/03
10	Manhattan Narcotics	Charges	A - Vehicle search	1/22/03	DCT - Charges Dismissed	4/30/03
11	Brooklyn South Narcotics	Charges	A - Frisk and/or search, Threat of force; D - Word	1/22/03	DCT Negotiation Guilty - 10 vacation days	2/28/04
12	123 Precinct	Charges	A - Retaliatory arrest	1/22/03	Instructions	2/28/03
12	123 Precinct	Charges	F - Physical force	1/22/03	Instructions	3/31/03
13	81 Precinct	Charges	A - Threat of force	1/22/03	Instructions	3/31/03
14	PSA 3	Charges	F - Physical force; A - Question and/or stop	1/22/03	Filed - Retired	3/31/03
15	73 Precinct	Charges	D - Word	1/22/03	Command Discipline 'A'	4/30/03
16	77th Precinct Detective Squad	Charges	A - Premises entered and/or searched, Property damaged	1/22/03	Instructions	5/31/03

* A repeated sequence number indicates that the CCRB substantiated allegations against more than one officer based on a single complaint.

** OATH is the Office of Administrative Trials and Hearings; DCT is the NYPD's Deputy Commissioner for Trials. See Glossary.

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
17	52 Precinct	Instructions	A - Improper dissemination of medical information	1/22/03	Instructions	3/31/03
18	42 Precinct	Charges	F - Radio as club, Hit against inanimate object	1/22/03	Pending	
19	33 Precinct	Charges	D - Word	1/22/03	Command Discipline 'A'	6/30/03
20	77 Precinct	Charges	A - Question and/or stop	1/22/03	Filed - Retired	2/28/04
20	77 Precinct	Charges	F - Hit against inanimate object; A - Frisk and/or search, Threat of arrest	1/22/03	Pending	
20	77 Precinct	Charges	F - Hit against inanimate object; A - Threat of force; D - Word	1/22/03	Pending	
20	77 Precinct	Charges	F - Physical force	1/22/03	Pending	
21	TB DT04	Charges	F - Physical force; D - Word	1/22/03	DCT Negotiation Guilty - 15 vacation days	1/31/04
22	113 Precinct	Charges	O - Race, Ethnicity	1/22/03		
23	Queens Narcotics	Charges	F - Nightstick as club, Physical force; A - Other	1/22/03	DCT Trial - Not Guilty	12/31/03
23	Queens Narcotics	Charges	F - Physical force; A - Other	1/22/03	Filed - Retired	6/30/03
24	13 Precinct	Charges	A - Strip search	1/22/03	DCT Negotiation Guilty - 10 vacation days	12/31/03
25	47 Precinct	Instructions	A - Other	1/22/03	Instructions	9/30/03
26	48 Precinct	Charges	A - Retaliatory arrest; D - Word	1/22/03	Filed - Retired	9/30/03
27	107 Precinct	Charges	F - Physical force; A - Threat of force, Refusal to obtain medical treatment	1/22/03	Filed - Retired	6/30/03
28	67 Precinct	Charges	A - Frisk and/or search, Threat of force	1/22/03	Command Discipline 'B'	9/30/03
29	Queens Narcotics	Charges	A - Strip search	1/22/03	Filed - Retired	2/28/04
30	PSA 5	Charges	F - Physical force; A - Question and/or stop	1/22/03	Pending	
31	47 Precinct	Charges	A - Premises entered and/or searched, Threat of arrest, Threat of force; D - Word	1/22/03	Instructions	10/31/03
32	77 Precinct	Charges	F - Physical force; A - Frisk and/or search	1/22/03	Pending	
32	77 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search	1/22/03	Pending	
33	20 Precinct	Charges	A - Other; O - Sexual orientation	1/22/03	Filed - Retired	5/31/03
33	20 Precinct	Charges	A - Other	1/22/03	Pending	
34	32 Precinct	Command Discipline	A - Refusal to give name/shield number	1/22/03	Command Discipline 'A'	11/30/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
35	43 Precinct	Charges	A - Threat of force, Refusal to give name/shield number	1/22/03	Pending	
36	108 Precinct	Charges	A - Refusal to obtain medical treatment	1/22/03	Command Discipline 'A'	2/28/04
37	72 Precinct	Command Discipline	D - Word	1/22/03	Pending	
38	Manhattan Narcotics	Charges	A - Refusal to give name/shield number	2/5/03	Command Discipline 'A'	6/30/03
39	67 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Other	2/5/03	Pending	
40	77 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Retaliatory summons	2/5/03	Command Discipline 'A'	1/31/04
41	109 Precinct	Charges	A - Threat of arrest, Threat of force; D - Word	2/5/03	Pending	
42	79 Precinct	Charges	A - Frisk and/or search	2/10/03	Command Discipline 'A'	7/31/03
42	Strategic & Tactical CMD Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search; D - Word	2/10/03	DCT Negotiation Guilty - 45 vacation days	8/31/03
43	33 Precinct	Command Discipline	D - Word	2/10/03	Instructions	8/31/03
44	SAT Narc Ops Brooklyn North	Charges	A - Frisk and/or search	2/10/03	Instructions	9/30/03
45	PSA 4	Command Discipline	D - Word	2/10/03	Command Discipline 'A'	11/30/03
46	40 Precinct	Charges	F - Physical force	2/10/03	Pending	
47	113 Precinct	Command Discipline	D - Word	2/10/03	Instructions	8/31/03
48	Midtown South Precinct	Command Discipline	A - Refusal to give name/shield number	2/10/03	Command Discipline 'A'	10/31/03
49	73 Precinct	Charges	A - Question and/or stop, Frisk and/or search	2/28/03	Instructions	6/30/03
49	73 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Vehicle search	2/28/03	Instructions	6/30/03
49	73 Precinct	Charges	A - Vehicle search	2/28/03	Instructions	6/30/03
50	Midtown South Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	2/28/03	Command Discipline 'B'	9/30/03
51	32 Precinct	Command Discipline	A - Refusal to give name/shield number	2/28/03	Command Discipline 'A'	11/30/03
51	32 Precinct	Command Discipline	A - Refusal to give name/shield number	2/28/03	Command Discipline 'A'	1/31/04
52	83 Precinct	Instructions	A - Refusal to give name/shield number	2/28/03	Instructions	8/31/03
53	77 Precinct	Charges	F - Physical force	3/12/03	Pending	
53	77 Precinct	Charges	F - Physical force; A - Frisk and/or search; D - Word, Action	3/12/03	Pending	
53	77 Precinct	Charges	F - Physical force; A - Vehicle search, Threat of force	3/12/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
54	101 Precinct	Charges	A - Refusal to give name/shield number	3/12/03	Command Discipline 'A'	9/30/03
54	101 Precinct	Command Discipline	A - Refusal to give name/shield number	3/12/03	Pending	
54	101 Precinct	Command Discipline	A - Refusal to give name/shield number	3/12/03	Pending	
55	63 Precinct	Charges	D - Word	3/12/03	Command Discipline 'A'	9/30/03
56	Brooklyn South Narcotics	Charges	A - Question and/or stop	3/12/03	Instructions	10/31/03
56	Brooklyn South Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Threat of arrest, Threat of force; O - Sex	3/12/03	Instructions	10/31/03
57	104 Precinct	Charges	F - Pepper spray	3/20/03	Statute of Limitations Expired	11/30/03
58	67 Precinct	Charges	F - Physical force	3/20/03	Pending	
59	Detective Bureau Bronx Units	Charges	A - Other	3/20/03	Pending	
59	Detective Bureau Bronx Units	Charges	A - Other	3/20/03	Pending	
60	44 Precinct	Charges	A - Frisk and/or search	3/20/03	Pending	
60	44 Precinct	Charges	A - Strip search	3/20/03	Pending	
61	Patrol Boro SI HQ	Charges	F - Gun pointed	3/20/03	Instructions	9/30/03
61	Patrol Boro SI HQ	Charges	F - Gun pointed	3/20/03	Instructions	9/30/03
62	Bronx Narcotics	Charges	A - Frisk and/or search, Vehicle stop & search	3/20/03	Instructions	5/31/03
63	40 Precinct	Charges	D - Word	3/20/03	Command Discipline 'A'	10/31/03
64	23 Precinct	Charges	A - Threat of force	3/20/03	Command Discipline 'A'	9/30/03
65	112 Precinct	Charges	A - Refusal to give name/shield number; O - Ethnicity	3/20/03	Pending	
66	Detective Bureau Queens Units	Charges	A - Question and/or stop	3/20/03	Command Discipline 'A'	10/31/03
66	Queens Narcotics	Charges	A - Vehicle search	3/20/03	Command Discipline 'A'	11/30/03
66	Queens Narcotics	Charges	A - Vehicle stop	3/20/03	Command Discipline 'A'	11/30/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
67	PSA 7	Charges	A - Refusal to give name/shield number	3/20/03	Command Discipline 'A'	10/31/03
67	PSA 7	Charges	A - Refusal to give name/shield number	3/20/03	Command Discipline 'A'	10/31/03
67	PSA 7	Charges	A - Refusal to give name/shield number	3/20/03	Command Discipline 'A'	11/30/03
68	84 Precinct	Charges	F - Physical force; D - Word	3/20/03	Command Discipline 'B'	12/31/03
69	43 Precinct	Charges	A - Vehicle search; D - Word	3/20/03	Pending	
70	28 Precinct	Charges	F - Physical force	3/20/03	Pending	
71	75 Precinct	Charges	A - Strip search	3/20/03	Filed - Retired	1/31/04
72	28 Precinct	Charges	A - Question and/or stop	3/20/03	Instructions	8/31/03
73	TB DT03	Charges	F - Physical force	3/31/03	Pending	
74	76 Precinct	Charges	F - Pepper spray	3/31/03	Command Discipline 'A'	9/30/03
75	68 Precinct	Charges	F - Hit against inanimate object	3/31/03	Pending	
76	44 Precinct	Instructions	D - Word	3/31/03	Instructions	6/30/03
77	23 Precinct	Instructions	A - Refusal to give name/shield number	3/31/03	Instructions	6/30/03
78	SI Narcotics	Charges	A - Vehicle stop, Refusal to give name/shield number	3/31/03	Command Discipline 'B'	12/31/03
78	SI Narcotics	Charges	A - Frisk and/or search, Vehicle stop, Refusal to give name/shield number	3/31/03	DCT Negotiation Guilty - 12 vacation days	2/28/04
79	Detective Bureau Queens Units	Charges	F - Physical force; A - Refusal to give name/shield number	3/31/03	Command Discipline 'A'	10/31/03
80	73 Precinct	Charges	A - Refusal to give name/shield number; D - Action	4/18/03	Command Discipline 'A'	8/31/03
81	Patrol Boro Bronx TF	Instructions	A - Refusal to give name/shield number	4/18/03	Instructions	6/30/03
81	Patrol Boro Bronx TF	Instructions	A - Refusal to give name/shield number	4/18/03	Instructions	6/30/03
82	63 Precinct	Charges	F - Physical force	4/18/03	Pending	
83	42 Precinct	Charges	F - Physical force; D - Word	4/18/03	Command Discipline 'A'	10/31/03
84	In-Service Training Section	Charges	A - Threat of force	4/18/03	Instructions	9/30/03
85	43 Precinct	Charges	A - Other	4/21/03	Instructions	9/30/03
86	Detective Bureau Manhattan Units	Charges	A - Other	4/21/03	Command Discipline 'A'	11/30/03
86	26 Precinct	Charges	A - Question and/or stop, Frisk and/or search, Other	4/21/03	Command Discipline 'A'	1/31/04

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
87	110 Precinct	Charges	A - Gun Drawn, Other; D - Word	4/21/03	Pending	
87	110 Precinct	Charges	F - Physical force; D - Demeanor/tone	4/21/03	Pending	
88	TB DT33	Charges	O - Race	4/21/03	Pending	
89	SAT Narc Ops Brooklyn North	Charges	A - Refusal to give name/shield number	4/30/03	Command Discipline 'A'	11/30/03
89	77 Precinct	Charges	A - Retaliatory summons	4/30/03	Filed - Retired	2/28/04
90	Detective Bureau Manhattan Units	Command Discipline	A - Other	4/30/03	Command Discipline 'B'	10/31/03
91	Manhattan Narcotics	Charges	A - Refusal to give name/shield number; D - Word	4/30/03	Command Discipline 'A'	1/31/04
92	PSA 2	Command Discipline	D - Word	4/30/03	Command Discipline 'A'	8/31/03
93	107 Precinct	Command Discipline	A - Refusal to give name/shield number	4/30/03	Filed - Retired	6/30/03
94	Manhattan Narcotics	Instructions	A - Other	4/30/03	Instructions	6/30/03
95	Patrol Boro Queens North ACI	Charges	A - Question and/or stop, Frisk and/or search; O - Race	5/7/03	Command Discipline 'A'	8/31/03
95	Patrol Boro Queens North ACI	Charges	A - Frisk and/or search	5/7/03	Instructions	8/31/03
96	77 Precinct	Charges	A - Vehicle search	5/7/03	Filed - Retired	2/28/04
96	77 Precinct	Charges	F - Other; A - Frisk and/or search	5/7/03	Pending	
97	83 Precinct	Charges	A - Refusal to obtain medical treatment	5/7/03	Pending	
98	PSA 3	Charges	F - Physical force	5/7/03	Command Discipline 'A'	11/30/03
98	PSA 3	Charges	A - Question and/or stop, Frisk and/or search	5/7/03	Command Discipline 'A'	12/31/03
99	Queens Narcotics	Charges	A - Threat of arrest; D - Word	5/7/03	Statute of Limitations Expired	6/30/03
100	Bronx Narcotics	Charges	A - Question and/or stop, Frisk and/or search	5/7/03	Command Discipline 'A'	7/31/03
100	Bronx Narcotics	Charges	D - Word	5/7/03	Command Discipline 'A'	7/31/03
101	79 Precinct	Charges	D - Word	5/7/03	Pending	
101	79 Precinct	Charges	F - Physical force; A - Question and/or stop, Retaliatory summons	5/7/03	Pending	
102	19 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	5/7/03	Command Discipline 'A'	9/30/03
102	19 Precinct	Command Discipline	A - Refusal to give name/shield number	5/7/03	Command Discipline 'A'	11/30/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
103	63 Precinct	Charges	A - Question and/or stop, Frisk and/or search	5/20/03	Pending	
103	Vice	Charges	F - Gun pointed, Physical force	5/20/03	Pending	
104	Manhattan Narcotics	Charges	A - Retaliatory arrest; D - Word	5/20/03	Instructions	9/30/03
105	Brooklyn Narc. District	Charges	A - Refusal to give name/shield number	5/20/03	Command Discipline 'A'	10/31/03
106	78 Precinct	Charges	A - Refusal to give name/shield number; D - Word	5/20/03	Command Discipline 'A'	10/31/03
107	48 Precinct	Charges	F - Physical force	5/20/03	Pending	
108	24 Precinct	Charges	A - Threat of arrest	5/20/03	Command Discipline 'A'	12/31/03
109	TB DT30	Charges	A - Refusal to give name/shield number	5/20/03	Command Discipline 'A'	10/31/03
110	PSA 4	Charges	A - Refusal to give name/shield number	5/20/03	Command Discipline 'A'	1/31/04
111	49 Precinct	Charges	D - Word	5/20/03	Pending	
112	Patrol Boro Brooklyn North TF	Charges	A - Question and/or stop, Frisk and/or search	5/20/03	Pending	
113	Warrant Section (Division)	Charges	F - Physical force, Chokehold; D - Word	6/9/03	Pending	
114	PC Office	Charges	A - Retaliatory arrest	6/9/03	Department Unable to Prosecute	9/30/03
114	78 Precinct	Charges	F - Physical force, Hit against inanimate object; A - Threat of force	6/9/03	Pending	
115	46 Precinct	Charges	A - Strip search	6/9/03	Pending	
116	30 Precinct	Charges	A - Threat of force	6/9/03	Command Discipline 'A'	11/30/03
116	30 Precinct	Charges	A - Retaliatory arrest; D - Word; O - Race	6/9/03	Pending	
117	PSA 3	Charges	D - Word, Action	6/9/03	Command Discipline 'A'	11/30/03
118	PSA 8	Charges	A - Refusal to process complaint	6/9/03	Command Discipline 'A'	2/28/04
118	PSA 8	Charges	D - Word	6/9/03	Command Discipline 'A'	2/28/04
119	SAT Narc Ops Brooklyn North	Charges	A - Vehicle stop, Vehicle search, Other	6/9/03	Command Discipline 'B'	2/28/04
119	Manhattan Narcotics	Charges	A - Frisk and/or search	6/9/03	Instructions	2/28/04
120	TB DT01	Command Discipline	A - Refusal to process complaint	6/9/03	Pending	
120	TB Homeless Outreach Unit	Command Discipline	D - Word	6/9/03	Pending	
121	TB DT20	Charges	F - Physical force; D - Word	6/9/03	Instructions	8/31/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
122	44 Precinct	Command Discipline	A - Refusal to give name/shield number	6/11/03	Instructions	1/31/04
123	47 Precinct	Command Discipline	A - Refusal to give name/shield number	6/11/03	Command Discipline 'A'	1/31/04
124	23 Precinct	Charges	F - Physical force, D - Word	6/11/03	Pending	
125	Brooklyn South Narcotics	Command Discipline	A - Frisk and/or search	6/11/03	Instructions	9/30/03
126	Manhattan Narcotics	Charges	A - Question and/or stop, Frisk and/or search	6/19/03	Pending	
126	Manhattan Narcotics	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Threat of force	6/19/03	Pending	
127	Queens Narcotics	Charges	A - Strip search	6/19/03	Filed - Retired	7/31/03
128	62 Precinct	Charges	D - Word	6/19/03	Pending	
129	79rd Precinct Detective Squad	Charges	A - Threat of arrest, Threat to notify ACS; D - Word	6/19/03	Pending	
130	67 Precinct	Charges	A - Refusal to process complaint	6/19/03	Pending	
131	73 Precinct	Charges	F - Physical force	6/19/03	Pending	
132	40 Precinct	Charges	F - Chokehold, Word	6/27/03	Pending	
133	Personnel Bureau HQ	Charges	F - Physical force; A - Refusal to obtain medical treatment	6/27/03	Command Discipline 'A'	8/31/03
133	30 Precinct	Charges	F - Physical force	6/27/03	Pending	
134	81 Precinct	Command Discipline	D - Word	6/27/03	Command Discipline 'A'	12/31/03
135	25 Precinct	Command Discipline	A - Refusal to give name/shield number	6/27/03	Command Discipline 'A'	12/31/03
135	Manhattan Narcotics	Command Discipline	A - Strip search	6/27/03	Pending	
136	23 Precinct	Instructions	A - Frisk and/or search	6/27/03	Instructions	10/31/03
136	23 Precinct	Instructions	A - Frisk and/or search	6/27/03	Instructions	10/31/03
137	49 Precinct	Charges	F - Physical force; D - Word, Action; O - Race	6/27/03	Pending	
138	60 Precinct	Instructions	A - Refusal to give name/shield number	6/27/03	Command Discipline 'A'	1/31/04
139	104 Precinct	Command Discipline	A - Refusal to give name/shield number	6/27/03	Pending	
139	104 Precinct	Command Discipline	A - Refusal to give name/shield number	6/27/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
140	Detective Bureau Brooklyn South Units	Charges	A - Premises entered and/or searched	6/27/03	Pending	
140	Detective Bureau Brooklyn South Units	Charges	A - Premises entered and/or searched	6/27/03	Pending	
141	17 Precinct	Command Discipline	D - Word	6/27/03	Pending	
142	Central Park Precinct	Command Discipline	D - Gesture	6/27/03	Instructions	12/31/03
143	73 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Demeanor/tone	6/27/03	Pending	
144	43 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	6/27/03	Command Discipline 'A'	10/31/03
144	43 Precinct	Command Discipline	A - Retaliatory summons	6/27/03	Command Discipline 'A'	10/31/03
144	43 Precinct	Command Discipline	D - Word	6/27/03	Command Discipline 'A'	10/31/03
145	111 Precinct	Command Discipline	A - Refusal to give name/shield number	6/27/03	Pending	
146	49 Precinct	Command Discipline	A - Refusal to give name/shield number	6/27/03	Instructions	2/28/04
147	44 Precinct	Charges	F - Physical force; A - Threat of arrest, Retaliatory summons	7/7/03	Pending	
148	77 Precinct	Charges	F - Frisk and/or search, Vehicle search	7/7/03	Pending	
148	77 Precinct	Charges	F - Physical force	7/7/03	Pending	
149	70 Precinct	Charges	F - Physical force; A - Question and/or stooped, Retaliatory arrest; D - Word	7/7/03	Pending	
150	77 Precinct	Charges	A - Frisk and/or search, Refusal to provide name/shield number	7/7/03	Pending	
150	77 Precinct	Charges	A - Frisk and/or search, Vehicle stop & search, Retaliatory summons	7/7/03	Pending	
151	Intelligence Division	Command Discipline	D - Word	7/7/03	Command Discipline 'A'	11/30/03
152	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search, Retaliatory arrest; D - Word	7/7/03	Pending	
152	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop; D - Word	7/7/03	Pending	
152	Undetermined	Charges	A - Frisk and/or search	7/7/03	Pending	
153	115 Precinct	Command Discipline	O - Ethnicity	7/7/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
154	SAT Narc Ops Brooklyn North	Charges	A - Question and/or stop, Frisk and/or search	7/7/03	Command Discipline 'B'	2/28/04
155	Gang Units	Charges	A - Vehicle search	7/7/03	Instructions	9/30/03
156	71 Precinct	Charges	A - Threat of arrest, Refusal to process complaint	7/7/03	Command Discipline 'A'	11/30/03
157	103 Precinct	Charges	A - Threat of summons, Threat of arrest, Other; D - Word	7/7/03	Pending	
157	103 Precinct	Charges	F - Physical force; A - Threat of summons, Threat of arrest, Other; D - Word	7/7/03	Pending	
158	Queens Narcotics	Charges	A - Strip search	7/7/03	Pending	
159	Queens Narcotics	Charges	A - Question and/or stop	7/7/03	Pending	
160	Midtown South Precinct	Charges	A - Question and/or stop, Frisk and/or search	7/7/03	Pending	
160	Midtown South Precinct	Charges	A - Question and/or stop, Threat of arrest	7/7/03	Pending	
161	102 Precinct	Charges	A - Threat of arrest	7/11/03	Command Discipline 'A'	11/30/03
162	44 Precinct	Charges	F - Other blunt instrument as a club	7/11/03	Pending	
163	Detective Bureau Queens Units	Charges	A - Vehicle stop, Refusal to give name/shield number; D - Word	7/11/03	Pending	
164	25 Precinct	Charges	A - Refusal to give name/shield number	7/11/03	Filed - Resigned	2/28/04
165	Manhattan Narcotics	Charges	F - Physical force; A - Threat of arrest	7/28/03	Pending	
166	114 Precinct	Command Discipline	D - Word, Action	7/28/03	Command Discipline 'A'	12/31/03
167	122 Precinct	Charges	D - Word	7/28/03	Instructions	12/31/03
168	6 Precinct	Charges	A - Retaliatory summons	7/28/03	Command Discipline 'A'	2/28/04
169	28 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Word	7/28/03	Pending	
170	76 Precinct	Instructions	A - Refusal to give name/shield number	7/28/03	Instructions	10/31/03
170	76 Precinct	Instructions	A - Refusal to give name/shield number	7/28/03	Instructions	10/31/03
171	Detective Bureau Brooklyn South Units	Charges	A - Vehicle stop; D - Word	7/28/03	Pending	
172	Missing Persons Squad	Charges	A - Refusal to give name/shield number	7/28/03	Filed - Deceased	8/31/03

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
173	Midtown South Precinct	Charges	F - Physical force	8/13/03	Pending	
174	Warrant Section (Division)	Charges	A - Refusal to give name/shield number	8/13/03	Command Discipline 'A'	12/31/03
174	Warrant Section (Division)	Charges	A - Refusal to give name/shield number	8/13/03	Command Discipline 'A'	12/31/03
174	Warrant Section (Division)	Charges	A - Refusal to give name/shield number	8/13/03	Command Discipline 'A'	12/31/03
175	30 Precinct	Charges	D - Word	8/13/03	Pending	
176	19 Precinct	Charges	A - Other; D - Word	8/13/03	Command Discipline 'A'	2/28/04
177	Bronx Narcotics	Charges	A - Question and/or stop, Frisk and/or search, Vehicle search, Threat of summons, Threat of arrest; D - Word	8/13/03	Pending	
177	Bronx Narcotics	Charges	A - Vehicle search, Threat of arrest; D - Word	8/13/03	Pending	
178	42 Precinct	Charges	A - Refusal to give name/shield number; D - Word	8/13/03	Pending	
179	Gang Units	Charges	A - Refusal to give name/shield number; D - Word	8/13/03	Pending	
180	Intelligence Division	Charges	A - Word	8/13/03	Instructions	2/28/04
181	71 Precinct	Charges	A - Retaliatory arrest	8/13/03	Pending	
182	120th Precinct Detective Squad	Command Discipline	D - Word	8/19/03	Pending	
183	120 Precinct	Charges	A - Refusal to obtain medical treatment; D - Word	8/19/03	Pending	
183	120 Precinct	Charges	A - Other	8/19/03	Pending	
183	120 Precinct	Charges	A - Refusal to give name/shield number	8/19/03	Pending	
184	81 Precinct	Charges	F - Chokehold	8/19/03	DCT - Charges Dismissed	2/28/04
185	90 Precinct	Charges	D - Word	8/19/03	Pending	
186	109 Precinct	Charges	A - Question and/or stop, Threat of arrest, Threat of force	8/19/03	Pending	
187	79 Precinct	Charges	D - Action	8/19/03	Pending	
188	Manhattan Narcotics	Charges	A - Retaliatory arrest; D - Word	9/2/03	Instructions	2/28/04
189	Narcotics Boro Brooklyn North	Charges	F - Hit against inanimate object, Physical force	9/2/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
190	52 Precinct	Charges	F - Physical force	9/2/03	Pending	
190	52 Precinct	Charges	F - Physical force	9/2/03	Pending	
191	PSA 3	Charges	A - Retaliatory summons	9/2/03	Instructions	12/31/03
191	PSA 3	Charges	F - Physical force, Frisk and/or search	9/2/03	Instructions	12/31/03
191	PSA 3	Charges	A - Question and/or stop, Frisk and/or search, Retaliatory summons, Other	9/2/03	Pending	
192	Manhattan Narcotics	Charges	A - Question and/or stop	9/2/03	Pending	
192	Manhattan Narcotics	Charges	A - Question and/or stop, Frisk and/or search	9/2/03	Pending	
193	Health Services	Command Discipline	A - Refusal to give name/shield number; D - Action	9/2/03	Pending	
194	Brooklyn South Narcotics	Charges	F - Physical force; A - Threat of force, Retaliatory summons; D - Word	9/11/03	Pending	
195	Midtown South Precinct	Charges	F - Physical force; D - Word	9/11/03	Pending	
196	48 Precinct	Charges	A - Frisk and/or search, Retaliatory summons, Other	9/11/03	Pending	
197	42 Precinct	Charges	A - Question and/or stop, Retaliatory summons	9/11/03	Pending	
197	42 Precinct	Charges	A - Question and/or stop, Retaliatory summons	9/11/03	Pending	
198	Midtown South Precinct	Command Discipline	A - Refusal to give name/shield number	9/11/03	Instructions	2/28/04
199	Detective Bureau Queens Units	Charges	A - Premises entered and/or searched, Threat of arrest	9/11/03	Pending	
200	83 Precinct	Charges	A - Question and/or stop	9/11/03	Pending	
201	112 Precinct	Charges	D - Demeanor/tone	9/29/03	Instructions	11/30/03
201	112 Precinct	Charges	D - Word	9/29/03	Instructions	11/30/03
202	Patrol Boro Manhattan South TF	Charges	A - Refusal to obtain medical treatment	9/29/03	Pending	
203	81 Precinct	Charges	F - Handcuffs too tight	9/29/03	Pending	
204	100 Precinct	Charges	A - Refusal to process complaint	9/29/03	Pending	
205	PSA 2	Charges	A - Frisk and/or search	9/29/03	Pending	
205	PSA 2	Charges	A - Question and/or stop, Frisk and/or search	9/29/03	Pending	
206	114 Precinct	Charges	F - Physical force	9/29/03	Pending	
206	114 Precinct	Charges	O - Sexual Orientation	9/29/03	Pending	
207	Midtown South Precinct	Charges	A - Refusal to obtain medical treatment	9/29/03	Pending	
208	113 Precinct	Charges	A - Refusal to give name/shield number; D - Word	9/29/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
209	Intelligence Division	Command Discipline	F - Physical force; A - Other; D - Word	9/29/03	Command Discipline 'A'	2/28/04
210	44 Precinct	Charges	F - Gun pointed; A - Threat of force, Retaliatory arrest; D - Word	9/29/03	Pending	
211	43 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search, Threat of force	10/27/03	Pending	
212	Queens Narcotics	Command Discipline	D - Word	10/27/03	Command Discipline 'A'	1/31/04
213	20 Precinct	Command Discipline	A - Refusal to give name/shield number; D - Action	10/27/03	Pending	
214	68 Precinct	Charges	A - Other; D - Word	10/27/03	DCT Negotiation Guilty - 35 vacation days	2/28/04
215	47 Precinct	Charges	A - Question and/or stop	10/27/03	Pending	
215	47 Precinct	Charges	A - Question and/or stop, Retaliatory summons	10/27/03	Pending	
216	105 Precinct	Charges	D - Word	10/27/03	Filed - Retired	11/30/03
217	9 Precinct	Charges	F - Handcuffs too tight; A - Question and/or stop, Frisk and/or search, Retaliatory summons, Refusal to obtain medical treatment; D - Demeanor/tone	10/27/03	Pending	
218	79 Precinct	Charges	A - Vehicle stop	10/31/03	Pending	
218	83 Precinct	Charges	F - Physical force; A - Frisk and/or search, Vehicle stop, Refusal to obtain medical treatment; D - Word	10/31/03	Pending	
219	Manhattan Narcotics	Charges	A - Strip search	10/31/03	Pending	
220	47 Precinct	Charges	F - Physical force	10/31/03	Pending	
221	120 Precinct	Charges	A - Threat of arrest	10/31/03	Pending	
222	Bronx Narcotics	Charges	A - Frisk and/or search	10/31/03	Instructions	2/28/04
223	TB DT20	Charges	F - Physical force; A - Question and/or stop	10/31/03	Command Discipline 'A'	2/28/04
224	TB DT01	Charges	D - Word	10/31/03	Pending	
225	Bronx Narcotics	Charges	A - Frisk and/or search	10/31/03	Pending	
225	Bronx Narcotics	Charges	A - Frisk and/or search	10/31/03	Pending	
226	Detective Bureau Manhattan Units	Charges	D - Word	10/31/03	Pending	
227	TB DT01	Charges	D - Demeanor/tone	10/31/03	Instructions	1/31/04

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
228	Queens Narcotics	Charges	F - Chokehold	10/31/03	Pending	
229	75 Precinct	Command Discipline	A - Premises entered and/or searched	11/13/03	Pending	
230	Detective Bureau Bronx Units	Charges	A - Premises entered and/or searched	11/13/03	Pending	
230	Detective Bureau Bronx Units	Charges	A - Premises entered and/or searched, Threat of arrest, Other; D - Word	11/13/03	Pending	
231	Brooklyn South Narcotics	Command Discipline	F - Physical force; A - Premises entered and/or searched	11/13/03	Pending	
232	34 Precinct	Charges	F - Radio as club, Physical force; D - Word	11/13/03	Pending	
233	48 Precinct	Command Discipline	A - Refusal to give name/shield number	11/13/03	Command Discipline 'A'	2/28/04
234	PSA 4	Charges	A - Question and/or stop, Frisk and/or search	11/13/03	Pending	
235	Patrol Boro Bronx ACI	Charges	A - Frisk and/or search, Vehicle stop & searched	11/13/03	Command Discipline 'B'	12/31/03
236	25 Precinct	Charges	A - Refusal to process complaint	11/13/03	Pending	
237	108 Precinct	Charges	F - Physical force; A - Refusal to obtain medical treatment; O - Ethnicity	11/13/03	Pending	
238	84th Precinct Detective Squad	Charges	F - Gun pointed; A - Frisk and/or search, Refusal to give name/shield number	11/13/03	Pending	
239	PSA 2	Command Discipline	A - Refusal to give name/shield number	11/13/03	Pending	
240	Detective Bureau Brooklyn South Units	Charges	F - Vehicle	11/17/03	Pending	
241	20 Precinct	Command Discipline	D - Other	11/17/03	Instructions	2/28/04
242	SI Narcotics	Charges	A - Question and/or stop, Frisk and/or search	11/17/03	Instructions	2/28/04
242	SI Narcotics	Charges	A - Question and/or stop, Frisk and/or search	11/17/03	Instructions	2/28/04
243	52 Precinct	Command Discipline	D - Word	11/17/03	Pending	
244	107 Precinct	Command Discipline	D - Word	11/19/03	Pending	
245	PSA 7	Charges	A - Threat of arrest; D - Demeanor/tone; O - Ethnicity	11/19/03	Pending	
246	63 Precinct	Command Discipline	A - Refusal to give name/shield number	11/19/03	Pending	
247	81 Precinct	Command Discipline	A - Strip search	11/24/03	Command Discipline 'A'	12/31/03
248	77th Precinct Detective Squad	Charges	A - Threat of force, Other	11/24/03	Filed - Retired	1/31/04

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
249	PSA 8	Charges	F - Chokehold, Physical force	11/24/03	Pending	
250	33 Precinct	Charges	F - Pepper spray	11/24/03	Pending	
251	120 Precinct	Charges	A - Refusal to give name/shield number	11/24/03	Pending	
251	120 Precinct	Charges	A - Refusal to give name/shield number; O - Race	11/24/03	Pending	
252	Manhattan Narcotics	Charges	F - Physical force; A - Threat of arrest, Refusal to give name/shield number; D - Word	11/24/03	Pending	
253	120 Precinct	Command Discipline	D - Word, Action	11/24/03	Pending	
254	Detective Bureau Bronx Units	Command Discipline	D - Word	11/24/03	Pending	
255	50 Precinct	Charges	A - Threat of summons, Refusal to give name/shield number	11/24/03	Pending	
255	50 Precinct	Charges	A - Threat of summons, Threat of force, Refusal to give name/shield number; D - Word; O - Race	11/24/03	Pending	
256	81 Precinct	Command Discipline	A - Frisk and/or search	11/24/03	Pending	
256	81 Precinct	Command Discipline	A - Frisk and/or search	11/24/03	Pending	
257	Warrant Section (Division)	Command Discipline	D - Word	11/24/03	Instructions	2/28/04
258	Manhattan Narcotics	Charges	A - Question and/or stop	11/25/03	Pending	
259	6 Precinct	Charges	A - Refusal to give name/shield number; D - Action	11/25/03	Pending	
260	45 Precinct	Charges	A - Word	11/25/03	Pending	
261	Detective Bureau Brooklyn South Units	Charges	A - Premises entered and/or searched	12/10/03	Pending	
262	Applicant Processing Div	Charges	F - Physical force; A - Property damaged	12/10/03	Pending	
263	13 Precinct	Charges	F - Physical force	12/10/03	Pending	
264	Bronx Narcotics	Charges	A - Frisk and/or search, Other	12/17/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
265	Bronx Narcotics	Charges	F - Gun pointed, Physical force; A - Frisk and/or search, Other; D - Word	12/17/03	Pending	
265	Bronx Narcotics	Charges	F - Gun pointed, Physical force; A - Question and/or stop, Frisk and/or search, Vehicle search, Refusal to give name/shield number, Refusal to obtain medical treatment, Other; D - Word; O - Ethnicity	12/17/03	Pending	
266	67 Precinct	Charges	A - Question and/or stop	12/17/03	Pending	
266	67 Precinct	Charges	A - Question and/or stop	12/17/03	Pending	
266	67 Precinct	Charges	F - Physical force; A - Question and/or stop, Frisk and/or search; D - Word	12/17/03	Pending	
267	47 Precinct	Charges	A - Other	12/17/03	Pending	
267	47 Precinct	Charges	D - Word	12/17/03	Pending	
268	77 Precinct	Charges	A - Frisk and/or search, Threat of arrest; D - Word	12/17/03	Pending	
268	77 Precinct	Charges	A - Question and/or stop	12/17/03	Pending	
269	75 Precinct	Charges	O - Race	12/17/03	Pending	
270	68 Precinct	Command Discipline	A - Refusal to give name/shield number	12/17/03	Pending	
271	78 Precinct	Charges	A - Question and/or stop	12/17/03	Instructions	1/31/04
271	78 Precinct	Charges	A - Threat of force	12/17/03	Instructions	1/31/04
271	78 Precinct	Charges	F - Gun pointed, Threat of force	12/17/03	Instructions	1/31/04
272	75 Precinct	Charges	A - Frisk and/or search	12/17/03	Pending	
273	62 Precinct	Charges	A - Retaliatory summons; O - Religion	12/17/03	Pending	
273	62 Precinct	Charges	A - Vehicle stop	12/17/03	Pending	
273	62 Precinct	Charges	A - Vehicle stop, Retaliatory summons	12/17/03	Pending	
274	Highway Unit #2	Charges	D - Word	12/17/03	Pending	
275	TB Brooklyn TF	Command Discipline	D - Word	12/17/03	Filed - Retired	1/31/04
276	Gang Units	Charges	A - Question and/or stop, Frisk and/or search	12/19/03	Command Discipline 'A'	2/28/04
276	Gang Units	Charges	A - Question and/or stop, Frisk and/or search	12/19/03	Command Discipline 'A'	2/28/04
277	84 Precinct	Charges	A - Refusal to give name/shield number	12/19/03	Pending	
277	Patrol Boro Brooklyn North ACI	Charges	A - Refusal to give name/shield number	12/19/03	Pending	
277	Patrol Boro Brooklyn North ACI	Charges	F - Physical force; A - Refusal to give name/shield number	12/19/03	Pending	

Table 49E: Police Department Discipline and Punishment on CCRB Cases Substantiated in 2003

Sequence Number	Precinct / Command	CCRB Panel Recommendation	Substantiated Allegation(s)	CCRB Panel Date	NYPD Disposition	NYPD Closure Date
278	Bronx Narcotics	Command Discipline	F - Physical force	12/19/03	Pending	
279	Patrol Boro Brooklyn North ACI	Charges	A - Refusal to obtain medical treatment	12/19/03	Pending	
280	Patrol Boro Brooklyn North TF	Charges	A - Refusal to give name/shield number	12/19/03	Pending	
281	63 Precinct	Charges	F - Physical force, Other; O - Race	12/29/03	Pending	
282	70 Precinct	Charges	F - Physical force	12/29/03	Pending	
283	Detective Bureau Brooklyn South Units	Charges	A - Question and/or stop	12/29/03	Filed - Retired	2/28/04
283	Gang Units	Charges	A - Question and/or stop	12/29/03	Pending	
284	PSA 2	Charges	D - Action	12/29/03	Pending	
285	43 Precinct	Charges	F - Physical force; D - Word	12/29/03	Pending	
286	30 Precinct	Charges	F - Gun pointed	12/29/03	Pending	
287	Patrol Boro Brooklyn North TF	Charges	A - Frisk and/or search	12/29/03	Pending	
287	Patrol Boro Brooklyn North TF	Charges	A - Frisk and/or search, Vehicle search, Other; D - Word	12/29/03	Pending	
287	Patrol Boro Brooklyn North TF	Charges	A - Question and/or stop	12/29/03	Pending	
288	PSA 2	Charges	A - Threat of arrest	12/29/03	Pending	
289	Court Division	Charges	D - Word	12/29/03	Pending	
290	114 Precinct	Command Discipline	A - Threat of force; D - Word	12/30/03	Pending	
290	114 Precinct	Command Discipline	D - Word	12/30/03	Pending	
291	PSA 7	Charges	F - Physical force; A - Premises entered and/or searched	12/30/03	Pending	
291	PSA 7	Charges	F - Physical force; A - Threat of force	12/30/03	Pending	
292	122 Precinct	Charges	A - Frisk and/or search, Refusal to give name/shield number	12/30/03	Pending	
292	122 Precinct	Charges	A - Frisk and/or search, Refusal to give name/shield number	12/30/03	Pending	
292	122 Precinct	Charges	A - Other	12/30/03	Pending	
293	75 Precinct	Command Discipline	A - Refusal to give name/shield number	12/30/03	Pending	
294	PSA 2	Charges	F - Gun pointed	12/30/03	Pending	

**Appendix D:
New York City Charter and
Executive Order 40**

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NEW YORK CITY CHARTER
CHAPTER 18 - A
CIVILIAN COMPLAINT REVIEW BOARD

§ 440. Public complaints against members of the police department. (a) It is in the interest of the people of the city of New York and the New York City police department that the investigation of complaints concerning misconduct by officers of the department towards members of the public be complete, thorough and impartial. These inquiries must be conducted fairly and independently, and in a manner in which the public and the police department have confidence. An independent civilian complaint review board is hereby established as a body comprised solely of members of the public with the authority to investigate allegations of police misconduct as provided in this section.

(b) Civilian complaint review board

1. The civilian complaint review board shall consist of thirteen members of the public appointed by the mayor, who shall be residents of the city of New York and shall reflect the diversity of the city's population. The members of the board shall be appointed as follows: (i) five members, one from each of the five boroughs, shall be designated by the city council; (ii) three members with experience as law enforcement professional shall be designated by the police commissioner; and (iii) the remaining five members shall be selected by the mayor. The mayor shall select one of the members to be chair.

2. No members of the board shall hold any other public office or employment. No members, except those designated by the police commissioner, shall have experience as law enforcement professionals, or be former employee of the New York City police department. For the purposes of this section, experience as law enforcement professionals shall include experience as a police officer, criminal investigator, special agent, or a managerial or supervisory employee who exercised substantial policy discretion on law enforcement matters, in a federal, state, or local law enforcement agency, other than experience as an attorney in a prosecutorial agency.

3. The members shall be appointed for terms of three years, except that of the members first appointed, four shall be appointed for terms of one year, of whom one shall have been designated by the council and two shall have been designated by the police commissioner, four shall be appointed for

terms of two years, of whom two shall have been designated by the council, and five shall be appointed for terms of three years, of whom two shall have been designated by the council and one shall have been designated by the police commissioner.

4. In the event of a vacancy on the board during term of office of a member by a reason of removal, death, resignation, or otherwise, a successor shall be chosen in the same manner as the original appointment. A member appointed to fill a vacancy shall serve for the balance of the unexpired term.

(c) Powers and duties of the board.

1. The board shall have the power to receive, investigate, hear, make findings and recommend action upon complaints by members of the public against members of the police department that allege misconduct involving excessive use of force, abuse of authority, discourtesy, or use of offensive language, including, but not limited to, slurs relating to race, ethnicity, religion, gender, sexual orientation and disability. The findings and recommendations of the board, and the basis therefor, shall be submitted to the police commissioner. No finding or recommendation shall be based solely upon an unsworn complaint or statement, nor shall prior unsubstantiated, unfounded or withdrawn complaints be the basis for any such findings or recommendation.

2. The board shall promulgate rules of procedures in accordance with the city administrative procedure act, including rules that prescribe the manner in which investigations are to be conducted and recommendations made and the manner by which a member of the public is to be informed of the status of his or her complaint. Such rules may provide for the establishment of panels, which shall consist of not less than three members of the board, which shall be empowered to supervise the investigation of complaints, and to hear, make findings and recommend action on such complaints. No such panel shall consist exclusively of members designated by the council, or designated by the police commissioner, or selected by the mayor.

3. The board, by majority vote of its members may compel the attendance of witnesses and require the production of such records and other

materials as are necessary for the investigation of complaints submitted pursuant to this section.

4. The board shall establish a mediation program pursuant to which a complainant may voluntarily choose to resolve a complaint by means of informal conciliation.

5. The board is authorized, within appropriations available therefor, to appoint such employees as are necessary to exercise its powers and fulfill its duties. The board shall employ civilian investigators to investigate all complaints.

6. The board shall issue to the mayor and the city council a semi-annual report which describe its activities and summarize its actions.

7. The board shall have the responsibility of informing the public about the board and its duties, and shall develop and administer an on-going program for the education of the public regarding the provisions of its chapter.

(d) Cooperation of police department.

1. It shall be the duty of the police department to provide such assistance as the board may reasonably request, to cooperate fully with investigations by the board, and to provide to the board upon request records and other materials which are necessary for the investigation of complaints submitted pursuant to this section, except such records or materials that cannot be disclosed by law.

2. The police commissioner shall ensure that officers and employees of the police department appear before and respond to inquiries of the board and its civilian investigators in connection with the investigation of complaints submitted pursuant to this section, provided that such inquiries are conducted in accordance with department procedures for interrogation of members.

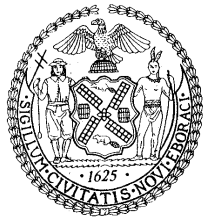
3. The police commissioner shall report to the board on any action taken in cases in which the board submitted a finding or recommendation to the police commissioner with respect to a complaint.

(e) The provisions of this section shall not be construed to limit or impair the authority of the police commissioner to discipline members of the department. Nor shall the provisions of this section be construed to limit the rights of members of the department with respect to disciplinary action, including but not limited to the right to notice and a hearing, which may be established by any provision of law or otherwise.

(f) The provisions of this section shall not be construed to prevent or hinder the investigation or prosecution of member of the department for violations of law by any court of competent jurisdiction, a grand jury, district attorney, or other authorized officer, agency or body.

HISTORICAL NOTE

Section added LL 1/1993 § 1 eff. July 4, 1993



THE CITY OF NEW YORK
OFFICE OF THE MAYOR
NEW YORK, N.Y. 10007

EXECUTIVE ORDER NO. 40

October 21, 1997

NOTIFICATION AND PROCESSING OF CIVILIAN COMPLAINTS

WHEREAS, the Civilian Complaint Review Board is charged with the legislative mandate to fairly and independently investigate certain allegations of police misconduct toward members of the public; and

WHEREAS, it is of the utmost importance that members of the public and the New York City Police Department have confidence in the professionalism and impartiality of the Civilian Complaint Review Board; and

WHEREAS, pursuant to the Charter, and the Rules of the CCRB the individuals who have filed complaints with the Civilian Complaint Review Board have the right to be kept apprised of both the status and results of their complaints brought against members of the New York City Police Department; and

WHEREAS, it is important to investigate and resolve civilian complaints in a timely manner; and

WHEREAS, the sharing of information between the Civilian Complaint Review Board and the New York City Police Department is essential to the effective investigation of civilian complaints;

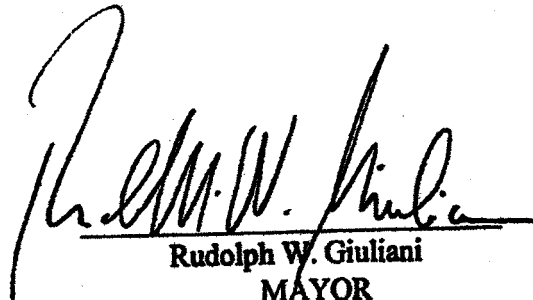
NOW THEREFORE, by the power invested in me as Mayor of the City of New York, it hereby is ordered:

Section 1 - Notice to Civilian Complainants. The Commissioner of the New York City Police Department and the Civilian Complaint Review Board shall expeditiously:

- A. Establish standards for providing timely written notice to civilian complainants regarding the status of civilian complaints during the stages of the Civilian Complaint Review Board's review and investigation process, including final Board action on the pending complaint.
- B. Establish standards for providing timely written notice to civilian complainants regarding the disposition of all cases referred for disciplinary action by the Civilian Complaint Review Board to the Commissioner for the New York City Police Department, including the result of all such referred cases.
- C. The standards established shall require that complainants be given a name, address and telephone number of an individual to contact in order to give or obtain information.

Section 2. The Police Commissioner and the Civilian Complaint Review Board shall establish standards for the timely processing and resolution of civilian complaints and the sharing of necessary information between the agencies.

Section 3. This order shall take effect immediately.



Rudolph W. Giuliani
MAYOR

Appendix E: Glossary

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Abuse of authority: Abuse of authority includes the improper use of police powers to threaten, intimidate or otherwise mistreat a civilian. Examples include threats of force and improper stops, frisks, and searches.

Alleged victim: The alleged victim is any individual against whom a police officer is alleged to have committed misconduct. The alleged victim need not be the person who filed the actual complaint with the CCRB. For example, if a mother files a complaint that her son was improperly strip-searched, the son is the alleged victim of the misconduct.

Allegation: Each individual act of misconduct raised by a complainant, witness, or alleged victim against each officer is called an allegation. Thus, if someone files a complaint stating that one police officer punched him while another shouted a racial epithet at his friend, the complaint contains two separate allegations. If two officers are accused of punching one alleged victim and shouting racial epithets at his friend, there will be four allegations raised by the complaint. Since many complaints have multiple alleged victims, and each alleged victim can make (or have made on his or her behalf) multiple allegations against more than one officer, the total number of allegations is always substantially higher than the total number of complaints.

Alternative dispute resolution (ADR): Alternative dispute resolution refers to non-confrontational methods of resolving complaints or conflicts. The CCRB's ADR procedure is mediation (see below).

Charges and specifications: Charges and specifications are the most serious disciplinary measure that may be applied to a police officer with one or more substantiated allegations. It involves the lodging of formal administrative charges against the subject officer who, as a result, may face an administrative hearing. Such hearings are conducted by the department's deputy commissioner for trials and his or her assistants. The recommended penalties range from loss of vacation days or of pay for up to thirty days, sometimes coupled with dismissal probation for a period of up to one year or, at maximum, termination from the police department.

Civilian: At the CCRB, a civilian is any person who is not a police officer.

Command: A command is either a precinct or specialized unit to which an officer is assigned. Officers assigned to a precinct patrol the area within the precinct's boundaries, while officers in

a specialized command (for example, the narcotics division) carry out specialized duties over a greater area.

Command discipline: A command discipline is a punishment imposed by an officer's commanding officer, ranging in seriousness from an oral admonishment and training up to a forfeiture of ten vacation days.

Complaint: A complaint consists of one or more allegations of misconduct by one or more uniformed member(s) of the New York Police Department. When someone contacts the CCRB to allege police misconduct, a case file is opened for that complaint. Even if there are allegations that multiple officers engaged in multiple acts of misconduct against multiple civilians, the entire incident is captured as one complaint.

Complainant/victim: If the alleged victim (see above) also files the complaint, the person is referred to by the CCRB as the complainant/victim. Such determination does not exclude other persons from also being alleged victims. For example, in a case where three friends are stopped and frisked and only one files a complaint, all three are alleged victims, but only the person who filed the complaint is a complainant/victim.

Complainant: A person who files a complaint is called a complainant, whether or not the person is the alleged victim of misconduct. For example, where a mother files a complaint on behalf of her son, whom she claims was improperly strip-searched, the mother is the complainant.

CTS: The CCRB's complaint tracking system is an in-house database program that the CCRB uses to track all relevant information regarding complaints filed with the CCRB.

DCT: Deputy commissioner for trials, who is in charge of the police department's administrative tribunal.

Discourtesy: As a CCRB allegation, discourtesy includes rude or obscene gestures and/or language.

Docket: The agency docket includes all open cases at a given time.

Exonerated: The board will vote that an allegation should be exonerated if the subject officer (see below) was found to have engaged in the act alleged, but the act was deemed to be lawful and proper. For example, if someone alleges that a police officer stopped him improperly and the investigation reveals the transcript of a 911 call identifying the alleged victim as a suspect, the

allegation that the stop was improper may be exonerated.

FADO: Pronounced "fey-dough," this is an acronym for the four categories of misconduct the CCRB is authorized to investigate: excessive or unnecessary force, abuse of authority, discourtesy, and offensive language.

Filed: If a police officer against whom the CCRB substantiated allegations leaves the police force before charges can be instituted against him or her, the substantiated case is said to be filed. Filed cases can be re-opened by the police department should an officer attempt to rejoin the police department. When the CCRB calculates the number of substantiated cases which have resulted in discipline, cases that have been filed are excluded.

Force: A CCRB complaint of excessive or unnecessary force can range in severity from a slap to firing of a gun. Some allegations that do not involve contact but imply physical force, such as pointing a gun, are classified as force complaints by the CCRB.

Full investigation: A case in which the CCRB was able to carry out a complete inquiry is called a full investigation. Fully investigated cases contain data collected from interviews with police officers, civilians, and witnesses. These cases also contain the final written report of the CCRB investigator, who had to evaluate the available evidence and make recommendations to the board on how the allegations should be resolved.

IAB: Internal Affairs Bureau.

Instructions: Instructions are the least punitive disciplinary measure; a commanding officer instructs a subject officer on proper procedures with respect to the substantiated allegations, or a police officer is sent for retraining or additional training.

Mediation: Mediation is a non-disciplinary process, voluntarily agreed to by the complainant or complainant/victim and subject officer, in which the parties attempt to reconcile their differences with the assistance of a trained neutral mediator, who may assist in resolving the complaint but cannot impose a settlement. The contents of the proceedings are confidential and cannot be used in a future judicial or administrative context.

NYPD disposition: Pursuant to the city charter, the responsibility for discipline within the police department rests solely with the police commissioner who, even after a finding against a police officer by the CCRB and an administrative

law judge, can still make *de novo* findings of law and fact and reach a different conclusion.

OCCB: Organized Crime Control Bureau, which includes the Narcotics Units.

OCD: Office of Chief of Department—a division of the NYPD that handles neglect of duty complaints.

Offensive language: One of the categories in the CCRB's jurisdiction, offensive language refers to any allegation where an officer used language that was derogatory with regard to race, religion, nationality, ethnicity, gender, sexual orientation, disability, or age.

Office of Administrative Trials and Hearings (OATH): Until January 2003, OATH was one of two tribunals which adjudicated police department disciplinary cases. After January 2003, if a CCRB case is substantiated and charges are filed against a police officer, the case will be heard at DCT (see above).

Officer unidentified: If the CCRB cannot identify the subject officer of the allegation, the allegation is closed as officer unidentified. Cases closed with this disposition are considered a fully investigated case although the finding "officer unidentified" does not constitute a findings on the merits.

Other misconduct noted (OMN): If the investigation uncovers misconduct other than that within the CCRB's jurisdiction (for example, an officer intentionally provides a false statement to the CCRB or is found to have failed to properly document his or her activities), the board can determine to recommend that the officer engaged in other misconduct.

Patrol borough: A patrol borough is comprised of a number of precinct commands considered as a unit. In New York City there are eight patrol boroughs: Manhattan North, Manhattan South, Brooklyn North, Brooklyn South, Queens North, Queens South, Bronx, and Staten Island.

Patrol Guide: The New York City Police Department's Patrol Guide incorporates official policies and procedural rules by which police officers must generally conduct themselves. The board reviews the patrol guide to determine whether an officer committed misconduct.

Preponderance of the evidence: Preponderance of the evidence is the standard of proof used in CCRB investigations. It provides that the CCRB must find that the weight of the evidence is in favor of its finding, but is a less stringent standard than the more familiar criminal standard, "beyond a reasonable doubt."

Rate at which the CCRB made findings on the merits: This rate is the percentage of allegations in full investigations that end in a disposition of substantiated, unfounded or exonerated. Since these are the dispositions where the board has come to a decision on the validity of the complaint, the rate is one measure of the quality of CCRB investigations.

Statute of limitations: The agency operates under an eighteen-month statute of limitations measured from the date of occurrence. Unless the allegations would constitute a crime if proven in court, an officer must be disciplined or served with disciplinary charges before the statute of limitations has passed.

Stop, question, and frisk report: A document that police officers are generally required to fill out when they stop, question and/or or frisk civilians.

Subject officer: The officer who is alleged to have engaged in misconduct, whether identified or not, is referred to as a subject officer.

Substantiated: If the weight of the evidence shows that the officer committed the action alleged, and the action alleged constituted misconduct, the CCRB will substantiate the allegation and the case will be forwarded to the police commissioner.

Truncated investigations: A truncated investigation is one where the case is closed before it has been fully investigated. If the CCRB is unable to obtain a primary statement from the

complainant or alleged victim(s), or if the complainant or alleged victim wishes to withdraw the complaint, the investigation is truncated.

Unfounded: If the weight of the evidence shows that the police officer did not in fact engage in the alleged misconduct, the board will vote that the allegation be unfounded.

Unsubstantiated: If the weight of the evidence does not lead to a finding on the merits, the board will vote that the allegations be unsubstantiated.

Witness: A witness is any civilian interviewed in connection with a CCRB case who was neither a complainant or a victim. Generally, a witness actually observed the incident which gave rise to the allegations, but occasionally someone is interviewed who did not (for example, an emergency medical technician arriving on the scene who can verify whether or not an alleged victim had injuries before he or she was taken to a precinct).

Witness officer: A witness officer is any officer interviewed over the course of an investigation against whom no misconduct is alleged.



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