

## WAIVER AND DEFERRAL DOCUMENT REQUEST LIST – Updated August 26, 2024

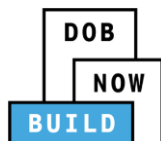
Use the list below to determine if a required document in DOB NOW: *Build* is eligible for waiver or deferral. The option to request waiver or deferral of a document is selected from the Action column for the Document Name on the Documents tab of the filing. The option is available for the applicant of record, filing representative and delegated associate on the filing.

Professionally certified filings: both Prior To Filing and Prior To Approval documents must be uploaded to submit the filing for approval.

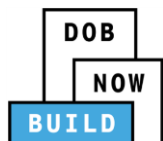
Standard plan examination filings: see the Prior to Stage column below.

Document Name	Applicable Work Type(s)	Prior toStage	Waiver	Deferral
Accessibility Waiver Report	GC	Approval	Yes	No
Additional Insured Authorizations (older document replaced by one PGL1 document)	GC, FO, EA	Permit Issuance	No	No
Adjoining Owners Notification	SE, EA	Permit Issuance	Yes	No
Adult Establishment Approval	GC	Filing	Yes	Yes
Certificate of Insurance Document (required when RDP is permit applicant)	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Permit Issuance	No	No
Calculations	SC	Filing	No	No
Certificate of No Harassment	GC	Approval	Yes	No
Certificate of Parking Approval	GC	Approval	Yes	No
Certification by Insurance Broker or Agency (older document replaced by one PGL1 document)	GC, FO, EA	Permit Issuance	No	No
Community Board Notification	FD	Permit Issuance	Yes	No
Concrete Design Mix Report	ST	Permit Issuance	Yes	Yes
Concrete Testing Exception Report	ST	Sign Off/ C of O	Yes	No
CPC Hotel Special Permit	GC, EA, FO, MS, ST	Approval	Yes	No
DCLA Approval of Artwork (required if No is selected to the opt out question under Owner's Statements and Signatures)	FN, SF, SH	Approval	No	No

Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
DEP ACP-20/ACP-21: Asbestos Project Conditional Completion Form/Asbestos Project Completion Form	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD, GR, SL	Permit Issuance/ Sign Off (if V5 provided)	Yes	No
DEP ACP-5: Asbestos Assessment Report	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD, GR, SL	Approval	Yes	Yes
DEP Certification of Insurance	GC, FO, EA	Permit Issuance	No	No
DEP Stormwater Construction Permit	GC, FO, EA, SE, FD	Approval	No	No
DEP Stormwater Maintenance Permit/Notice of Termination	GC, FO, EA, SE, FD	Sign Off/ C of O	No	No
DEP Variance (V5) letter	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Permit Issuance	Yes	No
DEP: Sewer Certification (Sanitary/Storm)	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	Yes	Yes
Design Commission Approval City Owned (Exterior Work)	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	Yes	Yes
Design Commission Sign Off City Owned (Exterior Work)	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Sign Off/ C of O	Yes	No
DHCR Document	All Work Types	Filing	No	No
DOF Certification of Binding Agreement	GC	Approval	No	Yes
DOF RP-602: Tentative Tax Lot Number Issued	PL, SP, SD, AN, MS, ST, GC, FO, EA, SE, PMM, CC, SH, SF, FN, SG, MS	Approval	Yes	No
DPL-1: Design Professional Seal & Signature	All Work Types	Filing	No	No
Electric Cut-Off	FD	Permit Issuance	Yes	No



Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
EN2: As Built Energy Analysis	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Sign Off/ C of O	No	No
End-of-Demolition Site Conditions Plan	FD	Sign Off	Yes	No
Evaluation of Adjacent Building Report	FO, EA	Approval	No	Yes
FDNY Approval Letter	GC	Sign Off /C of O	Yes	No
FDNY: Letter of No Objection	PL, SP, SD	Approval	Yes	No
Fee Exempt Supporting Document: DOF ACRIS Report	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD	Filing	No	No
FEMA Elevation Certificate (086-0-33)/Flood Proofing Certificate (086-0-34)	PL, SP, SD, AN, SG, MS, GC, BE, FO, EA, SE, PMM	Sign Off/ C of O	Yes	No
Final Acceptance Letter from Utility Company	SL, GC (EESE subcategory)	Sign Off/C of O	Yes	No
Final Survey	GC	Sign Off/C of O	Yes	No
Fire Alarm Affidavit (Approved by BC/DBC)	GC	Sign Off/C of O	Yes	No
Flood Plan Emergency Temporary Flood Shields	PL, SP, SD, MS, GC, BE, FO, EA, SE, PMM	Approval	Yes	No
Full Demo: 10-Day Notice	FD	Permit Issuance	Yes	No
Gas Cut-Off	FD	Permit Issuance	Yes	No
Geotechnical Peer Review Report	EA, FO, SE, ST	Approval	No	Yes
Geotechnical Report	EA, FO, SE, ST	Approval	No	Yes
Green Roof Maintenance Plan	GR	Sign Off/C of O	No	No

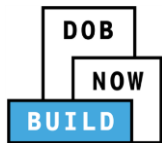


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HIC License or Affidavit	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Permit Issuance	Yes	No
High Line Parks Approval	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	Yes	Yes
House Number Verification: TOPO Stamp	GC	Approval	Yes	No
HPD – Completion Notice (or ZoLa map printout showing building not in MIH area)	All Work Types for NB or Alt-CO filings or an Alt associated w/NB, Alt-CO or Alt1	Sign Off/ C of O	Yes	No
HPD – Permit Notice (or ZoLa map printout showing building not in MIH area)	All Work Types for NB or Alt-CO filings or an Alt associated w/NB, Alt-CO or Alt1	Permit Issuance	Yes	No
HPD Certification Letter or CPC Special Permit	GC	Approval	Yes	No
HPD or HDC Rehabilitation Notification	GC	Approval	No	Yes
HPD-1: Anti-Harassment Area Checklist	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, BE, FD	Approval	Yes	No
HPD-2: Clinton Special District Anti-Harassment Checklist	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD	Approval	Yes	No
HPD-3: Single Room Occupancy Multiple Dwelling (SRO, MO) Anti-Harassment Checklist	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD	Approval	Yes	No
HPD 4: CONH Pilot Program	All Types	Approval	Yes	No
Hydrant Flow Test Letter (Hydrant Flow Letter)	PL, SP, SD	Filing	Yes	No
Hydraulic Flow Calculations	PL, SP, SD	Approval	Yes	No
Hydrostatic Pressure Test (Hydro Flow Test Letter)	SP, SD	Sign Off	No	No

Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
IRA Certification	SC	Filing	No	No
Landmark Approval	PL, AN, SG, MS, ST, GC, FD	Approval	Yes	Yes
Legalization Inspection Report	FD	Approval	No	No
Local Law 92/94 of 2019 Sustainable Roof Zone	GC	Approval	No	No
Loft Board Certificate	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	Yes	No
LPC-BSA Archeology Restrictive Declaration	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Permit Issuance	Yes	No
Luminous Egress Path Markings Report	GC	Sign Off/ C of O	Yes	No
Manufacturer's Specifications	SC	Filing	No	No
New York DEC Notice of Determination	GC, FO, EA, SE	Sign Off/ C of O	No	No
NYCSCA – Approved Site Safety Plans	SH, SF, FN	Approval	Yes	Yes
NYCSCA – BCC Plan Examination Transmittal	All Work Types	Approval	No	No
NYCSCA Wrap-up Insurance	All Work Types	Permit Issuance	No	No
OER: Notice of Satisfaction (properties with “Environmental Restriction” flag on Property Profile)	GC, BE, ST, FO, SE, EA, PL (except PL-SP subcategory), MS (except Refrigeration, Cooling Towers, and Generators subcategories)	Sign Off/ C of O	Yes	No

Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
<b>OER: Notice to Proceed</b> (properties with “Environmental Restriction” flag on Property Profile)	GC, BE, ST, FO, SE, EA, PL (except PL-SP subcategory), MS (except Refrigeration, Cooling Towers, and Generators subcategories)	Permit Issuance	Yes	No
<b>OP49 Supporting Documentation</b>	Boiler Removal	Approval	Yes	No
<b>OTCR Letter of Conditional Acceptance</b>	GC (EESE subcategory only)	Permit Issuance	No	No
<b>OTCR Final Approval</b>	GC (EESE subcategory only)	Sign Off/C of O	No	No
<b>Other Documents - Prior to Filing</b>	All Work Types	Filing	No	No
<b>Other Documents - Prior to Approval</b>	All Work Types	Approval	No	No
<b>Other Documents - Prior to Permit Issuance</b>	All Work Types	Permit Issuance	No	No
<b>Other Documents - Prior to Sign Off</b>	All Work Types	Sign Off/C of O	No	No
<b>Parks Department Acknowledgement Letter for Street Trees Plan</b>	GC	Permit Issuance	Yes	No
<b>PARTIAL DEMO: 10-Day Notice</b>	AN, ST	Permit Issuance	Yes	No
<b>Plans/Sketch</b>	All Work Types	Filing	No	No
<b>Plot Diagram</b>	GC	Approval	Yes	No
<b>Preliminary Commissioning Report Certification</b>	MS, BE, PL, SP, GC	Sign Off/C of O	No	No
<b>Project Specific General Liability (PGL1)</b>	All Work Types	Permit Issuance	Yes	No
<b>Property Ownership Certification</b>	All Work Types (NB, Alt-CO, and FD)	Filing	Yes	No

Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
Restrictive Declaration/Easement	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM, FD	Approval	No	Yes
Rodent Control	FD	Permit Issuance	Yes	No
Sewer Cut-Off	FD	Permit Issuance	Yes	No
Sidewalk Shed - Permit Renewal Justification Letter	SH	Permit Issuance	No	No
Site Survey: Initial	GC, CC	Filing	Yes	No
SOIL REPORT	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	No	Yes
Standpipe Alarm Drawings	GC	Permit Issuance	Yes	No
Street Tree Checklist	GC	Approval	Yes	No
Street Tree Sign Off	GC	Sign Off/ C of O	Yes	No
Street Trees Site Plan	GC	C of O	Yes	No
Structure Photographs	GC, FD	C of O (GC), Approval (FD)	Yes	No
Structural Peer Review Report	ST, FO, EA, SE	Approval	Yes	No
The Wind Tunnel Test Report	ST, FO, EA GC - Façade only	Approval	No	Yes
The Wind Tunnel Test Peer Review Report	ST, FO, EA, GC - Façade only	Approval	No	Yes
TR4 Test Report and Plot Diagram [required when Subsurface Investigations (Borings/Test Pits) is added as a Technical Report]	GC, PL, SG, FO, EA, SE, PMM	Permit Issuance	No	No
Transit Authority Approval	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	Yes	Yes



Document Name	Applicable Work Type(s)	Prior to Stage	Waiver	Deferral
Water Cut-Off	FD	Permit Issuance	Yes	No
ZD1: DOB Zoning Diagram	GC	Approval	No	No
Zoning Exhibit form (1-5)	PL, SP, SD, AN, CC, SH, SF, FN, SG, MS, ST, GC, FO, EA, SE, PMM	Approval	No	Yes