



## Promulgation Details for 1 RCNY 102-05

This rule became effective on October, 03, 2020.

Since such date, one or more amendments have been made to this rule. Each rule amendment has its own effective date and Statement of Basis and Purpose.

Below you will find one or more rule amendments (the most recent appearing at the top), followed by the original rule.

The effective date of each amendment and the original rule can be found at the top of each "NOTICE OF ADOPTION OF RULE."

This rule has an effective date of 12-18-24

**NEW YORK CITY DEPARTMENT OF BUILDINGS**  
**NOTICE OF ADOPTION OF RULE**

**NOTICE IS HEREBY GIVEN**, pursuant to the authority vested in the Commissioner of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the amendments to Section 102-05 of Chapter 100 of Title 1 of the Official Compilation of the Rules of the City of New York, regarding the penalty for failure to certify correction of certain immediately hazardous violations. This rule was first published on September 4, 2024, and a public hearing thereon was held on October 7, 2024.

  
Dated: 11/4/24  
New York, New York

  
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James S. Oddo  
Commissioner

## STATEMENT OF BASIS AND PURPOSE OF RULE

Local Law 50 of 2022 amended section 28-219.1 of the New York City Administrative Code to remove the minimum penalty for failure to certify correction of an immediately hazardous condition and to exempt 1-4 family homes. The local law also limited the violations eligible for the penalty to those issued for an immediately hazardous condition at construction sites.

Section 102-05 is amended to conform to the provisions of Local Law 50/22.

In addition, Local Law 126 of 2021 added a requirement to section 28-219.1 that the civil penalty be paid before a certificate of correction can be accepted for the violation that led to the civil penalty. Similar language is added to the rule to conform it to this provision.

The rule codifies the process by which the department imposes such penalties and the manner in which such penalties may be challenged.

The Department of Buildings' authority for these rules is found in sections 643 and 1043 of the New York City Charter and section 28-219.1 of the New York City Administrative Code.

New material is underlined.

[Deleted material is in brackets.]

Section 102-05 of Title 1 of the Rules of the City of New York is amended to read as follows:

### **§102-05 [Penalties]Penalty for failure to certify correction of certain immediately hazardous violations.**

(a) Penalty [amounts] amount. Pursuant to §28-219.1 of the Administrative Code, and in addition to any penalties otherwise authorized by article 202 of chapter 2 of title 28 of the Administrative Code and the rules of the department, whenever any person fails to submit certification of correction of an immediately hazardous violation that was issued at a construction site and poses a threat of imminent danger to public safety or property, a penalty of \$5,000 shall be paid to the department [as follows:]

[(1) For one-family or two-family dwellings, the penalty shall be \$1,500.

(2) For a building other than a one-family or two-family dwelling, the penalty shall be not less than \$3,000 nor more than \$5,000.]

Exception: This penalty does not apply to one- to four-family dwellings.

(b) Issuance of permits or certificates of occupancy; rescission of stop work order; approval of Certificates of Correction. No permit or certificate of occupancy shall be issued [and], no stop work order may be rescinded, nor shall any Certificate of Correction for the immediately hazardous violation giving rise to the civil penalty be approved at the property until such penalty is paid to the department. Failure to pay such penalty shall not prevent the issuance of a permit

for work to be performed pursuant to articles 215 or 216 of chapter 2 of title 28 of the Administrative Code.

(c) Process.

(1) Where the department determines that a Certificate of Correction of the underlying immediately hazardous violation that is returnable to the Environmental Control Board/Office of Administrative Trials and Hearings (ECB/OATH) has not been submitted as provided in §28-219.1 of the Administrative Code, the department will send a notice of the civil penalty pursuant to this section to the owner of the property at which the immediately hazardous violation occurred and, if the owner is not the respondent named in the notice of violation for the underlying immediately hazardous violation, to such respondent. The notice will indicate the manner in which a challenge to the penalty may be made in accordance with this subdivision and that the penalty will be imposed unless the department receives such a challenge within 30 days after the date of such notice. The notice will include the bases on which such a challenge may be made. The department will send the notice by regular mail.

(2) A challenge to the penalty may be made by the owner and/or the respondent named in the ECB/OATH notice of violation for the immediately hazardous violation. Such challenge must be made in a form and manner as set forth on the web site of the department.

(3) The basis for such a challenge must be one of the following:

(i) the immediately hazardous ECB/OATH violation was dismissed,

(ii) the immediately hazardous ECB/OATH violation was downgraded to a major or lesser violation,

(iii) an acceptable Certificate of Correction for the immediately hazardous violation was submitted to the department within 30 days after the date of the notice sent pursuant to paragraph (1) of this subdivision; or

(iv) the immediately hazardous violation was issued on or after May 15, 2022 at a construction site for a one- to four-family dwelling.

(4) The department will review any documents or evidence submitted by the person challenging the penalty and will mail or email notice of its determination to such person. If the challenge is denied, the penalty amount will be imposed 10 days after the date of such notice. The determination shall be the final determination of the department for purposes of review pursuant to article 78 of the civil practice law and rules.

This rule has an effective date of 10-3-20

## NOTICE OF ADOPTION OF RULE

**NOTICE IS HEREBY GIVEN**, pursuant to the authority vested in the Commissioner of the Department of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the addition of a new section 102-05 to Subchapter B of Chapter 100 of Title 1 of the Rules of the City of New York regarding penalties for failure to certify correction of certain immediately hazardous violations.

This rule was published in the City Record on June 30, 2020 and a virtual public hearing was held on July 30, 2020.

Dated: August 27, 2020  
New York, New York

A handwritten signature in black ink, appearing to read "Melanie E. La Rocca", written over a horizontal line.

Melanie E. La Rocca  
Commissioner

### **Statement of Basis and Purpose of Rule**

This rule adds a new Section 102-05 to Subchapter B of Chapter 100 of Title 1 of the Rules of the City of New York relating to penalties for failure to certify correction of certain immediately hazardous violations. Section 28-219.1 of the Administrative Code sets out a range for these penalties. This rule establishes specific amounts within that range and separates 1- and 2-family dwellings from other kinds of buildings, as is done in many other provisions of the Administrative Code and Rules of the City of New York.

The authority of the Department of Buildings for this rule is found in sections 643 and 1043 of the New York City Charter and section 28-219.1 of the New York City Administrative Code.

New material is underlined.

Subchapter B of Chapter 100 of Title 1 of the Rules of the City of New York is amended by adding a new section 102-05 to read as follows:

#### **§102-05 Penalties for failure to certify correction of certain immediately hazardous violations.**

**(a) Penalty amounts.** Pursuant to §28-219.1 of the Administrative Code, and in addition to any penalties otherwise authorized by article 202 of chapter 2 of title 28 the Administrative Code and the rules of the department, whenever any person fails to submit certification of correction of an immediately hazardous violation that poses a threat of imminent danger to public safety or property, a penalty shall be paid to the department as follows:

- (1) For one-family or two-family dwellings, the penalty shall be \$1,500.
- (2) For a building other than a one-family or two-family dwelling, the penalty shall be not less than \$3,000 nor more than \$5,000.

**(b) Issuance of permits or certificates of occupancy; rescission of stop work order.** No permit or certificate of occupancy shall be issued and no stop work order may be rescinded at the property until such penalty is paid to the department. Failure to pay such penalty shall not prevent the issuance of a permit for work to be performed pursuant to articles 215 or 216 of chapter 2 of title 28 of the Administrative Code.