

REMINDER: Compliance and Filing Requirements for Existing Traction Elevators with Single Plunger Brakes

Elevator owners of existing traction elevators with single plunger brakes must comply with one of the following requirements by January 1, 2027, as described in Section 3.8.4.1 of ASME A17.3-2015 *Safety Code for Existing Elevators and Escalators*, as modified by Appendix K, Chapter K3 of the 2022 New York City Building Code:

1. Alteration of single plunger assemblies to dual-plunger type, or
2. Compliance with Unintended Car Movement Protection as specified by Section 2.19.2 of ASME A17.1-2013.

NOTE: *Notwithstanding any inconsistent provision of Chapter 1 of Title 28 of the Administrative Code, the work required to comply with this section may not be performed without a permit from the Department.*

Permit Applications

- Applications must still be filed with the Department in [DOB NOW: Build](#) (using the PPN process).
- The application must be filed by an Elevator Agency Director or Co-Director approved by the Department, rather than a design professional.
- Design drawings, including approval letter(s) from the manufacturer or registered design professional, are not required to be submitted to the Department, but must be kept in the premises' machine rooms and made available to the Department upon request.

Test Notification

- Elevator agency directors must notify the Department at least 48 hours prior to inspection and test by sending an email to ElevatorTechnical@buildings.nyc.gov.

Inspection/Test Report and Sign-off

- Both the Elevator Agency performing the inspection and the Elevator Agency witnessing the inspection, as well as the Owner must use [DOB NOW: Build](#) to submit the inspection/test results and obtain final sign-off.

Please refer to [1 RCNY Section 101-02](#) and [1 RCNY Section 101-07](#) for more information.