



Compliance Violations: How to Search, Pay, or Request a Waiver or a Challenge

DOB NOW: Safety Step-by-Step User Guide

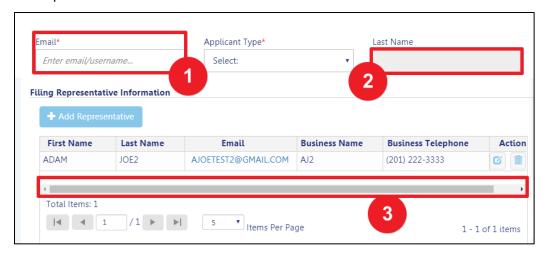
This guide explains how to search for and manage compliance violations issued by NYC Department of Buildings. Information about New York City buildings and compliance violations are publicly accessible through the DOB NOW Public Portal. However, to make a payment, request a waiver of civil penalties, or challenge a violation, you will need to log in to DOB NOW: Safety using an NYC.ID account.

Compliance violations are issued for not submitting required periodic building inspection reports and other violations that are not the result of an enforcement action. For a complete list of the violations on a property, also search the **Buildings Information System (BIS)**. OATH Summonses and some DOB violations are only in BIS and are not found in DOB NOW.

System Guidelines

Chrome is the recommended browser for optimal DOB NOW performance.

- 1. Fields with a red asterisk (*) are required and must be completed.
- 2. Grayed-out fields are read-only or are auto-populated by the system.
- 3. Depending on the size of your screen or browser, you may have to use the scroll bar to view more options or full fields.



Helpful Links

- DOB NOW: Safety Resources page: Presentations, Step-by-Step Guides, Resources, and Videos
- DOB NOW Training page
- Payment Manual
- How to Disable Popup Blockers





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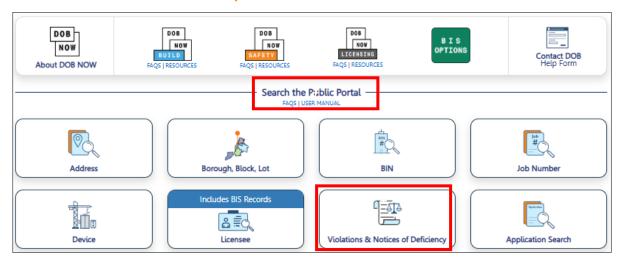




Public Portal - Violation Search

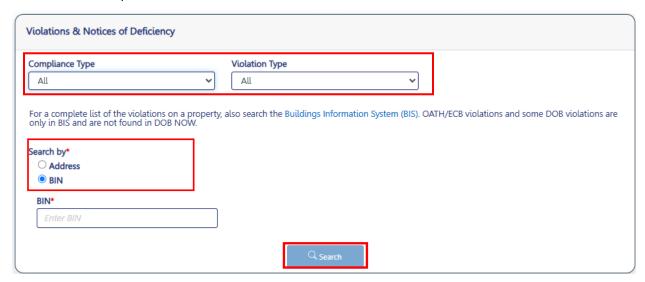
Violations issued to New York City properties are public information and can be searched for and viewed in the Public Portal without creating an account or logging in. For a complete list of the violations on a property, also search the **Buildings Information System (BIS)**.

Step 1: To search for violations in the Public Portal, go to **nyc.gov/dobnow**. Without logging in, click on the **Violations & Notices of Deficiency** button located under the **Search the Public Portal** section.



Step 2: The Violations search window appears directly under the Violation & Notices of Deficiency button. You can select All or specify a Compliance Type and Violation Type (if applicable).

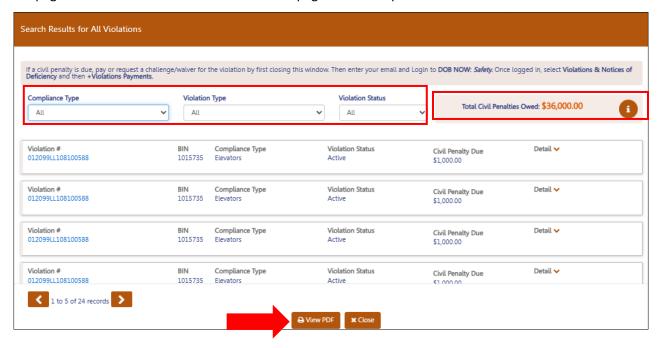
If All is selected, you may search by the Address or BIN. More search options are available depending on the compliance type that is selected (Control Number, Device Number, Reference ID Number or Violation Number).



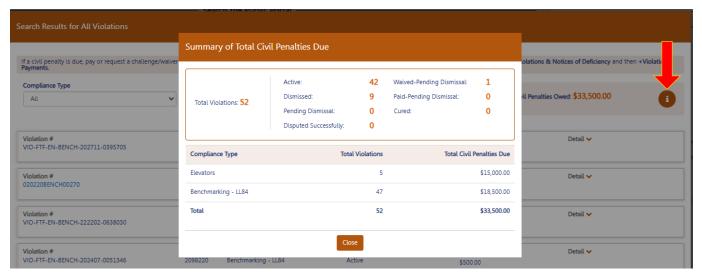




Step 3: Your Search Results display in a new window and can be filtered by Compliance Type, Violation Type, and Violation Status. The amount of the Total Civil Penalties Owed is displayed at the top right of the page. Select View PDF at the bottom of the page to save or print the Search Results.



Step 4: Click the button to view a Summary of Total Civil Penalties Due, with the number of Total Violations and then violations separated out by status Active, Dismissed, Waived - Pending Dismissal, and Pending Dismissal.







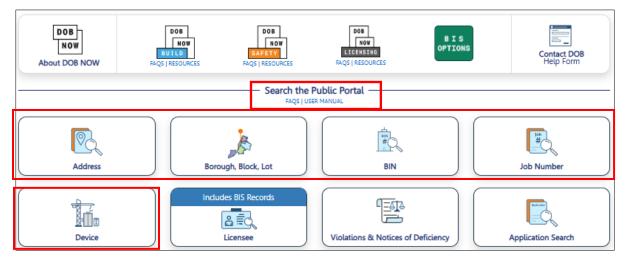
Step 5: Select the **Detail** arrow to view the **Violation Information**. If the violation was issued in BIS, the **Violation Number** will have a hyperlink to the violation information in BIS.

Violation # 090619ACC100038		BIN 1087243	Compliance Type Elevators	Civil Penalty Due \$0.00	Detail 🔨
Violation Information					
Violation Class AOC	Violation Type ACC1		,	cle End Date /31/2017	Address 28 Washington Street Manhattan
Associated Device(s)					
Violation Number	Device Numb	per Issue Date	Violation Status	Civil Penalty Status	Violation Remarks
090619ACC100038	1P18479	09/06/2019	Dismissed	Paid	Not Available

Public Portal - Property Profile: Search

The violation information and penalties due can also be viewed on the **Property Profile**.

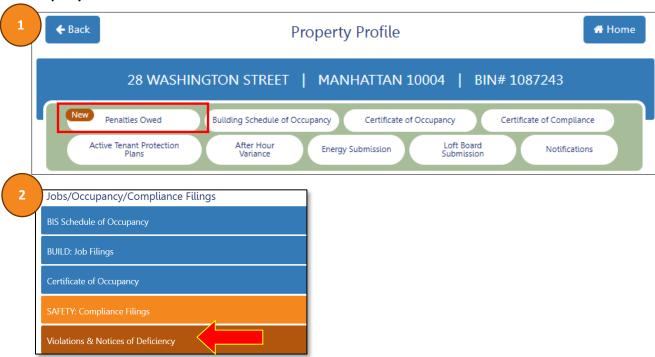
Step 1: To navigate to the **Property Profile** page, search the Public Portal by **Address**, **Borough Block Lot**, **BIN**, **Device**, or **Job Number**.



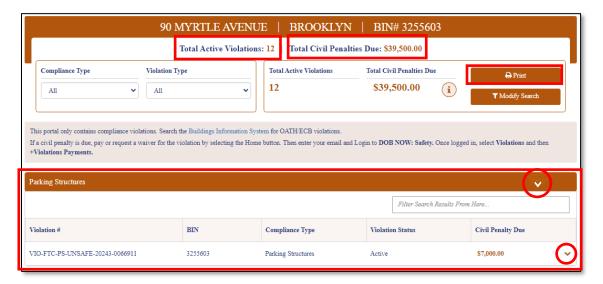




Step 2: There are two ways to view the Total Active Violations and Total Civil Penalties Due on the Property Profile. Click Penalties Owed at the top of the Property Profile page or select Violations & Notices of Deficiency under the Jobs/Occupancy/Compliance Filings menu at the bottom of the Property Profile.



Step 3: Total Active Violations and Total Civil Penalties Due are displayed at the top of the page. All Active Violations will be listed by Compliance Type. Click the arrows to hide or show details.







Step 4: The Print, Modify Search, and Penalty Summary are available at the top of the page. Click Print for a PDF list of violations that can be saved or printed. Click the icon to display a Summary of Total Civil Penalties Due.

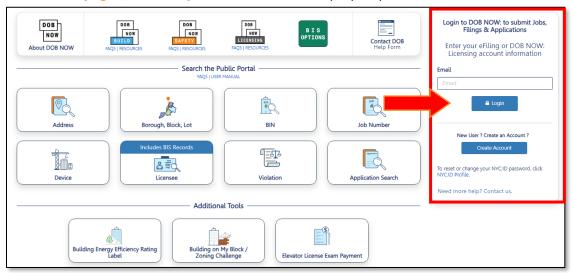
Select the **Modify Search** button to search for a new property without navigating back to the main Public Portal page.



DOB NOW: Safety - Violation Search

Compliance violations can be searched for and viewed in the Public Portal without logging in, but to make a payment, request a waiver, or challenge a violation, you will need to log in to DOB NOW using an NYC.ID account.

Step 1: Log in to DOB NOW at nyc.gov/dobnow. If you need to create an NYC.ID account, select Create Account or visit nyc.gov/dobnowtips for resources and step-by-step instructions.







Step 2: On the Main dashboard, go to DOB NOW: Safety and select Violations & Notices of Deficiency.



Step 3: On the Violations dashboard, click Search Violations.



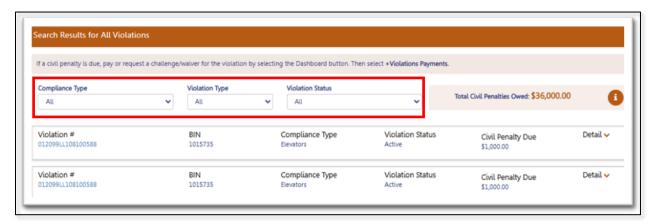
Step 4: The Search Violations page displays. Select a Compliance Type and Violation Type (where applicable). Select at least one Search by option. All Compliance Types show the Address and BIN Search by options. Depending on Compliance Type, you selected you may also see BBL, Violation Number, Device Number, Control Number, Parking Structure ID or Reference ID Number. After making your selections, click Search.







Step 5: The search can be filtered by **Compliance Type** (only if Compliance Type of **All** was chosen in the previous step), **Violation Type**, and **Violation Status**. **Total Civil Penalties Owed** will also be displayed.



Step 6: More details can be revealed by clicking on the drop-down arrow next to Detail.



Step 7: The selected row expands to show the details for that violation. If there are any actionable payments, waivers, or challenges for that violation, Pay Now, Waiver Request, and/or Challenge Request buttons will be visible. Click the button for the action you are ready to work on, and a confirmation notification will display.







Step 8: Selecting **Yes** on the Confirmation popup takes you to the beginning of that process with your infomation and the violation information prefilled. See the following sections for detailed steps about payments, waivers, and challenges.





DOB NOW: Safety - Make a Payment

If you are making a civil penalty payment for any of the following compliance types, a **Compliance Report** must be submitted before the payment will resolve the violation:

- Emergency Power
- Gas Piping
- Parking Structures
- Photoluminescent

- Retaining Walls
- Sprinklers
- Structurally Compromised Buildings

For Parking Structures and Facades, you will not be able to make a payment until the Compliance Report has been submitted AND accepted in DOB NOW. All payments must be made in full, except for Facades.

For Facades only, partial payments may be made when paying the violation fee.

See the Table of Compliance Types and Associated Requests and Violations on page 24.

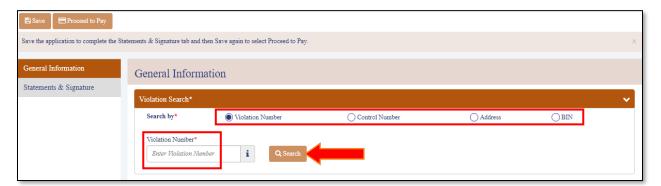




Step 1: To make a payment, on the **Violations** dashboard go to **+Violations Payments**, then select the appropriate **Compliance Type** from the list.



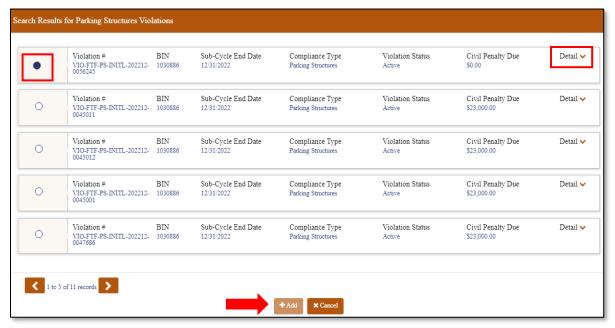
Step 2: The **Violation Search** will display under the **General Information Tab**. Search for the violation you want to pay by selecting one of the **Search by** options. The available options vary depending on the Compliance Type you select.







Step 3: Your **Search Results** list displays in a popup window. Select the violation that you want to pay and then click **+Add** to continue.



Note: Click Detail to get more information about the violation.



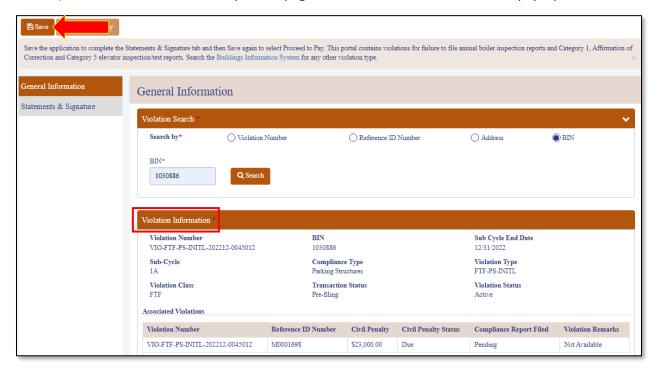




Note: For the Facades Compliance Type, multiple violations can be selected at the same time by checking the checkboxes.



Step 4: After you have added the violation(s) that you are ready to pay, you will be taken to the General Information tab. The information will be prefilled in the sections for Violation Information, Location, and Respondent. Click Save, at the top of the page, then click OK on all confirmation pop-ups.







Step 5: On the **Statements & Signature** tab, click the checkbox to attest to the **Respondent's Statement**. Then click **Save** again.



Step 6: Click Proceed to Pay, then click Yes on the Payment Confirmation window. You will be taken to the NYC CityPay system to make your payment. See the Payments Manual for a step-by-step guide.



Once the payment is processed. The Civil Penalty Status will change to Paid.





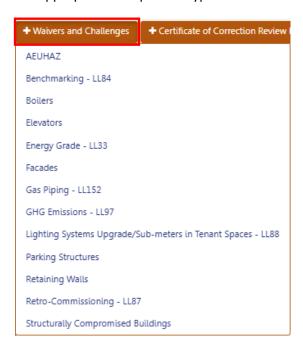


Note: If a compliance filing is required, the violation is considered resolved when the **Compliance Repor**t has been submitted **AND** accepted, **AND** the payment has processed.

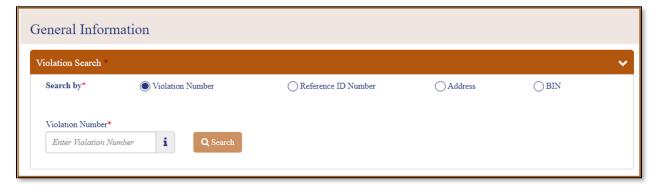
See the Table of Compliance Types and Associated Requests and Violations on page 24.

DOB NOW: Safety - Civil Penalty Waiver Requests

Step 1: To request a Waiver, on the **Violations** dashboard go to **+Waivers and Challenges**, then select the appropriate Compliance Type.



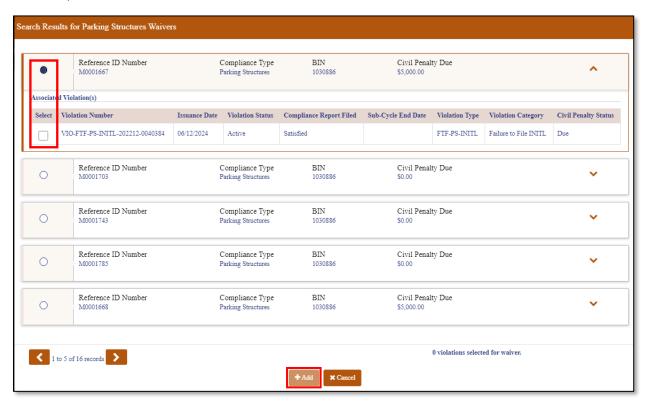
Step 2: Search for the violation by **Violation Number**, **Reference ID Number** (where applicable), **Address** or **BIN**.







Step 3: Select the radio button beside the Associated Violation(s) for which you want to request a waiver. Up to five (5) violations can be submitted for a waiver at the same time. After making your selections, click +Add.



Step 4: Select the Waiver Request Reason(s) from this list: New Owner, Bankruptcy, Government Ownership, Demolished, and/or Proof of Compliance. Comments can also be added.







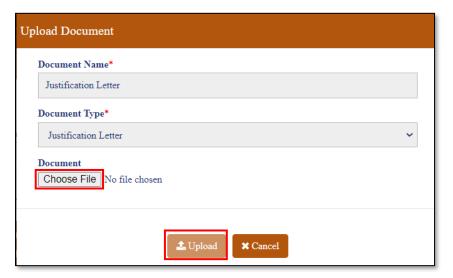
Step 5: Click Save. Select Yes on the notifications that appear.



Step 6: If documents are required for your Waiver Request Reason, the documents will be listed on the Documents tab. In the list, find the document that you are ready to upload, then click the edit icon next to that document's name.



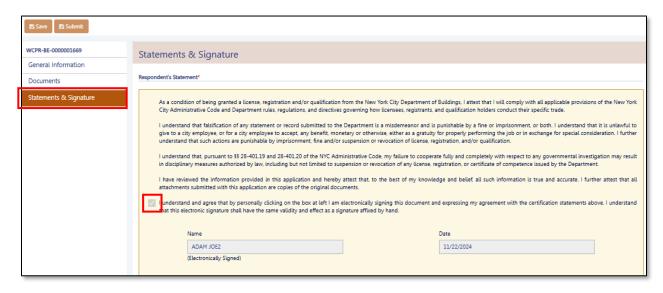
Step 7: Click **Choose File** to navigate to the document on your computer. Click **Upload**. Repeat for all required documents. Additional documents may also be added.







Step 8: Click the checkbox to Attest on the Statements & Signature tab, then click Save and then Submit.



DOB NOW: Safety - Civil Penalty Waiver Requests - What's Next

Waiver Requests will appear on the Civil Penalty Waiver tab for the appropriate Compliance Type.

DOB will review the Penalty Waiver Request and email the result. Possible results are: **Approved, Partial Approve, Pending Research, QA Failed,** and **Denied.**

- On **Approval**, the violation will be automatically dismissed.
- If the result is **QA Failed**, you will receive an email including the QA Failed reason(s) which you can resolve, then resubmit the request.
- If the result is **Denied**: the email will include the denial reason(s), and you will need to make a new request.





DOB NOW: Safety - Violation Challenge Requests

Step 1: To challenge a violation, on the Violations dashboard go to **+Waivers and Challenges** and select the applicable Compliance Type.



Step 2: Search for the violation by Violation Number, Address, BIN, or BBL.



Step 3: Select the radio button beside the Associated Violation(s) that you want to challenge. Click +Add, then click OK on the pop-up notification.







Step 4: Select the **Challenge Reason(s)**. The **Challenge Reason** options vary depending on which Compliance Type you select. Additional information will be required for certain **Challenge Reasons**. Comments may be added.

Violation Challenge Information							
Violation Number VIO-FTF-EN-BENCH-202406-0066278	Violation Issued Date 08/16/2024	ISN Number 2024060066278					
Violation Sequence 0066278	Violation Type FTF-EN-BENCH	Remarks FAILURE TO FILE BENCHMARKING REPORT OF ENERGY USE AS PER AD. CODE SEC. 28-309.4					
Challenge Reason*							
Building was demolished or was a new building with a NB permit and has not yet obtained a first TCO during the compliance period. Change in Building Ownership. Timely Benchmarking Alteration Type 1 Permit. Certification by a registered design professional where real property is Not more than three stories Consisting of a series of attached, detached or semi-detached dwellings For which ownership and the responsibility for maintenance of the HVAC systems and hot water heating systems is held by each individual dwelling unit owner, and With no HVAC system or hot water heating system in the series serving more than two dwelling units Covered building according to 28-309.4.3.Building Does not exceed 50,000 gross square feet Is not 2 or more buildings on the same tax lot that together exceed 100,000 gross square feet Is not a cor more buildings held in condominium ownership governed by the same board of managers and that together exceed 100,000 gross square feet Is not a cor building and the building owner Is not a civy building and the building owner Submitted a Request for Benchmarking Assistance Form at least 60 days before the benchmarking report due; and Submitted a Benchmarking report within 60 days after the benchmarking violation was issued.							
Operatment of Finance (sustainablebuildings@finance.nyc.gov) has confirmed the building located on this tax lot is below the square footage requirement for Benchmarking and not covered by the NYC Benchmarking Law							
Other							
Comments							

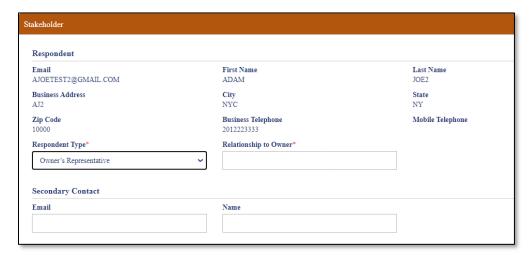
For AEUHAZ, there is no limit to the number of challenge requests during the submission window, but only one Challenge Request per AEUHAZ violation can be active at a given time.

For Facades multiple challenge reasons can be selected for each Challenge request





Step 5: In the Stakeholder section, Respondent Type may be Owner or Owner's Representative. If you choose Owner's Representative, enter the Relationship to Owner. A Secondary Contact may be added.



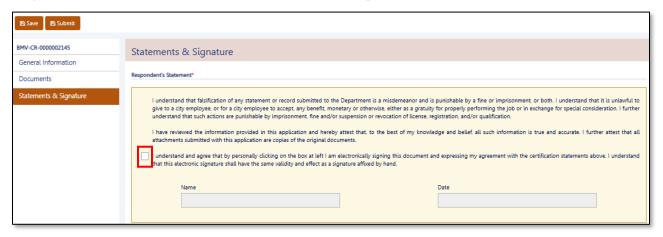
Step 6: Click **Save**, then continue to the **Documents** tab. All **Required Documents** will be listed. Click the edit icon, then the **Upload** button to upload the document. Additional Documents may also be uploaded.







Step 7: Click the checkbox to attest on the Statements & Signature tab. Click Save and then Submit.



DOB NOW: Safety - Violation Challenge Requests - What's Next

DOB will review the Challenge Request and email the result. Possible results are: **Accepted, Rejected** (LL97/88 only), **QA Failed,** and **Denied.**

- On **Approval**, the violation will be automatically dismissed.
- If **Rejected** (LL97/88 only), you will receive an email including the reason(s) for the Rejection which you can resolve then resubmit the request. After a request is rejected a second time, you cannot submit a new challenge request.
- If the result is **QA Failed**, you will receive an email including the QA Failed reason(s) which you can resolve, then resubmit the request.
- If the result is **Denied**: the email will include the denial reason(s), and you will need to make a new request.

See the Table of Compliance Types and Associated Requests and Violations on page 24.

You have now completed the Compliance Violations: How to Search, Pay, or Request a Waiver or Challenge step-by-step guide.





Table of Compliance Types and Associated Requests and Violations

DOB NOW: Safety Compliance Types						
*Violation issued in DOB NOW: Safety *Issued in BIS	Can request a Civil Penalty Waiver	Can request a Violation Challenge	Current Compliance Report Required to Pay/Waive a Penalty or Challenge a Violation	Violation Types		
AEUHAZ	X	✓	X	Failure to Correct		
Boilers	√	x	х	Failure to file Report Failure to correct external Failure to correct internal		
Benchmarking (LL84)	x	✓	x	Failure to file a Report Data Quality		
Elevators	√	х	х	Failure to file CAT1 Failure to file CAT5 Failure to file PER Failure to correct CAT1 Failure to correct PER		
Emergency Power	x	x	√	Failure to file Report		
Energy Grade (LL33)	x	✓	X	Failure to Post		
Facades	√	x	√ An accepted report is required BEFORE	Failure to file Report Failure to correct SWARMP Failure to correct Unsafe		
Gas Piping (LL152)	X	✓	Required AFTER	Failure to file Report		
GHG Emissions (LL97)	x	✓	x	Failure to File a Report Failure to Comply		
Lighting Systems Upgrade/Sub-meters in Tenant Spaces (LL88)	x	√	х	Failure to File a Report		
Parking Structures	√	x	√ An accepted report is required BEFORE	Failure to file a Report Failure to correct SREM Failure to correct Unsafe		
Photoluminescent	x	x	✓	Failure to file Report		
Retaining Walls	✓	x	Required AFTER	Failure to file a Report		
Retro-Commissioning (LL87)	x	✓	х	First Year Multiple Year		
Sprinklers	x	x	Required AFTER	Failure to File a Report		
Structurally Compromised Buildings	√	x	Required AFTER	Failure to file a Report Failure to file an Annual Report Failure to file a Final Report		

^{*}For Parking Structures and Facades, an accepted current Compliance Report is required BEFORE you can submit a Payment or Waiver Request.

^{*}For Gas Piping – LL152, Retaining Walls, Sprinklers, and Structurally Compromised Buildings, a current Compliance Report must be submitted, but it can be submitted AFTER the Payment or Waiver