

New York City Department of Buildings

DOB NOW: Build

Plumbing, Sprinkler, and Standpipe Job Filings Contractor User Manual

This user manual is a dynamic document that is continually edited and updated. Please check the New York City Department of Buildings website to download the most current user manual.

As of April 17, 2017



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Introduction

DOB NOW: *Build* has been designed to allow Owners, Registered Architects, Professional Engineers, Licensees, Filing Representatives, Progress Inspectors and Special Inspectors to interact with the Department of Buildings (DOB) in a more efficient manner. After registering for an account, you can use **DOB NOW:** *Build* to submit applications, make payments, schedule appointments, check the status of an application, pull permits, and make renewals.

DOB NOW: Build Manual Overview

This user manual provides step-by-step instructions for Contractors to create and track job filing applications through **DOB NOW:** *Build*. The manual is organized into sections that correspond to the forms that users complete when they submit an application. Screenshots have been included to guide you through completing and submitting the required forms.

The manual does not represent all the filing requirements for any given application. Every effort is made to continuously update this guide. However, this guide in no way supersedes, or otherwise substitutes for, the guidance provided by the Building Code, Zoning Resolution or any other applicable rules, regulations or policies.





Accessing DOB NOW: Build

This section will guide you through how to access and navigate DOB NOW: *Build*. Screenshots will direct your attention to key features and a step-by-step guide will explain how to use them.

Access DOB NOW: Build

We recommend that you use Internet Explorer 9.0 and above to access DOB NOW: Build.

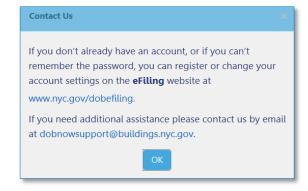
Navigate to the DOB NOW: Build login page by going to the following link: www.nyc.gov/dobnow

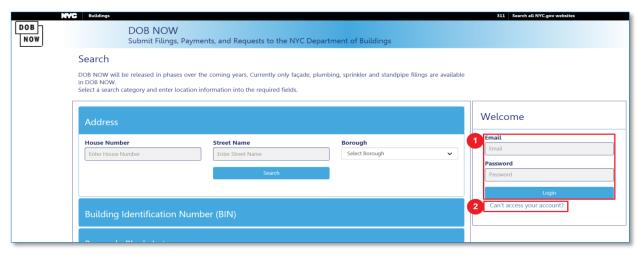
1. Enter the Email ID and Password created for the *eFiling* system, then click **Login**.

Please Note: If your account information is incorrect, you will need to update your information in the eFiling system. If you enter an invalid username and password combination, you will see an error message and will be unable to login.



 If there is an issue with your login, clicking on Can't access your account? will bring up a message with an email address (<u>dobnowsupport@buildings.nyc.gov</u>) to contact for assistance.









Please Note: Without a confirmed **eFiling** account, you will be unable to login to DOB NOW: Build. If you don't have an eFiling account you can register for one by clicking on the following link: https://a810-efiling.nyc.gov/eRenewal/loginER.jsp

After logging in, navigate to DOB NOW: Build by clicking on the DOB NOW: Build icon.







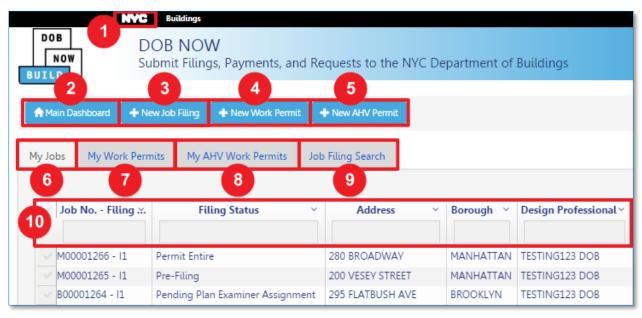
DOB NOW: Build Dashboard Orientation

After logging in, the system will display the main dashboard. The numbers correspond to the list below that outlines the buttons and fields, explains the fields, and describes the actions that you can take.

- 1. NYC.gov Link: Link to the NYC.gov homepage. Clicking here will take you out of DOB NOW: Build.
- 2. Main Dashboard: Displays Main Dashboard
- 3. New Job Filing: Create a new job filing.
- 4. New Work Permit: Create a new work permit.
- 5. **New AHV Permit:** Create a new after hour variance (AHV) permit.
- 6. My Jobs: Displays a list of all jobs that you created or are associated with.
- 7. **My Work Permits:** Displays all permits that are part of your existing jobs.
- 8. My AHV Work Permits: Displays all AHV permits that are part of your existing jobs.
- 9. **Job Filing Search:** Search all existing DOB NOW: *Build* jobs.
- 10. **List of grid columns:** My Jobs, My Work Permits, and My AHV Work Permits tabs each contain their own unique columns of information:
 - a. You are able to sort the data in ascending or descending order, and also hide the column by clicking on the little arrow next to the column name.

 Job # Filing #

 Job # Filing
 - b. Search each field at the top of every column for specific values within that column.

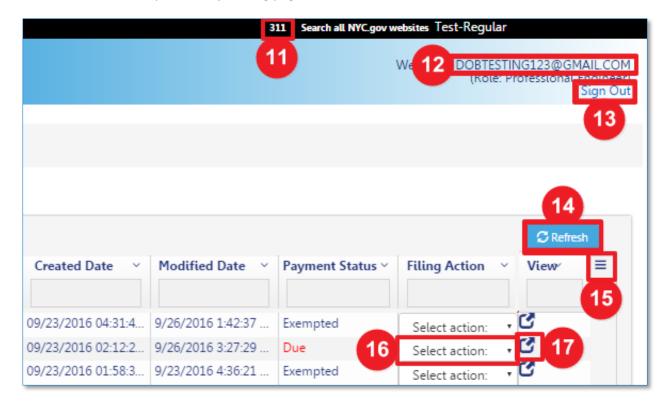


11. 311 Link: Link to the 311 homepage.





- 12. Logged User: Identifies the User Name and System Role associated with the user account.
- 13. **Sign Out:** Sign out of the DOB NOW: *Build* system.
- 14. **Refresh:** Refreshes the data to display the most recent information.
- 15. Column Editor: Pick which columns are displayed in the grid.
- 16. Filing Action: Select a filing action for the job filing.
- 17. View: Directs you to the job filing page







DOB NOW: Build Dashboard Tabs

This section guides you through the actions that you can take from the My Jobs, My Work Permits, My AHV Work Permits, and Job Filing Search tabs.

My Jobs Tab

The default dashboard screen is the **My Jobs** tab. Under this tab the following information is displayed:

- 1. **Job No. Filing No.:** This column contains the job and filing identification number of the associated row. The first letter indicates the borough for which the filing is associated, followed by an 8-digit ID number and filing suffix.
- 2. **Filing Status:** A description of the job filing status is found here. Some examples include *Permit Entire*, *Pre-Filing*, *Approved*, and *On Hold*.
- 3. Address: Contains the relevant address for the associated filing
- 4. Borough: Contains the relevant borough for the associated filing
- 5. Design Professional: Names the designated design professional for the associated filing
- 6. Owner: Names the designated owner of the associated filing address
- 7. **Created Date:** The date on which the filing was created
- 8. Modified Date: The time and date on which the filing was last modified
- 9. **Payment Status:** A description of the payment status is found here. Some examples include *Paid, Due,* and *Exempted*.
- 10. **Filing Action:** Actions which can be taken on the selected filing; see the related section below for more details
- 11. View: provides row sorting options such as Sort Ascending, Sort Descending, or Hide Column



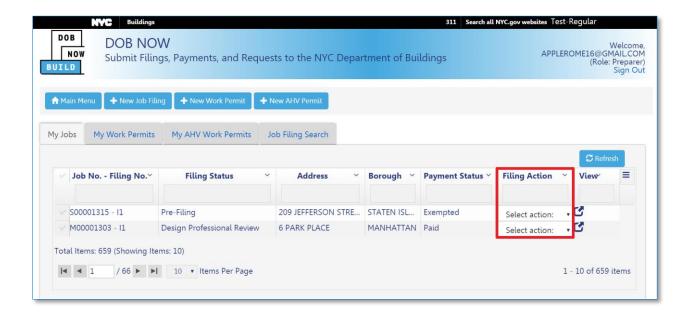




My Jobs Filing Actions

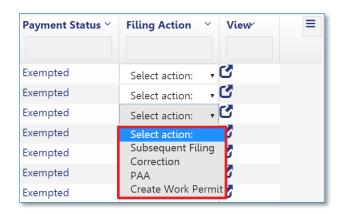
Depending on the filing status of the job filings listed under the **My Jobs** tab, you can take the following actions from the dropdown button under the **Filing Action** column:

Please Note: Options available in dropdown menus will change depending on the status of your filing. Every **Filing Action** will not always be available. All **Filing Actions** will be described in later sections.



Possible actions include:

- Subsequent Filing (Not for Contractor Use)
- Create Work Permit
- PAA (Not for Contractor Use)
- Correction







My Work Permits Tab

This section describes the My Work Permits tab. Under this tab the following information is displayed:

- 1. **Job No. Filing No.:** This column contains the job and filing identification number of the associated permit. The first letter indicates the borough for which the filing is associated, followed by an 8-digit ID number and filing suffix.
- 2. Tracking No.: Contains the tracking number for the associated work permit
- 3. **Work Permit No.:** Contains the job and filing identification number along with the permit number of the associated filing
- 4. Sequence No.: Contains the relevant sequence number of the associated work permit
- 5. **Work Permit Status:** A description of the work permit status is found here. Some examples include *Signed Off, Pre-Filing*, and *QA Review*.
- 6. Address: Contains the relevant address of the associated filing
- 7. **Permit Type:** The type of permit requested for the associated filing
- 8. Created Date: The time and date on which the permit was first filed
- 9. Contractor: Names the designated contractor for the associated filing
- 10. **Filing Action:** Actions which can be taken on the selected filing; see the related section below for more details

Please Note: click the expand icon located left of the grid to access the Modified Date and Withdrawal Request Status columns. To remove them, click the collapse icon located in the same position.

- 11. Modified Date: The time and date on which the filing was last modified
- 12. **Withdrawal Request Status:** If a withdrawal has been requested, the status of that request will display here

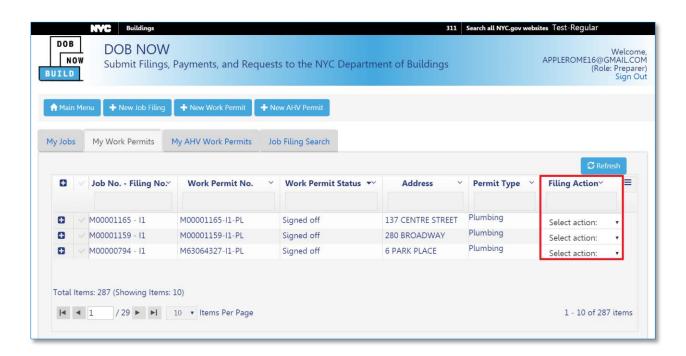






My Work Permits Filing Actions

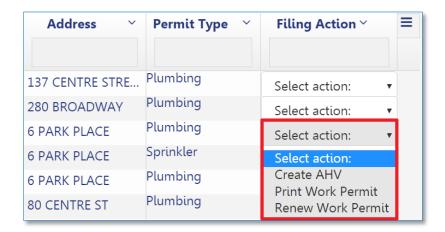
Contractors associated with the job filing will be able to view the permits in the My Work Permits tab. For permits listed under **My Work Permits** tab, you can take the following actions from the dropdown menu under the **Filing Action** column:



Please Note: All **Filing Actions** will be described in later sections.

Possible actions include:

- Create AHV
- Print Work Permit
- Renew Work Permit



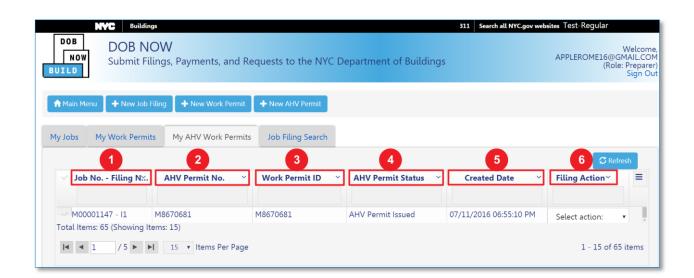




My AHV Work Permits Tab

This section describes the **My AHV Work Permits** tab. Under this tab, information about **After Hours Variance** (AHV) permits are displayed:

- 1. **Job No. Filing No.:** This column contains the job and filing identification number of the associated permit. The first letter indicates the borough for which the filing is associated, followed by an 8-digit ID number and filing suffix.
- 2. AHV Permit No.: Contains the permit number for the associated AHV work permit
- 3. **Work Permit ID.:** Contains the borough identification letter along with the permit number of the associated filing
- 4. **AHV Permit Status:** A description of the AHV permit status is found here. Some examples include *Approved*, *Pre-Filing*, and *QA Review*.
- 5. Created Date: The time and date on which the permit was first filed
- 6. **Filing Action:** Actions which can be taken on the selected filing; see the related section below for more details

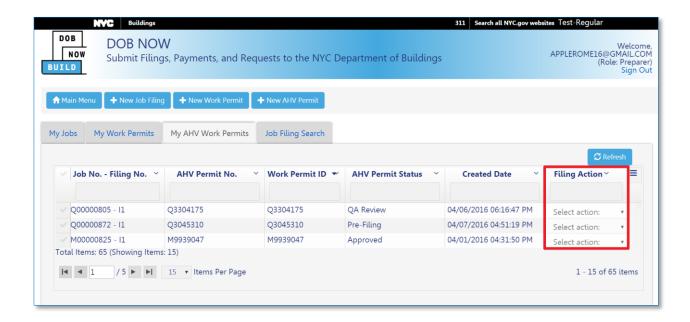






My AHV Work Permits Grid Filing Actions

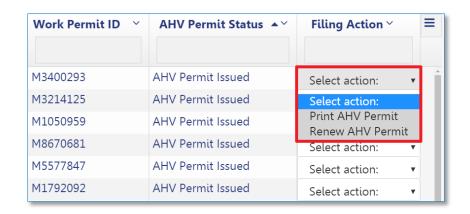
Contractors associated with the job filing will be able to view the permits in the **My AHV Work Permits** tab. For permits listed under this tab, you can take the following actions from the dropdown button under the **Filing Action** column:



Please Note: **Filing Actions** in the **My AHV Work Permits** tab will only be available once the associated AHV work permit has been created in the **My Work Permits** tab. All **Filing Actions** will be described in later sections.

Possible actions include:

- 1. Print AHV Permit
- 2. Renew AHV Permit

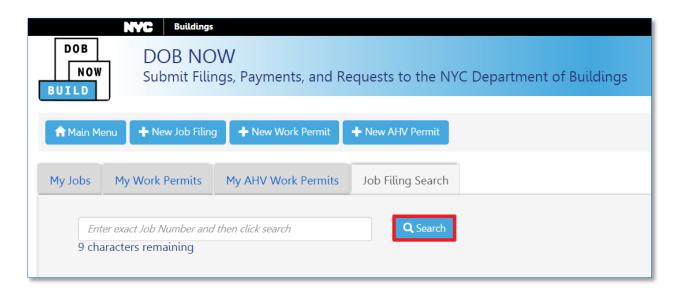




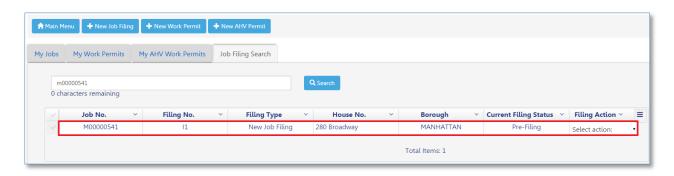


Job Filing Search

The **Job Filing Search** tab is used to search for any jobs in DOB NOW: *Build*. You must enter the exact job number, with all 9 characters. After entering the job number, press **Enter** on your keyboard or the **Search** button as highlighted below:



The results will be listed in the grid as shown below:







To go to the job filing screen from the search results, you can double-click on the job filing and a **Job Info** window will appear.

Click **OK** to go to the job filing screen, where you can see all details related to the filing.



If the job filing number you entered cannot be found by the system, you will receive the message requesting an appropriate job number.

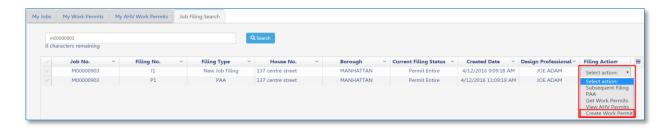






Create New Work Permit from Job Filing Search

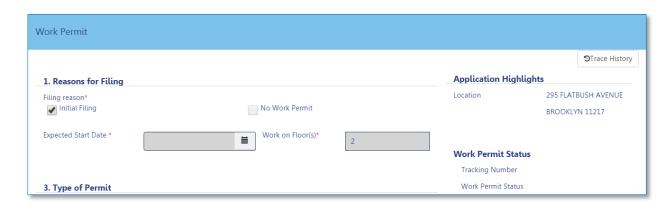
To create a work permit request from the Job Filing Search tab, go to the **Filing Action** column and select **Create Work Permit.**



The following notification will appear after your selection.



Click on Yes and you will be directed to the Work Permit form.

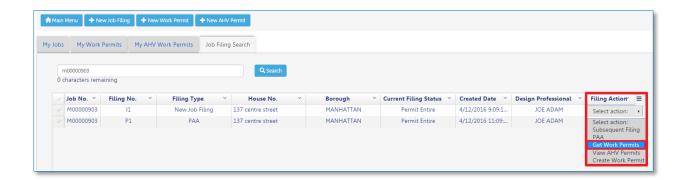






View All Work Permits from Job Filing Search

To view all work permits for a filing from the Job Filing Search tab, click on **Get Work Permits** from the **Filing Action** column.



The following notification will appear after your selection. Click **Yes** to continue and view work permits.



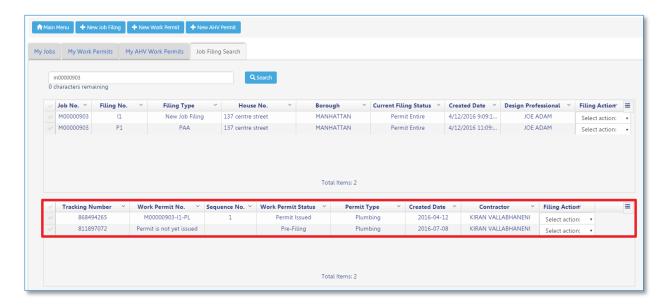
If no permits are available for this Job Filing, a notification will display a message that no **Work Permits** were found for this **Job Filing Number.**





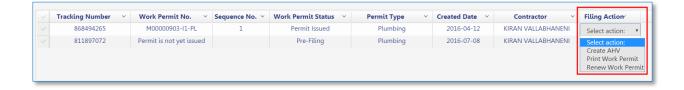


Otherwise, a new sub-grid will appear underneath the main grid displaying all permits for the job filing.



From the list of work permits you will be able to take the following actions by going to the Filing Action column:

- 1. Create AHV
- 2. Print Work Permit
- 3. Renew Work Permit







View AHV Permits from Job Filing Search

To view the AHV permits for a job filing on the **Job Filing** Search tab, select **View AHV Permits** from the **Filing Action** column.

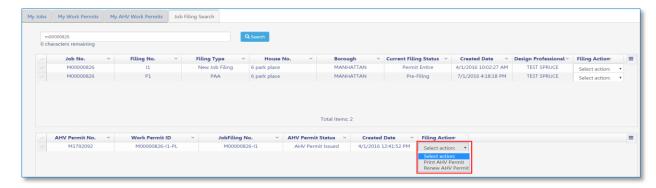


The following notification will appear after your selection. Click **Yes** to confirm and continue to AHV permits, or click **No** to return to the previous screen.



A new sub-grid will appear underneath the main grid displaying all of the AHV permits for the job filing. From the **Filing Action** column you can select the following actions:

- Print AHV Permit
- Renew AHV Permit







Sorting and Displaying Columns

You can search for specific jobs, sort the columns, export data, and choose which columns appear in the dashboard grid.

- 1. **Column Sort:** Click the dropdown menu arrow next to each column to sort the results by ascending or descending order.
- 2. **Column Search:** Type in a value in this field to search for and display only jobs that contain the searched for value. For example, if you type Queens in the *Borough* column, only jobs in Queens will be displayed.
- 3. **Column Editor:** Pick which columns are displayed or hidden on the grid. (★ indicates hidden, ✓ indicates displayed.)







Save Function

It is important that you **Save** your job filing any time you make changes, otherwise your changes will be lost.



If you're creating a new filing you'll need to complete the **Location Information**, **Applicant Information**, and **Job Description** sections in order to save it the first time. The following message will appear when you save successfully:



If you have not yet completed the required fields, you'll receive the message on the right, which specifies what sections still need to be completed:







Job Filing Screen

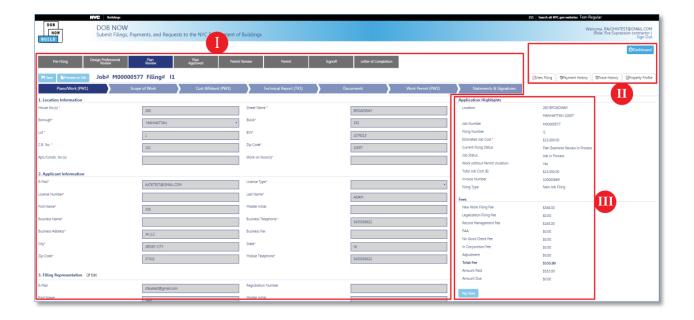
The Job Filing Screen is available as read-only for the Contractor. You will only be able to update the **Work Permit (PW2) and AHV** forms.

On the Job Filing screen, you can check the status of the filing and view additional details. This section of the manual identifies key information on the Job Filing screen, divided into three main sections:

Section 1: Progress ribbon showing steps taken towards completion

Section II: Navigation section with links to *Dashboard, Payment History, Trace History,* and *Property Profile*

Section III: Application Highlights and Fees

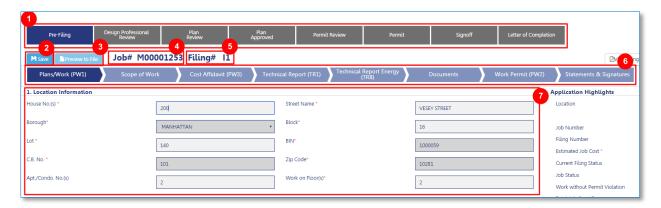






Section I

- Filing Progress: The highlighted status indicates the current status of the job filing. A filing will
 always start with a status of Pre-Filing. The filing will be assigned a different status as it moves
 through the filing process.
- 2. Save: Save information that was entered.
- 3. Preview to File: Provides the summary of the job filing details, and requires the Design Professional to provide acknowledgment before it can be filed with DOB. Only the Design Professional will be able to click this button.
- 4. **Job #:** Job number of the selected job. This number is created after saving the job the first time. If starting a new job, there will be nothing displayed until the information is saved. The first letter represents the borough where the job is located.
 - a. M: Manhattan
 - b. X: Bronx
 - c. B: Brooklyn
 - d. Q: Queens
 - e. S: Staten Island
- 5. Filing #: Specific filing # for the job selected. The first letter represents the filing type:
 - a. I: Initial
 - b. P: PAA
 - c. S: Subsequent Filing
- 6. **Filing Forms:** Lists all of the forms that need to be completed for the job. The default form shown when opening a job filing will always be **Plans/Work (PW1)**.
- 7. **Form Sections:** Sections for each form you need to complete.







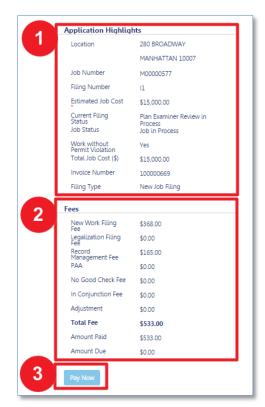
Section II

- 1. **Dashboard:** Returns you to the dashboard.
- 2. **View Filing:** Exports the job filing information entered into a PDF document. This feature is only available after filing the job with DOB.
- 3. Payment History: View past invoices and payments.
- 4. **Trace History:** View DOB actions taken on the filing (these will occur after the filing has been submitted to DOB).
- 5. **Property Profile:** View general information of the location associated with the job.



Section III

- 1. **Application Highlights:** Summary information on the filing, status will update as you proceed.
- 2. Fees: Fee information associated with the filing.
- 3. **Pay Now:** Click to pay any fees associated with the filing. Clicking the **Pay Now** button will take you to a secure third-party payment screen.







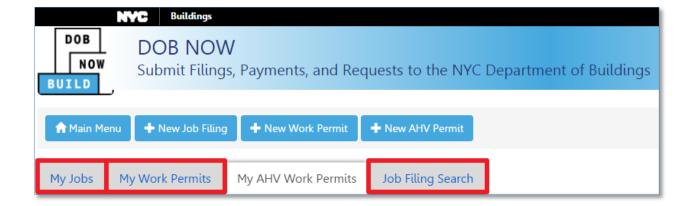
Work Permit (PW2)

The Work Permit (**PW2**) is filed with DOB to obtain a work permit. The following sections of the user manual provide instructions on how to find, create, and complete the **Work Permit (PW2)** for job filings associated with the Contractor.

Viewing Work Permit (PW2) Job Filings

From the Dashboard, use the **My Jobs** or **My Work Permits** tabs to view all job filings on which the Design Professional, Filing Representative, or Owner have listed you as the Contractor.

If you are the Contractor on a job filing, but have not been named as the Contractor on the Portal by the Design Professional, Filing Representative, or Owner, you can use the **Job Filing Search** tab to find the relevant job filing and add the **Work Permit(s)** (PW2).







View Permit (Using My Jobs Tab)

Click on the **My Jobs** tab to view all job filings associated with the Contractor. To view a job filing, double-click on the correct filing listed and a **Job Info** window will appear.

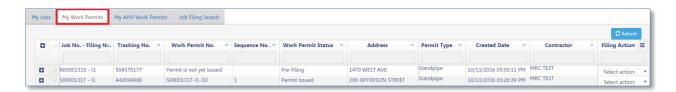


Click **OK** to go to the job filing screen, where you can see all details related to the filing.



View Permit (Using My Work Permits Tab)

Click on the **My Work Permits** tab to view all job filings associated with the Contractor. To view a job filing, double-click on the correct filing listed and a **Job Info** window will appear.



Click **OK** to go to the job filing screen, where you can see all details related to the filing.







View Permit (Using Job Filing Search Tab)

Click on the **Job Filing Search** tab to search for a job filing. Use this function if you are not listed as the Contractor but would like to add a **Work Permit (PW2)** to a job filing. You must enter the exact job number, with all 9 characters. After entering the job number, press **Enter** on your keyboard or the **Search** button as highlighted below:



The results will be listed in the grid as shown below: To view a filing, double-click on the correct filing listed and a **Job Info** window will appear.



Click **OK** to go to the job filing screen, where you can see all details related to the filing.







Work Permit (PW2) Job Filing Screen

After navigating to the job filing screen, click on the Work Permit (PW2) tab.



Navigating to the **Work Permit (PW2)** tab in the progress ribbon will open the following table:

- 1. +Add: Click here to add a permit filing.
- 2. Tracking No.: The tracking number of the work permit filing.
- 3. Work Permit No.: Once the filing is approved, a work permit number will be issued.
- 4. Work Permit Status: The status of the current application/permit.
- **5. House No.:** The number of the house for which the filing is being made.
- **6. Permit Type:** The type of permit being requested.
- 7. Permit Issued Date: Displays previously entered permit information and the permit status.
- 8. **Delete:** Click here to delete a permit.



Please Note: Double-click on the displayed Work Permit(s) to view, edit, and upload the **Contractor Seal** & Signature.





Create Work Permit

This section instructs Contractors how to create a new **Work Permit (PW2)**. There are several means for Contractors to create new permits from the **Job Filing Screen** and **Dashboard** and they are covered below:

+Add button: Click on +Add (as seen in the previous diagram) to open a new Work Permit form
for the job filing. The +Add button is found under the Work Permit (PW2) tab in the job filing
screen.



- 2. +New Work Permit button
- 3. My Jobs tab
- 4. Job Filing Search tab

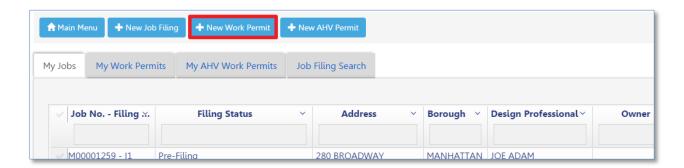






Create Permit (Using +New Work Permit Button)

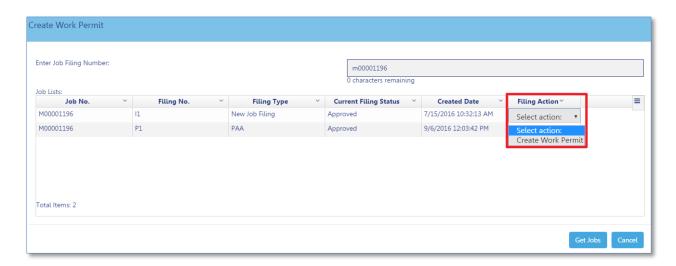
Click on the **+New Work Permit** button to search for the job filing you want to add a new **Work Permit** (PW2) to.



This will open a **Create Work Permit** window. Enter the associated job filing number. You must enter the exact job number, with all 9 characters. After entering the job number, press **Enter** on your keyboard or the **Get Jobs** button as highlighted below:



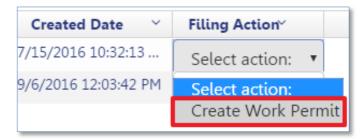
The results will be listed in the grid as shown below. Click on the **Select action**: dropdown menu located in the **Filing Action** column.







From the dropdown menu, select **Create Work Permit**.



This will open a **Confirm** window. Click **Yes** to open a blank **Work Permit (PW2)** form.



If the job filing number you entered cannot be found by the system, you will receive the message requesting an appropriate job number.





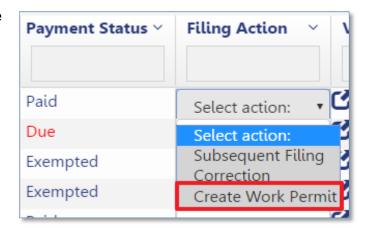


Create Permit (Using My Jobs Tab)

Under the **My Jobs** tab, click the **Select action:** dropdown menu in the **Filing Action** column associated with the correct job filing.



From the dropdown menu, select **Create Work Permit**.



This will open a **Confirm** window. Click **Yes** to open a blank **Work Permit (PW2)** form.

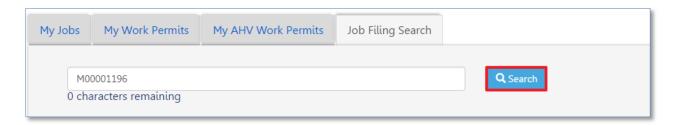




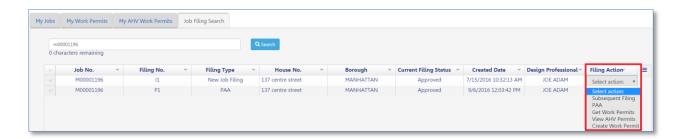


Create Permit (Using Job Filing Search Tab)

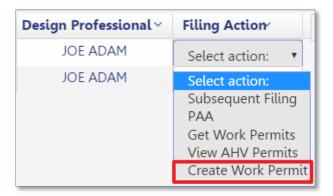
Click on the **Job Filing Search** tab to search for the job filing you want to add a new **Work Permit (PW2)** to. You must enter the exact job number, with all 9 characters. After entering the job number, press **Enter** on your keyboard or the **Search** button as highlighted below:



The results will be listed in the grid as shown below. Click on the **Select action**: dropdown menu located in the **Filing Action** column.



From the dropdown menu, select **Create Work Permit**.



This will open a **Confirm** window. Click **Yes** to open a blank **Work Permit (PW2)** form.







Documents

This section displays a list of required documents that need to be uploaded. This list will change based on the content of each specific job filing. The **Documents** section contains two tabs for documents: **Additional Supporting Documents** and **Required Documents**. The **Required Documents** tab is expanded by default.

Please Note: If you believe Required Documents are listed that are not required, please contact DOB at dobnowsupport@buildings.nyc.gov for further assistance.

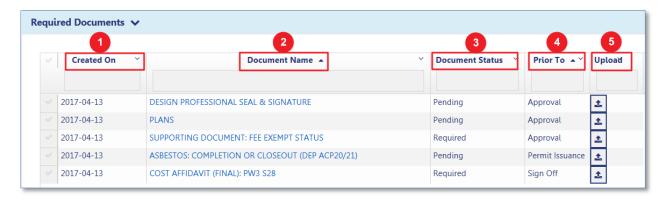






Required Documents Tab

- 1. Created On: Indicates the date the document was created.
- 2. **Document Name:** Lists the document name.
- 3. **Document Status:** Specifies the status of the required document. Possible statuses are: *Required, Pending, Submitted,* and *Accepted*.
- 4. **Prior To:** Specifies the filing status prior to submitting documents to DOB and helps guide which documents are still needed. Possible statuses are: *Approval*, *Permit Issued*, and *Sign Off*.
 - a. It is necessary to upload all documents that have an Approval status.
 - b. If filing a Professional Certification that includes a PW2, it is necessary to upload all documents that have a *Permit Issued* status.
- 5. **Upload:** Allows you to upload the document by clicking on the button. If the button is greyed out you will be unable to upload that document.







Uploading Documents for Required Documents

To upload a document, click on the **Upload** button in the **Required Documents** table.

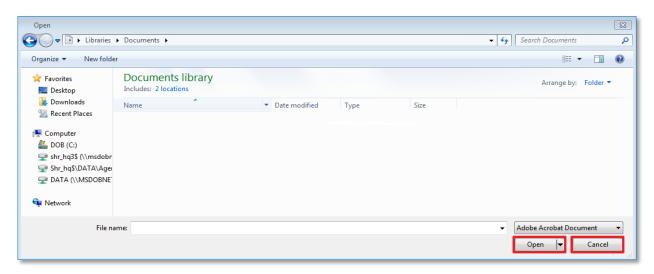


A sub-window opens as shown below. Click on **Browse** to locate the document. Click **Cancel** to return to the previous screen.



A new file browser window will open to locate the file. After locating the document, select it and click **Open**. Click **Cancel** to return to the previous screen.

Please Note: All files must be in PDF or JPEG format, and must be less than 250 MB in size.



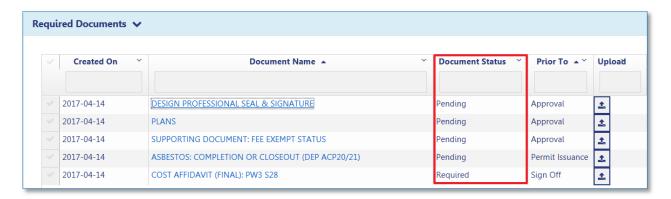




The document name will appear in the **Document Uploader** window. Click on **Upload** to submit the selected document. Click **Cancel** to return to the previous screen.



Status for that document will change to **Pending**. Once this document has been reviewed and accepted by the Plan Examiner, Prof Cert QA Clerk, or QA Clerk, the document status will be updated to **Accepted**.



To obtain a copy of the required Design Professional/Licensee Seal and Signature Form (DPL-1) document click on the following link: http://www1.nyc.gov/assets/buildings/pdf/dpl1.pdf

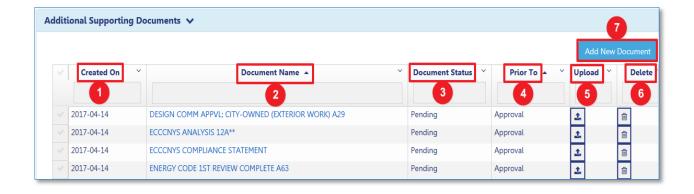




Additional Supporting Documents Tab

To submit additional supporting document which are not required, click on the **Additional Supporting Documents** tab on underneath the progress ribbon. The tab will expand and display the following table:

- 1. Created On: The date the document when the document submission was created
- 2. **Document Name:** Provides the document name.
- 3. **Document Status:** Specifies the status of the required document. Possible values are: Required, Pending, Submitted and Accepted.
- 4. **Prior To:** Specifies the filing status prior to which the document should be submitted to DOB in order to satisfy the filing requirement at that stage of the process. Possible statuses are: Approval, Permit Issued and Sign Off.
- 5. **Upload:** Click to overwrite and replace a previously uploaded document.
- 6. **Delete:** Click to delete document.
- 7. Add New Document: Click to add new supporting documents.

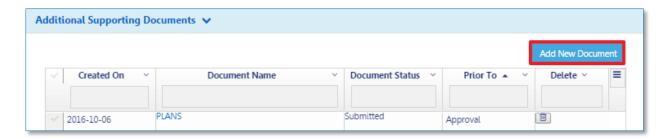




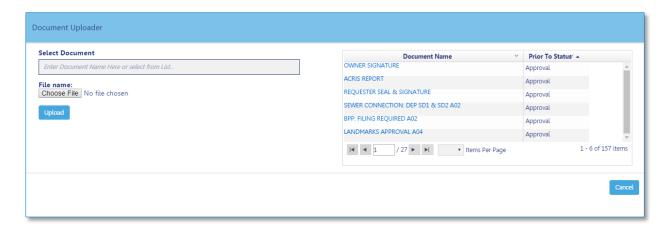


Uploading Documents for Additional Supporting Documents

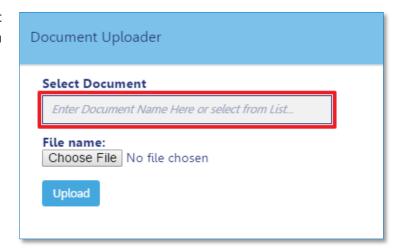
To upload supporting documents, click on the **Add New Document** button.



The **Document Uploader** window will appear.



In the **Select Document** field, start typing in the name of the document you want to upload.



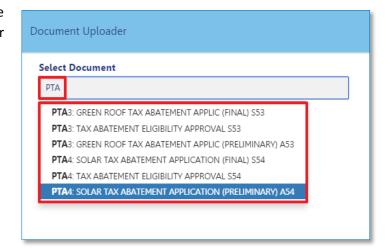




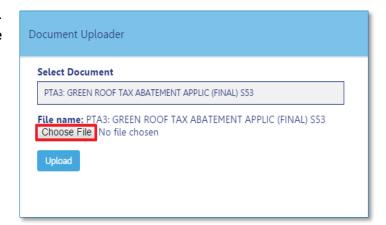
Only documents listed in the table to the right can be selected



After entering several letters, the application will bring up suggestions for documents to select.



Select the document you want to upload. After selecting the document, click **Choose File** to locate the document.

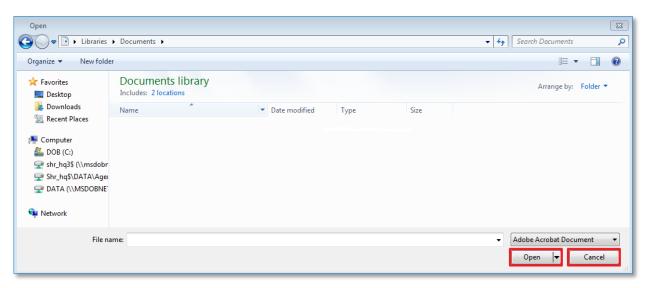




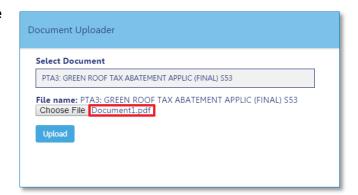


A new file browser window will open to locate the file. After locating the document, select it and click **Open**. Click **Cancel** to return to the previous screen.

Please Note: All files must be in PDF or JPEG format, and must be less than 250 MB in size.



The **Document** will now appear in the **File name** field.



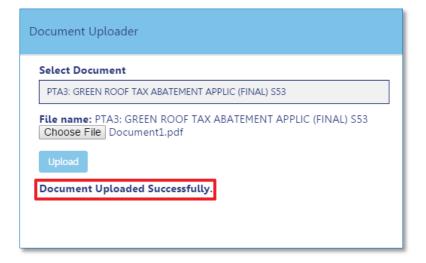




Click on Upload.



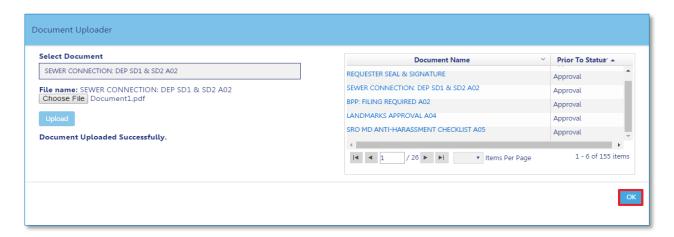
If the document was uploaded successfully it will be indicated on the screen.







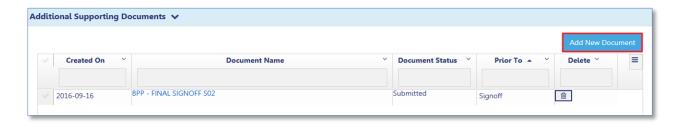
Click on **OK** to close the **Document Uploader** sub-window and return to **Additional Supporting Documents**.



The uploaded document will appear under **Additional Supporting Documents**.



If you need to upload another document, click on Add New Document and repeat the process.







Complete Work Permit

After clicking **+Add** or confirming **Yes** to create a work permit, a blank **Work Permit** window will open. Enter the required fields:

- 1. **Reason for Filing:** Auto-populated field that indicates the reason for filing (Initial Filing or No Work Permit).
- 2. **Expected Start Date:** Enter the expected start date for the work.
 - a. Click on the calendar icon to select a date from the dropdown.
- 3. Work on Floor(s): Enter floor on which work will be done.
- 4. **Type of Permit:** Select a permit type.
- 5. **Job Description:** Auto-populated field based on the information entered on the PW1 that provides a description of the job.



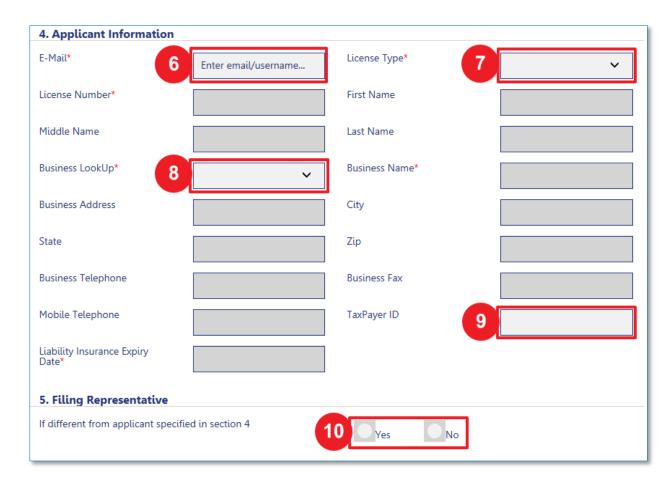




- 6. **E-Mail**: Enter the permit applicant's email address.
- 7. **License Type**: Select the applicant's license type from the dropdown menu.
- 8. **Business Lookup:** Select the business name of the Contractor from the dropdown menu. If there is more than one business listed for a Contractor, select the appropriate option. If the insurance has expired for the business selected, an error message will be displayed when you try to save the permit.



- 9. **Tax Payer ID**: Enter the tax ID of the applicant, optional.
- 10. **Filing Representative:** If the filing representative is different than the applicant specified in the previous section, *Applicant Information*, select **Yes.**

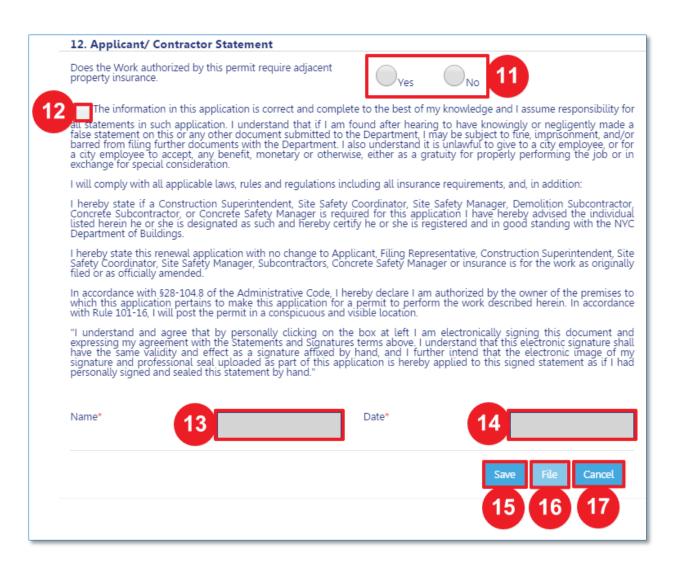


11. Adjacent Property Insurance: Indicate if adjacent property insurance is required (Yes/No).





- 12. **Statement Agreement:** Check off box to indicate agreement with the statements. Only the designated Contractor can check the box.
- 13. **Applicant Name**: Auto-filled with the applicant's name when the statement agreement is checked.
- 14. Date: Auto-filled with the date when the statement agreement is checked.
- 15. Save: Saves the information entered but does not file.
- 16. File: Sends the permit filing to DOB. Only the designated Contractor can file to DOB.
- 17. Cancel: Cancels the permit filing without saving.



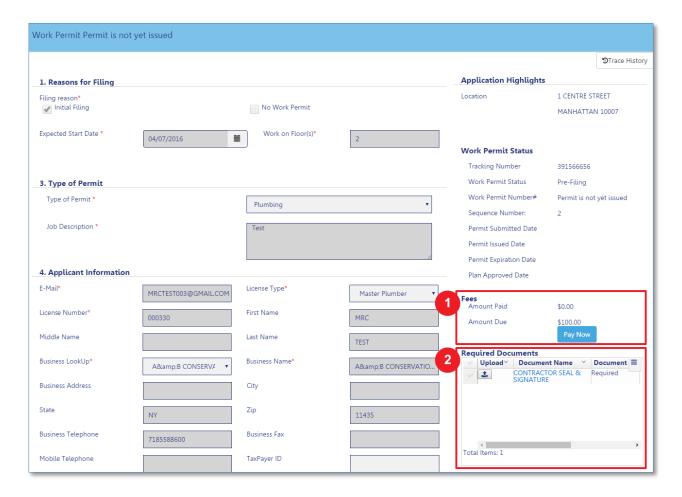




Fees and Required Documents

This section provides steps to pay fees and upload required documents once a permit is submitted and in viewing an existing **Work Permit (PW2)** form. Double-clicking on an existing permit will open a **Work Permit: Permit is not yet issued** window containing previously filed information. The below sections will appear underneath **Application Highlights**:

- 1. Fees: Informs Contractors of any Fees due or paid. Pay Now button appears when a Fee is due.
- 2. Required Documents: Lists any Seal and Signature(s) Contractors are required to upload.







Paying Fees

If the record management fee is already paid, no payment is required. If a fee is due, click the **Pay Now** button. You will be redirected to a secure third-party site. See the <u>Making Payments</u> section for payment instructions.



Please Note: You must remove your pop-up blocker in order to proceed with making a payment.

Uploading Required Documents

You must upload your seal and signature before the permit can be filed with DOB. To upload a document, click the **Upload** button in the **Required Documents** table.



To obtain a copy of the required Design Professional/Licensee Seal and Signature Form (DPL-1) document click on the following link: http://www1.nyc.gov/assets/buildings/pdf/dpl1.pdf

This will open a new **Document Uploader** window. Click the **Choose File** button to locate the document.

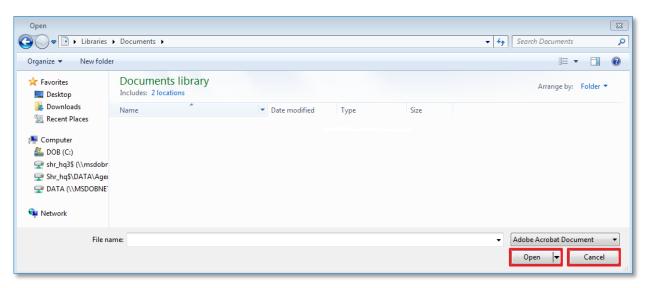




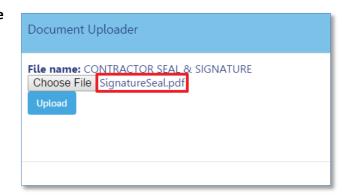


A new file browser window will open to locate the file. After locating the document, select it and click **Open**. Click **Cancel** to return to the previous screen.

Please Note: All files must be in PDF or JPEG format, and must be less than 250 MB in size.



The **Document** will now appear in the **File name** field.



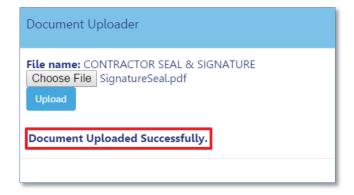
Click on Upload.



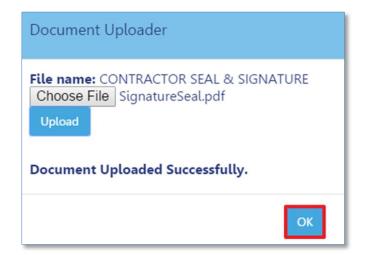




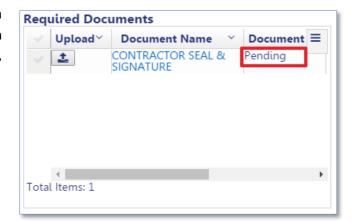
If the document was uploaded successfully it will be indicated on the screen.



Click **OK** to return to the previous screen.



The uploaded document will now show a **Pending** status. Once the document has been reviewed and accepted by the Plan Examiner, the status will changed to **Accepted**.





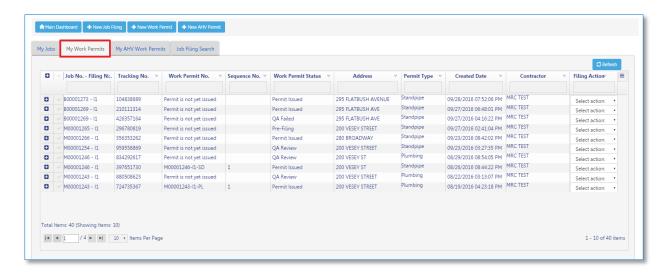


Print Work Permit (PW2)

There are two ways to print **Work Permit (PW2)** forms using the **My Work Permits** or **Job Filing Search** tabs. This section will provide steps for printing permits using these tabs.

Print Work Permit (Using My Work Permits Tab)

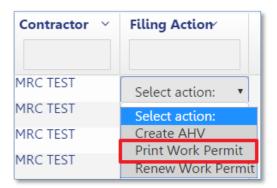
All permits submitted to DOB can be viewed from the **Dashboard** by going to the **My Work Permits** tab.



The status of a permit filing is displayed in the **Work Permit Status** column. You will only be able to print permits after DOB approval, as indicated by a **Permit Issued** status. Permits issued will also have a **Work Permit No.** listed.



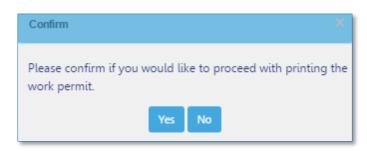
Under the **Filing Action** column, click on the **Select Action** dropdown menu. Select **Print Work Permit**.





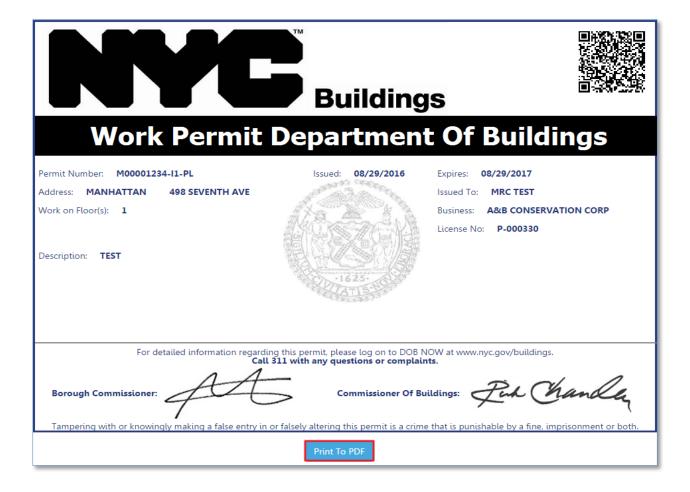


Click **Yes** on the confirmation window. To proceed with printing the work permit.



The permit will open in a new web browser tab. Print the permit using the print function (**Ctrl+P**) in the Internet Explorer web browser. You can also click on the **Print To PDF** button underneath the permit to export the permit to a PDF document and print it.

Please Note: Be sure to change your print preferences to print **Landscape** orientation.

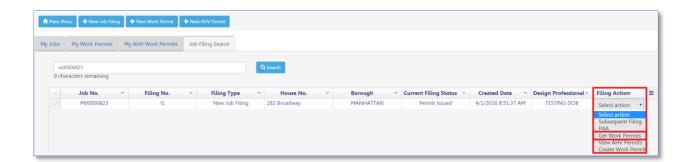




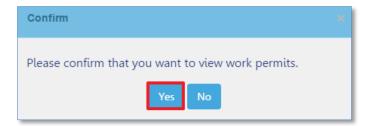


Print Work Permit (Using Job Filing Search Tab)

You can search for the job associated with the work permit using the **Job Filing Search** tab on the **Dashboard**. After performing a search, in the results go to the **Filing Action** column. Click on **Get Work Permits** option from the **Select Action** dropdown menu.



Choose Yes on the confirmation window.



A new grid will appear with a list of permits. Proceed to the **Filing Action** column and select **Print Work Permit**.







Choose Yes on the confirmation window.



The permit will open in a new web browser tab. Print the permit using the print function (**Ctrl+P**) in the Internet Explorer web browser. You can also click on the **Print To PDF** button underneath the permit to export the permit to a PDF document and print it.

Please Note: be sure to change your print preferences to print **Landscape** orientation.





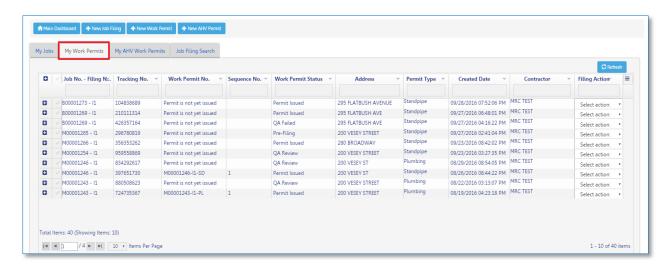


Work Permit Renewal

There are two ways to print **Work Permit (PW2)** forms using the **My Work Permits** or **Job Filing Search** tabs. This section will provide steps for printing permits using these tabs.

Work Permit Renewal (Using My Work Permits Tab)

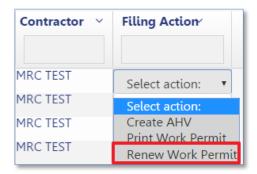
All permits submitted to DOB can be viewed from the **Dashboard** by going to the **My Work Permits** tab.



The status of a permit filing is displayed in the **Work Permit Status** column. You will only be able to renew permits after DOB approval, as indicated by a **Permit Issued** status. Permits issued will also have a **Work Permit No.** listed.



Under the **Filing Action** column, click on the **Select Action** dropdown menu. Select **Print Work Permit**.





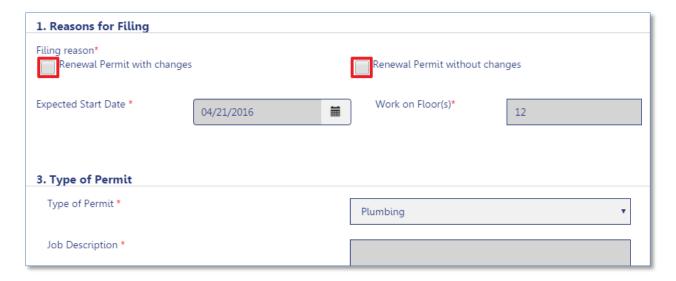


Click **Yes** on the confirmation window to proceed with the permit renewal.



The fields will already be pre-filled with the information you entered on the initial permit filing. Select the filing reason, either **Renewal Permit with changes** or **Renewal Permit without changes**.

Please Note: Only select **Renewal Permit with changes** if you are changing the Contractor. After selecting this option, the contractor information will be editable.



Make any additional changes to the fields if required. After you finish, Save the permit and click on File.

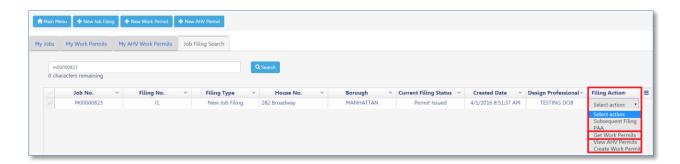




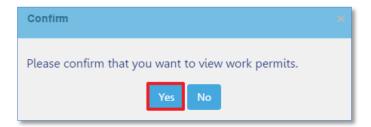


Work Permit Renewal (Using Job Filing Search Tab)

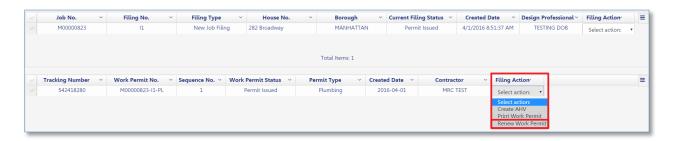
You can search for the job associated with the work permit using the **Job Filing Search** tab on the **Dashboard**. After performing a search, in the results go to the **Filing Action** column. Click on **Get Work Permits** option from the **Select Action** dropdown menu.



Choose Yes on the confirmation window.



A new grid will appear with a list of permits. Proceed to the **Filing Action** column and select **Renew Work Permit**.





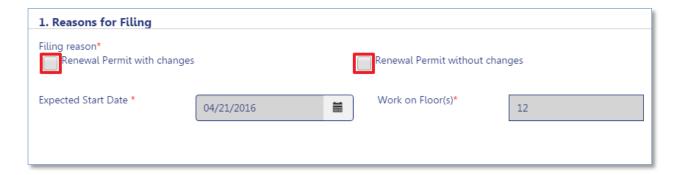


Click **Yes** on the confirmation window to proceed with the permit renewal.

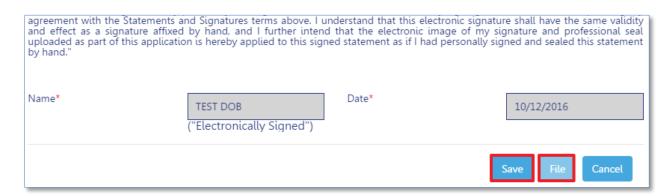


The fields will already be pre-filled with the information you entered on the initial permit filing. Select the filing reason, either Renewal Permit with changes or Renewal Permit without changes.

Please Note: Only select **Renewal Permit with changes** if you are changing the Contractor. After selecting this option, the contractor information will be editable.



Make any additional changes to the fields if required. After you finish, Save the permit and click on File.





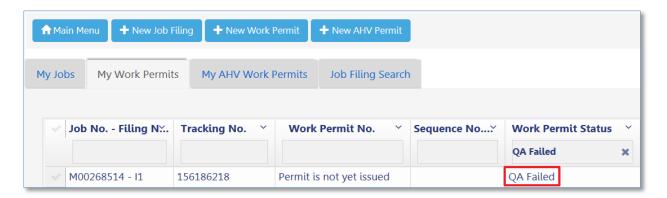


QA Failed

QA Failed at Permit Level - For Standard Plan Exam or Professional Certification (without PW2) Filings

After a PW2 has been submitted to DOB, it is reviewed by a QA Clerk. If there are any issues with the submission, such as missing required documents, the QA Clerk will fail the submitted PW2, and the status of the permit will be changed to QA Failed. The Contractor will need to go to the PW2 screen and fix the issue and resubmit the filing.

To address the issue, first locate the job filing that has failed on your dashboard under the **My Work Permits** tab and open it.



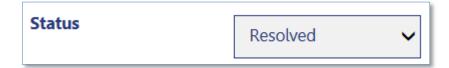
From the Work Permit window, go to the **Failure Reason** section, which is located underneath the Fees section on the right side. Here you'll see the failure details and the status will be **Open**.







Once you resolve the issue, go to the **Status** dropdown and update the status to Resolved.



After resolving the issue, you'll need to re-submit the filing. Only the Contractor can re-submit the filing. To re-submit, scroll to the bottom of the PW2 window and click on the **Re-Submit** button.



After resubmitting the filing, both fields will be greyed out and you will not be able to make any additional changes.







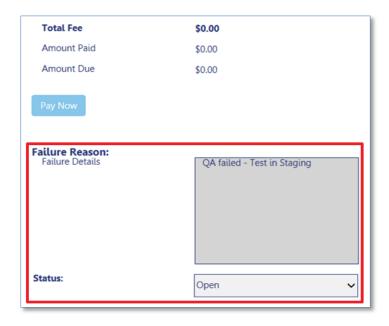
QA Failed at Job Level - For Professional Certification (with or without PW2) Filings

If during the Professional Certification QA Clerk review process there is an issue with the filing, the Professional Certification QA Clerk will fail it and the status of your filing will be updated to QA Failed. A filing can only fail at the job level when it is a Professional Certification filing, and it has been failed by the Professional Certification QA Clerk.

To address the issue, first locate the job filing that has failed on your dashboard under the **My Jobs** tab and open it.



Once the job filing window is open, under the **Fees** section there will be a **Failure Reason** section that displays the failure details. This will provide the reason for why the filing failed. The status for the failure will also be Open.







Once you resolve the issue, go to the **Status** dropdown and update the status to Resolved.



After resolving the issue, the filing will need to be re-submitted to DOB. When a filing fails at the job level, the Design Professional and Owner will need to once more provide attestation (electronic signature) and only the Design Professional can re-submit the filing.



After resubmitting the filing, both fields will be greyed out and you will not be able to make any additional changes.







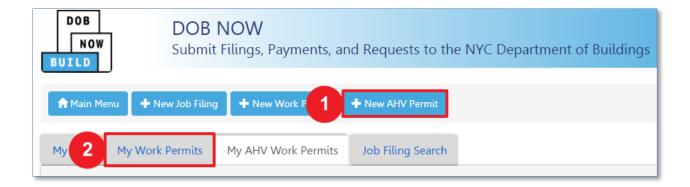
After Hours Variance (AHV) Permit

The **After Hours Variance Permit (AHV)** is filed with DOB to obtain an AHV permit. The following sections of the user manual provide instructions on how to navigate, create, and complete the **AHV** for job filings associated with the Contractor.

Create After Hours Variance Permit

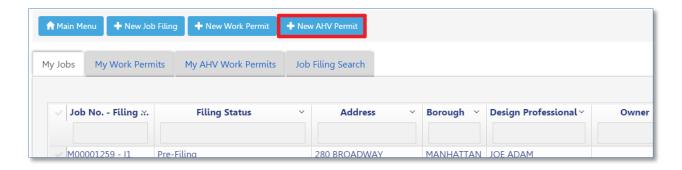
This section instructs Contractors how to create a new **AHV Permit**. Contractors can create new permits from the **Dashboard** using:

- 1. +New AHV Permit button
- 2. My Work Permits tab



Create AHV Permit (Using +New AHV Permit Button)

Click on the **+New Work Permit** button to search for the job filing you want to add a new **Work Permit** (PW2) to.



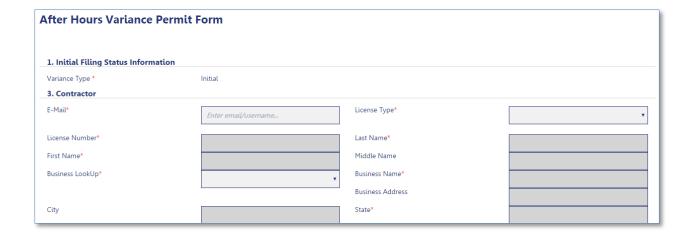




This will open an **After Hours Variance** window. Enter the associated work permit number. You must enter the exact job number, with all characters, including letters. After entering the work permit number, press **Enter** on your keyboard or the **Submit** button as highlighted below:



Clicking the **Submit** button will open a blank **After Hours Variance Permit Form** window.



If the works permit number you entered cannot be found by the system, you will receive this message.



Please Note: Work Permit numbers are different than the Job Filing numbers used in previous sections.



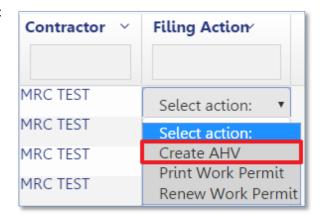


Create AHV Permit (Using My Work Permits Tab)

All permits submitted to DOB can be viewed from the **Dashboard** by going to the **My Work Permits** tab. The status of a permit filing is displayed in the **Work Permit Status** column. You will only be able to create AHV Permits after DOB approval, as indicated by a **Permit Issued** status. Permits issued will also have a **Work Permit No.** listed.



Under the **Filing Action** column, click on the **Select Action** dropdown menu. Select **Create AHV**.



Click **Yes** on the confirmation window to proceed with the AHV creation.



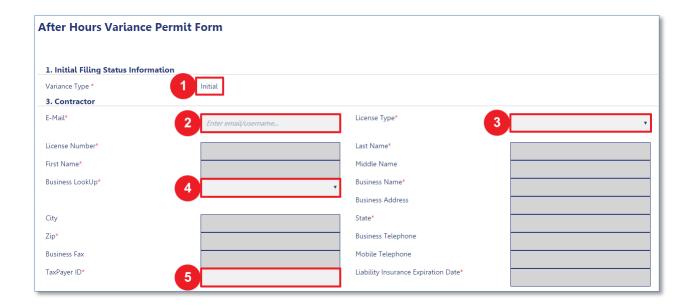




Complete AHV Form

After creating a blank **After Hours Variance Permit Form**, enter the required information for the permit, including Contractor information, main point of contact, and scheduling for the AHV. The greyed out fields will automatically fill once the email is entered.

- 1. **Variance Type**: Indicates the AHV permit type, *Initial* or *Renewal*. The field is auto-populated based on whether it is a new AHV form or a renewal.
- 2. **E-Mail**: Enter the e-mail of the Contractor associated with the work permit. The grayed-out areas will auto-fill with information
- 3. **License Type**: Select from the dropdown menu the license type associated with the Contractor.
- 4. **Business LookUp**: Select from the dropdown menu the business associated with the Contractor.
- 5. TaxPayer ID: Enter the taxpayer ID number.



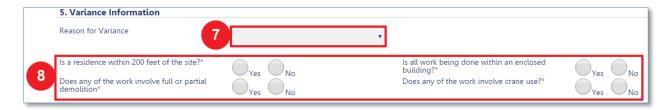
6. **Contact Information**: Enter the contact information for the main point of contact during AHV work. This includes the *Last Name*, *First Name*, *Mobile Telephone*, and *E-mail* of the point of contact.







- 7. Reason for Variance: Select the reason for Variance from the dropdown menu.
- 8. Select **Yes** or **No** for each question regarding additional work details.

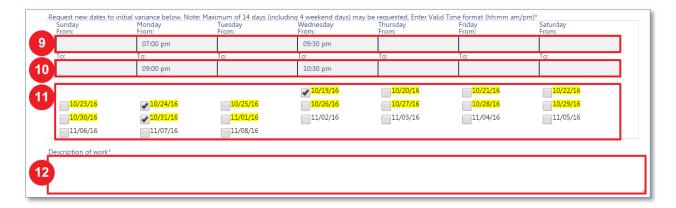


Request New Dates to Variance

The table below requires selecting up to 14 consecutive days for AHV work. Enter the following information for each applicable day(s).

- 9. **From**: Enter the start time for the specific work day(s).
- 10. **To**: Enter the end time for the specific work day(s).
- 11. **Date(s)**: Select the day(s) requiring AHV work. The permitted 14 consecutive day limit is automatically highlighted based on your selections. Selecting dates outside the highlighted options will reset any selections.
- 12. **Description of Work**: Enter additional description of the AHV work.

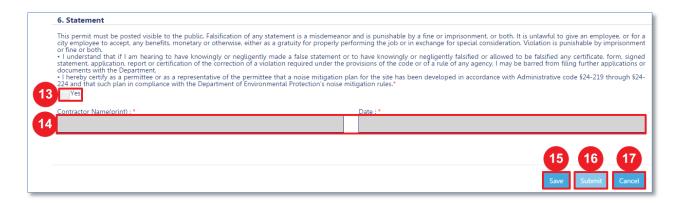
Please Note: If selecting two of the same day (such as two consecutive Mondays below), the start and end work time must be the same for each. In the diagram below, both Monday AHV works will start at 07:00 pm and end at 09:00 pm. Other days may start and end at different times.







- 13. Select **Yes** to agree to the statement.
- 14. **Contractor's Name & Date**: The Contractor's name and current date will auto-populate once the statement is agreed to.
- 15. Click **Save** to keep new requirements.
- 16. Click **Submit** to submit the filing to DOB. This option is available once Filing Fees are paid.
- 17. Click Cancel to return to the previous screen. All unsaved changes will be discarded.







AHV Renewal

This section instructs Contractors how to renew an **After Hours Variance (AHV)** form. Contractors can renew permits from the **Dashboard** using the **My AHV Work Permits** tab or **Job Filing Search** tab.

Please Note: Only AHV permits that have a status of **AHV Permit Issued** and are within 7 days of expiring can be renewed.



Renew AHV Permit (Using My AHV Work Permits Tab)

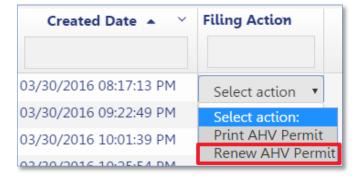
All permits submitted to DOB can be viewed from the **Dashboard** by going to the **My AHV Work Permits** tab.







Under the **Filing Action** column, click the **Select action** dropdown menu and select **Renew AHV Permit**.



This will open a **Confirm** window. Click **Yes** to continue.



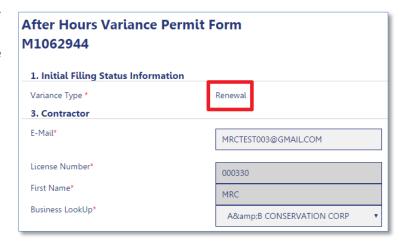
If the permit is not within 7 days of expiring, clicking **Yes** will open a **Notification** window stating the permit cannot be renewed.







The fields will already be autopopulated with the information entered for the initial AHV filing. The **Variance Type** will now read **Renewal**.



Make any additional changes to the fields if required. After you finish, **Save** the permit. You must pay a **Filing Fee** associated with the renewal before you can submit the AHV permit.

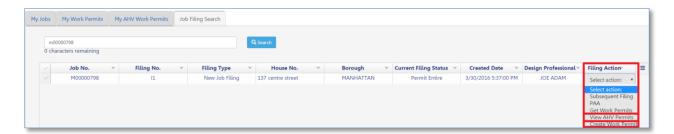






Renew AHV Permit (Using Job Filing Search Tab)

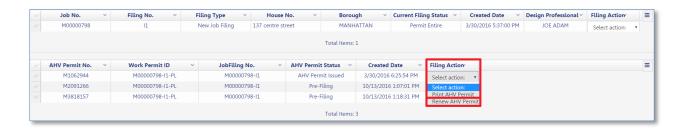
You can search for the job associated with the AHV permit using the **Job Filing Search** tab on the **Dashboard**. After performing a search, in the results go to the **Filing Action** column. Click **Get AHV Permits** option from the **Select Action** dropdown menu.



This will open a **Confirm** window. Click **Yes** to view AHV permits.



A new grid will appear with a list of permits. Proceed to the **Filing Action** column and click **Renew AHV Permit** from the **Select Action** dropdown menu.







This will open a **Confirm** window. Click **Yes** to continue.



If the permit is not within 7 days of expiring, clicking **Yes** will open a **Notification** window stating the permit cannot be renewed.



The fields will already be auto-populated with the information entered for the initial AHV filing. The **Variance Type** will now read **Renewal**.



Make any additional changes to the fields if required. After you finish, **Save** the permit. You must pay a **Filing Fee** associated with the renewal before you can submit the AHV permit.

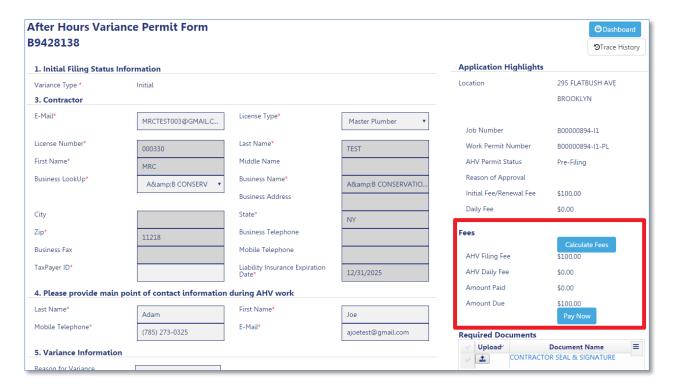




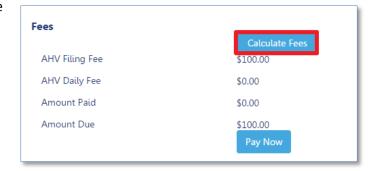


AHV Filing Fee Payment

Once all required details are entered, Fees must be paid before the AHV permit can be submitted.



Click **Calculate Fees** to see an estimate of the total amount owed.





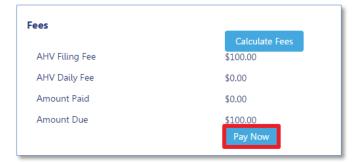


This will open an **Estimate Fees** window. Click **OK** to return to the previous screen.



Click **Pay Now** to pay fees.

Please Note: that you must remove your popup blocker in order to proceed with making a payment.



This will open a **Confirm** window. Click **Yes** to proceed. You will be redirected to a secure third-party site. See the <u>Making Payments</u> section for payment instructions.



Please Note: The initial payment is the **AHV Filing Fee**. Once DOB approves the AHV permit request, you must pay the **AHV Daily Fee**.



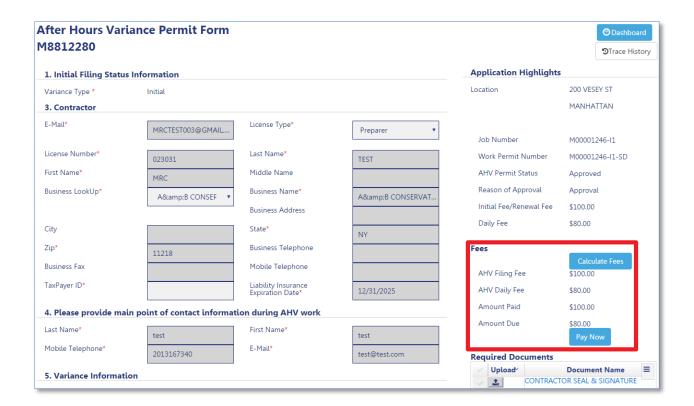


AHV Daily Fee Payment and Printing the AHV Permit

After DOB has approved the AHV permit, its status will change to **Approved** under the **My AHV Work Permits** tab on the **Dashboard**. Double-click on the approved AHV permit to view the AHV form.



Under the Fees section, the amount due is now equal to the AHV Daily Fee.







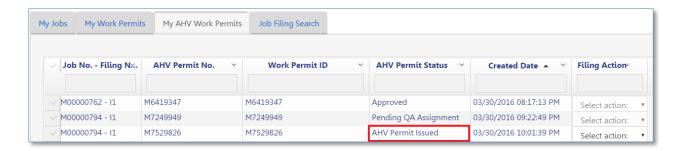
Click Pay Now to pay the AHV Daily Fee.



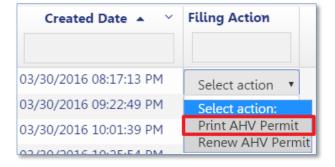
This will open a **Confirm** window. Click **Yes** to continue. You will be redirected to a secure third-party site. See the <u>Making Payments</u> section for payment instructions.



After the payment is processed, the status of the AHV will change to AHV Permit Issued.



To print the permit, click the **Select action** dropdown menu under the **Filing Action** column and select **Print AHV Permit**.







Making Payments

In order to submit a job filing, you must pay the required fees associated with the filing.

Please note that you must remove your pop-up blocker in order to proceed with making a payment.

You will be redirected to a secure third-party site. If you need assistance with how to enter the payment details, please see the **Payment Portal Manual**, which you can find on DOB's website using the link below.

https://www1.nyc.gov/site/buildings/industry/dob-now-build-resources.page

No Good Check

When a no good check is submitted the job filing will be placed on hold and No Good Check Fee will be added to the original fee. A \$20 fee will be added for each no good check that is received. Please note that once a hold is placed on a job filing the hold will not be lift until we have received notification from the bank that the subsequent payment has cleared. This can take at least five business days from the date of submitting the subsequent payment.





Appendix

List of Acronyms

Work Type Acronyms

- BL: Boiler
- CC: Curb Cut
- **CH**: Chute
- DM: Demolition and Removal
- **EQ**: Construction Equipment
- EW: Equipment Work
- **FA**: Fire Alarm
- **FB**: Fuel Burning
- **FN**: Fence
- **FP**: Fire Suppression
- FS: Fuel Storage
- MH: Mechanical/HVAC
- OT: Other
- PL: Plumbing
- SD: Standpipe
- SF: Scaffold
- SG: Sign
- SP: Sprinkler
- EL: Elevator

Legal Acronyms

- AC: Administrative Code
- APPN: Administrative Policy and Procedure Notice
- BC: Building Code
- HPD: Housing Preservation and Development
- LL: Local Law
- LPPN: Legal Policy and Procedures Notice
- MDL: Multiple Dwelling Law
- NYC DEP: New York City Department of Environmental Protection
- **NYC RR**: New York City Report Recommendations
- NYS DOH: NYS Department of Health
- **NYS ECL**: Environmental Conservation Law
- **OPPN**: Operations Policy and Procedure Notice

- PPN: Policy and Procedure Notice
- RCNY: Rules of the City of New York
- **RS**: Reference Standard
- TPPN: Technical Policy and Procedure Notice
- **ZR**: Zoning Regulations

Permit Type Acronyms

- AR: Architectural
- **EA**: Earthwork
- **FO**: Foundation
- ME: Mechanical
- NP: No Plans
- PL: Plumbing
- SH: Sidewalk Shed
- **ST**: Structural
- ZO: Zoning

Building Type Acronyms

- NB: New Building
- Alt 1: Alteration
- Alt 2: Alteration
- Alt 3: Alteration

Professional Acronyms

- **PE**: Professional Engineer
- RA: Registered Architect
- GC: General Contractor
- **DP**: Design Professional
- FR: Filing Representative
- QEWI: Qualified Exterior Wall Inspector (PE/RA)
- QRWI: Qualified Retaining Wall Inspector
- MP: Master Plumber
- OBI: Oil Burner Installer
- LP: Licensed Professional

