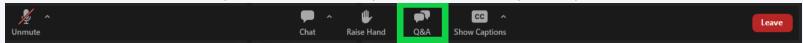
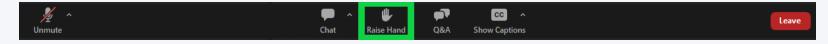


Webinar Format

You can enter questions in the Q&A. Include as many details as possible in your question, including the job number, if applicable. If you respond to an answer, include the job number in each reply. Only submit your question once. If we're not able to answer the question in the Q&A, you will receive a response by email.



Raise your hand to ask a question. Questions will be answered at the end of the presentation.



- The host will ask you to unmute yourself when it is your turn to ask a question. Click the blue "Unmute" button in the pop-up box.
 Unmute
- Select the hand symbol again after you are called on so the host knows you are not still waiting to ask a question.

This presentation will be added to the DOB NOW training page at www.nyc.gov/dobnowtraining.

Agenda

- Application Process
- Accessing DOB NOW: Build
- Job, Filing and Work Types
- Owner Statements and Signatures
- Filing Statuses
- Tenant Protection Plans
- DOB NOW Search Tools
- DOB NOW: Inspections
- DOB NOW: BIS Options Certificates of Correction
- DOB NOW: Safety Compliance Filings and Violation Payments

Application Process

Plan Submission and Review

Most construction work requires a permit from the Department of Buildings. Before a permit can be obtained, typically **plans** are filed on the owner's behalf by a New York State licensed Professional Engineer (PE) or Registered Architect (RA), often referred to as a Registered Design Professional (RDP). These **plans are reviewed** by a Department Plan Examiner to determine compliance with construction codes and zoning for the purpose of ensuring safety and that the proposed use and occupancy is permissible.

Alternatively, plans for certain scopes of work can be submitted by the RDP through the Department's **Professional Certification Program**, also known as Pro-Cert, where plans are not reviewed by DOB and the RDP certifies that the proposed construction work complies with all applicable laws, codes, and regulations. The Department conducts audits of professionally certified applications to ensure compliance.

Larger scopes of work such as the construction of a new building and the expansion or demolition of an existing building require the hiring of a Department-licensed safety professional and the submission of a **Site Safety Plan** to the Department for its review and approval.

There are some **types of work that do not require an RDP to submit plans** for approval. This generally includes electrical work, which must be performed by a Department-licensed electrician, and Limited Alteration Applications (LAA), which includes minor plumbing work that is filed by a Department-licensed plumber, fire suppression contractor, or fuel burner installer.

Application Process

Permit Issuance

Once the plans are approved, the contractor must **request a permit** to perform the work. The contractor must file a permit application to obtain a permit. Currently permits can be obtained within two business days of the request. Electrical and Limited Alteration Application (LAA) permits are issued at the time the permit application is submitted to the Department.

Construction work requiring a permit must be performed with a permit. Failure to obtain a permit will result in the issuance of a Work Without a Permit Violation and a Stop Work Order, which require resolution and carry significant financial penalties. Violations for Work Without a Permit are issued to the property owner.

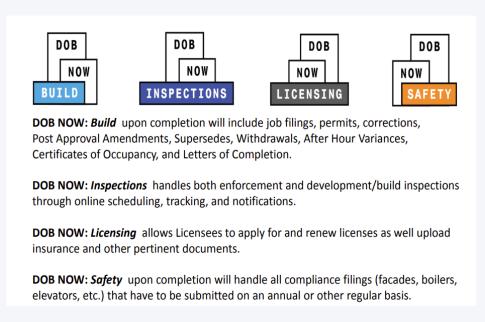
Inspections

Once the work is completed, the contractor **requests a Development Inspection** from the Department in **DOB NOW: Inspections** or depending on the type of work, an RDP can perform and **certify the final inspection**. These inspections are performed to ensure the work was performed safely and in keeping with the approved plans. Once an inspection passes or is certified by an RDP, **the job status will be complete (signed off)**, or the owner or the RDP can request a **Letter of Completion** or can apply for a new or **amended Certificate of Occupancy**. Additionally, for certain projects involving structural, fire-protection, plumbing, or mechanical work, there are inspections that need to be performed by a Department-approved **Special Inspector**.

DOB NOW Modules

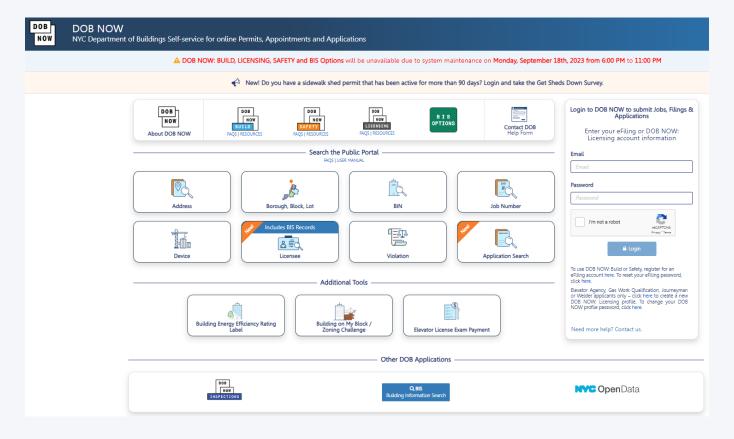
DOB NOW is the Department's self-service online tool that allows owners, design professionals, licensees, and filing representatives to submit applications, make payments, schedule appointments, check the status of an application or inspection, pull permits, and make renewals. Most permits are obtained through **DOB NOW**: *Build*.

During the transition of all permit applications to the DOB NOW system, permit renewals for jobs in the Buildings Information System (BIS) and some applications remain in the eFiling system.



DOB NOW Public Portal

Access DOB NOW at www.nyc.gov/dobnow



eFiling Account

To register for an eFiling account, visit www.nyc.gov/efilingtips.



Building Owners, Building Managers, and Building Representatives need an eFiling account to enter job applications in DOB NOW: *Build* and review and confirm compliance filings in DOB NOW: *Safety*. To create an eFiling account, follow these steps:

- Go to <u>www.nyc.gov/dobefiling</u>, and click on the link to Register for electronic filing.
- 2. Fill out your account information in the eFiling Registration Form.
- Select I do not have a license or DOB-issued ID #.
- 4. Read the Agreement section, and click **Submit**. You will receive two (2) emails. Your account will not be active until you get the second email.
 - a. You must click the link sent in the first email to activate your account.
 - The second email confirms your enrollment. You can then use your eFiling email and password at www.nyc.gov/DOBNOW.

If you need to change your account information such as email, phone, or mailing address, log in to eFiling.

DOB NOW: Build – Job Types

- New Building
- Alteration CO New Building with Existing Elements to Remain
- Alteration CO
- Alteration

- Full Demolition
- Limited Alteration
- No Work <u>Place of Assembly</u> and Temporary Place of Assembly



DOB NOW: Build – Filing Types

Initial Filings (I1) – The first or initial job filing created for a construction project.

Subsequent (S) - A Subsequent filing is an additional filing under the same Job Filing number that allows the user to add separate work types within the project's scope of work.

Post Approval Amendments (PAA) - A Post Approval Amendment or PAA is for making changes to a filing after it has been approved.

Job Filings	Sequence Number
Initial	I1
Post Approval Amendment	The letters used for PAA filings are P, A, B, C, D, E, F, G, H, J, K, L P1 \rightarrow P9 (A1 \rightarrow L9)
Subsequent	The letters used for Subsequent filings are S, Z, Y, X, W, V, U, T, R, Q, O, N, M $S1 \rightarrow S9 (Z1 \rightarrow M9)$

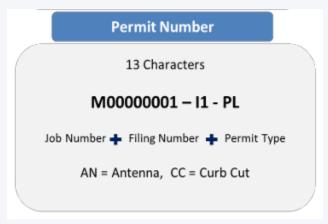
DOB NOW: *Build* – Work Types

Select Work Type(s):* Job Type: Alt		Job Type: Alteration
Antenna	Boiler Equipment	Construction Fence
Curb Cut	Earthwork	Electrical
Elevators	Foundation	General Construction
Mechanical Systems	Plumbing	Protection and Mechanical Methods
Sidewalk Shed	Sign	Sprinklers
Standpipe	Structural	Support of Excavation
Supported Scaffold		

DOB NOW: Build – Job Numbers

DOB NOW job numbers begin with a letter; BIS job numbers begin with a number.





DOB NOW: Build – Stakeholders



Applicant of Record

- Registered Architects
- Professional Engineers
- Licensees



Other Stakeholders

- Property Owners
- Job Filing Representatives
- Licensees



Inspectors

- Special Inspectors
- Progress Inspectors



Site Safety

- Site Safety Manager
- Construction Superintendent
- Site Safety Coordinator

DOB NOW: Build – Owner Statements & Signatures

All job filings in DOB NOW require confirmation from the Owner authorizing the applicant to submit the filing and acknowledging that the information in the application has been reviewed. An Owner provides confirmation by completing the Owner's Attestation section of the Statements & Signatures tab. The Statements & Signatures Quick Guide provides step-by-step directions.

STEP 1: Log into DOB NOW at www.nyc.gov/dobnow using the email address provided to the applicant and entered by the applicant into the Owner Information section of the job filing. Select the DOB NOW: Build icon, then select New Building, Alteration, Full Demolition, No Work. Login to DOB NOW to submit Jobs, Filings & Applications Major Projects Enter your eFiling or DOB NOW: Licensing account information Development Email Program Enrollment DOB New Building Password Alteration BUILD **Full Demolition** No Work I'm not a robot Cranes ■ Login

DOB NOW: Build – Owner Statements & Signatures

Occupied Dwelling Units (Questions 1-4)

If the owner selects **Yes** to Question 1, **occupied dwelling units during construction**, a Tenant Protection Plan (TPP) is required. A TPP is required if residential units will be occupied any time before the permit is signed off by DOB. Questions 3-4 determine compliance requirements with tenant protection laws based on whether residential units are occupied at the time of application.

Rent Controlled or Rent Stabilized Housing (Questions 5-6)

This information is checked with data provided by NYS Homes and Community Renewal (DHCR) if at least one rent-regulated unit is in the building. If there is at least one rent-regulated unit in the building and the owner checks **No** to Question 5, a document is required confirming the building contains 0 rent controlled/stabilized units and explaining why the DHCR records are inaccurate. Otherwise, owners must check **Yes** to Question 5.

Loft Board Notification (Question 7)

Owners proposing work to convert their loft buildings into legal residences are required to notify the NYC Loft Board at (212) 393-2603 and ask for the Public Information Officer.

Interior Work (Questions 8-10)

If the proposed work requires a TPP and the owner checks **Yes** to Questions 8, 9, or 10, a Tenant Protection Plan Compliance special inspection will not be required.

Owner Arrears (Questions 11-12)

This section appears on New Building, Alteration-CO, and Full Demolition filings to comply with Local Law 160 of 2017. If the owner answers Yes to either question, a list of exceptions will appear as Question 13. If an exception is not selected, a permit cannot be obtained.

DOB NOW: Build – Filing Status, Standard Plan Exam



Pending CPE/ACPE Assignment

Pending PE Assignment Plan Examiner Review

CPE/ACPE Review

Approved

Permit Issued/Permit Entire

CO Issued

Pre-filing – Filing is with the Applicant and has not been submitted

Pending CPE/ACPE Assignment - Filing submitted and awaiting CPE/ACPE to acquire and assign to PE

Pending PE Assignment – CPE/ACPE in the process of assigning the filing to a PE for review

Plan Examiner Review – In review and can be Approved or objected (require resubmission with corrections)

CPE/ACPE Review – PE's decision is being reviewed by the CPE/ACPE

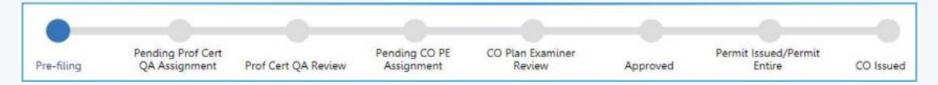
Approved – Job filing has been approved and can move to the next stage

Permit Issued/Permit Entire – At least one permit was issued/All required permits were issued

CO Issued – Certificate of Occupancy issued (For NB and ALT-CO filings)

The progress of the filing is illustrated by a circle shaded in blue. A **white check mark** will appear in the circle once the stage has been completed by DOB. A **red exclamation point** will appear to indicate that the filing is waiting for action by the applicant. Once the filing is complete, white check marks will appear in all the circles on the status bar.

DOB NOW: Build – Filing Status, Professionally Certified



Pre-filing – Filing is with the Applicant and has not been submitted

Pending Prof Cert QA Assignment - Filing submitted and awaiting supervisor to acquire and assign to QA staff

Prof Cert QA Review - In review and can be Approved or QA Failed (require resubmission with corrections)

Pending CO PE Assignment (All NB and ALT-CO Prof Cert filings must go through a Plan Examiner for Zoning Review, including Schedule of Occupancy.)

CO Plan Examiner Review (Resolve Plan Examiner objections, if relevant)

Approved – Job filing has been approved and can move to the next stage

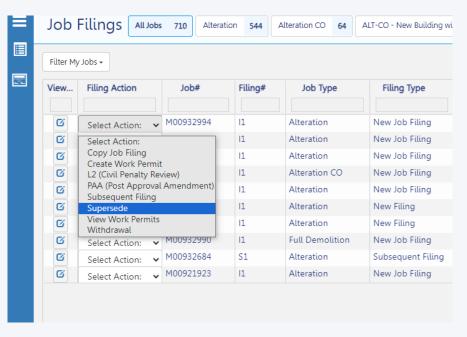
Permit Issued/Permit Entire – At least one permit was issued/All required permits were issued

CO Issued – Certificate of Occupancy issued (For NB and ALT-CO filings)

DOB NOW Job Statuses resource

DOB NOW: Build – Owner Change

To change the owner after a filing has been approved, submit a supersede request. The new owner logs into DOB NOW and selects Supersede under Filing Action.



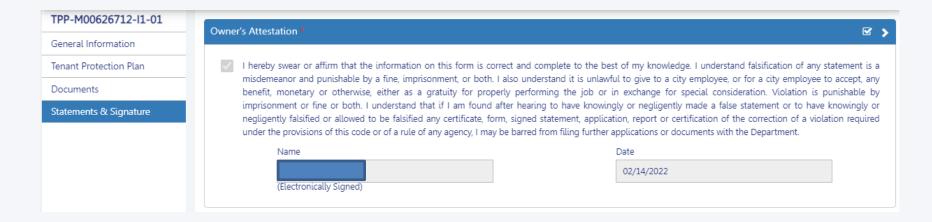
DOB NOW: Build – Application (PW1) User Guide

DOB NOW: *Build* guides the Applicant through the data entry process based on the Work Type(s) selected when the Job Filing was initially created. Only fields specific to the Work Type(s) will display in each section of the Job Filing. See the PW1 User Guide for information on each section.



DOB NOW: Build – Tenant Protection Plan

If the owner section of the application indicates that there are occupied dwelling units in the building, then a Tenant Protection Plan is required. The TPP must be approved before a permit application can be submitted. A registered design professional (Registered Architect or Professional Engineer) submits a TPP and the Owner provides an attestation.



Tenant Protection Plan FAQs

DOB NOW: Build – Permits

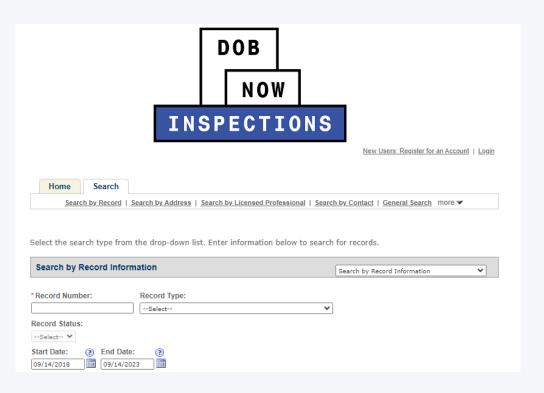
Once the filing, Tenant Protection Plan and Site Safety Plan (if applicable) are approved, a General Contractor or licensee submits a permit application. Once submitted, a permit undergoes a Quality Assurance review that takes two or less business days.



DOB NOW: Inspections

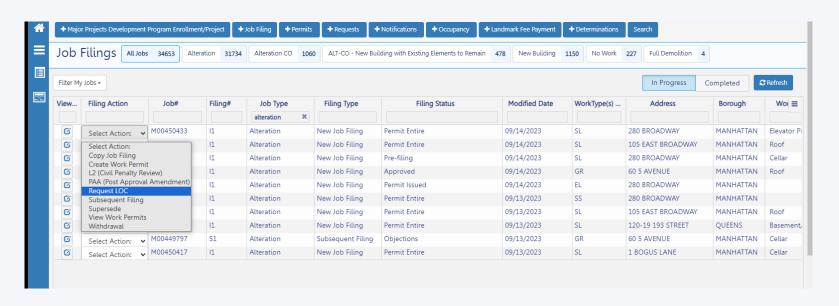
Access DOB NOW: Inspections at www.nyc.gov/inspections

Once a permit is issued and the work is finished, some work types require a DOB inspection that is requested by the applicant in DOB NOW: *Inspections* to sign off the permit.

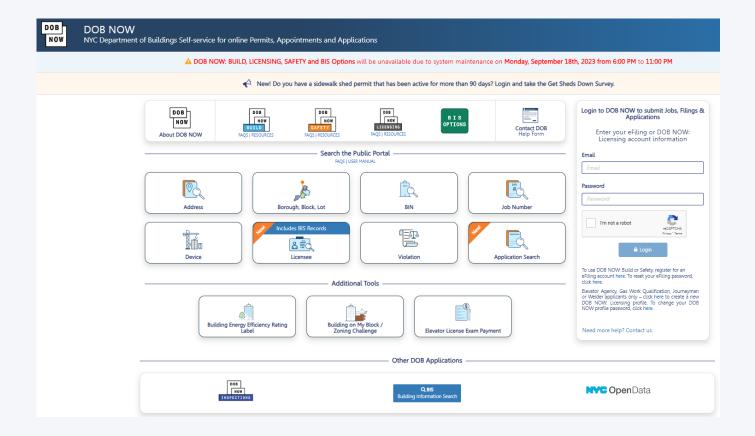


DOB NOW: Build – Sign Off/Letter of Completion

Once the permit status is Signed-Off and the Cost Affidavit (PW3): Final Cost Details are verified, the owner or applicant of record can request a Letter of Completion (LOC), if an amended Certificate of Occupancy is not required. Select **Request LOC** from the Filing Action column.



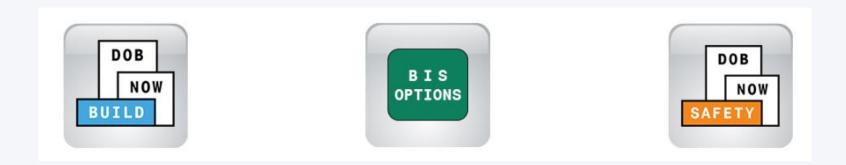
DOB NOW – Search Tools



DOB NOW: BIS Options

The following transactions can be submitted in BIS Options:

- Certificate of Correction
- Civil Penalty Review Requests for BIS jobs (L2)
- Records Requests



Certificate of Correction

Inspectors issue Department of Buildings (DOB) Violations or **OATH Summonses** when property or construction doesn't comply with the Construction Codes, NYC Zoning Resolution and other applicable laws and rules.

Even though the condition may have been fixed or a fine paid to OATH/ECB, the summons will remain open until a **Certificate of Correction** is submitted and accepted by DOB. Summonses that remain open may receive follow up inspections and additional penalties.

Submit a Certificate of Correction in DOB NOW: *BIS Options*. Visit www.nyc.gov/aeu for forms and a step-by-step guide.



DOB NOW: Safety

Compliance filings and violation payments/waivers for:

- Boilers
- Elevators
- Energy Grade
- Facades
- Parking Structures







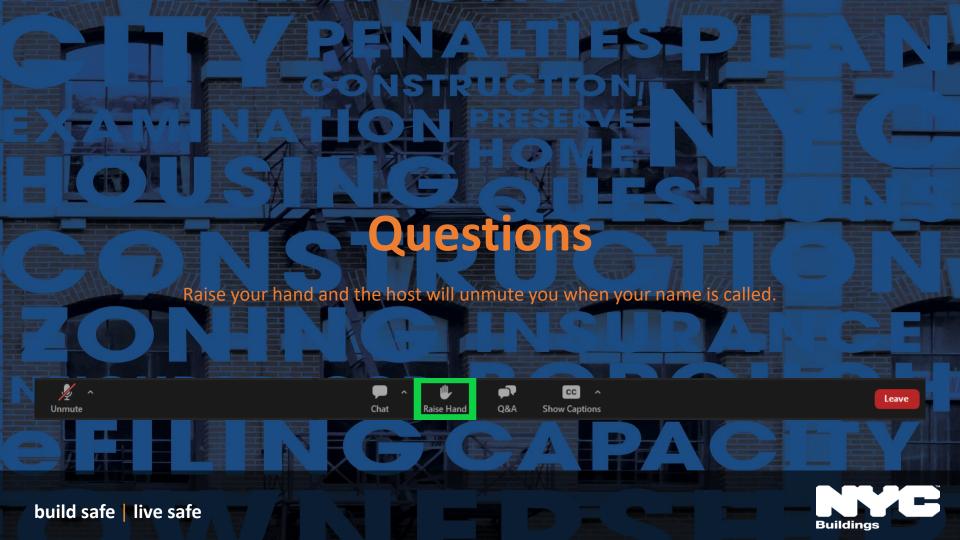
Violations – Boiler, Elevator, Facades and Energy Grade

Payments/Waivers for the following types of violations are submitted in DOB NOW: Safety:

- Failure to File annual boiler inspection reports
- Failure to File Category 1, Affirmation of Correction and Category 5 elevator inspection/test reports
- Failure to File TR6/FISP, FISP Failure to Correct SWARMP Conditions and FISP Hazardous Condition violations
- Energy Grade violations

Any logged in user can submit a violation payment or waiver; you do not need to be associated with the property.





Resources

nyc.gov/dobhelp

Online help form to submit questions about DOB NOW and BIS transactions

nyc.gov/dobnowtraining

FAQs and Resources for current and past DOB NOW releases

nyc.gov/buildingsnews

Sign up for Buildings News, an e-newsletter sent out regularly to provide updates about all things DOB

nyc.gov/DOBevents

 Buildings After Hours is every Tuesday from 4 p.m. to 7 p.m. for homeowners, tenants, building managers, and small business owners at local DOB borough offices.