

EXCAVATION, INTERIOR DEMOLITION and SCAFFOLD SAFETY: Code Requirements & Construction Implementation

presented by

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CONFERENCE

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COURSE DESCRIPTION

This course will provide an overview of the NYC Administrative Code, NYCBC Chapter 17, NYCBC Chapter 18, and NYCBC Chapter 33, governing excavation, interior demolition, and scaffold safety. It will discuss how properties are impacted during construction and partial demolition. Participants will also be shown how to identify common errors in the design and construction of sidewalk shed and scaffolds and discuss how these errors impact public safety.





LEARNING OBJECTIVES

At the end of the this course, participants will be able to:

- 1. Participants will review and be able to identify Code requirements, as they relate to excavation, interior demolition and scaffold safety.
- 2. Participants will discuss common design, construction errors and omissions that compromise egress and construction loading which impact public safety.
- 3. Participants will examine various excavation and interior demolition case studies with a focus on the protection of adjacent properties.
- 4. Participants will review sidewalk shed and scaffold design, drawing and inspection requirements; and will be able to identify common errors and omissions.







- PROJECT PROCESS
- GENERAL GOVERNING CODES
- EXCAVATION NOTABLE CODES & CASE STUDIES
- INTERIOR DEMOLITION NOTABLE CODES & CASE STUDIES
- SCAFFOLD/SIDEWALK SHED NOTABLE CODES & CASE STUDIES















PUBLIC

- Adjoining Properties
- Fence
- Overhead Protection
- Netting
- Debris Containment...

WORKERS

- SSM, SSC, CSM, CS...
- OSHA/Construction Safety Laws
- Obligation of Others

CITY SERVICES

- Utilities
- Fire
- Street/Bus/Train

CONFERENCE



We don't live in a bubble...

Regulators

- DOB
- FDNY
- NYPD

(6 of 6)

DOT

ΊΤΔ



- MTA
- LPC...





GENERAL GOVERNING CODES & NOTABLE PROVISIONS





GOVERNING CODES

- NYC Administrative Code (2014)
- NYC Building Codes (2014, Optional Use of Prior)
 Prior Codes still govern the use of existing buildings
 NYC Building Codes:
 - 1860
 1887
 1896
 1899

 1906
 1916
 1922
 1938

 1968
 2008
 2014
 1938
- NYC Rules (1RCNY 101-06, 1RCNY 101-07, 1RCNY 3301-02)
- NYC Building Bulletins (BB 2016-12, BB 2016-005)
- Important to know Industry Notices (DOB Website)

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NOTABLE PROVISIONS ADMINISTRATIVE CODE (1/3)

- AC28–301.1 Owner's responsibilities <u>All</u> <u>buildings and all parts thereof and all other</u> <u>structures shall be maintained in a safe</u> <u>condition...</u>
 - In addition this governs notification to DOB of hazardous conditions.





NOTABLE PROVISIONS ADMINISTRATIVE CODE (2/3)

- AC28-101.2 <u>Intent</u> Establish reasonable minimum requirements (concept dates back to 1860)
- AC28-103.2 Interpretation (first occurrence 1866)
- AC28-104.7.1 Construction documents to be of sufficient clarity





NOTABLE PROVISIONS ADMINISTRATIVE CODE (3/3)

§28-101.4.4 Reductions of fire safety or structural safety of prior code buildings prohibited. Notwithstanding any other provision of this code, where the alteration of any prior code building or structure in accordance with a provision of this code would result in a reduction of the fire safety or structural safety of such building, relevant provisions of the 1968 building code shall apply to such alteration unless there is full compliance with those provisions of this code that would mitigate or offset such reduction of fire protection or structural safety. Where the owner, having a choice to elect the 1968 building code or this code, chooses this code, the applicant shall submit a comparative analysis acceptable to the commissioner of the relevant fire safety and structural safety provisions under the 1968 building code and this code, demonstrating that the alteration does not result in a reduction to the fire and life safety of the building.

Exception: The use of automatic-closing by smoke detection for doors serving vertical exit enclosures in accordance with section 708.7 of the New York city building code in a prior code building shall not be deemed to result in a reduction of the fire safety or structural safety of such a building.

AC 28-101.4.4 – Reductions of fire and structural safety is prohibited





EXCAVATION Notable Codes & Case Studies







SECTION BC 1814 UNDERPINNING AND SUPPORT OF ADJACENT PROPERTY

1814.1 General. Where the protection and/or support of a structure or property adjacent to an excavation is required, an engineer shall prepare a preconstruction report summarizing the condition of the structure or property. The preconstruction report shall be prepared based on an examination of the structure or property, the review of available documents and, if necessary, the excavation of test pits. The engineer shall determine the requirements for underpinning or other protection and prepare site and structure-specific plans, including details and sequence of work for submission to the commissioner. Such protection may be provided by underpinning, sheeting, and bracing, or by other means acceptable to the commissioner.

§1814.1 – Preconstruction report required by a P.E.

- Basis of structural stability
 - Support of excavation;
 - Underpinning; and
 - Support of adjacent properties
- Pre-permit critical requirement
- This has to be provided upon Department request





NOTABLE CODES EXCAVATION (2/4)

1704.20.6 Inspection program. Prior to commencement of work, the special inspector shall review the contractor's proposed sequence of operations and determine the areas of work that require design. A written statement shall be prepared, mutually acceptable to the contractor and the special inspector, indicating:

- 1. The portions of work requiring design documents in accordance with Section 1704.20.7.
- 2. The names and addresses of the licensed professionals that have been engaged to supply design documents for applicable work.
- 3. The approximate dates for delivery of design documents.
- 4. A schedule of periodic special inspections, at agreed intervals, including adequate frequency to assure the contractor's continued compliance with the proposed designs and sequence of construction operations. At a minimum, the site must be inspected twice, once at a pre-construction meeting with the contractor and once during construction operations.

§1704.20.6 – Inspection programs are required prior to commencement

- Must indicate if additional items require *design
- Critical post-permit, pre-construction requirement
- Inspection schedule to be established prior to construction.
- Structural stability requires a minimum of two (2) inspections; however, applicant of record can specify more stringent requirements

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NOTABLE CODES EXCAVATION (3/4)

1704.20.7 Design documents. Design documents, including shop drawings, sketches and written descriptions of proposed work regarding structural stability in construction operations shall be prepared by a registered design professional in the employ of the owner or the contractor. Such designs may be revised at any time by the registered design professional. Copies of the special inspection log book, the design documents and revisions thereof, shall be maintained at the job site, and at the office of the special inspector, available for use and review at all reasonable times, until the work subject to special inspection is complete. In the case of alteration to existing structures, the structural stability design documents shall be reviewed by the registered design professional of record.

1704.20.7.1 Monitoring. The design documents shall include any requirements for monitoring of the subject structure and/or adjacent structures, as determined by the registered design professional responsible for the design. The monitoring plan shall be specific to the buildings to be monitored and operations to be undertaken, and shall specify the scope and frequency of monitoring, acceptable tolerances, and reporting criteria for when tolerances are exceeded.

§1704.20.7 – Design Document

- Pre-permit and pre-construction
- Design applicants responsibility does not end pre-permit.
 - Must review shop drawings, sketches, structural stability documents signed by owner/permit holder's professionals.
- Monitoring protocol must be on filed drawings with the Department

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NOTABLE CODES EXCAVATION (4/4)

- §107.3 Lot diagram
- §107.7.1 Foundation plans
- §107.7.3 Detailed drawings
- §107.8 Earthwork plans
- §3304.2 Support of excavation drawings
- §3304.4 Protection of sides of excavations





EXCAVATION











Pre-permit Considerations

- Investigation (Building Research)
 - Test pits/Borings §1802.2; §1802.4
 - Bottom of footing elevation §1814; §107.8
- Support of Adjacent Properties (P.E.) §1814 - Preconstruction Report (P.E.) §1814.1
- Monitoring
 - -§1814.3
 - §1704.20.7.1 §3309.4.4 §3309.6 - §3309.16

CONFERENCE





Pre-permit Considerations (Continued)

- Adherence to Lot AC28-105.12.3
- Structural Stability Inspection Protocol §1704.20.7
- Drawing Requirements / sequence §1814; §107.8; §3304.2







Post-permit Considerations

- Preconstruction Survey §3309.4.3
- Monitoring
 - §1704.20.7.1
 - §1814.3 -§3309.4.4
- §3309.16
 - §3309.6
- Adherence to Lot AC28-105.12.3
- Inspection Program §1704.20.6
- SOE Affecting Adjoining Property §3309.4
- Notifications (DOB, Neighbors, etc.) §3304.3







Tenant Protection Considerations

- Fence Maintenance §3307.7
- Overhead Protection
 - §3309.10 (Roofs)
 - §3309.12 (Chimneys)
- Lot line windows §3309.14
- Protect and maintain egress
- SSP
 - 11/06/17 required in all jobs with Construction Super





EXCAVATION



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Pre-permit Considerations

- Investigation (Building Research)
 - Test pits/Borings §1802.2; §1802.4
 - Bottom of footing elevation §1814; §107.8
- Support of Adjacent Properties (P.E.) §1814
 Preconstruction Report (P.E.) §1814.1
- Monitoring
 - §1704.20.7.1 §3309.4.4 §3309.6 -§1814.3 - §3309.16

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Pre-permit Considerations (Continued)

- Adherence to Lot AC28-105.12.3
- Structural Stability Inspection Protocol §1704.20.7
- Drawing Requirements / Sequence §1814; §107.8; §3304.2







Excavation - Support of Adjoining Property Required

Post-permit Considerations

- Preconstruction Survey §3309.4.3
- Monitoring
 - §1704.20.7.1
 - §1814.3 -§3309.4.4
- §3309.16
 - §3309.6
- Adherence to Lot AC28-105.12.3
- Weatherproof Integrity §3309.9
- Inspection Program §1704.20.6
- SOE Affecting Adjoining Property §3309.4
- Notifications (DOB, Neighbors, etc.) §3304.3







Excavation - Support of Adjoining Property Required

Tenant Protection Considerations

- Fence Maintenance §3307.7
- Overhead Protection -§3309.10 (Roofs)
- Lot line windows §3309.14
- SSP
 - 11/06/17 required in all jobs with Construction Super







Why is this important?

- Vertical / lateral failure at lot line support of excavation
- Partial Collapse
- Vacate Orders
- Danger to the public







Why is this important?

- Vertical / lateral failure at lot line support of excavation
- Partial Collapse
- Vacate Orders
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EXCAVATION











Pre-permit Considerations

- Investigation (Building Research)
 - Test pits/Borings §1802.2; §1802.4
 - Bottom of footing elevation §1814; §107.8
 - Prior Alteration History
- Drawing Requirements / Sequence §1814; §107.8; §3304.2
- Structural Stability Inspection Protocol §1704.20.7
- Lot Diagram §107.3
 - Are the walls plumb?
 - Adherence to diagram AC28-105.12.3





Pre-permit Considerations (Continued)

- Support of Adjoining Properties §1814
- Pre-construction Report (P.E.) §1814.1
 - §3309.8 Are existing joists tied to the independent/party wall?
 - §107.3 Design account for eccentricity?
 - Be mindful of cutting existing projections
- Use of Existing Walls §2104.10; §3309.8
 - Are existing joists tied to the independent / party wall?
- Monitoring: §1704.20.7.1, §1814.3, §3309.4.4, §3309.16, §3309.6





Post-permit Considerations

- Notifications (DOB, Neighbors, etc.) §3304.3
- Inspection Program §1704.20.6
- Review of Design Documents §1704.20.7
 - Preconstruction Survey §3309.4.3
- Monitoring: §1704.20.7.1, §1814.3
 §3309.4.4, §3309.16, §3309.6





Post-permit Considerations (Continued)

- Adherence to Lot AC28-105.12.3
- SOE Affecting Adjoining Property §3309.4
- Existing Joists Tied/Beam Pockets Closed §3309.8
- Weatherproof Integrity §3309.9







Tenant Protection Considerations

- Fence Maintenance §3307.7
- Overhead Protection §3309.10 (Roofs)
- Chimney Protection §3309.12
- Lot Line Windows
 - §3309.14 typically seen in old/new law tenement
- SSP
 - 11/06/17 required in all jobs with Construction Super







Why is this important?

- Vertical / lateral failure at lot line support of excavation
- Vacate Orders
- Danger to the public





INTERIOR DEMOLITION Notable Codes & Case Studies





INTERIOR DEMOLITION NOTABLE CODE (1/9)





INTERIOR DEMOLITION NOTABLE CODE (2/9)



INTERIOR DEMOLITION NOTABLE CODE (3/9)



Anchorage to Masonry Walls When Joists are Parallel



INTERIOR DEMOLITION NOTABLE CODE (4/9)



Anchorage to Masonry Walls When Joists are Perpendicular





INTERIOR DEMOLITION NOTABLE CODE (5/9)

706.2 Structural stability. Fire walls shall have sufficient structural stability under fire conditions to allow collapse of construction on either side without collapse of the wall for the duration of time indicated by the required fire-resistance rating.

 §706.2 – Structural Stability. Fire wall cannot collapse with collapse of construction on either side.





INTERIOR DEMOLITION NOTABLE CODE (6/9)

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INTERIOR DEMOLITION NOTABLE CODE (8/9)

Wall bracing relates to demolition sequence and permanent structure:

• §3306.8.2

No section of wall with a height more than 22 times its thickness shall be permitted to stand without bracing designed by a registered design professional.

- ** Simply supported, does not apply to cantilevers
- ** Effective thickness
- ** Further guidance in ACI 530 and OSHA

• §2104.10.1

2104.10.1 Use of existing walls. An existing masonry wall may be used in the alteration or extension of a building provided that it meets the requirements of this code.

*What is the condition of the masonry walls?

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INTERIOR DEMOLITION NOTABLE CODE (9/9)



SCAFFOLD/SIDEWALK SHED Notable Codes & Case Studies





NOTABLE SCAFFOLD CODES SCAFFOLD TYPES & CATEGORIES (1/7)



NOTABLE SCAFFOLD CODES (2/7)







NOTABLE SCAFFOLD CODES REQUIRED PERMITS (3/7)



NOTABLE SCAFFOLD CODES DRAWING REQUIREMENTS (4/7)



NOTABLE SCAFFOLD CODES (5/7)







Supported scaffolds

§3314.4.3.3 Installation inspection .

§3314.4.3.5 Pre-shift inspection.

□ §3314.4.3.6 Inspection following a site repair or adjustment.



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SUPPORTED SCAFFOLD COMMON VIOLATING CONDITIONS

Permit Holder/Owner

Work contrary to approved plans -

1. AC28-105.12.2

- Greater number of working platforms than allowed.
- Missing diagonal and cross bracing
- 2. Planks not tied down (dislodgement) §3314.9.4
- 3. Working platform not fully planked §3314.5





SUPPORTED SCAFFOLD COMMON VIOLATING CONDITIONS

Design Professional

Work contrary to approved plans –

- 1. Plans weren't project specific AC28-104.7.1
 - Did not account for building geometry.
- Scaffold support not coordinated with sidewalk shed -§3314.3.3 – 9
- 3. Anchorage to compromised walls §3314.3.3 2
- 4. Anchorage to walls not tested **§1704.32**; **BB2016-005**
- 5. Netting/enclosures not identified §3314.3.3 4



SUSPENDED SCAFFOLD COMMON VIOLATING CONDITIONS

Permit Holder/Owner

- 1. Work contrary to approved plans **AC28-105.12.2**
- 2. Inadequate anchor points **§3314.6**
- 3. Tiebacks at angles without opposing lines §3314.10.9
- Stirrups installed at wrong locations (contrary to manufacturer specifications) §3314.15.2
- 5. Failure to adequately lower and secure §3314.10.11





SUSPENDED SCAFFOLD COMMON VIOLATING CONDITIONS

Design Professional

- 1. Plans weren't project specific AC28-104.7.1
 - a. Did not account for building geometry.
- 2. Deficient Drawings- §3314.3.3
 - a. Base structure not accessed
 - b. Connections not sufficiently detailed
 - c. Maintained in place method of securement not specified
- Anchorage testing not specified in compliance with -§1704.32; BB2016-005







SIDEWALK SHED NOTABLE CODES (1/11)



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Buildings

SIDEWALK SHED NOTABLE CODES – WHERE REQUIRED (2/11)



SIDEWALK SHED NOTABLE CODES – PROTECTED AREAS (3/11)



SIDEWALK SHED NOTABLE CODES – DESIGN & CONSTRUCTION (4/11)



SIDEWALK SHED NOTABLE CODES – DESIGN LOADS (5/11)


SIDEWALK SHED: NOTABLE CODES INSTALLATION | ADJUSTMENT | MAINTENANCE | REPAIR USE | INSPECTION | REMOVAL (6/11)



SIDEWALK SHED NOTABLE CODES – STORAGE/PLACEMENT (7/11)



SIDEWALK SHED NOTABLE CODES – CLEANING (8/11)



SIDEWALK SHED: NOTABLE CODES INSTALLATION | ADJUSTMENT | MAINTENANCE | REPAIR USE | INSPECTION | REMOVAL (9/11)



SIDEWALK SHED NOTABLE CODES – INSPECTION & REMOVAL (10/11)



SIDEWALK SHED NOTABLE CODES – INSPECTION & REMOVAL (11/11)



SIDEWALK SHED COMMON VIOLATING CONDITIONS

Permit Holder/Owner

- 1. Work contrary to approved plans **AC28-105.12.2**
- 2. Parapets and extensions missing **– §3307.6.4.6**
- 3. Failure to maintain **AC28-301.1**, Misc. Chapter 33 Mud sills rotten, caps for bolts missing, no lighting **§3314.10.9**
- 4. Signage missing **§3301.9.2**, **§3301.9.2.6**
- 5. No installation inspection report **§3307.6.5.7**
- 6. No daily inspection reports §3307.6.5.10.1
- 7. No six-month periodic inspection report §3307.6.5.8





SIDEWALK SHED COMMON VIOLATING CONDITIONS

Design Professional

- 1. Plans are not project specific and lack sufficient detail AC28-104.7.1
- Main beams and junior beams are not sufficiently sized for gravity loads - §3307.6.4.2
 - a. Generic load tables all spans not addressed
 - b. Storage of materials/scaffold not addressed
 - c. Un-braced top flange condition not addressed
- 3. Lateral system is insufficient for overturning/sliding §3307.6.4.2.1
- 4. Materials not identified **§3307.6.5.4**; **AC28-104.7.8**
- 5. Storage loads not identified §3307.6.5.4
 - a. Associated shed application and load not identified







This concludes the American Institute of Architects Continuing Education Systems Course.

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